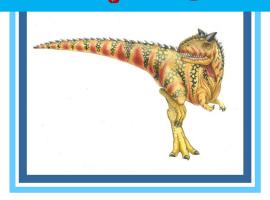
Lecture 6: Main Memory

Hossein Asadi (asadi@sharif.edu)
Rasool Jalili (jalili@sharif.edu)



Fall 2024



Lecture 6: Memory Management

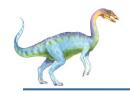
- Background
- Swapping
- Contiguous Memory Allocation
- Segmentation
- Paging
- Structure of Page Table
- Example: Intel 32 and 64-bit Architectures
- Example: ARM Architecture



Objectives

- To Provide a Detailed Description of Various Ways of Organizing Memory Hardware
- To Discuss Various Memory-Management Techniques, including Paging and Segmentation
- To Provide a Description of Intel Pentium, which Supports both Pure Segmentation and Segmentation with Paging





Background

- Program must be Brought (from disk) into Memory and Placed within a Process for it to be Run
- Main Memory (MM) and Registers are Only Storage CPU can Access Directly
- Memory Unit only Sees
 - A Stream of Addresses + Read requests, or Address + Data and Write Requests
- Register Access in one CPU Clock (or Less)
- MM can Take Many Cycles, Causing a Stall
- Cache Sits between MM and CPU registers
- Protection of Memory required to Ensure Correct Operation

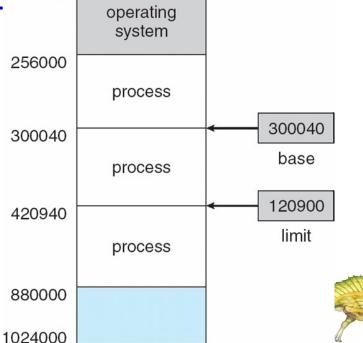


Base and Limit Registers

- A Pair of Base and Limit Registers Define Logical Address Space
- CPU must Check Every Memory Access

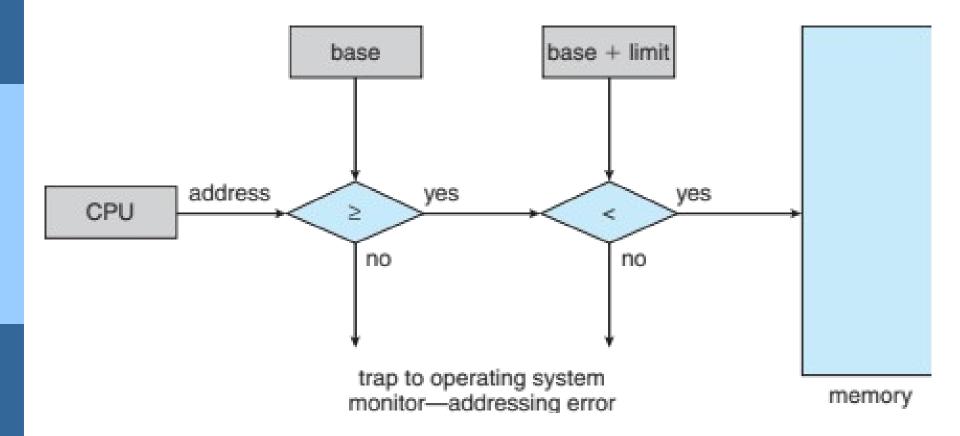
Generated in user mode to be sure it is between

base and limit for that user





Hardware Address Protection

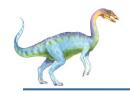






Address Binding

- Programs on Disk, Ready to be Brought into Memory to Execute Form an Input Queue
 - Without support, must be loaded into addr: 0000
- Inconvenient to Have First User Process Physical Address Always at 0000
 - How can it not be?
- Further, Addresses Represented in Different Ways at Different Stages of a Program's Life
 - Source code, compiled code, linker/loader addresses



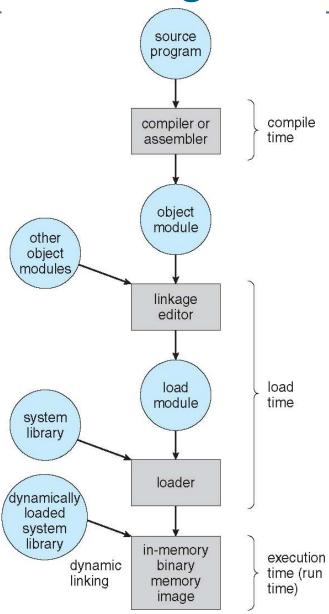
Address Binding (cont.)

- Different Ways of Addresses Representation
 - Source code addresses usually symbolic
 - Compiled code addresses bind to relocatable addresses
 - i.e. "14 bytes from beginning of module"
 - Linker or loader will bind relocatable addresses to absolute addresses
 - i.e. 74014
 - Each binding maps one address space to another

Binding of Instructions and Data to Memory

- Address Binding to Memory Addresses can Happen at Three Different Stages
 - Compile time: If memory location known a priori, absolute code can be generated
 - Must recompile code if starting location changes
 - Load time: Must generate relocatable code if memory location is not known at compile time
 - Execution time: Binding delayed until run time if process can be moved during its execution from one memory segment to another
 - Need HW support for address maps (e.g., base and limit registers)

Multistep Processing of a User Program



Logical vs. Physical Address Space

- Concept of a Logical Address Space
 - Central to Proper Memory Management
 - Bound to a Separate Physical Address Space
- Logical address
 - Generated by CPU
 - Aka, virtual address
- Physical Address
 - Address seen by memory unit
- Logical and Physical Addresses
 - Are same in compile-time and load-time address-binding schemes
- Differ in execution-time address-binding scheme operating System Concepts 9th Edition

Logical vs. Physical Address Space (cont.)

- Logical Address Space
 - Set of all logical addresses generated by a program
- Physical Address Space
 - Set of all physical addresses generated by a program

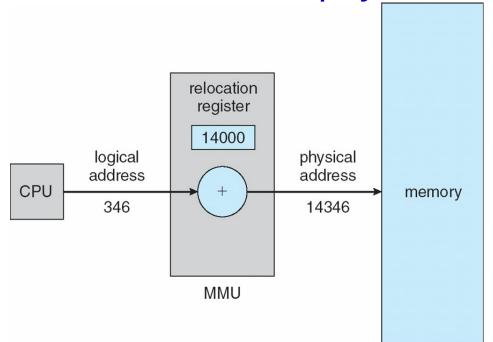


Logical vs. Physical Address Space (cont.)

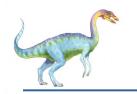
- Memory Management Unit (MMU)
 - HW device that at run-time maps virtual to physical address
 - Many methods possible
 - Will be discussed in this lecture
- To start, Consider Simple Scheme
 - Value in base register is added to every address generated by a user process at time it is sent to memory
 - Base register now called relocation register
 - MS-DOS on Intel 80x86 used 4 relocation registers

Logical vs. Physical Address Space (cont.)

