### **Transformers**

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### Transformer

- Developed at Google in 2017 by Vaswani et.al.
- Works on a sequence of tokens (e.g. a sentence, document, etc)
- Often used as encoder decoder model
- Utilizes self-attention



## Transformer

	Transformer	RNN
Sequence length	fixed	Infite in theory
Attention	Self Attention	Bahdanau or Luong Attention
Parsing the input sequence	All at once	One by one





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### **Tokenization**

### Word2Vec and FastText

- Word2Vec: One token per word (word == token)
- FastText: One token per subword. Subword is character N-Gram. Example: Use 3 and 4-Grams of a word. Word: "School" 'school' → ['sch', 'cho', 'hoo', 'ool', 'scho', 'choo', 'hool']
- FastText of Word2Vec:

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- Word2Vec has fewer tokens
- FastText can represent OOV words





### WordPiece Tokenization

- Developed at Google in 2015 by Wu et. al. (https://arxiv.org/pdf/1609.08144.pdf)
- Split text into tokens that can be subwords or full words
- Algorithm:

### Input:

- Size of vocabulary
- Corpus
- 1. Start with one token == one character
- 2. Combine two tokens into a new token. Use the combination that appears most often in the corpus.
- 3. Add this new token to the vocabulary
- 4. Repeat until #tokens = size of vocabulary



## WordPiece Tokenization (cont'd)

Example:

Vocab Size: 4

Corpus: snowboard, snow, snowboarding, surfing, surfboarding, surf

Tokens:

- snow
- board
- ing
- surf
- We only need 4 tokens to represent all words:
  - snowboard = snow + ##board
  - snowboarding = snow + ##board + ##ing
- Can be applied to language such as Chinese or Japanese



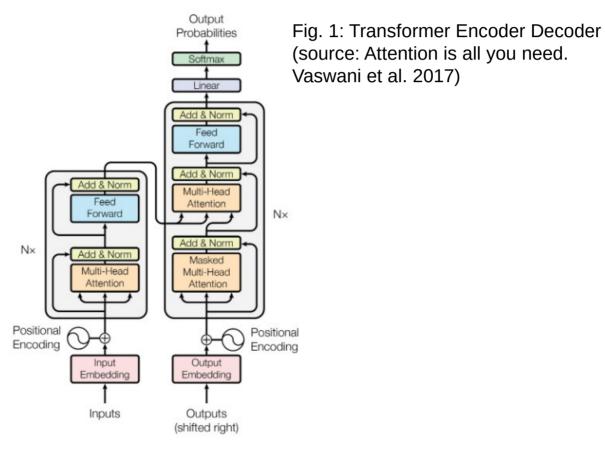
# Preprocessing

- Add special tokens to the text
- [CLS] Special token at the start of each input sequence. The embedding for this will often be used for classification. Learns information about the whole sequence.
- [PAD] We always feed a fixed length sequence of text. Usually our input sequence is smaller and needs to be padded to have this length. This is done by the padding token.
- [SEP] We might feed several sentences or documents to the model. Each of them is separated by the separator token.
- [MASK] During training we might want to hide tokens and predict them. These are replaced with the mask token
- Example: Model sequence size = 12. Input: "I like cake. You like cake"
  - → [CLS] I like cake [SEP] You like cake [SEP] [PAD] [PAD]





### Transformer Architecture







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### Add & Norm Feed Forward $N \times$ Add & Norm Multi-Head Attention Positional Encoding Input Embedding Inputs

### **Transformer Encoder**

Input is a sequence of token embeddings
Usually of dimensionality 768 (12\*64)
For our examples we will use 16

Fig. 2: Transformer Encoder (source: Attention is all you need. Vaswani et al. 2017)





# Transformer Encoder – Input (I)

Input sentence: I like cake

#### **Token Embeddings**

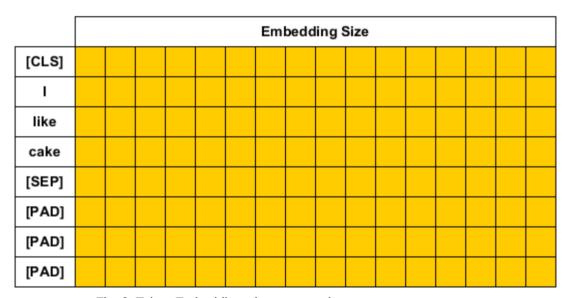
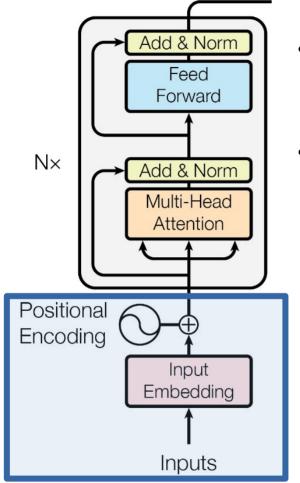


Fig. 3: Token Embeddings (source: own)





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- Input is a sequence of token embeddings Usually of dimensionality 768 (12\*64) For our examples we will use 16
- Model takes all tokens in the input sequence at the same time.

