Tutorial 5 - Options Intro

Please complete this tutorial to get an overview of options and an implementation of SMDP Q-Learning and Intra-Option Q-Learning.

References:

Recent Advances in Hierarchical Reinforcement Learning is a strong recommendation for topics in HRL that was covered in class. Watch Prof. Ravi's lectures on moodle or notel for further understanding the core concepts. Contact the TAs for further resources if needed.

```
A bunch of imports, you don't have to worry about these
import numpy as np
import random
import gym
#from gym.wrappers import Monitor
import glob
import io
import matplotlib.pyplot as plt
from IPython.display import HTML
The environment used here is extremely similar to the openai gym ones.
At first glance it might look slightly different.
The usual commands we use for our experiments are added to this cell to aid you
work using this environment.
#Setting up the environment
from gym.envs.toy_text.cliffwalking import CliffWalkingEnv
env = CliffWalkingEnv()
env.reset()
#Current State
print(env.s)
# 4x12 grid = 48 states
print ("Number of states:", env.nS)
# Primitive Actions
action = ["up", "right", "down", "left"]
#correspond to [0,1,2,3] that's actually passed to the environment
# either go left, up, down or right
print ("Number of actions that an agent can take:", env.nA)
# Example Transitions
rnd_action = random.randint(0, 3)
print ("Action taken:", action[rnd_action])
next_state, reward, is_terminal, t_prob,_ = env.step(rnd_action)
print ("Transition probability:", t_prob)
print ("Next state:", next_state)
print ("Reward recieved:", reward)
print ("Terminal state:", is_terminal)
#env.render()
     Number of states: 48
     Number of actions that an agent can take: 4
     Action taken: down
     Transition probability: False
     Next state: 36
     Reward recieved: -1
     Terminal state: False
```

Options

We custom define very simple options here. They might not be the logical options for this settings deliberately chosen to visualise the Q Table better.

```
# We are defining two more options here
# Option 1 ["Away"] - > Away from Cliff (ie keep going up)
# Option 2 ["Close"] - > Close to Cliff (ie keep going down)
```

```
def Away(env,state):
    optdone = False
   optact = 0
    if (int(state/12) == 0):
       optdone = True
    return [optact,optdone]
def Close(env,state):
   optdone = False
   optact = 2
    if (int(state/12) == 2) or (int(state/12)==3):
        optdone = True
    return [optact,optdone]
Now the new action space will contain
Primitive Actions: ["up", "right", "down", "left"]
Options: ["Away", "Close"]
Total Actions :["up", "right", "down", "left", "Away", "Close"]
Corresponding to [0,1,2,3,4,5]
```

→ Task 1

Complete the code cell below

```
#Q-Table: (States x Actions) === (env.ns(48) x total actions(6))
q_values_SMDP = np.zeros((48,6))

#Update_Frequency Data structure? Check TODO 4
freq_1=np.zeros((48,6))
# TODO: epsilon-greedy action selection function
actions=[0,1,2,3,4,5]
seed = 18
rg = np.random.RandomState(seed)
def egreedy_policy(q_values,state,epsilon=0.1):
    if rg.rand() < epsilon:
        return rg.choice(actions)
    else:
        return np.argmax(q_values[state])</pre>
```