- User Program Deals with Logical Addresses
 - It never sees real physical addresses
 - Execution-time binding occurs when reference is made to location in memory
 - Logical address bound to physical addresses

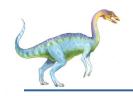






Dynamic Relocation using a Relocation Register

- Routine not Loaded until it is Called
- Better Memory-Space Utilization
 - Unused routine never loaded
- All Routines Kept on Disk in Relocatable Load Format
- Useful when Large Amounts of Code are Needed to Handle Infrequently Occurring Cases
- No Special Support from OS required
 - Implemented through program design
 - OS can help by providing libraries to implement dynamic loading



Dynamic Linking

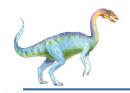
- Static Linking system libraries and program code combined by loader into binary program image
 - Wastes both disk space and main memory
- Dynamic Linking linking postponed until execution time
 - Small piece of code, Stub, used to locate appropriate memory-resident library routine
 - Stub replaces itself with address of routine, and executes routine



Dynamic Linking (cont.)

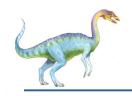
- OS Checks if Routine is in Memory
 - If not in address space, add to address space
- Dynamic Linking is Particularly Useful for Libraries
 - Processes that use a language library execute only one copy of library code
 - Libraries updates will be automatically applied





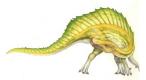
Swapping

- A Process can be Swapped Temporarily out of Memory to a Backing Store, and Brought back into Memory for Continued Execution
- Why Swap out?
 - Quantum of round robin expired → need to bring a new process → not enough memory space
 - Total physical memory space of processes can exceed physical memory
- Backing store
 - Fast disk large enough to accommodate copies of all memory images for all users



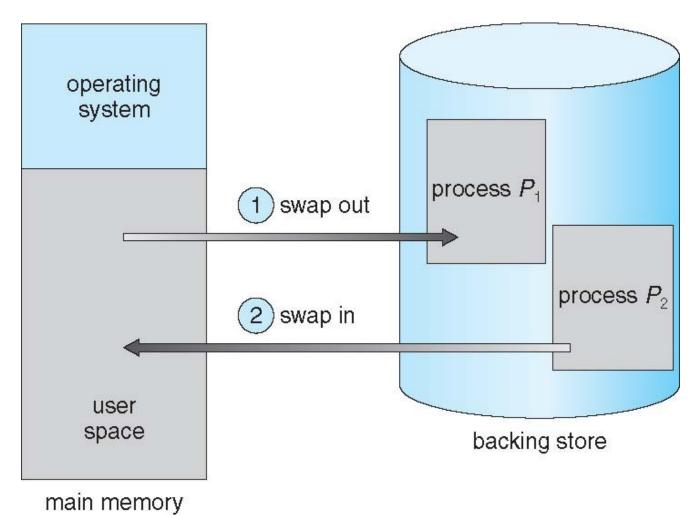
Swapping (cont.)

- Major part of Swap Time is Transfer Time
 - Total transfer time is directly proportional to amount of memory swapped
- System Maintains a Ready Queue of readyto-run processes
 - Which have memory images on disk (or in main memory)





Schematic View of Swapping





Context Switch Time and Swapping

- Next Processes to be Put on CPU Not in MM
 - Need to swap out a process & swap in target process
- Context Switch Time can then be Very High
- Example: 100MB Process
 - Swapping to HDD with transfer rate of 50MB/sec
 - Swap out time of 2000 ms
 - Plus swap in of same sized process
 - Total context switch swapping component time of 4000ms (4 seconds)





Swapping (cont.)

- Q: Does Swapped out Process Need to Swap Back into Same Physical Addresses?
- Ans: Depends on address binding method
- Standard Swapping is Too Time-Consuming
- Modified Versions of Swapping Found on Many Systems (i.e., UNIX, Linux, and Windows)
 - Swapping normally disabled
 - Started if more than threshold amount of memory allocated
 - Disabled again once memory demand reduced below threshold

Context Switch Time and Swapping (cont.)

- Can reduce Context Switch Time
 - If reduce size of memory swapped
 - by knowing how much memory really being used
 - System calls to inform OS of memory use via request_memory() and release_memory()
- Other Constraints as well on Swapping
 - Pending I/O can't swap out as I/O would occur to wrong process
 - Or always transfer I/O to kernel space, then to I/O device



Memory Management Schemes

- Main Memory must support OS + User Processes
 - Limited Resource -> must allocate efficiently
- Memory Management Schemes
 - Contiguous Allocation
 - Segmentation
 - Paging
 - Segmentation + Paging



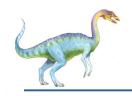


Contiguous Allocation

- Contiguous Allocation is one Early Method
- Main Memory Usually into two partitions:
 - Resident OS, usually held in low memory with interrupt vector
 - User processes then held in high memory
 - Each process contained in single contiguous section of memory

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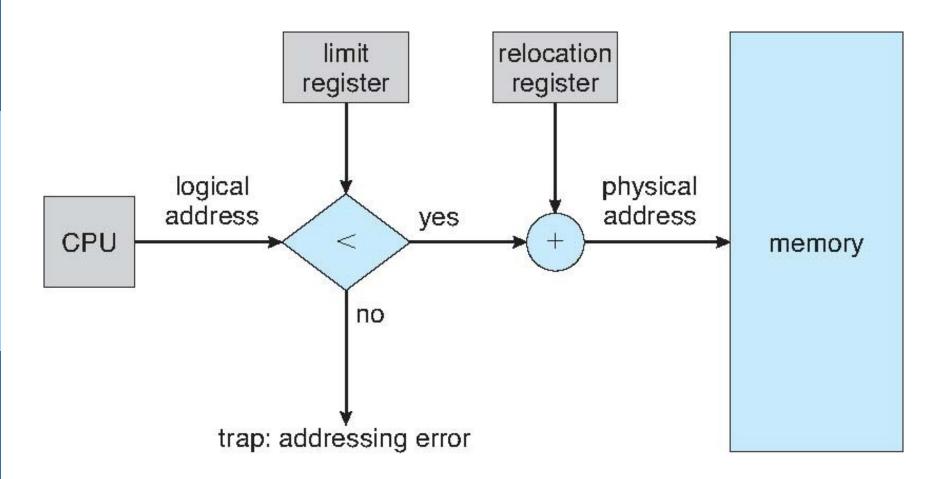


Contiguous Allocation (cont.)

