

1. Load Datasets

Loading test, train and validation sets into pandas dataframe

```
import pandas as pd

df_train = pd.read_json('data/train.json', lines=True)
df_test = pd.read_json('data/test.json', lines=True)
df_validation = pd.read_json('data/validation.json', lines=True)
```

2. Exploratory Data Analysis (Sayeed, Jui)

Analyzing training dataset

```
df_train.info()

<class 'pandas.DataFrame'>
RangeIndex: 1112 entries, 0 to 1111
Data columns (total 8 columns):
#   Column                Non-Null Count  Dtype
---  -
0   quality_checked        1112 non-null   object
1   text                   1112 non-null   str
2   task                   1112 non-null   str
3   meta                   1112 non-null   object
4   doc_id                 1112 non-null   str
5   dataset_type           1112 non-null   str
6   annotator_id           1112 non-null   str
7   entity_mentions        1112 non-null   object
dtypes: object(3), str(5)
memory usage: 69.6+ KB
```

Observed no null values

df\_train.head()

	quality_checked	text	task	meta	doc_id	dataset_type	annotator_id	entity_mentions
0		PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194	train	annotator1	{'confidential_status': 'NOT_CONFIDENTIAL', '...
1		PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194	train	annotator2	{'confidential_status': 'NOT_CONFIDENTIAL', '...
		PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194	train	annotator1	{'confidential_status': 'NOT_CONFIDENTIAL', '...

Observation: We do not need dataset\_type because the test, train and validation files are already separate. We also do not need the columns quality\_checked, annotator\_id.

Dropping unrequired columns.

```
df_train.drop(columns=['quality_checked', 'dataset_type', 'annotator_id'])
```

	text	task	meta	doc_id	entity_mentions
0	PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194	{{'confidential_status': 'NOT_CONFIDENTIAL', '...
1	PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194	{{'confidential_status': 'NOT_CONFIDENTIAL', '...
2	PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194	{{'confidential_status': 'NOT_CONFIDENTIAL', '...
3	PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194	{{'confidential_status': 'NOT_CONFIDENTIAL', '...
4	PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'D. Stępnia...', 'articles': [91, ...	001-84741	{{'confidential_status': 'NOT_CONFIDENTIAL', '...
...	...	...	...	...	...
1107	PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'Helmut Ludescher', 'articles': ...	001-60002	{{'confidential_status': 'NOT_CONFIDENTIAL', '...
1108	PROCEDURE\n\nThe case originated in an applica...	Task: Annotate the document to anonymise the f...	{'applicant': 'J. Peter', 'articles': [91, 34,...	001-146353	{{'confidential_status': 'NOT_CONFIDENTIAL', '...

## Analyzing task column

```
df_train['task'].unique()
```

```
<StringArray>
[      'Task: Annotate the document to anonymise the following person: Henrik Hasslund',
      'Task: Annotate the document to anonymise the following person: D. Stępnia...',
      'Task: Annotate the document to anonymise the following person: Nusret Amutgan',
      'Task: Annotate the document to anonymise the following person: Mustafa Sarı',
      'Task: Annotate the document to anonymise the following person: Dariusz Karwowski',
      'Task: Annotate the document to anonymise the following person: İlhan Karakurt',
      'Task: Annotate the document to anonymise the following person: Artur Warsiński',
      'Task: Annotate the document to anonymise the following person: Włodzimierz Majewski',
      'Task: Annotate the document to anonymise the following person: İlhami Erseven',
      'Task: Annotate the document to anonymise the following person: Semir Güzel',
      ...
      'Task: Annotate the document to anonymise the following person: Zekeriya Karaman',
      'Task: Annotate the document to anonymise the following person: Leandro Sanchez-Reisse',
      'Task: Annotate the document to anonymise the following person: Rémi Bertuzzi',
      'Task: Annotate the document to anonymise the following person: Andrés López Elorza',
      'Task: Annotate the document to anonymise the following person: Frédéric Foucher',
      'Task: Annotate the document to anonymise the following person: Faruk Ereren',
      'Task: Annotate the document to anonymise the following person: Helmut Ludescher',
      'Task: Annotate the document to anonymise the following person: J. Peter',
      'Task: Annotate the document to anonymise the following person: Christopher Ian Scott',
      'Task: Annotate the document to anonymise the following person: Yiannis Kyriakou']
Length: 1008, dtype: str
```

Observation: we don't need the task column

Finding out how many unique values are there in text column and doc\_id column. Making sure they match.

```
len(df_train['text'].unique())
```

```
1014
```

```
len(df_train['doc_id'].unique())
```

```
1014
```

Observation: We have 1014 unique values for documents but the dataset has 1112 entries. So there might be duplicates.

```
df_train['meta'][0]
```

```
{'applicant': 'Henrik Hasslund',
 'articles': [91, 34, 54, 34, 93],
 'countries': 'DNK',
 'legal_branch': 'CHAMBER',
 'year': 2008}
```

Observation: We may be able to reserve this column for later evaluation. Might be helpful to find out if our model struggles with region specific names, or has a bias, etc.

```
df_train_meta = df_train[['text', 'meta', 'doc_id']].copy()
df_train_meta.head()
```

	text	meta	doc_id
0	PROCEDURE\n\nThe case originated in an applica...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194
1	PROCEDURE\n\nThe case originated in an applica...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194
2	PROCEDURE\n\nThe case originated in an applica...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194
3	PROCEDURE\n\nThe case originated in an applica...	{'applicant': 'Henrik Hasslund', 'articles': [...	001-90194
4	PROCEDURE\n\nThe case originated in an applica...	{'applicant': 'D. Stępniaak', 'articles': [91, ...	001-84741

```
df_train_meta.to_csv('data/metadata/train_meta.csv')
```

```
df_test_meta = df_test[['text', 'meta', 'doc_id']].copy()
df_test_meta.to_csv('data/metadata/test_meta.csv')

df_validation_meta = df_validation[['text', 'meta', 'doc_id']].copy()
df_validation_meta.to_csv('data/metadata/validation_meta.csv')
```

## Exploring entity mentions column

```
df_train['entity_mentions'][1]
```

```
[{'confidential_status': 'NOT_CONFIDENTIAL',
  'edit_type': 'check',
  'end_offset': 62,
  'entity_id': '001-90194_a2_e1',
  'entity_mention_id': '001-90194_a2_em1',
  'entity_type': 'CODE',
  'identifier_type': 'QUASI',
  'related_mentions': None,
  'span_text': '36244/06',
  'start_offset': 54},
 {'confidential_status': 'NOT_CONFIDENTIAL',
  'edit_type': 'correct',
  'end_offset': 94,
  'entity_id': '001-90194_a2_e2',
  'entity_mention_id': '001-90194_a2_em2',
  'entity_type': 'ORG',
  'identifier_type': 'QUASI',
  'related_mentions': None,
  'span_text': 'Kingdom of Denmark',
  'start_offset': 76},
 {'confidential_status': 'NOT_CONFIDENTIAL',
  'edit_type': 'check',
  'end_offset': 242,
  'entity_id': '001-90194_a2_e3',
  'entity_mention_id': '001-90194_a2_em3',
  'entity_type': 'DEM',
  'identifier_type': 'QUASI',
  'related_mentions': None,
  'span_text': 'Danish',
  'start_offset': 236},
 {'confidential_status': 'NOT_CONFIDENTIAL',
  'edit_type': 'check',
  'end_offset': 271,
  'entity_id': '001-90194_a2_e4',
  'entity_mention_id': '001-90194_a2_em4',
  'entity_type': 'PERSON',
  'identifier_type': 'DIRECT',
  'related_mentions': None,
  'span_text': 'Mr Henrik Hasslund',
  'start_offset': 253},
 {'confidential_status': 'NOT_CONFIDENTIAL',
  'edit_type': 'check',
  'end_offset': 308,
  'entity_id': '001-90194_a2_e5',
  'entity_mention_id': '001-90194_a2_em5',
  'entity_type': 'DATETIME',
  'identifier_type': 'QUASI',
  'related_mentions': None,
  'span_text': '31 August 2006',
  'start_offset': 294},
 {'confidential_status': 'NOT_CONFIDENTIAL',
  'edit_type': 'check',
  'end_offset': 357,
  'entity_id': '001-90194_a2_e6',
  'entity_mention_id': '001-90194_a2_em6',
  'entity_type': 'PERSON',
  'identifier_type': 'QUASI',
  'related_mentions': None,
```

```
import json
df_train_exploded = df_train.explode('entity_mentions')
entities_flat = pd.json_normalize(df_train_exploded['entity_mentions'])
```

```
df_train_entities = pd.concat([df_train_exploded[['doc_id']].reset_index(drop=True), entities_flat.reset_index(drop=True)], axis=1)
```

```
df_train_entities.head()
```

	doc_id	confidential_status	edit_type	end_offset	entity_id	entity_mention_id	entity_type	identifier_type	related_mentions	span_text
0	001-90194	NOT_CONFIDENTIAL	check	62	001-90194_a1_e1	001-90194_a1_em1	CODE	DIRECT	None	36244/0
1	001-90194	NOT_CONFIDENTIAL	correct	94	001-90194_a1_e2	001-90194_a1_em2	ORG	NO_MASK	None	Kingdon c Denmar
2	001-90194	NOT_CONFIDENTIAL	check	242	001-90194_a1_e3	001-90194_a1_em3	DEM	NO_MASK	None	Danisl

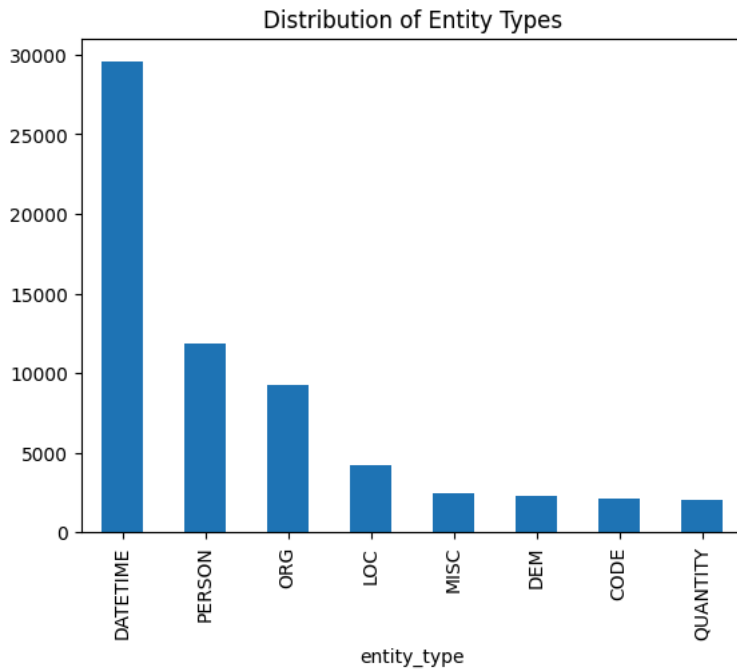
Observation: We have start\_offset, end\_offset and entity\_type. We need to extract this data to create a token and tags for finetuning DistillBERT model.

Checking the counts of entities for filtered set where identifier\_type is not NO\_MASK

```
print("Entity Type Counts:")
entity_type_stats = df_train_entities[df_train_entities['identifier_type'] != 'NO_MASK']['entity_type'].value_counts()
entity_type_stats.plot(kind='bar', title='Distribution of Entity Types')
```

Entity Type Counts:

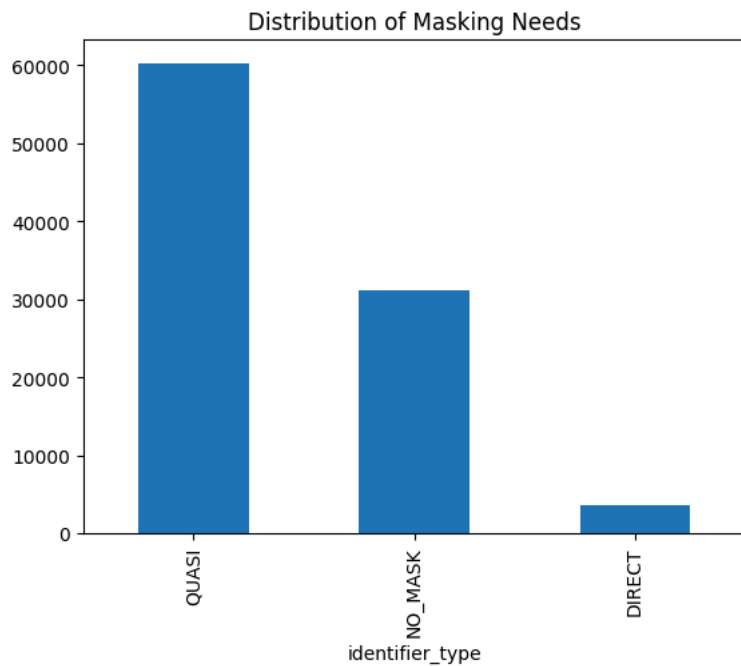
<Axes: title={'center': 'Distribution of Entity Types'}, xlabel='entity\_type'>



Certain imbalance of data is seen here where datetime entities are much higher in count than quantity or code

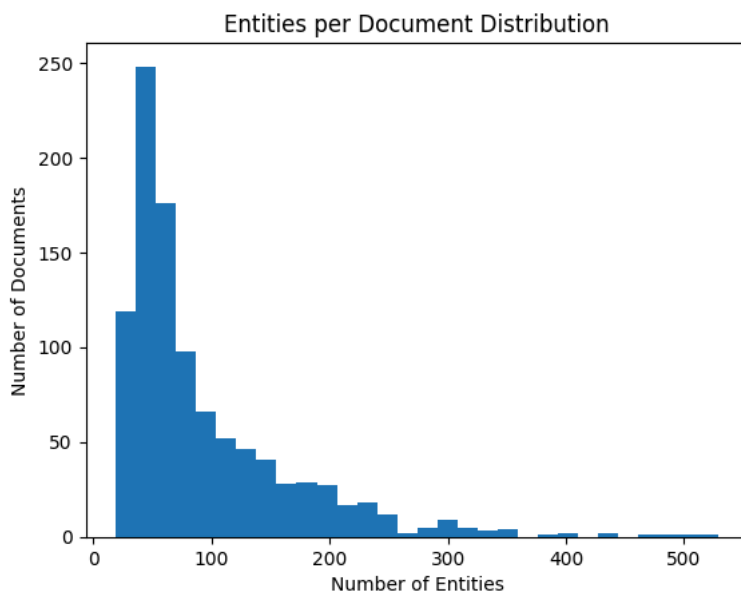
```
# Check masking requirements
mask_stats = df_train_entities['identifier_type'].value_counts()
mask_stats.plot(kind='bar', title='Distribution of Masking Needs')
```

```
<Axes: title={'center': 'Distribution of Masking Needs'}, xlabel='identifier_type'>
```



```
entities_per_doc = df_train_entities.groupby('doc_id').size().sort_values(ascending=False)
entities_per_doc.plot(kind='hist', bins=30, title='Entities per Document Distribution', xlabel='Number of Entities', ylabel='Number of Documents')
```

```
<Axes: title={'center': 'Entities per Document Distribution'}, xlabel='Number of Entities', ylabel='Number of Documents'>
```



```
print(f"Average entities per document: {entities_per_doc.mean():.2f}")
```

```
Average entities per document: 93.72
```

```
import pandas as pd

def read_data(traindata, testdata, validationdata):
    df_train = pd.read_json(traindata, lines=True)
    df_test = pd.read_json(testdata, lines=True)
    df_validation = pd.read_json(validationdata, lines=True)
    return df_train, df_test, df_validation
```

### 3. Data pre-processing (Jui)

Converting offsets to list

```
def convert_offsets_to_lists(row):
    text = row['text']
    entities = row['entity_mentions']

    # create character-level map
```

Start coding or [generate](#) with AI.