We need to store position info using a Positional Encoding

Fig. 2: Transformer Encoder (source: Attention is all you need. Vaswani et al. 2017)





$$PE_{(pos,2i)} = sin(pos/10000^{2i/d_{\text{model}}})$$

Positional Embeddings

$$PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{\text{model}}})$$

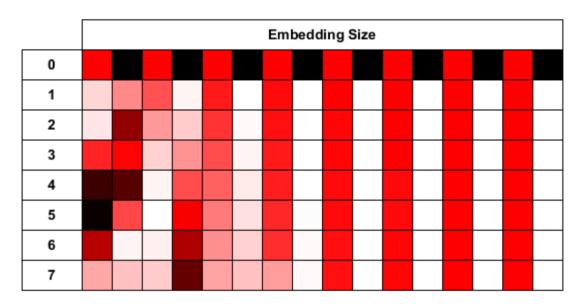


Fig. 4: Positional Embeddings (source: own)





#### Input Embeddings

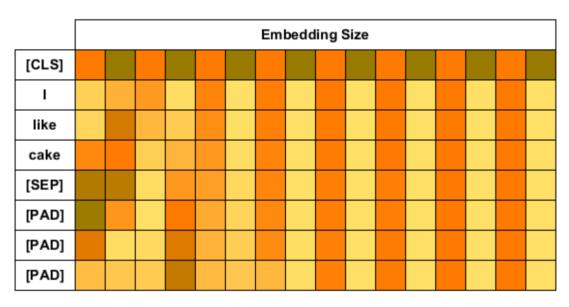
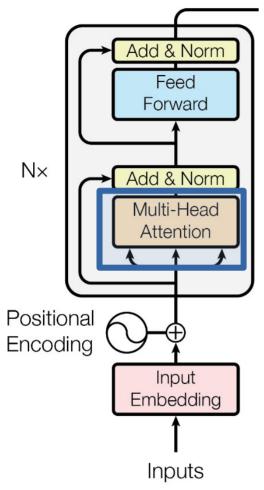


Fig. 5: Token + Positional Embeddings = Input Embeddings (source: own)







- Input is a sequence of token embeddings Usually of dimensionality 768 (12\*64) For our examples we will use 16
- Model takes all tokens in the input sequence at the same time.

We need to store position info using a Positional Encoding

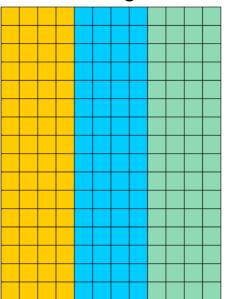
Perform self-attention

Fig. 2: Transformer Encoder (source: Attention is all you need. Vaswani et al. 2017)

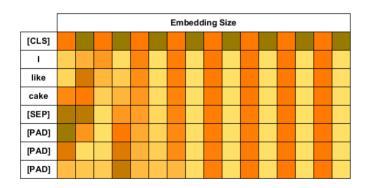




### Learned weight matrix



#### Input Embeddings



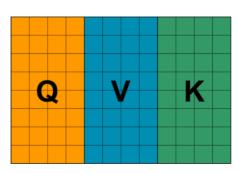


Fig. 6: Computation of query, key and value matrices (source: own)





One row is the embedding of one input token

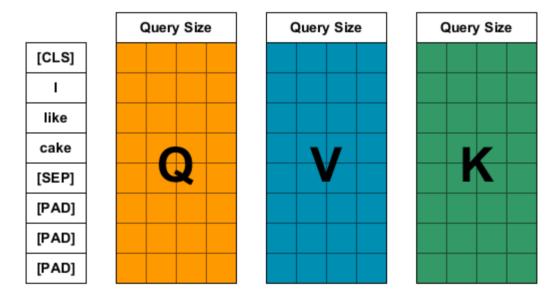


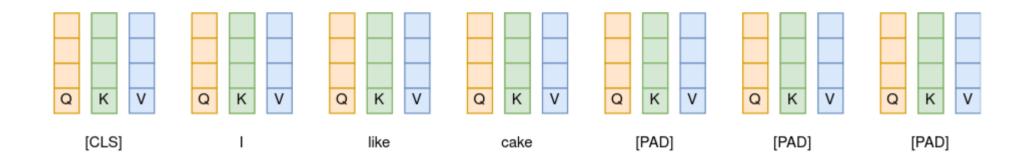
Fig. 7: Query, Value, Key (source: own)





