

*Democratic and Popular Republic of Algeria*  
*Ministry of Higher Education and Scientific Research*



*Ecole supérieure en sciences et technologies de  
l'informatique et du numérique*

## Sequential structures : Part 1

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# File structures

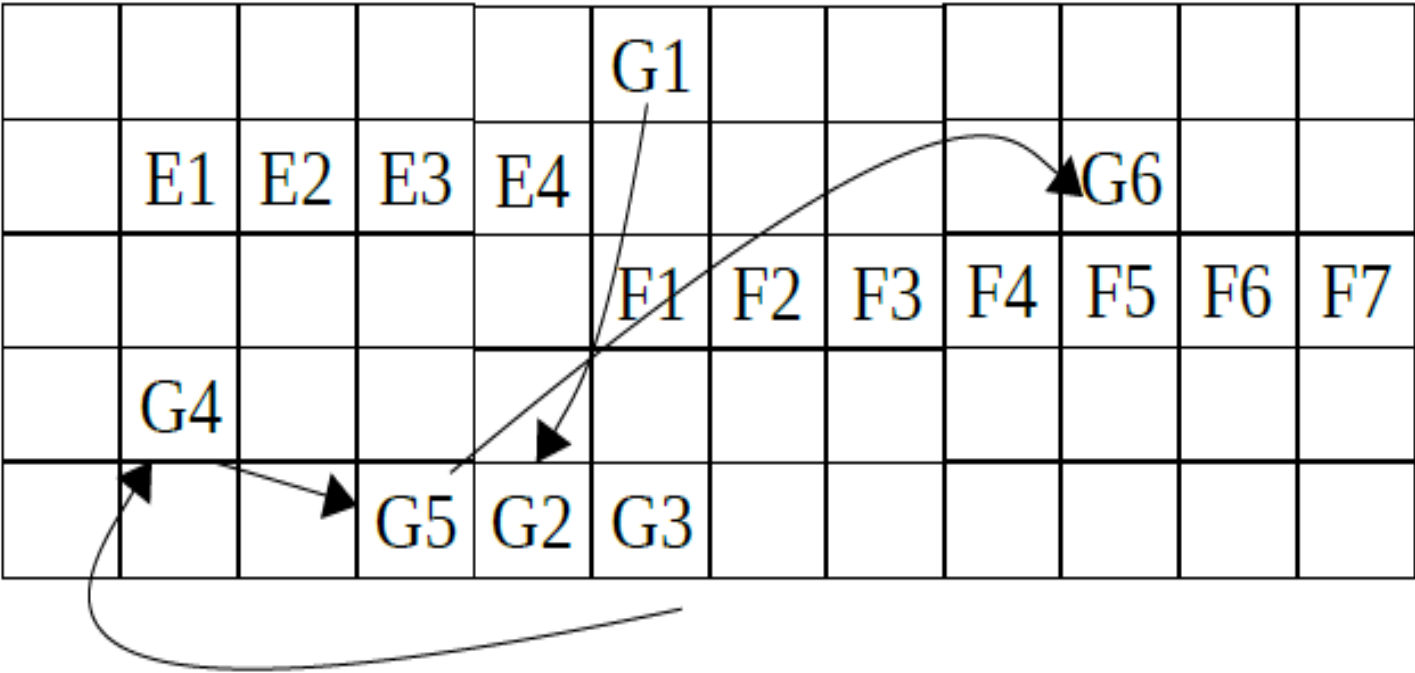
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File structures are the combination of:

- The organization of data within files
- The methods of file access

The goal is to define and design data structures and associated algorithms to organize the blocks of a file on storage media (SM) and to implement certain access operations for data manipulation within the file.

# File modeling



# Performance of file structures

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- Number of physical Input/Output operations performed: the algorithmic complexity is directly related to the number of Input/Output operations.
- Another performance criterion concerns memory space usage:
- Memory overhead:  $\Rightarrow \text{Size of the data structures used} / \text{Size of the data store} \geq 100\%$  (the smaller the ratio, the more efficient it is)
- Loading factor:  $\Rightarrow \text{Number of data inserted} / \text{Number of available slots} \in [0\%, 100\%]$  (the larger the ratio, the better)

