

CSCC24: Principles of Programming Languages

Notes

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1 Thursday, January 11, 2017

The purpose of this course is to see the trade-offs between various features in programming languages. This course exists because different programming languages have different features, for example, Java has both class-based OOP and auto-garbage collection while C has neither, but C has union types that Java doesn't have. This means rewriting code into a different language isn't necessarily easy. There may be large semantic differences

1.1 The Root Cause of this Course

A guy named "John Backus" gave a lecture for the acceptance for the Turing Award in 1977. He addressed the question, "Can programming be liberated from the von Neumann style?"

Languages then had been only superficial enhancements to the CPU writing 1 word onto memory at a time i.e:

```
s := s + a[i]
```

Backus proposed a new direction for programming languages:

- Higher order functions that work on aggregates (a whole list, an array, a dictionary, etc...)
- Combining forms, for example, function composition ($g \circ f$)
- Reasoning by algebra, for example, the associative law for a function
- If you need a state, use coarse-grained state transitions rather than changing only one word at a time. (So passing an old state into a stateless function that does a lot and returns an answer and a new state.)

1.1.1 Higher-order Functions on Aggregates

Note that the notation to apply a function to several parameters is:
(Haskell)

```
f x y z
```

(Scheme:)

```
(f x y z)
```

So in Haskell:

```
fmap f [x0, x1, ...]
```

will compute

```
[f x0, f x1, ...]
```

And

```
fmap abs [3,-1,4]
```

Computes

```
[3,-1,4]
```

And

```
fold (+) 0 [3,1,4]
```

Computes

```
3+(1+(4+0))
```

Note 2 points:

- "on aggregates" means to work on a whole list at once (such as an array or some "container")
- "Higher-order functions" means that some parameters are functions, so different combinations makes the language more customizable.

Java and MATLAB have the former but lack the latter.

1.1.2 Combining Forms

An obvious example is function composition ($g \circ f$).

In Haskell, this is:

```
g . f
```

And in Racket (Scheme) this is:

```
compose g f
```

For example, the following code computes the 1-norm of your vector.

```
foldr (+) 0 . fmap abs
```

There are other combining forms. There is another example in Haskell.

```
(f &&& g) x = (f x, g x)
```

The point is that you can combine functions to perform compound tasks, and this type of language is not about shorter code (although it has that side effect), but about working with building blocks.

1.1.3 Example Topic: Evaluation Order

You can define your own logical "and" in Scheme

```
1 (define (my-and b c) (if b c #f))  
2 (my-and #f (list-ref '(#t #f #t) 10))
```

The second line fails in Scheme, but if typed in the Haskell version, succeeds.

In most languages, parameters are evaluated before passed into the bodies of functions. In Haskell however, parameters are passed as is. Because of this, in Haskell, many short circuiting operators and control constructs are user-definable, and therefore, very customizable.

1.1.4 Example Topic: Scheme Macros

Scheme offers a macro system for user-defined constructs:

```
1 (define-syntax-rule (my-and b c) (if b c #f))
```

Now if we run the following code, it succeeds.

```
1 (my-and #f (list-ref '(#t #f #t) 10))
```

The explanation for this is that this is a macro expansion in Scheme, so the parameters are copy-pasted into the macros. This means that there is a downside, for example:

```
1 (define-syntax-rule (double x) (+ x x))  
2 (double (* 3 4))
```

The second line spawns two copies of `(* 3 4)` and performs redundant work, while Haskell's version does not. The Upside is that Scheme's macro system offers other flexibilities not shown in this lecture.

1.1.5 Dynamic and Static Typing

In Scheme:

```
1 (if #f 0 (+ 0 "hello"))
2 (if #t 0 (+ 0 "hello"))
```

The first line fails but the second line succeeds. This is because Types are checked dynamically. When running the program, only the code that is actually run is checked.

In Haskell, the following line fails:

```
1 if True then 0 else 0 + "hello"
```

language=haskell

The reason for this is because types are checked statically, without running, over all the code. (If this code is compiled, then at compile time, if interpreted, then at load time, etc.) So the error of adding 0 to "hello".

Food for thought, Java is both compiled and interpreted.

1.1.6 Parametric Polymorphism

In Haskell, we define:

```
2 trio x = [x, x, x]
```

language=haskell

The inferred type is:

```
1 a -> [a]
```

This is analogous to Java's

```
1 <T> LinkedList<T> trio(<T> x)
```

language=Java

Note: That the following 2 lines are both legal if we have `trio` defined

```
1  trio 0
2  trio "hello"
```

”Parametric” means: Supposed you have defined d of type $a \mapsto [a]$, Then you would need one test to know what it does. Say we test $dTrue$ and the answer has length 2. Then we can deduce that dx returns $[x, x]$ for all x .

The basic explanation for this is that d cannot vary behaviour by types. Haskell allows type-determined behaviour, but the function type will look like:

```
1  Foo a => a -> [a]
```

language=Haskell

1.1.7 What is ”Powerful”? – The Tradeoff

”Macro systems, dynamic typing, ... are powerful.” This refers to the flexibility for the implementer or the original author.

”Static typing, parametric polymorphism... are powerful.” This refers to the predictability for the user or the maintainer.

Programming is a dialectic class struggle between the user and the implementer. Or between the maintainer and the original author.

