

2017-11-30

- A **universal Turing machine (UTM)** is a Turing machine  $U$  that gets as input a description of a Turing machine  $[M]$  and a word  $w$ . On such input, it simulates  $M$  on  $w$ .
- Given a TM  $M = \langle \Sigma, \Gamma, Q, q_0, q_a, q_r, \delta \rangle$ , there is a TM  $M' = \langle \Sigma', \Gamma', Q', q'_0, q'_a, q'_r, \delta' \rangle$  with 3 tapes that can simulate  $M$  on a given input  $w$ . How?
  - Store input  $w$  on tape 1.
  - Write the initial configuration of  $M$  on  $w$  on tape 2.
  - Write the next configuration on tape 3.
- Define  $A_{TM} = \{(M, w) | w \in L(M)\}$ .
- **Theorem:**  $A_{TM}$  is recognizable.
  - Let a recognizer for  $A_{TM}$  be  $U :=$  On input  $(M, w)$ : Run  $M$  on  $w$ . Accept if  $M$  accepts  $w$ . Reject if  $M$  rejects  $w$ . Loop if  $M$  does not halt on  $w$ .
- **Theorem:**  $A_{TM}$  is undecidable.
  - Let a decider for  $A_{TM}$  be  $H :=$  On input  $(M, w)$ : Run  $M$  on  $w$ . Accept if  $M$  accepts  $w$ . Reject if  $M$  does not accept  $w$ .
  - Construct  $D$ : On input  $[M]$ , run  $H$  on  $(M, [M])$ . Accept if  $H$  rejects  $(M, [M])$ . Reject if  $H$  accepts  $(M, [M])$ .
  - Run  $D$  on  $[D] \Rightarrow$  Contradiction.
- **Cantor's diagonalization:** If  $D$  is in the figure, a contradiction occurs at ?

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\dots$	$\langle D \rangle$	$\dots$
$M_1$	<u>accept</u>	reject	accept	reject		accept	
$M_2$	accept	<u>accept</u>	accept	accept	$\dots$	accept	$\dots$
$M_3$	reject	reject	<u>reject</u>	reject		reject	
$M_4$	accept	accept	reject	<u>reject</u>		accept	
$\vdots$			$\vdots$		$\ddots$		
$D$	reject	reject	accept	accept		<u>?</u>	
$\vdots$			$\vdots$				$\ddots$

- **Theorem:** If  $L$  and  $L'$  are recognizable, then  $L$  is decidable.
  - Let  $M$  be a recognizer for  $L$  and  $M'$  be a recognizer for  $L'$ .
  - Construct  $D :=$  On input  $w$ : Run  $M$  and  $M'$  on  $w$  in parallel. Accept if  $M$  accepts  $w$ . Reject if  $M'$  accepts  $w$ .
- **Corollary:**  $A'_{TM}$  is not recognizable.
  - $A_{TM}$  is recognizable but undecidable. Hence,  $A'_{TM}$  is not recognizable.
- Define the halting problem  $HALT = \{(M, w) | M \text{ halts on } w\}$ .
- **Theorem:**  $HALT$  is recognizable.
  - Let a recognizer for  $HALT$  be  $U :=$  On input  $(M, w)$ : Run  $M$  on  $w$ . Accept if  $M$  halts on  $w$ . Loop if  $M$  does not halt on  $w$ .

- **Theorem:** HALT is undecidable. (Intuition:  $A_{TM} \leq_T \text{HALT}$ )
  - Let a decider for HALT be  $H :=$  On input  $(M, w)$ : Run  $M$  on  $w$ . Accept if  $M$  halts on  $w$ . Reject if  $M$  does not halt on  $w$ .
  - Construct  $D :=$  On input  $(M, w)$ : Run  $H$  on  $(M, w)$ . Reject if  $H$  rejects  $(M, w)$ . Otherwise, run  $M$  on  $w$ . Accept if  $M$  accepts  $w$ . Reject if  $M$  rejects  $w$ .
  - If  $H$  decides HALT, then  $D$  decides  $A_{TM}$ .  $A_{TM}$  is undecidable, so HALT is undecidable.
- Define  $\text{HALT}_0 = \{ \lfloor M \rfloor \mid M \text{ accepts } \lfloor M \rfloor \}$ .
- **Theorem:**  $\text{HALT}_0$  is recognizable.
  - Let a recognizer for HALT be  $U :=$  On input  $\lfloor M \rfloor$ : Run  $M$  on  $\lfloor M \rfloor$ . Accept if  $M$  accepts  $\lfloor M \rfloor$ . Reject if  $M$  rejects  $\lfloor M \rfloor$ . Loop if  $M$  does not halt on  $\lfloor M \rfloor$ .
- **Theorem:**  $\text{HALT}_0$  is undecidable.
  - Let a decider for  $\text{HALT}_0$  be  $H :=$  On input  $\lfloor M \rfloor$ : Run  $M$  on  $\lfloor M \rfloor$ . Accept if  $M$  accepts  $\lfloor M \rfloor$ . Reject if  $M$  does not accept  $\lfloor M \rfloor$ .
  - Construct  $D$ : On input  $\lfloor M \rfloor$ , run  $H$  on  $\lfloor M \rfloor$ . Accept if  $H$  rejects  $\lfloor M \rfloor$ . Accept if  $H$  accept  $\lfloor M \rfloor$ .
  - Run  $D$  on  $\lfloor D \rfloor \Rightarrow$  Contradiction.
- Define  $\text{HALT}'_0 = \{ \lfloor M \rfloor \mid M \text{ does not accept } \lfloor M \rfloor \}$ .
- **Corollary:**  $\text{HALT}'_0$  is not recognizable.
  - $\text{HALT}_0$  is recognizable but undecidable. Hence,  $\text{HALT}'_0$  is not recognizable.
- **Theorem:**  $\text{HALT}'_0$  is undecidable.
  - Let a decider for  $\text{HALT}'_0$  be  $H :=$  On input  $\lfloor M \rfloor$ : Run  $M$  on  $\lfloor M \rfloor$ . Accept if  $M$  does not accept  $\lfloor M \rfloor$ . Reject if  $M$  accept  $\lfloor M \rfloor$ .
  - Run  $H$  on  $\lfloor H \rfloor \Rightarrow$  Contradiction.