

# Mode theory:

- optical fibers are cylindrical dielectric waveguides

+ maxwells equations for linear isotropic dielectric medium free of current and charges:

$$\text{faraday's law: } \nabla \times \bar{E} = - \frac{\partial \bar{B}}{\partial t}$$

$$\text{ampere's law: } \nabla \times \bar{H} = \frac{\partial \bar{D}}{\partial t}$$

$$\text{gauss's law: } \nabla \cdot \bar{D} = 0, \quad \nabla \cdot \bar{B} = 0 \quad | \quad \bar{D} = \epsilon \bar{E}, \quad \bar{B} = \mu \bar{H}$$

+ the wave equations are derived as follows:

$$\begin{aligned} \nabla \times (\nabla \times \bar{E}) &= \nabla \times (-\mu \frac{\partial \bar{E}}{\partial t}) = -\mu \frac{\partial}{\partial t} (\nabla \times \bar{E}) \\ &\quad \text{not affected by curl} \\ &= -\epsilon \mu \frac{\partial^2 \bar{E}}{\partial t^2} \end{aligned}$$

$$\therefore \nabla \times (\nabla \times \bar{E}) = \nabla (\nabla \cdot \bar{E}) - \nabla^2 \bar{E} \quad (\text{vector identity})$$

$$\therefore \boxed{\nabla^2 \bar{E} = \epsilon \mu \frac{\partial^2 \bar{E}}{\partial t^2}, \quad \nabla^2 \bar{H} = \epsilon \mu \frac{\partial^2 \bar{H}}{\partial t^2}}$$

wave equations

- the cylindrical coordinate system is used since the optical fibers are cylindrical

plus direction

$$\rightarrow \bar{E} = E_0(r, \theta) e^{i(wt - Bz)}$$

$$\lambda \quad \bar{H} = H_0(r, \theta) e^{i(wt - Bz)}$$

$$\rho = r$$

sufficing in wave equations and using

$$\nabla^2 V = \frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial V}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 V}{\partial \phi^2} + \frac{\partial^2 V}{\partial z^2}$$

$$\rightarrow \frac{\partial \bar{E}}{\partial z} = -E_3 \cdot B^2 \quad \wedge \quad \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial \bar{E}}{\partial r} \right) =$$

$$\nabla \times \bar{E} + \mu \frac{\partial \bar{H}}{\partial t}$$

$$\nabla \times \bar{E} = \frac{1}{\mu} \left[ \frac{\partial E_2}{\partial \theta} - \mu \frac{\partial E_0}{\partial z} \right] \bar{m} + \left[ \frac{\partial E_1}{\partial z} - \frac{\partial E_2}{\partial r} \right] \bar{n} + \left[ \frac{\partial E_0}{\partial r} - \frac{\partial E_1}{\partial \theta} \right] \bar{o} = -j \omega \bar{H}$$

$$\rightarrow -j \omega \mu H_n = \frac{1}{\mu} \left[ \frac{\partial E_2}{\partial \theta} - \mu \frac{\partial E_0}{\partial z} \right]$$

$$\therefore \bar{E} = E_0(r, \theta) e^{j(\omega t - \beta z)} \rightarrow \frac{\partial E_0}{\partial z} = -E_0 \cdot j\beta$$

$$\therefore -j \omega \mu H_n = \frac{1}{\mu} \left[ \frac{\partial E_2}{\partial \theta} + E_0 \cdot j\beta \right]$$

$$\therefore -j \omega \mu H_\theta = -E_0 \cdot j\beta - \frac{\partial E_2}{\partial z} \rightarrow j \omega \mu H_\theta = E_0 j\beta + \frac{\partial E_2}{\partial z}$$

$$\therefore -j \omega \mu H_z = \frac{1}{\mu} \left[ \frac{\partial (\mu E_0)}{\partial z} - \frac{\partial E_1}{\partial \theta} \right]$$

after substitutions : (more equations in cylindrical coordinates)

$$\frac{\partial^2 E_2}{\partial r^2} + \frac{1}{r} \frac{\partial E_2}{\partial r} + \frac{1}{r^2} \frac{\partial^2 E_2}{\partial \theta^2} + q^2 E_2 = 0$$

$r$

$$\frac{\partial^2 H_\theta}{\partial r^2} + \frac{1}{r} \frac{\partial H_\theta}{\partial r} + \frac{1}{r^2} \frac{\partial^2 H_\theta}{\partial \theta^2} + q^2 H_\theta = 0$$

$$\text{where } q^2 = \omega^2 \mu \mu - \beta^2 = k^2 - \beta^2$$

- separation of variables can be used to solve the above wave equations:

$$E_2 = A F_1(r) F_2(\theta) F_3(z) F_4(t)$$

$$\rightarrow F_3(z) F_4(t) = e^{j(\omega t - \beta z)}$$

sinusoidal propagating in  $\pm z$  direction

- since the magnetite is symmetric about the central axis (circular symmetry) the field components must not change after a full  $\theta$  rotation  $\rightarrow F_2(\theta) = e^{ir\theta}$ ,  $r$  is  $\pm$  integer

$$\therefore \frac{\partial^2 F_1}{\partial r^2} + \frac{1}{r} \frac{\partial F_1}{\partial r} + \left( k^2 - \frac{V^2}{r^2} \right) F_1 = 0 \quad \text{differential equation for Bessel function}$$

- exact same equation can be derived for  $H_2$

- above equation must be solved for two regions:

- inside core

$r < a$ , solution must remain finite as  $r \rightarrow 0$

- outside core, in shielding

$r > a$ , solution decays to zero as  $r \rightarrow \infty$

$\therefore$

$$+ r < a: E_2(r < a) = A J_V(wr) e^{i wr} e^{i \gamma (wt - \beta z)}$$

arbitrary constants

$$w^2 = k^2 - \beta^2$$

$$k_1 = 2\pi n/a$$

n: integer

$$H_2(r < a) = B K_V(wr) e^{i wr} e^{i \gamma (wt - \beta z)}$$

-  $J_V(wr)$ : Bessel function of first kind and order V

$\lambda$

$$+ r > a: E_2(r > a) = C K_V(wr) e^{i wr} e^{i \gamma (wt - \beta z)}$$

arbitrary constants

$$w^2 = \beta^2 - k^2$$

$$k_2 = 2\pi n/b$$

n: integer

$$H_2(r > a) = D K_V(wr) e^{i wr} e^{i \gamma (wt - \beta z)}$$

-  $K_V(wr)$ : modified Bessel function of second kind and order V

\* cutoff condition: the point at which  $r$  mode is no longer bound to the core region ( $\beta_1 > k_1$ )

$$\therefore \text{for bound solutions: } n_{\text{th}} = k_1 \leq \beta \leq k_2 = n_{\text{th}}, \quad b = 2\pi/\lambda$$

- Next, boundary conditions must be applied at interface of core and shielding. Boundary conditions necessitate the tangential components (to the radius) to be equal at the interface

$$\rightarrow E_1^{\text{shielding}} = E_1^{\text{core}}, \quad E_2^{\text{shielding}} = E_2^{\text{core}} \quad (\text{similarly for H fields})$$

$$\rightarrow E_{21} - E_{22} = A J_V(wa) - (K_V(wa)) = 0$$

-  $E_1$  can be found in terms of  $E_2$  and  $H_2$ , same is done for  $H_1$

- therefore, a set of four equations all equal to zero in terms of the Bessel functions are obtained. These equations exist only if the determinant of the unknown coefficients is zero:

$$\begin{vmatrix} J_v(ua) & 0 & -K_v(wa) & 0 \\ \frac{\beta v}{au^2} J_v(ua) & \frac{j\omega\mu}{u} J'_v(ua) & \frac{\beta v}{aw^2} K_v(wa) & \frac{j\omega\mu}{w} K'_v(wa) \\ 0 & J_v(ua) & 0 & -K_v(wa) \\ -\frac{j\omega\epsilon_1}{u} J'_v(ua) & \frac{\beta v}{au^2} J_v(ua) & -\frac{j\omega\epsilon_2}{w} K'_v(wa) & \frac{\beta v}{aw^2} K_v(wa) \end{vmatrix} = 0$$

- evaluation of the determinant yields the following eigenvalue equation for  $\beta$ :

$$(\mathcal{J}_v + \mathcal{K}_v)(k_1^2 \mathcal{J}_v + k_2^2 \mathcal{K}_v) = \left(\frac{\beta v}{a}\right)^2 \left(\frac{1}{u^2} + \frac{1}{w^2}\right)$$

where  $\mathcal{J}_v = \frac{J'_v(ua)}{u J_v(ua)}$  and  $\mathcal{K}_v = \frac{K'_v(wa)}{w K_v(wa)}$

- since  $\beta$  is bounded between  $k_2$  and  $k_1$ , the solution of the above equation will be discrete values within the range

- all modes in a dielectric fiber are guided, except when  $V=0$  (in above eigenvalue equation)

(in  $\beta_0$ )  $\frac{J_0(ua)}{u J_0(ua)} + \frac{K_0(wa)}{w K_0(wa)} = 0$ , corresponding to TE<sub>0m</sub> modes ( $E_z=0$ )

$\frac{k_1^2 J_0(ua)}{u J_0(ua)} + \frac{k_2^2 K_0(wa)}{w K_0(wa)} = 0$ , corresponding to TM<sub>0m</sub> modes ( $H_z=0$ )

- if  $V \neq 0$ , analytical solutions are not possible. instead, following approximations can be used when  $n_1 - n_2 \ll 1$  (weakly guided mode condition)

$v$	Mode	Cutoff condition
0	TE <sub>0m</sub> , TM <sub>0m</sub>	$J_0(ua) = 0$
1	HE <sub>1m</sub> , EH <sub>1m</sub>	$J_1(ua) = 0$
$\geq 2$	EH <sub>vm</sub>	$J_v(ua) = 0$
	HE <sub>vm</sub>	$\left(\frac{n_1^2}{n_2^2} + 1\right) J_{v-1}(ua) = \frac{ua}{v-1} J_v(ua)$

\* normalized frequency ( $V$ ): parameter connected with cutoff condition that determines how many modes a fiber can support.

$$V^2 = (u^2 + w^2) a^2 = \left(\frac{2\pi a}{\lambda}\right)^2 (n_1^2 - n_2^2) = \left(\frac{2\pi a}{\lambda}\right)^2 NA^2$$

- normalized propagation constant,  $b_r$ , is found from  $V$ :  $b = \frac{a^2 w^2}{V^2} = \frac{(\beta/k)^2 - n_2^2}{n_1^2 - n_2^2}$
- modes are cutoff when  $b_r = n_2$
- single mode ( $HE_{11}$ ) is realized at  $V = \frac{2\pi a}{\lambda} (n_1^2 - n_2^2)^{1/2} \leq 2.405$   
(value at which the lowest-order bessel function ( $J_0 = 0$ ) is obtained)
- number of modes in a multimode fiber can be approximated when  $M$  is large as follows:  $M \approx \frac{V^2}{2}$

- modes for graded index fibers

$$M = \frac{2A}{\lambda^2} \Omega = \frac{2\pi^2 a^2}{\lambda^2} (n_1^2 - n_2^2) = \frac{V^2}{2}$$

- if  $\Delta$  is larger than 1%, the cable supports multi-mode operation for both step and graded index fibers

- total power in core and cladding for each mode:

$$P = 0.5 \operatorname{Re} \{ E \times H^\alpha \} \cdot \bar{E}_S$$

$$P_{\text{core}} = \frac{1}{2} \int_0^{\alpha} \int_0^{2\pi} R (E_x H_y^\alpha - E_y H_x^\alpha) d\theta d\lambda$$

$$\frac{P_{\text{cladding}}}{P} = 1 - \frac{P_{\text{core}}}{P}$$

∴

$$P_{\text{cladding}} = \frac{1}{2} \int_0^{\alpha} \int_0^{2\pi} R (E_x H_y^\alpha - E_y H_x^\alpha) d\theta d\lambda$$

$$\text{where } P = P_{\text{cladding}} + P_{\text{core}}$$

$$\therefore \left( \frac{P_{\text{cladding}}}{P} \right)_{\text{total}} \approx \frac{4}{3} M^{-2}$$

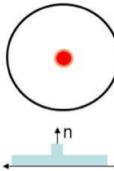
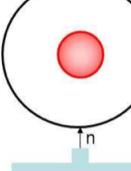
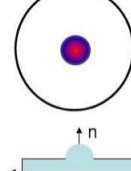
- single mode index difference ranges from 0.002 to 0.01

- $HE_{11}$  is the dominant mode with distribution:  $E(r) = E_0 e^{\left(\frac{-r^2}{w_0^2}\right)}$

where  $w_0$  is the mode field diameter, also referred to as  $d_m$ , such that:

$$d_m = 2\sqrt{2} \left( \frac{\int_0^{\infty} E^2(r) r^2 dr}{\int_0^{\infty} E^2(r) r dr} \right)^{1/2}$$

## + fiber comparison

Fiber Types		
Single-mode step-index fibers:	Multi-mode step-index fibers:	Multi-mode graded-index fibers:
<ul style="list-style-type: none"> <li>No intermodal dispersion gives highest bandwidth</li> <li>Small core radius ^ difficult to launch power, lasers are used</li> </ul>	<ul style="list-style-type: none"> <li>Large core radius ^ Easy to launch power, LEDs can be used</li> <li>Intermodal dispersion reduces the fiber bandwidth</li> </ul>	<ul style="list-style-type: none"> <li>Reduced intermodal dispersion gives higher bandwidth</li> </ul>
 <p>a: 5-12 <math>\mu\text{m}</math>, b: 125 <math>\mu\text{m}</math></p>	 <p>a: 50-200 <math>\mu\text{m}</math>, b: 125-400 <math>\mu\text{m}</math></p>	 <p>a: 50-100 <math>\mu\text{m}</math>, b: 125-140 <math>\mu\text{m}</math></p>

## + fiber materials must be:

- 1- ductile (can be made long, thin, and flexible)
  - 2- must be transparent allowing for wide range of optical wavelengths with low losses
  - 3- materials for core and cladding must be physically compatible
- silica is most used for fibers ( $\text{SiO}_2$ )
  - refractive index of core or cladding can be varied with dopants such as  $\text{GeO}_2$ ,  $\text{B}_2\text{O}_3$ ,  $\text{P}_2\text{O}_5$

Core	Cladding
$\text{SiO}_2 + \text{GeO}_2$ (dopant)	$\text{SiO}_2$
$\text{SiO}_2 + \text{P}_2\text{O}_5$ (dopant)	$\text{SiO}_2$
$\text{SiO}_2$	$\text{SiO}_2 + \text{B}_2\text{O}_3$ (dopant)
$\text{SiO}_2 + [\text{GeO}_2 + \text{B}_2\text{O}_3]$ (dopants)	$\text{SiO}_2 + \text{B}_2\text{O}_3$ (dopant)

$$2.12: \text{ def } MA = (n_1^2 - n_2^2)^{\frac{1}{2}} \approx n_1 (2\Delta)^{\frac{1}{2}}, \Delta = \frac{n_1 - n_2}{n_1}$$

$$\text{def } n_1 = 1.48 \wedge n_2 = 1.46$$

$$\rightarrow MA = 0.2425 \approx 0.243$$

$$\text{at } \theta_{o,\max} = \sin^{-1} [(n_1^2 - n_2^2)^{\frac{1}{2}}] = \sin^{-1}[MA] = 0.245 \text{ rad} \quad 14^\circ$$

$$2.18: MA = 0.2, 1000 \text{ modes}, 850-\text{nm}$$

$$\text{def } V = \frac{2\pi a}{\lambda} (n_1^2 - n_2^2)^{\frac{1}{2}} \rightarrow \text{number of modes} \approx \frac{V^2}{2}$$

$$\text{a) def } \frac{V^2}{2} \approx 1000 \rightarrow V \approx 44.72 = \frac{2\pi a}{850\text{nm}} \cdot 0.2 \rightarrow a = 30.25 \text{ nm}$$

$$\therefore d = 60.5 \text{ nm}$$

$$\text{b) } M = 414.66 \text{ or } 414 \text{ modes}$$

$$\text{c) } M = 300.9 \rightarrow 300 \text{ modes}$$

$$2.21: \text{ def } V \leq 2.405 \text{ for single mode}$$

$$\rightarrow 2.405 \geq \frac{2\pi a}{1.32} \cdot MA, MA = (n_1^2 - n_2^2)^{\frac{1}{2}} = 0.099$$

$$\text{at } \theta_{o,\max} = 4.41^\circ$$

$$\therefore a \leq 6.56 \text{ nm}$$

$$2.22: \text{ def } M_g = \frac{a}{a+L} \frac{\pi^2 k^2 n^2}{\Delta} \approx \frac{a}{a+L} \frac{V^2}{2}$$

at 820 nm

propagation  
constant:  $\frac{2\pi}{\lambda}$

$$V_{820\text{nm}} = 46.45, V_{13\text{um}} = 29.21$$

$$\rightarrow M_g = 539 \text{ modes}, \text{ at } 1.3 \text{ nm}$$

modes for this core index profile are half that of step index

first 2021:

Q1: + three main types of fibers:

1- multimode step-index fiber ( $50-200\text{ }\mu\text{m}$  core,  $125-400\text{ }\mu\text{m}$  cladding)

- low bandwidth (disadvantage)

- many modes can be supported (advantage)

graded index can't  
be single mode

2- multimode graded-index fiber: ( $50-100\text{ }\mu\text{m}$  core,  $125-140\text{ }\mu\text{m}$  cladding)

- larger bandwidth than multimode step-index

- less modes than multimode step-index

3- single mode step-index fiber ( $8-12\text{ }\mu\text{m}$  core,  $\sim 125\text{ }\mu\text{m}$  cladding)

monomode

- high bandwidth

- requires light source to be directed, very small acceptance angle

Q2:  $\lambda = 650\text{ nm}$ , step,  $n_1 = 1.46$ ,  $\Delta = \% \text{ at } 1500\text{ nm}$

$$\Rightarrow \Delta = \frac{n_1 - n_2}{n_1} = 1\% \rightarrow n_2 = 1.4652, \theta_c = \sin^{-1}\left(\frac{n_2}{n_1}\right) = 81.9^\circ$$

$$NA = n(2\Delta)^{1/2} = 0.21, \theta_{\text{acceptance}} = \sin^{-1}(NA) = 12.1^\circ$$

$$\Rightarrow V = \frac{2\pi a}{\lambda} \cdot NA = 21.28 \rightarrow M = \frac{V^2}{2} = 226 \text{ modes}$$

for single mode:  $V \leq 2.485 \Rightarrow \frac{2.485}{0.21} \cdot 1.55 = 2\pi a \Rightarrow a < 2.82$

$$a < 5.64 \text{ nm}$$

Q3: the four modes are  $TE_{0m}$ ,  $TM_{0m}$ ,  $HE_{0m}$ , and  $EH_{0m}$

- dominant mode is the operating mode with the lowest cutoff frequency,  $HE_{11}$

- the tangential components in the core and cladding  $E_x, E_\phi, H_z$ , and  $H_\phi$  must be equal at the interface

- Q4:
- 1- modified chemical vapor deposition
  - 2- outside vapor deposition
  - 3- vapor axial deposition
- silica is the main material  $\text{Si}_2\text{O}_5$  or acid plastics
  - $\text{GeO}_2$  Raise refractive index,  $\text{B}_2\text{O}_3$  drops it  
or  $\text{P}_2\text{O}_5$

