# **CHAPTER THREE**

**Greedy Algorithms** 

### **Greedy Algorithm**

- A greedy algorithm is an algorithmic paradigm that follows the problem-solving heuristic of making the locally optimal choice at each stage with the hope of finding a global optimum.
  - It doesn't worry whether the current best result will bring the overall optimal result.
- This approach never reconsiders the choices taken previously even if the choice is wrong.

### **Greedy Algorithm**

- It is used to solve optimization problems that involve finding the best solution among many possible solutions.
  - An optimization problem is a problem that demands either maximum or minimum results.
- Therefore, it works for cases where minimization or maximization leads to the required solution.

### **Greedy Algorithm**

- The method is basically used to determine the feasible solution that may or may not be optimal.
  - The feasible solution is a subset that satisfies the given criteria.
  - The optimal solution is the solution which is the best and the most favorable solution in the subset.

## **Greedy Algorithm: Example**

- Problem: You have to make a change of an amount using the smallest possible number of coins.
- Amount: \$18
- Available coins are:

```
$5 coin
```

\$2 coin

\$1 coin

- by hoping to reach the destination faster, we should start by selecting the largest value at each step.
- This concept is called greedy choice property.
- There is no limit to the number of each coin you can use.
- Solution-set =  $\{5, 5, 5, 2, 1\}$ .

### Greedy Algorithm: Example

- Create an empty solution-set =  $\{\}$ . Available coins are  $\{5, 2, 1\}$ .
- We are supposed to find the sum = 18. Let's start with sum = 0.
- Always select the coin with the largest value (i.e. 5) until the sum >
   18.
- In the first iteration, solution-set  $= \{5\}$  and sum = 5.
- In the second iteration, solution-set =  $\{5, 5\}$  and sum = 10.
- In the third iteration, solution-set =  $\{5, 5, 5\}$  and sum = 15.
- In the fourth iteration, solution-set = {5, 5, 5, 2} and sum = 17. (We cannot select 5 here because if we do so, sum = 20 which is greater than 18. So, we select the 2nd largest item which is 2.)
- Similarly, in the fifth iteration, select 1. Now sum = 18 and solution-set =  $\{5, 5, 5, 2, 1\}$ .

### Drawback of Greedy Algorithm

- The greedy algorithm doesn't always produce the optimal solution.
- For example, if the available coins are 1 cent, 3 cents, and 4 cents, and the amount is 6 cents, the greedy algorithm would select one 4-cent coin and two 1-cent coins { 4, 1, 1}, while the optimal solution is to use two 3-cent coins {3, 3}.

### **Characteristics of Greedy Algorithm**

- The following are the characteristics of a greedy method:
  - To construct the solution in an optimal way, this algorithm creates two sets where one set contains all the chosen items, and another set contains the rejected items.
  - A greedy algorithm makes good local choices in the hope that the solution should be either feasible or optimal.

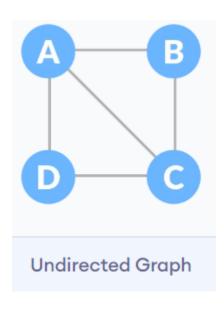
### **Greedy Algorithm: Structure**

- The general structure of a greedy algorithm can be summarized in the following steps:
  - 1. Identify the problem as an optimization problem where we need to find the best solution among a set of possible solutions.
  - 2. Determine the set of feasible solutions for the problem.
  - 3. Identify the optimal substructure of the problem, meaning that the optimal solution to the problem can be constructed from the optimal solutions of its subproblems.
  - 4. Develop a greedy strategy to construct a feasible solution step by step, making the locally optimal choice at each step.
  - 5. Prove the correctness of the algorithm by showing that the locally optimal choices at each step lead to a globally optimal solution.