- Relocation Registers used to Protect User Processes from each other, and from Changing OS code and data
 - Base register contains value of smallest physical address
 - Limit register contains range of logical addresses
 each logical address must be less than the limit register
 - MMU maps logical address dynamically
 - Can then allow actions such as kernel code being transient and kernel changing size



*HW Support for Relocation and Limit Registers







Multiple-Partition Allocation

- Fixed-Sized Partitions
 - Each partition only one process
 - Degree of multiprogramming limited by number of partitions
 - Originally used in IBM OS/360
 - No longer in use





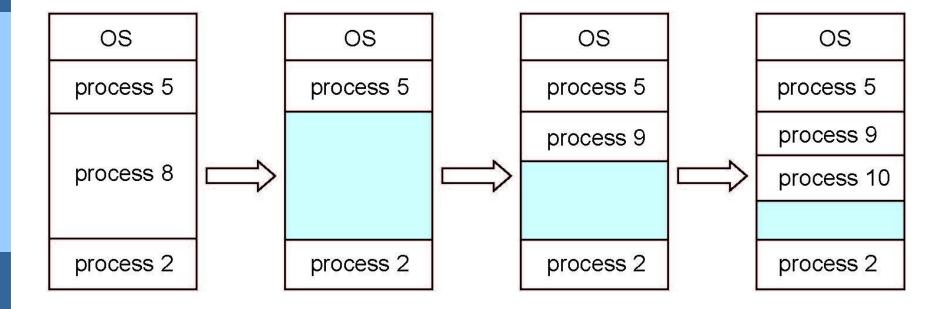
Multiple-Partition Allocation (cont.)

- Variable Partition Scheme
 - Sized to a given process' needs
 - Hole block of available memory
 - Holes of various size are scattered throughout memory
 - When a process arrives, it is allocated memory from a hole large enough to accommodate it
 - Process exiting frees its partition
 - Adjacent free partitions combined
 - OS maintains information about:
 a) allocated partitions
 b) free partitions (hole)





Multiple-Partition Allocation (cont.)



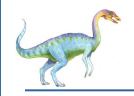


ynamic Storage-Allocation Problem

How to satisfy a request of size *n* from a list of free holes?

- First-Fit: Allocate *first* hole that is big enough
- Best-Fit: Allocate smallest hole that is big enough; must search entire list, unless ordered by size
 - Produces smallest leftover hole
- Worst-Fit: Allocate largest hole; must also search entire list
 - Produces largest leftover hole
 First-fit and best-fit better than worst-fit in terms of speed and storage utilization

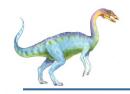
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Fragmentation

- External Fragmentation Total memory space exists to satisfy a request, but it is not contiguous
- Internal Fragmentation Allocated memory may be slightly larger than requested memory; this size difference is memory internal to a partition, but not being used
- First fit analysis reveals that given N blocks allocated, 0.5 N blocks lost to fragmentation
 - 1/3 may be unusable -> 50-percent rule





Fragmentation (cont.)

- Solutions to External Fragmentation
 - Compaction
 - Shuffle memory contents to place all free memory together in one large block
 - E.g., move all used blocks to one end of memory
 - Compaction is possible only if relocation is dynamic, and is done at execution time
 - Non-contiguous memory allocation scheme
 - Segmentation
 - Paging
- Backing store same Fragmentation Problem



Segmentation

- Memory-Management Scheme that Supports User View of Memory
 - Maps programmer's view to physical memory
- A Program is a Collection of Segments
 - A Segment is a Logical Unit such as:

main program procedure function method object local variables global variables common block stack symbol table arrays

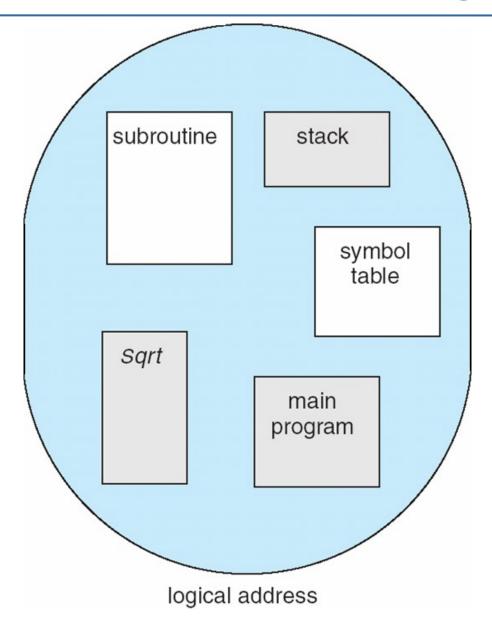


Code Global variables Heap Stack Standard C library





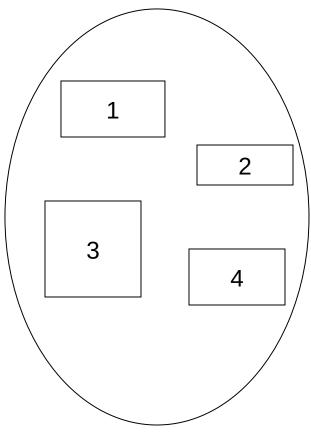
User's View of a Program



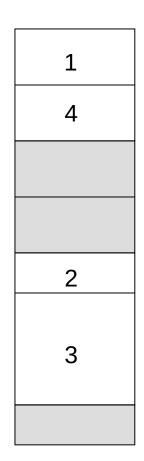




Logical View of Segmentation



User Space



Physical Memory Space





Segmentation Architecture

- Logical Address consists of a Two Tuple: <segment-number, offset>,
- Segment table maps two-dimensional physical addresses; each table entry has:
 - base contains starting physical address where segments reside in memory
 - limit specifies length of segment
- Segment-table base register (STBR) points to segment table's location in memory
- Segment-table length register (STLR) indicates number of segments used by a program;

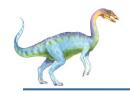
segment number s is legal if s < STLF



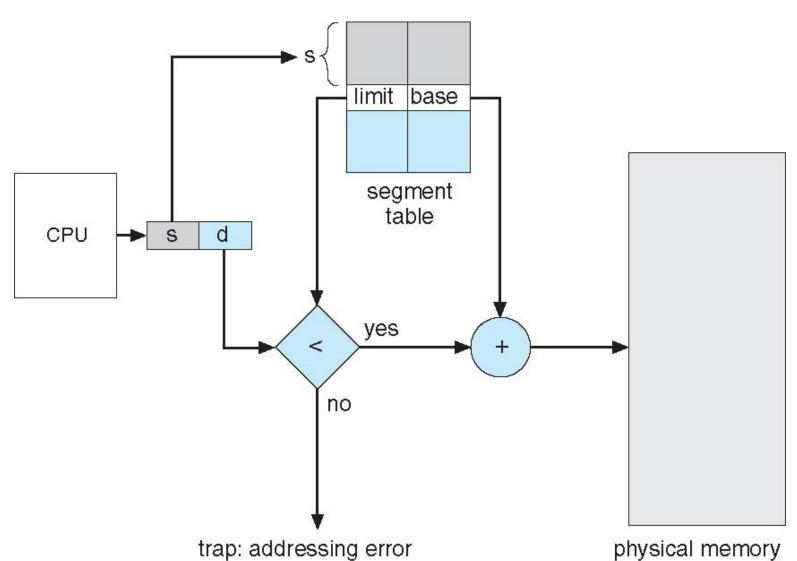
Segmentation Architecture (cont.)