Q5: conservation of volume  $\rightarrow L \cdot \pi \frac{D^2}{4} = l \pi \frac{d^2}{4} \rightarrow \left(\frac{d}{l}\right)^2 = \frac{L}{l} \rightarrow l = 25600 \text{ m}$

same core to cladding ratio  $\rightarrow \frac{D_c}{20 \text{ mm}} = \frac{50 \mu\text{m}}{125 \mu\text{m}} \rightarrow D_c = 8 \text{ mm}$

drawing speed:  $1 \text{ m} \rightarrow 25600 \text{ m} \rightarrow 20 \text{ mm} \rightarrow 512 \text{ m/min}$

$\Rightarrow D^2 \cdot L = d^2 l \quad \wedge \quad L = S \cdot t, l = d \cdot t \rightarrow d^2 \Delta = D^2 S \rightarrow \Delta = \left(\frac{D}{d}\right)^2 S$

- the solution to the bessel equation inside the core is:

$$E_2(r < a) = A J_2(wr) e^{iwr} e^{i(wt - \delta z)}$$

$$H_2(r < a) = B J_1(wr) e^{iwr} e^{i(wt - \delta z)}$$

— — — — — in the cladding region:

$$E_2(r > n) = C K_2(wn) e^{iwr} e^{i(wt - \delta z)}$$

$$H_2(r > n) = D K_1(wn) e^{iwr} e^{i(wt - \delta z)}$$

2018 Spring:

Q2:  $n_s = 1.4553 \rightarrow \Phi_C = 81.4^\circ, \text{NA} = 0.208 \rightarrow N_f = n = 222$

$\Theta_{\text{c}, \text{max}} \geq 11^\circ$

$0.05 \leq \Delta \leq 0.03$  multimode

- Q4:
- 1- modified chemical vapor deposition
  - 2- outside vapor deposition
  - 3- vapor axial deposition
- glasses and special plastics are mainly used

$\text{GeO}_2$  and  $\text{P}_2\text{O}_5$  to raise index  
fluorine  $\text{B}_2\text{O}_3$  to lower

$$Q_6: \text{to conserve volume: } L\pi \frac{D^2}{4} = l\pi \frac{d^2}{4} \Rightarrow \frac{L}{l} = \frac{d^2}{D^2}$$

$$\frac{L}{l} \text{ mm} = \left( \frac{126 \text{ mm}}{10 \text{ mm}} \right)^2 \rightarrow L = 0.98125 \text{ m}$$

diameter ratio must remain constant  $\rightarrow \frac{d_c}{D} = \frac{l}{l} \rightarrow d_c = 4 \text{ mm}$

$$\therefore L \cdot D^2 = l \cdot d^2 \quad \wedge \quad L = 5t, l = dt \rightarrow SD^2 = d^2 t^2$$

$$\therefore D = S \left( \frac{t}{d} \right)^2 = 96000 \text{ mm/min} = 96 \text{ m/min} = 1.6 \text{ m/s}$$

Example 3 in notes:

$$\therefore M = \frac{V^2}{2} \quad \wedge \quad V = \frac{2\pi A}{\lambda} \text{ NA} \quad \wedge \quad \text{NA} = 1.48 \cdot (2 \cdot 0.01)^{1/2} \rightarrow M = 748$$

$$\therefore \left( \frac{P_{\text{dat}}}{P_{\text{total}}} \right) \approx \frac{g}{3} M^{1/2} = 4.88\% \quad P_{\text{dat}}$$

$\wedge \quad P_{\text{core}} = 95.12\% \text{ of total power}$

Spring 2013:

Q<sub>7</sub>: Optical advantage over coaxial:

- higher bandwidth
- smaller size  $\rightarrow$  lower cost
- less attenuation  $\rightarrow$  less repeaters required
- immune to noise
- no cross talk
- difficult to tap
- common ground not required

disadvantages:

- more fragile
- difficult splicing
- can't carry electric power to repeaters

60 Gbit/s for video  $\rightarrow$  155520 channels

+ SDH vs PDH:

- PDH max rate of 56G Mbps, SDH max of 240 Gbps
- PDH not compatible with other signal
- SDH is simpler to implement

Q4: TE: transverse electric, E-field is perpendicular to reference plane and propagation direction  $E_z = 0$

Subscript is the possible mode of propagation, its value equals the number of field zeros across the waveguide

- hybrid modes exist when the 3-components are non-zero (both  $E_3$  and  $H_2$ )  
called EH if  $E_3 > H_2$  and HE on the contrary.
- all modes are hybrid except when  $V=0$

HEm  $\rightarrow$  V number of field zeros across length of waveguide

$\sim m - - - -$  width - -

Q5:  $E_z(R \leq a) = A J_V(w) e^{i k r} e^{i (wt - \beta z)}$

$$H_z(R \leq w) = B J_V(w) e^{i k r} e^{i (wt - \beta z)}$$

$$\sim E_z(R > w) = C K_V(w) e^{i k r} e^{i (wt - \beta z)}$$

$$H_z(R > w) = D K_V(w) e^{i k r} e^{i (wt - \beta z)}$$

Q6:  $\lambda = 500\text{nm}$ ,  $n_1 = 1.49$   $\sim n_2 = 1.46$ ,  $\lambda = 1300\text{nm}$

Stop-index:  $m = \frac{\lambda}{2}$ ,  $V = \frac{2\pi a}{\lambda} NA$ ,  $NA = (n_1^2 - n_2^2)^{1/2} \rightarrow m = 213$

grated-index:  $m = \frac{6}{6+2} \cdot M_{\text{stop}}$ . Matrix assuming parabolic:  $M = 106$

Q7: for single mode:  $0.005 \leq D \leq 0.01$  ✓

$$\therefore V \leq 2.405 \quad \sim V = \frac{2\pi a}{\lambda} \cdot NA \quad \sim NA = 0.1456$$

for  $\lambda = 850\text{nm} \rightarrow V = 2.31$  won't be used  $\left. \begin{array}{l} \lambda = 1300\text{nm} \text{ can't} \\ \text{if } \lambda = 1300\text{nm} \rightarrow V = 2.36 \text{ can be used} \end{array} \right\}$

- Q8: 1- can be drawn into thin and long wires + flexible  
 2- transparent for low losses and many wavelengths  
 3- core and cladding must be physically compatible

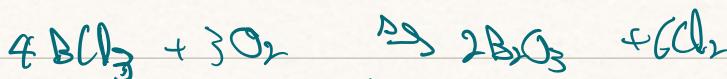
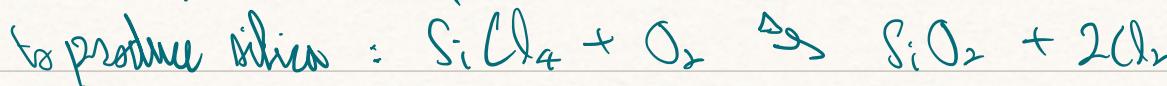
$\text{GeO}_2 \rightarrow$  increases index ,  $\text{B}_2\text{O}_3 \rightarrow$  decreases index , Fluorine <sup>decreases</sup>

$\text{Al}_2\text{O}_3 \rightarrow$  increases

- Q9: 1- modified chemical vapor deposition (MCVD)

2- outside vapor deposition (OVD)

3- vapor radial deposition (VAD)



Q10:  $L\Delta^2 = L\Delta^2 \rightarrow L = L \left(\frac{1}{D}\right)^2 = 0.8725 \text{ m}$

$$\frac{L}{125} = \frac{\Delta}{D} \rightarrow \Delta = 0.8 \text{ mm}$$

+ received signal is not the same as transmitted due to:

- attenuation
- distortion
- time delay
- noise
- ISI
- multipath fading

- attenuation determines maximum repeaterless distance between Tx and Rx

$$P(z) = P(0) e^{-\alpha_p z}$$

where  $z$  is distance from transmitter,  $\alpha_p$  is attenuation coefficient (per km/km)

$$\alpha_p = \frac{1}{z} \ln\left(\frac{P(0)}{P(z)}\right) \rightarrow \alpha(\text{dB}) = \frac{10}{z} \log\left[\frac{P(0)}{P(z)}\right] = 4.343 \alpha_p$$

- for a fiber of length  $L$ :

$$P_{\text{out}}(\text{dBm}) = P_{\text{in}}(\text{dBm}) - \alpha(\text{dB/km}) \cdot L(\text{km})$$

+ attenuation is caused by:



$$-\text{UV loss for a given mole fraction } x \text{ of } \text{GeO}_2 \text{ to } \text{SiO}_2 \text{ is: } \alpha_{\text{UV}} = \frac{154.2x}{46.6x + 60} \cdot x \cdot 10^2 \cdot L \left(\frac{\text{dB}}{\text{km}}\right)$$

$$-\text{IR absorption for } \text{SiO}_2 \text{ glass is: } \alpha_{\text{IR}} = 7.81 \cdot 10^{-6} \cdot e^{\left(\frac{-48.48}{\lambda}\right)} \quad (\text{dB/km})$$

- Rayleigh scattering is inversely proportional to quadratic wavelength, given by:

$$\alpha_{\text{rad}} = \frac{8\pi^3}{3\lambda^4} (n^2 - 1)^2 \cdot k_B \cdot T_F \cdot \frac{\text{Lambertian constant}}{\text{Rayleigh volume}} \cdot \frac{\text{internal temperature of material}}{\text{external temperature}}$$

- higher order modes radiate and first in macrobending.

- curved fiber supports less modes than straight fiber.

- number of modes that a curved fiber can support is found from:

$$N_{\text{eff}} = N_{\text{tot}} \left\{ 1 - \frac{\alpha+2}{2\alpha\Delta} \cdot \left[ \frac{2\alpha}{\Delta} + \left( \frac{3}{k_B T_m} \right)^{1/2} \right] \right\}, \quad N_{\text{tot}} = \frac{\alpha}{\alpha+2} (n_1 k_B a)^2 \Delta$$

- bending loss: occurs due to more of the signal tail traveling in the cladding

$$\therefore \frac{P_{\text{clad}}}{P} = \frac{4}{3} (n)^2 \rightarrow \text{bending loss} = \left[ 1 - \left( \frac{N_{\text{eff}}}{N_{\text{tot}}} \right)^{1/2} \right]$$

- bit rate to minimize ISI is found from the RMS pulse width  $\Delta T$ :  $B < \frac{1}{\Delta T}$  (bit rate / bits/s)

- pulse broadening increases linearly with distance, hence the bit rate-distance product is a measure of capacity:

$$\text{constant} = B \cdot L \quad (\text{bit} \cdot \text{km}) \quad \text{or bandwidth-distance product: } BW \cdot L \quad (\text{MHz} \cdot \text{km})$$

-  $BW \cdot L$  is preferred over  $B \cdot L$  since  $BW$  is constant, whereas  $B$  depends on modulation scheme.

- BW-L for multi-mode step index  $\sim 20 \text{ MHz} \cdot \text{km}$ , for graded-index  $\sim 2.5 \text{ GHz} \cdot \text{km}$ , for single mode  $> 10 \text{ GHz} \cdot \text{km}$

+ types of dispersion:

- intermodal (modal delay)

due to delays between different modes carrying the same optical pulse at same wavelength

- intramodal (chromatic)

due to delays between different wavelengths carrying the same optical pulse in same mode, increases with spectral width of optical source (50 nm for LED and 2 nm for LD)

- material dispersion: due to variation of refractive index as function of wavelength

- waveguide dispersion: due to wave propagating faster in guiding than in core

- polarization

due to different polarizations carrying the same optical pulse

- for intermodal, most pulse width in multimode step index:  $\Delta T = T_{\text{max}} - T_{\text{min}} = \frac{n_1}{c} \left( \frac{L}{v_{\text{int}}(\lambda)} - L \right) = \frac{L n_1}{c n_2} \cdot \Delta$

$$\rightarrow B \cdot L = \frac{L}{\Delta T} = \frac{L n_2}{n_1^2 \Delta} \approx \frac{L}{\Delta n_1} \text{ fm} \cdot \text{km} / \Delta$$

- RMS pulse width (standard case):

$$(\Delta T)_{\text{RMS, step}} = \frac{L \Delta n_1}{2\sqrt{3} c} \rightarrow B \cdot L = \frac{2\sqrt{3} c}{n_1 \cdot \Delta} \text{ fm} \cdot \text{km} / \Delta$$

$n$

$$(\Delta T)_{\text{RMS, graded}} = \frac{L \Delta^2 n_1}{2\sqrt{3} c} \rightarrow B \cdot L = \frac{2\sqrt{3} c}{n_1 \cdot \Delta^2} \text{ fm} \cdot \text{km} / \Delta$$

- group velocity:  $V_g = \frac{\partial \omega}{\partial \phi} \quad V_p \cdot V_g = \left( \frac{c}{n} \right)^2$

- phase velocity:  $V_p = \frac{\omega}{\phi}$

- group delay per unit length:  $T_g = 1/V_g = \frac{\partial \phi}{\partial \omega} = -\frac{\lambda^2}{2\pi} \cdot \frac{\partial \phi}{\partial \lambda}$

$$\frac{\partial \phi}{\partial \lambda} = -\frac{\lambda^2 \frac{\partial \omega}{\partial \lambda}}{2\pi} = -\frac{\lambda^2 \frac{\partial \omega}{\partial \lambda}}{2\pi \cdot \frac{\partial \omega}{\partial \lambda}}$$

- if spectral width is narrow, the delay difference per unit wavelength is  $\frac{dT_g}{d\lambda}$

- for spectral components which lie at  $\frac{\delta\lambda}{2}$  above and below the central wavelength  $\lambda_0$ , the total delay difference

over a distance  $L$  is:  $\delta T = \frac{dT_g}{d\lambda} \delta\lambda = -\frac{L}{2\pi c} \left( 2\lambda \frac{d\phi}{d\lambda} + \lambda^2 \frac{d^2\phi}{d\lambda^2} \right) \delta\lambda$

- pulse dispersion by its RMS pulse width:

$$\Delta = -\frac{1}{2\pi c} \left( 2\lambda \frac{d\phi}{d\lambda} + \lambda^2 \frac{d^2\phi}{d\lambda^2} \right)$$

$$\sigma_g = D \cdot L \cdot \sigma_\lambda = -\frac{L \sigma_\lambda}{2\pi c} \left( 2\lambda \frac{d\phi}{d\lambda} + \lambda^2 \frac{d^2\phi}{d\lambda^2} \right)$$

- material dispersion:  $B(\lambda) = \frac{2\pi n(\lambda)}{\lambda}$ ,  $B(\lambda)$  is not linear

- substituting  $B(\lambda)$  into the equation for the dispersion factor  $D$ :  $D_{\text{mat}} = \frac{\lambda}{c} \frac{d^2 n}{d\lambda^2} \rightarrow \sigma_{\text{mat}} = D_{\text{mat}} \cdot L \cdot \sigma_\lambda$

$$B \cdot L = \frac{1}{\sigma_{\text{mat}}}$$

- Chromatic dispersion (negligible intermodal), due to  $B$  depending nonlinearly on freq.

$$B = n_2 \Delta \left( \lambda \Delta + 1 \right), \quad \Delta = \frac{\left( \frac{\lambda}{\lambda_0} \right)^2 - n_2^2}{n_1^2 - n_2^2} \quad \text{normalized propagation constant}$$

- group delay can be found in terms of  $\Delta \omega$  or  $\Delta V$  as:

$$T_{\text{wg}} = \frac{L \Delta B}{c \Delta \omega} = \frac{L}{c} \left[ n_2 + n_2 \Delta \frac{\Delta \omega}{\Delta \lambda} \right] \quad \text{or} \quad T_{\text{wg}} = \frac{L}{c} \left[ n_2 + n_2 \Delta \frac{\Delta V}{\Delta \lambda} \right], \quad \text{where} \quad \frac{\Delta V}{\Delta \lambda} = L \left[ 1 - \frac{2 \frac{\lambda^2}{\lambda_0} (\lambda_0 \omega)}{\Delta \omega \Delta \lambda} \right]$$

$$\rightarrow \sigma_{wg} = \sigma_\lambda \frac{d\tau_{wg}}{d\lambda} = - \frac{L \Delta n_{20\lambda}}{c\lambda} \cdot \sqrt{\frac{d^2(V)}{dV^2}} , V = \frac{2\pi a}{\lambda} \cdot n_1 (2\Delta)^{1/2}$$

$$\sigma_{wg} = D_{wg} \cdot L \cdot \sigma_\lambda , D_{wg} = - \frac{\Delta n_2}{c\lambda} \cdot \sqrt{\frac{d^2(V)}{dV^2}}$$

- the normalized propagation constant  $\lambda$  as a function of normalized frequency for HE<sub>11</sub> is:  $\lambda(V) = 1 - \frac{(1+\sqrt{2})^2}{[1+(4\pi+V^2)^{1/2}]^2}$

- total intramodal (chromatic) dispersion:  $D_{chrom} = D_{mat} + D_{wg}$

- polarization mode dispersion (PMD): time delay between two polarization modes:  $\Delta_{T_{PMD}} = \left| \frac{L}{v_{gx}} - \frac{L}{v_{gy}} \right| \approx D_{PMD} \cdot \sqrt{L}$

+ benefits of operating at 1550 nm:

1 - minimum fiber loss, 2 - erbium-doped amplifier operating frequency, 3 - zero dispersion can be shifted

- material dispersion is fixed, but waveguide dispersion can be varied by changing the size of the core, refractive index, etc.

