# Curve and surface modeling

– a CAGD approach based on OpenGL and C++ –

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#### An interactive description form of curves

In CAGD the most widespread description form of curves is

$$\begin{cases} \mathbf{c}: [a,b] \to \mathbb{R}^{\delta}, \ \delta \geq 2, \\ \mathbf{c}(u) = \sum_{i=0}^{n} \mathbf{p}_{i} F_{i}(u) \end{cases}$$

where  $n \ge 1$ , the vectors  $\mathbf{p}_i \in \mathbb{R}^{\delta}$  are called control points forming a control polygon  $P = [\mathbf{p}_i]_{i=0}^n$  and the continuous functions  $F_i$  are defined on the interval [a,b].

- If the functions  $F_i$  are properly chosen the resulted curve mimics the shapeer of the control polygon, i.e. the control polygon provides an intuitive tool for the designer.
- The most well-known curves of this type are the Bézier, rational Bézier B-spline and non-uniform rational B-spline (NURBS) curves.

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Closure for the affine transformation If

$$\sum_{i=0}^n F_i(u) \equiv 1, \forall u \in [a,b]$$

(i.e. the functions form the partition of the unity), then the shape of the curve is invariant under the affine transformation (e.g. rotation, translation) of its control polygon.

#### Proof

The curve is, by construction, invariant under linear transformations, i.e. if
 T : ℝ<sup>δ</sup> → ℝ<sup>σ</sup> is any linear transformation, then

$$T(\mathbf{c}(u)) = T\left(\sum_{i=0}^{n} \mathbf{p}_{i} F_{i}(u)\right) = \sum_{i=0}^{n} T(\mathbf{p}_{i}) F_{i}(u)$$

• Given any translation vector  $\mathbf{t} \in \mathbb{R}^{\delta}$ ,

$$\sum_{i=0}^{n} (p_i + t) F_i(u) = \sum_{i=0}^{n} p_i F_i(u) + t \sum_{i=0}^{n} F_i(u) = c(u) + t.$$

Invariance under linear transformations and under translations implies



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# Convex hull property

If in addition to the partition of the unity,

$$F_i(u) \ge 0, \forall u \in [a, b], (i = 0, 1, ..., n)$$

(i.e. the blending functions are positive), then the resulted curve will be in the convex hull of its control polygon.

#### Proof

In this case the sum

$$\sum_{i=0}^{n} \mathbf{p}_{i} F_{i}(u)$$

s a convex combination of control points  $\mathbf{p}_i$  for all values of  $u \in [a, b]$ .

Blending or normalized system

If the function system

$$F = \left\{ F_i : [a, b] \to \mathbb{R}^{\delta} \right\}_{i=0}^n$$

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# Variation diminishing

# This property is fulfilled, when no hyperplane can intersect the curve more times than its control polygon.

 In this case, the curve also preserves the convexity of its control polygon, i.e. if the control polygon of a plane curve is convex, then the curve forms the boundary of a convex domain in the plane.

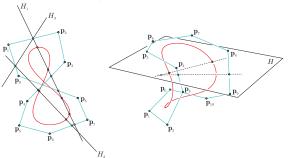


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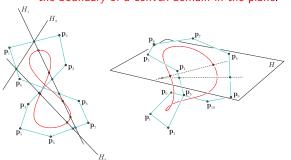


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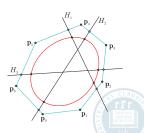


Fig. 2: Convexity preserving.

#### Proper function systems

Length of a polygon and of a curve Consider the length

$$L[Q] = L[\mathbf{q}_0, \mathbf{q}_1, \dots, \mathbf{q}_n] = \sum_{i=1}^n \|\mathbf{q}_i - \mathbf{q}_{i-1}\|$$

of a polygon  $Q=[\mathbf{q}_i]_{i=0}^n$ , and the length of a curve  $\mathbf{g}:[a,b]\to\mathbb{R}^\delta$  as the supremum of the lengths of all inscribed polygons

$$L[\mathbf{g}] = \sup_{a \le u_0 < u_1 < \dots < u_m \le b; \, m \in \mathbb{N}} L[\mathbf{g}(u_0), \mathbf{g}(u_1), \dots, \mathbf{g}(u_m)],$$

where  $\|\cdot\|$  denotes a norm in  $\mathbb{R}^{\delta}$ .

