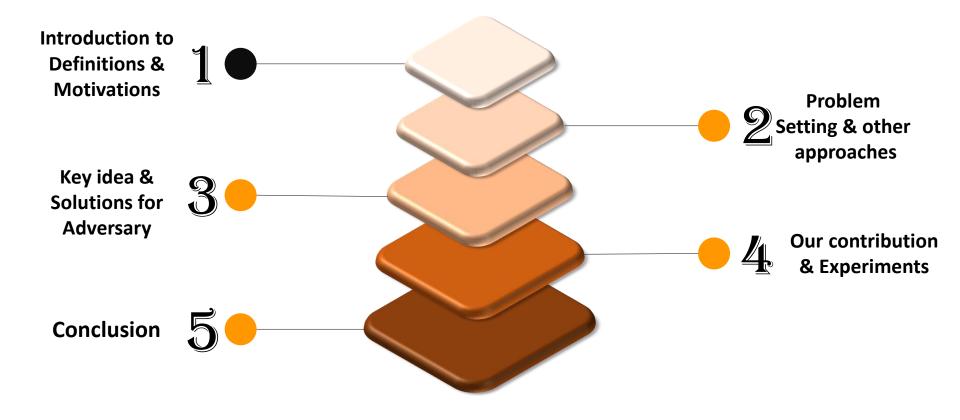
Universal Adversarial Perturbations

Author: M.A. Kiani, Mohammad Parsa Dini

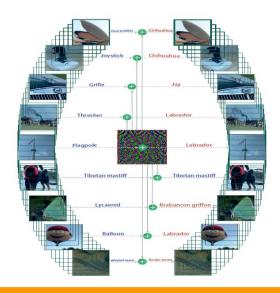
Mentor: M.R. Rahmani Instructor: Dr. Yassaee

Acknowledgments

M.A. Kiani B.Sc.C.E. m.a.kiani@gmail.com Mohammad Parsa Dini B.Sc.E.E. mohammadparsadinithefirst@gmail.com

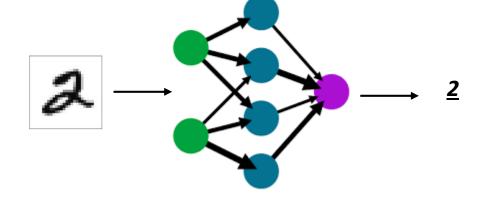


Definition:



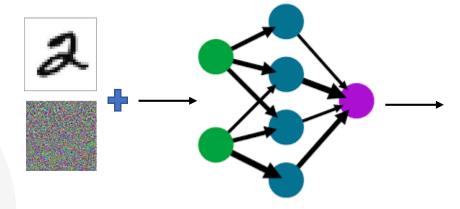
Can we find a **universal (image-agnostic)** and very small perturbation vector that causes natural images to be misclassified with high probability?

Preliminaries:



Feeding an image to the deep neural network and receive a softmax vector and then by decision-making we output the most probable label.