2 Thursday, January 18, 2018

2.1 Racket

We won't be using just Scheme, we'll be using Racket which is a version of Scheme. Racket is a platform for implementing and using many languages, and Scheme is one of those that come out of the box.

Racket's version of scheme is somewhat different from the standards with regards to function names, and some features. We will cover Racket, but note that these examples and features may fail for standard Scheme.

2.2 Basic Data Types

```
1 #t, #f ;booleans
2 42 ;numbers, can be ints, rational, floats, complex
3 "hello" ; strings
4 #\h ; this is a char of just the letter h
5 'Chrome ;this is a symbol
```

Symbols Symbols are user-defined atomic values. You think of a name, put a single quote in front. Symbols are not strings, you can't perform string operations onto them.

2.3 Procedures and Functions

For example:

```
1 (sin (/ 0.2 2)) ; sine of 1 over 10
```

2.4 Boolean Operations

```
1 (not expr)
2 (and expr expr)
3 (or expr expr)
4 (or) ; gives #f
5 (and) ; gives #t
6 (boolean? expr) ; tests if you have a boolean
```

2.5 Number operations

```
1 +
2 -
3 *
4 /
5 max
6 min
7 -
8 <
9 >
10 <=
11 >=
12 ; these operations can all take multiple operands
```

```
1 number?
2 complex?
3 real?
4 rational?
5 ; these functions test if a number is a certain type
```

2.6 Equality

There are 3 types of equalities.

```
1 eq?
```

This one is Good for booleans and symbols, uses pointer inequality for aggregates like strings and lists, but has complicated rules for numbers.

```
1 eqv?
```

This one has complicated rules for numbers as well, and different from eq? as it treats the floating-points NaN and signed zero differently.

```
1 equal?
```

This one is for structural equality for most aggregates. So comparing contents of an aggregate.

2.7 Definitions

You can define functions and constants, recursion is allowed.

```
1 ; A constant
2 (define my-width/height (/ 4 3))
3 ; a function with 2 parameters
4 (define (my-log base x)
5   (/ (log x) (log base)))
```

2.8 Anonymous Functions

Basically a function without a name, you can either right lambda or use λ in your code.

Example of a function:

```
(lambda (base x) (/ (log x) (log base)))
```

Example of the same function being used

```
((lambda (base x) (/ (log x) (log base))) 128 2)
```

So in this case, base passed in as 128 and x as 2

2.9 Conditionals

If-then-else conditions look like the following

```
(if test then-expr else-expr)
```

Test can be non-boolean, and this will be treated as true.

Multiple conditions are as such:

```
(cond
  [(> x y) (sin x)]
  [(< x y) (cos y)]
  [else 0])
```

This is if $x > y$ then $\sin x$ else if $x < y$ then $\cos y$ else 0. Test results can be non-boolean, which are treated as true. You can obtain the result of a test to return it.

```
1 (cond
2   [(+ 4 2) => (lambda (x) (* x x))]
3   [else 0])
```

This gives 36.

2.9.1 and,or as conditionals

and evaluates all of its operands from left to right and stops as soon as `#f` operand is read, otherwise the last expression is the answer.

or evaluates all of its operands from left to right and stops as soon as a non `#f` operand is read, and that becomes the answer, otherwise the answer is `#f`

2.10 Local bindings

Local definitions for use in just one expression

```
1 (let ([x expr1]
2     [y expr2])
3     (+ x y (* 2 x y)))
```

This means to compute $x + y + 2xy$ where $x = expr1$ and $y = expr2$. These 2 expressions cannot see the others variables, only the global ones outside of their scope.

```
1 (let ([x 3])
2     (let ([x (* 3 3)]) ; (* 3 3)
3         x))
```

This results in 9 and is not recursive. `Let*` allows later bindings to see earlier bindings

```
1 (let* ([x 5]
2       [y (+ x 1)]) ; (+ 5 1)
3       (+ x y (* 2 x y)))
```

2.10.1 Recursive local bindings

letrec allows more recursive bindings

```
1 (letrec ([fac
2         (lambda (n)
3           (if (= n 0) 1 (* n (fac (- n 1)))))])
4   [even
5     (lambda (n)
6       (or (= n 0) (not (odd (- n 1)))))])
7   [odd
8     (lambda (n)
9       (not (even (- n 1)))]])
10  (even (fac 5)))
```

This returns whether or not factorial 5 is even. So true.

2.11 Recommended Code Layout

- Open parentheses then immediately first word
- Procedure definition: Body starts on new line, indented
- Long expression: Parts start on new lines, indented
- Closing parentheses not on new lines

3 Thursday, January 25, 2018

3.1 Pairs and Lists

A cons cell is a 2-tuple pair and has the following syntax:

```
1 cons(x y)
```

Essentially a pair of pointers. Has special support for lists.

```
1 '() ; an empty list
2 (list x y z) = (cons x (cons y (cons z '())))
3 '(42 "hi" Chrome)
4 ; Chrome here will be the symbol 'Chrome
```

For a cons cell, you can use car to access the first field and cdr to access the second field.

