- A nondeterministic finite state automaton (NFA)  $A = \langle \Sigma, Q, q_0, F, \delta \rangle$ , where  $\delta$  can be expressed as: (1) Transition relation:  $\delta \subseteq Q \times \Sigma \times Q$ ; (2) Transition function:  $\delta : Q \times \Sigma \to 2^Q$ .
- **Theorem**: If A is a DFA, and A is also an NFA.
- Example of NFA:  $A = \langle \Sigma, Q, q_0, F, \delta \rangle$ , where  $\Sigma = \{a, b\}, Q = \{q_0, q_1, q_2\}, q_0, F = \{q_2\}, \delta = \{(q_0, a, q_0), (q_0, b, q_0), (q_1, a, q_1), (q_1, b, q_1), (q_2, a, q_2), (q_2, b, q_2), (q_0, a, q_1), (q_1, b, q_2)\}$
- On input word w, a run of A on w is  $p_0, a_1, p_1, a_2, p_2, \ldots, a_n, p_n$  s.t.  $(p_{i-1}, a_i, p_i) \in \delta$ .
- A run is an accepting run if it ends with a final state, i.e.  $p_n \in F$ .
- An NFA A accepts w if there is an accepting run of A on w.
- Theorem: For every NFA A, there is an NFA A' s.t. A' will never get stuck, and L(A) = L(A'). How? Introduce an error state s.t. for every state, there is at least one transition for all alphabets.
- **Theorem**: NFA languages are closed under complement, ∩ (intersection) and U (union).
- **Theorem**: For every NFA A, there is a DFA A' s.t. L(A) = L(A').
  - Let an NFA  $A = \langle \Sigma, Q, q_0, F, \delta \rangle$  and a DFA  $A' = \langle \Sigma, Q', q'_0, F', \delta' \rangle$  represents the same language L. Then,  $Q' = 2^Q$ ,  $q'_0 = \{q_0\}$ ,  $F' = \{X \in Q' | X \cap F \neq \emptyset\}$ ,  $\delta' : Q' \times \Sigma \to Q'$  is defined for  $X \in Q'$ ,  $a \in \Sigma$ , let  $\delta'(X, a) = \{q \mid \exists p \in X \text{ s.t. } (p, a, q) \in \delta\}$  or  $\delta'(X, a) = \bigcup_{x \in X} \delta(x, a)$ .
  - Both  $L(A) \subseteq L(A')$  and  $L(A') \subseteq L(A)$  should hold.
- Lemma:  $L(A) \subseteq L(A')$  proved by induction
  - *Hypothesis*: For every accepting run of A on w:  $p_0, a_1, p_1, a_2, p_2, \ldots, a_n, p_n$  s.t.  $p_n \in F$ , there is an accepting run of A' on w:  $X_0, a_1, X_1, a_2, X_2, \ldots, a_n, X_n$  s.t.  $X_i \ni p_i$  for  $i = 1, 2, \ldots, n$ , and  $X_n \in F'$ .
  - Basis: |w| = 0, i.e.  $w = \epsilon$ . The run of A on w is  $q_0 \in F$ , and the run of A' on w is  $\{q_0\} \in F'$ .
  - *Induction*: Consider  $w = a_1 a_2 \dots a_n a_{n+1}$ . An accepting run of A on w is  $p_0, a_1, p_1, a_2, p_2, \dots, a_n, p_n, a_{n+1}, p_{n+1}$ , s.t.  $p_{n+1} \in F$  and  $(p_n, a_{n+1}, p_{n+1}) \in \delta$ . Then, the run of A' on w is  $X_0, a_1, X_1, a_2, X_2, \dots, a_n, X_n, a_{n+1}, X_{n+1} \dots X_n \ni p_n$  and  $(p_n, a_{n+1}, p_{n+1}) \in \delta$  implies  $X_{n+1} \ni p_{n+1} \dots p_{n+1} \in F$  and  $X_{n+1} \ni p_{n+1} \dots p_{n+1} \in F$ .
- Lemma:  $L(A') \subseteq L(A)$  proved by induction
  - Hypothesis: For the accepting run of A' on  $w: X_0, a_1, X_1, a_2, X_2, \ldots, a_n, X_n$  s.t.  $X_n \in F'$ , there is an accepting run of A on  $w: p_0, a_1, p_1, a_2, p_2, \ldots, a_n, p_n$  s.t.  $p_i \in X_i$  for  $i = 1, 2, \ldots, n$ , and  $p_n \in F$ .
  - Basis.
  - Induction.
- Every NFA language is also regular.
- $\epsilon$ -NFA:  $A = \langle \Sigma, Q, q_0, F, \delta \rangle$ , where  $\delta \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times Q$ .
- **Theorem**: For every  $\epsilon$ -NFA, there is an NFA A' s.t. L(A) = L(A')