```
In []: # ============ Concise Model Explanation with Key Details ==============
       # 1. Libraries Used and Purposes:
               # 1.1. Numpy and Pandas: Data handling and numerical operations.
               # 1.2. Scikit-learn: Data splitting, feature scaling (StandardScaler), class weights, and evaluation me
              # 1.3. Scipy: Loading matrix files for gene expression data.
               # 1.4. TensorFlow/Keras: Neural network modeling (Sequential API, Dense layers, Dropout, Adam optimizer
               # 1.5. Matplotlib and Seaborn: Visualization of model performance, including training history and confu
               # 1.6. SHAP: Interpreting feature contributions and creating summary plots.
       # 2. Data Preparation:
               # - Standardization: Scaled the gene expression data to ensure consistent ranges across features.
               # - Class Weights: Computed class weights to handle the class imbalance problem in PR, SD, and PD predi
       # 3. Model Architecture:
               # - Neural Network Structure:
               # - Three fully connected hidden layers with ReLU activation to capture complex relationships between f
               # - Dropout regularization (50%) applied after each hidden layer to prevent overfitting.
               # - Softmax output layer for multi-class classification across PR, SD, and PD.
       # 4. Model Training:
               # - Class Weights Application: Applied class weights during training to ensure balanced learning.
               # - Optimizer and Loss Function: Adam optimizer with categorical crossentropy loss for efficient learni
       # 5. Evaluation Metrics:
               # - ROC-AUC Score: Evaluated the model's performance using the multi-class ROC-AUC metric.
               # - Confusion Matrix and Classification Report: Provided comprehensive performance insights for each re
       # 6. Model Interpretation with SHAP:
               # - SHAP DeepExplainer: Explained feature contributions using a random subset of training data as backg
               # - SHAP Summary Plots:
               # - Beeswarm plots showed individual sample impacts.
               # - Bar plots identified overall feature importance.
# ______
       # Core libraries for data handling and numerical operations
       import numpy as np
       import pandas as pd
       # Machine learning preprocessing
       from sklearn.model_selection import train_test_split # For splitting the data
       # For standardizing the features (MinMaxScaler, RobustScaler: KAVEH)
       from sklearn.preprocessing import StandardScaler, MinMaxScaler, RobustScaler
       # Library for reading matrix files
       from scipy.io import mmread # To read .mtx files commonly used in genomics
       # Neural network libraries from Keras
       from tensorflow.keras.models import Sequential # For creating a linear stack of layers in the neural network
       from tensorflow.keras.layers import Dense, Dropout # 'Dense' for fully connected layers, 'Dropout' for regular
       from tensorflow.keras.optimizers import Adam # Optimizer for compiling the neural network
       # Additional libraries for reproducibility across multiple runs
       import tensorflow as tf
       import random
       import os
                                   ======= MODEL EVALUATION IMPORTS =======
       # Import libraries necessary for model evaluation after training
       from sklearn.metrics import classification report, confusion matrix, roc auc score # Evaluation metrics
       import seaborn as sns # For high-level, attractive statistical visualizations
import matplotlib.pyplot as plt # For creating static, interactive, and animated visualizations in Python
In [3]: # ------ 2. SET RANDOM SEED ------
       # -----
       # Set a seed value
       seed value = 42 # we can choose any number
       # 1. Set `PYTHONHASHSEED` environment variable at a fixed value
       os.environ['PYTHONHASHSEED'] = str(seed_value)
       # 2. Set `python` built-in pseudo-random generator at a fixed value
       random.seed(seed value)
       # 3. Set `numpy` pseudo-random generator at a fixed value
       np.random.seed(seed_value)
       # 4. Set the `tensorflow` pseudo-random generator at a fixed value
       tf.random.set_seed(seed_value)
                       # Setting a random seed in our code helps to ensure that the results are reproducible by making
```