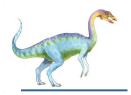
- Protection
 - With each entry in segment table associate:
 - ▶ validation bit = $0 \Rightarrow$ illegal segment
 - read/write/execute privileges
- Protection Bits Associated with Segments
 - Code sharing occurs at segment level
- Segments Vary in Length
 - Memory Allocation is a Dynamic Storage-Allocation Problem



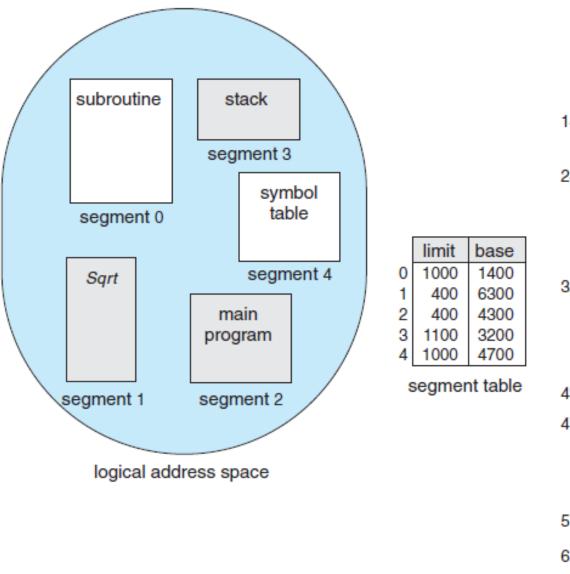


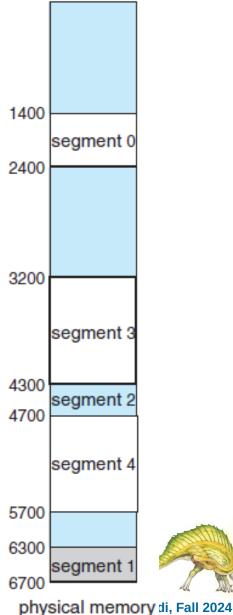
Segmentation Hardware





Example of Segmentation



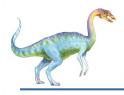




Segmentation vs. Variable-Sized Contiguous Allocation

- Variable-Sized Contiguous Allocation
 - Needs to bring entire process into memory
 - Both code, data, stack, ...
- Segmentation
 - Break program into different segments
 - Brings segments to memory on demand
 - No need to bring unused library methods or unused code & data segments to memory





Problems with Segmentation?

- Example
 - Code segment: 10MB
 - Data segment: 100MB
 - Stack segment: 20MB
 - Lib segment: 10MB
- Problem?
 - Still significant amount of fragmentation
 - Both external & internal fragmentation





Paging

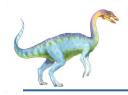
- Physical Address Space of a Process can be Non-Contiguous
 - Process is allocated physical memory whenever latter is available
 - Avoids external fragmentation
 - Avoids problem of varying sized memory chunks
- Divide Physical Memory into Fixed-sized blocks called Frames
 - Size is power of 2, between 512 bytes and 16 Mbytes



Paging (cont.)

- Divide Logical Memory into Blocks of Same Size called Pages
- Keep Track of all Free Frames
- To Run a Program of size **N** pages, Need to Find **N** Free Frames and Load Program
- Set up a Page Table to Translate Logical to Physical Addresses
- Backing Store Likewise Split into Pages
- Still have Internal Fragmentation





Address Translation Scheme

- Address Generated by CPU divided into:
 - Page number (p) used as an index into a page table which contains base address of each page in physical memory
 - Page offset (d) combined with base address to define physical memory address that is sent to memory unit
 page number | page offset

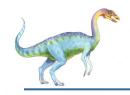
page flumber page offset

p d

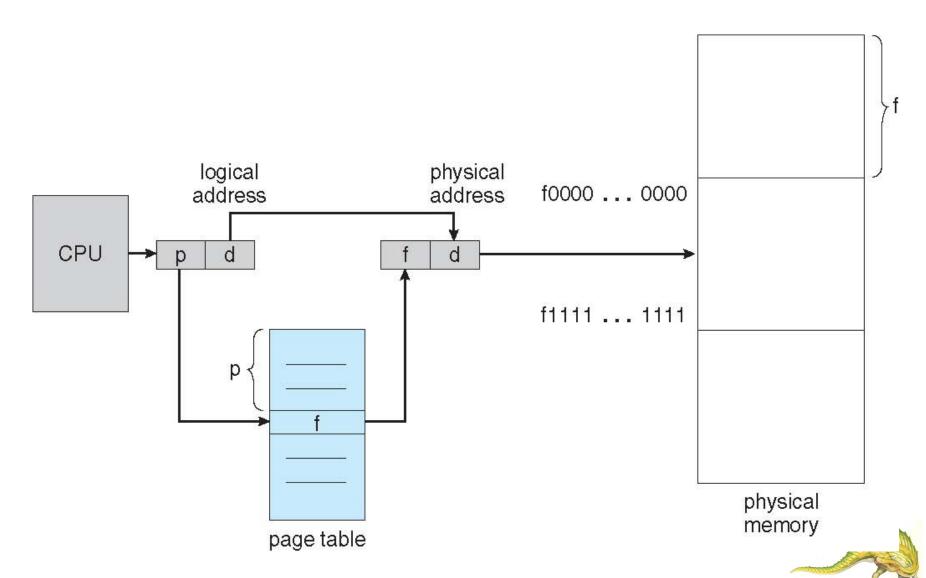
m -n n

- ▶ Logical address space: 2^m
- Page size: 2ⁿ





Paging Hardware





Paging Model of Logical and Physical Memory

page 0

page 1

page 2

page 3

logical memory

8.47

frame number

0

page 0

2

3 page 2

4 page 1

5

6

7 page 3

physical memory





Paging Example

0 1 2 3	аьст	
	d	
4	е	
5	f	
6	g	
_ 7	g h i	
8	i	
9	j k	
10	k	
11	-	
12	m	
13	n	
14	0	
15	р	
ogical memor		

2 page table

12

16

20

24

a b C

m n

28

physical memory

n=2 and m=4 32-byte memory and 4-byte pages



Paging (cont.)