[illegible]

```
Number of labels: 17
{'B-CODE': 0, 'B-DATETIME': 1, 'B-DEM': 2, 'B-LOC': 3, 'B-MISC': 4, 'B-ORG': 5, 'B-PERSON': 6, 'B-QUANTITY': 7, 'I-CODE': 8, 'I-DATETIME': 9,
```

```
def tokenize_and_align(examples, tokenizer):
    '''Takes the tokenizer object as input and operated on a row in huggingface dataset object'''
    # split words into sub-words
    tokenized inputs = tokenizer(examples["tokens"], truncation=True, is split into words=True)
```

```

labels = []
for i, label in enumerate(examples["ner_tags"]):
    word_ids = tokenized_inputs.word_ids(batch_index=i) #supported only for fast tokenizers
    previous_word_idx = None
    label_ids = []
    for word_idx in word_ids:
        if word_idx is None:
            # special tokens like [CLS] get -100 (ignored)
            label_ids.append(-100)
        elif word_idx != previous_word_idx:
            # first piece of a word gets the real label ID
            label_ids.append(label2id[label[word_idx]]) #using map created from training set
        else:
            # subsequent pieces (e.g., "##lor") get -100 (ignored)
            label_ids.append(-100)
        previous_word_idx = word_idx
    labels.append(label_ids)

tokenized_inputs["labels"] = labels
return tokenized_inputs

```

Writing a script for processing test and validation sets

```

def preprocess_data(df, tokenizer):
    '''Takes a pandas dataframe and a tokenizer object and returns a tokenized huggingface dataset object ready to be passed into BERT for training'''
    # converting Pandas to Hugging Face Dataset
    hf = Dataset.from_pandas(df)
    processed = hf.map(convert_offsets_to_lists)
    #tokenize
    tokenized = processed.map(tokenize_and_align, batched=True, fn_kwargs={"tokenizer": tokenizer})

    return tokenized

```

Importing AutoTokenizer to use various BERT tokenizers

```

from transformers import AutoTokenizer

```

## 4. Bi-LSTM (Jui)

Using DistilBERT tokenizer to keep the comparison fair

```

tokenizer_distilbert = AutoTokenizer.from_pretrained("distilbert-base-uncased")

```

Warning: You are sending unauthenticated requests to the HF Hub. Please set a HF\_TOKEN to enable higher rate limits and faster downloads.

```

train_distilbert = preprocess_data(df_train ,tokenizer_distilbert)

```

```

Map: 100%|██████████| 1112/1112 [00:03<00:00, 342.03 examples/s]
Map: 100%|██████████| 1112/1112 [00:08<00:00, 131.21 examples/s]

```

```

test_distilbert = preprocess_data(df_test, tokenizer_distilbert)
validation_distilbert = preprocess_data(df_validation, tokenizer_distilbert)

```

```

Map: 100%|██████████| 555/555 [00:00<00:00, 568.19 examples/s]
Map: 100%|██████████| 555/555 [00:02<00:00, 217.83 examples/s]
Map: 100%|██████████| 541/541 [00:00<00:00, 587.80 examples/s]
Map: 100%|██████████| 541/541 [00:02<00:00, 230.29 examples/s]

```

Importing pyTorch

```

import torch
import torch.nn as nn

```

```
#setup GPU availability
if torch.backends.mps.is_available():
    device = torch.device('mps') #mac m3 chip integrated gpu
    print(f"MPS device found: {device}")
elif torch.cuda.is_available():
    device = torch.device('cuda')
    print(f"GPU device found: {device}")
else:
    device = torch.device('cpu')
    print(f"No GPU device found. Falling back to {device}")
```

```
MPS device found: mps
```

## Defining the Bi-LSTM model for NER

```
class bilstm_nre(nn.Module):
    def __init__(self, vocab_size, num_labels, embed_dim=128, hidden_dim=256, weight_tensor=None, dropout_rate=None):
        super().__init__()

        #setting up a custom embedding layer
        #setting padding index to 0 the embedding model from learning vectors for padding
        self.embedding = nn.Embedding(vocab_size, embed_dim, padding_idx=0)

        #bi-lstm layer
        self.lstm = nn.LSTM(embed_dim, hidden_dim, batch_first=True, bidirectional=True)

        #dropout
        if dropout_rate is not None:
            self.dropout = nn.Dropout(dropout_rate)

        #output layer (2*hidden_dim for bi-lstm)
        self.classifier = nn.Linear(hidden_dim * 2, num_labels)

        #set loss function (ignore_index=-100 because we set masking to -100 in previous function)
        if weight_tensor is not None:
            self.loss_fct = nn.CrossEntropyLoss(ignore_index=-100, weight=weight_tensor)
        else:
            self.loss_fct = nn.CrossEntropyLoss(ignore_index=-100)

    #forward pass
    def forward(self, input_ids, labels=None):
        #embed
        embeds = self.embedding(input_ids)

        #lstm forward
        lstm_out, _ = self.lstm(embeds)

        logits = self.classifier(lstm_out)

        #loss calculation
        loss = None
        if labels is not None:
            # Flatten the tensors so we can check every token at once
            # logits shape: (batch * seq_len, num_labels)
            # labels shape: (batch * seq_len)
            loss = self.loss_fct(logits.view(-1, logits.shape[-1]), labels.view(-1))

        return loss, logits
```

## batch pre-processing function to prepare dataloaders

```
def collate_fn(batch):
    # convert batch to tensors
    input_ids = [torch.tensor(item['input_ids']) for item in batch]
    labels = [torch.tensor(item['labels']) for item in batch]

    # padding inputs with 0(blank space), labels with -100
    input_ids = torch.nn.utils.rnn.pad_sequence(input_ids, batch_first=True, padding_value=0)
    labels = torch.nn.utils.rnn.pad_sequence(labels, batch_first=True, padding_value=-100)

    return input_ids.to(device), labels.to(device)
```

```
from torch.utils.data import DataLoader
```

```
train_loader = DataLoader(train_distilbert, batch_size=16, shuffle=True, collate_fn=collate_fn)
```

```
test_loader = DataLoader(test_distilbert, batch_size=16, shuffle=True, collate_fn=collate_fn)
validation_loader = DataLoader(validation_distilbert, batch_size=16, shuffle=True, collate_fn=collate_fn)
```



## Tracking model performance at every epoch

```
#using huggingface evaluate library for NER evaluation
# !pip install evaluate sequeval
```

Collecting evaluate

Downloading evaluate-0.4.6-py3-none-any.whl.metadata (9.5 kB)

Collecting sequeval

Downloading sequeval-1.2.2.tar.gz (43 kB)

```
0.0/43.6 kB ? eta -:-:--
43.6/43.6 kB 2.4 MB/s eta 0:00:00
```

Preparing metadata (setup.py) ... done

Requirement already satisfied: datasets>=2.0.0 in /usr/local/lib/python3.12/dist-packages (from evaluate) (4.0.0)

Requirement already satisfied: numpy>=1.17 in /usr/local/lib/python3.12/dist-packages (from evaluate) (2.0.2)

Requirement already satisfied: dill in /usr/local/lib/python3.12/dist-packages (from evaluate) (0.3.8)

Requirement already satisfied: pandas in /usr/local/lib/python3.12/dist-packages (from evaluate) (2.2.2)

Requirement already satisfied: requests>=2.19.0 in /usr/local/lib/python3.12/dist-packages (from evaluate) (2.32.4)

Requirement already satisfied: tqdm>=4.62.1 in /usr/local/lib/python3.12/dist-packages (from evaluate) (4.67.1)

Requirement already satisfied: xxhash in /usr/local/lib/python3.12/dist-packages (from evaluate) (3.6.0)

Requirement already satisfied: multiprocessing in /usr/local/lib/python3.12/dist-packages (from evaluate) (0.70.16)

Requirement already satisfied: fsspec>=2021.05.0 in /usr/local/lib/python3.12/dist-packages (from fsspec[http]>=2021.05.0->evaluate) (2025.3.0)

Requirement already satisfied: huggingface-hub>=0.7.0 in /usr/local/lib/python3.12/dist-packages (from evaluate) (0.36.0)

Requirement already satisfied: packaging in /usr/local/lib/python3.12/dist-packages (from evaluate) (25.0)

Requirement already satisfied: scikit-learn>=0.21.3 in /usr/local/lib/python3.12/dist-packages (from sequeval) (1.6.1)

Requirement already satisfied: filelock in /usr/local/lib/python3.12/dist-packages (from datasets>=2.0.0->evaluate) (3.20.3)

Requirement already satisfied: pyarrow>=15.0.0 in /usr/local/lib/python3.12/dist-packages (from datasets>=2.0.0->evaluate) (18.1.0)

Requirement already satisfied: pyyaml>=5.1 in /usr/local/lib/python3.12/dist-packages (from datasets>=2.0.0->evaluate) (6.0.3)

Requirement already satisfied: aiohttp!=4.0.0a0,!4.0.0a1 in /usr/local/lib/python3.12/dist-packages (from fsspec[http]>=2021.05.0->evaluate) (4.0.0)

Requirement already satisfied: typing-extensions>=3.7.4.3 in /usr/local/lib/python3.12/dist-packages (from huggingface-hub>=0.7.0->evaluate) (4.12.0)

Requirement already satisfied: hf-xet<2.0.0,>=1.1.3 in /usr/local/lib/python3.12/dist-packages (from huggingface-hub>=0.7.0->evaluate) (1.2.0)

Requirement already satisfied: charset-normalizer<4,>=2 in /usr/local/lib/python3.12/dist-packages (from requests>=2.19.0->evaluate) (3.4.4)

Requirement already satisfied: idna<4,>=2.5 in /usr/local/lib/python3.12/dist-packages (from requests>=2.19.0->evaluate) (3.11)

Requirement already satisfied: urllib3<3,>=1.21.1 in /usr/local/lib/python3.12/dist-packages (from requests>=2.19.0->evaluate) (2.5.0)

Requirement already satisfied: certifi>=2017.4.17 in /usr/local/lib/python3.12/dist-packages (from requests>=2.19.0->evaluate) (2026.1.4)

Requirement already satisfied: scipy>=1.6.0 in /usr/local/lib/python3.12/dist-packages (from scikit-learn>=0.21.3->sequeval) (1.16.3)

Requirement already satisfied: joblib>=1.2.0 in /usr/local/lib/python3.12/dist-packages (from scikit-learn>=0.21.3->sequeval) (1.5.3)

Requirement already satisfied: threadpoolctl>=3.1.0 in /usr/local/lib/python3.12/dist-packages (from scikit-learn>=0.21.3->sequeval) (3.6.0)

Requirement already satisfied: python-dateutil>=2.8.2 in /usr/local/lib/python3.12/dist-packages (from pandas->evaluate) (2.9.0.post0)

Requirement already satisfied: pytz>=2020.1 in /usr/local/lib/python3.12/dist-packages (from pandas->evaluate) (2025.2)

Requirement already satisfied: tzdata>=2022.7 in /usr/local/lib/python3.12/dist-packages (from pandas->evaluate) (2025.3)

Requirement already satisfied: aiohappyeyeballs>=2.5.0 in /usr/local/lib/python3.12/dist-packages (from aiohttp!=4.0.0a0,!4.0.0a1->fsspec[http]>=2021.05.0->evaluate) (2.5.0)

Requirement already satisfied: aiosignal>=1.4.0 in /usr/local/lib/python3.12/dist-packages (from aiohttp!=4.0.0a0,!4.0.0a1->fsspec[http]>=2021.05.0->evaluate) (1.4.0)

Requirement already satisfied: attrs>=17.3.0 in /usr/local/lib/python3.12/dist-packages (from aiohttp!=4.0.0a0,!4.0.0a1->fsspec[http]>=2021.05.0->evaluate) (25.0.0)

Requirement already satisfied: frozenlist>=1.1.1 in /usr/local/lib/python3.12/dist-packages (from aiohttp!=4.0.0a0,!4.0.0a1->fsspec[http]>=2021.05.0->evaluate) (1.5.0)

Requirement already satisfied: multidict<7.0,>=4.5 in /usr/local/lib/python3.12/dist-packages (from aiohttp!=4.0.0a0,!4.0.0a1->fsspec[http]>=2021.05.0->evaluate) (6.0.0)

Requirement already satisfied: propcache>=0.2.0 in /usr/local/lib/python3.12/dist-packages (from aiohttp!=4.0.0a0,!4.0.0a1->fsspec[http]>=2021.05.0->evaluate) (0.2.0)

Requirement already satisfied: yarl<2.0,>=1.17.0 in /usr/local/lib/python3.12/dist-packages (from aiohttp!=4.0.0a0,!4.0.0a1->fsspec[http]>=2021.05.0->evaluate) (1.17.0)

Requirement already satisfied: six>=1.5 in /usr/local/lib/python3.12/dist-packages (from python-dateutil>=2.8.2->pandas->evaluate) (1.17.0)

Downloading evaluate-0.4.6-py3-none-any.whl (84 kB)

```
84.1/84.1 kB 6.9 MB/s eta 0:00:00
```

Building wheels for collected packages: sequeval

Building wheel for sequeval (setup.py) ... done

Created wheel for sequeval: filename=sequeval-1.2.2-py3-none-any.whl size=16162 sha256=b7cf57ae908c0f9adff6cf5bb8ddb236c47ede6d12addf74a96d8bde

Stored in directory: /root/.cache/pip/wheels/5f/b8/73/0b2c1a76b701a677653dd79ece07cfabd7457989dbfbdcd8d7

Successfully built sequeval

Installing collected packages: sequeval, evaluate

Successfully installed evaluate-0.4.6 sequeval-1.2.2

```
import matplotlib.pyplot as plt
import evaluate
import numpy as np
```

## Function to evaluate after every epoch

```
sequeval = evaluate.load("sequeval")
```

```
def evaluate_epoch(model, dataloader, label_list):
    model.eval() # Set to evaluation mode
```

```
    all_preds = []
    all_labels = []
    total_val_loss = 0
```

```
    with torch.no_grad():
        for batch_ids, batch_labels in dataloader:
            batch_ids = batch_ids.to(device)
            batch_labels = batch_labels.to(device)
```

```
        # Forward pass
        loss, logits = model(batch_ids, batch_labels)
```

```
        # test loss
        total_val_loss += loss.item()
```

```

        # Get predictions (argmax)
        preds = torch.argmax(logits, dim=-1).cpu().numpy()
        labels = batch_labels.cpu().numpy()

        all_preds.extend(preds)
        all_labels.extend(labels)

#calculate validation loss
avg_val_loss = total_val_loss / len(dataloader)

# convert IDs back to Tags (removing -100)
decoded_preds = [
    [label_list[p] for (p, l) in zip(pred, label) if l != -100]
    for pred, label in zip(all_preds, all_labels)
]
decoded_labels = [
    [label_list[l] for (p, l) in zip(pred, label) if l != -100]
    for pred, label in zip(all_preds, all_labels)
]

# compute metrics using seqeval (Strict Entity-Level scoring)
results = seqeval.compute(predictions=decoded_preds, references=decoded_labels)

return {
    "val_loss": avg_val_loss,
    "accuracy": results["overall_accuracy"],
    "precision": results["overall_precision"],
    "recall": results["overall_recall"],
    "f1": results["overall_f1"]
}

```

Function for training loop with evaluation metric after each epoch

```

def train_eval_lstm(model, optimizer, n_epochs = 5, early_stopping=False):
    print("Starting Bi-LSTM for NER training...")

    history = {
        "train_loss": [],
        "val_loss": [],
        "accuracy": [],
        "precision": [],
        "recall": [],
        "f1": []
    }

    patience = 3
    patience_counter = 0
    best_val_loss = np.inf #initializing to infinite so that the first loss is always an improvement

    for epoch in range(n_epochs):
        # train
        model.train()
        total_loss = 0

        for batch_ids, batch_labels in train_loader:
            optimizer.zero_grad()
            loss, logits = model(batch_ids, batch_labels)
            loss.backward()
            optimizer.step()
            total_loss += loss.item()

        avg_train_loss = total_loss / len(train_loader)

        # validation on test set to calculate metrics
        metrics = evaluate_epoch(model, validation_loader, label_list)

        # tracking history of metrics
        history["train_loss"].append(avg_train_loss)
        history["val_loss"].append(metrics["val_loss"])
        history["accuracy"].append(metrics["accuracy"])
        history["precision"].append(metrics["precision"])
        history["recall"].append(metrics["recall"])
        history["f1"].append(metrics["f1"])

        print(f"Epoch {epoch+1}/{n_epochs} | "
              f"Train Loss: {avg_train_loss:.4f} | "
              f"Val Loss: {metrics['val_loss']:.4f} | "
              f"Val Recall: {metrics['recall']:.4f} | "
              f"Val Precision: {metrics['precision']:.4f} | "
              f"Val F1: {metrics['f1']:.4f} | ")