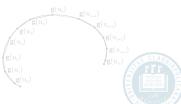


Fig. 3: Inscribed polygon.

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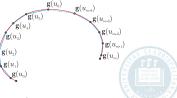


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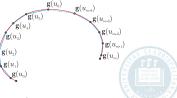


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Proper function systems

# Length diminishing

A system of functions

$$F = \{F_i : [a, b] \rightarrow \mathbb{R}\}_{i=0}^n$$

is length diminishing with respect to a norm  $\|\cdot\|$  if for any control polygon  $P = [\mathbf{p}_i]_{i=0}^n$  we have

$$L\left[\mathbf{c}(u)=\sum_{i=0}^{n}\mathbf{p}_{i}F_{i}(u)\right]\leq L\left[\mathbf{p}_{0},\mathbf{p}_{1},\ldots,\mathbf{p}_{n}\right]=L\left[P\right].$$



# Hodograph diminishing

Assume that the function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

is differentiable in [a, b].

We may define the curve

$$\dot{\mathbf{c}}(u) = \sum_{i=0}^{n} \mathbf{p}_{i} \dot{F}_{i}(u), \ u \in [a, b]$$

of tangent directions also known as the hodograph of the curve

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• The hodograph of the control polygon  $P = [\mathbf{p}_i]_{i=0}^n$  consists in the set of directions pointed by the vectors

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#### Proper function systems

• We can form the convex closed cone generated by all derivatives  $\dot{\mathbf{c}}(u)$ :

$$\mathsf{hhull}(\mathsf{c}) = \overline{\left\{\sum_{j=1}^m \lambda_j \dot{\mathsf{c}}(u_j) : \, \lambda_1, \dots, \lambda_m \geq 0, \, u_1, \dots, u_m \in [\mathsf{a}, \mathsf{b}], \, m \in \mathbb{N} \right\}}$$

also known as the hodographic hull of the curve c.

• The hodographic hull of the control polygon  $P = [\mathbf{p}_i]_{i=0}^n$  is the convex cone

$$\mathsf{hhull}(\mathsf{P}) = \left\{ \sum_{i=1}^n \mu_i(\mathsf{p}_i - \mathsf{p}_{i-1}) : \mu_1, \dots, \mu_n \ge 0 \right\}.$$

$$\mathbf{c}(u) + \dot{\mathbf{c}}(u)$$

$$\mathbf{p}_1 \quad \mathbf{p}_5$$

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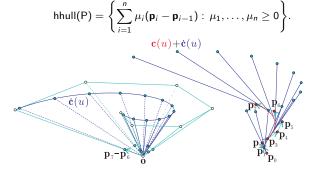


Fig. 4: Hodograph of the curve and of its control polygon.

- Observe that the hodographic hull of C<sup>1</sup> curves contains not only all tangent directions, but also all the directions formed by joining any two points of the curve.
- Moreover, hhull(c) coincides with the set

$$\left\{\sum_{j=1}^m \lambda_j \left(\mathbf{c}(u_j) - \mathbf{c}(v_j)\right) : \ \lambda_j \geq 0, \ u_j < v_j, \ 1 \leq j \leq m, \ m \in \mathbb{N}\right\}.$$

- This allows us to define the hodographic hull of any curve even if it is not differentiable.
- The function system

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# Preservation of monotonicity

In case of curve modeling it is required that the sense of the path tracing of the curve and the polygon agree.

The function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

is monotonicity preserving if

$$\lambda_0 \le \lambda_1 \le \dots \le \lambda_n \Rightarrow \sum_{i=0}^n \lambda_i F_i(u), \ u \in [a, b]$$

- is an increasing function
- If the function

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is strictly increasing for any strictly increasing sequence of coefficient then the system F is strict-monotonicity preserving.