Spring practice: step & graded:  $n_1 = 1.48$ ,  $\Delta = 0.02$

a)  $(B \cdot L)_{step} = 35.11 \text{ Mbps} \cdot \text{km} , (B \cdot L)_{graded} = 1.75 \text{ Gbps} \cdot \text{km}$

b)  $(B)_{graded} = 17.55 \text{ Mbps} , (B)_{step} = 0.35 \text{ Mbps}$

## EE555: homework 2

$$3.2: \text{ P}_{\text{out}}(\text{dBm}) = \text{P}_{\text{in}}(\text{dBm}) - \alpha(\text{dB/km}) \cdot L(\text{km})$$

$$\text{and } \text{P}_{\text{in, at } 1310} = -8.239 \text{ dBm}, \text{ P}_{\text{in, at } 1550} = -10 \text{ dBm}$$

a)  $L = 8 \text{ km} \therefore \text{P}_{\text{out, 1310}} = -13.039 \text{ dBm} = 49.67 \mu\text{W}$

$$\text{and } \text{P}_{\text{out, 1550}} = -12.4 \text{ dBm} = 57.54 \mu\text{W}$$

b)  $L = 20 \text{ km} \therefore \text{P}_{\text{out, 1310}} = 9.46 \mu\text{W} \quad \text{and } \text{P}_{\text{out, 1550}} = 25.12 \mu\text{W}$

$$3.13: \sigma_{\text{mat}} = D_{\text{mat}} \cdot L \cdot \sigma_\lambda \quad \text{and } D_{\text{mat}} \text{ found from graph.}$$

a)  $D_{\text{mat, 850}} \approx 75$ , assuming quenched SiO<sub>2</sub>  $\rightarrow \sigma_{\text{mat}} = 3.375 \text{ nA/km}$  LED

$$\text{and } \sigma_{\text{mat}} = 0.15 \text{ nA/km} \text{ LD}$$

b)  $D_{\text{mat, 1550}} \approx 20 \rightarrow \sigma_{\text{mat}} = 1.5 \text{ nm/km}$

$$3.16: \Delta = \frac{n_1 - n_2}{n_1} \quad \text{and approx.: } \sigma_\lambda \approx \frac{L n_1 \Delta}{2\sqrt{c}}$$

$$\rightarrow \sigma_\lambda \text{ from approximate expression: } \frac{\sigma_\lambda}{L} \approx 21.36 \text{ nA/km}$$

$$\text{exact eq.: } \frac{\sigma_{\text{mat}}}{L} = \frac{n_1 - n_2}{c} \left(1 - \frac{\pi}{V}\right), \quad n_1 - n_2 = n_1 \Delta, \quad V = \frac{2\pi a}{\lambda} \cdot n_1 (2\Delta)^{1/2} = 76.844$$

$$\rightarrow \frac{\sigma_{\text{mat}}}{L} = 70.97 \text{ nA/km}$$

- These two equations produce significantly different results.

$$3.22: D_{\text{wg}} = -\frac{n_2 \Delta}{c \lambda} \cdot V \cdot \frac{d^2(V)}{dV^2}, \quad \text{at } \lambda = 1320 \text{ nm} \quad V = \frac{2\pi a}{\lambda} n_1 (2\Delta)^{1/2} = 4.205$$

not single mode! at  $\lambda = 1320 \text{ nm}$ ,  $a < 5.15 \text{ um}$  for single mode.

$$\text{assuming } a = 5 \text{ um} \rightarrow V = 2.336 \rightarrow V \cdot \frac{d^2(V)}{dV^2} \approx 0.3$$

$$\therefore D_{\text{wg}} = -\frac{n_2 \Delta}{c \lambda} \cdot 0.3 \quad \text{and } n_2 = 1.4767 \rightarrow D_{\text{wg}} = -2.46 \text{ Ps/(nm·km)}$$

## EE555: homework #3

4.3: for  $\text{Ge}_{1-x}\text{Al}_x\text{As}$ ,  $E_g = 1.424 + 1.266x + 0.266x^2$

(a) if  $E_g = 1.54 \text{ eV} \rightarrow$

$$x = 0.0899 \quad \text{by solving } 0.266x^2 + 1.266x + 1.424 - E_g = 0$$

$$E_g = h\nu \rightarrow \nu = \frac{E_g}{h} \rightarrow \lambda = \frac{c}{\nu} = 0.805 \text{ nm}$$

$$(b) \text{ for } x = 0.015, \quad E_g = 1.443 \text{ eV}, \quad \lambda = 0.859 \text{ nm}$$

$$4.6: (\text{a}) \eta_{\text{int}} = \frac{T_{\text{RR}}}{T_R + T_{\text{RR}}} = \frac{90}{90 + 25} = 78\%$$

$$P_{\text{int}} = \eta_{\text{int}} \cdot \frac{I}{e} \cdot h\nu, \quad \nu = \frac{c}{\lambda} \rightarrow P_{\text{int}} = 29.8 \text{ mW}$$

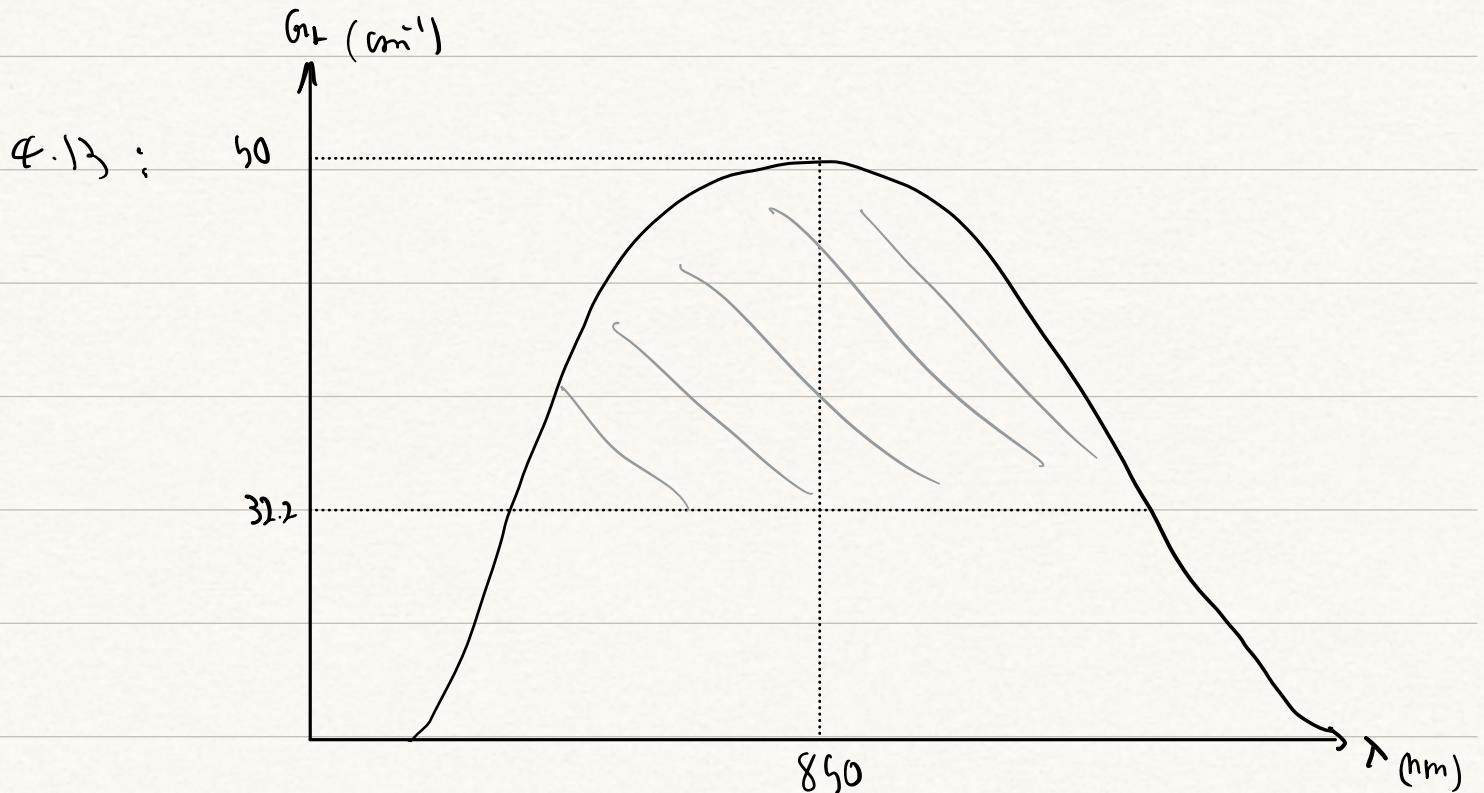
$$(\text{b}) \quad P = P_{\text{int}} \cdot \eta_{\text{ext}}, \quad \eta_{\text{ext}} = \frac{1}{n_i(n_i+1)^2} = 14.1\% \rightarrow P = 0.364 \text{ mW}$$

$$4.9: (\text{a}) \quad g_{\text{th}} = \alpha + \frac{1}{2L} \ln \left( \frac{1}{R_1 R_2} \right) \rightarrow g_{\text{th}} = 32.78 \text{ cm}^{-1}$$

$$(\text{b}) \quad g_{\text{th}} = \alpha + \frac{1}{2L} \ln \left( \frac{1}{0.32 \cdot 0.9} \right) = 22.46 \text{ cm}^{-1}$$

$$(\text{c}) \quad \eta_{\text{ext}} = \frac{\eta_i (g_{\text{th}} - \alpha)}{g_{\text{th}}} \rightarrow \eta_{\text{ext}} (\alpha) = 45.17\%$$

$$\therefore \eta_{\text{ext}} (\alpha) = 36.04\%$$



$$\begin{aligned} \text{Given: } g_{\text{th}} &= g(0) \cdot e^{-\left(\frac{(\lambda - \lambda_0)^2}{2\sigma^2}\right)} = \alpha + \frac{1}{2L} \ln\left(\frac{1}{R_1 R_2}\right) \\ \rightarrow \frac{-(\lambda_1 - \lambda_0)^2}{2\sigma^2} &= \ln\left[\frac{\alpha}{g(0)}\right] \quad R_1 = R_2 = 0.32 \\ \rightarrow \lambda_1 - \lambda_0 &= 30 \text{ nm} \quad \rightarrow \Delta\lambda = \frac{(850)^2}{2 \cdot 9 \cdot 10^6 \cdot 3.6} = 0.25 \\ \therefore \frac{2(\lambda_1 - \lambda_0)}{\Delta\lambda} &= 239 \text{ modes} \end{aligned}$$

4.15: (a)  $\because g_{\text{th}} = \alpha + \frac{1}{2L} \ln\left(\frac{1}{R_1 R_2}\right) = \beta J_{\text{th}} \quad R_1 = R_2 = 0.32$

$$\rightarrow J_{\text{th}} = 2646.5 \text{ A/cm}^2$$

$$\therefore I_{\text{th}} = J_{\text{th}} \cdot \text{Area} = 0.661 \text{ A}$$

$$(b) I_{\text{th}} = \frac{0.661}{10} = 0.0661 \text{ A}$$

Microwave source:

Characteristics of light sources:

- 1) high switching speed, 2) long lifetime, 3) low cost

Coherent light is directional same freq. same phase same polarization  
 $\gamma = 0.88$

$$\therefore E_{\gamma} = 0.809 \text{ eV} \rightarrow \lambda(\text{nm}) = 1.532 \text{ nm}$$

## Second preparation:

+ required characteristics of optical source:

1- driven by current      2- wavelength in low loss window

3- beam must be directional      4- size compatible

5- small spectral width      6- high switching speed

7- high optical power (radiance)      8- linear (with input power)

9- long lifetime      10- high efficiency

- LED: spontaneous emission, incoherent, not directional, large spectral width

- LD: stimulated emission, coherent, directional, small spectral width

- concentration of free electrons in the valence band (concentration of holes in the conduction band) is:

$$N = P = n_i = k \exp\left(-\frac{E_g}{2k_B T}\right), k = 2\left(2\pi k_B T/h^2\right)^{3/2} \cdot (m_e m_h)^{3/4}$$

$$E_g = h\nu = \ln k$$

+ LED characteristics required:

1- high radiance output,      2- fast emission response time,

3- high quantum efficiency

+ characteristics can be achieved by combining one or more of the following: 1- carrier, 2-optical, 3-current

1- carrier: by giving recombination region minimum bandgap energy

2- optical: refractive index of recombination region is greater than others

3- current: by making the depletion region narrower such that the current is forced through a certain area.

+ types of LEDs:

SLED

1- easy to fabricate, 2- easy to mount

3- less optical tolerance, 4- less reliable

5- low performance, 6- smaller BW

7- less power coupled

ELED

1- difficult to fabricate, 2- difficult to mount

3- restricted tolerances, 4- highly reliable

5- high performance, 6- better BW

7- more power coupled

In<sub>1-x</sub>Ga<sub>x</sub>AsP<sub>y</sub>: 1000 - 1700 nm

Ga<sub>1-x</sub>Al<sub>x</sub>As: 800 - 900 nm

GaAs, GaSb, InAs, etc.: fixed wavelength

- ternary:  $E_g = 1.424 + 1.266x + 0.266x^2$  eV
- quaternary:  $y = 2.2x \rightarrow E_g = 1.35 - 0.92y + 0.12y^2$  eV

$$n(t) = n_0 e^{-\frac{t}{T_r}}$$

,  $n_0$  initial value of electron concentration

Recombination rate:  $R = \frac{dn}{dt} = -\frac{1}{T_r} n_0 e^{-\frac{t}{T_r}} = -\frac{n(t)}{T_r}$

injection rate:  $\frac{J}{qA}$

Carrier recombination:  $\frac{dn}{dt} = \frac{J}{qA} - \frac{n}{T_r}$  (photons/second)

equilibrium:  $\frac{dn}{dt} = 0 \rightarrow n = \frac{Jr}{qA}$  electrons/cm<sup>3</sup>

- quantum efficiency is ratio of radiative recombination to total (light + nonrad)

<sup>internal</sup> Quantum efficiency:  $\eta_{int} = \frac{R_n}{R_d + R_{nn}} = \frac{T_{nn}}{T_d + T_{nn}}$

Total recombination rate:  $R_d + R_{nn} = J/q$  electrons/s

<sup>internal</sup> Power generated:  $P_{int} = \eta_{int} \cdot \frac{J}{q} \cdot h\nu = \eta_{int} \frac{hcI}{qA} W$

$\eta_{ext} = \frac{n_2}{n_1(n_1+n_2)^2}$ , if interface is air,  $n_2=1 \rightarrow \eta_{ext} = \frac{1}{n_1(n_1+1)^2}$

Output power =  $\eta_{ext} \cdot P_{int} = \eta_{ext} \cdot \eta_{int} \cdot \frac{hcI}{qA} W$

- commonly used modulation techniques cannot be used with LED

optical sources due to the large spectral width (not single wavelength), but may be used with coherent sources (like LDs)

+ LED response time depends on:

- 1-injected carrier lifetime  $T_i$ , 2-parasitic capacitance
- 3-doping level of active region

output power as function of frequency:  $P(w) = P_0 [1 + (wT_i)]^{-\frac{1}{2}}$

+ LED advantages:

- 1-simple to design and manufacture
- 2-low cost, 3-high reliability, 4-long lifetime
- 5-less sensitive to temperature than LDs

+ LED disadvantages:

- 1-low coupling efficiency, 2-large spectral width (chromatic dispersion)
- 3-low switching speed

+ LED uses:

- 1-short range optical links, 2-digital systems up to 200 Mbit/s
- 3-multimode optical links

## + laser characteristics:

- spatial and temporal coherence
- small spectral width (monochromatic)
- high directivity and intensity
- small beam cross section

## + conditions for lasing:

- 1- active gain ,
- 2- carrier population inversion, more electrons in conduction band than valence  
leads to higher number of electrons producing photons
- 3- positive feedback

\* Fabry - perot cavity : two parallel mirrors positive feedback

\* Bragg gratings : distributed feedback by selecting spacing between gratings for specific wavelength gain.

## Fabry - perot :

light intensity

$$I(\gamma) = I(0) \exp([ \Gamma g(h\nu) - \alpha(h\nu)]\gamma)$$

gain coefficient

for a round trip:

$$I(2L) = I(0) R_1 R_2 e^{2L[\Gamma g(h\nu) - \alpha(h\nu)]}$$

$$R_1 = \left( \frac{n_1 - n_2}{n_1 + n_2} \right)^2 \quad , \quad R_2 = \left( \frac{n_3 - n_2}{n_3 + n_2} \right)^2$$

power reflection coefficient

\* gain : number of photons generated from one photon's round trip .

$$\text{at lasing threshold: } I(2L) = I(0) \Rightarrow \ln(\frac{1}{R_1 R_2}) = [\Gamma g(h\nu) - \alpha(h\nu)] \cdot 2L$$

$$\therefore J_{\text{th}} = \alpha + \frac{1}{2L} \cdot \ln\left(\frac{1}{R_1 R_2}\right)$$

- for lasing,  $J < J_{\text{th}}$

$\rightarrow$  to determine  $L$  :  $E(\beta, t) = A(\beta) e^{i(\omega t - \beta z)} \rightarrow e^{-i\beta L} = 1$   
 Phase condition:  $2\beta L = 2\pi m, m=0, 1, 2, \dots$

$$J_{\text{th}} = \underbrace{\int_{\text{same boundary}} J_{\text{th}}}_{\text{same boundary}} \rightarrow J_{\text{th}} \propto I_{\text{th}} \quad (J_{\text{th}} \text{ in } \text{cm}^{-1})$$

$$I_{\text{th}} = J_{\text{th}} \cdot \text{Area}$$

- if  $I < I_{\text{th}} \rightarrow$  LED, if  $I > I_{\text{th}} \rightarrow$  LD

-  $I_{\text{th}}$  should be minimised to reduce heating and power

$$\text{Rate equation: } \frac{d\Phi}{dt} = (n\Phi)_{\text{stimulated}} + R_{\text{sp}} - \frac{\Phi}{T_{\text{ph}}} \quad (\Phi = \text{photon density})$$

$$\frac{dn}{dt} = \frac{J}{qA} - \frac{n}{T_{\text{sp}}} - (n\Phi)_{\text{stimulated}}$$

- If operating above  $I_{\text{th}}$ , both rate equations equal zero

$$R_{\text{sp}} - \frac{\Phi}{T_{\text{ph}}} = \frac{J}{qA} - \frac{n}{T_{\text{sp}}} \rightarrow \Phi = (R_{\text{sp}} + \frac{n}{T_{\text{sp}}} - \frac{J}{qA}) \cdot T_{\text{ph}}$$

$$\rightarrow \Phi_s = \frac{T_{\text{ph}}}{qA} (J - J_{\text{th}}) + T_{\text{ph}} R_{\text{sp}}$$

$$\therefore P_{\text{int}} = \Phi_s \cdot h\nu \cdot \text{Volume of laser}$$

$$\rightarrow P_{\text{int}} = \frac{T_{\text{ph}}}{qA} (J - J_{\text{th}}) \cdot h\nu \cdot L \cdot W \cdot d = \frac{T_{\text{ph}}}{qA} \cdot (I - I_{\text{th}}) \cdot h\nu$$

$$\therefore \rho = \eta_{\text{ext}} P_{\text{int}} = \frac{\eta_{\text{int}} (J_{\text{th}} - \alpha)}{J_{\text{th}}} P_{\text{int}}, \eta_{\text{int}} \sim 0.6 - 0.7$$

- Resonant modes must satisfy the phase condition

$$\therefore 2\beta L = 2\pi m \quad \alpha \beta = \frac{2\pi n}{\lambda} = \frac{2\pi n v}{c}$$

$$\rightarrow \frac{\alpha \pi n v L}{c} = 2\pi m$$

$$\therefore \text{Resonant frequencies: } V_m = m \frac{c}{2Ln} \rightarrow \Delta V = \frac{c}{2L}$$

$$\Delta \lambda = \frac{\lambda_0^2}{L} \quad \Delta V = \frac{\lambda_0^2}{2Ln}$$

Optical gain:  $g(\lambda) = g(0) \exp\left[-\frac{(\lambda - \lambda_0)^2}{2\sigma^2}\right]$

for lasing modes:  $g_{th} = g(0) \exp\left[-\frac{(\lambda_l - \lambda_0)^2}{2\sigma^2}\right]$

number of modes:  $\frac{2(\lambda_l - \lambda_0)}{\Delta \lambda}$

$\sigma$ : spectral width, gain

+ for single mode:

1 -  $L$  is reduced to make  $\Delta V$  large  $\Rightarrow \Delta V = \frac{c}{2Ln}$

2 -  $g_{th}$  increased, but requires more current thus impractical

3 - Using distributed feedback to filter out modes

Bragg wavelength:  $\lambda_B = \frac{2n_e \lambda}{k}$

LD modulation:

1- direct (with current switching)

with laser  $BSR \approx 30\%$

2- external

with beam splitters and combiners work above  $50\%$

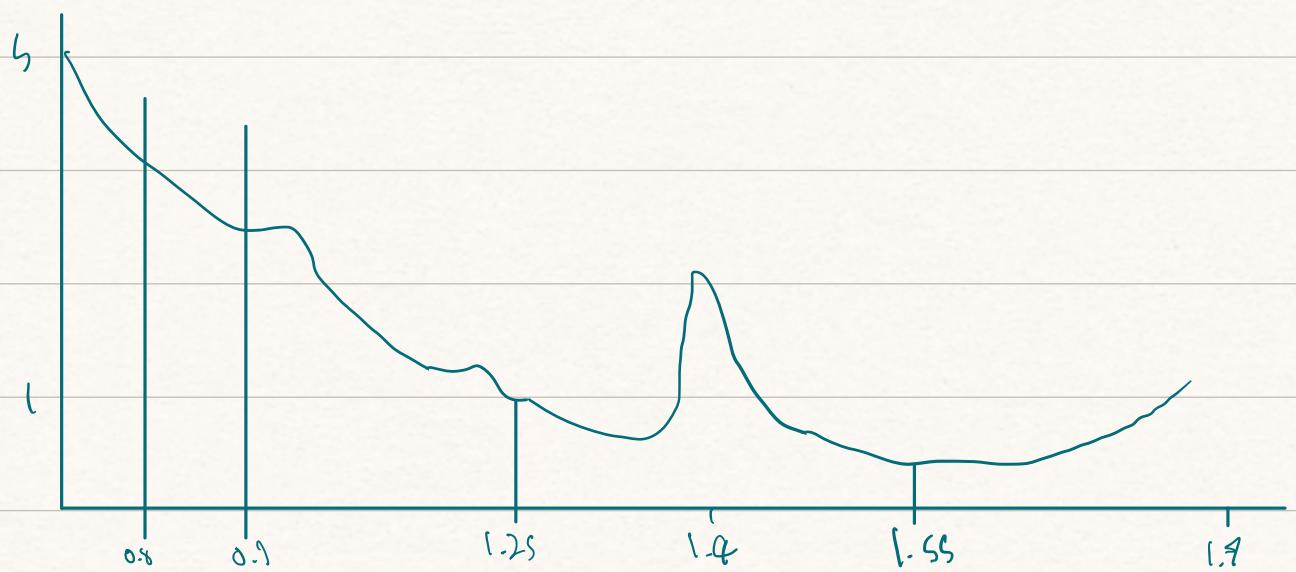
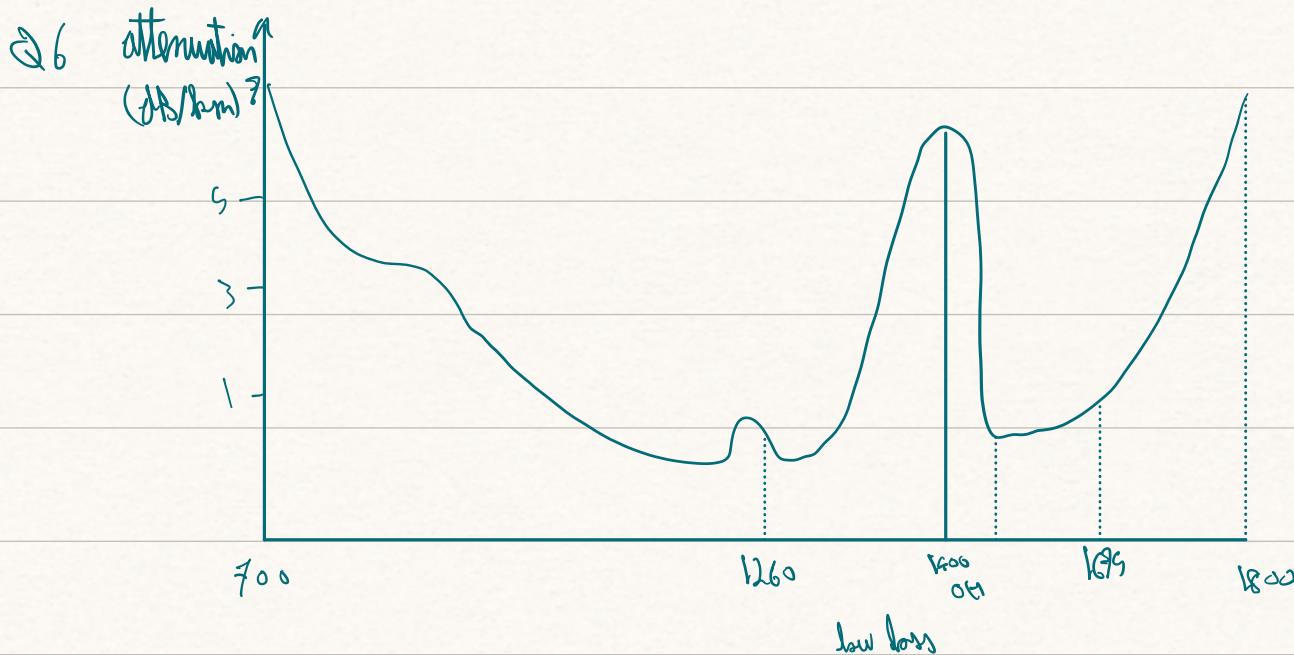
(external on-off switch)

- Temperature affects LDs greatly, for a certain output power more current is needed for higher temperature

$$I_{th} = I_{th} e^{T/T_0}$$

absolute temperature uncertainty

Mid 2021



min dispersion

original band: 1260 - 1360 nm | extended e Band: 1360 - 1410 nm

short band: 1470 - 1530 nm | conventional band: 1530 - 1565 nm

long band: 1565 - 1625 nm | ultra-long: 1625 - 1675 nm

$$Q7: P_{\text{out}} \text{ dBm} = P_{\text{in}} \text{ dBm} - 0.2 \cdot 50 =$$

$$-3 - 10 = -13 \text{ dBm} = 50 \text{ mW}$$

Q8: 1- intermodal dispersion (modal delay)

2- intramodal dispersion (chromatic dispersion)

3- polarization dispersion

+ types of single mode fibers:

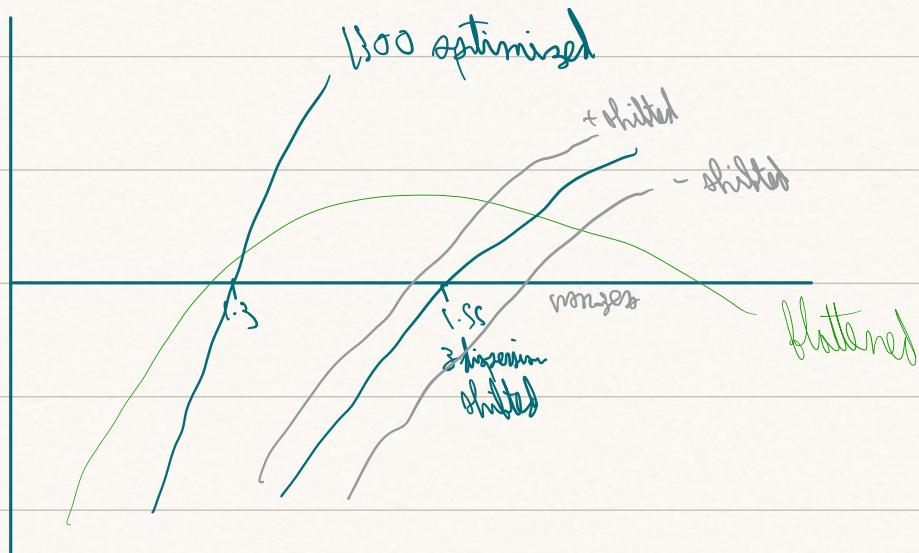
1- 1300 nm optimized single-mode: zero dispersion at 1300 nm but high loss

2- zero dispersion shifted: zero dispersion and low loss at 1550 nm  
intermodulation distortion

3- non-zero dispersion shifted: zero dispersion shifted from 1550 nm  
lower four wave mixing

4- dispersion flattened: very low dispersion over second and third windows

5- dispersion compensating fiber: negative dispersion to compensate



$$Q9: \text{ Standard } B-L = \frac{2\sqrt{3} C}{n_1 \cdot \Delta} \quad \Delta_{\text{step}} = 3.534 \times 10^7$$

$$\Delta = \frac{n_1 - n_2}{m} \quad B-L_{\text{grated}} = \frac{2\sqrt{3} C}{n_1 \cdot \Delta^2} = 1.969 \times 10^9$$

$$B_{\text{step}} = 706.8 \text{ Giga} \text{, } B_{\text{grated}} = 35.34 \text{ Mega}$$

$$n_2 = n_1 \left(1 - \frac{0.5}{100}\right)$$

$$\rightarrow n_2 = 0.952$$

$$Q10: \sigma_{\text{chrom}} = [ \sigma_T [ D_{\text{wg}} + D_{\text{mat}} ] ]$$

$$D_{\text{mat}} = 5 \quad , \quad D_{\text{wg}} = - \frac{\Delta n_2}{C \lambda} V \quad \frac{pV}{\lambda V^2}$$

$$\rightarrow D_{\text{wg}} = - \frac{0.5}{100} \cdot \frac{n_2}{C} \cdot 0.4 = -6.25 \text{ per/nanometer}$$

$$\sigma_{\text{chrom}} = 100 \cdot 1 \cdot (-12) = -1200 \text{ per}$$

$$\text{max hit rate} = \frac{1}{|\sigma_{\text{chrom}}|} = 8 \text{ Giga} \text{, } B-L_{\text{max}} = 800 \text{ Giga}$$

Second 2015

- Q1:
- 1- light source must be driven by current
  - 2- wavelength must be in low loss window
  - 3- beam must be directional
  - 4- size of light source must be compatible with fiber
  - 5- optical carrier must have small spectral width
- LED light is incoherent, whereas LD light is coherent.

Q2: GaAlAs : 800 - 900 nm

InGaAsP : 1000 - 1900 nm

GaAl, InAs, etc.: fixed wavelength

- Ge and Si have indirect bandgap and cannot be efficiently used.

- Q3:
- 1- carrier population inversion,
  - 2- active gain
  - 3- positive feedback
- double heterostructure is used for carrier confinement.

$$Q4: \quad \text{if } y = 2.2x \quad \text{and} \quad Eg = 1.35 - 0.72y + 0.12y^2$$

$$\rightarrow Eg = 1.125 \text{ eV}$$

$$\text{if } \lambda(\mu\text{m}) = 1.24/Eg(\text{eV}) \rightarrow \lambda = 1.102 \mu\text{m}$$

$$\rightarrow \text{Photon energy} = h \frac{c}{\lambda} = 1.804 \times 10^{-19} \text{ J}$$

$$\rightarrow \text{external power} = \eta_{\text{ext}} \cdot P_{\text{int}} = \eta_{\text{ext}} \cdot \eta_{\text{int}} \cdot \frac{I}{q} \cdot Eg$$

$$\text{if } \eta_{\text{ext}} = \frac{1}{n_1(n_1+1)^2} \quad \text{and} \quad \eta_{\text{int}} = \frac{T_m}{T_m + T_n}$$

$$\therefore \text{external power} = 0.254 \text{ mW}$$

$$Q5: \quad \text{if } g_{\text{th}} = \alpha + \frac{1}{2L} \ln \left( \frac{1}{R_1 R_2} \right)$$

$$\rightarrow g_{\text{th}} = 39.84 \text{ cm}^{-1}$$

$$\text{if } g_{\text{th}} = \beta \cdot J_{\text{th}} = \beta \cdot \frac{I_{\text{th}}}{L \cdot W} \rightarrow I_{\text{th}} = 99.6 \text{ mA}$$

$$\text{note: } \beta = 0.02 \text{ cm/A}$$

number of excited modes:

$$\text{if } \Delta \lambda = \frac{\lambda_0^2}{2Ln} \quad \text{and} \quad g_{\text{th}} = g(0) e^{\frac{-2(\lambda_1 - \lambda_0)}{\Delta \lambda}}$$

$$\rightarrow \Delta \lambda = 0.686 \text{ nm} \quad \rightarrow \lambda_1 - \lambda_0 = 3.39 \text{ nm}$$

$\therefore$  number of excited modes = 9 (round down)

$$\text{for single mode: } \Delta \lambda = 2(\lambda_1 - \lambda_0) \Rightarrow 2Ln = \frac{\lambda_0^2}{2(\lambda_1 - \lambda_0)}$$

$$\therefore L = 50.9 \mu\text{m}$$

Q6 → 8:

N/A

3dB → half power

$$Q_1: \because B(\theta, \phi) = B_0 \cos(\theta) \rightarrow \frac{1}{2} B_0 = B_0 \cos(\theta) \Rightarrow \theta = 60^\circ$$

$$\text{beamwidth} = 2\theta = 120^\circ$$

$$\text{lateral} \rightarrow \phi = 0 \quad \& \quad \frac{1}{B(\theta, \phi)} = \frac{\sin^2(\theta)}{B_0 \cos^2(\theta)} + \frac{\cos^2(\theta)}{B_0 \cos^2(\theta)}$$

$$\text{half power: } 5^\circ \rightarrow \theta = 2.5^\circ \quad \therefore \frac{1}{B(2.5, 0)} = 0 + \frac{1}{B_0 \cos^2(0)}$$

$$\rightarrow \frac{1}{2} B_0 = B_0 \cos^2(0) \rightarrow L = \log_{10}(0.5)$$

$$\therefore L = 727.9 \quad \text{not included, I think}$$

Q10: N/A

# Second 2014

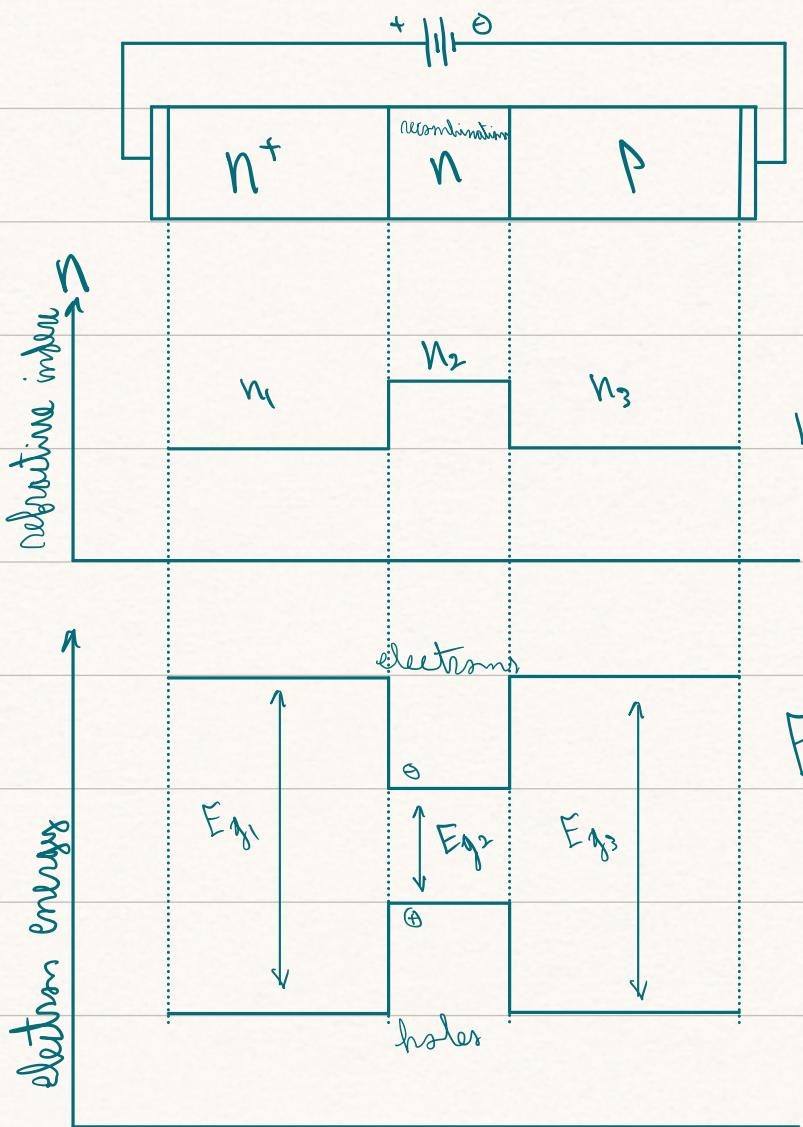
Q1: LED:

- + advantages: simple to design and manufacture, low cost, less sensitive to temp.
- + disadvantages: low coupling efficiency, large chromatic dispersion

LDs:

- + advantages: low chromatic dispersion, high coupling efficiency, high bandwidth
- + disadvantages: high temperature sensitivity, difficult to manufacture

Q2:



This structure is used to confine the carrier within the recombination region.

$$n_2 > n_1, n_3$$

$$E_{g2} < E_{g1}, E_{g3}$$

Q3: 1- active gain, 2- carrier population inversion, 3- positive feedback

confinement methods: 1- carrier, 2- optical, 3- current

Q4:  $\therefore E_g = 1.35 - 0.72 y + 0.12 y^2 \quad \lambda \quad y=22x \rightarrow E_g = 1.1255 \text{ eV}$

$$\therefore E_g = h\nu = h\frac{c}{\lambda} \rightarrow \lambda = \frac{hc}{E_g} \quad \begin{matrix} 6.626 \times 10^{-34} \\ 3 \times 10^8 \end{matrix} \quad \begin{matrix} 1.1255 \times 10^3 \end{matrix} \rightarrow \lambda = 1.102 \mu\text{m}$$

$$\therefore n_{int} = \frac{T_{in}}{T_{in} + T_a} \quad \lambda \quad P_{int} = n_{int} \cdot \frac{I}{A} \cdot E_g \rightarrow P_{int} = 22.51 \text{ mW}$$

$$\lambda \quad n_{exit} = \frac{n_1}{n_1(n_1+n_2)} , \text{ emitted to air coupler } n_2 = 1 \quad \therefore n_{exit} = \frac{1}{n_1(n_1+1)^2}$$

$$\rightarrow P_{exit} = n_{exit} \cdot P_{int} = 317.6 \text{ uW}$$

Q5:  $\therefore g_{th} = \frac{1}{R} \left[ \alpha + \frac{1}{2L} \ln \left( \frac{1}{R_1 R_2} \right) \right] = 55.67 \text{ cm}^{-1}$

$$\therefore g_{th} = A \cdot J_{th} \quad \lambda \quad J_{th} = \frac{I_{th}}{L \cdot W}, \quad A = 0.02 \text{ cm}^2/\text{A}$$

$$\rightarrow I_{th} = 111.3 \text{ mA}$$

$$\therefore g_{th} = g(0) e^{\frac{-(\lambda_1 - \lambda_0)^2}{2\sigma^2}} \rightarrow \frac{-(\lambda_1 - \lambda_0)^2}{2\sigma^2} = \ln \left( \frac{g_{th}}{g(0)} \right)$$

$$\rightarrow \lambda_1 - \lambda_0 = 2.32 \text{ nm}$$

$$\lambda \Delta \lambda = \frac{\lambda_0^2}{2L_n} \quad \begin{matrix} 850 \text{ nm} \\ 400 \text{ nm} \end{matrix}, \quad n = 3.5 \rightarrow \Delta \lambda = 0.258 \text{ nm}$$

$$\therefore \text{number of modes: } \frac{2(\lambda_1 - \lambda_0)}{\Delta \lambda} = 17$$

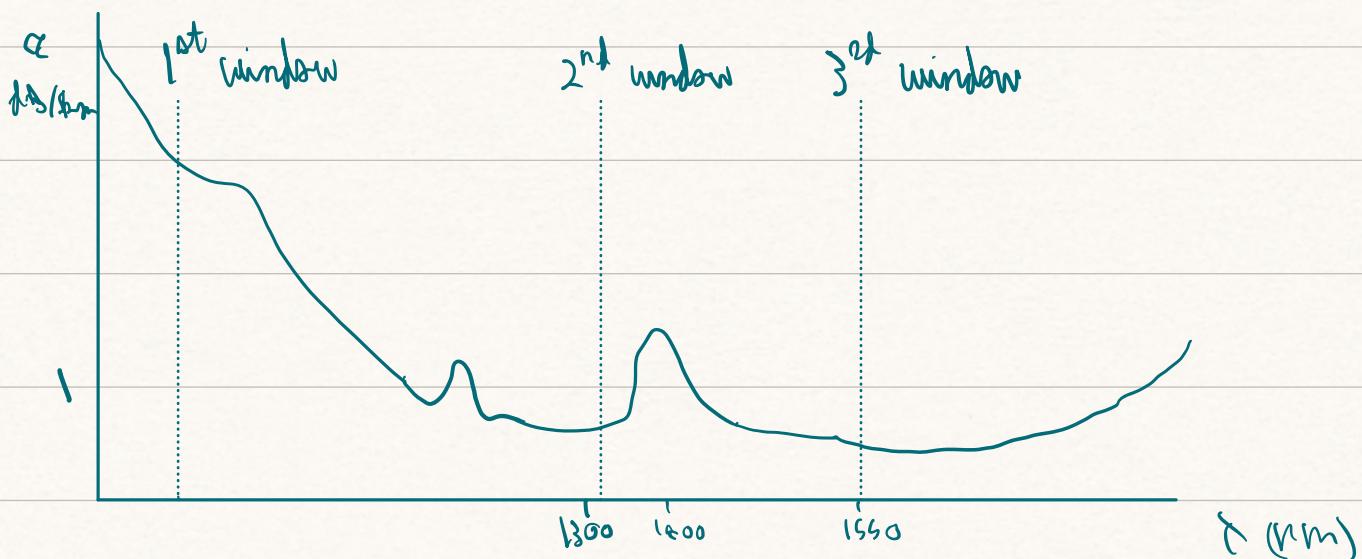
Q6  $\rightarrow$  10:  $N/A$

Second 2012

Q1: 1- absorption, 2- scattering, 3- Radiative

extrinsic absorption: caused by impurities in the glass.

intrinsic absorption: caused by normal fiber material.