### **Applications of Greedy Algorithm**

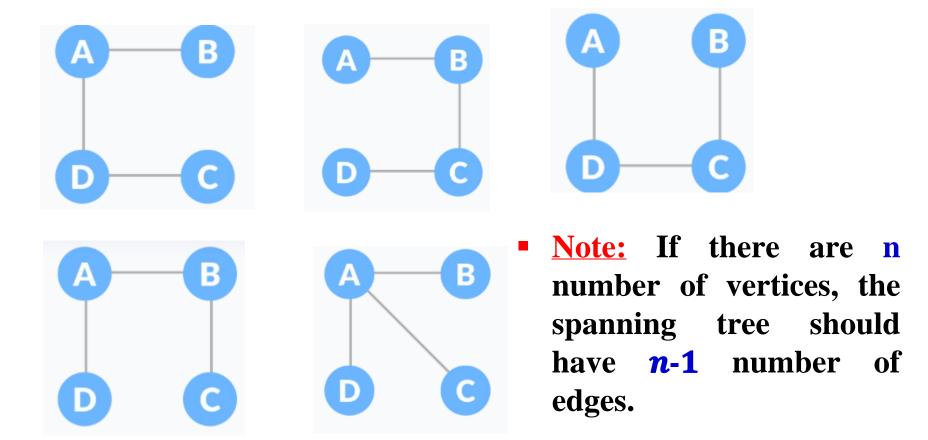
- Greedy approach is used to solve many problems, such as:
  - It is used in finding the shortest path between two vertices using Dijkstra's algorithm.
  - It is used to find the minimum spanning tree using the
     Prim's algorithm or the Kruskal's algorithm.
  - It is used in a job sequencing with a deadline.
  - It is also used to solve the fractional knapsack problem.

• A spanning tree is a sub-graph of an undirected graph that has all the vertices connected by minimum number of edges.

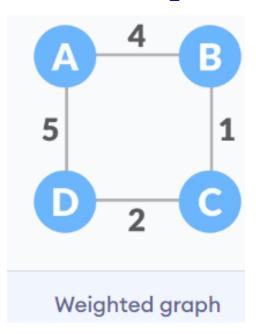


- The total number of spanning trees with n vertices that can be created from a complete graph is equal to n<sup>n-2</sup>.
  - For Example: If we have n = 4, the maximum number of possible spanning trees is equal to  $4^{4-2} = 16$ .
- The maximum number of edges (e) that can be removed to construct a spanning tree equals to e-n+1.
  - For Example: for the above graph, 5 4 + 1 = 2 edges can be removed.

 Some of the possible spanning trees that can be created from the previous graph are:

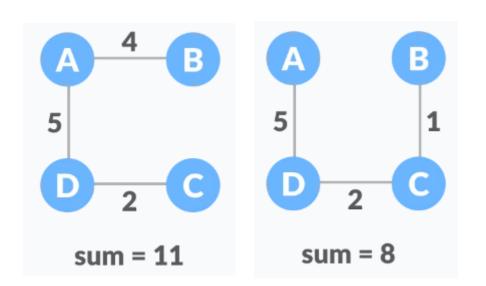


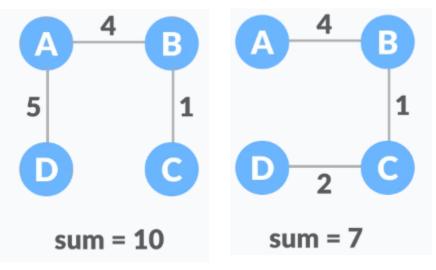
- **A Minimum Spanning Tree (MST)** is a spanning tree in which the sum of the weight of the edges is minimum.
- For Example: the initial graph is:



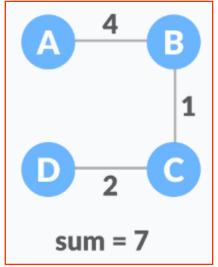
#### • **MST**:

- It is a tree i.e no cycle
- It covers all the vertices V
- It contains |V| 1 edges
- The total cost associated with tree edges is the minimum among all possible spanning trees.
- The possible spanning trees from the above graph are:





■ Therefore, the MST from the above spanning trees is:



- MST Applications:
  - To find paths in the map
  - To design networks like telecommunication networks, water supply networks, and electrical grids.
- The MST from a graph is found using the following algorithms:
  - 1. Kruskal's Algorithm
  - 2. Prim's Algorithm