- Task 2

Below is an incomplete code cell with the flow of SMDP Q-Learning. Complete the cell and train the agent using SMDP Q-Learning algorithm. Keep the **final Q-table** and **Update Frequency** table handy (You'll need it in TODO 4)

```
#### SMDP Q-Learning
# Add parameters you might need here
q_values_SMDP = np.zeros((48,6))
#Update_Frequency Data structure? Check TODO 4
freq_1=np.zeros((48,6))
gamma = 0.9
alpha=0.4
# Iterate over 1000 episodes
for _ in range(1000):
    print("Epi",_)
    state = env.reset()
    done = False
# While episode is not over
```

```
while not done:
       # Choose action
      action = egreedy policy(q values SMDP, state, epsilon=0.1)
       # Checking if primitive action
      if action < 4:
              # Perform regular Q-Learning update for state-action pair
              next_state, reward, done,_ ,info= env.step(action)
               q\_values\_SMDP[state][action] + (alpha)*(q\_values\_SMDP[state][action]) + (alpha)*(reward+gamma*(np.max(q\_values\_SMDP[next\_state])) + (alpha)*(reward*(np.max(q\_values\_SMDP[next\_state])) + (alpha)*(reward*(np.max(q\_values\_SMDP[n
              freq_1[state,action]+=1
              state=next_state
      # Checking if action chosen is an option
      reward bar = 0
       if action == 4: # action => Away option
              initial_state=np.copy(state)
              optdone = False
              cnt=0
              while (optdone == False):
                     # Think about what this function might do?
                     optact,optdone = Away(env,state)
                     next_state, reward, done,_,info = env.step(optact)
                     #TO check if the next state is the termination state
                     optact,optdone = Away(env,next_state)
                     # Is this formulation right? What is this term? No. This is not the discounted return formulation. Here, the first reward
                     #This is a formulation used to calculate discount return when rewards are in reverse order a trajectory.
                     #reward_bar=gamma*reward_bar+reward
                     reward_bar = reward_bar + np.power(gamma,cnt)*reward
                     # Complete SMDP Q-Learning Update
                     # Remember SMDP Updates. When & What do you update? After the ermination state is reached we will update
                     state = next_state
              q_values_SMDP[initial_state, action] = (1-alpha)* (q_values_SMDP[initial_state, action])+alpha*(reward_bar + (np.power(gamma
              freq_1[state,action]+=1
       if action == 5: # action => Close option
              initial_state=np.copy(state)
              optdone = False
              cnt=0
              while (optdone == False):
                     # Think about what this function might do?
                     optact,optdone = Away(env,state)
                     next_state, reward, done,_,info = env.step(optact)
                     \mbox{\#TO} check if the next state is the termination state
                     optact,optdone = Away(env,next_state)
                       # Is this formulation right? What is this term? No. This is not the discounted return formulation. Here, the first reward
                     #This is a formulation used to calculate discount return when rewards are in reverse order a trajectory.
                     #reward_bar=gamma*reward_bar+reward
                     reward_bar = reward_bar + np.power(gamma,cnt)*reward
                     cnt+=1
                     # Complete SMDP Q-Learning Update
                     # Remember SMDP Updates. When & What do you update?
                     state = next_state
              q_values_SMDP[initial_state, action] = (1-alpha)* (q_values_SMDP[initial_state, action])+alpha*(reward_bar + (np.power(gamma
              freq_1[state,action]+=1
```

```
Epi 492
     Epi 493
     .
Epi 494
     Epi 495
     Epi 496
     Epi 498
     Epi 500
     Epi 503
     Epi 504
     Epi 505
     Epi 506
     Epi 508
     Epi 509
     Epi 510
     Epi 513
     Epi 514
     Epi 515
     Epi 516
     Epi 517
     Epi 523
     Epi 524
     Epi 525
     Epi 526
     Epi 527
     Epi 530
     Epi 534
     Epi 535
     Epi 536
np.power(3,4)
```