In this pipeline, working with Keras and other libraries like NumPy and potentially TensorFlow,

the random number generation predictable.

```
# we should set seeds for all these libraries to ensure consistency.
In [4]:
        gene_names_path = '/mnt/data/10.DL_scRNAseq_OMID_SURAJ/3.Study_Files/Subset_Seurat_Object/\
ICB_Only_NoICB_ICBNE_Excluded/Matrix_Files/ICB_Only_NoICB_ICBNE_Excluded_genes.tsv'
        gene_names = pd.read_csv(gene_names_path, header=None, sep='\t')
        gene names list = gene names[0].tolist() # Convert gene names to a list
        # Load the expression matrix
        expression matrix path = '/mnt/data/10.DL scRNAseq OMID SURAJ/3.Study Files/\
        Subset Seurat Object/ICB Only NoICB ICBNE Excluded/Matrix Files/ICB Only NoICB_ICBNE_Excluded_expression_matrix
        expression_matrix = mmread(expression_matrix_path).toarray()
        # Transpose the expression matrix so that genes become columns and cells become rows
        X = pd.DataFrame(expression_matrix.T, columns=gene_names_list)
        # Load your metadata
        metadata path = '/mnt/data/10.DL scRNAseq OMID SURAJ/3.Study Files/Subset Seurat Object/\
        ICB Only NoICB ICBNE_Excluded/ICB_Only_NoICB_ICBNE_Excluded_Cells_Metadata.csv'
        metadata = pd.read csv(metadata path)
        # One-hot encode the labels
        y = pd.get_dummies(metadata['ICB Response'])
        # Ensure the gene names match the number of columns in the transposed expression matrix
        if X.shape[1] != len(gene_names_list):
            raise ValueError("The number of gene names does not match the number of features in the transposed expressi
        # Split into training and testing sets
        X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.3, stratify=y)
        # Standardize the features
        scaler = StandardScaler()
        X train scaled = scaler.fit transform(X train)
        X test scaled = scaler.transform(X test)
        # Print the number of genes and features to confirm correct loading and transposition
        print(f"Number of genes in gene file: {len(gene names list)}")
        print(f"Number of features (genes) in the matrix: {X.shape[1]}")
        # Now your data is ready for model training
        Number of genes in gene file: 29898
        Number of features (genes) in the matrix: 29898
In [6]: # ========== 4. Class Weights Calculation for my imbalance data (PR, SD, PD) =============
        from sklearn.utils.class_weight import compute_class_weight
        # Calculate class weights
labels = metadata['ICB Response'].values
        class_weights = compute_class_weight(class_weight='balanced', classes=np.unique(labels), y=labels)
        class_weights_dict = {i: weight for i, weight in enumerate(class_weights)}
In [7]: # ======
                       ======== 4. BUILD MODEL ==============
        # Define the model architecture
        model = Sequential()
        # Input layer: Implicitly defined by the input dim parameter of the first Dense layer.
        # First Dense layer with more units to capture more complex relationships
        model.add(Dense(64, activation='relu', input dim=X train_scaled.shape[1]))
        # First Dropout layer remains the same
        model.add(Dropout(0.5))
        # Second Dense layer with 64 units, the same as the first to maintain capacity
        model.add(Dense(64, activation='relu'))
        # Second Dropout layer remains the same