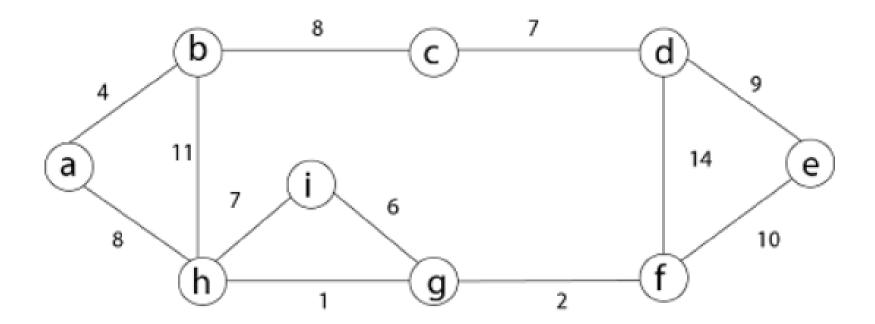
### MST - Kruskal's Algorithm

- Steps for finding MST using Kruskal's algorithm:
  - 1. Sort all the edges in non-decreasing order of their weight.
  - 2. Pick the smallest edge.
  - 3. Check if it forms a cycle with the spanning tree formed so far. If the cycle is not formed, include this edge. Else, discard it.
  - 4. Repeat step 2 until there are (V-1) edges in the spanning tree.

### MST - Kruskal's Algorithm

```
KRUSKAL(G):
\mathbf{A} = \mathbf{\emptyset}
For each vertex v \in V[G]:
  MAKE-SET(v)
For each edge (u, v) \in E[G] ordered by increasing order by
weight (u, v):
  if FIND-SET(u) \neq FIND-SET(v):
  \mathbf{A} = \mathbf{A} \cup \{(\mathbf{u}, \mathbf{v})\}\
                           //where u is a vertex in the spanning
   UNION(u, v)
                           tree and v is a vertex on the graph G.
return A
```

• Find the MST of the following graph using Kruskal's algorithm.



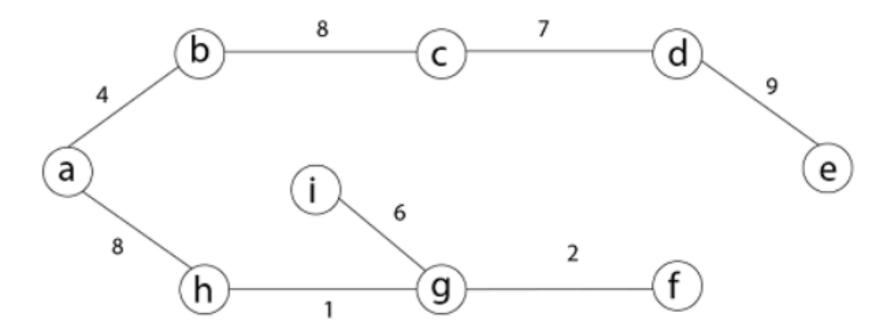
- First we initialize the set A to the empty set and create |v| trees, one containing each vertex with MAKE-SET procedure.
- Then sort the edges in E into order by non-decreasing weight.

Edges	(h, g)	(g, f)	(a, b)	(I, g)	(h, <u>i</u> )	(c, d)	(b, c)	(a, h)	(d, e)	(e, f)	(b, h)	(d, f)
Weight	1	2	4	6	7	7	8	8	9	10	11	14

- There are 9 vertices and 12 edges.
- So MST formed (9-1) = 8 edges

- Now, check for each edge (u, v) whether the endpoints u and v belong to the same tree.
  - If they do then the edge (u, v) cannot be supplementary.
  - Otherwise, the two vertices belong to different trees, and the edge (u, v) is added to A, and the vertices in two trees are merged in by union procedure.
- Therefore, edges (h, i), (e, f), (b, h), and (d, f) are discarded. This is because the vertices of each edges belongs to the same tree.