Task 3

Using the same options and the SMDP code, implement Intra Option Q-Learning (In the code cell below). You *might not* always have to search through options to find the options with similar policies, think about it. Keep the **final Q-table** and **Update Frequency** table handy (You'll need it in TODO 4)

```
#### Intra-Option Q-Learning
# Add parameters you might need here
q_values_intra = np.zeros((48,6))
#Update_Frequency Data structure? Check TODO 4
freq_2=np.zeros((48,6))
gamma = 0.9
alpha=0.4
# Iterate over 1000 episodes
for _ in range(1000):
   print("Epi",_)
   state = env.reset()
    done = False
    # While episode is not over
    while not done:
        # Choose action
        action = egreedy_policy(q_values_intra, state, epsilon=0.1)
        # Checking if primitive action
        if action < 4:
            # Perform regular Q-Learning update for state-action pair
```

```
next_state, reward, done,_ ,info= env.step(action)
                   \label{eq:qvalues_intra} $$q_values_intra[state][action] + (alpha)*(q_values_intra[state][action]) + (alpha)*(reward+gamma*(np.max(q_values_intra[next_state][action]) + (alpha)*(reward+gamma*(np.max(q_values_intra[next_state][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][action][ac
                    freq_2[state,action]+=1
                   state=next_state
        # Checking if action chosen is an option
         if action == 4 or action==5: # action => Away, CLose option (Coupled into a single if statement)
                  initial_state=np.copy(state)
                   optdone = False
                   cnt=0
                   while (optdone == False):
                              # Think about what this function might do?
                             optact,optdone = Away(env,state)
                             next_state, reward, done,_,info = env.step(optact)
                              q_values_intra[state, optact] += alpha*(reward + gamma*np.max(q_values_intra[next_state]) - q_values_intra[state, optact]
                              freq_2[state,action]+=1
                              optact,optdone = Away(env,next_state)
                              beta=int(optdone)
                              \begin{tabular}{ll} $U_s_a=(1-beta)^*(q_values_intra[next_state,action]) + beta^*(np.max(q_values_intra[next_state])) \end{tabular} 
                               q\_values\_intra[state,action] = (1-alpha)*(q\_values\_intra[state,action]) + alpha*(reward+gamma*(U\_s\_a)) 
                              freq_2[state,action] += 1
                              state = next_state
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Epi 25
Epi 26
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Epi 28
Epi 29
Epi 35
Epi 36
Epi 37
Epi 38
Epi 39
Epi 42
Epi 46
```

```
Epi
        Epi 52
        Epi 53
        Epi 54
        Fni 56
Task 4
 Compare the two Q-Tables and Update Frequencies and provide comments.
# Use this cell for Task 4 Code
import pandas as pd
print("Q Table for intra option Q Learning")
print(pd.DataFrame(q_values_intra,columns=["up", "right", "down", "left", "Away", "Close"]))
print("-----
print("-----
print("Q Table for SMDP Q Learning")
print(pd.DataFrame(q_values_SMDP,columns=["up", "right", _down", "left", "Away", _"Close"]))

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        Q Table for SMDP Q Learning
                                    right
                                                                                         Away
                                                                         left
                                                                                                       Close
             -7.836496
                                                  -7.712317 -7.819231 -7.855008 -7.927853
                             -7.458134 -7.458134 -7.489827 -7.608444 -7.587029
            -7.585884
                                                -7.175705 -7.597302 -7.387095 -7.386828
-6.861894 -7.127332 -7.105683 -7.106193
        2 -7.278951 -7.175705
3 -7.032321 -6.861894
                               -6.513216 -6.513216 -7.023656 -6.617134 -6.694010
-6.125795 -6.125795 -6.181741 -6.397344 -6.429473
            -6.698412
                             -6.125795
            -6.332924
                               -5.695328 -5.695328 -6.339973 -6.066937 -5.980369
-5.217031 -5.217031 -5.404798 -5.650860 -5.672036
             -6.004221
                             -5.217031
                               -4.685590 -4.685590 -5.596694 -5.036382 -5.006659
-4.095100 -4.095100 -5.152847 -4.486582 -4.590217
            -5.043737
             -4.508025
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-3.200795 -2.710000 -3.363008 -3.036705 -3.354385
-7.458132 -7.458132 -7.568982 -7.622461 -7.529285
             -4.032050
        12 -7.778745
                                                -7.175705 -7.262449 -7.343472 -7.200412
-6.861894 -7.306747 -7.355202 -6.873555
                               -7.175705
        13 -7.326800
        14 -7.220709
                               -6.861894
                             -6.513216 -6.513216 -7.017617 -6.728482 -7.098983

-6.125795 -6.125795 -6.263106 -6.563491 -6.634372

-5.695328 -5.695328 -6.051694 -6.272494 -6.389445

-5.217031 -5.902850 -5.975798 -5.596308
        15 -7.063932
        16 -6.508723
        17 -6.352826
                            -4.685590 -4.685590 -5.373940 -5.623400 -5.400005
-4.095100 -4.095100 -5.064953 -4.872687 -4.823958
-3.439000 -3.439000 -4.549108 -3.654941 -4.335927