```

```
f"Val Accuracy: {metrics['accuracy']:.4f}")
```

```
#early stopping
if early_stopping == True:
    epoch_val_loss = metrics["val_loss"]
    if epoch_val_loss < best_val_loss:
        # if validation loss is improving/decreasing
        print(f"Validation Loss improved from {best_val_loss:.4f} to {epoch_val_loss:.4f}.")
        best_val_loss = epoch_val_loss
        patience_counter = 0 # reset the counter

    else:
        # validation loss increasing
        patience_counter += 1
        print(f"No improvement in validation loss. Patience: {patience_counter}")

    if patience_counter >= patience:
        print("Early Stopping triggered! Training stopped.")
        break # early stop

print("Training complete!")
return history
```

function for plotting the evaluation metrics vs epoch

```
def plot_training_metrics(history):
    epochs_range = range(1, len(history['train_loss']) + 1)

    plt.figure(figsize=(18, 6))

    # training vs validation loss
    plt.subplot(1, 2, 1)
    plt.plot(epochs_range, history['train_loss'], 'r-o', label='Training Loss')
    plt.plot(epochs_range, history['val_loss'], 'b-o', label='Validation Loss')
    plt.title('Training Loss vs Validation Loss')
    plt.xlabel('Epochs')
    plt.ylabel('Loss')
    plt.legend()
    plt.grid(True)

    # R vs P vs A vs F1
    plt.subplot(1, 2, 2)
    plt.plot(epochs_range, history['recall'], 'b-o', label='Val Recall')
    plt.plot(epochs_range, history['f1'], 'g-o', label='Val F1 Score')
    plt.plot(epochs_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')
    plt.plot(epochs_range, history['precision'], 'r-o', label='Precision')
    plt.title('Validation R vs P vs A vs F1')
    plt.xlabel('Epochs')
    plt.ylabel('Score')
    plt.ylim(0, 1.0) # y-axis to 0-100%
    plt.legend(loc='lower right')
    plt.grid(True)

    plt.tight_layout()
    plt.show()
```

Training & Evaluation LSTM model v1

```
# vocab_size is 30522 for DistilBERT
# num_labels is len(label_list)
lstm_nre_v1 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=256).to(device)
optimizer_v1 = torch.optim.Adam(lstm_nre_v1.parameters(), lr=1e-4)
```

```
history_v1 = train_eval_lstm(lstm_nre_v1, optimizer_v1, n_epochs = 10)
```

Starting Bi-LSTM for NER training...

```
/opt/anaconda3/envs/hf-venv/lib/python3.11/site-packages/seqeval/metrics/v1.py:57: UndefinedMetricWarning: Precision and F-score are ill-defined for
_warn_prf(average, modifier, msg_start, len(result))
```

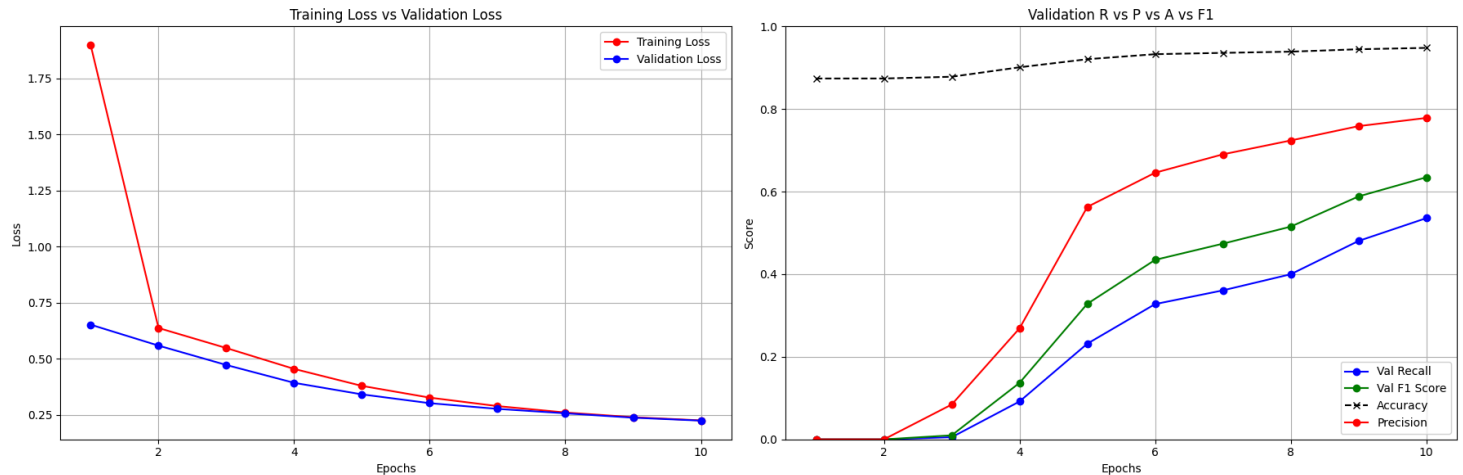
```
/opt/anaconda3/envs/hf-venv/lib/python3.11/site-packages/seqeval/metrics/v1.py:57: UndefinedMetricWarning: Precision and F-score are ill-defined
_warn_prf(average, modifier, msg_start, len(result))
```

Epoch 1/10	Train Loss: 1.8969	Val Loss: 0.6523	Val Recall: 0.0000	Val Precision: 0.0000	Val F1: 0.0000	Val Accuracy: 0.8740
Epoch 2/10	Train Loss: 0.6376	Val Loss: 0.5592	Val Recall: 0.0000	Val Precision: 0.0000	Val F1: 0.0000	Val Accuracy: 0.8740
Epoch 3/10	Train Loss: 0.5484	Val Loss: 0.4729	Val Recall: 0.0052	Val Precision: 0.0844	Val F1: 0.0099	Val Accuracy: 0.8783
Epoch 4/10	Train Loss: 0.4554	Val Loss: 0.3934	Val Recall: 0.0917	Val Precision: 0.2688	Val F1: 0.1368	Val Accuracy: 0.9011
Epoch 5/10	Train Loss: 0.3797	Val Loss: 0.3420	Val Recall: 0.2318	Val Precision: 0.5630	Val F1: 0.3283	Val Accuracy: 0.9207
Epoch 6/10	Train Loss: 0.3275	Val Loss: 0.3027	Val Recall: 0.3277	Val Precision: 0.6458	Val F1: 0.4347	Val Accuracy: 0.9329
Epoch 7/10	Train Loss: 0.2897	Val Loss: 0.2774	Val Recall: 0.3609	Val Precision: 0.6903	Val F1: 0.4740	Val Accuracy: 0.9361
Epoch 8/10	Train Loss: 0.2613	Val Loss: 0.2577	Val Recall: 0.3999	Val Precision: 0.7239	Val F1: 0.5152	Val Accuracy: 0.9390
Epoch 9/10	Train Loss: 0.2403	Val Loss: 0.2382	Val Recall: 0.4806	Val Precision: 0.7586	Val F1: 0.5884	Val Accuracy: 0.9448
Epoch 10/10	Train Loss: 0.2260	Val Loss: 0.2251	Val Recall: 0.5358	Val Precision: 0.7785	Val F1: 0.6347	Val Accuracy: 0.9482

Training complete!

```
# plot evaluation metrics
plot_training_metrics(history_v1)
```

```
/var/folders/qw/2jrbyvs4tncw12l1rg11wm0000gp/T/ipykernel_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linest
plt.plot(epochs_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')
```



Observation: Model is actually learning and the overfitting at epoch 10 is almost negligible. But, a high accuracy of 94.82% with a low recall and precision of 53.58% shows that the model has learnt that predicting non-entity (label 'O') most of the times is a safe bet.

Implementing weighted loss strategy to tell the model that missing an entity is worst than getting a non-entity wrong.

To calculate weights, if the tag occurs more number of times like the non-entity tag 'O', we need to give it lower weight. Using sklearn class\_weight utility to compute this.

```
from sklearn.utils.class_weight import compute_class_weight
#list of all tags in training set
all_classes = [label
               for row in train_distilbert['labels']
               for label in row
               if label != -100]

unique_classes = np.unique(all_classes)

#balanced mode adjusts the weights inversly proportional to the frequencies of the classes
weights = compute_class_weight(class_weight='balanced', classes = unique_classes, y = all_classes)

#convert class weights to pytorch tensor
class_weights = torch.tensor(weights, dtype=torch.float).to(device)

print("Calculated Class Weights:")
for i, weight in enumerate(class_weights):
    # getting label names from id2label
    label_name = id2label[i] if 'id2label' in locals() else str(i)
    print(f"{label_name}: {weight:.4f}")
```

```
Calculated Class Weights:
B-CODE: 15.6851
B-DATETIME: 2.4340
B-DEM: 33.6022
B-LOC: 13.7717
B-MISC: 68.8216
B-ORG: 9.5366
B-PERSON: 5.0459
B-QUANTITY: 45.8811
I-CODE: 504.6920
I-DATETIME: 1.4053
```

```

I-DEM: 54.5324
I-LOC: 41.7168
I-MISC: 19.3093
I-ORG: 4.0306
I-PERSON: 2.7905
I-QUANTITY: 30.2104
O: 0.0683

```

```

#trying similar architecture with weighted loss approach
lstm_nre_v2 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=256, weight_tensor=class_weights).to(device)
optimizer_v2 = torch.optim.Adam(lstm_nre_v2.parameters(), lr=1e-4)

```

Will the model learn more if I increase the number of epochs?

```
history_v2 = train_eval_lstm(lstm_nre_v2, optimizer_v2, n_epochs = 15)
```

Starting Bi-LSTM for NER training...

Epoch	Train Loss	Val Loss	Val Recall	Val Precision	Val F1	Val Accuracy
Epoch 1/15	2.7368	2.6221	0.4145	0.0453	0.0816	0.4628
Epoch 2/15	2.4277	2.1327	0.4013	0.0501	0.0891	0.4589
Epoch 3/15	1.8818	1.6070	0.4157	0.0586	0.1028	0.5238
Epoch 4/15	1.5152	1.3953	0.4703	0.0743	0.1283	0.5324
Epoch 5/15	1.3286	1.3718	0.4790	0.0845	0.1437	0.5717
Epoch 6/15	1.1932	1.2425	0.4964	0.0874	0.1486	0.5383
Epoch 7/15	1.0877	1.1930	0.5049	0.0909	0.1541	0.5650
Epoch 8/15	0.9977	1.1724	0.5329	0.1007	0.1693	0.5688
Epoch 9/15	0.9321	1.1499	0.5459	0.0924	0.1580	0.5482
Epoch 10/15	0.8701	1.1399	0.5491	0.1015	0.1713	0.5809
Epoch 11/15	0.8146	1.1301	0.5819	0.1159	0.1933	0.5927
Epoch 12/15	0.7652	1.0938	0.5876	0.1180	0.1965	0.6263
Epoch 13/15	0.7280	1.0823	0.5997	0.1368	0.2227	0.6613
Epoch 14/15	0.6801	1.0495	0.6020	0.1349	0.2204	0.6666
Epoch 15/15	0.6422	1.0541	0.5953	0.1255	0.2073	0.6435

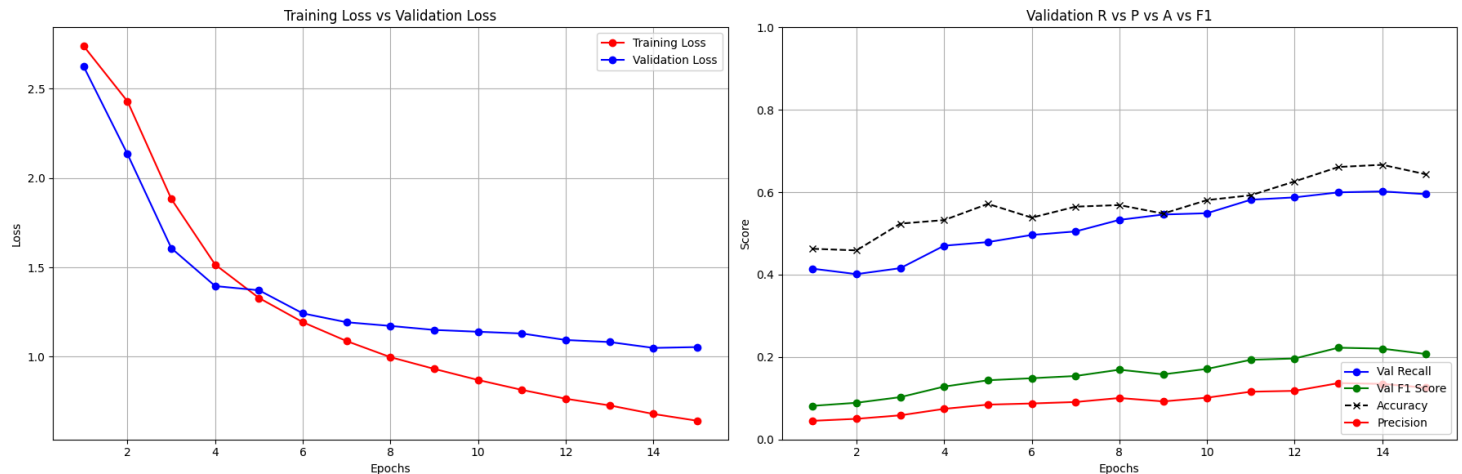
Training complete!

```

# plot evaluation metrics
plot_training_metrics(history_v2)

```

/var/folders/qw/2jrbyvsn4tncwk12l1rg11wm0000gp/T/ipykernel\_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linest' plt.plot(epochs\_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')



Observed that the model starts to overfit at epoch 5. The recall at epoch 14 has improved to 60.20% the precision has drastically dropped to 13.49%, showing that only 13.49% of the redacted words are actually sensitive! The accuracy has also dropped to 64.35%. The fact that the difference between the recall and accuracy has dropped is good.

What effect might it have if the number of nodes in the hidden layer of the network is increased while sticking to weighted loss strategy?

```

#increasing dimension of hidden layer without using weighted loss
lstm_nre_v3 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=288, weight_tensor=class_weights).to(device)
optimizer_v3 = torch.optim.Adam(lstm_nre_v3.parameters(), lr=1e-4)

```

```
history_v3 = train_eval_lstm(lstm_nre_v3, optimizer_v3, n_epochs = 13)
```

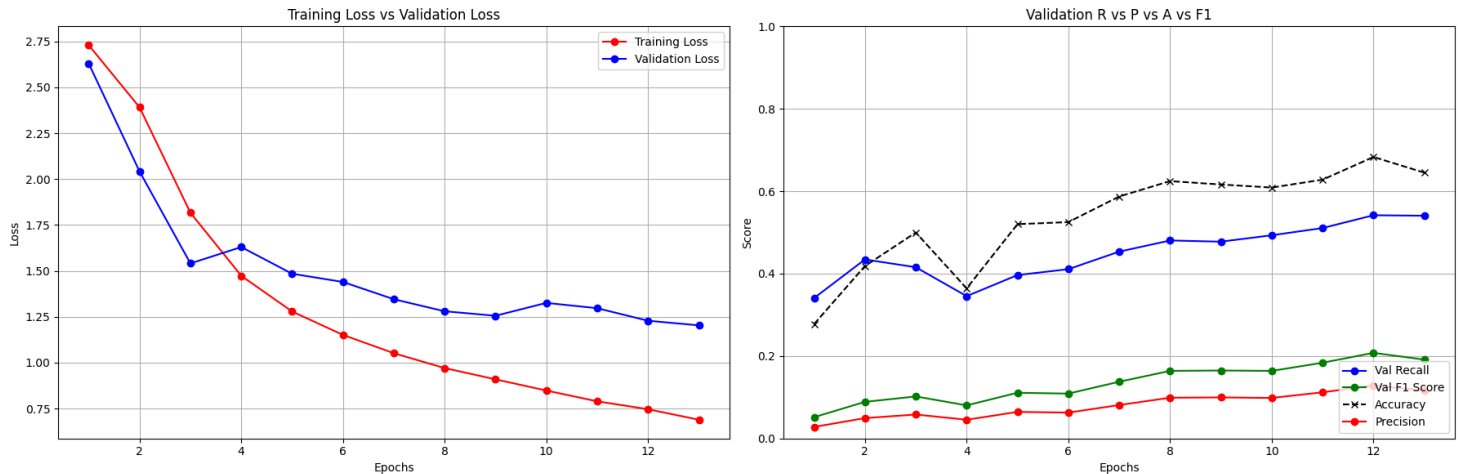
Starting Bi-LSTM for NER training...