# Organization methods

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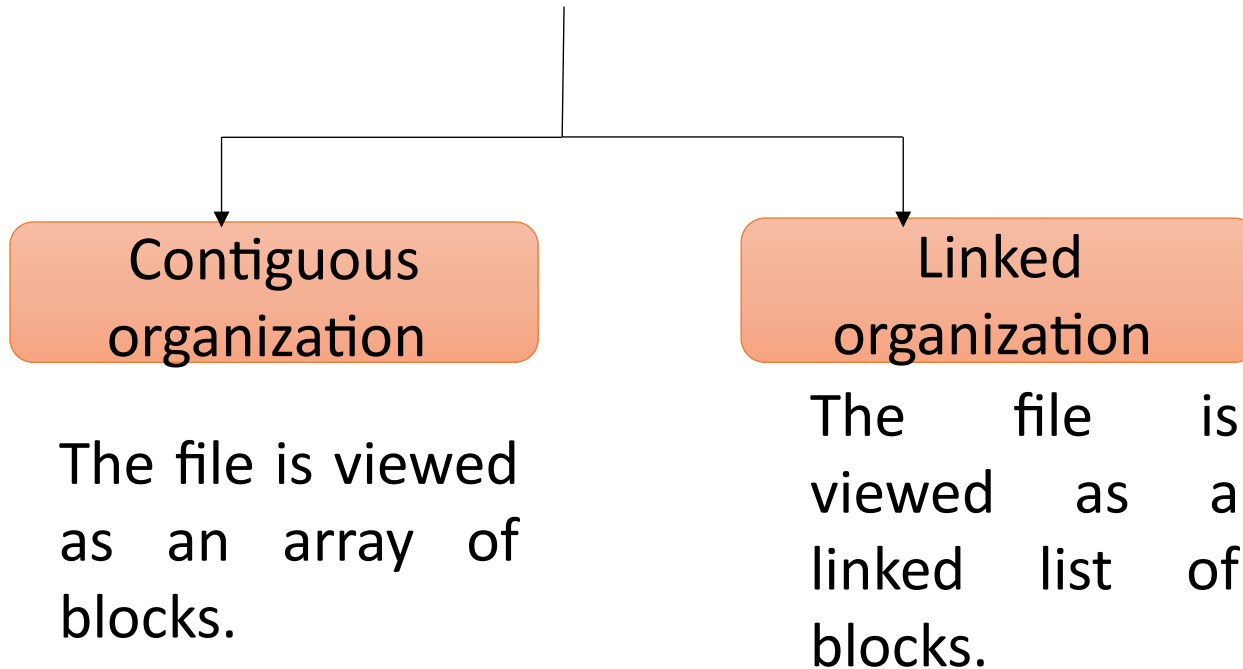
The organization of files refers to how data is stored within a file. File organization is crucial as it determines access methods, efficiency, flexibility, and the types of storage devices to be used. There are four methods of file organization on a storage medium, which include:

- Sequential organization
- Indexed organization
- Hierarchical organization (tree methods)
- Random organization (hashing methods)

# Global organization of blocks

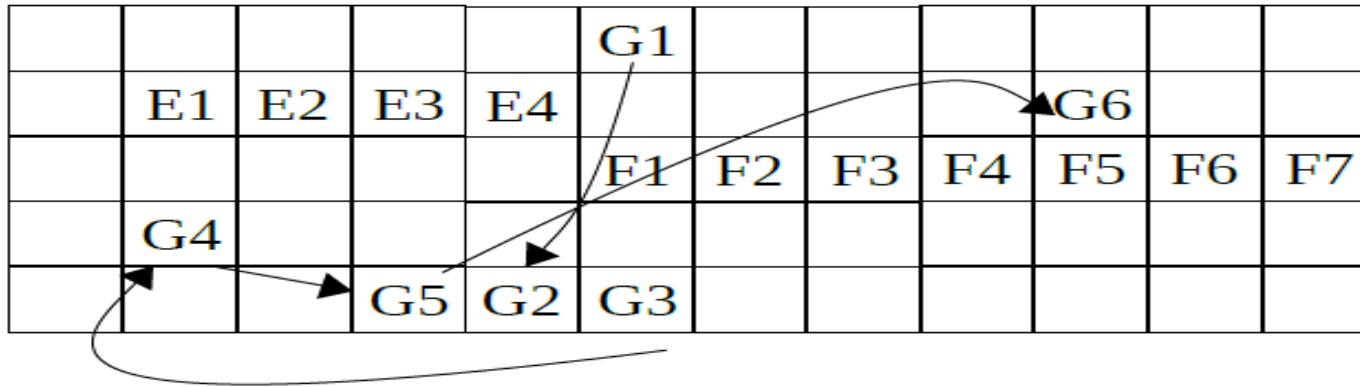
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Two types of block organization