- Calculating Internal Fragmentation
 - Page size = 2,048 bytes
 - Process size = 72,766 bytes
 - 35 pages + 1,086 bytes
 - Internal fragmentation of 2,048-1,086 = 962B
 - Worst case fragmentation = 1 frame 1 byte
 - On average fragmentation = 1 / 2 frame size
 - So small frame sizes desirable?
 - But each page table entry takes memory to track
 - Page sizes growing over time
 - Solaris supports two page sizes 8 KB and 4 MB

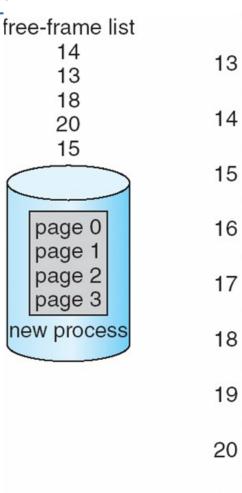


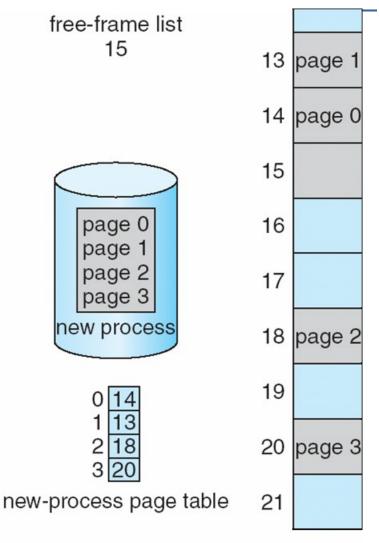
Paging (cont.)

- Process View and Physical Memory now Very Different
- By Implementation, Process can only Access its Own Memory



Free Frames





Before allocation

21



Implementation of Page Table

- Page Table Kept in Main Memory
- Page-Table Base Register (PTBR) Points to Page Table
- Page-Table Length Register (PTLR) Indicates Size of Page Table
- In this Scheme every Data/Instruction Access Requires two Memory Accesses
 - One for page table and one for data/instruction
- Two Memory Access Problem can be Solved by Use of a Special Fast-Lookup HW cache
 - Called Associative Memory or Translation Look-Aside Buffers (TLBs)

implementation of Page Table (cont.)

- Fully Associative TLB
 - Fast ②
 - Very costly ⊗
 - Typically 64~1024 entries
- TLBs work similar to Caches
 - Consists of two parts: Key (Tag) and a Value
- TLB Functionality
 - An incoming item compared with all keys simul.
 - If found, corresponding value filed is returned
 - If not found → TLB miss

implementation of Page Table (cont.)

- Some TLBs Store Address-Space Identifiers (ASIDs) in each TLB entry
 - Uniquely identifies each process to provide address-space protection for that process
 - Otherwise need to flush at every context switch
- On a TLB Miss, Value Loaded into TLB for Faster Access Next Time
 - Replacement policies must be considered
 - Some entries wired down for permanent fast access (e.g., kernel code pages)



Associative Memory

Associative Memory – Parallel Search

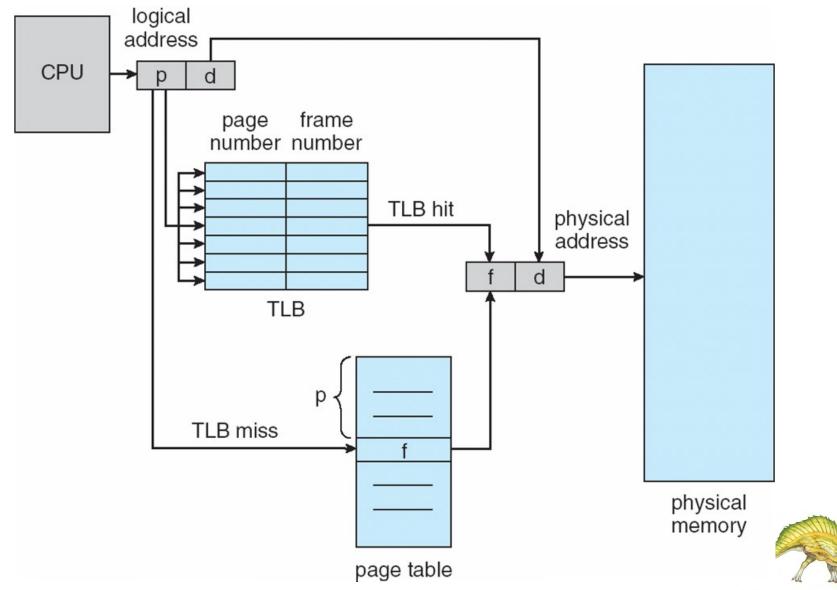
Page #	Frame #

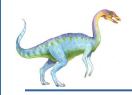
- Address Translation (p, d)
 - If p is in associative register, get frame # out
 - Otherwise get frame # from page table in memory





Paging Hardware With TLB





Effective Access Time

- Associative Lookup time unit (ε)
 - Can be < 10% of memory access time</p>
- \blacksquare Hit ratio = α
 - Hit ratio percentage of times that a page number is found in associative registers; ratio related to number of associative registers
- Consider α = 80%, ϵ = 20ns for TLB search, 100ns for memory access
- **Effective Access Time (EAT)**

EAT =
$$(1 + \varepsilon) \alpha + (2 + \varepsilon)(1 - \alpha)$$

= $2 + \varepsilon - \alpha$



Effective Access Time (cont.)

Example 1:

- Consider α = 80%, ϵ = 20ns for TLB search, 100ns for memory access
- \blacksquare EAT = 0.80 x 100 + 0.20 x 200 = 120ns

Example 2:

- Consider more realistic hit ratio
- α = 99%, ϵ = 20ns for TLB search, 100ns for memory access

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 \blacksquare EAT = 0.99 x 100 + 0.01 x 200 = 101ns





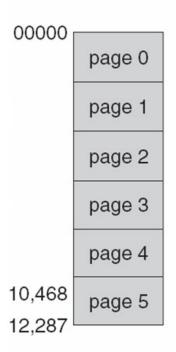
Memory Protection

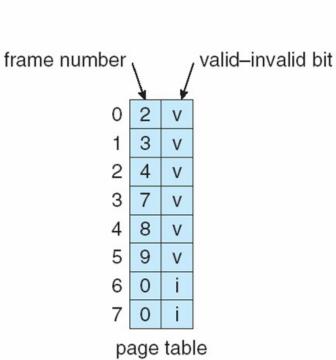
- Memory Protection Implemented by Associating Protection bit with each Frame to Indicate if Read-Only or Read-Write Access is Allowed
 - Can also add more bits to indicate page execute-only
- Valid-Invalid bit Attached to each Entry in Page Table
 - "valid" indicates that associated page is in process' logical address space, and is thus a legal page
 - "invalid" indicates that page is not in process' logical address space
 - Or use page-table length register (PTLR)
- Any Violations Result in a Trap to Kernel





Example: a process with logical addresses ranging from 0 to 10468





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Any problem with this scheme?