3.2 User-Defined Records

```
1 (struct dim (width height))
```

This creates a new record type with 2 fields

```
1 (dim 4 7) ; this constructs a value of this type
2 dim? ; this tests for this type
3 dim-width
4 dim-height
5 ; these are the field accessors
```

We can also use struct-copy to clone a record while replacing some values:

```
1 (define d1 (dim 4 7))
2 (define d2 (struct-copy dim d1 [width 5]))
3 ; d2 is (dim 5 7)
```

3.3 Pattern Matching

You can test for a literal, cons cell, or a record type, can get their content as well.

```
1 (struct dim (width height))
2
3 (define (foo x)
4   (match x
5     ['() 'nada]
6     [(cons b _) b]
7     [(dim w h) (* w h)]))
8 (foo '()) ; returns 'nada
9 (foo '(1 2 3)) ; returns 1
10 (foo (dim 4 7)) ; returns 28
```

3.4 Input and Output

We can print with display, printf and displayln

```
1 (display 5)
2 (newline)
3 (displayln 5)
4 (printf "yes" "price" 5)
```

```
1 (read-line) ; this reads a line
2 (read-string 10) ; this reads up to the upper bound.
3 ;if it reaches the end of the file, it returns eof, which
   you can use eq? or eof-object? to test
4 ; for stderr, eprintf is like printf but goes to stderr
```

3.4.1 ports

Racket has ports, analogous to Java Reader/Writer – behind it can be file, string, network connection, message queue, user-defined, etc.

3.5 Sequencing

If we want to evaluate multiple expressions in the order we specify

```
1 (begin
2   (display ln "Please enter your name")
3   (read-line)) ; this returns the last expression
4
5 (begin0 expr1 expr2) ; this returns the first expression,
   but the others are still evaluated.
6
7 (when (> x 0) expr1 expr2 ...)
8 ; if true, evaluates the expressions, returns what the
   last one returns, if false, returns #<void>
```

3.6 Mutable Variables

```
1 (define v 5)
2 (define (f x) (+ x v))
3 (f 0) ; this gives 5
4 (set! v 6)
```

```
(f 0) ; this gives 6
```

Mutable pairs, lists, strings, arrays, etc. are also available. Use mutation judiciously, is not that necessary.

3.7 map

Takes in a function and a list and applies the function to every element in that list

```
(map f (list x y z)) = (list (f x) (f y) (f z))
```

3.8 filter

filter takes in a boolean function and a list (A) and returns a list of the items in the list A that satisfy the boolean function

```
(filter number? '(9 "4" 0 "1" "6" 5)) = '(9 0 5)
```

4 Thursday, February 1, 2018

4.1 Scheme (cont'd)

4.1.1 foldl

Consider the problem of summing an entire list and multiplying an entire list. Summing requires us to add up all the elements of the list plus 0 for the first item. Multiplying requires us to multiply up all the elements of the list times 1 for the first item. This is the motivation.

So we define foldl as

```
1 (define (foldl binop a lst)
2   (match lst
3     [?() a]
4     [(cons hd tl) (foldl binop (binop a hd) tl )]))
```

So Intuitively,

```
1 (foldl binop a (list x y z))
```

Looks like

$$(((a + x) + y) + z)$$

where $+$ is where binop is being performed

4.1.2 foldr

Basically in the opposite direction of foldl, so if

```
1 (foldl binop a (list x y z))
```

Looks like

$$(z + (y + (x + a)))$$

where $+$ is where binop is being performed, then

```
1 (foldr binop a (list x y z))
```

Looks like

$$(((a + x) + y) + z)$$

where $+$ is where binop is being performed, then

4.1.3 Procedure-Call Stack

Consider the following:

```
1 (define (f n) (... (f (- n 1)) ...))
2 (displayln (+ (f 4) (f 1) (f 6)))
```

The Control-flow jumps to into f when it's called and later knows where to return to after the recursive calls. This is done because a stack is used to remember where to return to in recursion, called a **Call Stack**. The Benefit of this is that it supports recursion, but it comes at a price of occupying $\theta(1)$ space while the stack is being used.

4.1.4 Non-Tail Calls and Tail Calls

Non-Tail Calls, are if you still have to do your own processing or computation after getting the results from another function, so basically the results are not returned right away.

For example, for the following function:

```
1 (define (my -sum lst)
2   (match lst [?() 0])
```

```
[(cons hd tl) (+ hd (my -sum tl )))]))
```

Takes $\theta(n)$ space if the list length is n .

A Tail call is the exact opposite, there is no computation after getting the results back from a called function and the function returns the value right away. The complexity of this is $O(1)$ under Tail-Calling optimization in Scheme.

Tail-Calling optimization isn't in every language, Java and Python don't have this.

4.2 Haskell

4.2.1 Expressions and Types

Characters chars are denoted with single quotes

Tuples Not the same as cons

`()` is a special type, called the unit type, used as a return value for functions that don't return anything

Lists Lists are implemented as such:

```
3 : ( 1 : (4 : [])) = [3,1,4]
```

So a list of length one is an item with an empty list and the colon inbetween separates the items

```
[1] = 1 : []
```

Note that the following list has a type `[[integer]]`

```
[[3,1,4], [10,20], []]
```

So as for now, arbitrary list nesting is not supported, so basically

```
[1, [3]]
```

is not supported (yet).

Note that because of static typing, every item must be the same type, we can't mix integers with floats in the same list.