# Global organization of blocks

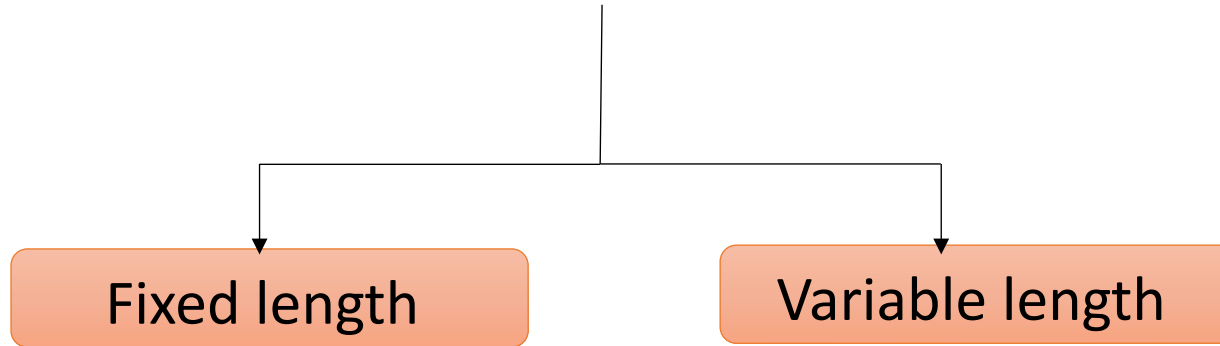
In the figure below, we have two files viewed as arrays (E and F) and one file viewed as a list (G). The blocks F1, F2, ... F7 are contiguous, as are the blocks E1, E2, E3, and E4. However, the blocks G1, G2, ... G6 are not contiguous; they are linked together, forming a list of blocks



# Internal organization of blocks

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A block contains records.



# Internal organization of blocks

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## Fixed-length records

Each block can then contain an array of records of the same type

**Type Tenreg = structure** // Structure of a file record

```
matricule : chaine(10);  
nom : chaine(20);  
age : entier;  
...  
fin;
```

**Type Tbloc = structure** // Structure of a file block

```
tab : tableau[1..b] de Tenreg;  
NB : entier;  
fin;
```

# Internal organization of blocks

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## Variable-length records

Each record is viewed as a string of characters (of variable length).

- ➔ It has one or more fields with variable sizes,
- ➔ the number of fields varies from one record to another.

To separate the fields within the record, we can either use a special character ('#') that cannot be used as a valid value or prefix the beginning of the fields with their size (over a fixed number of positions).

# Internal organization of blocks

## Variable-length records

In the example below, we use 3 positions to indicate the size of the fields

...|0|2|7|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|a|0|1|7|b|b|b|b|b|b|b|b|b|b|b|b|b|b|b|b|0|  
3|7|c|c|c|.....|c|c|.....

A field with 27 characters and a field with 17 characters... In the case of variable-length records, the block cannot be defined as an array of records, because the elements of an array must always be of the same size.

# Internal organization of blocks

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## Variable-length records

Type Tbloc = structure

**tab : tableau[1..b'] de caractères; // Character array for records**

**suiv : entier; // Number of the next block in the list**

**Fin;**

Note: Even though the records are of variable lengths, the size of the blocks remains fixed.

# Internal organization of blocks

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## Overlapping

To minimize wasted space in blocks (in the case of variable format only), one can opt for an organization with overlapping between two or more blocks: when inserting a new record into a block that is not yet full and where the remaining empty space is not sufficient to fully contain that record, it will be split into two parts. The first part will occupy all the empty space of the block in question, while the remaining part (the second part) will be inserted into a newly allocated block for the file. This is referred to as the record being 'split across two blocks.