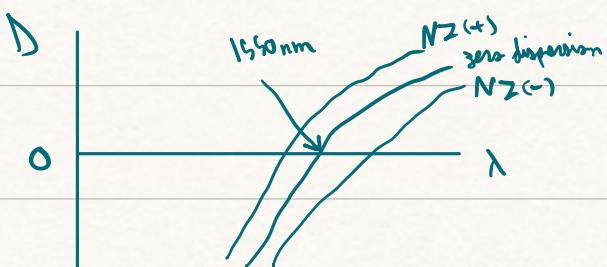


Q2:  $P_{\text{out}} = P_{\text{in}} - \alpha \cdot L \rightarrow P_{\text{in}} = P_{\text{out}} + \alpha \cdot L$   
 $\therefore P_{\text{in}} = 1 \text{ mW}$

Q3: 1- intermodal dispersion (modal delay)

2- intramodal (chromatic) dispersion

3- polarization mode dispersion



designed by double cladding and careful selection  
of refractive indices of cladding material.

$$Q4: D_{\text{chrom}} = D_{\text{mat}} + D_{\text{wg}} \rightarrow \sigma_{\text{chrom}} = D_{\text{chrom}} \cdot L \cdot \sigma_\lambda$$

$$D_{\text{wg}} = -4.29 \text{ ps}/(\text{nm} \cdot \text{km}) \rightarrow D_{\text{chrom}} = 5.71 \text{ ps}/(\text{nm} \cdot \text{km})$$

$$\therefore \sigma_{\text{chrom}} = 571 \text{ ps}$$

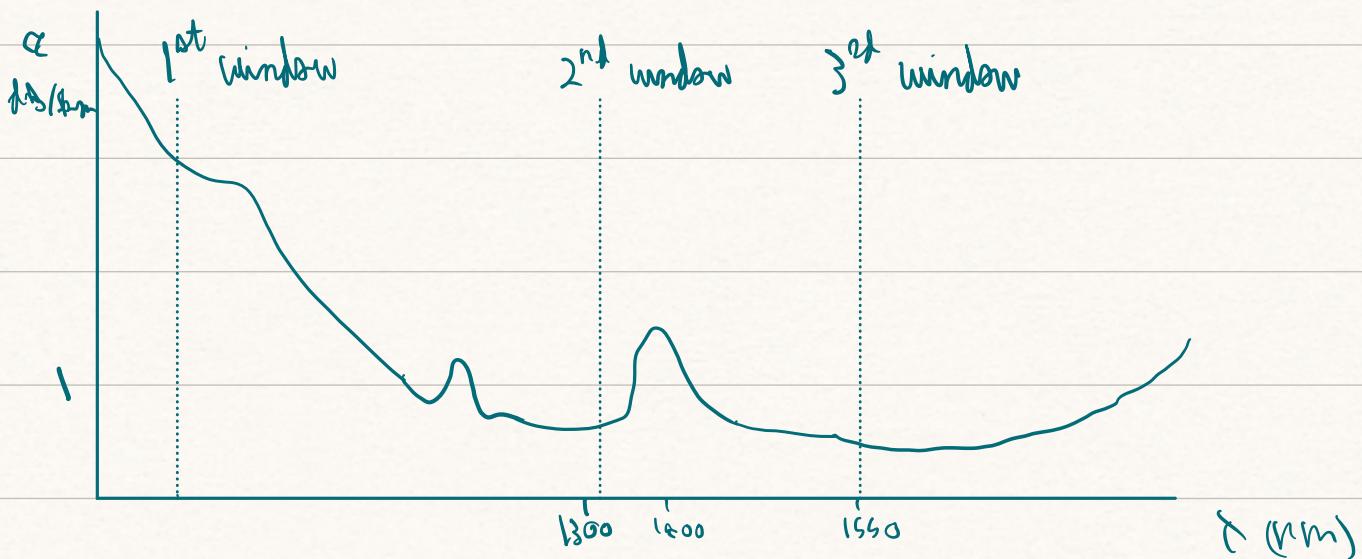
$$B \cdot L = \frac{L}{\sigma_{\text{chrom}}} = 175 \text{ Giga} \text{ps} \cdot \text{km}$$

Seisert 2012

Q1: 1- absorption, 2- scattering, 3- Radiative

extrinsic absorption: caused by impurities in the glass.

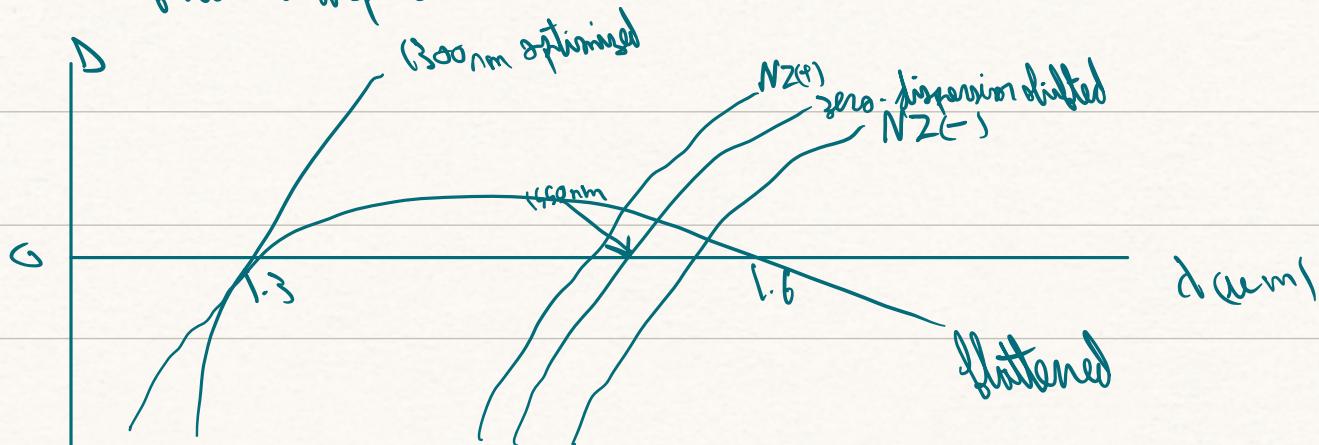
intrinsic absorption: caused by normal fiber material.



Q2:  $P_{\text{out}} = P_{\text{in}} - \alpha \cdot l = 1.58 \text{ mW}$

Q3: 1- 1300 nm optimized, 2- dispersion shifted,

3- flattened dispersion



$$Q_4: D_{\text{chrom}} = D_{\text{mat}} + D_{\text{mg}}, \quad D_{\text{mg}} = \frac{-n_r \Delta}{\lambda c} \cdot 0.5$$

$$\rightarrow D_{\text{chrom}} = D_{\text{chrom}} \cdot L \cdot \sigma_x = -72 \text{ ps}$$

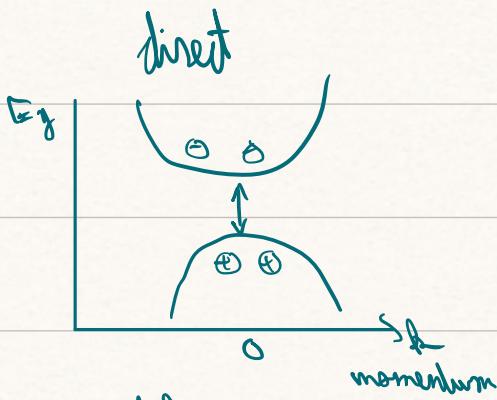
$\therefore$  single mode step  $\rightarrow V < 2.405 \rightarrow \frac{2\pi n}{\lambda} \cdot n_i (2\Delta)^{1/2} < 2.405$

$$\therefore \lambda_c = \frac{2\pi n \cdot n_i \cdot (2\Delta)^{1/2}}{2.405} = 1.59 \text{ nm}$$

$$B \cdot L = \frac{L}{D_{\text{chrom}}} = 1.38 \text{ THz} \cdot \text{km}$$

$$\rightarrow B \text{ for } 50 \text{ km} = \frac{1.38}{50} = 27.8 \text{ GHz}$$

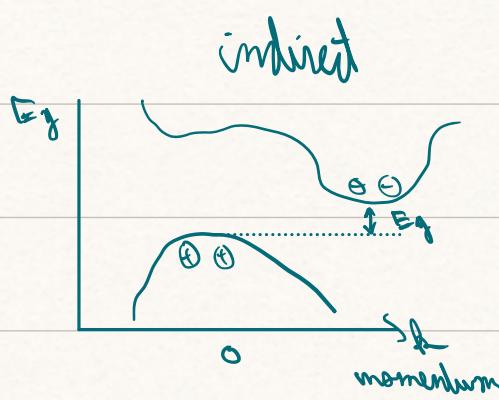
Q5:



Gr AlAs

In Gr AtP

- direct bandgap material are used



Si

Ge

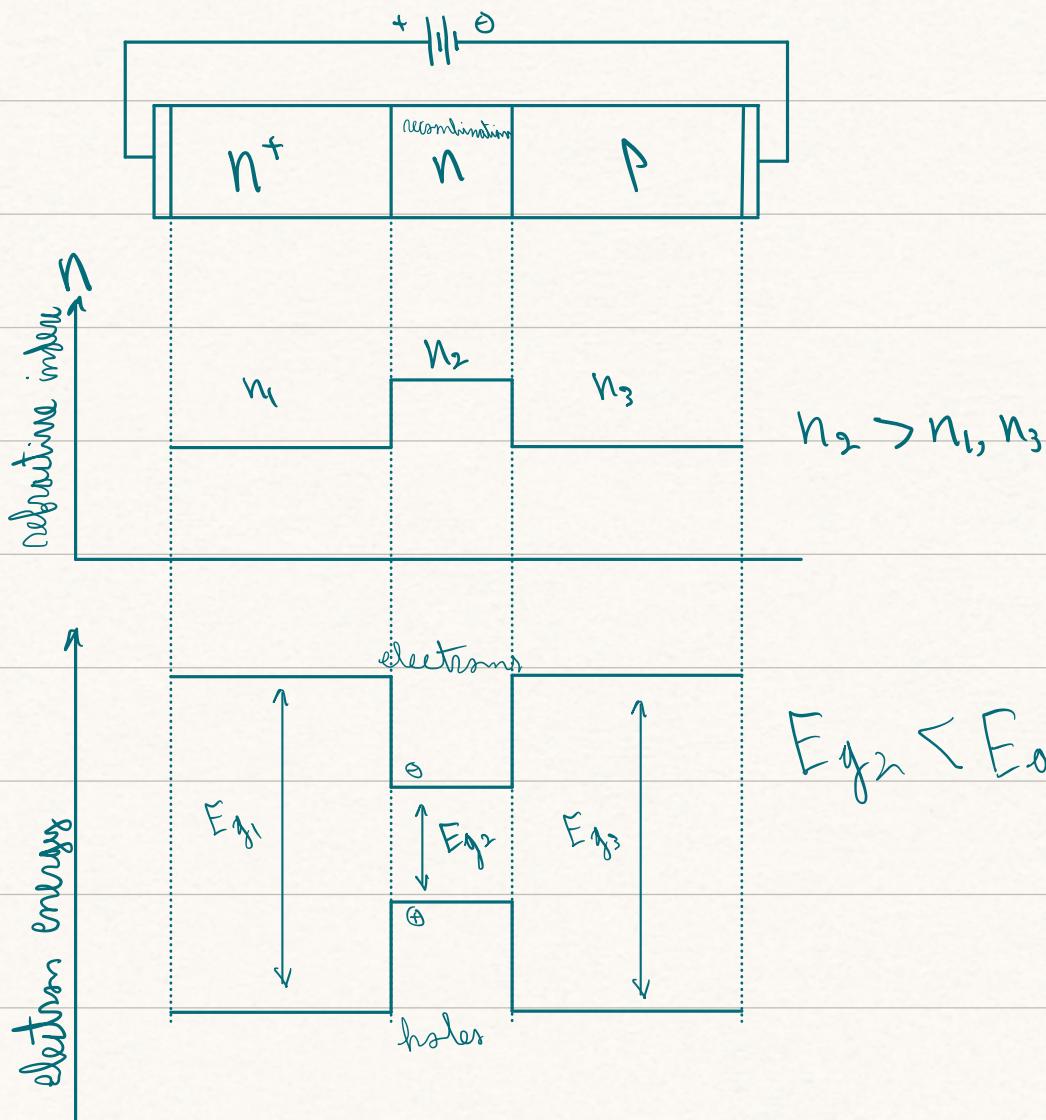
Q7: 1- active gain, 2- carrier population inversion, 3-positive feedback

1- carrier, 2-optical, 3-current - confinement

Q8: 1- LED emits incoherent light (LD coherent)

2-LED emits spontaneously, 3-LED large spectral width

Q6:



Q9:  $E_g = \frac{1.2q}{\lambda(\text{nm})} = 0.8 \text{ eV}$

$\therefore E_g = 1.35 - 0.92y + 0.12y^2 \rightarrow y = 0.898$

$\therefore y = 1.2x \rightarrow x = 0.408$

$P_{int} = \eta_{int} \cdot \frac{I}{q} \cdot E_g \quad \& \quad \eta_{int} = \frac{T_m}{T_m + T_h} \quad \therefore P_{int} = 12.8 \text{ mW}$

$P_{ext} = \eta_{ext} \cdot P_{int}, \quad \eta_{ext} = \frac{1}{n(n+1)^2} \quad \therefore P_{ext} = 180.6 \text{ mW}$

$$Q10: \Gamma_{gth} = \alpha + \frac{1}{2V} \ln\left(\frac{1}{R_1 R_2}\right) \rightarrow g_{th} = 25.99 \text{ cm}^{-1}$$

$$g_{th} = \Delta \cdot I_{th} \rightarrow I_{th} = 1.299 \text{ A}$$

$$\ln\left(\frac{g_{th}}{g_0}\right) = \frac{-(\lambda_1 - \lambda_0)^2}{2\Delta} \rightarrow \lambda_1 - \lambda_0 = 4.48 \text{ nm}$$

$$\Delta \lambda = \frac{\lambda_0^2}{2Vn}, \quad n \text{ not given. can be solved with assumptions}$$

$$R_2=0.5 = \left(\frac{1-n}{1+n}\right)^2 \rightarrow n = 5.828$$

$$\therefore \Delta \lambda = 0.124 \text{ nm} \rightarrow \text{number of modes: } 73$$

# Chapter 6:

## \* Photodetector Requirements:

1- high responsivity and sensitivity in low-loss region

2- high response time or bandwidth to handle required data rate.

3- low noise

4- high linearity and dynamic range

5- small size, long lifetime, low cost.