Strings are a list of chars. The downside of this is that it uses a huge amount of memory, as it's stored as a linked list, and each node and pointer takes up a linear amount of space.

Keyword: Just If you type

```
Just 'C'
```

The variable is either Nothing or Char

Nothing Is the empty type, can be any type

"Left 'C'" Can either be of type Char Bool, Char Int, ... all we know that the left variable is a character.

"Right False" Can either be of type Char bool, Int bool, ... all we know that the right variable is a boolean

anonymous functions Ex.

```
\x -> x >= 'C'
```

Has the type Char -> Bool with char as the domain and bool as the codomain

4.2.2 Definitions

We can define expressions to variables and vice versa, for example, in the following, we are defining "ten" to be "1+2+3+4" and binding "1+2+3+4" to "ten":

```
1 ten = 1 + 2 + 3 + 4
```

There is also pattern binding, with tuples, but that will be shown later

Functions can also be defined:

```
1 square x = x * x
2 nand a b = not (a && b)
```

We can also define type signatures for the definitions as such

```
1 ten, four :: Integer
```

But Haskell is written such that the type signature can be separated from the definition, so you don't have to put them in the same few lines, they just have to be in the same file.

4.2.3 Function Applications

If you insert one parameter to a function that takes 2 parameters, that function will return a function of 1 parameter. This is how Haskell does multiple parameters

5 Thursday, February 8, 2018

5.1 Haskell (cont'd)

5.1.1 Local Definitions For Expressions

```
1  let x = 4 + 5
2      y = 4 - 5
3  in x+y+2*x*y
```

Layout Version above. Braced Version below

5.1.2 Local Definitions For Definitions

```
1  foo u v = x + y + 2*x*y
2      where -- this where refers to the statement in line 1
3            x = u + v
4            y = u - v
```

5.1.3 Pattern Matching

Can be done for expressions or function definitions as well as pattern binding.

```
1  -- expression case
2  case expr of
3      [] -> 0
4      42 : xs -> foo xs
5      x : xs -> x + foo xs
```

In this example, the expression *expr* would evaluate to 0 if it was an empty list, have *foo* applied to its tail if it started with 42 as its first index and *x* plus *foo* applied to its tail for any other list.

```
1 -- function definition case examples
2
3 mySum [] = 0
4 mySum (x : xs) = x + mySum xs
5
6 nand False _ = True
7 nand True False = True
8 nand True True = False
```

```
1 -- pattern binding case
2 [a, b, c] = take 3 someList
3 -- a = take
4 -- b = 3
5 -- c = someList
```

5.1.4 Guards

Guards are extra conditions imposed on patterns.

```
1 -- expression case
2 case expr of
3     [] -> 0
4     x : xs | x < 0 -> x + foo xs
5             | x > 2 -> x - foo xs
6             | True -> x * foo xs
7
8 -- definition case
9 foo [] = 0
10 foo (x : xs) | x < 0 -> x + foo xs
11               | x > 2 -> x - foo xs
12               | True -> x * foo xs
```

Instead of True for the edge case, can also use "otherwise".

5.1.5 Local Definitions under Patterns and Guards

```
1 foo :: Either String Integer -> Integer
2 foo (Left str) | suffix > "albert" = 42
3                 | otherwise = 24
4                 where
5                     suffix = drop 10 str
6
7 foo (Right x) | x > 0 = 2*y
8                 | x < 0 = y
9                 | otherwise = 0
10                where
11                    y = div 1000 x
```

Note that the first where belongs to the foo where the input is a Left str, the second where belongs to the foo where the input is a Right integer and if $x = 0$, then y will not be calculated.

5.1.6 List Comprehension

```
1 [x + y | x <- [10,20,30], x > 10, y <- [4,5] ]
2 -- this results in
3 --[20+4, 20+5, 30+4, 30+5]
```

We can use pattern matching as well

```
1 [x+3 | Just x <= [Just 10, Nothing, Just 30]]
2 -- this results in
3 -- [10+3, 30+3]
```

There is also a range notation we can use:

```
1 [1...5] -- this is the same as [1,2,3,4,5]
```

5.1.7 Algebraic Data Types

```
1 data MyType = Nada | Duplet Double String | Uno Integer
```

Nada, Duplet, Uno are data constructors. They must start with uppercase letters. They form expressions and patterns.

The following is an example function that takes in "MyType":

```
1 plus1 :: MyType -> MyType
2 plus1 Nada = Nada
3 plus1 (Duplet r s) = Duplet (r+1) s
4 plus1 (Uno i) = Uno (i+1)
```

List, unit, tuple, Maybe, and either are algebraic data types from the standard library.

Recursive definitions are ok too, like the following:

```
1 data Stack = Button | Push Int Stack
```

5.1.8 Parametric Polymorphism

consider the following function type contract

```
1 map :: (a -> b) -> [a] -> [b]
```

Here both a and b are type variables. They start with lowercase letters (actual data types are capitalized, like Bool). The user chooses what types to use for a and b, and the implementer cannot choose what type of a and b, and must let their function work for all types of a and b.

