



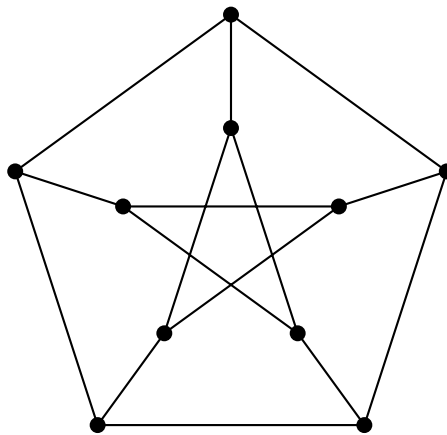
AUC

DEPARTMENT OF MATHEMATICS

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Graph Theory

Lecture Notes



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*"An die Professorin, der ich meine Wertschätzung nicht
zeigen konnte,
und an die Professorin, der ich es niemals vergelten kann."*

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CHAPTER 1

GRAPHS

1. THE BASICS

1.1 Recall

1. A **set** is merely an accumulation of objects. These objects are called **elements** of the set. If an object x is an element of S , we write $x \in S$. The set of all elements with a certain property P is denoted via $\{x \mid x \text{ has property } P\}$.
2. An n -**ary relation** R on a set A is a subset of the power set of A^n , i.e., $R \subseteq \mathcal{P}(A^n)$. If $n = 2$, we call the relation **binary**.

A binary relation R on a set A is called:

- (i) **symmetric** if $R(a, b)$ implies $R(b, a)$ for all $a, b \in A$.
- (ii) **asymmetric** if $R(a, b)$ implies $\neg R(b, a)$ for all $a, b \in A$.
- (iii) **antisymmetric** if $R(a, b) \wedge R(b, a)$ implies $a = b$ for all $a, b \in A$.
- (iv) **reflexive** if $R(a, a)$ for all $a \in A$.
- (v) **irreflexive** if $\neg R(a, a)$ for all $a \in A$.
- (vi) **transitive** if $R(a, b) \wedge R(b, c)$ implies $R(a, c)$ for all $a, b, c \in A$.

Definition 1.2

A **graph** $G = (V, E)$ is a pair of sets V and E s.t. E consists of subsets of V of size two. V is called the set of **vertices** and E the set of **edges**. A graph G is called **finite** if V is a finite set. The **order** $|G|$ of a graph $G = (V, E)$ is the cardinality of its vertex set, so $|G| = |V|$. The **size** $\|G\|$ of G is the cardinality of its edge set, $\|G\| = |E|$.

1.3 Visualisation

Let $G = (V, E)$ be a graph. We visualise vertices $u, v, \dots \in V$ by dots and edges $e = \{u, v\} \in E$ by the diagram:

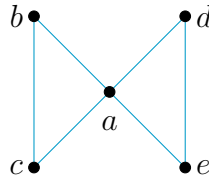


Example 1.4. *Bowtie Graph*

Let $G = (V, E)$ be the graph with $V = \{a, b, c, d, e\}$ and

$$E = \{\{a, b\}, \{a, c\}, \{a, d\}, \{a, e\}, \{b, c\}, \{d, e\}\}.$$

The graph G has order 5 and size 6. It can be visualized via:



This visualisation motivates its name: **bowtie graph**.

1.5 Notation

1. For a graph $G = (V, E)$ we may denote its vertex set by $V(G)$ or V_G for clarity.
2. Similarly, we often denote E by $E(G)$ or E_G .
3. We denote an edge $\{u, v\}$ simply by uv .
4. Edges are often called e, e_1, e_2, f, \dots , while vertices are called u, v, x, y, \dots .

Definition 1.6

Let $G = (V, E)$ be a graph.