_	
0	
1	
2	page 0
3	page 1
4	page 2
5	
6	
7	page 3
8	page 4
9	page 5
	page n



Shared Pages

■ Shared code

- One copy of read-only (reentrant) code shared among processes (i.e., text editors, compilers, window systems)
- Similar to multiple threads sharing same process space
- Also useful for inter-process communication if sharing of read-write pages is allowed

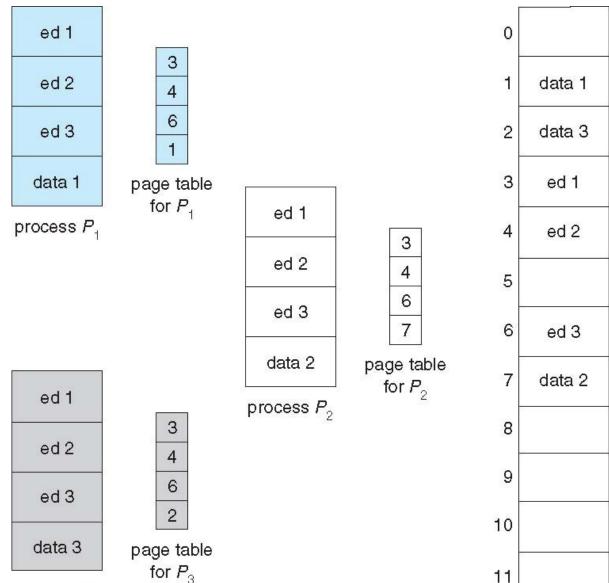
Private Code and Data

- Each process keeps a separate copy of code and data
- Pages for private code and data can appear anywhere in logical address space





Shared Pages Example





process P3



Structure of Page Table

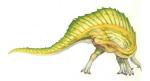
- Memory Structures for Paging can Get Huge using Straight-Forward Methods
 - Consider a 32-bit logical address space as on modern computers
 - \bullet Page size of 4 KB (2^{12})
 - Page table would have 1 million entries (2³² / 2¹²)
 - If each entry is 4 bytes -> 4 MB of physical address space / memory for page table alone
 - That amount of memory used to cost a lot
 - Don't want to allocate that contiguously in main memory
- Hierarchical Paging
- Hashed Page Tables
- Inverted Page Tables





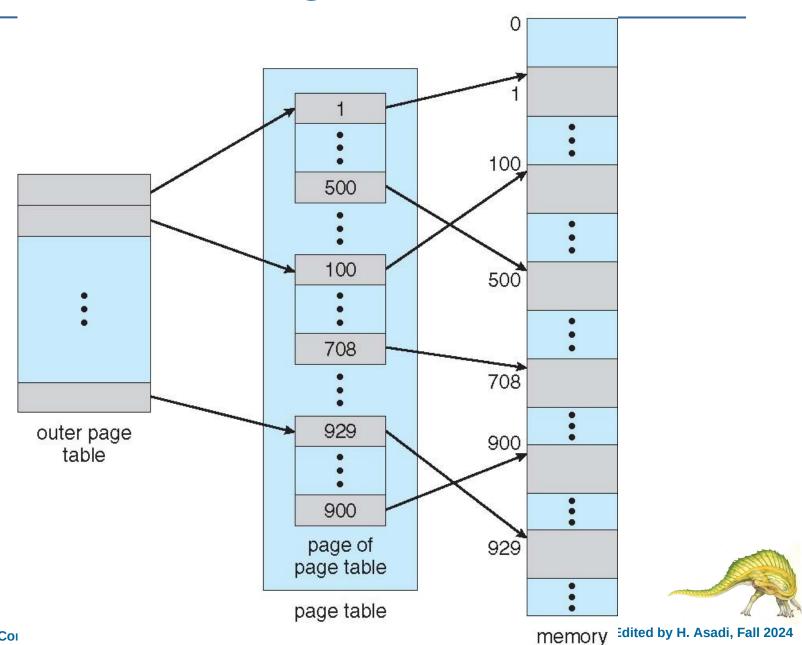
Hierarchical Page Tables

- Break up Logical Address Space into Multiple Page Tables
- A Simple Technique is a Two-Level Page table
- We then Page the page table





Two-Level Page-Table Scheme





Two-Level Paging Example

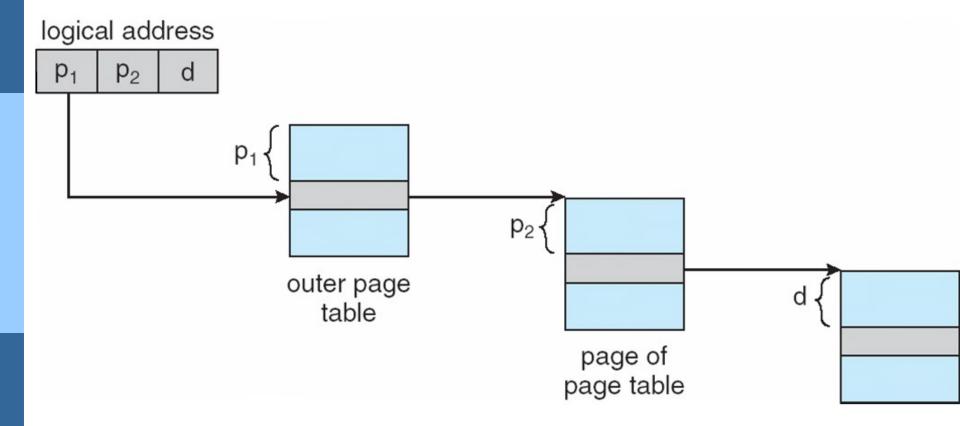
- A Logical Address (on 32-bit machine with 1K page size) Divided into:
 - A page number consisting of 22 bits
 - A page offset consisting of 10 bits
- Since Page Table is paged, page Number is further Divided into:
 page number page offset
 - A 12-bit page number
 - A 10-bit page offset

p_1	p_2	d	
12	10	10	

- Thus, a Logical Address is as follows:
 - p_1 is an index into outer page table
 - \mathbf{p}_2 is displacement within page of inner page table.