Algebraic data types can be parameterized by type variables too, for example:

```
1 data Either a b = Left a | Right b
2 -- We can generalize the previous stack example to
3 data Stack a = Bottom | Push a (Stack a)
```

5.1.9 Type-Class Polymorphism

We notice that comparison functions like

```
1 (==)
2 (<)
```

cannot use completely general type polymorphism, they must take in items as input that are two of the same type of class that can be compared.

So how does Haskell pull these off?

Haskell uses a **type class** that declares overloaded operations. So the example from before:

```
1 (==)
2 (<)
```

must take in two inputs, let's call them a and b , where they are both of type $Eq\ a$, which is a class that lets the two inputs be compared.

However, note that classes are not the same as types. Eq is not a type, $Bool$ is not a subclass.

So if we were to type this out:

```
1  (==) :: Eq a => a -> a -> Bool
2  - "Eq a" is a "class constraint"
```

In this example, the user chooses what type to use for a , but that chosen type must be an instance of Eq .

Note that constraints propagate down the dependency chain:

```
1  (==) :: Eq a => a -> a -> Bool
2
3  eq3 :: Eq a => a -> a -> a -> Bool
4  eq3 x y z = x==y && y==z
```

6 Thursday, February 15, 2018

6.1 Haskell (cont'd)

6.1.1 Constraint Instances

What if you are comparing instances inside of a data structure (let's say, a list for example)?

Well we can do this:

```
1 instance Eq a => Eq [a] where
2     [] == []      = True
3     (x:xs) == (y:ys) = x==y && xs == ys
4     _ == _        = False
```

Constraints propagate down the dependency chain, including other instance implementations

6.1.2 User-Defined Class

```
1 class ADT a where
2     tag :: a -> String
3
4 instance ADT (Either a b) where
5     tag (Left _) = "Left"
6     tag (Right _) = "Right"
7
8 instance ADT MyType where
9     tag Nada = "Nada"
10    tag (Duplet _ _) = "Duplet"
11    tag (Uno _) = "Uno"
```

Classes in Haskell are similar to Java's Interfaces in the sense that you implement the classes' functions for each instance as well.


```
1 class Eq a => Ord a where
2     (<), (<=), (>), (>=) :: a -> a -> Bool
3     compare :: a -> a -> Ordering
4 data Ordering = LT | EQ | GT
5
6 -- The "Eq a =>" here means that
7 -- Every Ord instance is also an EQ instance
8 -- (Superclass, subclass)
```

For implementers of type and instances, these superclasses must be specified, but for users, they can use Ord without mentioning Eq.

6.1.3 Auto-Generating Instance Implementations

The compiler is willing to write some instance code for you, for select standard classes: (Eq, Ord, Enum (but no fields allowed), Show, and a few others.

```
1 data MyType = Nada | Duplet Double String | Uno Integer
2     deriving (Eq, Ord, Show)
3 data Browser = FireFox | Chrome | Edge | Safari
4     deriving (Eq, Ord, Show)
```

6.1.4 Haskell's Number System

You can't use doubles and integers together for number operators, you have to convert one so that they are the same (Can use fromIntegral to convert an integer into a double).

6.1.5 Functor

```
1 fmap_List :: (a -> b) -> [a] -> [b]
2 fmap_List = map
3
```

```

4 fmap_Maybe :: (a -> b) -> Maybe a -> Maybe b
5 fmap_Maybe f Nothing = Nothing
6 fmap_Maybe f (Just a) = Just (f a)
7
8 fmap_Either :: (a->b) -> Either e a -> Either e b
9 fmap_Either f (Left e) = Left e
10 fmap_Either f (Right a) = Right (f a)

```

The pattern here is that there is a $f : a \mapsto b$ induces a corresponding $Fa \mapsto Fb$ where F is a parameterized type. There is a class for that.

```

1 class Functor f where
2   fmap :: (a->b) -> f a -> f b

```

So this function generalizes the previous examples above.

Every instance of Functor should satisfy:

```

1 fmap id xs = xs
2 fmap g (fmap f xs) = fmap (g . f) xs

```

fmap also has an infix alias of `<$>`, for example:

```

1 sin <$> [1,2,3]

```

6.1.6 Applicatives

You now become ambitious. You ask: What if you have a binary operator, and two lists, ...

```

1 listCross :: (a -> b -> c) -> [a] -> [b] -> [c]
2

```

```

3 maybeBoth :: (a -> b -> c) -> Maybe a -> Maybe b -> Maybe c
4 maybeBoth op (Just a) (Just b) = Just (op a b)
5 maybeBoth op _ _ = Nothing

```

And what if you have a ternary operator and three lists?