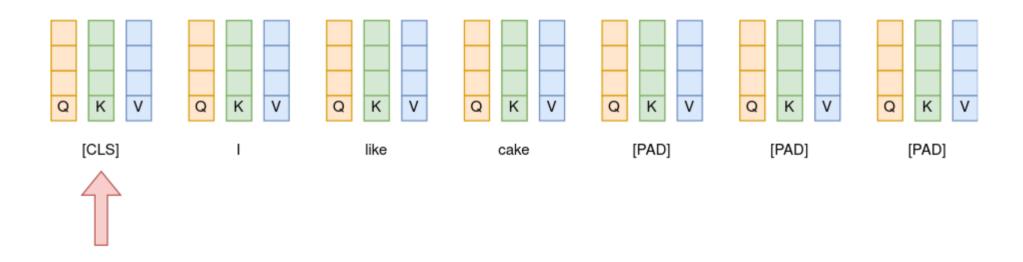
- Wikipedia search example:
- Query: Give me documents about a search term. The term could be "German car manufacturers"
- Key: The ids of the documents we want to search. Could be the page name like: "Mercedes-Benz", "Audi", "Cars", "Potato", ...
- Value: The content of the document. Could be "Mercedes-Benz is a German car manufacturer founded in 1926, ..."
- Goal: Make query and relevant keys similar. Encode query and keys as vectors.
   Take dot product. High values indicate high relevance, low values low relevance.







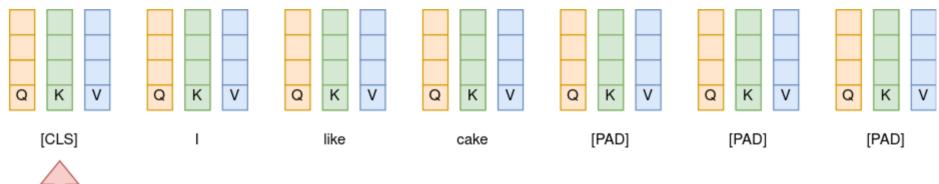




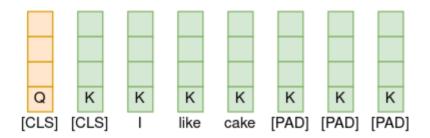




### **Attention**





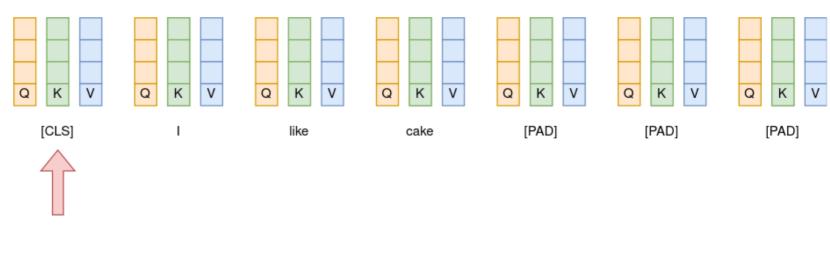


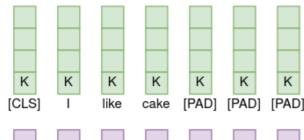
Take query vector and all key vectors.





### **Attention Scores**





Take query vector and all key vectors.

Build dot product (Q\*K<sup>T</sup>)

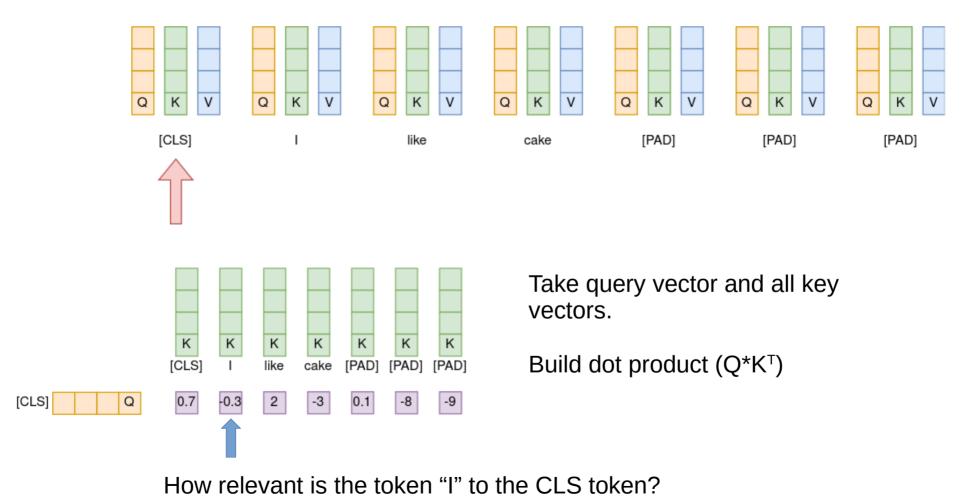


[CLS]



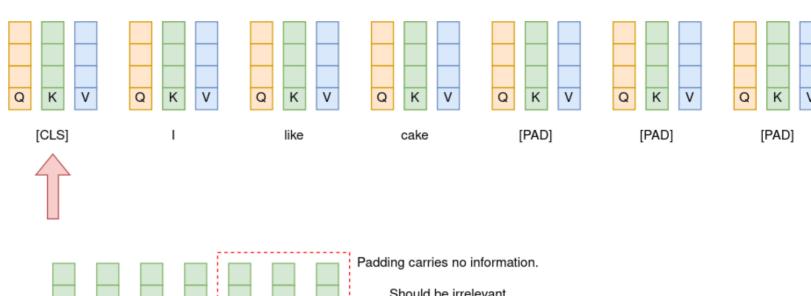
Q

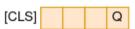
### **Attention Scores**















[CLS]