# Simple file structures

## Taxonomy of simple file structures

### Notation:

T : For a file viewed as an array (tableau), use L: for a file viewed as a list

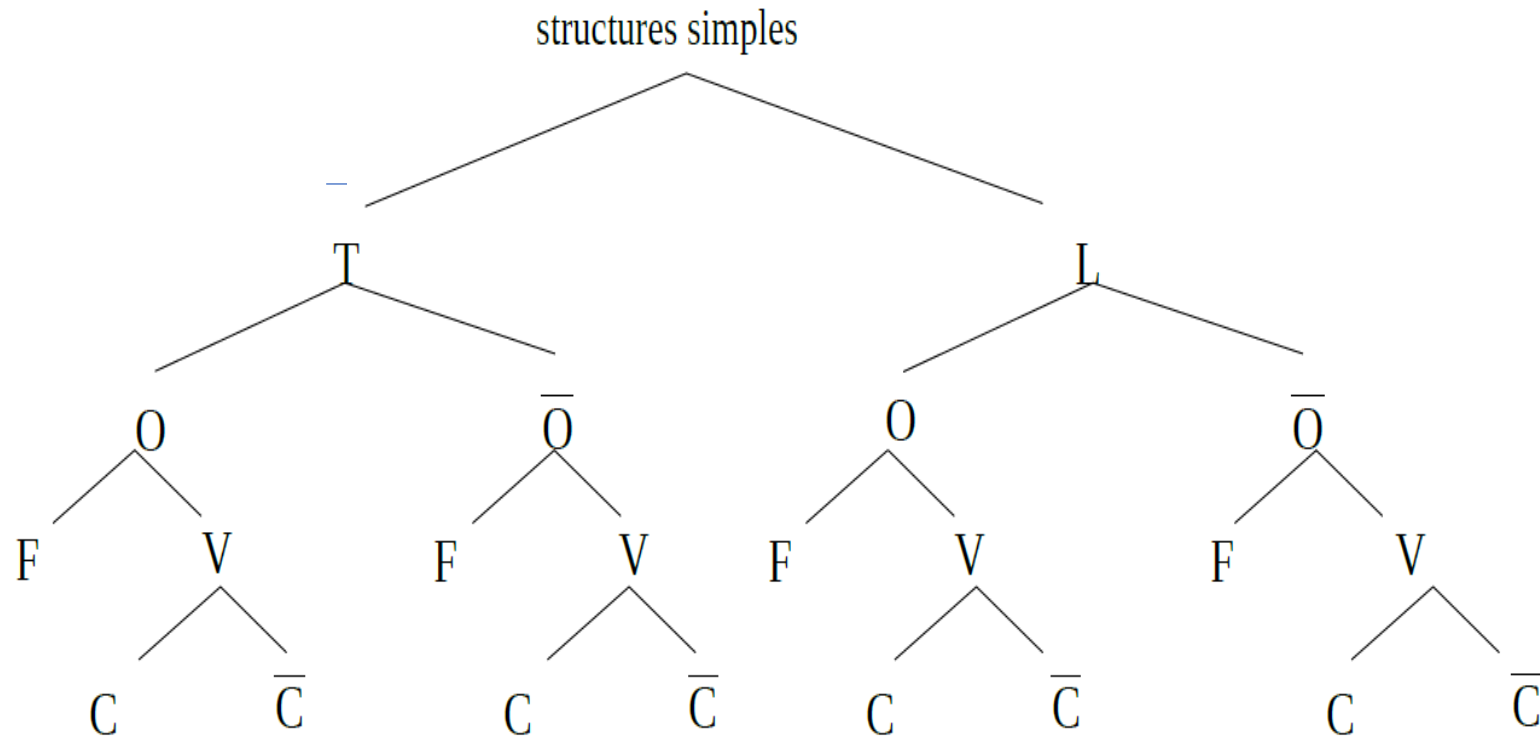
O: for ordered file,  $\bar{O}$ : for unordered file.

F: for fixed record format, V: for variable record format.

C: with overlapping records between blocks, :C without overlapping. ( C chevauchement)

# Simple file structures

## Taxonomy of simple file structures



# Simple file structures

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## Examples :

### T O V C method :

- Represents the organization of a file viewed as an array (T), unordered (O), with variable-length records (V) and allowing overlaps between blocks (C).
- The search is sequential, insertion occurs at the end of the file, and deletion is logical.

### LOF method:

- File viewed as a list, ordered with fixed-length records.
- The search is sequential,
- The insertion causes intra-block shifts (to maintain the order of the records), and deletion can be either logical or physical.

# TÖF Example : Initial loading

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```
TypeBloc = struct
    tab : tableau[b] de TypeEnreg
    NB : entier
Fin
```