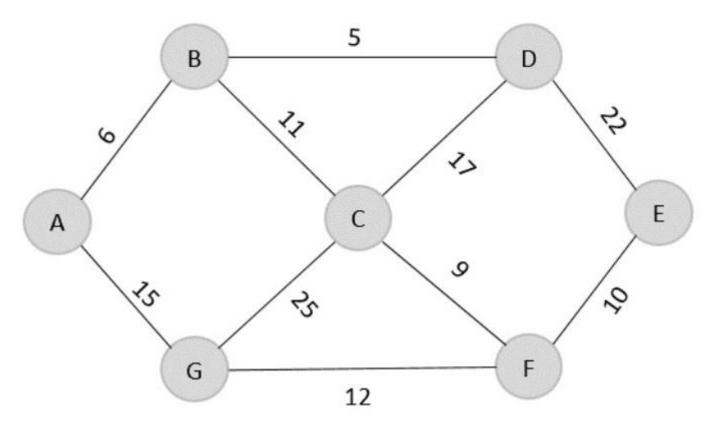
#### **Solution:**



■ The obtained result is the MST of the given graph with cost = 1+2+6+8+4+8+7+9 = 45.

### MST - Kruskal's Algorithm: Exercise

• Find the MST using Kruskal's algorithm for the graph given below:



### MST - Kruskal's Algorithm: Analysis

- For the given graph G(V, E): where E is the number of edges in the graph and V is the number of vertices, Kruskal's Algorithm can be shown to run in O (E\*logE) time, or simply, O (E\*logV) time.
  - Sorting of edges takes O(E\*logE) time.
  - After sorting, we iterate through all edges and apply the find-union algorithm. The find and union operations can take at most O(logV) time.

### MST - Kruskal's Algorithm: Analysis

- So overall complexity is O(E\*logE + E\*logV) time.
  - The value of E can be at most  $O(V^2)$ , so  $O(\log V)$  and  $O(\log E)$  are the same.
- Therefore, the overall time complexity of Kruskal's algorithm is O(E\*logE) or O(E\*logV).
- The space complexity of Kruskal's algorithm is O(V+E), where V is the number of vertices and E is the number of edges in the graph.

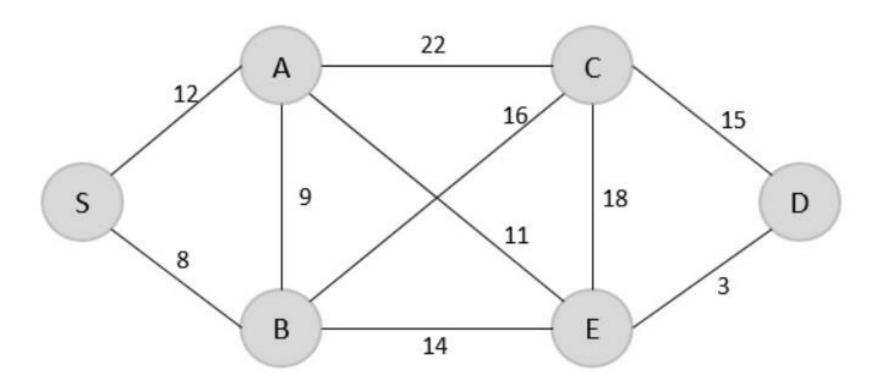
### **MST - Prim's Algorithm**

- Steps to find MST using Prim's algorithm:
  - 1. Initialize the MST with a vertex chosen at random.
  - 2. Find all the edges that connect the tree to new vertices, find the minimum and add it to the tree
  - 3. Repeat step 2 until we get a MST.

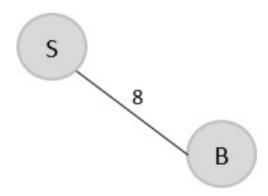
## MST - Prim's Algorithm: Algorithm

- 1. Declare an array visited[] to store the visited vertices and firstly, add the arbitrary root, say S, to the visited array.
- 2. Check whether the adjacent vertices of the last visited vertex are present in the visited[] array or not.
- 3. If the vertices are not in the visited[] array, compare the cost of edges and add the least cost edge to the output spanning tree.
- 4. The adjacent unvisited vertex with the least cost edge is added into the visited[] array and the least cost edge is added to the minimum spanning tree output.
- 5. Steps 2 and 4 are repeated for all the unvisited vertices in the graph to obtain the full minimum spanning tree output for the given graph.
- 6. Calculate the cost of the minimum spanning tree obtained.