Κ





Κ

[PAD]

Κ

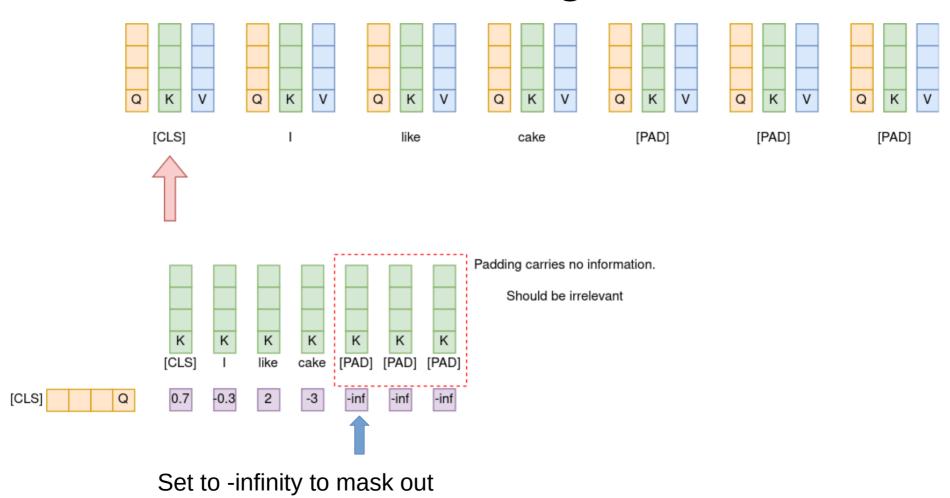
[PAD]

-9

Should be irrelevant

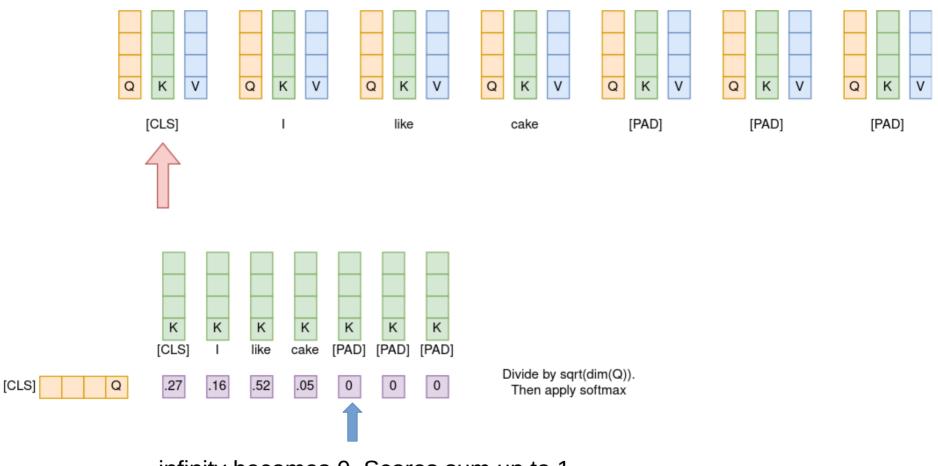








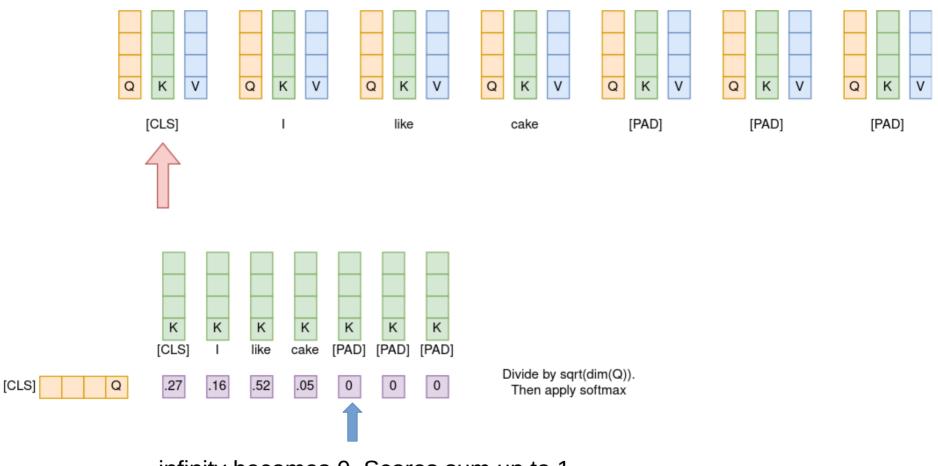




-infinity becomes 0. Scores sum up to 1.