```
F : FICHER de TypeBloc BUFFER buf ENTETE ( entier , entier ) /* nb_bloc ,
nb_enreg */
e : TypeEnreg ;
i, j, k, n : entier ;
Debut
OUVRIR ( F , « fichier.dat », 'N' ) // un nouveau fichier
Lire(n) ; i ← 1 ; j ← 1 // le remplir avec n enreg
```

# TōF Example : Initial loading

**Pour**  $k = 1, n$

Lire(e) // récupérer un enreg de l'entrée standard

**SI** ( $j \leq b \cdot 0.7$ )

$\text{buf.tab}[j] \leftarrow e ; j++$  // et le mettre dans le buffer buf

**SINON** // s'il n'y a pas de place dans buf,

$\text{buf.NB} \leftarrow j-1 ;$

**ECRIREDIR**( F , i , buf ) ; // le sauvegarder en MS et

$\text{buf.tab}[1] \leftarrow e ;$

$i++ ;$

$j \leftarrow 2$  // remplir un nouveau

**FSI**

**FP**

$\text{buf.NB} \leftarrow j-1$

**ECRIREDIR**( F , i , buf ) // écriture du dernier bloc

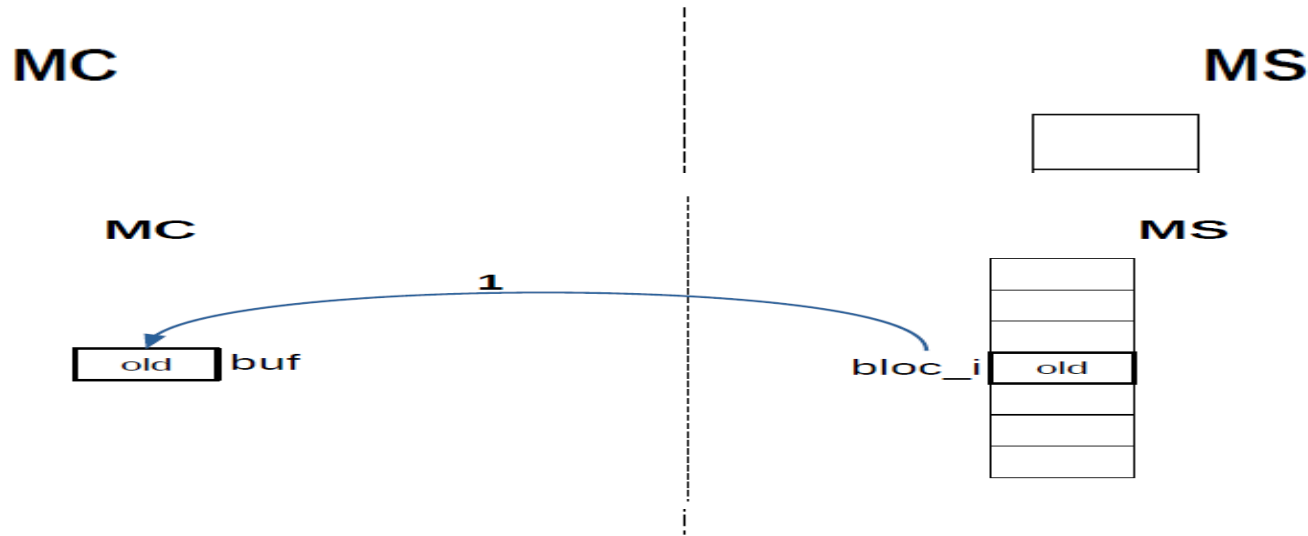