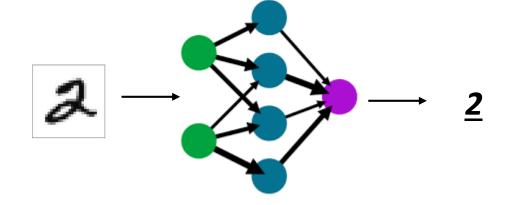




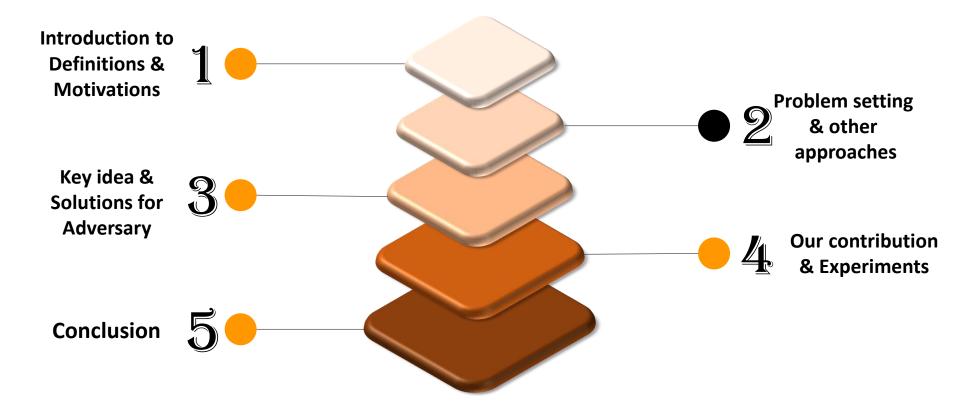
Anything but 2 (Untargeted) A specific label like 3 (Targeted)

There are two categories in adversary models, targeted and untargeted attacks.

Preliminaries:



The attacks can access and use gradient and weigths (White Box) or otherwise (Black Box).



FGSM & L-BFGS

- In order to find the perturbed vector v, we use the gradient on which the model was trained.
- If the parameters to model are θ and y is our label then: $v = \epsilon \operatorname{sign} \nabla_x \{ J(\theta, x, y) \}$
- Given an image x, their method finds a different image x that is similar to x under L2 distance, yet is labeled differently by the classifier.



"panda"
57.7% confidence



 $+.007 \times$

 $\begin{aligned} & \operatorname{sign}(\nabla_{\boldsymbol{x}}J(\boldsymbol{\theta},\boldsymbol{x},y)) \\ & \text{``nematode''} \\ & 8.2\% \operatorname{confidence} \end{aligned}$



 $x + \epsilon sign(\nabla_x J(\theta, x, y))$ "gibbon"

99.3 % confidence

minimize $c \cdot ||x - x'||_2^2 + \operatorname{loss}_{F,l}(x')$ such that $x' \in [0, 1]^n$

UAP

- μ is the distribution of images in R^d . $\chi = \{x_1, ..., x_m\}$ is the dataset.
- seeking perturbation vectors $v \in R^d$ that fool the classifier $f: R^d \to C$ on almost all data sampled from μ . In other words:

$$f(x+v) \neq f(x)$$
 for almost all $x \sim \mu$.

- The goal is to find v that satisfies the following two constraints:
 - 1. $\|v\|_{p} \leq \xi$
 - 2. $P_{x \sim u} [f(x + v) \neq f(x)] \ge 1 \delta$

UAP Algorithm

Algorithm 1 Computation of universal perturbations.

- 1: **input:** Data points X, classifier \hat{k} , desired ℓ_p norm of the perturbation ξ , desired accuracy on perturbed samples δ .
- 2: **output:** Universal perturbation vector v.
- 3: Initialize $v \leftarrow 0$.
- 4: while $Err(X_v) \leq 1 \delta \operatorname{do}$
- 5: **for** each datapoint $x_i \in X$ **do**
- 6: **if** $\hat{k}(x_i + v) = \hat{k}(x_i)$ **then**
- Compute the *minimal* perturbation that sends $x_i + v$ to the decision boundary:

$$\Delta v_i \leftarrow \arg\min_r \|r\|_2 \text{ s.t. } \hat{k}(x_i + v + r) \neq \hat{k}(x_i).$$

8: Update the perturbation:

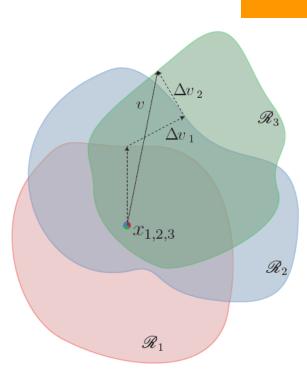
$$v \leftarrow \mathcal{P}_{p,\xi}(v + \Delta v_i).$$