1. If $uv \in E$ is an edge, then we say that u and v are **adjacent** or **neighbours**. If $uv \notin E$, we call u and v **nonadjacent**.
2. If $e = uv \in E$, we say that u and v are the **end vertices** of e or that they are **incident** with e .
3. The **neighborhood** $N(v)$ of a vertex $v \in V$ is the set of all vertices adjacent to v , i.e., $N(v) = \{u \in V \mid uv \in E\}$. The **closed neighborhood** $N[v]$ of v is $N[v] := N(v) \cup \{v\}$.
4. The **neighborhood** $N(S)$ of a set of vertices is defined as $N(S) := \bigcup_{v \in S} N(v)$. Similarly, the **closed neighborhood** $N[S]$ is set to be $N[S] := N(S) \cup S (= \bigcup_{v \in S} N[v])$.
5. The **degree** $\deg(v)$ of $v \in V$ is the number of edges incident with v , i.e., $\deg(v) := |\{e \in E \mid v \in e\}| = |N(v)|$.
6. The **maximum degree** $\Delta(G)$ of G is defined as

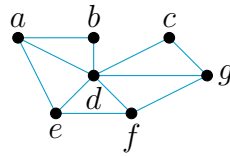
$$\Delta(G) := \max\{\deg(v) \mid v \in V\}.$$

Similarly, $\delta(G) := \min\{\deg(v) \mid v \in V\}$ is the **minimum degree** of G .

7. The **degree sequence** of a graph G is the sequence containing all degrees of the vertices of G (with repetition) in decreasing order.

Example 1.7.

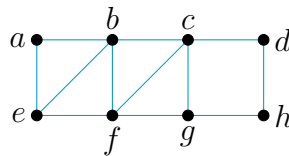
Consider G given by:



Then $\Delta(G) = 5$, $\delta(G) = 1$. $N(e) = \{a, d, f\}$, $N[b] = \{b, d\}$.

Example 1.8.

Consider G given via the diagram:



$|G| = 9$, size of G is 9. Degree sequence $(3, 3, 3, 2, 2, 2, 2, 1, 0)$.

Remark 1.9.

A graph can be considered as a set V together with a binary relation E on V which is symmetric and irreflexive.

1.10 Definition (Variants of Graphs)

1. If $G = (V, E)$ and we replace E with a set of ordered pairs, then we call G a **directed graph** or **digraph**.
2. If E is a multiset (iterations of the same elements are distinguished), then we call G a **multigraph**.
3. If we extend E by allowing loops, we call G a **pseudograph**.
4. If we allow edges to be arbitrary sets of vertices instead of 2-elementary ones, we call G a **hypergraph**.

1.11 Setting

In this lecture, unless otherwise stated, by a graph we mean a finite, simple graph with $|V| \geq 1$.

Definition 1.12

- The **complete graph** K_n for $n \geq 1$ is the graph consisting of n vertices such that any two vertices are adjacent.
- The **empty graph** E_n is the graph consisting of n vertices and no edges.

Theorem 1.13 The Handshaking Lemma

If $G = (V, E)$ is a graph, then

$$\sum_{v \in V} \deg(v) = 2|E|.$$

Proof. We proceed by induction on $n := |E|$. **n=0:** If $|E| = 0$, then $\deg(v) = 0$ for any $v \in V$, whence clearly $0 = \sum \deg(v) = 2|E| = 0$.

n → n+1: Assume (*) holds for any $G' = (V', E')$ with $|E'| = n$ (I.H.) and consider $G = (V, E)$ with $|E| = n + 1 (\geq 1)$ arbitrary. Let $e \in E$ arbitrary and consider $G' = (V, E \setminus \{e\})$. Then, if $e = uv$, we get $|E(G)| = |E(G')| + 1$ and

$$\deg_G(u) = \deg_{G'}(u) + 1 \quad \text{and} \quad \deg_G(v) = \deg_{G'}(v) + 1,$$

whence

$$\begin{aligned} 2|E(G)| &= 2|E(G')| + 2 \stackrel{\text{I.H.}}{=} \sum_{w \in V} \deg_{G'}(w) + 2 \\ &= \sum_{w \in V \setminus \{u, v\}} \deg_{G'}(w) + \deg_{G'}(u) + 1 + \deg_{G'}(v) + 1 \\ &= \sum_{w \in V} \deg_G(w), \text{ as desired.} \end{aligned}$$

□

Corollary 1.14

Any graph G has an even number of vertices of odd degree.

Proof. Exercise. □

Corollary 1.15

For any graph $G = (V, E)$ we have

$$\delta(G) \leq 2 \frac{|E|}{|V|} \leq \Delta(G).$$

Proof.

$$|V| \cdot \delta(G) = \sum_{v \in V} \delta(G) \leq \sum_{v \in V} \deg(v) \leq \sum_{v \in V} \Delta(G) = |V| \Delta(G)$$

Using Theorem 1.13, $\sum \deg(v) = 2|E|$. Dividing by $|V|$ yields the result. □

Lemma 1.16

If $|G| \geq 2$, then G contains at least two vertices of the same degree.