        model.add(Dropout(0.5))
        # Adding a third Dense layer to add depth to the model
        model.add(Dense(32, activation='relu'))
        # Third Dropout layer remains the same
        model.add(Dropout(0.5))
        # Output layer with 3 units for the three classes (PR/SD/PD)
```

```
Epoch 1/50
   val_accuracy: 0.3756
   Epoch 2/50
   1099/1099 [============== - 7s 7ms/step - loss: 1.6091 - accuracy: 0.4101 - val loss: 0.8674 -
   val accuracy: 0.6090
   Epoch 3/50
   val_accuracy: 0.8076
   Epoch 4/50
   val accuracy: 0.8383
   Epoch 5/50
   val accuracy: 0.9089
   Epoch 6/50
   1099/1099 [==
            =======] - 8s 8ms/step - loss: 0.6425 - accuracy: 0.8215 - val loss: 0.4067 -
   val accuracy: 0.8956
   Epoch 7/50
   val_accuracy: 0.9263
   Epoch 8/50
   1099/1099 [=======
           val_accuracy: 0.9222
   Epoch 9/50
   val_accuracy: 0.9324
   Epoch 10/50
   1099/1099 [==
               ======] - 8s 7ms/step - loss: 0.2751 - accuracy: 0.9255 - val loss: 0.3163 -
   val_accuracy: 0.9099
   Epoch 11/50
   val accuracy: 0.9406
   Epoch 12/50
   1099/1099 [==
         val_accuracy: 0.9110
   Epoch 13/50
   val accuracy: 0.9110
   Epoch 14/50
   val_accuracy: 0.9253
   Epoch 15/50
   val_accuracy: 0.9345
   Epoch 16/50
   val accuracy: 0.9191
   Epoch 17/50
   1099/1099 [==
             ========= 1 - 8s 8ms/step - loss: 0.1325 - accuracy: 0.9685 - val loss: 0.3594 -
   val_accuracy: 0.9304
   Epoch 18/50
   val accuracy: 0.9447
   Epoch 19/50
   1099/1099 [=
            :========] - 8s 8ms/step - loss: 0.1678 - accuracy: 0.9746 - val loss: 0.3420 -
   val accuracy: 0.9099
   Epoch 20/50
   val accuracy: 0.9406
   Epoch 21/50
   val accuracy: 0.9376
   Epoch 22/50
   val accuracy: 0.9253
   Epoch 23/50
   val accuracy: 0.9048
   Epoch 24/50
   val_accuracy: 0.9447
```

```
Epoch 25/50
  1099/1099 [==
            :=========] - 9s 8ms/step - loss: 0.1279 - accuracy: 0.9832 - val_loss: 0.3655 -
   val accuracy: 0.9417
  Epoch 26/50
  val_accuracy: 0.9161
  Epoch 27/50
  val_accuracy: 0.9253
  Epoch 28/50
  val accuracy: 0.9488
  Epoch 29/50
  val accuracy: 0.9488
  Epoch 30/50
  val accuracy: 0.9284
  Epoch 31/50
  val accuracy: 0.9345
  Epoch 32/50
  val accuracy: 0.9161
  Epoch 33/50
  val_accuracy: 0.9376
  Epoch 34/50
  1099/1099 [==
           val accuracy: 0.9365
  Epoch 35/50
  val_accuracy: 0.9386
  Epoch 36/50
  val accuracy: 0.9253
  Epoch 37/50
  val accuracy: 0.9130
  Epoch 38/50
  val_accuracy: 0.9335
  Epoch 39/50
  val_accuracy: 0.9150
  Epoch 40/50
  1099/1099 [==
            ========] - 9s 8ms/step - loss: 0.1398 - accuracy: 0.9745 - val loss: 0.4258 -
  val accuracy: 0.9468
  Epoch 41/50
  1099/1099 [====
        val accuracy: 0.9406
  Epoch 42/50
  1099/1099 [==
          :================] - 9s 8ms/step - loss: 0.1416 - accuracy: 0.9777 - val loss: 0.4596 -
  val accuracy: 0.9324
  Epoch 43/50
  1099/1099 [=
        val_accuracy: 0.9488
  Epoch 44/50
  1099/1099 [=
         val accuracy: 0.9386
  Fnoch 45/50
  val accuracy: 0.9488
  Epoch 46/50
  val accuracy: 0.9243
  Epoch 47/50
  val_accuracy: 0.9406
  Epoch 48/50
  val accuracy: 0.9232
  Epoch 49/50
  1099/1099 [=
          val_accuracy: 0.9243
  Epoch 50/50
  val_accuracy: 0.9386
# Evaluate on test set
   evaluation = model.evaluate(X_test_scaled, y_test)
  print(f'Test Loss: {evaluation[0]}, Test Accuracy: {evaluation[1]}')
   # Plot training history
  import matplotlib.pyplot as plt
```

