

## **Paper BC 6.2 (c): Fundamentals of Investment Management**

**Duration: 2.5 hrs Total Marks: 60(Term end examination 56 (Marks for Attendance 4)**

**Lectures: 65**

**Objective:** *To familiarize the students with different investment alternatives, introduce them to the framework of their analysis and valuation and highlight the role of investor protection.*

### **UNIT1: The Investment Environment**

The investment decision process; types of investment – commodities, real estate and financial assets; the Indian securities market; the market participants and trading of securities; Security market indices: their construction, concept of risk and return; Types of risk: Systematic and Unsystematic risk.

### **Unit 2: Approaches to Equity Analysis**

Introduction to fundamental analysis: Economy analysis, Industry Analysis and Company analysis; Technical Analysis: basic premises of technical analysis, charting techniques, technical indicators of the market; Theory of efficient market hypothesis.

### **Unit 3 Portfolio Analysis and Financial Derivatives**

Portfolio risks and return: Computation of portfolio risks; mutual funds: Types of Mutual Fund Schemes (structure based and objective based). Introduction to financial derivative; financial derivatives market in India.

### **Unit 4: Investor Protection**

Role of SEBI and stock exchanges in investor protection; Investor grievances and their redressal system; insider trading, investors' awareness and activism

### **Unit 5 and unit 6 (for Internal Assessment)**

### **Tutorials**

**Analysis of case studies based on syllabi of Unit I to Unit IV/ Assignments based on field survey/subject tours wherever feasible/Quiz programmes/moot courts wherever feasible/class presentations/class room seminars/Group discussions.**

### **Suggested Readings**

1. C.P. Jones, *Investments Analysis and Management*, Wiley, 8th ed.
2. Prasanna Chandra, *Investment Analysis and Portfolio Management*, McGraw Hill Education
3. R.P. Rustogi, *Fundamentals of Investment*, Sultan Chand & Sons, New Delhi.
4. N.D. Vohra and B.R. Bagri, *Futures and Options*, McGraw Hill Education
5. Mayo, *An Introduction to Investment*, Cengage Learning.

### **Journals**

1. Journal of Investment and Management: Science Publishing Group
2. Journal of Investment Compliance - Emerald Insight