Epoch 1/13	Train Loss: 2.7300	Val Loss: 2.6301	Val Recall: 0.3408	Val Precision: 0.0280	Val F1: 0.0517	Val Accuracy: 0.2777
Epoch 2/13	Train Loss: 2.3913	Val Loss: 2.0419	Val Recall: 0.4345	Val Precision: 0.0495	Val F1: 0.0888	Val Accuracy: 0.4185
Epoch 3/13	Train Loss: 1.8169	Val Loss: 1.5410	Val Recall: 0.4156	Val Precision: 0.0581	Val F1: 0.1020	Val Accuracy: 0.4998
Epoch 4/13	Train Loss: 1.4734	Val Loss: 1.6300	Val Recall: 0.3454	Val Precision: 0.0454	Val F1: 0.0803	Val Accuracy: 0.3642
Epoch 5/13	Train Loss: 1.2789	Val Loss: 1.4846	Val Recall: 0.3966	Val Precision: 0.0645	Val F1: 0.1110	Val Accuracy: 0.5201
Epoch 6/13	Train Loss: 1.1515	Val Loss: 1.4398	Val Recall: 0.4109	Val Precision: 0.0628	Val F1: 0.1089	Val Accuracy: 0.5251
Epoch 7/13	Train Loss: 1.0518	Val Loss: 1.3458	Val Recall: 0.4535	Val Precision: 0.0812	Val F1: 0.1377	Val Accuracy: 0.5872
Epoch 8/13	Train Loss: 0.9707	Val Loss: 1.2802	Val Recall: 0.4805	Val Precision: 0.0989	Val F1: 0.1640	Val Accuracy: 0.6246
Epoch 9/13	Train Loss: 0.9093	Val Loss: 1.2557	Val Recall: 0.4776	Val Precision: 0.0997	Val F1: 0.1650	Val Accuracy: 0.6163
Epoch 10/13	Train Loss: 0.8484	Val Loss: 1.3259	Val Recall: 0.4932	Val Precision: 0.0984	Val F1: 0.1640	Val Accuracy: 0.6089
Epoch 11/13	Train Loss: 0.7896	Val Loss: 1.2968	Val Recall: 0.5107	Val Precision: 0.1121	Val F1: 0.1838	Val Accuracy: 0.6281
Epoch 12/13	Train Loss: 0.7465	Val Loss: 1.2286	Val Recall: 0.5417	Val Precision: 0.1285	Val F1: 0.2077	Val Accuracy: 0.6832
Epoch 13/13	Train Loss: 0.6892	Val Loss: 1.2035	Val Recall: 0.5406	Val Precision: 0.1163	Val F1: 0.1914	Val Accuracy: 0.6451

Training complete!

```
# plot evaluation metrics  
plt.plot_training_metrics(history_v3)
```

/var/folders/qw/2jrbyvsn4tnckwl2l1rgl1wm0000gp/T/ipykernel\_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linest  
plt.plot(epochs\_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')



Observation: The model started to overfit at epoch 4. It kept learning however, the overfitting kept increasing. The best recall of 54.17% was achieved at epoch 12 which is less than the previous. Also, precision dropped to 12.85%.

Trying to decrease the number of nodes in the hidden layer.

```
#decreasing dimension of hidden layer without using weighted loss  
lstm_nre_v4 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=192, weight_tensor=class_weights).to(device)  
optimizer_v4 = torch.optim.Adam(lstm_nre_v4.parameters(), lr=1e-4)
```

```
history_v4 = train_eval_lstm(lstm_nre_v4, optimizer_v4, n_epochs = 10)
```

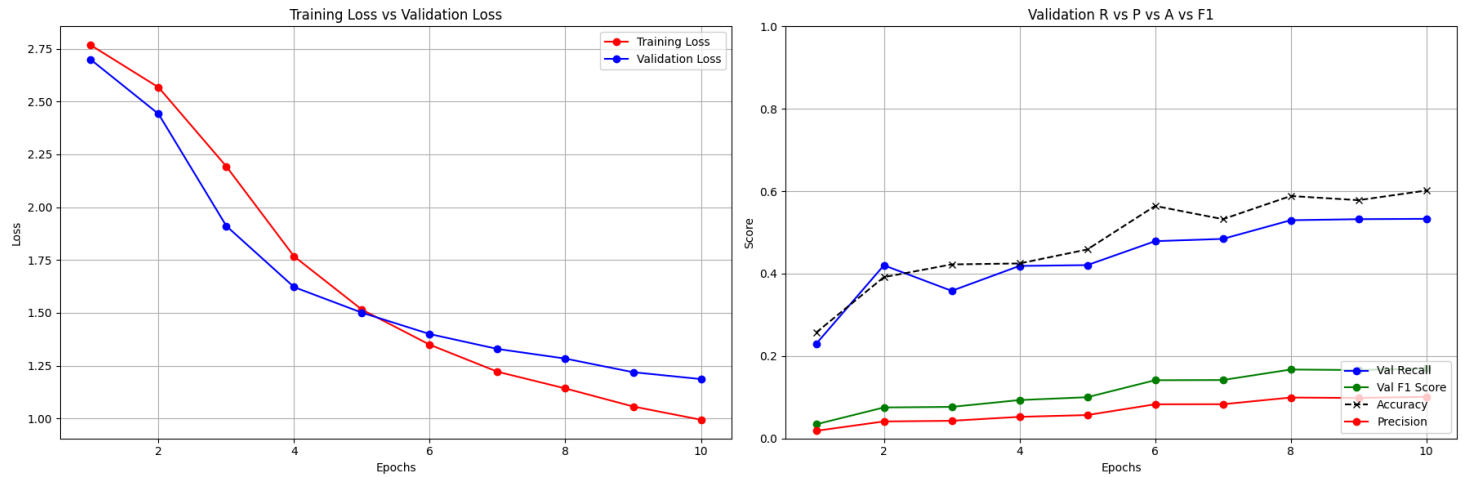
Starting Bi-LSTM for NER training...

Epoch 1/10	Train Loss: 2.7665	Val Loss: 2.6983	Val Recall: 0.2294	Val Precision: 0.0186	Val F1: 0.0343	Val Accuracy: 0.2569
Epoch 2/10	Train Loss: 2.5669	Val Loss: 2.4411	Val Recall: 0.4203	Val Precision: 0.0413	Val F1: 0.0752	Val Accuracy: 0.3915
Epoch 3/10	Train Loss: 2.1927	Val Loss: 1.9109	Val Recall: 0.3583	Val Precision: 0.0429	Val F1: 0.0767	Val Accuracy: 0.4224
Epoch 4/10	Train Loss: 1.7667	Val Loss: 1.6215	Val Recall: 0.4188	Val Precision: 0.0525	Val F1: 0.0932	Val Accuracy: 0.4248
Epoch 5/10	Train Loss: 1.5149	Val Loss: 1.5006	Val Recall: 0.4207	Val Precision: 0.0569	Val F1: 0.1002	Val Accuracy: 0.4587
Epoch 6/10	Train Loss: 1.3489	Val Loss: 1.3993	Val Recall: 0.4788	Val Precision: 0.0829	Val F1: 0.1414	Val Accuracy: 0.5643
Epoch 7/10	Train Loss: 1.2209	Val Loss: 1.3288	Val Recall: 0.4844	Val Precision: 0.0831	Val F1: 0.1419	Val Accuracy: 0.5322
Epoch 8/10	Train Loss: 1.1420	Val Loss: 1.2833	Val Recall: 0.5297	Val Precision: 0.0994	Val F1: 0.1673	Val Accuracy: 0.5884
Epoch 9/10	Train Loss: 1.0566	Val Loss: 1.2188	Val Recall: 0.5322	Val Precision: 0.0983	Val F1: 0.1660	Val Accuracy: 0.5783
Epoch 10/10	Train Loss: 0.9938	Val Loss: 1.1863	Val Recall: 0.5330	Val Precision: 0.1011	Val F1: 0.1700	Val Accuracy: 0.6017

Training complete!

```
# plot evaluation metrics
plot_training_metrics(history_v4)
```

```
/var/folders/qw/2jrbyvs4tncxw12l1rg1lwm0000gp/T/ipykernel_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linest
plt.plot(epochs_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')
```



Observation: The best recall for this strategy is still 53.30% with precision of 10.11%. Going back to v2 and trying to recude overfitting by adding dropout.

```
#trying similar architecture with weighted loss approach
lstm_nre_v5 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=256, weight_tensor=class_weights, dropout_rate=0.2).to(device)
optimizer_v5 = torch.optim.Adam(lstm_nre_v5.parameters(), lr=1e-4)
```

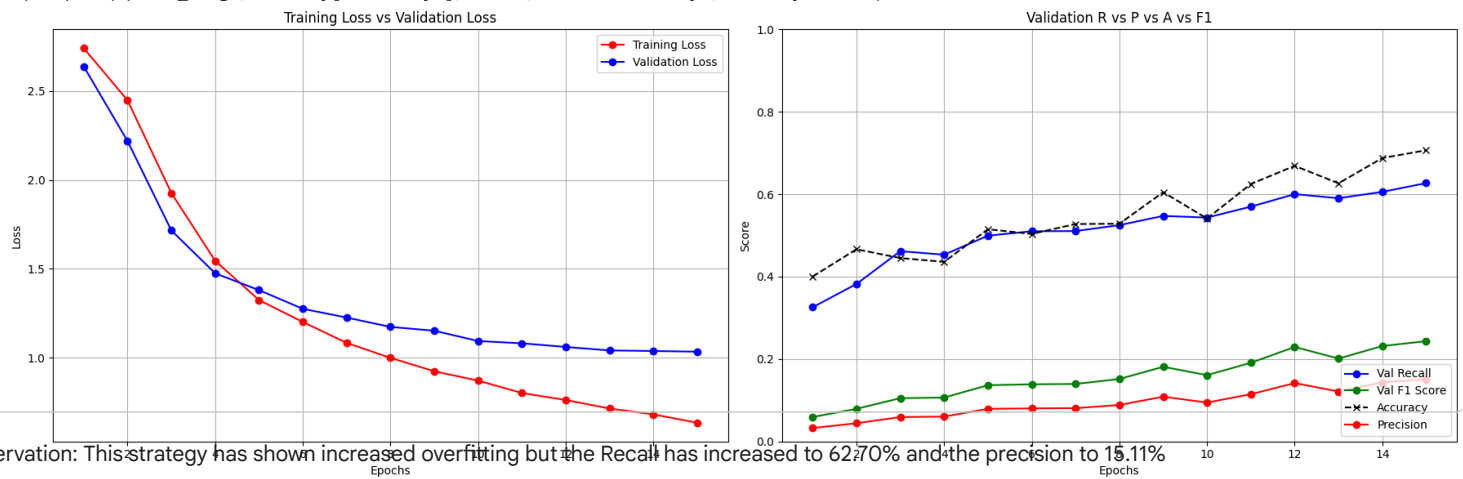
```
history_v5 = train_eval_lstm(lstm_nre_v5, optimizer_v5, n_epochs = 15)
```

```
Starting Bi-LSTM for NER training...
Epoch 1/15 | Train Loss: 2.7417 | Val Loss: 2.6390 | Val Recall: 0.3258 | Val Precision: 0.0327 | Val F1: 0.0594 | Val Accuracy: 0.4004
Epoch 2/15 | Train Loss: 2.4480 | Val Loss: 2.2191 | Val Recall: 0.3823 | Val Precision: 0.0442 | Val F1: 0.0792 | Val Accuracy: 0.4665
Epoch 3/15 | Train Loss: 1.9265 | Val Loss: 1.7158 | Val Recall: 0.4618 | Val Precision: 0.0592 | Val F1: 0.1050 | Val Accuracy: 0.4450
Epoch 4/15 | Train Loss: 1.5451 | Val Loss: 1.4748 | Val Recall: 0.4533 | Val Precision: 0.0604 | Val F1: 0.1066 | Val Accuracy: 0.4358
Epoch 5/15 | Train Loss: 1.3244 | Val Loss: 1.3801 | Val Recall: 0.4994 | Val Precision: 0.0791 | Val F1: 0.1366 | Val Accuracy: 0.5151
Epoch 6/15 | Train Loss: 1.2011 | Val Loss: 1.2751 | Val Recall: 0.5101 | Val Precision: 0.0803 | Val F1: 0.1387 | Val Accuracy: 0.5037
Epoch 7/15 | Train Loss: 1.0833 | Val Loss: 1.2259 | Val Recall: 0.5107 | Val Precision: 0.0809 | Val F1: 0.1396 | Val Accuracy: 0.5277
Epoch 8/15 | Train Loss: 0.9991 | Val Loss: 1.1732 | Val Recall: 0.5250 | Val Precision: 0.0886 | Val F1: 0.1517 | Val Accuracy: 0.5284
Epoch 9/15 | Train Loss: 0.9238 | Val Loss: 1.1519 | Val Recall: 0.5474 | Val Precision: 0.1087 | Val F1: 0.1813 | Val Accuracy: 0.6049
Epoch 10/15 | Train Loss: 0.8710 | Val Loss: 1.0938 | Val Recall: 0.5433 | Val Precision: 0.0945 | Val F1: 0.1609 | Val Accuracy: 0.5410
Epoch 11/15 | Train Loss: 0.8013 | Val Loss: 1.0809 | Val Recall: 0.5699 | Val Precision: 0.1147 | Val F1: 0.1910 | Val Accuracy: 0.6248
Epoch 12/15 | Train Loss: 0.7622 | Val Loss: 1.0604 | Val Recall: 0.6002 | Val Precision: 0.1418 | Val F1: 0.2293 | Val Accuracy: 0.6690
Epoch 13/15 | Train Loss: 0.7140 | Val Loss: 1.0409 | Val Recall: 0.5904 | Val Precision: 0.1213 | Val F1: 0.2012 | Val Accuracy: 0.6265
Epoch 14/15 | Train Loss: 0.6811 | Val Loss: 1.0377 | Val Recall: 0.6058 | Val Precision: 0.1431 | Val F1: 0.2316 | Val Accuracy: 0.6878
Epoch 15/15 | Train Loss: 0.6340 | Val Loss: 1.0339 | Val Recall: 0.6270 | Val Precision: 0.1511 | Val F1: 0.2435 | Val Accuracy: 0.7067
Training complete!
```

```
# plot evaluation metrics
plot_training_metrics(history_v5)
```



/var/folders/qw/2rjbyvs4tncw12l1rg1wm0000gp/T/ipykernel\_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linest  
plt.plot(epochs\_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')



Observation: This strategy has shown increased overfitting but the Recall has increased to 62.70% and the precision to 15.11%  
Trying an approach where increasing the number of nodes in the hidden layer without the weighted loss or dropout strategy.

```
#increasing dimension of hidden layer more without using weighted loss
lstm_nre_v6 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=480).to(device)
optimizer_v6 = torch.optim.Adam(lstm_nre_v6.parameters(), lr=1e-4)
```

```
history_v6 = train_eval_lstm(lstm_nre_v6, optimizer_v6, n_epochs = 30)
```

```
Starting Bi-LSTM for NER training...
/opt/anaconda3/envs/hf-venv/lib/python3.11/site-packages/segeval/metrics/v1.py:57: UndefinedMetricWarning: Precision and F-score are ill-defined for
_warn_prf(average, modifier, msg_start, len(result))
/opt/anaconda3/envs/hf-venv/lib/python3.11/site-packages/segeval/metrics/v1.py:57: UndefinedMetricWarning: Precision and F-score are ill-defined
_warn_prf(average, modifier, msg_start, len(result))
```