## Types of Detectors:

- photodiodes: compact, fast, linear, quantum efficient, high dynamic range

- metal-semiconductor-metal (MSM): faster than photodiodes

- phototransistors: similar to photodiodes but internally amplify photocurrent

- photoconductive detectors: cheaper than PDs, slower, less sensitive, nonlinear

- phototubes: photoelectric effect

- Two types of photodiodes used exclusively as optical Receivers: PIN and APD

- incident optical power absorbed:  $P(x) = P_{in} e^{-\alpha_0 x}$

- for depletion region of length  $w$ :  $P(w) = P_{in} (1 - e^{-\alpha_0 w})$

- direct bandgap gives lower transit time  $\rightarrow$  higher bandwidth

- quantum efficiency:  $\frac{I_p/a}{P_{in}/\hbar\nu} = \frac{\hbar\nu}{a} \cdot \frac{I_p}{P_{in}} = \eta$

- Responsivity:  $R = \frac{I_p}{P_{in}} = \frac{\eta a}{\hbar\nu} \text{ (A/W)}$

$$\rightarrow I_p = \frac{\eta a}{\hbar c} \cdot P_{in}$$

- multiplication gain:  $M = \frac{I_m}{I_p}$

- Responsivity of APD = Responsivity of PIN multiplied by gain

$$R_{APD} = M \cdot R = M \cdot \frac{\eta a}{\hbar c} \text{ (A/W)}$$

- Primary photocurrent:  $i_p(t) = R \cdot P(t)$

$$(i_p(t))^2 \propto \sigma_{S, PIN}^2$$

$$(i_p(t))^2 \cdot M^2 \propto \sigma_{S, APD}^2$$

- shot noise power:  $\sigma_{shot}^2 = 2qI_p M^2 \cdot F(M) Be$

$$F(M) = M^2 \rightarrow \sigma_{shot}^2 \text{ for PIN} = 2qI_p Be$$

- dark current power:  $\sigma_{DB}^2 = 2qI_D M^2 F(M) Be \quad (\text{A}^2)$

- dark surface current:  $\sigma_{DB}^2 = 2qI_s Be$ , not multiplied

- Thermal noise:  $\sigma_T^2 = \frac{4k_B T}{R_L} \cdot Be \quad (\text{A}^2)$

## EE 555: homework #4

6.6: compare noise from dark current, dark surface current, and shot noise.

$$\textcircled{1} \quad P_{in} = 500 \text{ nW} \quad \lambda \eta = 0.95 \Rightarrow I_p = 0.593 \text{ nA}$$

$$\therefore \sigma_{\text{shot}}^2 = 2 k_B I_p \cdot B_e = 2.85 \times 10^{-17} \text{ A}^2$$

$$\textcircled{2} \quad \sigma_{DB}^2 = 2 k_B I_p \cdot B_e = 4.8 \times 10^{-20} \text{ A}^2$$

$$\textcircled{3} \quad \sigma_{DS}^2 = 0$$

\ assuming  $T = 300$  ( $29^\circ\text{C}$ )

$$\rightarrow \sigma_T^2 = \frac{q k_B T}{R_L} \cdot B_e = 4.97 \times 10^{-15} \text{ A}^2$$

-  $\sigma_T^2$  is the largest and therefore dominant.

$$6.12: \textcircled{1} \quad T_{RL} = R_L L_T = R_T \cdot \frac{eA}{w}, \quad e = 60 \cdot 4 \pi$$

$$L_T \text{ given equal to } 11.7 \quad \therefore R_T \cdot L_T = 2.59 \text{ ns}$$

$$\textcircled{2} \quad \text{carrier drift: } t_d = \frac{w}{V_D} \quad \left. \right\} t_d = 0.4 \text{ ns}$$

$$\textcircled{3} \quad V_D = 4.4 \times 10^4 \text{ m/s for electrons}$$

-  $R_L$  time constant is dominant, diffusion time negligible

$$7.5: \text{a) } \therefore P_0(V_{th}) = \frac{1}{2} \operatorname{erfc}\left(\frac{V_{th}-V_{th}}{\sqrt{2} \sigma_{th}}\right) = \frac{1}{2} \operatorname{erfc}\left(\frac{V_1 - V_{th}}{2\sqrt{2} \cdot 0.2V_1}\right)$$

$$\therefore P_0(V_{th}) = \frac{1}{2} \operatorname{erfc}\left(\frac{5\sqrt{2}}{4}\right) \approx 0.0162$$

$$\text{b) } P_1(V_{th}) = \frac{1}{2} \operatorname{erfc}\left(\frac{25\sqrt{2}}{24}\right) \approx 0.0339$$

$$\text{c) } P_e = \frac{1}{2} (0.65 \cdot P_0(V_{th}) + 0.35 \cdot P_1(V_{th})) = 0.0112$$

$$\text{d) } P_e = 0.0125$$

$$7.7: \text{a) } P_n(n) = N^n \frac{e^{-N}}{n!}, \quad n=5$$

$$\text{b) } P_{in} = 25 \text{nW} \quad \text{and} \quad \alpha = 40 \text{ dB} \rightarrow P_{received} = 2.5 \text{nW}$$

$$\text{Received energy in 1ns} = 2.5 \text{nW} \cdot 1 \text{ns} = 2.5 \times 10^{-18} \text{J}$$

$$\text{Received photons in 1ns} = 16.35$$

$$\therefore P_n(5) = 16.35^5 \cdot \frac{e^{-16.35}}{5!} = 7.72 \times 10^{-4}$$

## Chapter 5:

- Coupling efficiency:  $\eta = \frac{P_{\text{fiber}}}{P_S \text{ assume}}$

- Radiation pattern of lambertian source:  $B(\theta, \phi) = B_0 \cos(\theta)$

- ELED<sub>o</sub> and LD<sub>o</sub> don't have a lambertian radiation pattern

$$\frac{1}{B(\theta, \phi)} = \frac{\sin^2(\theta)}{B_0 \cos^T(\theta)} + \frac{\cos^2(\theta)}{B_0 \cos^L(\theta)}$$

- integers L and T are the lateral and transversal power distribution

(coefficients of the radiation pattern (very large for LD<sub>o</sub>)

- for a SLED to step-index fiber, coupled power:

$$P_{\text{SLED, step}} = \pi^2 n_s^2 \underbrace{(NA)^2}_{\text{numerical aperture}} \cdot B_0$$

- any optical source with circular emitting surface and uniform radius has a total emitted power  $P_S = \pi^2 \cdot n_s^2 B_0$

$$\rightarrow P_{\text{SLED, step}} = (NA)^2 \cdot P_S \rightarrow \eta = (NA)^2 \text{ for } n_s < 0$$

$$\text{- if } n_s > 0, \text{ then } P_{\text{SLED, step}} = \left( \frac{a}{n_s} \right)^2 \cdot (NA)^2 \cdot P_S$$

$\eta \text{ for } n_s > 0$

- for graded-index, power coupled from SLED for  $n_s < 0$ :

$$P_{\text{SLED, graded}} = 2\pi^2 \cdot n_s^2 \cdot B_0 \cdot n_i^2 \cdot D \cdot \left[ 1 - \frac{a}{a+2} \left( \frac{n_s}{n_i} \right)^2 \right]$$

$$\therefore n_i \sqrt{2D} = NA \rightarrow P_{\text{SLED, graded}} = (NA)^2 \cdot P_S \cdot \left[ 1 - \frac{a}{a+2} \left( \frac{P_S}{a} \right)^2 \right]$$

- if  $n_s > n_f$ :  $P_{\text{SLED, graded}} = \left(\frac{n_s}{n_f}\right)^2 \cdot (NA)^2 \cdot P_S \left(1 - \frac{a}{a+1}\right)$
- due to differences in refractive indices of the source and fiber, some power will be reflected

$$\rightarrow P_{\text{coupled}} = (1 - R) P_{\text{emitted}}, R = \left[ \frac{n_f - n_{\text{air}}}{n_f + n_{\text{air}}} \right]^2$$

$$\text{loss} = -10 \log \left( \frac{P_{\text{coupled}}}{P_{\text{emitted}}} \right) = -10 \log (1 - R)$$

+ lensing schemes: small lens placed between source and fiber to improve coupling efficiency by magnifying the emitting area to match the fiber core.

- round-ended fiber, - small nonimaging glass sphere,
- larger spherical lens, - cylindrical lens, - taper-ended fiber
- system of spherical-tapered LED and spherical-ended fiber

+ fiber-to-fiber joints:

- needed at Tx, Rx, repeaters, Amos., add-drop points, between fibers
- types: splices (permanent, between fibers), connectors (removable)
- fiber-to-fiber coupling efficiency:  $\eta_F = \frac{M_{\text{common}}}{M_E}$ 
  - number of modes in both fibers
  - number of modes in the emitter
- fiber coupling loss:  $L_F = -10 \log(\eta_F)$

+ mechanical misalignment:

- 1 - lateral: power coupled proportional to common offset introduces most losses

# Mes of fibers

$$A_{\text{comm}} = 2\pi^2 \cos^{-1}\left(\frac{d}{2a}\right) - d \left[ a^2 - \frac{d^2}{4} \right]^{\frac{1}{2}}$$

$$\rightarrow \eta_{\text{F, star}} = \frac{A_{\text{comm}}}{\pi a^2} = \frac{2}{\pi} \cos^{-1}\left(\frac{d}{2a}\right) - \frac{d}{\pi a} \left[ 1 - \left(\frac{d}{2a}\right)^2 \right]^{\frac{1}{2}}$$

$$\lambda \eta_{\text{grated}} \approx \left[ 1 - \frac{8d}{3\pi a} \right]$$

2- longitudinal: ends separated by distance  $\delta$

$$\eta_F = \left( \frac{a}{a + \delta \tan(\theta_0, \text{max})} \right)^2$$

$$3- \text{Angular: } \eta_F \approx 1 - \frac{\theta_{\text{misalignment angle}}}{\pi (\text{NA})}$$

$$\text{loss} = L_F = -10 \log(\eta_F)$$

+ other coupling losses:

- due to different core diameter:  $L_F(a) = -10 \log \left( \frac{a_E}{a_E} \right)^2$  for  $a_E < a$ ,  $a_E > a$
- due to different NA:  $L_F(\text{NA}) = -10 \log \left( \frac{(\text{NA})_E}{(\text{NA})_E} \right)^2$  for  $\text{NA}_E < \text{NA}_E$ ,  $0 < \text{NA}_E > \text{NA}_E$
- due to different refractive indices:  $L_F(n) = -10 \log \left( \frac{n_E (n_E + r)}{n_E (n_E + r)} \right)$  for  $n_E < n_E$ ,  $0 < n_E > n_E$

+ single-mode fibers:

- radial (axial) misalignment:  $L_{\text{sm, lat}} = -10 \log \left[ \exp \left\{ -\left( \frac{d}{w} \right)^2 \right\} \right]$

$$\text{where } w = a \left[ 0.64 + 1.619 V^{-3/2} + 2.894 V^{-6} \right]$$

- angular:  $L_{\text{sm, ang}} = -10 \log \left[ \exp \left\{ -\left( \frac{\pi n_r w \theta}{\lambda} \right)^2 \right\} \right]$

- Longitudinal:  $L_{\text{sm, gap}} = -10 \log \left\{ \frac{b^2 \cdot n_1^2 \cdot n_2^2}{(n_1 + n_2)^2 \cdot (G^2 + q)} \right\}$

where  $G = \frac{\lambda}{\Delta w^2}$ ,  $\Delta w = \frac{2\pi}{\lambda}$

- + Splicing steps: 1- Boiling: removing coating, keeping core and cladding  
 2- Cleaning: cutting fiber to produce flat surface (no angle)  
 3- Polishing surface

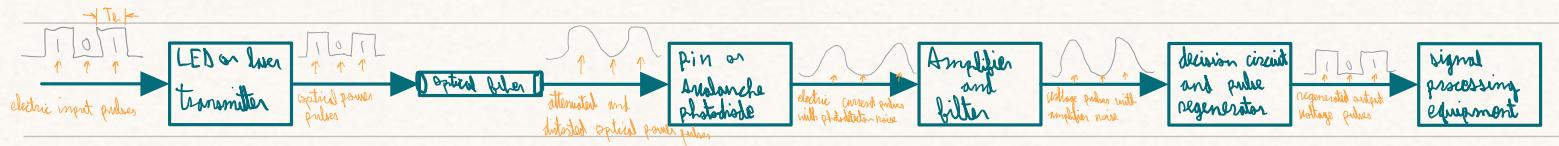
+ Splicing techniques: 1- fusion, 2- V-groove mechanical, 3- cleave-tube

- Return loss:  $RL = -10 \log \left[ 2R \left( 1 - \cos \left( \frac{4\pi n d}{\lambda} \right) \right) \right]$

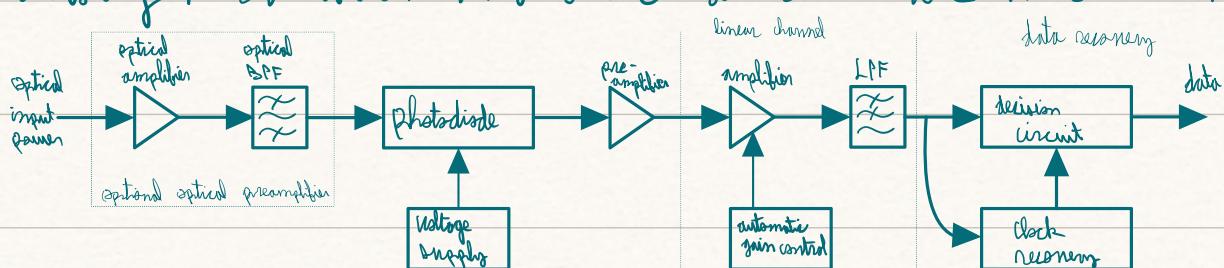
refraction index matching method in fibers

# Chapter 7:

- optical receiver consists of photodetector, preamplifier, and signal processing circuit
  - receiver converts optical EM wave to electrical signal
  - modulation scheme considered is unipolar (on-off-keying)
  - data voltage signal  $\rightarrow$  electric current signal  $\rightarrow$  intensity modulation  
 $\rightarrow$  optical power. Logic 1: light pulse with duration  $T_L$
- vs light, same duration for 0



- the decision circuit compares the amplified signal with some voltage threshold.
- intensity modulation and direct detection are most commonly used



- receiver converts optical signals to electrical and recovers data.
- photodiode directly converts signal to low-level electric.  
 $\rightarrow$  no need for RF section

- Random arrival rate of signal photons produces quantum (shot) noise.
- APD will have additional shot noise (excess noise) caused by the statistical nature of the multiplication process.
- Thermal noise generated in the photodetector's load resistance and the input resistance of the preamplifier.
- Primary current is time-varying Poisson process. This is a property of EM radiation.

- Average number of electron-hole pairs generated is:

$$N = \frac{\eta}{h\nu} \int_0^T P(t) dt = \frac{\eta E}{h\nu}$$

quantum efficiency  
number of photons per pulse

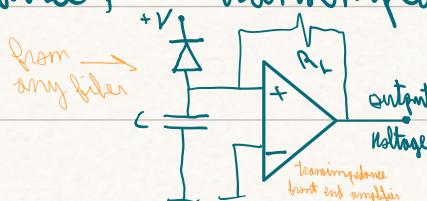
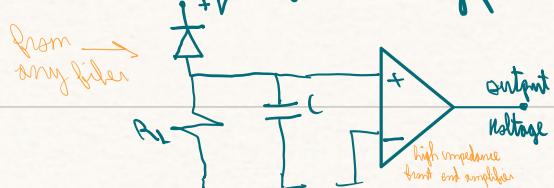
- probability that  $n$  electrons are generated in  $T$  is a Poisson distribution given as:  $P_n(n) = N^n \frac{e^{-N}}{n!}$

- For an APD with mean gain  $M$ , the excess noise factor is:

$$F(M) \approx M^x$$

depends on material  
x: factor that comes from 0 to 1

- front-end amplifier amplifies the weak signal generated by the photodiode. It must be low noise and large bandwidth + one of two types: high impedance, Transimpedance



- high impedance amplifier requires a high load resistance  $R_L$  to minimize the thermal noise, but at the expense of bandwidth.

$$B_e = \frac{1}{2\pi R_L C}$$

$\frac{1}{2\pi(R_L/R_{in}) C} , R_L/R_{in} = R_L$   
 $\therefore R_{in} \approx \infty$

- negative feedback in the transimpedance amplifier reduces effective resistance seen by the PD by a factor  $G_r$ , where  $G_r$  is the gain of the amplifier.

$$B_e = \frac{G_r}{2\pi R_L C} \quad \therefore R_{eff} = \frac{R_L}{G_r}$$

- If same  $R_L$  used for transimpedance as high impedance, the transimpedance will have double the thermal noise and  $G_r$  times the bandwidth.

→ Transimpedance amplifier preferable.

- Reclined pulse train:  $P(t) = \sum_{n=-\infty}^{+\infty} a_n h_p(t - nT_b)$
- output photocurrent:  $i(t) = M \cdot R \cdot \sum_{n=-\infty}^{+\infty} a_n h_p(t - nT_a)$
- converted, amplified, and filtered voltage:  $V(t) = \sum_{n=-\infty}^{+\infty} b_n h_p(t - nT_a) + n(t)$
- bit-error probability:  $BER = P_e = \alpha P_1(V_{th}) + \beta P_0(V_{th})$
- The probability of error given logic 0:  $P_0(V_{th}) = \frac{1}{2} erfc \left[ \frac{V_{th} - b_0 V_{off}}{\sqrt{2} \sigma_{noise}} \right]$
- error function complementary:  $erfc(x) = \frac{2}{\sqrt{\pi}} \int_x^{\infty} \exp(-y^2) dy$

$$\rightarrow erfc(x) \approx \frac{e^{-x^2}}{2\pi}$$

- If logic 1 was sent:  $P_1(V_{th}) = \frac{1}{2} \operatorname{erfc} \left[ \frac{V_{on} - V_{th}}{\sqrt{\sigma_{on}}} \right]$
- average bit error probability:  $\overline{BER} = \overline{P_e} = \frac{1}{2} \cdot [P_1(V_{th}) + P_0(V_{th})]$
- The optimum threshold giving the minimum BER is found as:  
 $V_{th,opt} = \frac{\sigma_{on} \cdot V_{on} + \sigma_{off} \cdot V_{off}}{\sigma_{on} + \sigma_{off}}$  point at which functions are equal  
 $\therefore Q = \frac{V_{on} - V_{th,opt}}{\sigma_{off}} = \frac{V_{on} - V_{th}}{\sigma_{off}}$   
 $\text{at } \alpha = k = 0.5$
- BER at optimum threshold:  $BER = P_e = \frac{1}{2} \operatorname{erfc} \left( \frac{Q}{\sqrt{2}} \right) \approx \frac{1}{4\pi} \operatorname{erfc} \left( \frac{Q}{2} \right)$

$$\lambda Q \text{ at } V_{th,opt} = \frac{V_{on} - V_{th,opt}}{\sigma_{on} + \sigma_{off}}$$

\* receiver sensitivity: minimum power required to achieve a certain performance measure for some bit rate

- the Q number can be written as:  $\frac{I_1 - I_0}{\sigma_1 + \sigma_0} \approx \frac{I_1}{\sigma_1 + \sigma_0}$  if  $I_0 = 0$
- average received optical power is:  $P = \frac{P_1 + P_0}{2} \approx \frac{P_1}{2}$
- average photocurrent from received power:  $I \approx I_1 = R M P_1$  Multiplication factor
- therefore, power to achieve Q:  $\text{Power} = \frac{P_1}{2} = \frac{I_1}{RM} = \frac{Q(\sigma_1 + \sigma_0)}{RM}$
- since the assumed power received in 0 pulse is 0, there will only be thermal noise  $\rightarrow \sigma_0 = \sigma_T$ , whereas the 1 pulse will have thermal and shot noise  $\rightarrow \sigma_1 = \sigma_T + \sigma_{shot}$

- average thermal noise power received in electrical bandwidth Be:

$$\sigma_T^2 = \frac{4 k_B T}{R_L} \cdot F_n \cdot Be$$

Boltzmann constant  
 Temperature  
 noise figure

- average shot noise power in Be:

$$\sigma_{shot}^2 = 2 g I_1 M F(M) Be = 2 g P_1 R M^2 F(M) Be$$

$$\therefore \sigma_{\text{shot}}^2 = 4 \cdot \text{Positivity} \cdot R \cdot M^2 \cdot F(M) \cdot B_e$$

- somehow:

$$\text{Positivity} = \frac{Q}{Rm} \cdot \left[ f \cdot M \cdot F(M) \cdot Q \cdot B_e + \left[ \frac{4k_B T}{R_L} F_n B_e \right] \right]$$

- for a low-pass nyquist channel:  $B_e = \frac{B}{2}$  bit rate

\* Quantum limit: minimum received power required for a specific BER

- error occurs if no electron hole pairs are generated when logic 1

$$\therefore P_n(N) = N^n \frac{e^{-N}}{n!}$$

number of electron hole pairs generated in  $T$  one pulse duration

$$\therefore P_n(0) = e^{-N} = P_e$$

## Chapter 8:

- Two optical fiber commun. systems categories: point-to-point or distributed net.