Can you implement

```

1 ap_List :: [a -> b] -> [a] -> [b]

```

such that, for example:

```

1 ap_List [f,g] [1,2,3] = [f 1, f 2, f 3, g 1, g 2, g 3]

```

Answer:

```

1 ap_List = ListCross (\f -> \x -> f x)

```

Equivalently listCross (\$)

Now can implement ternary too:

```

1 listTernary :: (a->b->c->d)->[a]->[b]->[c]->[d]
2 listTernary ternary as bs cs =
3   ((ternary <$> as) 'ap_List' bs) 'ap_List' cs

```

There is a class for this too.

```

1 class Functor f => Applicative f where
2   pure :: a -> f a
3   (<*>) :: f (a -> b) -> f a -> f b

```

```
4
5 -- example instance
6 instance Applicative Maybe where
7   pure a = Just a
8   Just f <*> Just a = Just (f a)
9   _ <*> _ = Nothing
```

Applicative subsumes Functor, so we can implement fmap as

```
1 fmap f xs = pure f <*> xs
```

7 Thursday, March 1, 2018

7.1 Haskell (cont'd)

7.1.1 Monads

So far we have been thinking of List, Maybe, Either,... as data structures (ex. containers). Now we must think of them as programs:

- `foo :: Maybe Int` means: a program that may return a number successfully, or may abort
- `foo :: [Int]` means: a non-deterministic program that returns different numbers in different parallel universes.
- `foo :: Either String Int` means: like Maybe, but if it aborts, it uses Left to tell you an error message

Now we re-read Functor and Applicative from this angle

```
1 fmap abs foo
2 -- this now means to return the absolute value
3 -- of what foo returns
4
5 (+) <$> foo <*> bar
6 -- this now means to return the sum of what
7 -- foo and bar returns
```

But bar does not know what foo returns, or vice versa.

You now become ambitious. Can you combine two programs such that the return value(s) of the 1st is fed to the 2nd so the 2nd can behave independently? Like such:

```
1 bind :: F a -> (a -> F b) -> F b
2 -- so we can have
3 -- prog1st 'bind' prog2nd?
```

We can think of prog2nd as a callback to prog1st.

Other examples would look like the following:

```
1 -- bind for Maybe
2
3 bind_Maybe :: Maybe a -> (a -> Maybe b) -> Maybe b
4 bind_Maybe Nothing _ = Nothing
5 bind_Maybe (Just a) k = k a
6
7 -- bind for List
8
9 bind_List :: [a] -> (a -> [b]) -> [b]
10 bind_List [] _ = []
11 bind_List (a:as) k = k a ++ bind_List as k
```

There is a class for that too.

```
1 class Applicative f => Monad f where
2     return :: a -> f a
3     (>>=) :: f a -> (a -> f b) -> f b
4
5 -- example instance
6
7 instance Monad [] where
8     return a = [a]
9     as >>= k = concat (map k as)
```

Remark: return and pure should be the same thing. Historically, Monad came first, Applicative came later, thus the redundancy. There is a proposed change to make return an alias of pure.

Monad subsumes Applicative: Can implement ($\langle * \rangle$) as

```
1 fs <*> as = fs >>=
2           \f -> as >>=
```

```
3 \a -> return (f a)
```

There are equations for Monad too, such as:

```
1 return a >>= k = k a
```

There is "do-notation" so code looks nicer and the computer emits

```
1 >>= \v ->
```

for you:

```
1 fs <*> as = do
2   f <- fs
3   a <- as
4   return (f a)
```

7.1.2 Haskell I/O System

Parametrized type "IO" for all "I/O" commands. Instance of Monad, Applicative, Functor.

```
1 foo :: IO Char
2 -- this means a program that interacts with the outside
   world, then returns a character (or gets stuck forever, or
   throws an exception).
3
4 putStrLn :: String IO ()
5 getLine  :: IO String
6 -- NOT: getLine :: String
```

Do not think about how to extract the string. Use (`>>=`) to feed it to the next program (callback).

```
1 main = getLine >>= \s -> putStrLn("It's " ++ s)
2 -- OR
3 main = do
4     s <- getLine
5     putStrLn ("It's " ++ s)
```

7.2 Syntax

7.2.1 Context-Free Grammar (CFG)

A context-free grammar looks like this bunch of rules:

$$E \rightarrow E + E$$

$$M \rightarrow M \times M$$

$$A \rightarrow 0$$

$$A \rightarrow (E)$$

$$E \rightarrow M$$

$$M \rightarrow A$$

$$A \rightarrow 1$$

Main idea:

- E, M, A are non-terminal symbols aka variables. When you see them, you apply rules to expand
- +, ×, 1, 0, (,) are terminal symbols. They are the characters you want in your language

7.2.2 Derivation (aka Generation)

Derivation is a finite sequence of applying the rules until all non-terminal symbols are gone. Often aim for a specific final string.

$$\begin{aligned} E &\rightarrow M \\ &\rightarrow M \times M \\ &\rightarrow A \times M \\ &\rightarrow 1 \times M \\ &\rightarrow 1 \times A \\ &\rightarrow 1 \times (E) \\ &\rightarrow 1 \times (E + E) \\ &\rightarrow 1 \times (M + E) \\ &\rightarrow 1 \times (A + E) \\ &\rightarrow 1 \times (0 + E) \\ &\rightarrow 1 \times (0 + M) \\ &\rightarrow 1 \times (0 + M \times M) \\ &\rightarrow 1 \times (0 + A \times M) \\ &\rightarrow 1 \times (0 + 1 \times M) \\ &\rightarrow 1 \times (0 + 1 \times A) \\ &\rightarrow 1 \times (0 + 1 \times 1) \end{aligned}$$

Context-free grammars can support: matching parentheses, unlimited nesting.

7.2.3 Backus-Naur Form (BNF)

Backus-Naur Form is a computerized, practical notation for CFG

- Surround non-terminal symbols by $\langle \rangle$; allow multi-letter names
- Merge rules with the same LHS
- (Some versions.) Surround terminal strings by single or double quotes.