**AFF\_ENTETE**( F , 1 , i ) ; **AFF\_ENTETE**( F , 2 , n ) ; // m-a-j les caractéristiques

**FERMER**( F ) // et fermer le fichier

# TÖF Example : Initial loading

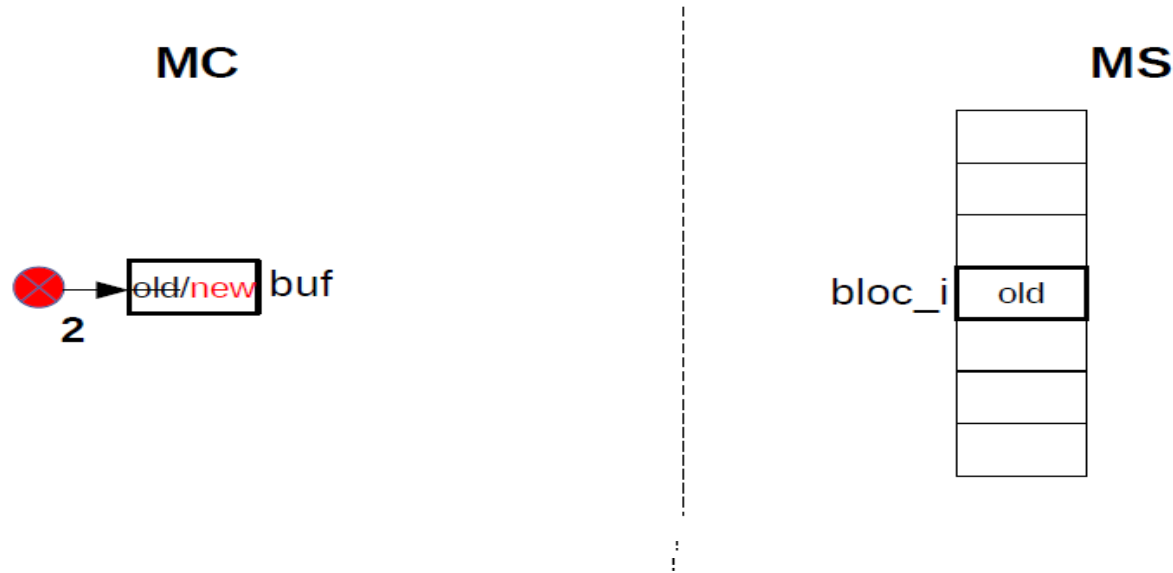
To modify the content of a block, you need to:

- 1- Load the content of the block into memory (in a buffer).  $\Rightarrow \text{LireDir}(F, i, \text{buf})$



# TÖF Example : Initial loading

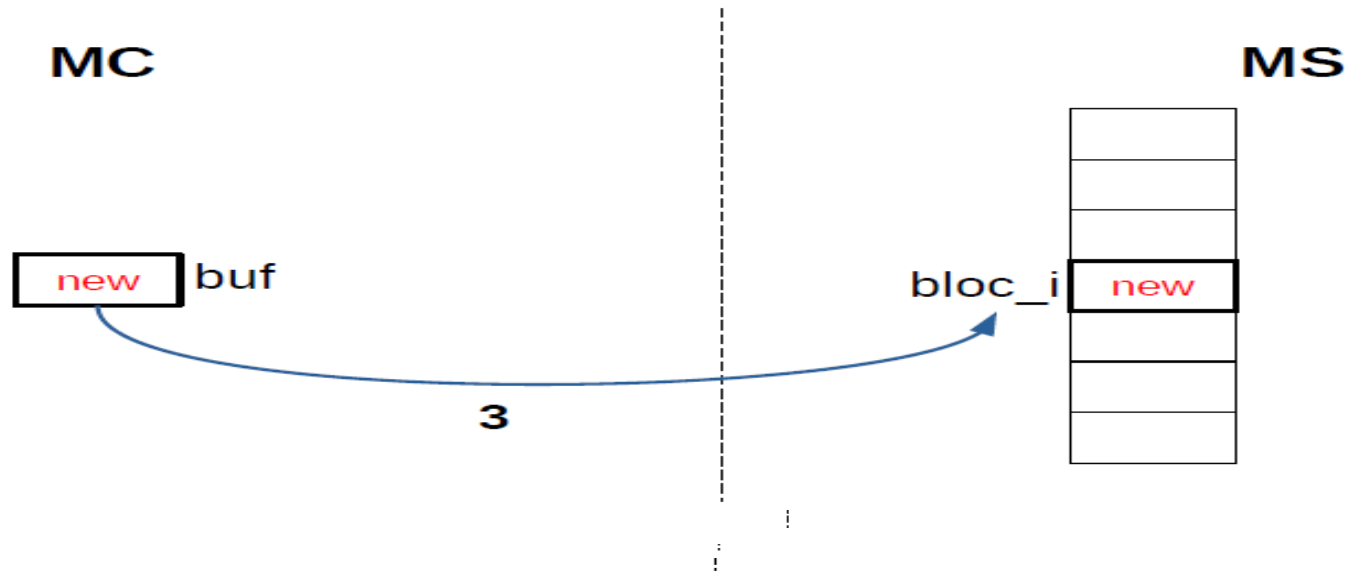
2- Make the modifications in the buffer (in MC)  $\Rightarrow$  *buf.tab[ ]*  $\leftarrow$  ... ; *buf.NB*  $\leftarrow$  ...



# TÖF Example : Initial loading

3- Write the modified content of the buffer back into the block in MS  $\Rightarrow$

*EcrireDir( F, i, buf )*



# TÖF Example : Initial loading

⇒ Insert the record **e4** into block number *i* of *F*.

**OUVRIR**( *F* , « ... » , 'A' )

...

**LIREDIR**( *F* , *i* , *buf* ) // 1. Read the content of block *i* into memory.

SI ( *buf*.NB < Capacité\_max )