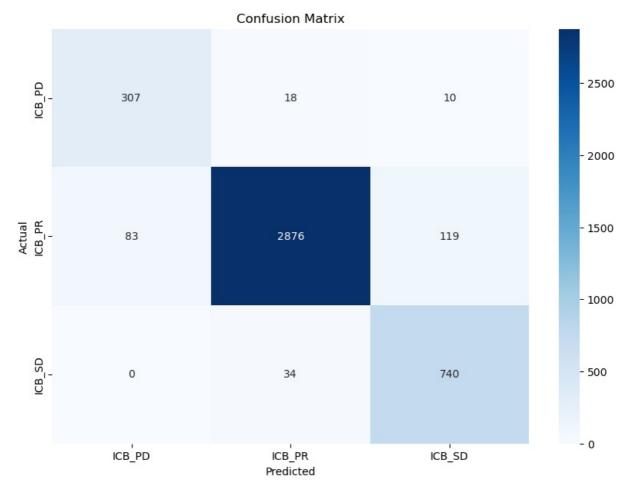
plt.figure(figsize=(14, 5))

```
# Add an overall title for the figure
plt.suptitle('ICB (No_ICB & ICB_NE Excluded)\Filters: (min.cells=3) + QC metrics (200<nFeature<6000 & \
                 500<nCount<25000 & percent.mito<10) / \n batch size=8' )
# # (200<nFeature<6000 & 500<nCount<25000 & percent.mito<10)
# Plot training & validation accuracy values
plt.subplot(1, 2, 1)
plt.plot(history.history['accuracy'])
plt.plot(history.history['val_accuracy'])
plt.title('Model accuracy')
plt.ylabel('Accuracy')
plt.xlabel('Epoch')
plt.legend(['Train', 'Test'], loc='upper left')
# Plot training & validation loss values
plt.subplot(1, 2, 2)
plt.plot(history.history['loss'])
plt.plot(history.history['val_loss'])
plt.title('Model loss')
plt.ylabel('Loss')
plt.xlabel('Epoch')
plt.legend(['Train', 'Test'], loc='upper left')
plt.show()
                                     =====] - 0s 2ms/step - loss: 0.4941 - accuracy: 0.9369
131/131 [=
Test Loss: 0.49412617087364197, Test Accuracy: 0.9369477033615112
```

```
ICB (No_ICB & ICB_NE Excluded)\Filters: (min.cells=3) + QC metrics (200<nFeature<6000 &
                                                                                                           500<nCount<25000 & percent.mito<10) /
                                                                     batch size=8
                                 Model accuracy
                                                                                                             Model loss
      1.0
                Train
                                                                                4.0
                                                                                          Train
                Test
                                                                                          Test
                                                                                3.5
      0.9
                                                                                3.0
      0.8
                                                                                2.5
      0.7
                                                                              S 2.0
      0.6
                                                                                1.5
                                                                                1.0
       0.5
                                                                                0.5
      0.4
                                                                                0.0
                        10
                                              30
                                                                                                 10
                                                                                                            20
                                                                                                                        30
                                   20
```

```
========== 7. DETAILED EVALUATION OF THE MODEL ================================
In [11]:
         # Import necessary libraries for evaluation
         from sklearn.metrics import classification_report, confusion_matrix, roc_auc_score
         import seaborn as sns
         import matplotlib.pyplot as plt
         # Obtain the model's predictions on the test set
         predictions = model.predict(X_test_scaled)
         predicted classes = np.argmax(predictions, axis=1) # Convert probabilities to class labels
         actual_classes = np.argmax(y_test.to_numpy(), axis=1) # Convert one-hot encoded labels back to class labels
         # ROC-AUC Score
         # Calculate the ROC-AUC score which provides an aggregate measure of performance across all possible classifica
         roc_auc = roc_auc_score(y_test, predictions, multi_class='ovr')
         print(f'ROC-AUC Score: {roc_auc}')
         # Classification Report
         # Generate a classification report which includes precision, recall, f1-score for each class
         print(classification report(actual classes, predicted classes, target names=y test.columns))
         # Confusion Matrix
         # Generate and plot the confusion matrix to visualize the performance of the classification algorithm
         conf_matrix = confusion_matrix(actual_classes, predicted_classes)
         plt.figure(figsize=(10, 7)) # You can adjust the size as needed
         sns.heatmap(conf_matrix, annot=True, fmt='g', cmap='<mark>Blues</mark>', xticklabels=y_test.columns, yticklabels=y_test.colu
         plt.xlabel('Predicted')
         plt.ylabel('Actual')
         plt.title('Confusion Matrix')
         plt.show()
```