- point-to-point: electronic repeaters or optical amplifiers

- electronic repeaters: overcome attenuation and dispersion  
+ other disadvantages

but only used in single wavelength system

- optical amplifier: amplify signal, dispersion, and noise

- dispersion solved by using dispersion

compensating fibers at different locations

(pre-, inline-, or post-compensation)

+ key design requirements:

1- transmission distance, 2- data rate or bandwidth,

3- BER or output SNR

+ design choices: three components: source, fiber, receiver

- optical source: LED (SLED or ELED) or LD

- optical fiber channel: single mode step, multimode step, multimode graded

- optical receiver: PIN or Avalanche photodiodes

+ considerations for source:

1- emission wavelength, 2- spectral line width, 3- output power

4- effective radiating area, 5- emission pattern, 6- number of modes

7 - switching speed, 8 - lifetime

+ considerations for fiber:

1 - core and cladding diameters, 2 - core refractive index profile  
step or graded

3 - bandwidth (dispersion), 4 - attenuation, 5 - numerical aperture  
or mode-field diameter

+ considerations for Receivers:

1 - Responsivity (quantum efficiency), 2 - operating wavelength

3 - response time, 4 - sensitivity, 5 - lifetime

\* power budget: difference between power from source and power received

- must be equal to or greater than sum of all losses plus

some margin:

$$P_T = P_S - P_R = \alpha_{\text{f}} \cdot L + M \cdot l_d + N \cdot l_{\text{sp}} + \text{margin}$$

transmitted received fiber attenuation number of connectors number of splices usually 6 dB  
length connection loss splice loss

\* rise-time: time in which an output changes from 10% to 90% of its maximum value when the input is a step function.

- rise-time budget deals with the allowed intersymbol interference.
- the system rise time must be smaller than some fraction of the bit period. This fraction depends on the line coding scheme used.

$$\text{System rise-time is: } t_{\text{sys}} = \sqrt{t_{\text{tx}}^2 + t_{\text{int}}^2 + t_{\text{chrom}}^2 + t_{\text{rx}}^2}$$

transmitter dispersion rise-time due to intersymbol interference rise-time due to chromatic dispersion received rise-time

- the rise-times are defined because pulses are assumed gaussian

- for NRZ:  $t_{sys} = 0.7 T_d = \frac{0.7}{B}$

- for RZ:  $t_{sys} = 0.35 T_d = \frac{0.35}{B}$  (half since RZ has half NRZ width)

- Receiver rise-time:  $\frac{340}{B_{rx}(MHz)} = t_{rx}(ns)$   
Receiver bandwidth

- Rise-time due to intermodal dispersion:

$$\frac{440 L^{\alpha}}{B_0 (MHz \cdot km)} = t_{md}(ns)$$

length from mode mixing distance  
range from 0.5 to 1

- Rise-time due to chromatic dispersion:

$$t_{chrom} = D \sigma_{\lambda} L = (D_{mat} + D_{wg}) \cdot \sigma_{\lambda} \cdot L$$

chromatic dispersion factor in ps/nm

- maximum link distance for a given bit rate is found from:

$$t_{sys} = \sqrt{t_{rx}^2 + \left(\frac{440 L^{\alpha}}{B_0}\right)^2 + (D \sigma_{\lambda} L)^2 + \left(\frac{340}{B_{rx}}\right)^2} \leq \frac{0.7}{B} \text{ or } \frac{0.35}{B}$$

NRZ RZ

- intermodal dispersion is zero for single mode fibers ( $t_{md}=0$ )

Final 2016:

Q1) main optical sources:

LED: advantage: cheap, low sensitivity to temp.

disadvantage: low coupling efficiency

LD: advantage: high coupling efficiency, small spectral width

disadvantage: high sensitivity to temp. expensive

main fibers:

single-mode step-index: advantage: high bandwidth, no intermodal <sup>loss</sup>

disadvantage: cost and complexity, difficult to launch beam

multimode step-index: advantage: easy to launch power since large core radius

disadvantage: Very small bandwidth

multimode graded-index: advantage: larger bandwidth than step multimode

disadvantage: more expensive to fabricate

main detectors:

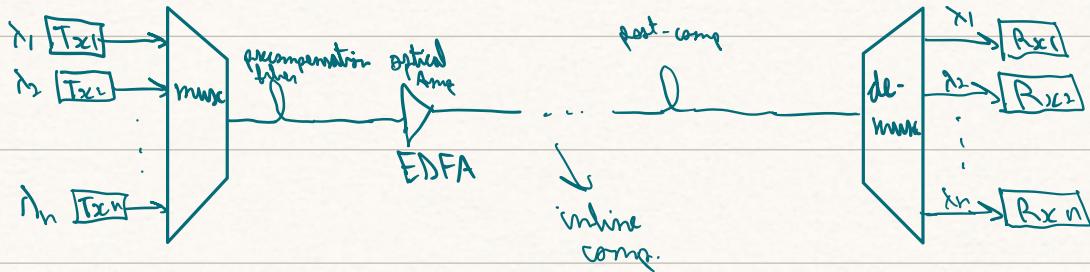
PIN Photodiode: advantage: low bias voltage, stable with temp.

disadvantage: low responsivity

Avalanche PD: advantage: increased sensitivity, higher responsivity

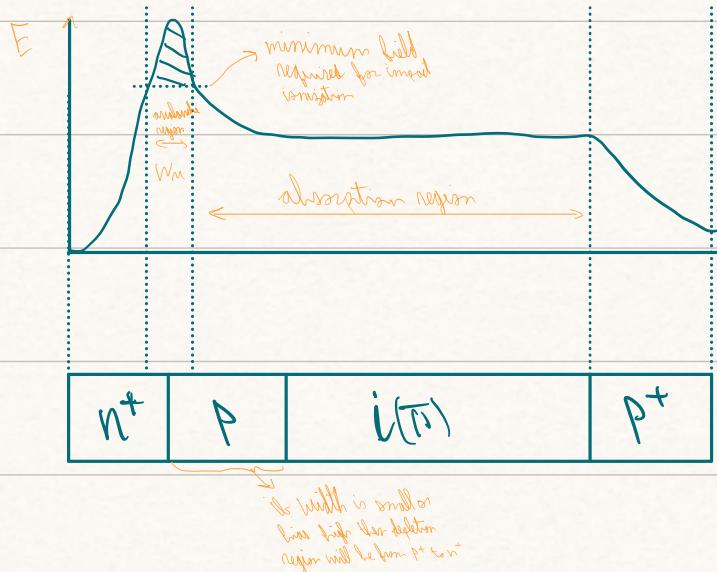
disadvantage: high bias voltage,

Q2)



- CWDM: coarse Wavelength division multiplexing
- DWDM: dense    //    //    //

Q3)



- in the avalanche region, the primary current generated in the intrinsic region is multiplied by a certain gain  $M$ . This is due to impact ionization wherein the generated carriers are accelerated by the high electric field in the avalanche region thereby ionizing atoms in their path upon collision

- three materials used: Si ( $0.4 \rightarrow 1.1$  nm), Ge ( $0.8 \rightarrow 1.8$  nm), and InGaAs ( $1.0 \rightarrow 1.7$  nm)

$$Q4) \because E_g \leq hV \rightarrow E_g \leq h \frac{c}{\lambda} \rightarrow \lambda \leq \frac{hc}{E_g}$$

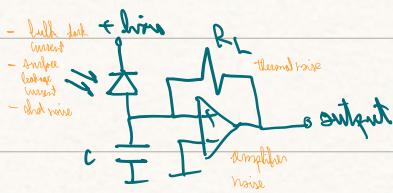
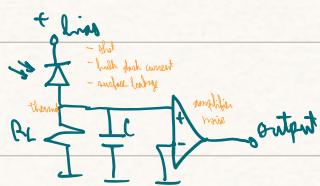
$$\therefore \lambda_c = 1.6 \text{ nm} \quad \Rightarrow \quad \lambda_c = \frac{1.24}{E_g(6V)} = 1.6 \text{ nm}$$

Unity gain responsivity:  $R = \frac{\eta_{ph}}{\lambda c} = 1.123$

$$\rightarrow I = P_{in} \cdot R \cdot M = 1.123 \cdot 50 \cdot 100 \times 10^{-9} = 5.615 \text{ mA}$$

Shot noise:  $2 \eta_{ph} I_m \cdot M \cdot F(M) \cdot B_e = \sigma_{shot}^2 = 6.352 \times 10^{-14}$   
 $= -101.99 \text{ dBm}$

Q5)



1- high impedance Amp.

2- transimpedance Amp.

- transimpedance is more commonly used as it can achieve 6x times the bandwidth increase of high impedance amps with only twice the noise.

$$Q6) \text{ Sensitivity} = \frac{Q}{R_m} \cdot \left[ \frac{f_m F(M) \cdot Q \cdot B}{2} + \sqrt{\frac{4 k_B T}{2 R_L} \cdot F_n \cdot B} \right]$$

$$\rightarrow \text{Sensitivity} = \frac{Q}{R_m} \cdot [5 \cdot 1.12 \times 10^{-6} + 1.021 \times 10^{-6}]$$

$$\because \text{BER} = \frac{1}{4\pi} e^{-\frac{Q}{2}} \rightarrow Q = 6.4 \quad \therefore \text{Sensitivity} = 4.37 \times 10^{-7} \text{ W}$$

λ quantum limit:  $\therefore 10^{-10} = e^{-N} \rightarrow N = 23 \text{ photons}$

$$E_{avg} = \frac{23 \cdot hV}{2} \quad \lambda \quad P = \frac{23 \cdot h \cdot c}{T_L \cdot \Delta \cdot 2} = \frac{23 \cdot h \cdot c}{2 \cdot \delta} \cdot B =$$

need  $\lambda$

Q7) 1- distance

2- bandwidth / data rate

3 - BER

1- bandwidth, wavelength, modes

2- csgt, refractive index, numerical aperture

3- Responsivity, sensitivity

Q8) Rise-time:  $t_{rise} = \sqrt{t_{elec}^2 + \left(\frac{0.4\pi L}{\lambda}\right)^2 + \left(0.5 \cdot L\right)^2 + \left(\frac{340}{B_{RUC}}\right)^2}$

Simple mode

$$\rightarrow \text{rise time} = \sqrt{(50 \times 10^{-3})^2 + (5 \times 10^{-3} \cdot 250 \cdot 0.25)^2 + \left(\frac{340}{10 \times 10^3}\right)^2}$$

$$\therefore \text{rise time} = 0.3184 \text{ ns} \leq \frac{0.7}{10 \text{ bits}} \times$$

Rise time limited

$$\therefore \sqrt{(50 \times 10^{-3})^2 + (5 \times 10^{-3} \cdot L \cdot 0.25)^2 + \left(\frac{340}{10 \times 10^3}\right)^2} \leq \frac{0.9}{10}$$

$$\rightarrow L = 27.4 \text{ km}$$

$\therefore 9$  repeaters needed

Power - budget:

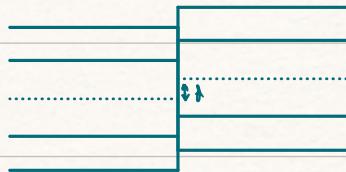
$$3.01 - - 40 = d_f \cdot L + M d_a + N d_{sp} + 6$$

Power limited

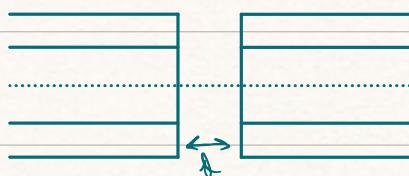
$$3.01 - - 40 = d_f \cdot 27.4 + 2 \cdot 2 + 6 \quad \checkmark$$

Second 2016

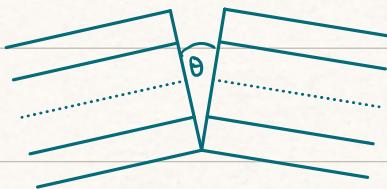
Q6: misalignment:  
1 - lateral offset:



2 - longitudinal:

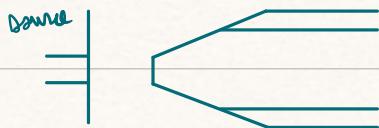


3 - angular



- lensing schemes:

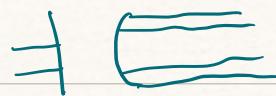
1 - taper-ended fiber:



2 - non-imaging microlens



### 3 - round-ended fiber



Q7: 1- coupling efficiency:

$$P_{LED, \text{rated}} = (NA)^2 \cdot P_S \cdot \left[ 1 - \left( \frac{\alpha}{\alpha + r} \right) \cdot \left( \frac{R_s}{a} \right)^\alpha \right]$$

$$R_s = a \rightarrow \eta = (0.2)^2 \cdot \left[ 1 - \frac{1}{2} \right] = 0.02$$

$$\rightarrow \eta = 2\%$$

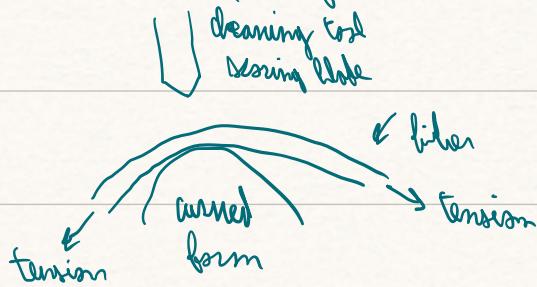
$$\therefore P_{LED, \text{rated}} = \eta \cdot \pi^2 \cdot R_s^2 \cdot B_0 = 0.02 \cdot 6.19 \text{ mW} = 0.123 \text{ mW}$$

2- single mode:

$$\eta = \left( \frac{a}{R_s} \right)^2 \cdot (NA)^2 = 0.04\%$$

$$\rightarrow P_{LED, \text{rated}} = 2.47 \text{ mW}$$

Q8: three splicing techniques: 1- fusion splicing, 2- V-groove mechanical splicing, 3- elastic-tube splicing



Q9: for a lambertian source:  $B(\theta, \phi) = B_0 \cos(\theta)$

$\rightarrow$  full <sup>3dB</sup> power when  $\cos(\theta) = \frac{1}{2} \rightarrow \theta = 60^\circ$

$\rightarrow$  beamwidth =  $120^\circ$

laser in lateral direction  $\rightarrow \phi = 0$

$$\therefore \frac{1}{B(\theta, l)} = \frac{1}{B_0 \cos^L(\theta)}$$

$$\rightarrow B(2.5^\circ, 0) = B_0 \cos^L(2.5)$$

$$\rightarrow \cos^L(2.5) = 0.5 \rightarrow L = \log_{\cos(2.5)}(0.5)$$

$$\rightarrow L = 727.9 = 728 \text{ integers}$$

Q10: lateral displacement

$$A_{\text{common}} = 2a^2 \cos^{-1}\left(\frac{1}{2a}\right) - \left(a^2 - \frac{1}{4}\right)^{1/2}$$

$$\text{tube smaller radius} \rightarrow A_{\text{common}} = 7554 \text{ nm}^2$$

$$\rightarrow \eta_F = 0.962 \rightarrow L_{\eta_F} = 0.168 \text{ dB}$$

$$\text{longitudinal: } \left( \frac{1}{a + \sin(\theta_0, \text{max})} \right)^2 = \eta_F \quad \wedge \theta_0, \text{max} = 0.25$$

$$\rightarrow \eta_F = 0.451$$

$$\rightarrow \text{total losses} = 0.384 \text{ dB}$$

Second 2018:

$$Q8: \frac{1}{B(\theta, l)} = \frac{\sin^2(\theta)}{B_0 \cos^T(\theta)} + \frac{\cos^2(\theta)}{B_0 \cos^L(\theta)}$$

$$\text{lateral} \rightarrow \phi = 0 \rightarrow B(\theta, 0) = B_0 \cos^L(\theta)$$

$$0.5 = \cos^{50}(\theta) \rightarrow \theta = 5.5^\circ \rightarrow \text{beamwidth} = 11^\circ$$

$$\text{transversal} \rightarrow \phi = 90^\circ \rightarrow \frac{1}{B(\theta, 90^\circ)} = \frac{1}{B_0 \cos^T(\theta)} \rightarrow 0.5 = \cos^{75}(\theta)$$

$$\rightarrow \theta = 7.77 \rightarrow \text{beamwidth} = 15.55^\circ$$

$$Q9: P_s = \pi^2 R_p^2 B_0 = 6.169 \text{ mW}$$

$$\text{multimode: } \eta = (NA)^2 = 4\%, \quad P_{\text{coupled}} = 0.247 \text{ mW}$$

$$\text{single mode: } \eta = (NA)^2 \cdot \left(\frac{\lambda}{2d}\right)^2 = 0.04\%, \quad P_{\text{coupled}} = 2.47 \mu\text{W}$$

Q10: total loss = loss due to diameter difference ( $\Delta d$ ) + loss due to misalignment

$$\rightarrow \text{loss due to diameter} = -10 \log \left( \frac{50}{62.5} \right)^2 = 1.938 \text{ dB}$$

$$\rightarrow \text{loss due to misalignment: } -10 \log \left[ \frac{2}{\pi} \cos^{-1} \left( \frac{1}{2} \right) - \frac{1}{\pi} \ln \left[ 1 - \left( \frac{1}{2} \right)^2 \right]^{1/2} \right]$$
$$\rightarrow 0.59 \text{ dB}$$

$$\therefore \text{loss} = 2.528 \text{ dB}$$

$$\Theta_{\text{d, max}} = \sin^{-1}(NA)$$

final 2022:

Q1) N/A

Q2: a) 3 dB beamwidth  $\rightarrow B(\theta, \psi) = \frac{B_0}{2} \quad \wedge \quad \frac{1}{B(\theta, \psi)} = \frac{\sin^2(\theta)}{B_0 \cos^2(\theta)} + \frac{\cos^2(\theta)}{B_0 \cos^2(\theta)}$

lateral  $\rightarrow \psi = 0 \rightarrow B(\theta, 0) = B_0 \cos^2(\theta)$

for  $B(\theta, 0) = 0.5 B_0 \rightarrow \cos(\theta) = (0.5)^{\frac{1}{2}}$

$\therefore \theta = 3^\circ \rightarrow \text{beamwidth} = 6^\circ$

transversal  $\rightarrow \psi = 90^\circ \rightarrow B(\theta, 90) = B_0 \cos^2(\theta)$

for 3 dB beamwidth:  $2\theta = 2 \cos^{-1}(0.5^{\frac{1}{2}}) = 13.5^\circ$

if  $\theta = 3^\circ \wedge \psi = 6^\circ \rightarrow B(\theta, \psi) = 50.61 \text{ W}/(\text{cm}^2 \cdot \text{sr})$

b)  $\because P_S = \pi^2 \cdot \text{NA}^2 \cdot B_0$  Same max. writing (e.g. km²)  $\rightarrow P_S = 29.86 \text{ mW}$

a)  $P_{\text{LED, step}} \nmid r_0 > a = \left(\frac{a}{r_0}\right)^2 \cdot (\text{NA})^2 \cdot P_S \rightarrow P_{\text{coupled}} = 1.45 \text{ mW}$

b)  $P_{\text{LED, graded}} \nmid r_0 < a = (\text{NA})^2 \cdot \left[1 - \frac{a}{a+r_0} \left(\frac{r_0}{a}\right)^2\right] \cdot P_S = 0.73 \text{ mW}$

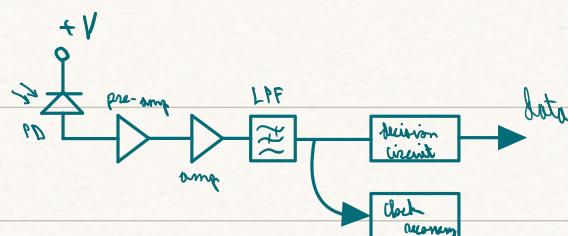
c) Intervall (offset) loss =  $\frac{1}{\pi} \cos^{-1}\left(\frac{1}{2a}\right) - \frac{d}{\pi a} \left[1 - \left(\frac{d}{2a}\right)^2\right]^{\frac{1}{2}} = 0.936$