Address-Translation Scheme







64-bit Logical Address Space

- Even two-level paging scheme not Sufficient
- If Page Size is 4 KB (2¹²)
 - Then page table has 2⁵² entries
 - If 2-level scheme, inner page tables have 2¹⁰ 4-byte entries
 - Address would look like
 - Outer page table has 2⁴² entries or 2⁴⁴ bytes
 - One solution is to add a 2nd outer page table
 - But in following example the 2nd outer page table is still 2³⁴ bytes in size
 - Possibly 4 memory access to get to one physical memory location
 - hierarchical paging not appropriate for 64-bit addressing

 p_1

42

 p_2

10

d

12



Three-Level Paging Scheme

outer page	inner page	offset
p_1	p_2	d
42	10	12

2nd outer page	outer page	inner page	offset
p_1	p_2	p_3	d
32	10	10	12

8.69



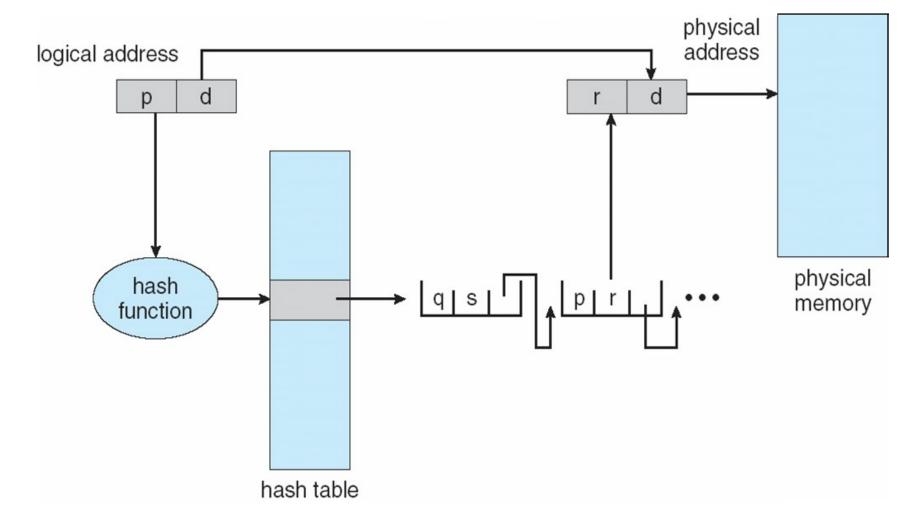


Hashed Page Tables

- Common in Address Spaces greater than 32 bits
- Virtual Page Number Hashed into a Page Table
 - This page table contains a chain of elements hashing to same location
- Each element contains (1) virtual page number (2) value of mapped page frame (3) a pointer to next element
- Virtual page numbers are compared in this chain searching for a match
 - If a match is found, corresponding physical frame is extracted



Hashed Page Table

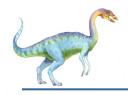






Inverted Page Table

- One Entry for each Real Page of Memory
 - Rather than each process having a page table and keeping track of all possible logical pages, track all physical pages
- Entry consists of virtual address of page stored in that real memory location, with information about process that owns that page
- Pros
 - Decreases memory needed to store each page table



Inverted Page Table (cont.)

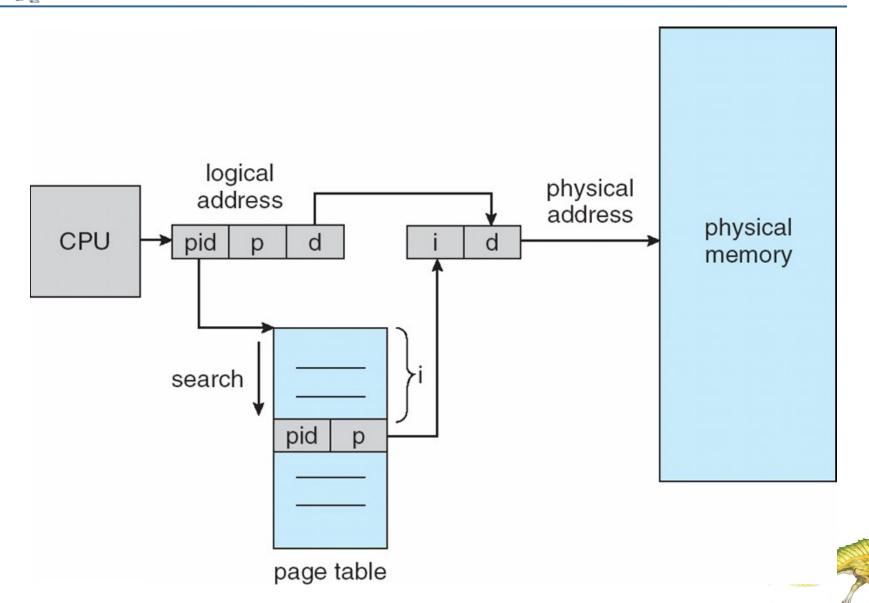
Cons

- Increases time needed to search table when a page reference occurs
 - Stored by physical addresses
- Use Hash Table to Limit Search to one or at most a few — page-table entries
 - TLB can accelerate access





Inverted Page Table Architecture





- Dominant Industry Chips
- Pentium CPUs are 32-bit
 - Called IA-32 architecture
- Current Intel CPUs are 64-bit
 - Called IA-64 architecture





Example: Intel IA-32 Architecture

- Supports both segmentation and segmentation with paging
 - Each segment can be 4GB
 - Up to 16K segments per process
 - Divided into two partitions
 - First partition of up to 8 K segments are private to process (kept in local descriptor table (LDT))
 - Second partition of up to 8K segments shared among all processes (kept in global descriptor table (GDT))





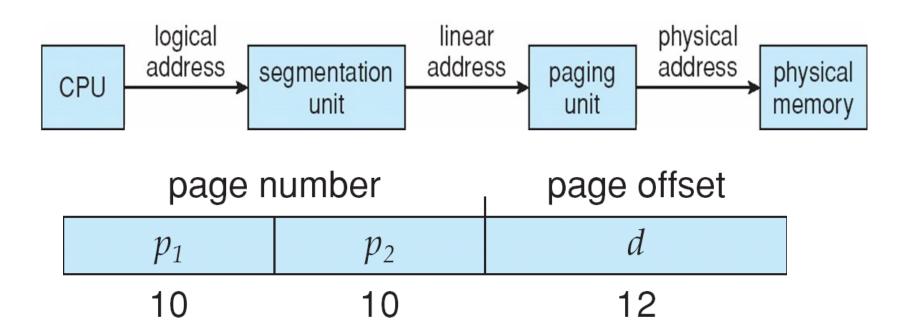
- CPU Generates Logical Address
 - Selector given to segmentation unit
 - Which produces linear addresses



- Linear address given to paging unit
 - Which generates physical address in main memory
 - Paging units form equivalent of MMU
 - Pages sizes can be 4KB or 4MB



Logical to Physical Address Translation in IA-32



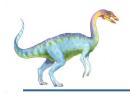




Reading Assignment

- Clustered Page Table
- Paging in Oracle SPARC Solaris
- Detailed Paging in IA-32 and IA-64





Hashed Page Tables (cont.)