- use $::=$ for \rightarrow

Our example grammar in BNF:

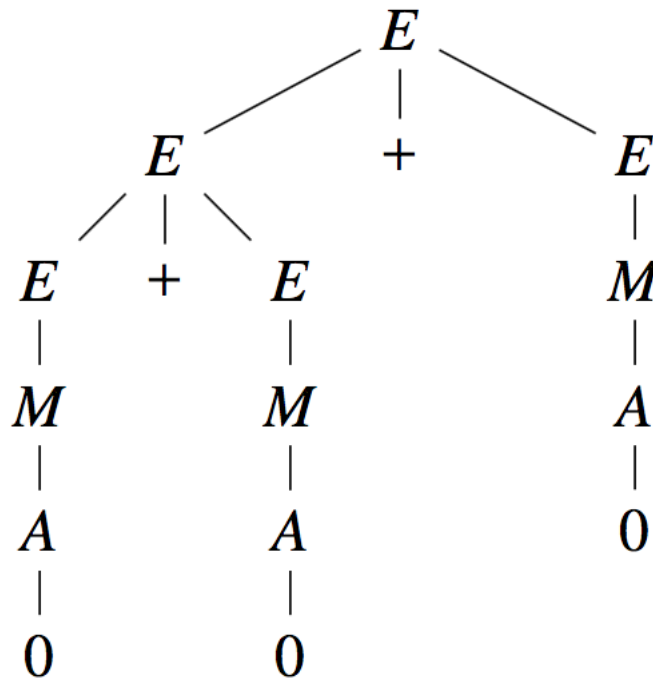
```

1 <expr> ::= <expr> "+" <expr> | <mul>
2 <mul>  ::= <mul> "*" <mul> | <atom>
3 <atom> ::= "0" | "1" | "(" <expr> ")"

```

7.2.4 Parse Tree (aka Derivation Tree)

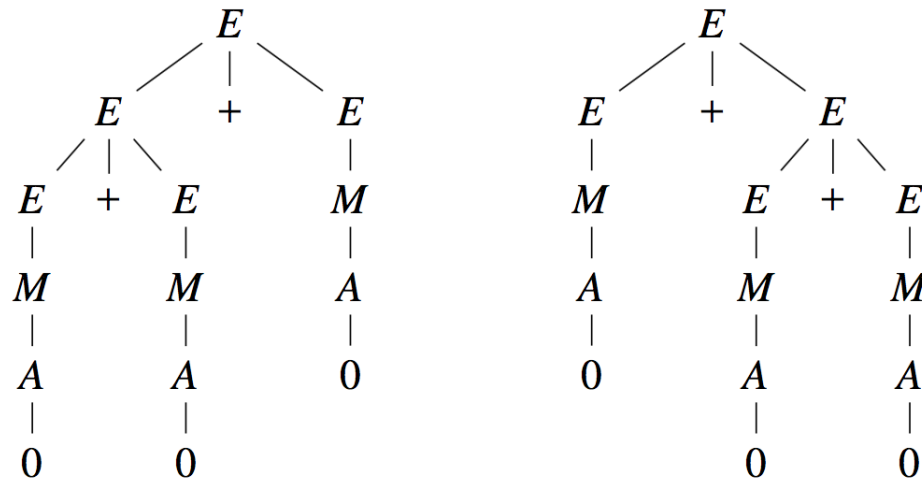
A parse tree aka derivation tree presents a derivation with more structure (tree), less repetition.



This example generates $0 + 0 + 0$

7.2.5 Ambiguous Grammar

Two different trees generate the same $0 + 0 + 0$



If this happens, the grammar is ambiguous.

We try to design unambiguous grammars.

(Bad news: CFG ambiguity is undecidable)

7.2.6 Unambiguous Grammar Example

An unambiguous grammar that generates the same language as our ambiguous grammar example:

```

1 <expr> ::= <expr> "+" <expr> | <mul>
2 <mul>  ::= <mul> "*" <mul> | <atom>
3 <atom> ::= "0" | "1" | "(" <expr> ")"
  
```

(Bad news: Equivalence of two CFGs is also undecidable)

7.2.7 Left Recursive vs Right Recursive

```
<expr> ::= <expr> "+" <mul>
```

This is an example of a left recursive rule. The recursion is at the beginning (left).

```
<expr> ::= <mul> "+" <expr>
```

This is an example of a right recursive rule. The recursion is at the end (right).

They affect whether infix operators associate to the left or right.

They also affect some parsing algorithms.

7.2.8 Recursive Descent Parsing

Recursive descent parsing is a simple strategy for writing a parser.

- Write a procedure for each rule
- Non-terminals on Right Hand Side become procedure calls, possible recursive calls. (Thus "recursive descent". Also "top-down".) (Left-recursive grammars need special treatment.)
- Terminal symbols: Consume input and check
- Alternatives require lookahead and/or backtracking

7.2.9 Recursive Descent Parser Example

Example grammar suitable for recursive descent parsing:

```
1 <sub> ::= <atom> "-" <sub> | <atom>
2 <atom> ::= "0" | "1" | "(" <sub> ")"
```

Pseudo-code of recursive descent parser:

```
1 sub:
2   try (atom
3     read; if not "-" then fail
4     sub)
5   if that failed: atom
6
7 atom:
8   read;
9   if "1" or "0": return
10  if "(" : sub
11    read; if not ")" then fail
12  else: fail
```

8 Thursday, March 8, 2018

The lectures after this, Albert no longer provides lecture slides, but instead, decides to do everything in haskell files, so I will just be posting the lecture slides here and an explanation.