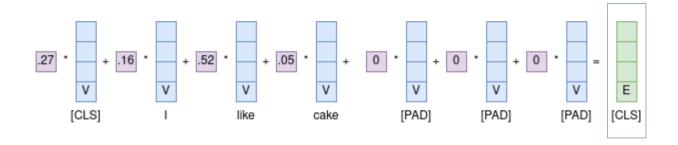


-infinity becomes 0. Scores sum up to 1.





# Attention: Embeddings



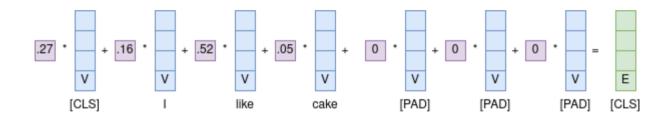
Embedding for token [CLS]. Incorporates information about all other tokens.





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# Attention: Embeddings



Repeat for all tokens in the input sequence.





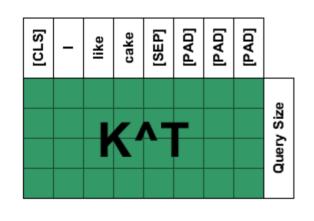
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## **Matrix View**





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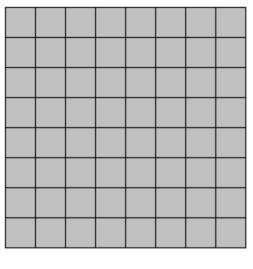
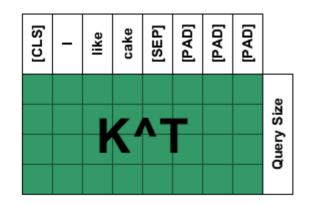
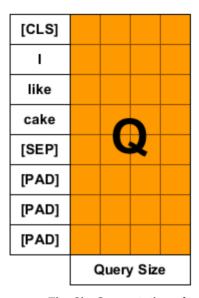


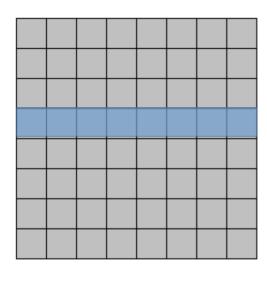
Fig. 8a: Computation of relevancy scores (query \* key) (source: own)









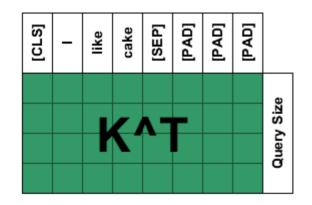


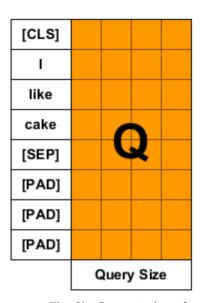
 Each element tells us how relevant each token is for the query "cake".

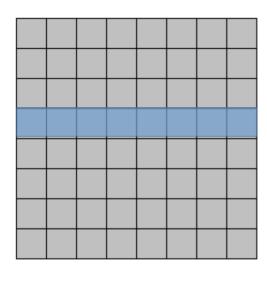
Fig. 8b: Computation of relevancy scores (query \* key) (source: own)











 Each element tells us how relevant each token is for the query "cake".

 [PAD] token should be irrelevant

Fig. 8b: Computation of relevancy scores (query \* key) (source: own)





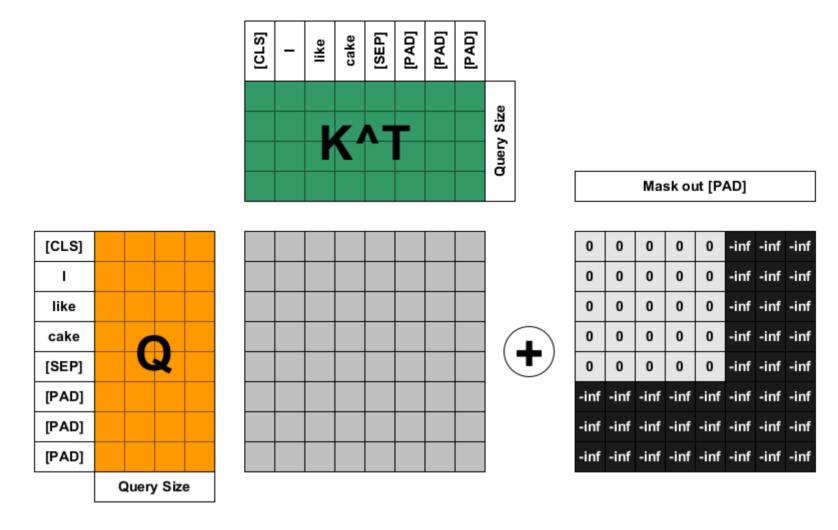
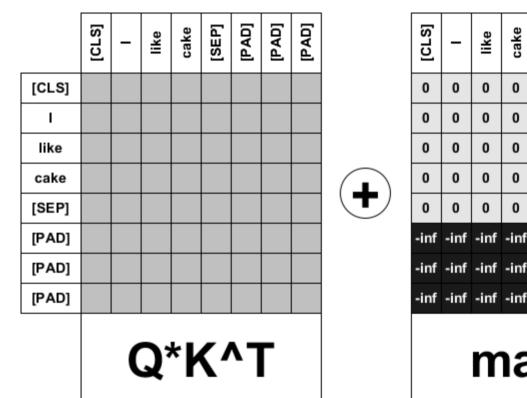
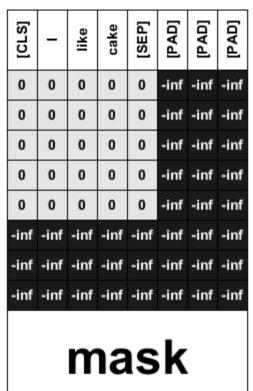