-2.710000 -2.710000 -3.707590 -3.441766 -3.658205
-2.630393 -1.900000 -2.958278 -3.126545 -3.351441
-7.175705 -7.712192 -7.458132 -8.145982 -8.146933
         20 -5.101861
        21 -4.537471
        22 -3.868257
        23 -2.564537
        24 -7.710680 -7.175705 -7.712192 -7.458132 -8.145982 -8.146933
25 -7.457966 -6.861894 -106.712203 -7.457365 -7.940889 -7.941033
        26 -7.175425
                               -6.513216 -106.710862 -7.175520 -7.712306 -7.712260
                               -6.125795 -106.712125 -6.860879 -7.458124 -7.452014
        27 -6.859558
        28 -6.511757 -5.695328 -106.705496 -6.513200 -7.17.333
29 -6.125505 -5.217031 -106.709847 -6.125712 -6.861868 -6.861834
                             -4.685590 -106.038811 -5.695247 -6.513116 -6.512295
-4.095100 -106.463035 -5.217021 -6.121060 -6.124338
             -5.693583
                             -3.439000 -106.686667 -4.685477 -5.693384 -5.695190
        32 -4.682033
                               -2.710000 -106.046539 -4.094899 -5.156223 -5.216383
        33 -4.094823
                             -1.900000 -106.678082 -3.436181 -4.683594 -4.685108
        34 - 3.438944
                               -1.899999 -1.000000 -2.709990 -4.083223 -4.095063
106.710431 -7.712289 -7.712320 -8.331766 -8.330178
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        47
             9.999999
                                 9.999999
         /usr/local/lib/python3.9/dist-packages/ipykernel/ipkernel.py:283: DeprecationWarning: `should_run_async` will not call `transfor
           and should run async(code)
                                                                                                                                                                                                              •
print("Frequency Table for intra option Q Learning")
print(pd.DataFrame(freq_1,columns=["up", "right", "down", "left", "Away", "Close"]))
print("-----")
 print("-----
```

```
print("Frequency Table for SMDP Q Learning")
print(pd.DataFrame(freq_2,columns=["up", "right", "down", "left", "Away", "Close"]))
     Frequency Table for intra option Q Learning
                  right
                           down left
                                         Away
           39.0
                   91.0
                           133.0
                                  38.0
                                       121.0
                                                134.0
           35.0
                  103.0
                          112.0
                                  26.0
                                         86.0
                                                87.0
           33.0
                  116.0
                           111.0
                                  27.0
                                         92.0
                                                 83.0
                           108.0
                                         84.0
                            99.0
                                  24.0
                                          71.0
           25.0
                  128.0
                                  18.0
                                         71.0
                                                 72.0
           24.0
                   121.0
                            92.0
                                  19.0
           21.0
                  114.0
                            86.0
                                  15.0
                                         56.0
                  108.0
                            80.0
                                  17.0
                                         47.0
           18.0
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                                                 44 A
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                    0.0
                                   0.0
     Frequency Table for SMDP Q Learning
            up right
                           down left
                                         Away Close
            6.0
                   85.0
                            88.0
                                  40.0
                                         78.0
                                                76.0
            3.0
                   89.0
                            78.0
                                  28.0
                                         70.0
                                                 70.0
                                                                                                                                        Þ
from pandas.core.api import DataFrame
print("Total No of Updates:",np.sum(freq_1))
print(pd.DataFrame(np.sum(freq_1,axis=0).reshape(1,6),columns=["up", "right", "down", "left", "Away", "Close"]))
print("______")
print('
print()
print("Inta Option Q Learning")
print("Total No of Updates:",np.sum(freq_2))
print(pd.DataFrame(np.sum(freq_2,axis=0).reshape(1,6),columns=["up", "right", "down", "left", "Away", "Close"]))
print("_
     SMDP
     Total No of Updates: 20582.0
     up right down left Away Close
0 1998.0 12643.0 3446.0 901.0 788.0 806.0
     Inta Option Q Learning
     Total No of Updates: 22904.0
                                           Away
     0 1451.0 12648.0 3223.0 876.0 2424.0 2282.0
     /usr/local/lib/python3.9/dist-packages/ipykernel/ipkernel.py:283: DeprecationWarning: `should_run_async` will not call `transform_c
       and should_run_async(code)
```