- 9: end if10: end for
- 11: end while

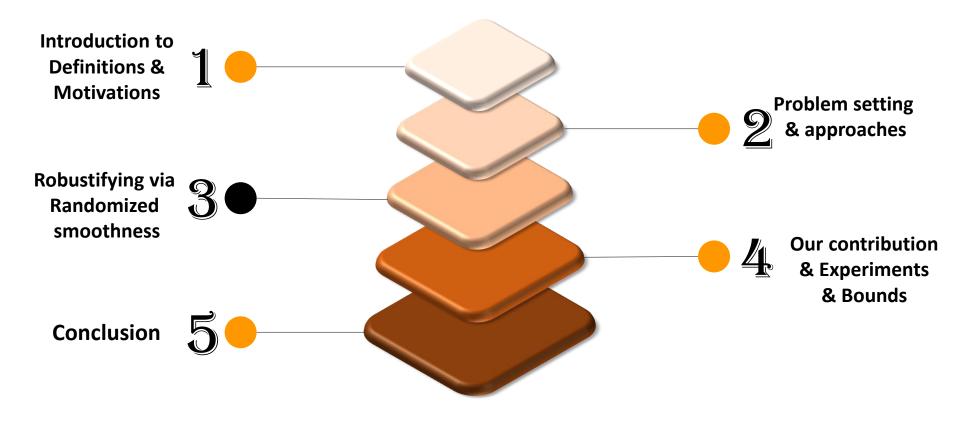
$$\operatorname{Err}(X_v) := \frac{1}{m} \sum_{i=1}^m 1_{\hat{k}(x_i + v) \neq \hat{k}(x_i)} \ge 1 - \delta.$$

$$\mathcal{P}_{p,\xi}(v) = \arg\min_{v'} \|v - v'\|_2$$
 subject to $\|v'\|_p \le \xi$.

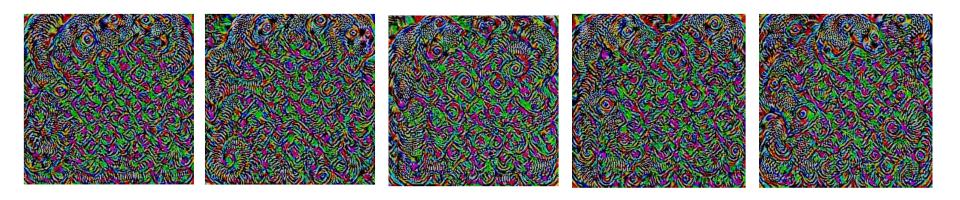
Visualization



This gradient indicates the direction in which the perturbation should be adjusted to increase the loss.



The space of perturbed vectors



Diversity of universal perturbations for the GoogLeNet architecture. The five perturbations are generated using different random shufflings of the set X. Note that the normalized inner products for any pair of universal perturbations does not exceed 0.1, which highlights the diversity of such perturbations

How does the norm effect the attack?

	VGG-F	CaffeNet	GoogLeNet	VGG-16	VGG-19	ResNet-152
VGG-F	93.7%	71.8%	48.4%	42.1%	42.1%	47.4 %
CaffeNet	74.0%	93.3%	47.7%	39.9%	39.9%	48.0%
GoogLeNet	46.2%	43.8%	78.9%	39.2%	39.8%	45.5%
VGG-16	63.4%	55.8%	56.5%	78.3%	73.1%	63.4%
VGG-19	64.0%	57.2%	53.6%	73.5%	77.8%	58.0%
ResNet-152	46.3%	46.3%	50.5%	47.0%	45.5%	84.0%

Randomized Smoothing

Consider a classification problem from \mathbb{R}^d to classes \mathcal{Y} . Randomized smoothing is a method for constructing a new, **smoothed classifier** \hat{f} from an arbitrary base classifier f.

■ When queried at x, the smoothed classifier \hat{f} returns whichever class the base classifier f is most likely to return when x is perturbed by isotropic Gaussian noise:

$$\hat{f}(x) = \underset{c \in \mathcal{Y}}{argmax} \ \mathbb{P}(f(x+\epsilon) = c)$$
 where $\epsilon \sim \mathcal{N}(0, \sigma^2 I)$ (1)

The noise level σ is a hyperparameter of the smoothed classifier \hat{f} which controls a robustness/accuracy tradeoff.