Fig. 9: Masking out the padding tokens (source: own)









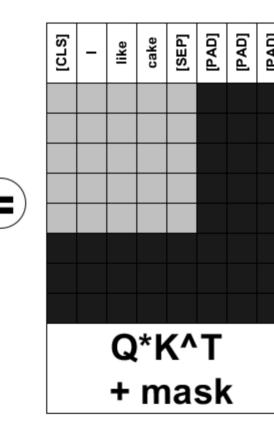


Fig. 10: Masking out the padding tokens (II) (source: own)





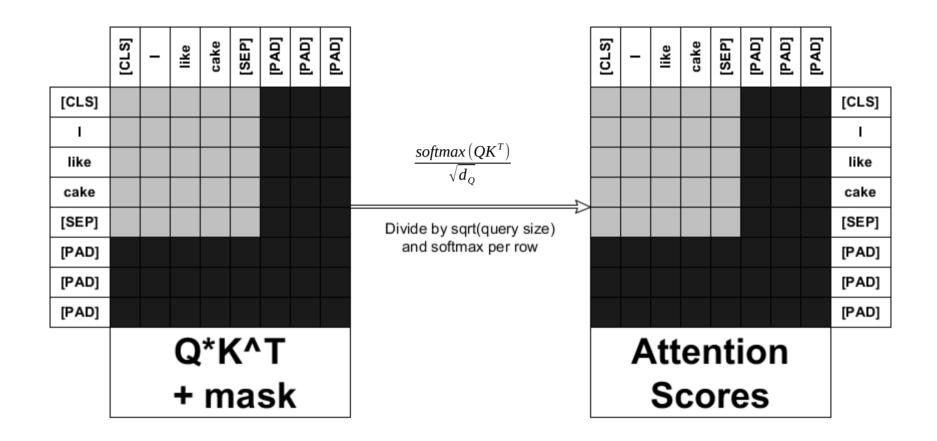


Fig. 11: Attention scores. Each row sums up to 1. (source: own)





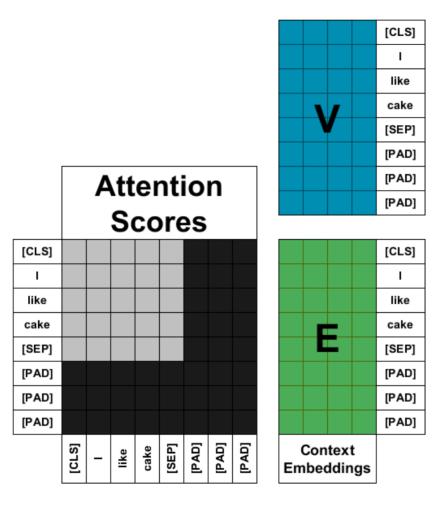


Fig. 12: Context Embeddings (source: own)





### Is one set of attention weights enough?





In practice we might focus on several relationships.

One view could be "next word".

One view could be "subject ↔ object"





#### [CLS] I like cake [PAD] [PAD] [PAD] For "I" we could give a lot of weight to "like" because it is the next word.

We could also give a lot of weight to "cake" since it is the object.





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Solution: Have more "attention heads" to capture different relationships.

Final embedding is concatenation of all "attention heads"





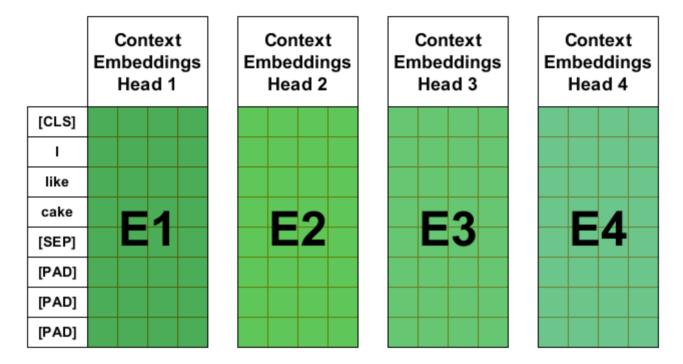


Fig. 13: Multi-Head Attention (source: own)