• Find the MST using Prim's algorithm for the graph given below with S as the arbitrary root.



- Step 1:
  - Create a visited array to store all the visited vertices into it, i.e.  $V = \{ \}$ .
  - The arbitrary root is mentioned to be S, so among all the edges that are connected to S we need to find the least cost edge. i.e.  $S \rightarrow B = 8$  and  $V = \{S, B\}$



### **Solution:**

- Step 2:
  - Since B is the last visited, check for the least cost edge that is connected to the vertex B.

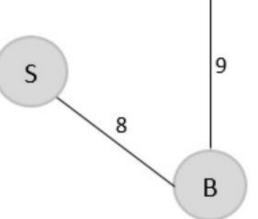
• 
$$\mathbf{B} \to \mathbf{A} = \mathbf{9}$$

• 
$$B \rightarrow C = 16$$

• 
$$\mathbf{B} \to \mathbf{E} = 14$$

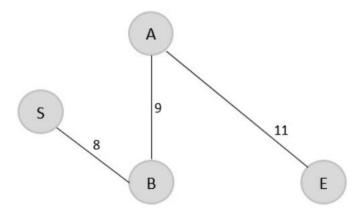
- Hence,  $\mathbf{B} \to \mathbf{A}$  is the edge added to the spanning tree.

• 
$$V = \{S, B, A\}$$

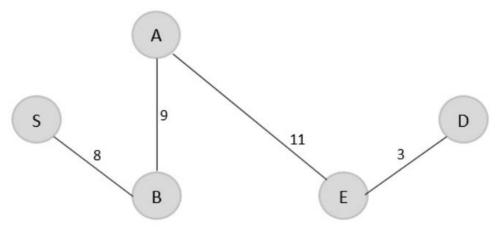


Α

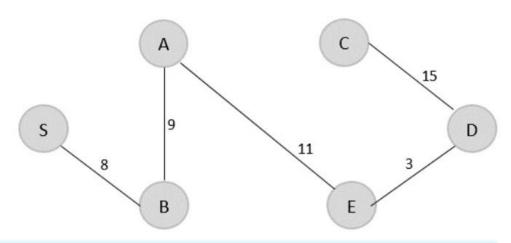
- Step 3:
  - Since A is the last visited, check for the least cost edge that is connected to the vertex A.
    - $A \rightarrow C = 22$
    - $A \rightarrow B = 9$
    - $A \rightarrow E = 11$
  - But  $A \rightarrow B$  is already in the spanning tree, check for the next least cost edge. Hence,  $A \rightarrow E$  is added to the spanning tree.
    - $V = \{S, B, A, E\}$



- Step 4:
  - Since E is the last visited, check for the least cost edge that is connected to the vertex E.
    - $E \rightarrow C = 18$
    - $E \rightarrow D = 3$
  - Therefore,  $\mathbf{E} \to \mathbf{D}$  is added to the spanning tree.
    - $V = \{S, B, A, E, D\}$

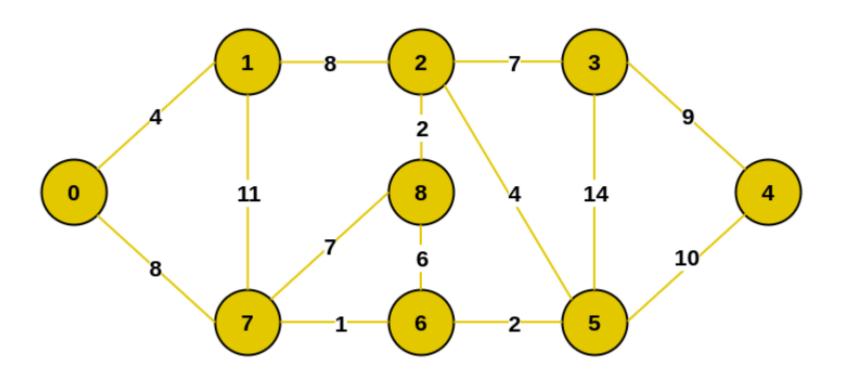


- Step 6:
  - Since D is the last visited, check for the least cost edge that is connected to the vertex D.
    - $D \rightarrow C = 15$
    - $E \rightarrow D = 3$
  - Therefore,  $D \rightarrow C$  is added to the spanning tree.
    - $V = {S, B, A, E, D, C}$
  - The MST is obtained with the minimum cost
    = 46.