- Variation for 64-bit Addresses is Clustered Page Tables
 - Similar to hashed but each entry refers to several pages (such as 16) rather than 1
 - Especially useful for sparse address spaces (where memory references are non-contiguous and scattered)





Oracle SPARC Solaris

- Consider modern, 64-bit OS example with tightly integrated HW
 - Goals are efficiency, low overhead
- Based on hashing, but more complex
- Two Hash Tables
 - One kernel and one for all user processes
 - Each maps memory addresses from virtual to physical memory
 - Each entry represents a contiguous area of mapped virtual memory
 - More efficient than having a separate hash-table entry for each page
 - Each entry has base address and span (indicating the



Oracle SPARC Solaris (cont.)

- TLB holds translation table entries (TTEs) for fast hardware lookups
 - A cache of TTEs reside in a translation storage buffer (TSB)
 - Includes an entry per recently accessed page
- Virtual address reference causes TLB search
 - If miss, hardware walks the in-memory TSB looking for the TTE corresponding to the address
 - If match found, the CPU copies the TSB entry into the TLB and translation completes
 - If no match found, kernel interrupted to search the hash table
 - The kernel then creates a TTE from the appropriate hash table and stores it in the TSB, Interrupt handler returns control to the MMU, which completes the address translation.



Example: ARM Architecture

- Dominant mobile platform chip (Apple iOS and Google Android devices for example)
- Modern, energy efficient, 32-bit CPU
- 4 KB and 16 KB pages
- 1 MB and 16 MB pages (termed sections)
- One-level paging for sections, two-level for smaller pages
- Two levels of TLBs
 - Outer level has two micro TLBs (one data, one instruction)
 - Inner is single main TLB
 - First inner is checked, on miss outers are checked, and on miss

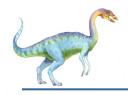
outer page inner page offset

4-KB
or
16-KB
page

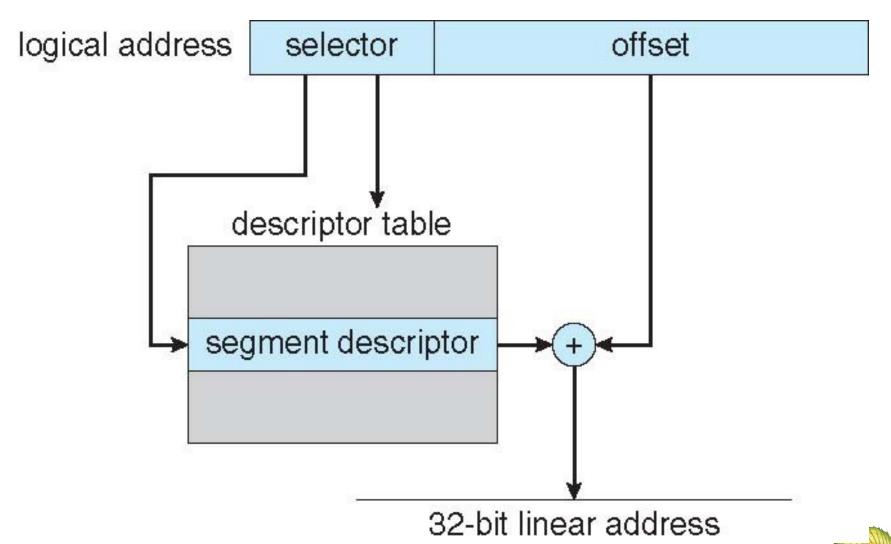
1-MB
or
16-MB
section

32 bits

Operating System Concepts – 9th Edition Walk performed by CPU silberschatz, Galvin and Gagne ©2013, Edited by H. Asadi, Fall 2024

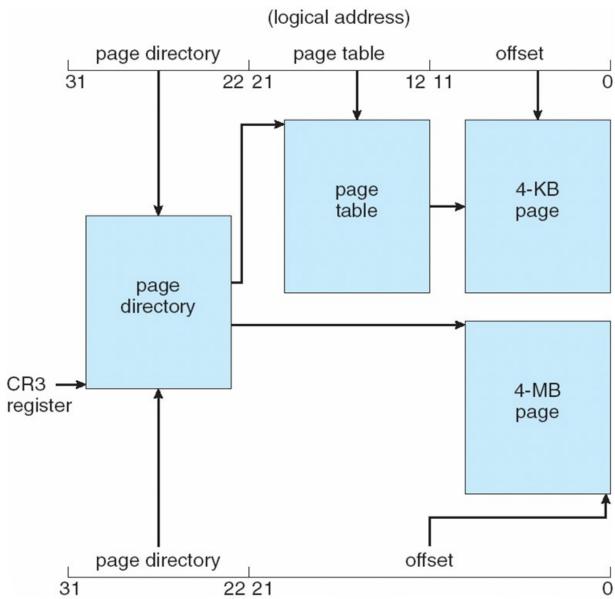


Intel IA-32 Segmentation





Intel IA-32 Paging Architecture



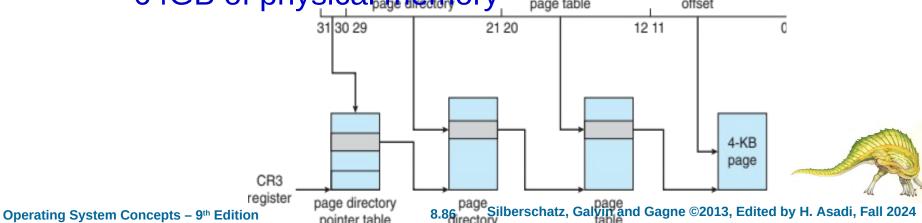


Intel IA-32 Page Address Extensions

- 32-bit address limits led Intel to create page address extension (PAE), allowing 32-bit apps access to more than 4GB of memory space
 - Paging went to a 3-level scheme

pointer table

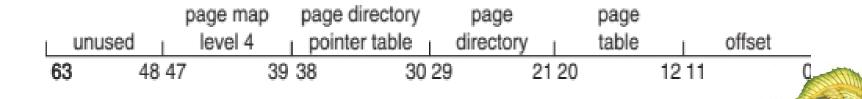
- Top two bits refer to a page directory pointer table
- Page-directory and page-table entries moved to 64bits in size
- Net effect is increasing address space to 36 bits 64GB of physical memory offset





Intel x86-64

- Current generation Intel x86 architecture
- 64 bits is ginormous (> 16 exabytes)
- In practice only implement 48 bit addressing
 - Page sizes of 4KB, 2MB, 1GB
 - Four levels of paging hierarchy
- Can also use PAE so virtual addresses are 48 bits and physical addresses are 52 bits



End of Lecture 6