Theorem 1

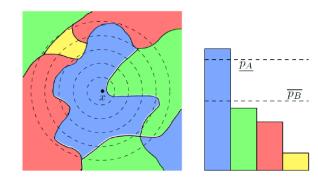
Theorem 1. Let $f: \mathbb{R}^d \to \mathcal{Y}$ be any deterministic or random function, and let $\epsilon \sim \mathcal{N}(0, \sigma^2 I)$. Let \hat{f} be defined as in (1). Suppose $C_A \in \mathcal{Y}$ and $P_A, \overline{P_B} \in [0, 1]$ satisfy:

$$\mathbb{P}(f(x+\epsilon) = C_A) \ge \underline{P_A} \ge \overline{P_B} \ge \max_{C \ne C_A} \mathbb{P}(f(x+\epsilon) = C)$$

Then $\hat{f}(x + \delta) = C_A$ for all $\|\delta\|_2 \le R$, where

$$R = \frac{\sigma}{2} (\Phi^{-1}(\underline{P_A}) - \Phi^{-1}(\overline{P_B}))$$

where Φ^{-1} is the inverse of the standard Gaussian CDF.



To prove Theorem 1, we need to find the Lipschitz constant of the smoothed classifier \hat{f} .

Let

$$f: \mathbb{R}^d \to [0, 1]$$
$$\hat{f}(x) = \mathbb{E}_{z \sim \mathcal{N}(0, I_d)}[f(x + \sigma z)]$$

It is well-known that \hat{f} is Lipschitz (it has uniform bound on the Lipschitz constant). In practice, we can approximate \hat{f} by empirical average

$$y^{(k)} = \sum_{i=1}^{k} f(x + \sigma z), \text{ where } z \sim \mathcal{N}(0, I_d)$$

It can be shown that if $k \to \infty$, $y^{(k)}$ almost surely converges to \hat{f} .

$$\hat{f}(x) = \mathbb{E}_{z \sim \mathcal{N}(0, I_d)}[f(x + \sigma z)] = \frac{1}{(2\pi)^{d/2}} \int f(x + \sigma z) \exp\{-\frac{\|z\|_2^2}{2}\} dz$$

 $\hat{f}(x)$ is the weighted average of f(x) in the vicinity of x.

Hence, We calculate $\|\nabla_x \hat{f}(x)\|_2$ in order to find the **upper bound on Lipschitz constant** of \hat{f} .

$$\hat{f}(x) = f * g_{\sigma}$$

$$\nabla_x \hat{f}(x) = \nabla_x (f * g_{\sigma})$$

 $\hat{f}(x) = f * q_{\sigma}$

$$\nabla_{x}\hat{f}(x) = \nabla_{x}(f * g_{\sigma})$$

$$\nabla_{x}\hat{f}(x) = \nabla_{x}(f * g_{\sigma}) = f * \nabla_{x}g_{\sigma}$$

$$\nabla_{x}\hat{f}(x) = \int f(x - w) \frac{-w}{\sigma^{2}} g_{\sigma}(w)dw$$

Change of variable: $w = -\sigma z$. We get

$$\nabla_x \hat{f}(x) = \int f(x+\sigma z) \frac{\sigma z}{\sigma^2} g_{\sigma}(-\sigma z) \sigma^d dz = \int f(x+\sigma z) \frac{z}{\sigma} \frac{\sigma^d}{(2\pi)^{d/2} \sigma^d} \exp\{-\frac{\|-\sigma z\|_2^2}{2\sigma^2}\} dz$$
$$= \int f(x+\sigma z) \frac{z}{\sigma} \underbrace{\frac{1}{(2\pi)^{d/2}} \exp\{-\frac{\|z\|_2^2}{2}\}}_{\mathcal{N}(0,I_2)} dz = \mathbb{E}_{z \sim \mathcal{N}(0,I_d)} [f(x+\sigma z) \frac{z}{\sigma}]$$