131/131 [==== ROC-AUC Score			====] - 0s	2ms/step
NOC-AUC SCOTC	precision		f1-score	support
ICB_PD ICB_PR ICB_SD	0.79 0.98 0.85	0.92 0.93 0.96	0.85 0.96 0.90	335 3078 774
accuracy macro avg weighted avg	0.87 0.94	0.94 0.94	0.94 0.90 0.94	4187 4187 4187



In [12]: # To confirm the order of the classes, & inspect the column names of the one-hot encoded y variable print(y.columns)

```
Index(['ICB_PD', 'ICB_PR', 'ICB_SD'], dtype='object')
In [19]:
                            # Import SHAP library for model interpretation
       import shap
       # Create a SHAP explainer
       # The SHAP explainer will explain the output of the neural network
       # Sample a larger random subset from the training set as the background for a complex dataset
       background = shap.utils.sample(X train scaled, 100) # * we can adjust the number based on the complixity our d
       # Create the SHAP explainer with the selected background dataset
       explainer = shap.DeepExplainer(model, background)
       # Compute SHAP values
       # These values represent the impact of each feature on the model's prediction
       shap_values = explainer.shap_values(X_test_scaled)
                                                   Plot SHAP values
       ______
       # This plot will help understand how each feature influences the model's predictions
       # Plot SHAP values for overall feature importance across all classes
       # This plot will help understand the overall feature importance across all classes
       shap.summary_plot(shap_values, X_test_scaled, plot_type='bar', feature_names=X.columns)
       # * If our dataset is very large or our model is complex, using more background samples
```

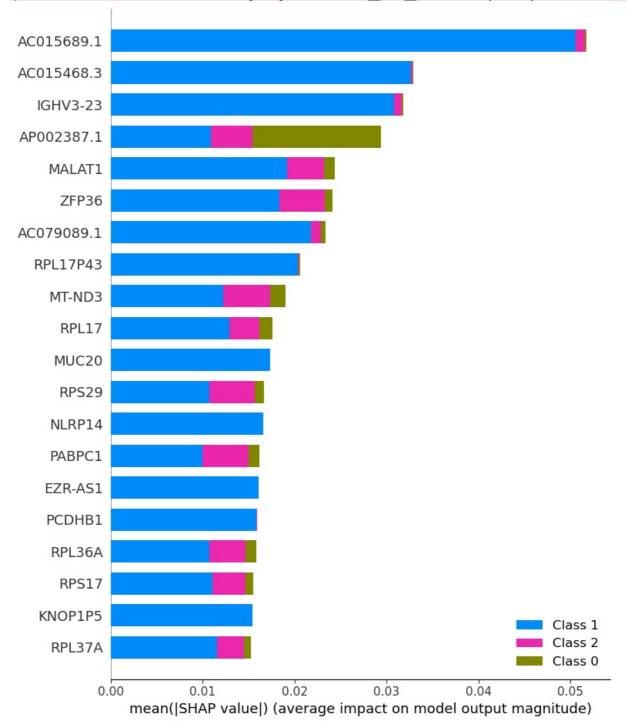
```
# can be beneficial for a more accurate interpretation, but it will also demand more computational resources.
# - For a simple dataset: 100-300 samples
```

- For complex datasets: 500-1000 or more

- starting with a smaller number of background samples: 100-500 samples

Your TensorFlow version is newer than 2.4.0 and so graph support has been removed in eager mode and some static graphs may not be supported. See PR #1483 for discussion.

tf.keras.backend.set_learning_phase` is deprecated and will be removed after 2020-10-11. To update it, simply pass a True/False value to the `training` argument of the `__call__` method of your layer or model.