*buf*.NB++ //2. Update the buffer in MC

*buf*.tab[ *buf*.NB ] ← **e4** // the field NB and the array tab

**ECRIREDIR**( *F* , *i* , *buf* ) //3. Write the content of the buffer into block *i* in MS

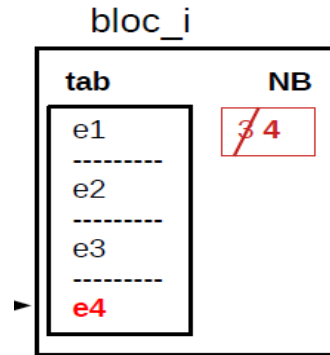
SINON

    écrire(« Cannot insert into block *i* : it is already full »)

FSI

...

**FERMER**( *F* )



# T̄OF Example : Physical deletion

Physical deletion of a record by overwriting it with the last one (Using 2 buffers, buf1 and buf2 in MC).

To physically delete the record with key *c*, you need to:

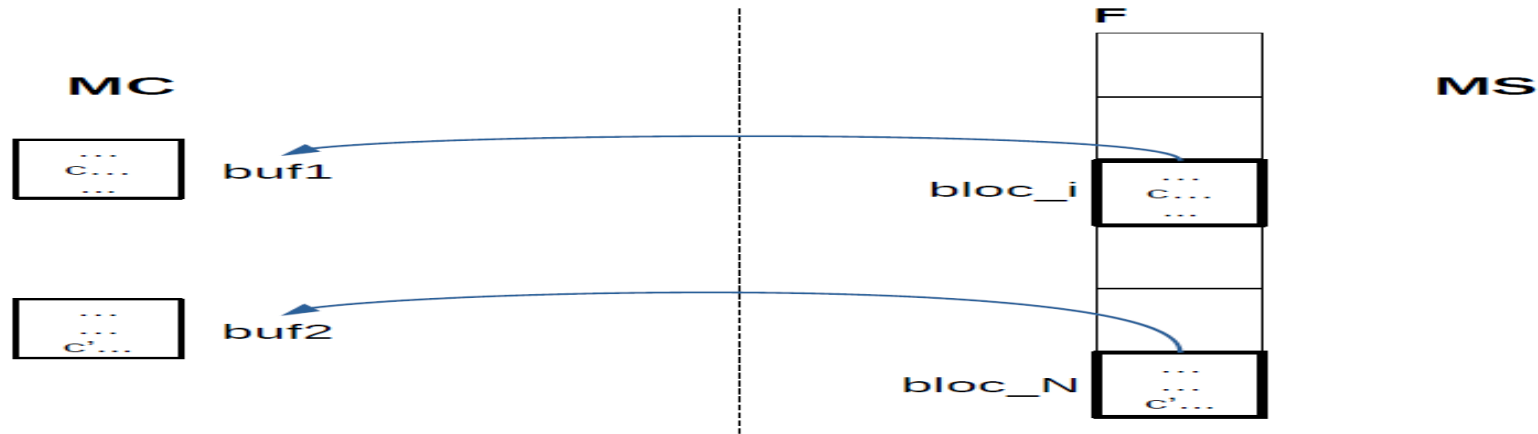
Locate the number (*i*) of the block containing the record *c*  $\Rightarrow$  for example, by searching for *c*.



# TÖF Example : Physical deletion

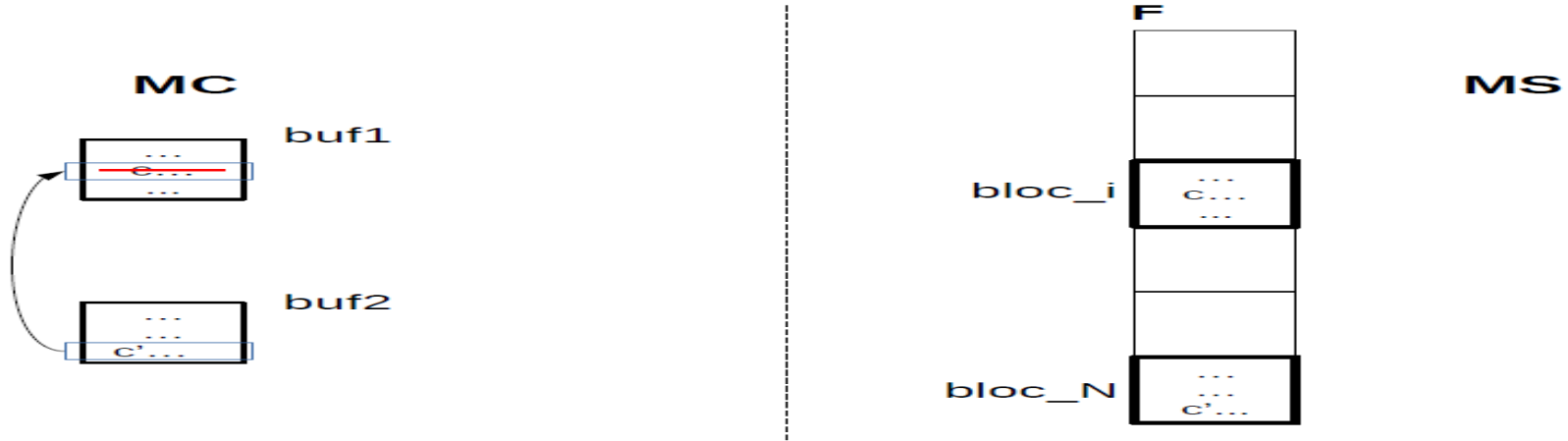
Retrieve the number (N) of the last block in file F  $\Rightarrow N \leftarrow \text{Entete}(F,1)$