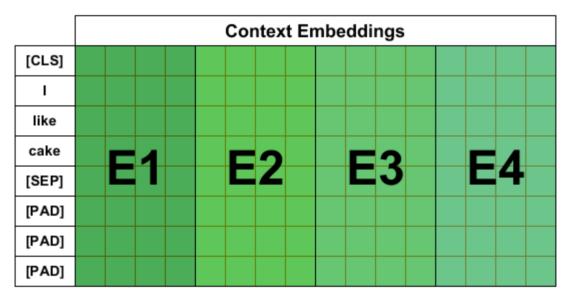


Fig. 14: Context Embeddings. Concatenate for each head (source: own)







Source: ExBERT (https://huggingface.co/spaces/exbert-project/exbert)







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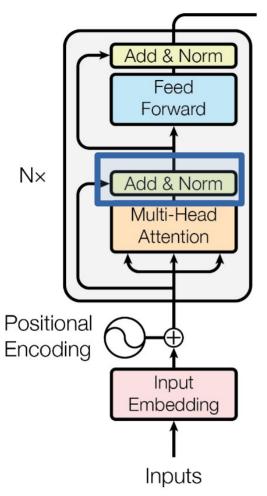




Source: ExBERT (https://huggingface.co/spaces/exbert-project/exbert)







- Input is a sequence of token embeddings Usually of dimensionality 768 (12\*64) For our examples we will use 16
- Model takes all tokens in the input sequence at the same time.
   We need to store position info using a Positional Encoding
- Perform self-attention
- Add the output to the context embeddings.
   Normalize to make sure the numbers in the embeddings don't grow too much.

Fig. 2: Transformer Encoder (source: Attention is all you need. Vaswani et al. 2017)





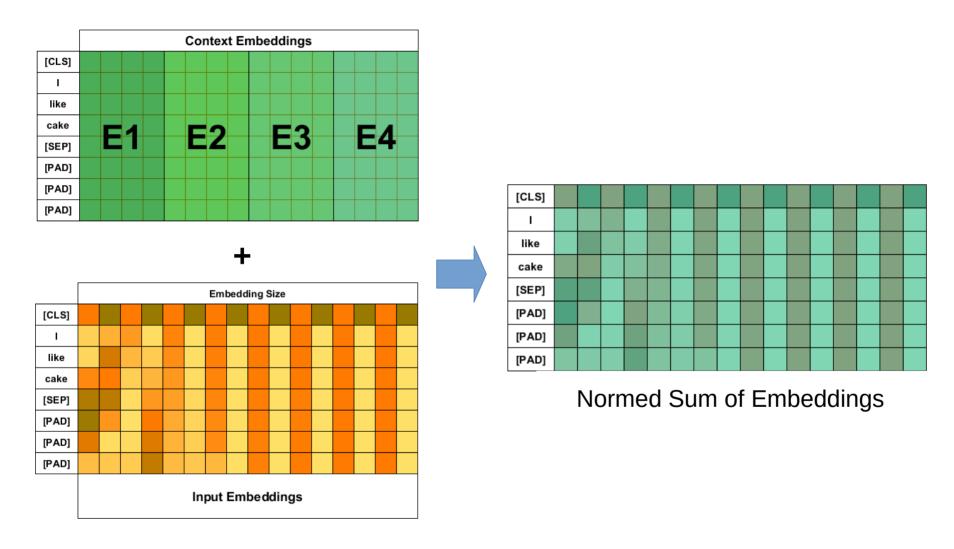
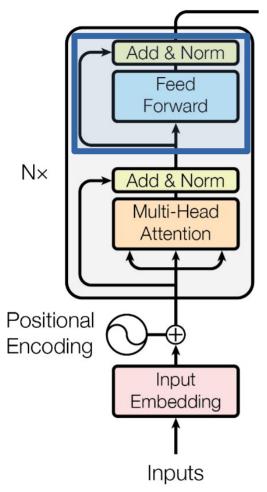


Fig. 15: Add and normalize (source: own)







- Input is a sequence of token embeddings Usually of dimensionality 768 (12\*64)
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- Perform self-attention
- Add the output to the context embeddings.
   Normalize to make sure the numbers in the embeddings don't grow too much.
- Feed to a feed forward layer and add and normalize again

Fig. 2: Transformer Encoder (source: Attention is all you need. Vaswani et al. 2017)