$\therefore \text{Intervall losses} = -10 \log(0.9364) = 0.286 \text{ dB}$

$\because \text{Angular loss: } \eta_F \approx 1 - \frac{\theta}{\pi \text{ (NA)}} \xrightarrow{\theta \rightarrow \text{Radiants!}} = 0.8611 = 0.649 \text{ dB}$

$\therefore \text{total loss} = 0.935 \text{ dB}$

Q3: a)



- four types of noise generated:

1- shot, 2- dark current, 3- surface leakage current, 4- thermal noise

b) cutoff wavelength:  $\lambda_c (\text{nm}) = \frac{1.24}{E_g (\text{eV})} = 1.653$

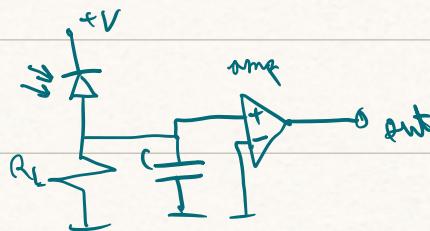
$\text{or } \because E > E_g \rightarrow \frac{hc}{\lambda} > E_g \rightarrow \lambda_c < \frac{hc}{E_g} = 1.656 \text{ nm}$

Unity gain responsivity:  $R = \frac{\eta g \times \text{base units}}{h c} = 1.199 \text{ A/W}$

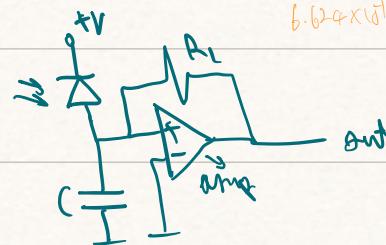
generated current =  $R \cdot M \cdot P_{in} = 5.98 \text{ nA} = i_m$

$$S/N = \frac{(i_m)^2}{2g(I_p + I_d) M^2 \cdot F(M) \cdot B_e + 2kT I_L B_e + \frac{4k_B T}{R_L} B_e} = 5.23 = 7.19 \text{ dB}$$

Q4: a)



high impedance  
amplifier



Transimpedance amplifier

- Transimpedance amplifier is more commonly used since

6x bandwidth gain can be achieved with only double

the noise of high impedance amplifiers.

b) Responsivity =  $\frac{Q}{R_m} \cdot \left[ \frac{g M F(M) Q B}{2} + \sqrt{\frac{2 k_B T}{R_L} F_n B} \right]$   $-32.5 \text{ dBm}$

$\therefore BER = 10^{-11} = \frac{1}{q \tau} e^{-\frac{Q}{h c}} \rightarrow Q = 6.75 \therefore \text{Responsivity} = 559.3 \text{ nW}$

for  $BER = 10^{-11} \rightarrow 25.33 \text{ photons} \rightarrow \text{Responsivity} = B \cdot \frac{25.33 \cdot h c}{\tau} = 32.5 \text{ nW} = -44.9 \text{ dBm}$

Q5: a) assuming three characteristics for each type

\* sources:

+ LED:

- wide spectral width  $\rightarrow$  low coupling efficiency
- low sensitivity to temperature
- low modulation bandwidth + low cost and complexity

+ LD:

- narrow spectral width  $\rightarrow$  high coupling efficiency
- high sensitivity to temperature
- high modulation bandwidth + high cost and complexity

\* fibers

+ single mode step-index:

- small core radius
- can support high bandwidths
- complex splicing + high cost

+ multimode graded index:

- larger core radius than single mode
- can support moderate bandwidths (lower than single)
- moderate splicing complexity + high cost (due to grading)

+ multimode step index:

- largest core radius (compared to previous two)
- supports low bandwidth
- simple shaping + low cost

\* Receivers:

+ pin photodiode:

- low bias voltage required (less than 50V)
- less variations with temperature
- simpler, less expensive

+ Avalanche photodiode:

- high bias voltage (usually several hundred of volts)
- sensitive to temperature
- much higher sensitivity due to current multiplication

b) Rise-time budget:  $\approx$  single mode  $\rightarrow$  no modal delay (intermodal dispersion)

$$\rightarrow t_{sys} = \sqrt{t_{tx}^2 + 0 + (\Delta\sigma_\lambda \cdot L)^2 + \left(\frac{350}{B_{NRZ}(MHz)}\right)^2} = 0.257 \text{ ns}$$

Comparing  $t_{sys}$  with  $t_{MZ}$   $\rightarrow t_{sys} > t_{MZ} \rightarrow$  repeater needed

$$\text{for } t_{sys} = t_{MZ} \rightarrow \sqrt{\left(\frac{0.7}{10}\right)^2 - t_{tx}^2 - \left(\frac{350}{B_{NRZ}(MHz)}\right)^2} = \Delta\sigma_\lambda \cdot L$$

$$\therefore L_{st} = 68.5 \text{ km}$$

$$\text{power budget: } P_S - P_R = \alpha_f \cdot L + M \cdot \Delta \alpha + \sigma + b$$

$$\rightarrow L = \frac{1}{\alpha} [30 - 2 - 6] = 88 \text{ fm}$$

$\therefore L_{nt} < L_p \rightarrow$  rise-time limited system

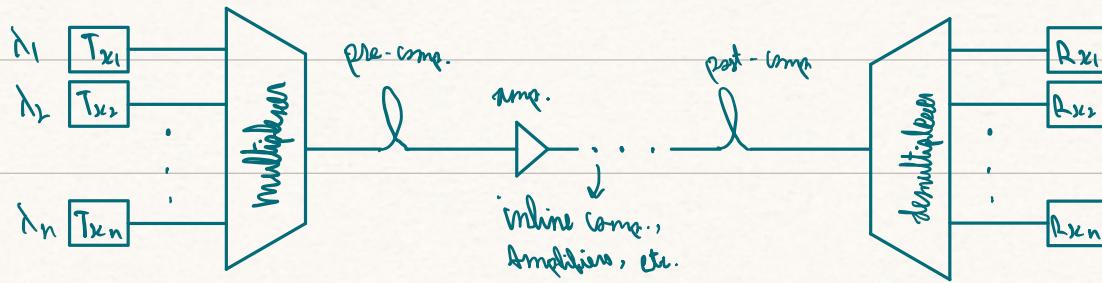
$$\text{number of repeaters} = 7 \quad \left[ \frac{L}{L_{nt}} \right] \quad \text{round down}$$

final 2018:

Q1) N/A

Q2) not sure if it's included, but there is a block diagram for a WDM system in chapter 8

$$\text{bandwidth} = \frac{C}{\lambda_1} - \frac{C}{\lambda_2} = 43.3 \text{ THz}$$



Q3) N/A

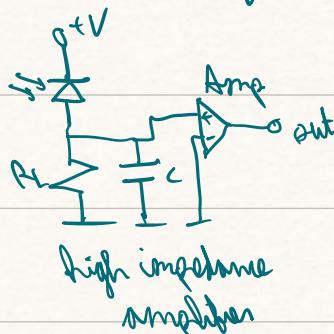
$$Q_4) \lambda_c = \frac{1.24}{0.995} = 1.6 \mu\text{m}$$

$$R = \frac{\eta \cdot g \cdot \lambda}{\lambda_c} = 1.123 \text{ A/W}$$

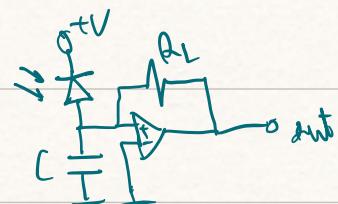
$$I_m = R \cdot M \cdot P_m = 561.5 \text{ nA}$$

$$J_{shot}^2 = 2 \gamma I_m \cdot M F(M) \cdot B_e = 6.35 \times 10^{-15} \text{ W}$$

Q5)



high impedance amplifier



Transimpedance amplifier

Noise in photodiode: shot, bulk current, surface leakage current

Noise in amplifier: thermal in resistor + amplifier noise

$$Q6: \quad \text{BER} = 10^{-10} = \frac{1}{4\pi} e^{-\frac{Q}{2}} \rightarrow Q = 6.4$$

$$\text{Sensitivity} = \frac{Q}{R_m} \cdot \left[ \frac{g M F_m Q B}{2} + \sqrt{\frac{2 k_B T}{P_L} F_n B} \right]$$

$$\therefore \text{Sensitivity} = 455.5 \text{ nW} = -33.4 \text{ dBm}$$

Q7: Design requirements: 1-distance, 2-bandwidth, 3-performance(BER)

+ source: type (LED or LD), wavelength, spectral width, number modes

+ fiber: core and cladding diameter, refractive indices, refractive index profile, core

attenuation and dispersion (material)

+ detector: Responsivity, Response time, Sensitivity, type (PIN or APD)

Q8) Rise-time budget:

most likely needs repeaters so I'll skip to the next step

$$\frac{0.7}{10} = \sqrt{t_{tx}^2 + 0 + (D \sigma_r L)^2 + \left(\frac{350}{B_{cut}}\right)^2} \rightarrow L = 27.4 \text{ km}$$

- note: since the calculated L is smaller than that given, our assumption is therefore correct.

Fiber-budget:

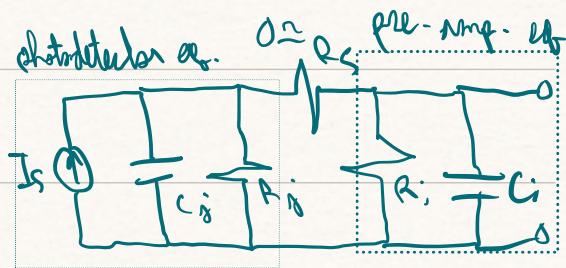
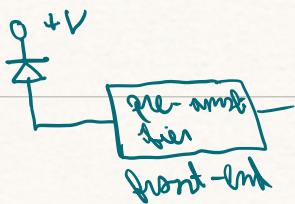
$$3.01 + 40 = d \cdot L + M \cdot l_d + 0 + 6 \text{ dB}$$

$$\rightarrow L = 175 \text{ km} \quad \therefore \text{Rise-time limited}$$

$$\text{Number of repeaters: } \left\lfloor \frac{260}{27.4} \right\rfloor = 9$$

Final 2012:

Q2) a)



b) PIN: advantages: low temperature sensitivity, low bias required  
disadvantage: no gain, less sensitive to received power

APD: advantages: high sensitivity to received power, higher responsivity

disadvantage: expensive, complex, high bias, temperature instability

$$\text{d) } \because \lambda_c = \frac{1.24}{0.75} = 1.65 \text{ } \mu\text{m} \rightarrow \text{can be used for all three}$$

windows; however  $\lambda = 1450 \text{ nm} \rightarrow \text{third window}$ .

$$I_m = M \cdot R \cdot P_{in} = M \cdot \frac{\eta \cdot g_A}{h \cdot c} \cdot P_{in} = 56.1 \text{ mA}$$

Q3) a) 1-distance, 2-bandwidth, 3-performance (BER)

b) source: 1-wavelength, 2-spectral width, 3-output power  
profile material

fiber: 1-bandwidth, 2-core and cladding ratio, 3-refractive index

detector: 1-sensitivity, 2-responsivity, 3-wavelength

these characteristics determine the type for each.

c) 1) single-mode step index, LD, APD

2) multimode graded index, LED, PIN

Note: Step-multi  $\rightarrow L < 1 \text{ m} \wedge B < 20 \text{ MHz}$

Q4) as rise-time budget:

$$t_{\text{sys}} = \sqrt{t_{\text{tx}}^2 + \left(\frac{440 L^4}{B_0 \cdot \text{MHz} \cdot \text{km}}\right)^2 + (\Delta \sigma_r L)^2 + \left(\frac{350}{B_{\text{ul}}}\right)^2}$$

$$\rightarrow t_{\text{sys}} = 0.461 \text{ ns} > 0.35 \text{ ns}$$

$\therefore$  does not fulfill rise-time budget

Power budget:

$$40 \text{ dB} = 30 \text{ dB} + 3 \text{ dB} + 6 \text{ dB} \quad \checkmark$$

$\therefore$  fulfills power budget  $\rightarrow$  rise-time limited

b) Solution: repeaters

solve for L  $0.35^2 = t_{\text{tx}}^2 + \left(\frac{440 L^4}{B_0 \cdot \text{MHz} \cdot \text{km}}\right)^2 + (\Delta \sigma_r L)^2 + \left(\frac{350}{B_{\text{ul}}}\right)^2$

$$\rightarrow \left(\frac{440}{B_0}\right)^2 \cdot L^{2.4} + (\Delta \sigma_r)^2 \cdot L^2 = 0.0819$$

On your calculator  $\rightarrow 4.84 \times 10^4 L^{1.4} + 6.25 \times 10^6 \cdot L^2 - 0.0819 = 0$

$$\rightarrow L = 36.225 \text{ m}$$

$\therefore$  1 repeater required at half-way point

Second 2015:

$$Q7: P_S = \pi^2 \cdot N_S^2 \cdot B_0 = 6.169 \text{ mW}$$

$$1 - \eta = (NA)^2 \cdot \left(1 - \frac{\alpha}{\alpha_{\text{exit}}}\right) = 2\% \rightarrow P_{\text{coupled}} = 0.123 \text{ mW}$$

$$2 - \eta = 0.01\% \rightarrow P_{\text{coupled}} = 616.9 \text{ nW}$$

$$Q8: \text{for Lambertian } B(\theta, \psi) = B_0 \cos(\theta)$$

$$\rightarrow 0.5 = \cos(\theta) \rightarrow 2\theta = 120^\circ$$

$$\text{Power: } B(2.5^\circ, 0) = 0.5 B_0 = B_0 \cos^L(\theta) \rightarrow L = 728$$

Q10: losses: different radii, different NA, lateral and longitudinal misalignment

$$\text{Radius: } -20 \log \left( \frac{50}{62.5} \right)$$

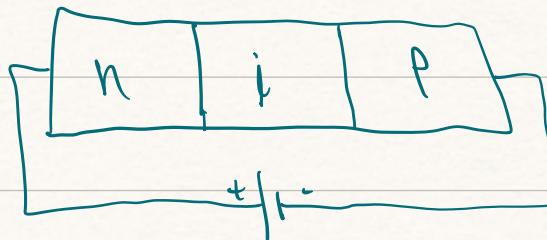
$$\text{NA: } \textcircled{O} \quad \because N_A R > N_A E$$

$$\text{lateral: } 0.2264$$

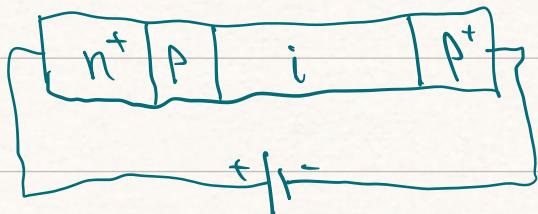
$$\text{longitudinal: }$$

final 201h:

Q2: a) Pin



APD



- both can be used in the third window

- materials used are Si, Ge, InGaAs

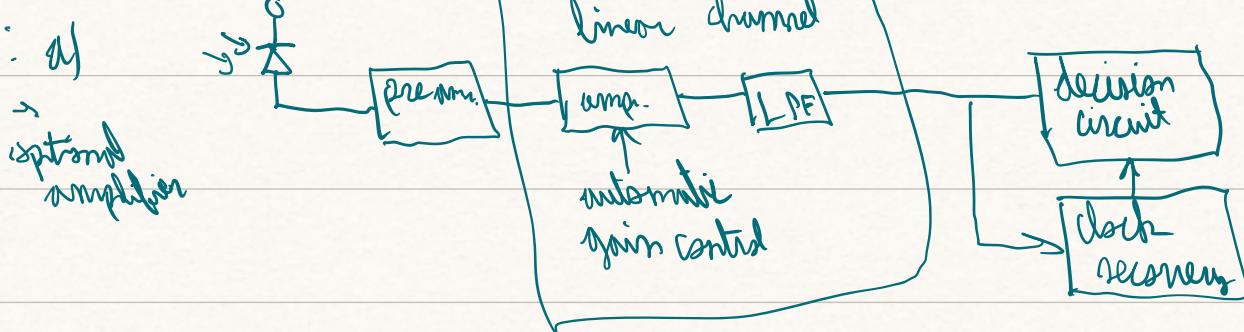
b)  $\lambda_c = \frac{120}{0.94} = 1.25 \mu\text{m}$

$R = \frac{1.25}{1.25} = 1.123 \text{ A/W}$

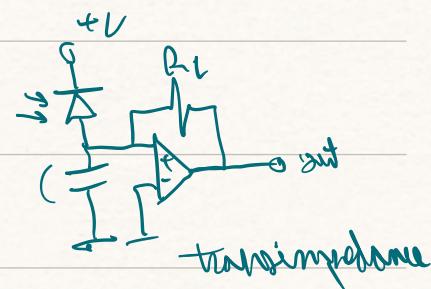
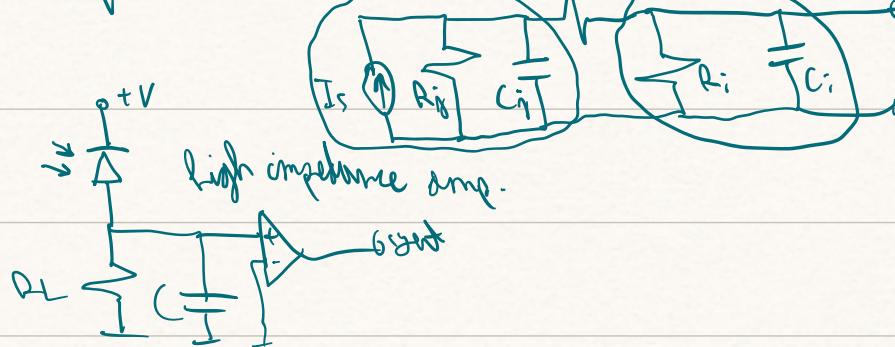
$I_m = R M P_{in} = 112.3 \text{ mA}$

$\sigma_{shot}^2 = 2 g_f I_m \cdot M \cdot F(m) \cdot B_e = 1.8 \text{ pA}^2$

Q3: a)



eq. circuit photodiode



- transimpedance most commonly used because G times large  
 Width gain can be achieved with only double the thermal mix  
 of high impedance & mix.

b) Sensitivity =  $\frac{Q}{R_M} \left[ \frac{f_M F_n Q B}{2} + \sqrt{\frac{2k_B T}{R_L} \cdot F_n B} \right]$

$$\text{so } BER = 10^{-12} = \frac{1}{4\pi} e^{-\frac{Q}{2}} \rightarrow Q = 7.085$$

$$\rightarrow \text{Sensitivity} = 601.7 \text{ nW} = -32.2 \text{ dBm}$$

Q4: a) optical source: 1- emission wavelength, 2- spectral width  
 3- bandwidth and switching speed, 4-number of modes

fiber: 1- core and cladding diameter, 2- bandwidth to be supported

3- material (refractive indices, attenuation, dispersion)

4- refractive index profile (e.g.

Refrigerator: 1- sensitivity, 2- lifetime

3- Responsivity, 4- wavelength

+ types of all

b) assuming repeater needed

$$\left(\frac{0.7}{10}\right)^2 = \frac{t^2}{t_{\text{max}}^2} + 0 + (\Delta \sigma_t L)^2 + \left(\frac{360}{B_{\text{NR}}}\right)^2$$

$$\rightarrow t = 34.3 \text{ km}$$

Power:

$$3 + 37 = 40 = 0.2h \cdot L + 2 \times 2 + 6 \rightarrow L = 120$$

→ rise-time limited → 5 repeaters