### **MST - Prim's Algorithm: Exercise**

■ Find the MST using Prim's algorithm for the graph given below with 0 as the arbitrary root.



### MST - Prim's Algorithm: Analysis

- The time complexity of Prim's algorithm is:
  - O(V²) using an adjacency matrix and linear search
  - O(ElogV)/ O(VlogV) using an adjacency list and binary heap
    - where V is the number of vertices and E is the number of edges in the graph.
- The space complexity is
  - O(V+E) for the priority queue and
  - $O(V^2)$  for the adjacency matrix representation.
- The algorithm's time complexity depends on the data structure used for storing vertices and edges.

### MST - Kruskal's vs Prim's Algorithm

- Kruskal's algorithm sorts all the edges from low weight to high and keeps adding the lowest edges, ignoring those edges that create a cycle.
- Prim's algorithm starts from a vertex and keeps adding lowest-weight edges which aren't in the tree, until all vertices have been covered.

### Dijkstra's Algorithm

- Dijkstra's algorithm allows us to find the shortest path between any two vertices of a graph.
- It is similar to that of Prim's algorithm as they both rely on finding the shortest path locally to achieve the global solution.
- However, it is designed to find the shortest path in the graph from one vertex to other remaining vertices in the graph, not to generate MST.
- The shortest distance between two vertices might not include all the vertices of the graph.

## Dijkstra's Algorithm

- Since the shortest path can be calculated from single source vertex to all the other vertices in the graph, Dijkstra's algorithm is also called single-source shortest path algorithm.
- The algorithm starts from the source (S).
- The inputs taken by the algorithm are the graph G {V, E},
  where V is the set of vertices and E is the set of edges, and
  the source vertex S.
- And the output is the shortest path spanning tree.

## Dijkstra's Algorithm

```
function dijkstra(G, S)
  for each vertex V in G
     distance[V] = infinite //to store the distances from the source vertex to the other vertices in graph
     previous[V] = NULL // to store the previously visited vertices.
     If V != S, add V to Priority Queue Q
                                                A minimum priority queue can be
  distance[S] = 0
                                                used to efficiently receive the vertex
                                                with least path distance.
  while Q IS NOT EMPTY
     U = Extract MIN from Q
     for each unvisited neighbour V of U
       tempDistance = distance[U] + edge_weight(U, V)
       if tempDistance < distance[V]
                                                where U is the visited or selected
          distance[V] = tempDistance
                                                vertex, and V is the unvisited vertex
          previous[V] = U
                                                on the graph
  return distance[], previous[]
```

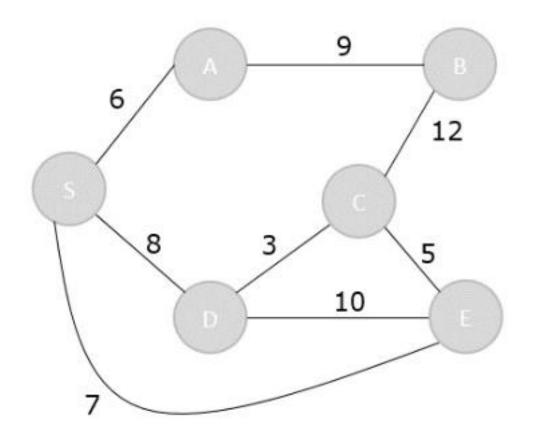
# Dijkstra's Algorithm: Analysis

- Time Complexity: O(ELogV), where, E is the number of edges and V is the number of vertices.
- Space Complexity: O(V), where V is the number of vertices.