Therefore, for Lipschitz constant of \hat{f} , we have

$$L_{\hat{f}} \leq \|\nabla_x \hat{f}(x)\|_2 \Rightarrow L_{\hat{f}} \leq \|\mathbb{E}_{z \sim \mathcal{N}(0, I_d)}[f(x + \sigma z)_{\in [0, 1]} \frac{z}{\sigma}]\|_2$$

Change of variable: $w = -\sigma z$. We get

$$\nabla_x \hat{f}(x) = \int f(x+\sigma z) \frac{\sigma z}{\sigma^2} g_{\sigma}(-\sigma z) \sigma^d dz = \int f(x+\sigma z) \frac{z}{\sigma} \frac{\sigma^d}{(2\pi)^{d/2} \sigma^d} \exp\{-\frac{\|-\sigma z\|_2^2}{2\sigma^2}\} dz$$
$$= \int f(x+\sigma z) \frac{z}{\sigma} \underbrace{\frac{1}{(2\pi)^{d/2}} \exp\{-\frac{\|z\|_2^2}{2}\}}_{\mathcal{N}(0,I_d)} dz = \mathbb{E}_{z \sim \mathcal{N}(0,I_d)} [f(x+\sigma z) \frac{z}{\sigma}]$$

Therefore, for Lipschitz constant of \hat{f} , we have

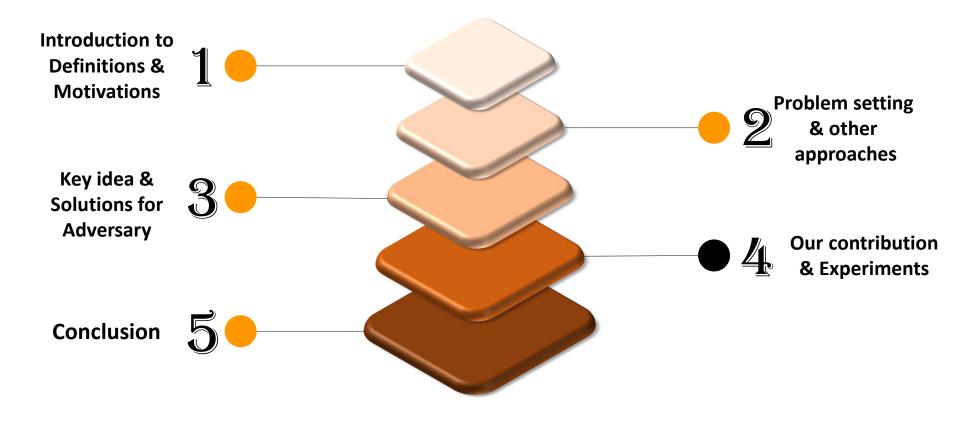
$$\begin{split} L_{\hat{f}} &\leq \|\nabla_x \hat{f}(x)\|_2 \Rightarrow L_{\hat{f}} \leq \|\mathbb{E}_{z \sim \mathcal{N}(0,I_d)}[f(x+\sigma z)\frac{z}{\sigma}]\|_2 \leq \mathbb{E}_{z \sim \mathcal{N}(0,I_d)}[\|\underbrace{f(x+\sigma z)}_{\in [0,1]}\frac{z}{\sigma}\|_2 \\ &\leq \frac{1}{\sigma}\mathbb{E}_{z \sim \mathcal{N}(0,I_d)}[\|z\|_2] \end{split}$$