Proof. If G has two vertices of degree 0, then we are done. Otherwise, we may assume that G has none. If $|V| = n$, and $v \in V$, then $1 \leq \deg(v) \leq n - 1$. Note that this leaves us with $n - 1$ choices of degrees for n many different vertices. Hence, at least two vertices must have the same degree. □

Remark 1.17.

The above line of thought is called the **pigeon hole principle**. If there are n many pigeons wanting to fit into $n - 1$ many holes, then at least two of them have to cuddle up in the same hole.

Definition 1.18

1. The **path** P_n is the graph on n vertices v_1, \dots, v_n with the edge set $E(P_n) = \{v_i v_{i+1} \mid 1 \leq i < n\}$.
2. The **cycle** C_n is the graph on n vertices with edge set $E(C_n) = \{v_i v_{i+1} \mid 1 \leq i < n\} \cup \{v_n v_1\}$.
3. Let $G = (V, E)$ be an arbitrary graph. The **complement** \overline{G} of G is the graph $\overline{G} = (V, \overline{E})$, where $\overline{E} = \{uv \mid u, v \in V, uv \notin E\}$.

Definition 1.19

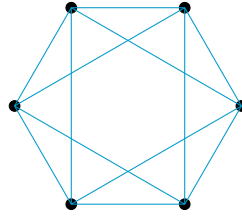
We call a graph G **regular** if any of its vertices has the same degree. If this degree is r , we say that G is **r -regular**.

Remark 1.20.

1. A graph G is regular iff $\delta(G) = \Delta(G)$.
2. K_n is $(n - 1)$ -regular and E_n is 0-regular.
3. An r -regular graph of order n has $\frac{1}{2}nr$ many edges.

Example 1.21.

The graph below is 4-regular of order 6.



2. SUBGRAPHS

Definition 1.22

1. A graph H is called a **subgraph** of some graph G , written $H \subseteq G$, if $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$. We also say G **contains** H .
2. If $H \subseteq G$, we say that H is an **induced subgraph** of G , written $H \sqsubseteq G$, if $E(H) = \{uv \in E(G) \mid u, v \in V(H)\}$.

Remark 1.23.

1. $H \subseteq G$ is induced if for any two vertices in H we have: If they are adjacent in G , then they are adjacent in H .
2. Every induced subgraph is a subgraph but not vice versa.
3. If G is a graph and $S \subseteq V(G)$, then there is only one induced subgraph $H \sqsubseteq G$ with vertex set S , i.e. $V(H) = S$. We denote this graph by $\langle S \rangle$ and call it the subgraph of G induced by S .

Example 1.24.

Consider G given as... (Graph illustrations of subgraphs vs induced subgraphs).

3. WALKS IN GRAPHS

Definition 1.25

A (v_0, v_k) -**walk** in a graph is a sequence of vertices (v_0, v_1, \dots, v_k) s.t. any two consecutive vertices v_i and v_{i+1} are adjacent. We call the edges $\{v_0v_1, v_1v_2, \dots, v_{k-1}v_k\}$ the **edges of the walk**. We say that the walk is **closed** if $v_0 = v_k$. The **length** of a walk is the number of edges in it (counting repetition).

Definition 1.26

We distinguish the following types of walks:

- A **trail** is a walk whose edges are pairwise distinct.
- A **circuit** is a closed walk whose edges are pairwise distinct.
- A **path** is a walk whose vertices are distinct.
- A **cycle** is a closed walk $(v_0, \dots, v_k = v_0)$ with $k \geq 3$ and whose vertices v_0, \dots, v_{k-1} are pairwise distinct.

Example 1.27.

Consider G via... (Examples of walks, trails, paths).

Lemma 1.28

If $\delta(G) \geq 2$, then G contains a cycle as a subgraph.