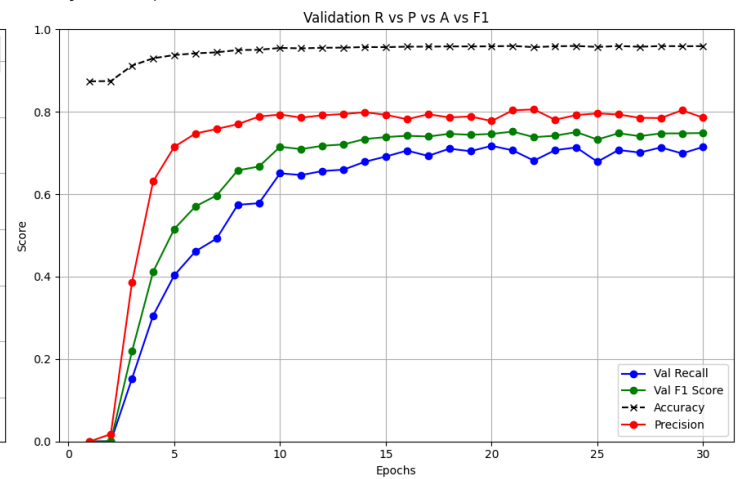
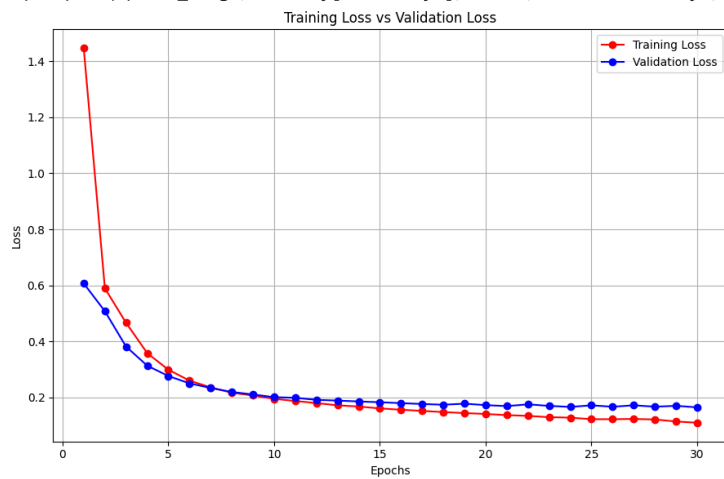
Epoch	Train Loss	Val Loss	Val Recall	Val Precision	Val F1	Val Accuracy
1/30	1.4469	0.6069	0.0000	0.0000	0.0000	0.8740
2/30	0.5894	0.5076	0.0003	0.0180	0.0005	0.8747
3/30	0.4662	0.3813	0.1525	0.3858	0.2186	0.9120
4/30	0.3580	0.3131	0.3056	0.6313	0.4119	0.9299
5/30	0.2987	0.2761	0.4033	0.7152	0.5158	0.9378
6/30	0.2596	0.2498	0.4614	0.7473	0.5705	0.9419
7/30	0.2355	0.2332	0.4924	0.7583	0.5971	0.9444
8/30	0.2164	0.2191	0.5744	0.7699	0.6580	0.9499
9/30	0.2065	0.2102	0.5781	0.7885	0.6671	0.9505
10/30	0.1945	0.2006	0.6509	0.7935	0.7151	0.9552
11/30	0.1869	0.1983	0.6465	0.7860	0.7095	0.9541
12/30	0.1794	0.1910	0.6563	0.7916	0.7176	0.9555
13/30	0.1715	0.1884	0.6597	0.7947	0.7209	0.9558
14/30	0.1671	0.1852	0.6787	0.7989	0.7339	0.9572
15/30	0.1604	0.1825	0.6915	0.7928	0.7387	0.9570
16/30	0.1556	0.1792	0.7059	0.7819	0.7420	0.9581
17/30	0.1515	0.1762	0.6930	0.7940	0.7401	0.9582
18/30	0.1473	0.1735	0.7105	0.7865	0.7466	0.9587
19/30	0.1437	0.1772	0.7045	0.7887	0.7442	0.9588
20/30	0.1406	0.1721	0.7175	0.7777	0.7464	0.9589
21/30	0.1364	0.1687	0.7065	0.8037	0.7520	0.9599
22/30	0.1337	0.1749	0.6815	0.8059	0.7385	0.9569
23/30	0.1291	0.1695	0.7070	0.7807	0.7420	0.9591
24/30	0.1273	0.1656	0.7136	0.7922	0.7509	0.9599
25/30	0.1220	0.1714	0.6788	0.7961	0.7328	0.9575
26/30	0.1218	0.1663	0.7073	0.7936	0.7480	0.9597
27/30	0.1228	0.1716	0.7012	0.7855	0.7409	0.9580
28/30	0.1208	0.1665	0.7135	0.7850	0.7476	0.9596
29/30	0.1137	0.1696	0.6990	0.8038	0.7477	0.9592
30/30	0.1092	0.1642	0.7149	0.7858	0.7487	0.9594

Training complete!

```
# plot evaluation metrics
plot_training_metrics(history_v6)
```



```
/var/folders/qw/2jrbyvs4tncw12l1rg1lwm0000gp/T/ipykernel_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linest
plt.plot(epochs_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')
```



Observation: After epoch 10 the model is learning slowly but could reach 71.75% recall and 77.77% precision at epoch 20 however, the model is not learning much after that. Some amount of overfitting is seen after epoch 10.

Adding dropout layers to reduce overfitting.

```
#adding dropout layer to reduce overfitting and reducing epoches
lstm_nre_v7 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=480, dropout_rate=0.2).to(device)
optimizer_v7 = torch.optim.Adam(lstm_nre_v7.parameters(), lr=1e-4)
```

```
history_v7 = train_eval_lstm(lstm_nre_v7, optimizer_v7, n_epoches = 21)
```

```
Starting Bi-LSTM for NER training...
/opt/anaconda3/envs/hf-venv/lib/python3.11/site-packages/seqeval/metrics/v1.py:57: UndefinedMetricWarning: Precision and F-score are ill-defined for
_warn_prf(average, modifier, msg_start, len(result))
/opt/anaconda3/envs/hf-venv/lib/python3.11/site-packages/seqeval/metrics/v1.py:57: UndefinedMetricWarning: Precision and F-score are ill-defined
_warn_prf(average, modifier, msg_start, len(result))
```

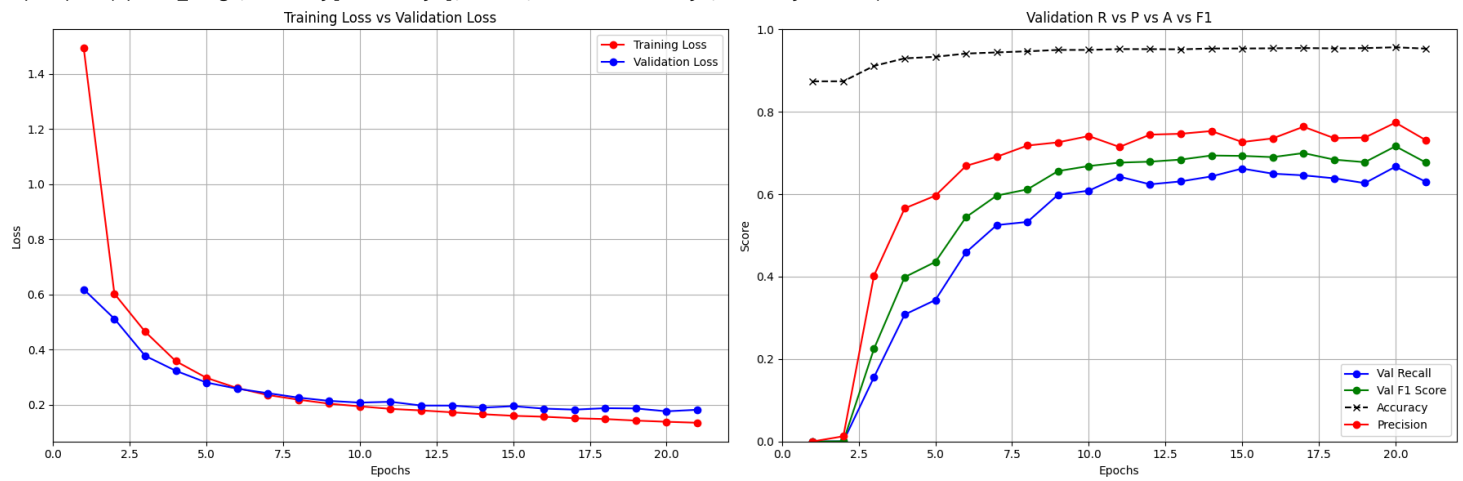
Epoch	Train Loss	Val Loss	Val Recall	Val Precision	Val F1	Val Accuracy
1/21	1.4933	0.6183	0.0000	0.0000	0.0000	0.8740
2/21	0.6024	0.5115	0.0003	0.0126	0.0005	0.8741
3/21	0.4649	0.3773	0.1562	0.4029	0.2251	0.9114
4/21	0.3578	0.3229	0.3080	0.5658	0.3989	0.9298
5/21	0.2973	0.2803	0.3433	0.5965	0.4358	0.9335
6/21	0.2602	0.2581	0.4591	0.6688	0.5444	0.9414
7/21	0.2349	0.2417	0.5251	0.6909	0.5967	0.9442
8/21	0.2181	0.2255	0.5329	0.7182	0.6118	0.9469
9/21	0.2035	0.2137	0.5987	0.7259	0.6562	0.9502
10/21	0.1939	0.2074	0.6086	0.7412	0.6684	0.9503
11/21	0.1847	0.2104	0.6426	0.7148	0.6768	0.9522
12/21	0.1791	0.1968	0.6240	0.7446	0.6790	0.9522
13/21	0.1723	0.1966	0.6311	0.7467	0.6841	0.9517
14/21	0.1654	0.1891	0.6433	0.7534	0.6940	0.9537
15/21	0.1595	0.1945	0.6623	0.7267	0.6930	0.9537
16/21	0.1562	0.1856	0.6499	0.7356	0.6901	0.9542
17/21	0.1507	0.1820	0.6459	0.7638	0.6999	0.9548
18/21	0.1478	0.1871	0.6387	0.7362	0.6840	0.9540
19/21	0.1422	0.1862	0.6271	0.7375	0.6778	0.9546
20/21	0.1379	0.1758	0.6668	0.7738	0.7164	0.9566
21/21	0.1343	0.1814	0.6298	0.7308	0.6766	0.9534

Training complete!

```
# plot evaluation metrics
plot_training_metrics(history_v7)
```

/var/folders/qw/2jrbyvs4tncwk12l1rg1wm0000gp/T/ipykernel\_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linestyle' attribute and the 'linestyle' argument. The 'linestyle' argument will be ignored.

```
plt.plot(epochs_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')
```



Observation: There is not much change in the overfitting but the max recall achieved at epoch 20 is 66.68% which is lower than the previous model.

Implementing early stopping on v6 for final training run.

```
#increasing dimension of hidden layer more without using weighted loss
lstm_nre_v8 = bilstm_nre(30522, len(label_list), embed_dim=128, hidden_dim=480).to(device)
optimizer_v8 = torch.optim.Adam(lstm_nre_v8.parameters(), lr=1e-4)
```

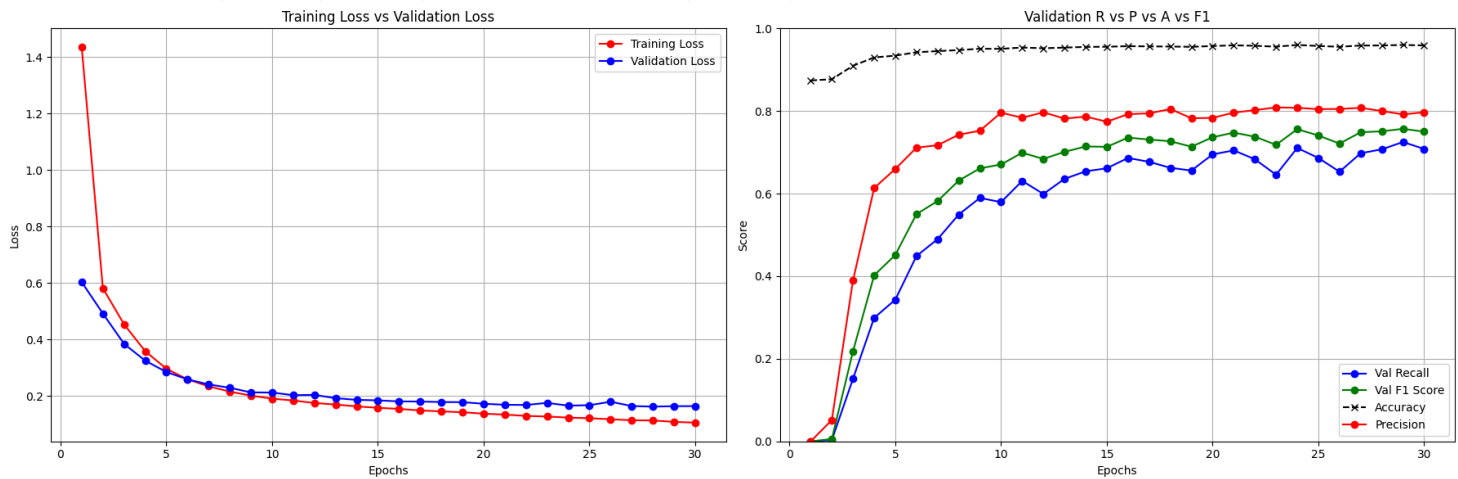
```
history_v8 = train_eval_lstm(lstm_nre_v8, optimizer_v8, n_epochs = 30, early_stopping=True)
```

```
Starting Bi-LSTM for NER training...
Epoch 1/30 | Train Loss: 1.4340 | Val Loss: 0.6030 | Val Recall: 0.0000 | Val Precision: 0.0000 | Val F1: 0.0000 | Val Accuracy: 0.8740
Validation Loss improved from inf to 0.6030.
Epoch 2/30 | Train Loss: 0.5813 | Val Loss: 0.4920 | Val Recall: 0.0031 | Val Precision: 0.0518 | Val F1: 0.0059 | Val Accuracy: 0.8771
Validation Loss improved from 0.6030 to 0.4920.
Epoch 3/30 | Train Loss: 0.4541 | Val Loss: 0.3848 | Val Recall: 0.1516 | Val Precision: 0.3897 | Val F1: 0.2183 | Val Accuracy: 0.9097
Validation Loss improved from 0.4920 to 0.3848.
Epoch 4/30 | Train Loss: 0.3587 | Val Loss: 0.3252 | Val Recall: 0.2991 | Val Precision: 0.6135 | Val F1: 0.4021 | Val Accuracy: 0.9298
Validation Loss improved from 0.3848 to 0.3252.
Epoch 5/30 | Train Loss: 0.2967 | Val Loss: 0.2851 | Val Recall: 0.3432 | Val Precision: 0.6598 | Val F1: 0.4515 | Val Accuracy: 0.9342
Validation Loss improved from 0.3252 to 0.2851.
Epoch 6/30 | Train Loss: 0.2590 | Val Loss: 0.2589 | Val Recall: 0.4489 | Val Precision: 0.7113 | Val F1: 0.5504 | Val Accuracy: 0.9424
Validation Loss improved from 0.2851 to 0.2589.
Epoch 7/30 | Train Loss: 0.2344 | Val Loss: 0.2412 | Val Recall: 0.4897 | Val Precision: 0.7173 | Val F1: 0.5820 | Val Accuracy: 0.9452
Validation Loss improved from 0.2589 to 0.2412.
Epoch 8/30 | Train Loss: 0.2156 | Val Loss: 0.2291 | Val Recall: 0.5495 | Val Precision: 0.7427 | Val F1: 0.6316 | Val Accuracy: 0.9476
Validation Loss improved from 0.2412 to 0.2291.
Epoch 9/30 | Train Loss: 0.2015 | Val Loss: 0.2129 | Val Recall: 0.5897 | Val Precision: 0.7524 | Val F1: 0.6612 | Val Accuracy: 0.9509
Validation Loss improved from 0.2291 to 0.2129.
Epoch 10/30 | Train Loss: 0.1908 | Val Loss: 0.2122 | Val Recall: 0.5794 | Val Precision: 0.7961 | Val F1: 0.6707 | Val Accuracy: 0.9507
Validation Loss improved from 0.2129 to 0.2122.
Epoch 11/30 | Train Loss: 0.1843 | Val Loss: 0.2031 | Val Recall: 0.6309 | Val Precision: 0.7837 | Val F1: 0.6990 | Val Accuracy: 0.9539
Validation Loss improved from 0.2122 to 0.2031.
Epoch 12/30 | Train Loss: 0.1753 | Val Loss: 0.2040 | Val Recall: 0.5992 | Val Precision: 0.7971 | Val F1: 0.6841 | Val Accuracy: 0.9520
No improvement in validation loss. Patience: 1
Epoch 13/30 | Train Loss: 0.1700 | Val Loss: 0.1925 | Val Recall: 0.6358 | Val Precision: 0.7817 | Val F1: 0.7012 | Val Accuracy: 0.9537
Validation Loss improved from 0.2031 to 0.1925.
Epoch 14/30 | Train Loss: 0.1633 | Val Loss: 0.1865 | Val Recall: 0.6541 | Val Precision: 0.7867 | Val F1: 0.7143 | Val Accuracy: 0.9553
Validation Loss improved from 0.1925 to 0.1865.
Epoch 15/30 | Train Loss: 0.1584 | Val Loss: 0.1847 | Val Recall: 0.6614 | Val Precision: 0.7741 | Val F1: 0.7133 | Val Accuracy: 0.9557
Validation Loss improved from 0.1865 to 0.1847.
Epoch 16/30 | Train Loss: 0.1545 | Val Loss: 0.1810 | Val Recall: 0.6864 | Val Precision: 0.7926 | Val F1: 0.7357 | Val Accuracy: 0.9571
Validation Loss improved from 0.1847 to 0.1810.
Epoch 17/30 | Train Loss: 0.1490 | Val Loss: 0.1805 | Val Recall: 0.6771 | Val Precision: 0.7944 | Val F1: 0.7311 | Val Accuracy: 0.9565
Validation Loss improved from 0.1810 to 0.1805.
Epoch 18/30 | Train Loss: 0.1458 | Val Loss: 0.1788 | Val Recall: 0.6626 | Val Precision: 0.8049 | Val F1: 0.7268 | Val Accuracy: 0.9562
Validation Loss improved from 0.1805 to 0.1788.
Epoch 19/30 | Train Loss: 0.1426 | Val Loss: 0.1783 | Val Recall: 0.6559 | Val Precision: 0.7826 | Val F1: 0.7137 | Val Accuracy: 0.9555
Validation Loss improved from 0.1788 to 0.1783.
```