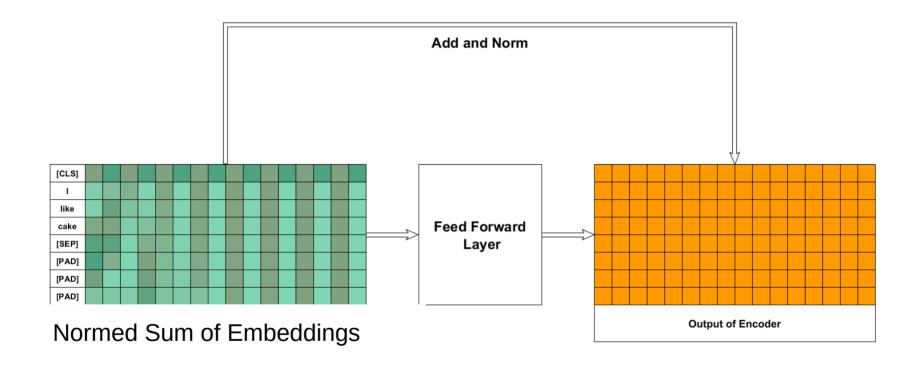
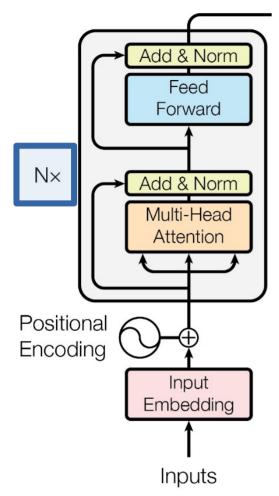


Fig. 16: Encoder Output. Feed forward layer adds non linearity to the network (source: own)





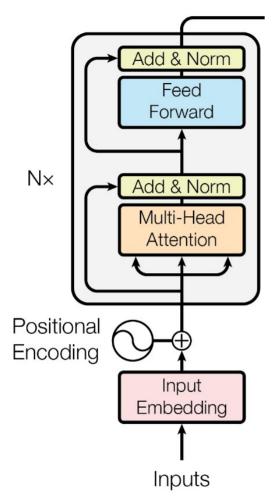


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- Add the output to the context embeddings.
   Normalize to make sure the numbers in the embeddings don't grow too much.
- Feed to a feed forward layer and add and normalize again
- Repeat N times to build deeper representations

Fig. 2: Transformer Encoder (source: Attention is all you need. Vaswani et al. 2017)







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# BERT Bidirectional Encoder Representations from Transformers

- Developed at Google in 2018 by Jacob Devlin et.al.
- Builds context dependent embeddings for tokens in sentences
- Uses the Transformer architecure
- Utilizes Self-Attention

Bonn-Rhein-Siea





#### **BERT**

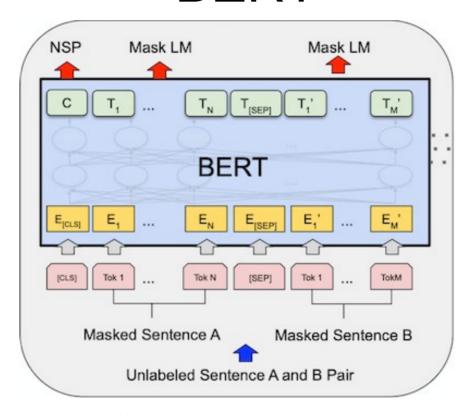


Fig. 17: BERT architecure (I) (source: own)





### **BERT**

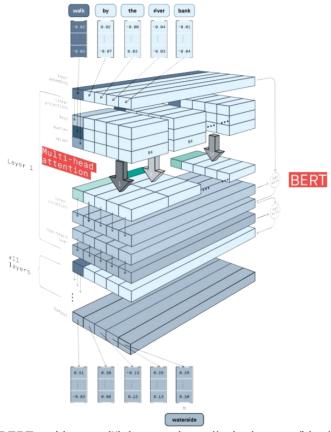


Fig. 18: BERT architecure (II) (source: https://peltarion.com/blog/data-science/self-attention-video)





# BERT Training Objective

- Trained on two tasks:
- Masked language model
- Next Sentence Prediction





# BERT Masked Language Model

- Take the final context embedding for each masked output [MASK]
- Predict by feeding this to a simple classifier that predicts the token that was masked out
- Next Sentence Prediction: Given two sentences, predict if they are in the correct order. Add a segment embedding to the sentences, one indicating sentence one, one sentences two.

Predict by feeding the output of the [CLS] token to a simple binary classifier (0  $\rightarrow$ sentences in order, 1 → sentences out of order)





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# BERT Next Sentence Prediction

- Given two sentences, predict if they are in the correct order.
- Add a segment embedding to the sentences, one indicating sentence one, one sentences two.
- Input Embeddings are now:
   token embedding + position embedding + segment embedding
- Predict by feeding the output of the [CLS] token to a simple binary classifier (0  $\rightarrow$  sentences in order, 1  $\rightarrow$  sentences out of order)



## BERT Fine Tuning

- So far we only trained a masked language model with next sentence prediction.
- Take this pretrained model and fine tune it on tasks such as:
  - Sentiment Analysis
  - Question Answering
  - Text Classification
- For sentiment analysis we take the output of the [CLS] token and train a classifier on it (e.g.  $0 \rightarrow$  negative,  $1 \rightarrow$  positive)