Therefore, for Lipschitz constant of \hat{f} , we have

$$\begin{split} L_{\hat{f}} &\leq \|\nabla_x \hat{f}(x)\|_2 \Rightarrow L_{\hat{f}} \leq \|\mathbb{E}_{z \sim \mathcal{N}(0,I_d)}[f(x+\sigma z)\frac{z}{\sigma}]\|_2 \leq \mathbb{E}_{z \sim \mathcal{N}(0,I_d)}[\|\underbrace{f(x+\sigma z)}_{\in [0,1]}\frac{z}{\sigma}\|_2] \\ &\leq \frac{1}{\sigma}\mathbb{E}_{z \sim \mathcal{N}(0,I_d)}[\|z\|_2] \leq \frac{1}{\sigma}\sqrt{\mathbb{E}_{z \sim \mathcal{N}(0,I_d)}[\|z\|_2^2]} \end{split}$$

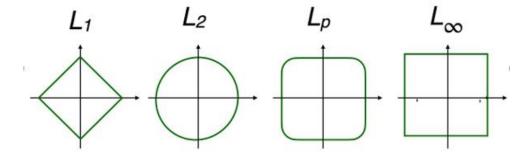
Note: Since $f(x) = x^2$ is a convex function, for random variable X, we have $f(\mathbb{E}[g(X)]) \leq \mathbb{E}[f(g(X))] \Rightarrow \mathbb{E}^2[g(X)] \leq \mathbb{E}[g^2(X)] \Rightarrow \mathbb{E}[g(X)] \leq \sqrt{\mathbb{E}[g^2(X)]}$

$$L_{\hat{f}} \leq \frac{1}{\sigma} \sqrt{\mathbb{E}_{z \sim \mathcal{N}(0, I_d)}[\|z\|_2^2]} \qquad \qquad L_{\hat{f}} \leq \frac{\sqrt{d}}{\sigma}$$



How does the norm effect the attack?

The Lp Norm



Since l_∞ norm measures the maximum change to any pixel in the image, Perturbations under the l_∞ norm are also small but can be more effective in causing misclassification compared to l_2 and l_1 . And As we can see, the results are as we expected.

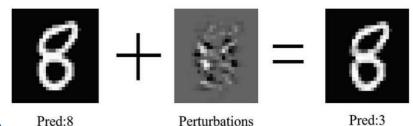
Our method's algorithm

Algorithm 1 Computation of Universal Perturbations

Require: Data points X, classifier \hat{k} , desired p-norm of the perturbation ξ , desired accuracy on perturbed samples ϵ , .

Ensure: Universal perturbation vector v.

- 1: Initialize $v \leftarrow 0$.
- 2: while $Err(X+v) \geq 1-\epsilon$ do
- 3: for each datapoint $x_i \in X$ do
- 4: if $\hat{k}(x_i + v) = \hat{k}(x_i)$ then
- * Compute the minimal perturbation that sends $x_i + v$ to the decision boundary: $\Delta v_i \leftarrow \arg\min_r ||r||_{\infty} + s.t.$ $\hat{k}(x_i + v + r) \neq \hat{k}(x_i)$
- 6: * Update the perturbation: $\mathbf{v} \leftarrow P_{p,\xi}(\mathbf{v} + \Delta \mathbf{v}_i)$
- 7: end if smooth the image with RBF Kernel. $X_{out} = (X + v) * K_{RBF}$
- 8: end for
- 9: end while



Accuracy dump after attack on LeNet model &

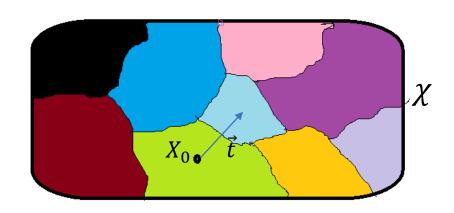
Model	Acc. Before attack	Acc. After Attack
FGSM	94.2%	18.3%
PGD	94.2%	21.7%
LBFGS	94.2%	27.6%
UAP	94.2%	29.1%
Our prop. method	94.2%	38.5%

$$E_{X \sim P_X(x)} \left\{ \sup_{\tilde{t} \in T} H\left(\hat{f}(x+t)\right) - H\left(\hat{f}(x)\right) \right\} \le \int_0^\infty \sqrt{\log(N(m,d,\chi))} \ dm$$