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### Endpoint interpolation property

The function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

fulfills the endpoint interpolation property if

$$\mathbf{c}(a) = \sum_{i=0}^{n} \mathbf{p}_i F_i(a) = \mathbf{p}_0 \text{ and } \mathbf{c}(b) = \sum_{i=0}^{n} \mathbf{p}_i F_i(b) = \mathbf{p}_n.$$



Fig. 5: Endpoint interpolation property.

#### Proper function systems

Collocation matrix
Consider the subdivision points

$$a \le u_0 < u_1 < \cdots < u_n \le b$$

of the interval [a, b]. The collocation matrix of the function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

is

$$M\begin{pmatrix} F_0 & F_1 & \cdots & F_n \\ u_0 & u_1 & \cdots & u_n \end{pmatrix} = \begin{bmatrix} F_0(u_0) & F_1(u_0) & \cdots & F_n(u_0) \\ F_0(u_1) & F_1(u_1) & \cdots & F_n(u_1) \\ \vdots & \vdots & \ddots & \vdots \\ F_0(u_n) & F_1(u_n) & \cdots & F_n(u_n) \end{bmatrix}$$

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# Linear dependence

The function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

is called linearly dependent, if there exist real constants/scalars

$$\lambda_0, \lambda_1, \ldots, \lambda_n,$$

not all zero (i.e.  $\sum_{i=0}^{n} \lambda_i^2 \neq 0$ ), such that

$$\sum_{i=0}^n \lambda_i F_i(u) = 0, \forall u \in [a, b].$$

# Linear independence

If such scalars do not exist, then the function system F is linearly independent in this case the function system F forms a basis of a vector space of function

# Linear dependence

The function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

is called linearly dependent, if there exist real constants/scalars

$$\lambda_0, \lambda_1, \ldots, \lambda_n,$$

not all zero (i.e.  $\sum_{i=0}^{n} \lambda_i^2 \neq 0$ ), such that

$$\sum_{i=0}^n \lambda_i F_i(u) = 0, \forall u \in [a, b].$$

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• The vector space of polynomials of degree at most *n*:

$$\{1, u, u^2, \ldots, u^n : u \in \mathbb{R}\}.$$

• The vector space of polynomials of degree at most *n*:

$$\left\{ \binom{n}{i} u^i (1-u)^{n-i} : u \in [0,1] \right\}_{i=0}^n$$

• The vector space of trigonometric polynomials of order/degree at most n/2n:

$$\{1, \cos(u), \sin(u), \cos(2u), \sin(2u), \dots, \cos(nu), \sin(nu) : u \in [0, 2\pi]\}.$$

• The vector space of trigonometric polynomials of order/degree at most n/2n

$$\left\{ \frac{2^n}{\binom{2^n}{n}(2n+1)} \left( 1 + \cos\left(u - \frac{2i\pi}{2n+1}\right) \right)^n : u \in [0, 2\pi] \right\}_{i=0}^{2n}.$$

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### Proper function systems

## Examples for linearly independent function systems

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### Proper function systems

### Theorem

If the determinant of the collocation matrix

$$M\left(\begin{array}{cccc} F_0 & F_1 & \cdots & F_n \\ u_0 & u_1 & \cdots & u_n \end{array}\right)$$

is not zero for all subdivision points

$$a \leq u_0 < u_1 < \cdots < u_n \leq b$$

of the interval [a, b], then the function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

is linearly independent.