Proof. Let $P = (v_0, \dots, v_k)$ be a path in G of maximal length. This exists, as G is finite. Further, as $\delta(G) \geq 2$, we get $k \geq 2$. As $\deg(v_0) \geq \delta(G) \geq 2$, v_0 has at least two neighbors. One of them is v_1 . Let us denote the other one by u . If $u \neq v_i$ for all $1 \leq i \leq k$, then $\tilde{P} = (u, v_0, v_1, \dots, v_k)$ is still a path and of greater length than P , contradicting our assumptions. Hence, $u = v_i$ for some $1 \leq i \leq k$. But then the sequence $(v_0, v_1, \dots, v_i = u, v_0)$ is the desired cycle subgraph of G . \square

Corollary 1.29 Contrapositive

If G does not contain any cycles, then $\delta(G) \leq 1$.

Theorem 1.30

Every uv -walk in a graph contains a uv -path.

Proof. We proceed by strong induction on the length $n \geq 1$ of the walk. **I.B. $n=1$.** If the uv -walk is of length one, then it is exactly (u, v) , which is also a path. **I.S.** Assume every uv -walk of length at most $n \geq 1$ contains a uv -path (I.H.). Assume there is a uv -walk $W = (u = w_0, w_1, \dots, w_n, w_{n+1} = v)$ of length $n + 1$. If W is already a path, we are done. Otherwise there are i, j s.t. $0 \leq i < j \leq n + 1$ and $w_i = w_j$. But

then the walk \tilde{W} which arises from W by deleting the vertices $w_{i+1}, \dots, w_{j-1}, w_j$, i.e. $\tilde{W} = (u = w_0, \dots, w_i, w_{j+1}, \dots, w_{n+1} = v)$ is still a uv -walk, but of length at most n . Using I.H., we know that \tilde{W} contains a uv -path, whence also W contains (the same) uv -path. \square

4. CONNECTIVITY

Definition 1.31

A graph is **connected** if there exists an uv -path in G for any vertices $u, v \in V(G)$. Otherwise, it is called **disconnected**.

Definition 1.32

A **connected component** of G is a maximal connected induced subgraph of G . i.e. $C \subseteq G$ is a connected component iff (i) C is connected and (ii) for any $v \in V(G) \setminus V(C)$ the induced subgraph on $V(C) \cup \{v\}$ is **not** connected.

Remark 1.33.

G is connected iff it has exactly one connected component.

Definition 1.34 Vertex and Edge Deletion

Let G be a graph, $S \subseteq V_G$ and $T \subseteq E_G$.

1. By $G - S$ we denote the graph arising from G by removing from V_G all vertices in S and their incident edges.
2. If $S = \{v\}$, we write $G - v$.
3. By $G - T$ we denote the graph arising from G by removing only the edges in T , but no vertices.
4. If $T = \{e\}$, we write $G - e$.

Example 1.35.

Consider G as given below... (Examples of cut vertices and bridges).

Definition 1.36

Let G be a graph.

1. We call $v \in V_G$ a **cut vertex** if $G - v$ has more connected components than G itself.
2. We call $e \in E_G$ a **bridge** if $G - e$ has more connected components than G itself.
3. We call $S \subseteq V_G$ a **cut set** if $G - S$ is disconnected.
4. A connected graph which does not contain any cut vertices is called **non-separable**.

1.37 Observation

1. If G is connected then v is a cut vertex of G iff $\{v\}$ is a cut set.
2. The vertex v is a cut vertex iff there are vertices u and w , different from v s.t. every uw -path uses v .
3. A graph has no cut sets iff it is a complete graph.

Definition 1.38

For a non-complete graph G , we define its **connectivity** $\kappa(G)$ as the minimal size of a cut set. For K_n , we set $\kappa(K_n) = n - 1$.

Lemma 1.39

If G is a non-separable graph of order at least 3, then $\delta(G) \geq 2$ and every vertex of G is contained in a cycle.

Proof. Consider G non-separable with $|G| \geq 3$. By definition, G is connected, i.e. $\delta(G) \geq 1$. First we show that $\delta(G) \geq 2$. Otherwise, we have $\delta(G) = 1$, i.e. there is some vertex v s.t. $\deg(v) = 1$. Let u be the unique neighbor of v and w any other vertex of G (which exists as $|G| \geq 3$). Then clearly any vw -path must use the unique neighbor u of v , whence u is a cut vertex. This contradicts the fact that G is inseparable. Hence, $\delta(G) \geq 2$, as desired. \square

Definition 1.40

We say that G is **k -connected** if $\kappa(G) \geq k$.