$$L_{\hat{f}} \leq \frac{\sqrt{d}}{\sigma}$$
 Liptschitz constant via

Randomized smoothing

Index $\tilde{t} \in T$ in Hamming space of the corresponding vector x + t



num of labels: CMaximum num of adjacent classes in hamming space d < C

We used Liptschitzness on classifier (our metric):

$$(f(x+t), f(x+s))_H \le c. L_{\hat{f}} ||\tilde{t} - \tilde{s}||_p$$

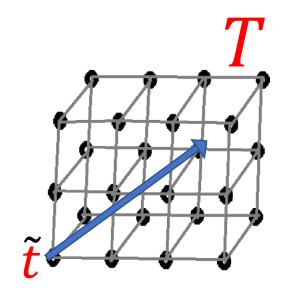
$$E_{X \sim P_X(x)} \left\{ \sup_{\tilde{t} \in T} H\left(\hat{f}(X+t)\right) - H\left(\hat{f}(X)\right) \right\} \le \sup_{m} m \sqrt{\log(N(m,d,\chi))}$$

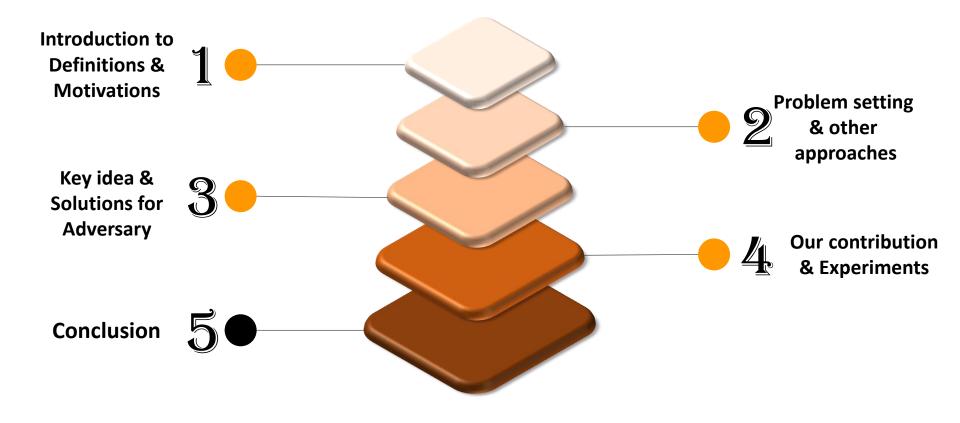
Where for hamming distance we know:

$$\frac{2^d}{\sum_{k=0}^{m} \binom{d}{k}} \le N(m, d, \chi) \le \frac{2^d}{\sum_{k=0}^{m/2} \binom{d}{k}}$$

Which finally leads to:

Which finally leads to:
$$E_{X \sim P_X(x)} \left\{ \sup_{\tilde{t} \in T} |H(f(X+t)) - H(\hat{f}(x))| \right\} \leq \sup_{m} m \frac{2^d}{\sum_{k=0}^{m/2} \binom{d}{k}}$$





Conclusion & Future Works

In this presentation we have reviewed the general literature regarding adverserial attack algorithms and possible defenses against it.

- We have simulated a different attacking strategy using some p-norms and have reached noticing accuracy.
- For structural difference images it can adapt itself and have creativity.

There are many more possible future research directions regarding this problem. For instance, we can assume upper bound on Wasserstein distance between perturbed and original distributions, and explore the expected adversarial error for gaussian smoothing distribution.

References

Additional Resources

itinerai/us_places.

https://huggingface.co/datasets/itinerai/us_places

pcuenq/Isun-bedrooms.

https://huggingface.co/datasets/pcuenq/lsunbedrooms.

Yaron Cruz, Image Processing, Aug 2024. https://www.slideserve.com/yaron/image-processing.

Main Sources

Certified Adversarial Robustness via Randomized Smoothing

arXiv:2310.16047

Universal Adversarial Perturbation (UAP)

arXiv:2310.16047