Epoch 20/30 | Train Loss: 0.1376 | Val Loss: 0.1726 | Val Recall: 0.6951 | Val Precision: 0.7834 | Val F1: 0.7366 | Val Accuracy: 0.9575  
 Validation Loss improved from 0.1783 to 0.1726.  
 Epoch 21/30 | Train Loss: 0.1343 | Val Loss: 0.1691 | Val Recall: 0.7048 | Val Precision: 0.7963 | Val F1: 0.7478 | Val Accuracy: 0.9592  
 Validation Loss improved from 0.1726 to 0.1691.  
 Epoch 22/30 | Train Loss: 0.1297 | Val Loss: 0.1684 | Val Recall: 0.6832 | Val Precision: 0.8022 | Val F1: 0.7379 | Val Accuracy: 0.9581  
 Validation Loss improved from 0.1691 to 0.1684.  
 Epoch 23/30 | Train Loss: 0.1275 | Val Loss: 0.1758 | Val Recall: 0.6458 | Val Precision: 0.8088 | Val F1: 0.7182 | Val Accuracy: 0.9559  
 No improvement in validation loss. Patience: 1  
 Epoch 24/30 | Train Loss: 0.1237 | Val Loss: 0.1661 | Val Recall: 0.7106 | Val Precision: 0.8079 | Val F1: 0.7561 | Val Accuracy: 0.9601  
 Validation Loss improved from 0.1684 to 0.1661.  
 Epoch 25/30 | Train Loss: 0.1214 | Val Loss: 0.1675 | Val Recall: 0.6864 | Val Precision: 0.8047 | Val F1: 0.7408 | Val Accuracy: 0.9576  
 No improvement in validation loss. Patience: 1  
 Epoch 26/30 | Train Loss: 0.1180 | Val Loss: 0.1798 | Val Recall: 0.6531 | Val Precision: 0.8051 | Val F1: 0.7212 | Val Accuracy: 0.9556  
 No improvement in validation loss. Patience: 2  
 Epoch 27/30 | Train Loss: 0.1142 | Val Loss: 0.1646 | Val Recall: 0.6978 | Val Precision: 0.8077 | Val F1: 0.7488 | Val Accuracy: 0.9587  
 Validation Loss improved from 0.1661 to 0.1646.  
 Epoch 28/30 | Train Loss: 0.1136 | Val Loss: 0.1625 | Val Recall: 0.7073 | Val Precision: 0.8001 | Val F1: 0.7509 | Val Accuracy: 0.9589  
 Validation Loss improved from 0.1646 to 0.1625.  
 Epoch 29/30 | Train Loss: 0.1085 | Val Loss: 0.1641 | Val Recall: 0.7250 | Val Precision: 0.7919 | Val F1: 0.7570 | Val Accuracy: 0.9601

```
# plot evaluation metrics
plot_training_metrics(history_v8)
```

```
/var/folders/qw/2jrbyvsn4tnckwl2l1rgl1wm0000gp/T/ipykernel_54182/4064934705.py:20: UserWarning: linestyle is redundantly defined by the 'linest
plt.plot(epochs_range, history['accuracy'], 'k-x', label='Accuracy', linestyle='--')
```



Observation: The validation loss stopped improving for 2 epoches twice but then improved again, so no early stopping was triggered. The epoch 29 gave us the best model with: Train Loss: 0.1085 Val Loss: 0.1641 Val Recall: 72.50% Val Precision: 79.19% Val F1: 75.70% Val Accuracy: 96.01%

Evaluating this best bi-lstm-model on test set.

```
lstm_ner_metrics = evaluate_epoch(lstm_nre_v8, test_loader, label_list)
```

```
print(f"Testing Loss: {lstm_ner_metrics['val_loss']}")
print(f"Accuracy: {(lstm_ner_metrics['accuracy'] * 100):.4f}% - tokens were correctly classified")
print(f"Precision: {(lstm_ner_metrics['precision'] * 100):.4f}% - were correctly redacted out of all redacted tokens")
print(f"Recall: {(lstm_ner_metrics['recall'] * 100):.4f}% - tokens were correctly identified for redaction")
print(f"F1: {(lstm_ner_metrics['f1'] * 100):.4f}% - is the readability score")
```

```
Testing Loss: 0.17113963535853793
Accuracy: 95.6999% - tokens were correctly classified
Precision: 80.1607% - were correctly redacted out of all redacted tokens
Recall: 69.4476% - tokens were correctly identified for redaction
F1: 74.4206% - is the readability score
```

## 5. LegalBERT Finetuning (Liza)

```

#add for legalBERT
# tokenizer_legalbert = AutoTokenizer.from_pretrained("distilbert-base-uncased")
# 5. Legal-BERT Finetuning (Liza)

#!pip install sequeval evaluate transformers datasets

import numpy as np
import pandas as pd
import evaluate
from functools import partial
from datasets import Dataset, DatasetDict
from transformers import AutoTokenizer, AutoModelForTokenClassification, TrainingArguments, Trainer, DataCollatorForTokenClassification

# going with legal-bert since it handles domain specific terms better than vanilla bert
model_checkpoint = "nlpauieb/legal-bert-base-uncased"
tokenizer_legal = AutoTokenizer.from_pretrained(model_checkpoint)
metric = evaluate.load("sequeval")

# standard bio tags
label_list = ["O", "B-PER", "I-PER", "B-LOC", "I-LOC", "B-ORG", "I-ORG"]
label2id = {label: i for i, label in enumerate(label_list)}
id2label = {i: label for i, label in enumerate(label_list)}

# just mapping raw types to our simplified schema
type_mapper = {"PERSON": "PER", "ORGANIZATION": "ORG", "LOCATION": "LOC", "ORG": "ORG", "LOC": "LOC", "PER": "PER"}

def tokenize_and_align_labels(examples, tokenizer):
    # the main tricky part: raw data gives us char indices (start=10, end=15),
    # but bert sees tokens. simply splitting by space fails on punctuation (e.g. "Ivanov,").
    # so i'm using offset_mapping from the tokenizer to align labels correctly.

    tokenized_inputs = tokenizer(
        examples["text"], truncation=True, max_length=512,
        return_offsets_mapping=True, padding="max_length"
    )
    labels = []

    for i, doc_offsets in enumerate(tokenized_inputs["offset_mapping"]):
        doc_mentions = examples["entity_mentions"][i] if examples["entity_mentions"][i] is not None else []

        doc_labels = [0] * len(doc_offsets)
        for idx, (start, end) in enumerate(doc_offsets):
            if start == end:
                doc_labels[idx] = -100 # ignore special tokens
                continue

            for mention in doc_mentions:
                if start >= mention['start_offset'] and end <= mention['end_offset']:
                    raw_type = mention['entity_type']
                    short_type = type_mapper.get(raw_type, "ORG")

                    # logic for B- vs I- tags
                    prefix = "B-" if start == mention['start_offset'] else "I-"
                    label_name = f"{prefix}{short_type}"
                    doc_labels[idx] = label2id.get(label_name, 0)
                    break
            labels.append(doc_labels)

    tokenized_inputs["labels"] = labels
    tokenized_inputs.pop("offset_mapping") # we don't need this for training
    return tokenized_inputs

# using the shared dataframes (df_train etc) loaded at the top of the notebook
# converting them to hf dataset format and applying the alignment fix
print("prepping data for legal-bert...")

raw_datasets = DatasetDict({
    "train": Dataset.from_pandas(df_train),
    "validation": Dataset.from_pandas(df_validation),
    "test": Dataset.from_pandas(df_test)
})

tokenized_datasets = raw_datasets.map(
    partial(tokenize_and_align_labels, tokenizer=tokenizer_legal),
    batched=True,
    remove_columns=raw_datasets["train"].column_names
)
print("done. tokens aligned.")

```

```
/home/fred/anaconda3/envs/LDR-NER/lib/python3.14/site-packages/tqdm/auto.py:21: TqdmWarning: IProgress not found. Please update jupyter and ipy
from .autonotebook import tqdm as notebook_tqdm
prepping data for legal-bert...
Map: 100%|██████████| 1112/1112 [00:02<00:00, 396.29 examples/s]
Map: 100%|██████████| 541/541 [00:00<00:00, 605.60 examples/s]
Map: 100%|██████████| 555/555 [00:00<00:00, 709.81 examples/s]done. tokens aligned.
```

```
# initializing the model
model = AutoModelForTokenClassification.from_pretrained(
    model_checkpoint,
    num_labels=len(label_list),
    id2label=id2label,
    label2id=label2id
)

# standard hyperparams for bert fine-tuning.
# 3 epochs is usually enough for transfer learning to converge.
args = TrainingArguments(
    "legal-bert-ner",
    eval_strategy="epoch",
    learning_rate=2e-5,
    per_device_train_batch_size=16,
    per_device_eval_batch_size=16,
    num_train_epochs=3,
    weight_decay=0.01,
    save_strategy="no",
    logging_steps=50
)

# using the Trainer api to handle the training loop efficiently
trainer = Trainer(
    model=model,
    args=args,
    train_dataset=tokenized_datasets["train"],
    eval_dataset=tokenized_datasets["validation"],
    data_collator=DataCollatorForTokenClassification(tokenizer_legal)
)

print("starting training...")
trainer.train()
```

Warning: You are sending unauthenticated requests to the HF Hub. Please set a HF\_TOKEN to enable higher rate limits and faster downloads.  
Loading weights: 100%|██████████| 197/197 [00:00<00:00, 872.75it/s, Materializing param=bert.encoder.layer.11.output.dense.weight]  
BertForTokenClassification LOAD REPORT from: nlpueb/legal-bert-base-uncased

Key	Status
cls.predictions.transform.dense.weight	UNEXPECTED
cls.predictions.transform.LayerNorm.bias	UNEXPECTED
cls.seq_relationship.weight	UNEXPECTED
cls.predictions.decoder.bias	UNEXPECTED
cls.predictions.transform.LayerNorm.weight	UNEXPECTED
cls.predictions.bias	UNEXPECTED
bert.pooler.dense.weight	UNEXPECTED
bert.pooler.dense.bias	UNEXPECTED
cls.seq_relationship.bias	UNEXPECTED
cls.predictions.transform.dense.bias	UNEXPECTED
cls.predictions.decoder.weight	UNEXPECTED
classifier.weight	MISSING
classifier.bias	MISSING

Notes:

- UNEXPECTED :can be ignored when loading from different task/architecture; not ok if you expect identical arch.
- MISSING :those params were newly initialized because missing from the checkpoint. Consider training on your downstream task.

starting training...

 [210/210 29:31, Epoch 3/3]

Epoch	Training Loss	Validation Loss
-------	---------------	-----------------

1	0.504909	0.133475
2	0.134588	0.104182
3	0.091035	0.101755

TrainOutput(global\_step=210, training\_loss=0.2026848520551409, metrics={'train\_runtime': 1773.6059, 'train\_samples\_per\_second': 1.881,

```
def evaluate_model_performance(trainer, eval_dataset, model_name="MyModel"):
    # getting predictions and filtering out the -100 ignored tokens
    # to calculate real metrics
    print(f"--- evaluating {model_name} ---")
    predictions, labels, _ = trainer.predict(eval_dataset)
    predictions = np.argmax(predictions, axis=2)

    true_predictions = [
```

```

        [label_list[p] for (p, l) in zip(prediction, label) if l != -100]
        for prediction, label in zip(predictions, labels)
    ]
    true_labels = [
        [label_list[l] for (p, l) in zip(prediction, label) if l != -100]
        for prediction, label in zip(predictions, labels)
    ]

    results = metric.compute(predictions=true_predictions, references=true_labels)

    return {
        "Model": model_name,
        "F1 Score": results['overall_f1'],
        # recall is critical here - we can't miss sensitive info
        "Recall": results['overall_recall'],
        "Precision": results['overall_precision'],
        "Accuracy": results['overall_accuracy']
    }

metrics_legal = evaluate_model_performance(trainer, tokenized_datasets["test"], model_name="Legal-BERT (Liza)")

print("\n final results:")
display(pd.DataFrame([metrics_legal]).round(4))

```

--- evaluating Legal-BERT (Liza) ---

final results:

	Model	F1 Score	Recall	Precision	Accuracy
0	Legal-BERT (Liza)	0.8192	0.8393	0.8001	0.9651

```

# train_legalbert = preprocess_data(df_train ,tokenizer_legalbert)
# test_legalbert = preprocess_data(df_test, tokenizer_legalbert)
# validation_legalbert = preprocess_data(df_validation, tokenizer_legalbert)

```

```

import matplotlib.pyplot as plt
import json
import os

output_dir = "./legal_bert_output"
if not os.path.exists(output_dir):
    os.makedirs(output_dir)

print(f"Saving model to {output_dir}...")
trainer.save_model(output_dir)
tokenizer_legal.save_pretrained(output_dir)

history = trainer.state.log_history
history_path = f"{output_dir}/training_history.json"
with open(history_path, "w") as f:
    json.dump(history, f)
print(f"History saved to {history_path}")

train_loss = [x['loss'] for x in history if 'loss' in x]
steps = [x['step'] for x in history if 'loss' in x]

if train_loss:
    plt.figure(figsize=(10, 6))
    plt.plot(steps, train_loss, label="Training Loss", color="blue")

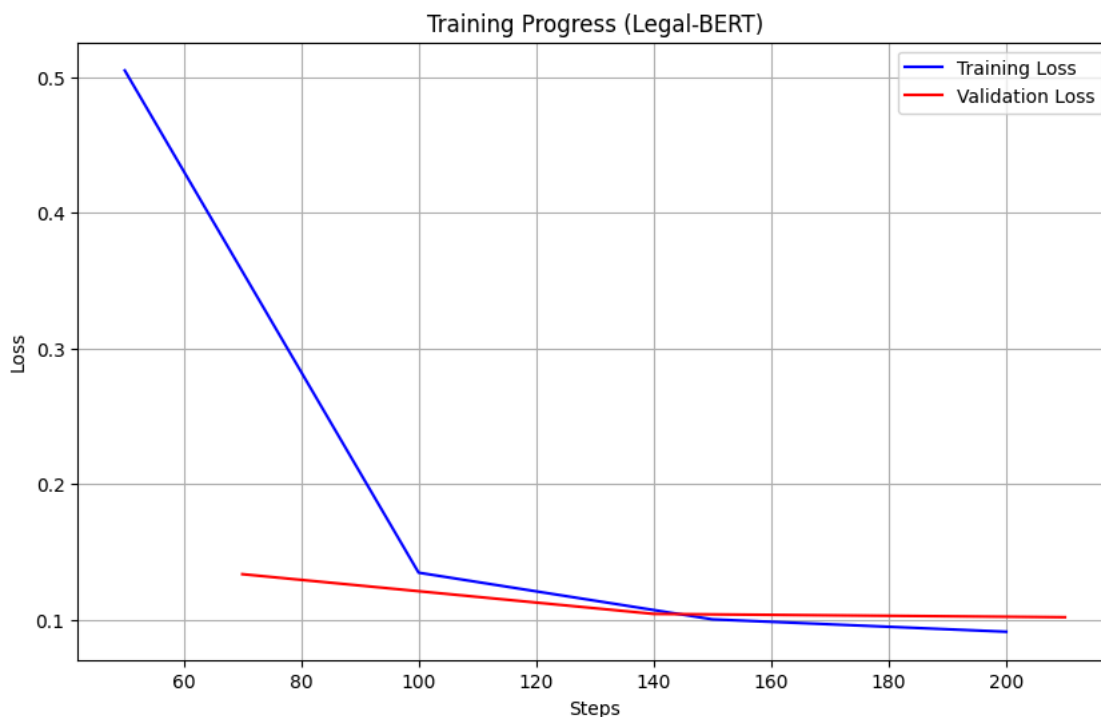
    val_loss = [x['eval_loss'] for x in history if 'eval_loss' in x]
    val_steps = [x['step'] for x in history if 'eval_loss' in x]
    if val_loss:
        plt.plot(val_steps, val_loss, label="Validation Loss", color="red")

    plt.xlabel("Steps")
    plt.ylabel("Loss")
    plt.title("Training Progress (Legal-BERT)")
    plt.legend()
    plt.grid(True)

    graph_path = f"{output_dir}/training_graph.png"
    plt.savefig(graph_path)
    print(f"Graph saved to {graph_path}")
    plt.show()
else:
    print("Not enough history to plot graph yet.")