### Application:

- To find the shortest path
- In social networking applications
- In a telephone network
- To find the locations in the map

• Find the shortest path using Dijkstra's algorithm for the graph given below with S as the arbitrary source.



### **Solution:**

- Step 1
  - Initialize the distances of all the vertices as  $\infty$ , except the source node S.

Vertex	S	A	В	C	D	E
Distance	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$

- Now that the source vertex S is visited, add it into the visited array.
  - **visited** = {**S**}

### **Solution:**

- Step 1
  - The formula for calculating the distance between the vertices:

```
if (d(u) + d(u, v) < d(v)) then (update) \ d(v) = d(u) + c(u, v)
```

• where  $\mathbf{u}$  is the visited or selected vertex, and  $\mathbf{v}$  is the unvisited vertex on the graph.

### **Solution:**

- Step 2
  - The vertex S has three adjacent vertices with various distances and the vertex with minimum distance among them all is A.

• 
$$S \rightarrow A = 6$$

• 
$$S \rightarrow D = 8$$

• 
$$S \rightarrow E = 7$$

Vertex	S	A	В	C	D	E
Distance	0	6	$\infty$	$\infty$	8	7

- Hence, A is visited and the distance[A] is changed from  $\infty$  to 6.
  - **Visited** = {**S**, **A**}

### **Solution:**

- Step 3
  - There are two vertices visited in the visited array, therefore, the adjacent vertices must be checked for both the visited vertices.
  - Vertex S has two more adjacent vertices to be visited yet: D and
     E. Vertex A has one adjacent vertex B.
  - Calculate the distances from S to D, E, B and select the minimum distance:
    - $S \rightarrow D = 8$  and  $S \rightarrow E = 7$ .

• 
$$S \rightarrow B = S \rightarrow A + A \rightarrow B = 6 + 9 = 15$$

• Visited =  $\{S, A, E\}$ 

Vertex	S	A	В	C	D	E
Distance	0	6	15	$\infty$	8	7

#### **Solution:**

- Step 4
  - Calculate the distances of the adjacent vertices: S, A, E of all the visited arrays and select the vertex with minimum distance.
  - $S \rightarrow D = 8$
  - $S \rightarrow B = 15$
  - $S \rightarrow C = S \rightarrow E + E \rightarrow C = 7 + 5 = 12$
- Visited =  $\{S, A, E, D\}$

Vertex	S	A	В	C	D	E
Distance	0	6	15	12	8	7

<b>Solution:</b>	Vertex	S	A	В	C	D	E
<ul> <li>Step 5</li> </ul>	Distance	0	6	15	11	8	7

• Recalculate the distances of unvisited vertices and if the distances minimum than existing distance is found, replace the value in the distance array.

• 
$$S \to C = S \to E + E \to C = 7 + 5 = 12$$

• 
$$S \rightarrow C = S \rightarrow D + D \rightarrow C = 8 + 3 = 11$$

• distance[C] = minimum (12, 11) = 11

• 
$$S \rightarrow B = S \rightarrow A + A \rightarrow B = 6 + 9 = 15$$

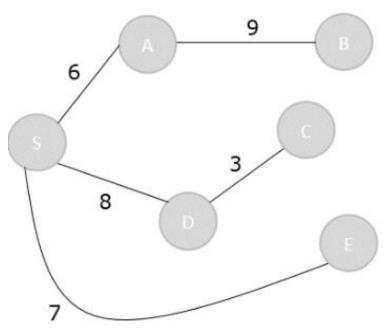
• 
$$S \to B = S \to D + D \to C + C \to B = 8 + 3 + 12 = 23$$

- distance[B] = minimum (15, 23) = 15
- Visited = {S, A, E, D, C}

#### **Solution:**

- Step 6
  - The remaining unvisited vertex in the graph is B with the minimum distance 15, is added to the output spanning tree.
- Visited = {S, A, E, D, C, B}

• The shortest path spanning tree is obtained as an output using the Dijkstra's algorithm (with a cost = 33).



# Dijkstra's Algorithm: Exercise

• Find the shortest path using Dijkstra's algorithm for the graph given below with 0 as the arbitrary source.