In [17]: # == ======= Interpretation of the above plot ======= # - This plot highlights which features the model finds most predictive for each class. # - By examining individual feature impacts, researchers can understand which genes are most critical in # distinguishing among the response classes. # - The relatively smaller green bar segments across features suggest less differentiation for PD as compar # Strong Biomarker Genes:

1- PR:

- # The large contributions of AC015689.1 and AC015468.3 to PR suggest they could be considered potenti # biomarkers indicative of a partial response.
- # Genes like AC015689.1 and AC015468.3 stand out because their contributions to PR are highly signifi # they contribute less to SD or PD, making them more distinct as primary biomarkers for PR.

- # MALAT1 and other features (e.g., ZFP36, MT-ND3, RPS29, and PABPC1) do show substantial contribution
- # If a feature (gene) is contributing positively to more than one class but at different levels,
- # it may not be the most reliable stand-alone biomarker for differentiating those classes.
- # For instance, MALAT1 has more influence on PR than SD, making it less reliable for specifically ide
- # MALAT1, ZFP36, and similar genes could serve as supporting features for SD predictions but might re

```
# additional complementary biomarkers to achieve higher specificity.
        # ======== My suggested strategies for Reliable Biomarker Identification ========
        # =========
        # 1- Combining Features:
               # - Panel Approach:
               # - Use multiple features in combination to create a predictive panel. For example, while MALAT1 and ZF
               # might not differentiate well between PR and SD, combining their contributions with other distinct fea
        # 2- Grouping genes into higher-order biological features:
               # we can group genes into higher-order biological features like pathways, networks, or functional categ
               # this allows models to capture underlying biological processes and relationships better.
               # ====== Gene Grouping Based on:
                   # 1- Pathway-Based Transformation:
                       # we can aggregate and group genes into pathways (e.g. KEGG, Reactome, and GO)
                   # 2- Gene Set Enrichment Analysis (GSEA):
                       # we can identify enriched pathways or gene sets
                   # 3- Protein-Protein Interaction (PPI) Networks:
                       # we can use PPI networks to group genes based on their protein interactions and identify signi
                   # 4- Gene Ontology (GO):
                       # We can group features into functional categories using GO annotations.
                   # ====== Advantages of this approach
                   # 1- Reduction of Dimensionality
                   # 2- Biological Relevance:
                   # The model can focus on features that have known biological functions rather than isolated genes.
                   # 3- Predictive Power:
                   # This approach may highlight pathways or processes predictive of the clinical outcomes we are stud
        # ========= 9. Extract shap values and their genes and save it in a csv file =======
In [ ]:
        import pandas as pd
        # Convert SHAP values to a 1D array for the DataFrame
        shap values 1d = shap array[non zero indices].flatten()
        # Create a DataFrame with gene names and their corresponding SHAP values
        shap_summary_df = pd.DataFrame({
             Gene': non zero gene names,
            'SHAP Value': shap_values_1d
        })
        # ===== # Save the DataFrame to a CSV file
        # Define the desired file path
        file path = "~/Desktop/Python/DL Sc RNA Seq/1.First try/1.Basic DNN scRNAseq PR SD PD/Shap Value Gene names/\
        shap_values_with_genes_backgroundSamples100.csv"
        # Expand the user's home directory symbol '~' to the full path
        full_file_path = os.path.expanduser(file_path)
        # Ensure the directory exists, create if it doesn't
        os.makedirs(os.path.dirname(full file path), exist ok=True)
        # Save the DataFrame to the CSV file at the specified path
        shap summary df.to csv(full file path, index=False)
        print(f"SHAP values with gene names saved to '{full_file_path}'")
```