Lemma 1.41

The following hold:

1. G is connected iff $\kappa(G) \geq 1$.
2. G is 1-connected iff G is connected.
3. G is 2-connected iff G is connected and has no cut vertices.
4. G is 2-connected iff G is non-separable.
5. $|G| > \kappa(G)$.
6. $\kappa(G) \leq \delta(G)$.

Proof. 8) Assume $\kappa(G) > \delta(G)$ and let $v \in V_G$ s.t. $\deg(v) = \delta(G)$. Note that $|G| > \kappa(G) > \delta(G) = |N(v)|$, whence $G - N(v)$ contains at least one vertex besides v . But clearly, $G - N(v)$ is disconnected (as $\deg_{G-N(v)}(v) = 0$). Hence, $N(v)$ is a cut set and $\kappa(G) \leq |N(v)| = \delta(G)$, contradicting the assumptions. \square

5. BIPARTITE GRAPHS

Definition 1.42

A graph G is called **bipartite** if we can partition the vertex set V_G into two disjoint sets $V_G = X \cup Y$ s.t. every edge of G has one end vertex in X and the other in Y .

Example 1.43.

Consider... (Bipartite graph example).

Remark 1.44.

A graph G is bipartite if and only if we can color the vertices of G with two colors s.t. the end vertices of each edge have different colors.

Definition 1.45

Let $m, n \in \mathbb{Z}_+$. The **complete bipartite graph** $K_{m,n}$ is the bipartite graph with $X = \{x_1, \dots, x_m\}$, $Y = \{y_1, \dots, y_n\}$, $V_G = X \cup Y$ and $E_G = \{xy \mid x \in X, y \in Y\}$.

Example 1.46.

$K_{1,3}$, $K_{4,2}$, $K_{3,3}$.

Theorem 1.47

A graph is bipartite iff it does not contain odd cycles.

Proof. " \Rightarrow ": Assume G is bipartite and nevertheless there is a cycle of odd length, say $(x_0, x_1, \dots, x_{2k}, x_{2k+1} = x_0)$. By Remark 1.44, we can color V_G in two colors, $C1$ and $C2$. If x_0 has color $C1$, x_1 has color $C2$, whence x_2 has color $C1$. That way we see that the color of x_i is $C1$ if i is even and $C2$ if i is odd. Following that logic, x_{2k+1} should have color $C2$, but $x_{2k+1} = x_0$ has color $C1$, a contradiction.

" \Leftarrow ": Now consider that G does not contain odd cycles. We will show that G is bipartite by providing a partition. We may assume that G is connected as otherwise we work component per component. Pick $v \in V_G$ arbitrary and define

$$X = \{w \in V_G \mid \text{the shortest } vw \text{ path has even length}\}$$

$$Y = \{w \in V_G \mid \text{the shortest } vw \text{ path has odd length}\}.$$

Clearly X and Y are disjoint. We will show that there are no adjacent vertices in X or Y respectively. Note that $v \in X$. Aiming for a contradiction, assume there are vertices $w_1, w_2 \in X$ which are adjacent. Let P_1 and P_2 be the shortest $v - w_1$ and $v - w_2$ paths. We construct a cycle using P_1 , the edge $w_1 w_2$, and P_2 . The length of this cycle is $\text{len}(P_1) + 1 + \text{len}(P_2) = \text{even} + 1 + \text{even} = \text{odd}$. This contradicts our assumption. \square

6. GRAPH ISOMORPHISMS

Definition 1.48

We say that a graph G is **isomorphic** to a graph H if there exists a bijection $\varphi : V_G \rightarrow V_H$ s.t. for any $u, v \in V_G$ we have that $\{u, v\} \in E_G$ if and only if $\{\varphi(u), \varphi(v)\} \in E_H$. Then, the map φ is called an **isomorphism** and we write $G \cong H$.

Remark 1.49.

Let $G \cong H$ via $\varphi : V_G \rightarrow V_H$. Then:

1. $|V_G| = |V_H|$ and $|E_G| = |E_H|$ and $\overline{G} \cong \overline{H}$.
2. The degree sequence of G equals the degree sequence of H .
3. G is connected iff H is connected.
4. $\deg_G(v) = \deg_H(\varphi(v))$ for all $v \in V_G$.