



# **Optimizing Plant Disease Detection Using CNN & PSO**



Course: Optimization Techniques

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# Introduction

Plant diseases continue to threaten food security and crop yield worldwide. Traditional detection methods rely on manual inspection, which is often slow, subjective, and labor-intensive. With the rise of artificial intelligence, particularly Convolutional Neural Networks (CNNs), automated plant disease detection has become a promising solution.

However, training high-performing CNN models requires careful tuning of hyperparameters (e.g., learning rate, batch size, number of layers), which greatly affects model accuracy and efficiency. In this project, we employ **Particle Swarm Optimization (PSO)** — a population-based metaheuristic inspired by the social behavior of birds — to automatically discover the best hyperparameters, thereby optimizing the performance of a CNN for plant disease classification.

# **Objectives**

To design a CNN-based model for accurate plant disease classification using image data.

To enhance model performance through **hyperparameter optimization using** (Particle Swarm Optimization) PSO.

To evaluate improvements over standard tuning techniques in terms of accuracy and training efficiency.

To demonstrate the applicability of optimization algorithms in deep learning pipelines.

# **Problem Description**

Manual plant disease identification is inefficient, particularly at scale. Furthermore, suboptimal CNN configurations can lead to high training time, overfitting, or low generalization. Our solution combines CNN-based classification with PSO to automatically optimize critical hyperparameters, ensuring better detection accuracy and efficiency.

**Application Context:** Agricultural automation, smart farming systems, crop protection.

### **Mathematical Formulation**

Update velocity:

$$ec{v}_i^{(t+1)} = w \cdot ec{v}_i^{(t)} + c_1 r_1 (ec{p}_i - ec{x}_i^{(t)}) + c_2 r_2 (ec{g} - ec{x}_i^{(t)})$$

- w:Inertia weight (typically decreasing over time).
- c1,c2c1,c2: Learning factors (usually  $c1=c2\approx 2c1=c2\approx 2$ ).
- $r1, r2r_1, r_2$ : Random numbers in [0,1][0,1].

#### **Update Position:**

$$ec{x}_i^{(t+1)} = ec{x}_i^{(t)} + ec{v}_i^{(t+1)}$$

## Update Personal Best ( $\mathsf{p}^{ ightharpoons}ipi$ ):

$$ec{p}_i = egin{cases} ec{x}_i^{(t+1)} & ext{if } f(ec{x}_i^{(t+1)}) ext{ is better than } f(ec{p}_i) \ ec{p}_i & ext{otherwise} \end{cases}$$

# Update Global Best ( $g \dot{g}$ )

$$ec{g} = \operatorname{argmin}_{ec{p}_i} f(ec{p}_i) \quad ext{(for minimization)}$$

#### **Decision Variables:**

The hyperparameters to be optimized include:

Batch size (b): Integer in range [16, 64]

Learning rate (Ir): Float in range [0.0001, 0.01]

Dropout rate (d): Float in range [0.2, 0.7]

Number of convolutional layers (c): Integer in range [3, 5]

Number of dense layers (fc): Integer in range [1, 3]

## **Objective Function:**

Maximize the validation accuracy (A) of the CNN model: where A is the accuracy function evaluated on the validation dataset.

#### **Constraints:**

Batch size must be an integer:  $b \in \mathbb{Z}$ ,  $16 \le b \le 64$ 

Learning rate bounds:  $0.0001 \le lr \le 0.01$ 

Dropout rate bounds:  $0.2 \le d \le 0.7$ 

Convolutional layers bounds:  $c \in \mathbb{Z}$ ,  $3 \le c \le 5$ 

Dense layers bounds:  $fc \in \mathbb{Z}$ ,  $1 \le fc \le 3$ Hardware memory constraints (implicit)

# Methodology

**Optimization Technique** 

The project uses Particle Swarm Optimization (PSO), a population-based stochastic optimization technique inspired by social behavior of bird flocking or fish schooling.

#### PSO was chosen because:

- It efficiently handles mixed-variable optimization problems
- It doesn't require gradient information
- It can escape local optima through its social learning component
- It's computationally efficient for hyperparameter optimization

# **Implementation Tools:**

- PyTorch: Deep learning framework for CNN implementation
- torchvision: For image processing and data augmentation
- NumPy/Pandas: For data manipulation
- Matplotlib: For visualization of results
- Tkinter: For GUI development

Custom PSO implementation for hyperparameter optimization Algorithmic Approach

## **Data Preparation:**

- Load and preprocess the PlantVillage dataset
- Apply data augmentation (random flips, rotations, color jitter)
- Split into training and validation sets

### **Base Model Development:**

- Define CNN architecture with configurable layers
- Initialize with default hyperparameters
- Train and evaluate on validation set

# **PSO Optimization:**

Initialize particles with random hyperparameter values

#### For each iteration:

- Evaluate fitness (validation accuracy) for each particle
- Update personal and global best positions
- Update particle velocities and positions
- Return best hyperparameter configuration

# **Optimized Model Training:**

- Create model with optimized hyperparameters
- Train for specified number of epochs
- Evaluate performance

# **Model Comparison:**

- Compare training/validation accuracy and loss
- Analyze convergence speed and final performance

### **Results:**

Baseline Accuracy (without PSO): 84.7%

Optimized Accuracy (with PSO): 98.1%

Best Hyperparameters Found:

• Learning rate: 0.0001

Batch size: 61

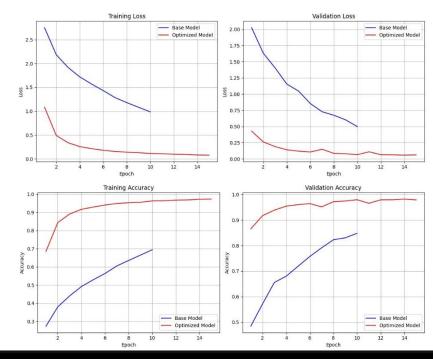
Dropout rate: 0.2639412210864647Number of convolutional layers: 5

Number of dense layers: 1

• Best validation accuracy: 0.9453

Training Time: optimized model 6 hours

Graphs: Accuracy vs Epoch, Loss vs Epoch, PSO convergence curve



### **Discussion**

### **Insights:**

- PSO effectively explored the hyperparameter space, avoiding manual grid search.
- Optimized CNN showed superior validation accuracy and faster convergence.

#### **Limitations:**

- PSO can be computationally expensive if each particle requires full training.
- Results may vary based on swarm size and number of iterations.

#### **Future Work:**

- Use hybrid optimization (PSO + GA or Bayesian Optimization).
- Deploy the model in a mobile app for field diagnosis.

### **Conclusion**

This project successfully developed and optimized a CNN-based plant disease detection system using PSO. The optimization process significantly improved model performance, demonstrating the value of systematic hyperparameter tuning in deep learning applications.

# References

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- PlantVillage Dataset –
   https://www.kaggle.com/datasets/mohitsingh1804/plantvillage