- By contradiction, suppose that F is linearly dependent. In this case  $\exists \lambda_0, \lambda_1, \dots, \lambda_n$  such that  $\sum_{i=0}^n \lambda_i^2 \neq 0$  and  $\sum_{i=0}^n \lambda_i F_i(u) = 0, \forall u \in [a, b]$ .
- Consider the arbitrarily fixed subdivision points:  $a \le u_0 < u_1 < \cdots < u_n \le b$ .
- By substituting the subdivision points  $u_j$  (j = 0, 1, ..., n) into the equation above, we get a system of linear equations, the matrix form of which is

$$\begin{bmatrix} F_0(u_0) & F_1(u_0) & \cdots & F_n(u_0) \\ F_0(u_1) & F_1(u_1) & \cdots & F_n(u_1) \\ \vdots & \vdots & \ddots & \vdots \\ F_0(u_n) & F_1(u_n) & \cdots & F_n(u_n) \end{bmatrix} \begin{bmatrix} \lambda_0 \\ \lambda_1 \\ \vdots \\ \lambda_n \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}.$$

#### collocation matrix

• Since det  $M \neq 0$ , it follows that only the trivial solution

$$\lambda_0 = \lambda_1 = \dots = \lambda_n = 0$$

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Consider the sequence of points

$$\mathbf{d}_i \in \mathbb{R}^{\delta} (j = 0, 1, \dots, n)$$

called data points and associated parameter values

$$a \leq u_0 < u_1 < \cdots < u_n \leq b.$$

We will refer to the sequence

$$\left\{\left(u_{j}, \mathbf{d}_{j}
ight)
ight\}_{j=0}^{n} \in \mathcal{M}_{1, n+1}\left(\left[a, b
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as nodes

• The task is to find control points  $\mathbf{p}_i \in \mathbb{R}^{\sigma}$   $(i=0,1,\ldots,n)$  for which the interpolation conditions

$$c(u_j) = \sum_{i=0}^n p_i F_i(u_j) = d_j, j = 0, 1, \dots, n$$

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Proper function systems

Example for interpolation



Fig. 6: Interpolation of data points

## Totally positive matrix and function system

- A matrix is called totally positive if the matrix and all its minors have non-negative determinants.
- The function system

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

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### Proper function systems

# Normalized totally positive basis If the function system

$$F = \{F_i : [a,b] \to \mathbb{R}\}_{i=0}^n$$

is totally positive, linearly independent and normalized, then we refer to system F as a normalized totally positive basis.

# Theorem (whitout proof)

$$F = \{F_i : [a, b] \to \mathbb{R}\}_{i=0}^n$$

- the basis F satisfies the convex hull property;
- the basis F is variation diminishing;
- the basis F preserves the convexity;
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### Remark

- The converse of the previous theorem is not true!
- It can be proved\* that the normalized basis

$$C = \left\{ C_i(u) = \frac{2^n}{\binom{2n}{n}(2n+1)} \left( 1 + \cos\left(u - \frac{2i\pi}{2n+1}\right) \right)^n : u \in [0, 2\pi] \right\}_{i=0}^{2n}$$

is not totally positive, but fulfills the (cyclic) variation diminishing property.

<sup>\*</sup>Á. Róth, I. Juhász, J. Schicho, M. Hoffmann, 2009. A cyclic basis for closed curve and surface modeling, Computer Aided Geometric Design, 26(5):528–546.

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### An interactive description form of surfaces

In CAGD the general form of surface description is

$$\begin{cases} \mathbf{s}: [a,b] \times [c,d] \to \mathbb{R}^3, \\ \mathbf{s}(u,v) = \sum_{i=0}^n \sum_{j=0}^m \mathbf{p}_{i,j} F_i(u) G_j(v), \end{cases}$$

where vectors  $\mathbf{p}_{i,j} \in \mathbb{R}^3$  are control points and they form a control net.

Function systems

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anc

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- fulfill requirements detailed for curves they can be of different types however in practice they are almost always the same.
- This type of surfaces is called tensor product surface.



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• This type of surfaces is called tensor product surface.



Surface modeling by means of control nets and function systems

(a) (b)

Fig. 7: (a) A torus. (b) A gyroscope.

Surface modeling by means of control nets and function systems

Fig. 8: (a) Another surface of revolution.

(b) A quartic non-orientable surface, also known as the Roman surface of Steiner.

Surface modeling by means of control nets and function systems

Fig. 9: A NURBS surface – the de facto standard modeling tool in CAGD.