```

Saving model to ./legal\_bert\_output...  
 Writing model shards: 100%[██████████] 1/1 [00:01<00:00, 1.04s/it]  
 History saved to ./legal\_bert\_output/training\_history.json  
 Graph saved to ./legal\_bert\_output/training\_graph.png



## 6. DistilBERT (Ritvik & Mit)

Using tokenized datasets: train\_distilbert, test\_distilbert, validation\_distilbert

```
import numpy as np
import pandas as pd
import torch
from datasets import Dataset, DatasetDict
from transformers import AutoTokenizer, AutoModelForTokenClassification, TrainingArguments, Trainer, DataCollatorForTokenClassification
from transformers import DistilBertForTokenClassification

"""
setting up distilbert as our baseline model.
it is lighter and faster than legal-bert and has fewer parameters.

"""
distil_checkpoint = "distilbert-base-uncased" # letter case independent
distil_tokenizer = AutoTokenizer.from_pretrained(distil_checkpoint) # using autotokenizer

label_list = ["O", "B-PER", "I-PER", "B-LOC", "I-LOC", "B-ORG", "I-ORG"]
label2id = {label: i for i, label in enumerate(label_list)}
id2label = {i: label for i, label in enumerate(label_list)}
type_mapper = {"PERSON": "PER", "ORGANIZATION": "ORG", "LOCATION": "LOC", "ORG": "ORG", "LOC": "LOC", "PER": "PER"}
```

```
import torch
print(f"Is GPU available? {torch.cuda.is_available()}")
print(f"Device Name: {torch.cuda.get_device_name(0)}")
```

```
Is GPU available? True
Device Name: NVIDIA GeForce RTX 3050 Laptop GPU
```

```
def tokenize_and_align_distil(examples):
    """
    Instead of relying on spaces and commas to create tokens, we are using offset mapping
    To make sure that the tokens align well with the BERT model and they come from the correct offsets in the dataset
    """

    tokenized_inputs = distil_tokenizer(
        examples["text"], truncation=True, max_length=512,
        return_offsets_mapping=True, padding="max_length" # padding the tokens with max token length
    )
    labels = []
```

```

for i, doc_offsets in enumerate(tokenized_inputs["offset_mapping"]):
    doc_mentions = examples["entity_mentions"][i] if examples["entity_mentions"][i] is not None else []

    doc_labels = [0] * len(doc_offsets)
    for idx, (start, end) in enumerate(doc_offsets):
        if start == end:
            doc_labels[idx] = -100 # ignore special tokens
            continue

        for mention in doc_mentions:
            if start >= mention['start_offset'] and end <= mention['end_offset']:
                raw_type = mention['entity_type']
                short_type = type_mapper.get(raw_type, "ORG")

                # logic to check beginning and inside of tokens
                prefix = "B-" if start == mention['start_offset'] else "I-"
                label_name = f"{prefix}{short_type}"
                doc_labels[idx] = label2id.get(label_name, 0)
                break
    labels.append(doc_labels)

tokenized_inputs["labels"] = labels
tokenized_inputs.pop("offset_mapping")
return tokenized_inputs

# creating a dataset dict
distil_datasets = DatasetDict({
    "train": Dataset.from_pandas(df_train),
    "validation": Dataset.from_pandas(df_validation),
    "test": Dataset.from_pandas(df_test)
})

distil_tokenized = distil_datasets.map(
    tokenize_and_align_distil,
    batched=True,
    remove_columns=distil_datasets["train"].column_names
)
print("done. distilbert tokens aligned.")

Map: 100%|██████████| 1112/1112 [00:05<00:00, 213.66 examples/s]
Map: 100%|██████████| 541/541 [00:01<00:00, 341.72 examples/s]
Map: 100%|██████████| 555/555 [00:01<00:00, 343.58 examples/s]done. distilbert tokens aligned.

```

## ✓ Baseline DistilBERT Model (kesha)

```

# initializing the distilbert model
distil_model = AutoModelForTokenClassification.from_pretrained(
    distil_checkpoint,
    num_labels=len(label_list),
    id2label=id2label,
    label2id=label2id
)

device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
distil_model.to(device)

# setting hyperparams
db_args = TrainingArguments(
    "distilbert-ner-output",
    eval_strategy="epoch",
    learning_rate=2e-5,
    per_device_train_batch_size=16,
    per_device_eval_batch_size=16,
    num_train_epochs=3,
    weight_decay=0.01,
    save_strategy="no",
    logging_steps=50,
    seed=42,
    data_seed=42,
)

db_trainer = Trainer(
    model=distil_model,
    args=db_args,
    train_dataset=distil_tokenized["train"],
    eval_dataset=distil_tokenized["validation"],
    data_collator=DataCollatorForTokenClassification(distil_tokenizer)
)

```



```
print("starting distilbert training...")
db_trainer.train()
```

```
Loading weights: 100%|██████████| 100/100 [00:00<00:00, 543.76it/s, Materializing param=distilbert.transformer.layer.5.sa_layer_norm.weight]
DistilBertForTokenClassification LOAD REPORT from: distilbert-base-uncased
```

Key	Status
vocab_transform.weight	UNEXPECTED
vocab_layer_norm.weight	UNEXPECTED
vocab_transform.bias	UNEXPECTED
vocab_layer_norm.bias	UNEXPECTED
vocab_projector.bias	UNEXPECTED
classifier.weight	MISSING
classifier.bias	MISSING

Notes:

- UNEXPECTED :can be ignored when loading from different task/architecture; not ok if you expect identical arch.
  - MISSING :those params were newly initialized because missing from the checkpoint. Consider training on your downstream task.
- starting distilbert training...

[210/210 03:12, Epoch 3/3]

Epoch	Training Loss	Validation Loss
1	0.525557	0.161509
2	0.159323	0.118078
3	0.103047	0.118716

TrainOutput(global\_step=210, training\_loss=0.22058556817826772, metrics={'train\_runtime': 194.0184, 'train\_samples\_per\_second': 17.194,

## Model Evaluation

```
import evaluate
import numpy as np
import pandas as pd

# initialize metric
metric = evaluate.load("sequeval")

def evaluate_model_performance(trainer, eval_dataset, model_name):
    # getting predictions and filtering out the -100 ignored tokens
    # to calculate real metrics
    print(f"--- evaluating {model_name} ---")
    predictions, labels, _ = trainer.predict(eval_dataset)
    predictions = np.argmax(predictions, axis=2)

    true_predictions = [
        [label_list[p] for (p, l) in zip(prediction, label) if l != -100]
        for prediction, label in zip(predictions, labels)
    ]
    true_labels = [
        [label_list[l] for (p, l) in zip(prediction, label) if l != -100]
        for prediction, label in zip(predictions, labels)
    ]

    results = metric.compute(predictions=true_predictions, references=true_labels)

    return {
        "Model": model_name,
        "F1 Score": results['overall_f1'],
        # recall is critical here as we can't miss sensitive info
        "Recall": results['overall_recall'],
        "Precision": results['overall_precision'],
        "Accuracy": results['overall_accuracy']
    }

metrics_db = evaluate_model_performance(db_trainer, distil_tokenized["test"], model_name="DistilBERT_v1")

--- evaluating DistilBERT_v1 ---
```

```
# evaluation Plots
print("\n final results (DistilBERT):")
display(pd.DataFrame([metrics_db]).round(4))

# saving and plotting
import matplotlib.pyplot as plt
import json
import os

output_dir = "./distilbert_output_v2"
if not os.path.exists(output_dir):
    os.makedirs(output_dir)
```

```

print(f"saving model to {output_dir}...")
db_trainer.save_model(output_dir)
distil_tokenizer.save_pretrained(output_dir)

history = db_trainer.state.log_history
# filtering for loss values to plot
train_loss = [x['loss'] for x in history if 'loss' in x]
steps = [x['step'] for x in history if 'loss' in x]

if train_loss:
    plt.figure(figsize=(10, 6))
    plt.plot(steps, train_loss, label="Training Loss (DistilBERT)", color="Red")

    # checking for validation loss
    val_loss = [x['eval_loss'] for x in history if 'eval_loss' in x]
    val_steps = [x['step'] for x in history if 'eval_loss' in x]

    if val_loss:
        plt.plot(val_steps, val_loss, label="Validation Loss", color="Green")

    plt.xlabel("Steps")
    plt.ylabel("Loss")
    plt.title("Training Progress (DistilBERT)")
    plt.legend()
    plt.grid(True)
    plt.show()

```

final results (DistilBERT):

	Model	F1 Score	Recall	Precision	Accuracy
0	DistilBERT_v1	0.7982	0.8173	0.78	0.9587

saving model to ./distilbert\_output\_v2...

Writing model shards: 100% [██████████] 1/1 [00:00<00:00, 2.61it/s]



Observation: The model accuracy is quite high (0.95), while the recall is low (0.82). Since our dataset has mostly 'O' in places of non-sensitive words, the model becomes lazy and predicts sensitive words as non-sensitive to gain a higher accuracy. This is also critical because we are working on legal data and in this domain, it is very costly for the model to miss sensitive words.

Fine-Tuning strategy 1: Implement Early Stopping and Label Smoothing

## DistilBERT Fine-Tuned v2 (Ritvik)

```

from transformers import EarlyStoppingCallback
# initializing the distilbert model
distil_model_v2 = AutoModelForTokenClassification.from_pretrained(
    distil_checkpoint,
    num_labels=len(label_list),
    id2label=id2label,
    label2id=label2id
)

```

```
device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
distil_model_v2.to(device)

# setting hyperparams
db_args = TrainingArguments(
    "distilbert-ner-v2-output",
    eval_strategy="epoch",
    save_strategy="epoch",
    load_best_model_at_end=True,
    per_device_train_batch_size=16,
    per_device_eval_batch_size=16,
    learning_rate=2e-5,
    num_train_epochs=5, # increased Epochs to train more
    weight_decay=0.05, # increased to reduce memorisation
    logging_steps=50,
    metric_for_best_model="loss",
    label_smoothing_factor=0.1, # helps with class imbalance problem as it gives less weights to each label
    seed=42,
    data_seed=42
)

db_trainer_v2 = Trainer(
    model=distil_model_v2,
    args=db_args,
    train_dataset=distil_tokenized["train"],
    eval_dataset=distil_tokenized["validation"],
    data_collator=DataCollatorForTokenClassification(distil_tokenizer),
    callbacks=[EarlyStoppingCallback(early_stopping_patience=2)]
)

print("starting distilbert v2 training...")
db_trainer_v2.train()
metrics_db = evaluate_model_performance(db_trainer_v2, distil_tokenized["test"], model_name="DistilBERT_v2")
print("\n final results (DistilBERT):")
display(pd.DataFrame([metrics_db]).round(4))
```

Loading weights: 100%[██████████] 100/100 [00:00<00:00, 517.87it/s, Materializing param=distilbert.transformer.layer.5.sa\_layer\_norm.weight]  
DistilBertForTokenClassification LOAD REPORT from: distilbert-base-uncased

Key	Status
-----+-----	-----+-----
vocab_transform.weight	UNEXPECTED
vocab_layer_norm.weight	UNEXPECTED
vocab_transform.bias	UNEXPECTED
vocab_layer_norm.bias	UNEXPECTED
vocab_projector.bias	UNEXPECTED
classifier.weight	MISSING
classifier.bias	MISSING

Notes:  
- UNEXPECTED :can be ignored when loading from different task/architecture; not ok if you expect identical arch.  
- MISSING :those params were newly initialized because missing from the checkpoint. Consider training on your downstream task.  
starting distilbert v2 training...



Epoch	Training Loss	Validation Loss
1	0.839470	0.555659
2	0.550116	0.526227
3	0.514136	0.522698
4	0.514076	0.523622
5	0.503792	0.523969

Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 4.15it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.53it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.87it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.83it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 4.13it/s]  
There were missing keys in the checkpoint model loaded: ['distilbert.embeddings.LayerNorm.weight', 'distilbert.embeddings.LayerNorm.bias'].  
There were unexpected keys in the checkpoint model loaded: ['distilbert.embeddings.LayerNorm.beta', 'distilbert.embeddings.LayerNorm.gamma'].  
--- evaluating DistilBERT\_v2 ---

final results (DistilBERT):

	Model	F1 Score	Recall	Precision	Accuracy
0	DistilBERT_v2	0.806	0.8295	0.7839	0.9605

```
# saving and plotting
import matplotlib.pyplot as plt
import json
import os

output_dir = "./distilbert_output_fine-tuned_v2"
```

```

if not os.path.exists(output_dir):
    os.makedirs(output_dir)

print(f"saving model to {output_dir}...")
db_trainer_v2.save_model(output_dir)
distil_tokenizer.save_pretrained(output_dir)

history = db_trainer_v2.state.log_history
# filtering for loss values to plot
train_loss = [x['loss'] for x in history if 'loss' in x]
steps = [x['step'] for x in history if 'loss' in x]

if train_loss:
    plt.figure(figsize=(10, 6))
    plt.plot(steps, train_loss, label="Training Loss (DistilBERT)", color="Red")

    # checking for validation loss
    val_loss = [x['eval_loss'] for x in history if 'eval_loss' in x]
    val_steps = [x['step'] for x in history if 'eval_loss' in x]

    if val_loss:
        plt.plot(val_steps, val_loss, label="Validation Loss", color="Green")

    plt.xlabel("Steps")
    plt.ylabel("Loss")
    plt.title("Training Progress (DistilBERT v2)")
    plt.legend()
    plt.grid(True)
    plt.show()

```

```

saving model to ./distilbert_output_fine-tuned_v2...
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 2.58it/s]

```



Observations: Label Smoothing had a positive impact on the model's recall, which increased from 81.7 to 82.9. The F1 score also has a slight increase, however the precision dropped. This is because the model is now not as aggressive into predicting a class with high confidence, instead we tell the model to attempt to predict with lower confidence, which makes it more cautious. This is important because for Legal documentation, it is critical to not miss any sensitive information.