Read blocks i and N into MC  $\Rightarrow \text{lireDir}(F, i, \text{buf1}); \text{EcrireDir}(F, N, \text{buf2})$



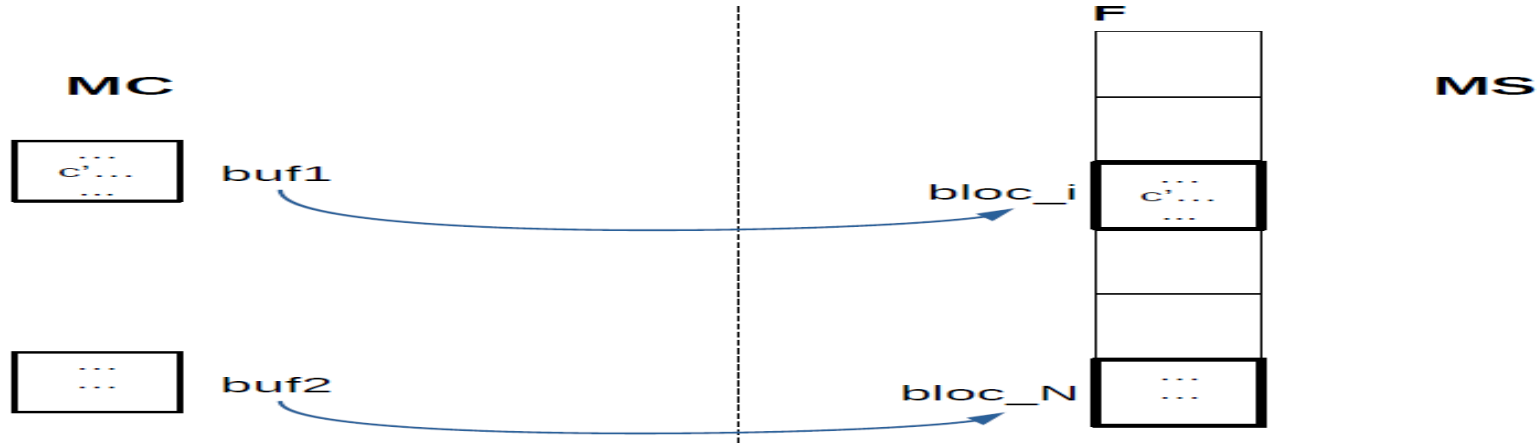
# TÖF Example : Physical deletion

Overwrite  $c$  with  $c'$  in memory  $\Rightarrow$  by moving  $c'$  from buf2 to buf1.



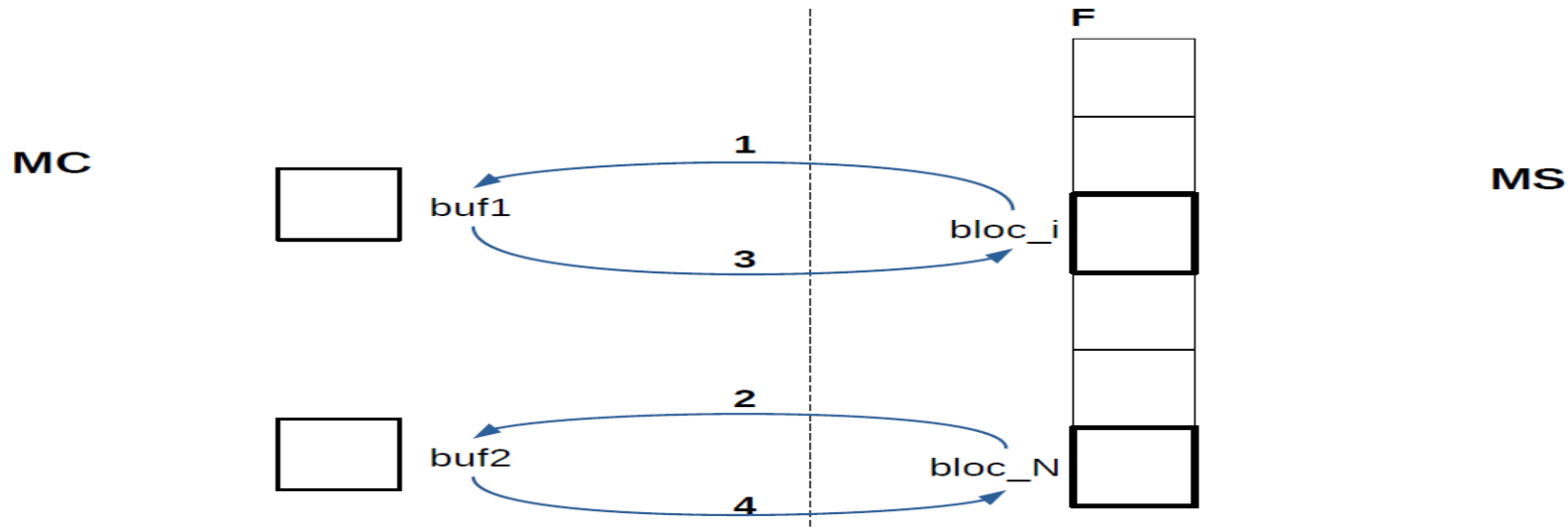
# TÖF Example : Physical deletion

Write the contents of buf1 and buf2 to MC  $\Rightarrow$  EcrireDir(F, i, buf1); EcrireDir(F, N, buf2).



# T̄OF Example : Physical deletion

Cost in the worst case



# TÖF Example : Physical deletion

## Cost in the best case

(  $i == N$  and block N contains only one record.)

- Read block i (or N) into MC  $\Rightarrow$  *LireDir( F , N , buf1 ) ;*
- SI (buf1.NB == 1) *Aff\_Entete( F , 1 , Entete( F , 1 ) - 1 )* SINON .... FSI

Cost= **1 Physical read**

MC

MS