0.093210 -2.748877e-05 -2.433917e-05 0.055936 -0.022251 -0.091216 0.070885 -9.815021e-06 -6.601484e-06 0.169251 -0.120688 -0.016572 0.158585 -6.691029e-07 -5.489984e-07 -0.189041 0.015506 -0.100062 0.130935 -8.954081e-08 -7.532411e-08 0.171493 -0.128855 -0.031624 0.145608 -2.128413e-08 -1.302457e-08 0.051151 0.149937 0.000054 0.152399 -4.101712e-09 -4.676363e-09 0.044102 0.010053 -0.076760 0.112887 1.691181e-10 -6.527525e-10 -0.174363 -0.272935 -0.094953 0.050462 4.203553e-10 3.196332e-10 -0.171905 -0.255052 -0.277048 0.139877 1.358975e-10 1.137064e-10 -0.263011 -0.011032 -0.073630 0.157395 5.467093e-11 5.403589e-11 -0.934774 0.075314 -0.290715 0.052572 4.449774e-12 4.345857e-12 -0.902473 0.405235 0.411667 11 0.626272 -2.079254e-01 0.000000e+00 0.313944 0.196754 -0.307148 0.162290 -3.550539e-06 -3.555339e-06 -0.064852 0.318347 0.411694 0.385423 -1.228797e-07 -8.606550e-08 0.054708 0.363453 0.510692 0.237422 -9.716089e-09 -1.052688e-08 -0.193664 0.102886 0.584261 15 0.111771 2.584803e-10 5.696474e-10 -0.133299 9.446499 0.076025 0.353171 3.229061e-11 4.584511e-11 0.276138 0.298373 0.227190 0.160389 1.661693e-11 8.100187e-12 0.421183 0.240699 0.123734 18 0.233044 5.891287e-12 3.721468e-12 0.087062 0.149542 0.529447 2.066791e-12 1.383782e-12 0.210791 0.160294 0.071901 0.295274 0.115170 3.570477e-13 2.993161e-13 -0.042170 0.344339 0.392961 0.148119 1.509903e-14 6.217249e-15 -1.099056 1.030648 0.348409 22 0.226843 0.000000e+00 0.000000e+00 -0.019143 0.436861 0.653332 0.874463 -1.139215e-01 0.000000e+00 0.293779 0.312429 0.087532 0.001631 0.000000e+00 1.226003e-04 0.000001 -0.000351 -0.000480 0.000000e+00 -1.378797e-03 0.000167 0.000557 -0.031053 -0.008064 0.000279 0.000000e+00 -8.782257e-02 0.000099 -0.000331 -0.003673 0.002336 0.000000e+00 -6.815042e-03 0.000824 -0.006982 -0.002676 0.001459 0.000000e+00 -4.847677e-03 -0.000114 0.000013 -0.004554 0.000290 0.000000e+00 2.086284e-03 -0.001746 -0.000440 -0.000799 30 0.001745 0.000000e+00 5.858062e-01 0.000070 -0.008947 0.000168 0.004471 0.000000e+00 1.032495e-02 -0.001412 0.003348 0.000476 0.003557 0.000000e+00 2.324795e-02 -0.000483 0.001787 -0.003680 0.000277 0.000000e+00 4.252569e-01 0.000093 0.060749 -0.025658 34 0.000056 0.000000e+00 -5.270400e-02 0.002801 0.001909 -0.000714 0.000015 -1.341490e-04 0.000000e+00 -0.000935 0.011202 -0.000647 0.000000 1.871738e-03 3.081038e-05 -0.000012 -0.019119 -0.003180 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 45 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000 47 0.000000 0.000000e+00 0.000000e+00 0.000000 0.000000 0.000000

Use this text cell for your comments - Task 4

Ans:Since the options are Markov here, we have performed the intra option Q-learning update. We see the total updates performed in intra options Q-Learning is 22904 and in SMDP Q-Learning is 22508.

Thus intra option q learning has more updates for the Away and Close options which allows learning useful information before an option terminates and can be used for multiple options simultaneously as it is off policy.

Since the difference of Q values from both SMDP and intra options are small(negigible in many state action values), we could not observe considerable difference of Intra Option method over SMDPs. However, if we consider problems with bottlenecks such as the hallways discussed in the class, Intra option q learning would provide better results than SMDP as they help explore structure inside the options, whereas SMDPs have to wait till termination state is reached to perform an update