## DistilBERT Fine-Tuned v3 (Mit)

```

from transformers import EarlyStoppingCallback
# initializing the distilbert model
distil_model_v3 = AutoModelForTokenClassification.from_pretrained(
    distil_checkpoint,
    num_labels=len(label_list),
    id2label=id2label,
    label2id=label2id
)

device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
distil_model_v3.to(device)

```

```
# setting hyperparams
db_args_v3 = TrainingArguments(
    "distilbert-ner-v3-output",
    eval_strategy="epoch",
    save_strategy="epoch",
    load_best_model_at_end=True,
    per_device_train_batch_size=16,
    per_device_eval_batch_size=16,
    learning_rate=3e-5, # increased learning rate
    num_train_epochs=5, # increased Epochs to train more
    weight_decay=0.01, # reduced weight decay to make the model more aggressive, the model does not need very high weights to make a predicti
    logging_steps=50,
    metric_for_best_model="loss",
    seed=42,
    data_seed=42
)

db_trainer_v3 = Trainer(
    model=distil_model_v3,
    args=db_args_v3,
    train_dataset=distil_tokenized["train"],
    eval_dataset=distil_tokenized["validation"],
    data_collator=DataCollatorForTokenClassification(distil_tokenizer),
    callbacks=[EarlyStoppingCallback(early_stopping_patience=2)]
)

print("starting distilbert v3 training...")
db_trainer_v3.train()
metrics_db_v3 = evaluate_model_performance(db_trainer_v3, distil_tokenized["test"], model_name="DistilBERT_v3")
print("\n final results (DistilBERT):")
display(pd.DataFrame([metrics_db]).round(4))
```

Loading weights: 100%[██████████] 100/100 [00:00<00:00, 677.74it/s, Materializing param=distilbert.transformer.layer.5.sa\_layer\_norm.weight]  
DistilBertForTokenClassification LOAD REPORT from: distilbert-base-uncased

Key	Status
-----	-----
vocab_transform.weight	UNEXPECTED
vocab_layer_norm.weight	UNEXPECTED
vocab_transform.bias	UNEXPECTED
vocab_layer_norm.bias	UNEXPECTED
vocab_projector.bias	UNEXPECTED
classifier.weight	MISSING
classifier.bias	MISSING

Notes:  
- UNEXPECTED :can be ignored when loading from different task/architecture; not ok if you expect identical arch.  
- MISSING :those params were newly initialized because missing from the checkpoint. Consider training on your downstream task.  
starting distilbert v3 training...

[350/350 54:14, Epoch 5/5]

Epoch	Training Loss	Validation Loss
1	0.497990	0.138706
2	0.128608	0.101452
3	0.086107	0.098404
4	0.084529	0.105449
5	0.069774	0.104834

Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.29it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.77it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.88it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.86it/s]  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 3.70it/s]

There were missing keys in the checkpoint model loaded: ['distilbert.embeddings.LayerNorm.weight', 'distilbert.embeddings.LayerNorm.bias'].  
There were unexpected keys in the checkpoint model loaded: ['distilbert.embeddings.LayerNorm.beta', 'distilbert.embeddings.LayerNorm.gamma'].  
--- evaluating DistilBERT\_v3 ---

final results (DistilBERT):

	Model	F1 Score	Recall	Precision	Accuracy
0	DistilBERT_v2	0.806	0.8295	0.7839	0.9605

```
print("\n final results (DistilBERT):")
display(pd.DataFrame([metrics_db_v3]).round(4))
```

final results (DistilBERT):

	Model	F1 Score	Recall	Precision	Accuracy
0	DistilBERT_v3	0.8071	0.8384	0.778	0.9626

```

# saving and plotting
import matplotlib.pyplot as plt
import json
import os

output_dir = "./distilbert_output_fine-tuned_v3"
if not os.path.exists(output_dir):
    os.makedirs(output_dir)

print(f"saving model to {output_dir}...")
db_trainer_v3.save_model(output_dir)
distil_tokenizer.save_pretrained(output_dir)

history = db_trainer_v3.state.log_history
# filtering for loss values to plot
train_loss = [x['loss'] for x in history if 'loss' in x]
steps = [x['step'] for x in history if 'loss' in x]

if train_loss:
    plt.figure(figsize=(10, 6))
    plt.plot(steps, train_loss, label="Training Loss (DistilBERT)", color="Red")

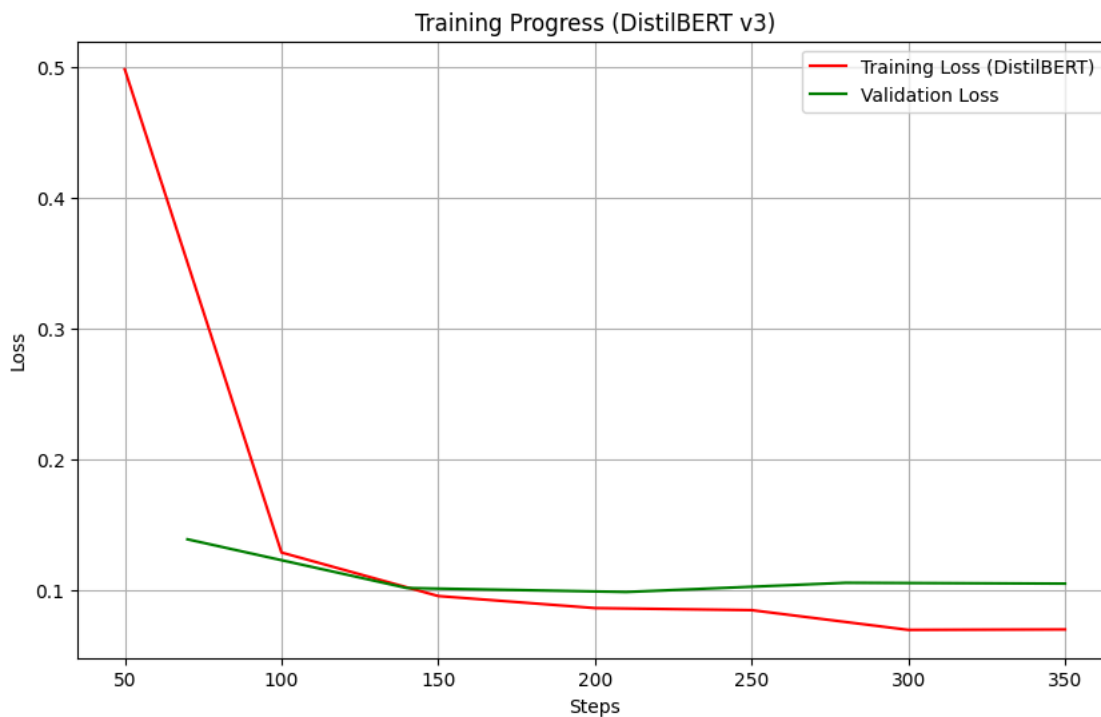
    # checking for validation loss
    val_loss = [x['eval_loss'] for x in history if 'eval_loss' in x]
    val_steps = [x['step'] for x in history if 'eval_loss' in x]

    if val_loss:
        plt.plot(val_steps, val_loss, label="Validation Loss", color="Green")

    plt.xlabel("Steps")
    plt.ylabel("Loss")
    plt.title("Training Progress (DistilBERT v3)")
    plt.legend()
    plt.grid(True)
    plt.show()

```

saving model to ./distilbert\_output\_fine-tuned\_v3...  
Writing model shards: 100%[██████████] 1/1 [00:00<00:00, 2.73it/s]



Observations:

## 8. ALBERT finetuning (Sayeed)

```
# ALBERT Finetuning (Sayeed)
```

```

# using the shared dataframes (df_train etc) loaded at the top of the notebook
# converting them to hf dataset format and applying the alignment fix
print("prepping data for ALBERT...")

tokenizer_albert = AutoTokenizer.from_pretrained("albert-base-v2", use_fast=True)
tokenized_datasets = raw_datasets.map(

```

```

partial(tokenize_and_align_labels, tokenizer=tokenizer_albert),
batched=True,
remove_columns=raw_datasets["train"].column_names
)
print("done. tokens aligned.")

prepping data for ALBERT...
Map: 100%|██████████| 1112/1112 [00:02<00:00, 406.38 examples/s]
Map: 100%|██████████| 541/541 [00:00<00:00, 595.72 examples/s]
Map: 100%|██████████| 555/555 [00:00<00:00, 579.72 examples/s]done. tokens aligned.

```

```

import torch

def sanity_forward_pass(model, dataset, n=2):
    model = model.to("cpu")
    model.train()

    batch = {
        "input_ids": torch.tensor([dataset[i]["input_ids"] for i in range(n)], device="cpu"),
        "attention_mask": torch.tensor([dataset[i]["attention_mask"] for i in range(n)], device="cpu"),
        "labels": torch.tensor([dataset[i]["labels"] for i in range(n)], device="cpu"),
    }

    out = model(**batch)
    print("Forward OK. Loss:", float(out.loss))

sanity_forward_pass(model, tokenized_datasets["train"], n=2)

```

```

Forward OK. Loss: 2.0854053497314453
/tmp/ipykernel_9036/1652176267.py:14: UserWarning: Converting a tensor with requires_grad=True to a scalar may lead to unexpected behavior.
Consider using tensor.detach() first. (Triggered internally at /pytorch/torch/csrc/autograd/generated/python_variable_methods.cpp:836.)
print("Forward OK. Loss:", float(out.loss))

```

```

import os
from transformers import (
    AutoModelForTokenClassification,
    TrainingArguments,
    Trainer,
    DataCollatorForTokenClassification,
    EarlyStoppingCallback
)

# Initialize model
model = AutoModelForTokenClassification.from_pretrained(
    "albert-base-v2",
    num_labels=len(label_list),
    id2label=id2label,
    label2id=label2id
)

# Training args (Early stopping requires eval+save each epoch + load best)
args = TrainingArguments(
    output_dir="albert-nern",
    eval_strategy="epoch",
    save_strategy="epoch",          # must save checkpoints for early stopping / best model
    load_best_model_at_end=True,
    metric_for_best_model="eval_loss",
    greater_is_better=False,

    learning_rate=2e-5,
    warmup_ratio=0.1,
    per_device_train_batch_size=16,
    per_device_eval_batch_size=16,
    num_train_epochs=10,          # allow early stopping to stop early
    weight_decay=0.01,

    logging_strategy="steps",
    logging_steps=50,

    save_total_limit=2,
    report_to="none"
)

# Trainer
trainer = Trainer(
    model=model,
    args=args,
    train_dataset=tokenized_datasets["train"],
    eval_dataset=tokenized_datasets["validation"],
    data_collator=DataCollatorForTokenClassification(tokenizer_albert),

```

```
callbacks=[EarlyStoppingCallback(early_stopping_patience=2)]
)

print("starting training...")
trainer.train()

print("Best checkpoint:", trainer.state.best_model_checkpoint)

best_dir = os.path.join(args.output_dir, "best_model")
trainer.save_model(best_dir)
tokenizer_albert.save_pretrained(best_dir)
print("Saved best model to:", best_dir)

metrics_albert = evaluate_model_performance(
    trainer,
    tokenized_datasets["test"],
    model_name="ALBERT (Fine-tuned)"
)

print("\nfinal results:")
display(pd.DataFrame([metrics_albert]).round(4))
```

Loading weights: 100%|██████████| 23/23 [00:00<00:00, 630.37it/s, Materializing param=albert.encoder.embedding\_hidden\_mapping\_in.weight]  
 AlbertForTokenClassification LOAD REPORT from: albert-base-v2

Key	Status
predictions.LayerNorm.bias	UNEXPECTED
predictions.dense.weight	UNEXPECTED
predictions.decoder.bias	UNEXPECTED
predictions.dense.bias	UNEXPECTED
albert.pooler.bias	UNEXPECTED
predictions.bias	UNEXPECTED
albert.pooler.weight	UNEXPECTED
predictions.LayerNorm.weight	UNEXPECTED
classifier.bias	MISSING
classifier.weight	MISSING

Notes:

- UNEXPECTED :can be ignored when loading from different task/architecture; not ok if you expect identical arch.
- MISSING :those params were newly initialized because missing from the checkpoint. Consider training on your downstream task.

warmup\_ratio is deprecated and will be removed in v5.2. Use `warmup\_steps` instead.  
 starting training...

██████████ [350/700 1:36:15 < 1:36:49, 0.06 it/s, Epoch 5/10]

Epoch	Training Loss	Validation Loss
1	0.950470	0.134053
2	0.125220	0.092737
3	0.080617	0.091702
4	0.080936	0.097672
5	0.062784	0.095690

Writing model shards: 100%|██████████| 1/1 [00:00<00:00, 7.08it/s]  
 Writing model shards: 100%|██████████| 1/1 [00:00<00:00, 9.01it/s]  
 Writing model shards: 100%|██████████| 1/1 [00:00<00:00, 7.16it/s]  
 Writing model shards: 100%|██████████| 1/1 [00:00<00:00, 11.82it/s]  
 Writing model shards: 100%|██████████| 1/1 [00:00<00:00, 8.36it/s]

There were missing keys in the checkpoint model loaded: ['albert.embeddings.LayerNorm.weight', 'albert.embeddings.LayerNorm.bias', 'albert.en  
 There were unexpected keys in the checkpoint model loaded: ['albert.embeddings.LayerNorm.beta', 'albert.embeddings.LayerNorm.gamma', 'albert.  
 Best checkpoint: albert-ner/checkpoint-210  
 Writing model shards: 100%|██████████| 1/1 [00:00<00:00, 3.28it/s]  
 Saved best model to: albert-ner/best\_model

-----  
 NameError Traceback (most recent call last)

```
Cell In[5], line 63
    59 tokenizer_albert.save_pretrained(best_dir)
    60 print("Saved best model to:", best_dir)
--> 63 metrics_albert = evaluate_model_performance(
    64     trainer,
    65     tokenized_datasets["test"],
    66     model_name="ALBERT (Fine-tuned)"
    67 )
    69 print("\nfinal results:")
    70 display(pd.DataFrame([metrics_albert]).round(4))
```

NameError: name 'evaluate\_model\_performance' is not defined

```
import numpy as np
```

```
def evaluate_model_performance(trainer, eval_dataset, label_list, metric, model_name="MyModel"):
    print(f"--- evaluating {model_name} ---")
```



```

predictions, labels, _ = trainer.predict(eval_dataset)
predictions = np.argmax(predictions, axis=2)

true_predictions = [
    [label_list[p] for (p, l) in zip(prediction, label) if l != -100]
    for prediction, label in zip(predictions, labels)
]
true_labels = [
    [label_list[l] for (p, l) in zip(prediction, label) if l != -100]
    for prediction, label in zip(predictions, labels)
]

results = metric.compute(predictions=true_predictions, references=true_labels)

return {
    "Model": model_name,
    "F1 Score": results["overall_f1"],
    "Recall": results["overall_recall"],
    "Precision": results["overall_precision"],
    "Accuracy": results["overall_accuracy"],
}

```

```

metrics_albert = evaluate_model_performance(
    trainer=trainer,
    eval_dataset=tokenized_datasets["test"],
    label_list=label_list,
    metric=metric,
    model_name="ALBERT (Fine-tuned)"
)

print("\nfinal results:")
display(pd.DataFrame([metrics_albert]).round(4))

```

--- evaluating ALBERT (Fine-tuned) ---

final results:

	Model	F1 Score	Recall	Precision	Accuracy
0	ALBERT (Fine-tuned)	0.8161	0.8481	0.7865	0.9643

```

import os, json
import matplotlib.pyplot as plt

def export_best_and_plot(trainer, tokenizer, output_dir="albert-ner", export_dirname="best_model", title="Training Progress"):
    # 1) Export best model to a clean folder
    best_export_dir = os.path.join(output_dir, export_dirname)
    os.makedirs(best_export_dir, exist_ok=True)

    # Trainer usually loads best model at end if load_best_model_at_end=True
    trainer.save_model(best_export_dir)
    tokenizer.save_pretrained(best_export_dir)
    print("Exported best model to:", best_export_dir)

    # 2) Save training history
    history = trainer.state.log_history
    history_path = os.path.join(output_dir, "training_history.json")
    with open(history_path, "w", encoding="utf-8") as f:
        json.dump(history, f, indent=2)
    print("Saved history to:", history_path)

    # 3) Plot train/val loss (epoch if available else step)
    use_epoch = any("epoch" in x for x in history)
    x_key = "epoch" if use_epoch else "step"

    train_x = [x[x_key] for x in history if "loss" in x and x_key in x]
    train_loss = [x["loss"] for x in history if "loss" in x and x_key in x]

    val_x = [x[x_key] for x in history if "eval_loss" in x and x_key in x]
    val_loss = [x["eval_loss"] for x in history if "eval_loss" in x and x_key in x]

```