8.1 ParserLib.hs

Albert provided this really long document called "Pearl.pdf" that explained the concept of parsing, and parsers are essentially built from this one basic parser that "consumes" one character of a string and returns a result based off that input (so think of a parser consuming the c of a $(c : cs)$ string, and returning some result based off that input with the rest of the unparsed string (cs)).

```
3 -- | Library of parser definition and operations.
4 module ParserLib where
5
6 import Control.Applicative
7 import Data.Char
```

```
8 import Data.Functor
9 import Data.List
10
11 newtype Parser a = PsrOf{
12     -- | Function from input string to:
13     --
14     -- * Nothing, if failure (syntax error);
15     -- * Just (unconsumed input, answer), if success.
16     dePsr :: String -> Maybe (String, a)}
17
18 -- Monadic Parsing in Haskell uses [] instead of Maybe to
19 -- support ambiguous
20 -- grammars and multiple answers.
21
22 -- | Use a parser on an input string.
23 runParser :: Parser a -> String -> Maybe a
24 runParser (PsrOf p) inp = case p inp of
25     Nothing -> Nothing
26     Just (_, a) -> Just a
27     -- OR: fmap \(_,a) -> a) (p inp)
28
29 -- | Read a character and return. Failure if input is empty.
30 anyChar :: Parser Char
31 anyChar = PsrOf p
32     where
33         p "" = Nothing
34         p (c:cs) = Just (cs, c)
35
36 -- | Read a character and check against the given character.
37 char :: Char -> Parser Char
38 char wanted = PsrOf p
39     where
40         p (c:cs) | c == wanted = Just (cs, c)
41         p _ = Nothing
42 char wanted = satisfy (\c -> c == wanted) -- (== wanted)
43
44 -- | Read a character and check against the given predicate.
45 satisfy :: (Char -> Bool) -> Parser Char
```

```
45 satisfy pred = PsrOf p
46   where
47     p (c:cs) | pred c = Just (cs, c)
48     p _ = Nothing
49
50 -- | Expect the input to be empty.
51 eof :: Parser ()
52 eof = PsrOf p
53   where
54     p "" = Just ("", ())
55     p _ = Nothing
56
57
58 -- | Read and check against a given string.
59 string :: String -> Parser String
60 string wanted = PsrOf p
61   where
62     p inp = case stripPrefix wanted inp of
63               Nothing -> Nothing
64               Just suffix -> Just (suffix, wanted)
65               -- Refactor this!
66
67 -- But you have to compose smaller parsers to build larger
68 -- parsers and to return
69 -- more interesting answers, e.g., abstract syntax trees.
70 --
71 -- This is what fmap, pure, <*>, >=> are for. And there are
72 -- more...
73
74 instance Functor Parser where
75   -- fmap :: (a -> b) -> Parser a -> Parser b
76   fmap f (PsrOf p) = PsrOf q
77     where
78       q inp = case p inp of
79                 Nothing -> Nothing
80                 Just (rest, a) -> Just (rest, f a)
```

```

80         -- fmap (\(rest, a) -> (rest, f a)) (p inp)
81
82 instance Applicative Parser where
83     -- pure :: a -> Parser a
84     pure a = PsrOf (\inp -> Just (inp, a))
85     -- (<*>) :: Parser (a -> b) -> Parser a -> Parser b
86     -- Consider the 1st parser to be stage 1, 2nd parser stage
87     2.
88     PsrOf p1 <*> PsrOf p2 = PsrOf q
89     where
90         q inp = case p1 inp of
91             Nothing -> Nothing
92             Just (middle, f) ->
93                 case p2 middle of
94                     Nothing -> Nothing
95                     Just (rest, a) -> Just (rest, f a)
96                 -- dePsr (fmap f (PsrOf p2)) middle
97
98 instance Alternative Parser where
99     -- empty :: Parser a
100    -- Always fail. The identity for <|> below.
101    empty = PsrOf (\_ -> Nothing)
102    -- (<|>) :: Parser a -> Parser a -> Parser a
103    -- Try the 1st one. If success, done; if failure, do the
104    2nd one
105    PsrOf p1 <|> PsrOf p2 = PsrOf q
106    where
107        q inp = case p1 inp of
108            j@(Just _) -> j
109            Nothing -> p2 inp
110    -- many :: Parser a -> Parser [a]
111    -- 0 or more times, maximum munch, collect the answers
112    into a list.
113    -- Can use default implementation.
114
115    -- some :: Parser a -> Parser [a]
116    -- 1 or more times, maximum munch, collect the answers
117    into a list.

```



```
114 -- Can use default implementation.
115
116 instance Monad Parser where
117     return = pure
118     PsrOf p1 >=> k = PsrOf q
119     where
120         q inp = case p1 inp of
121             Nothing -> Nothing
122             Just (rest, a) -> dePsr (k a) rest
123
124 -- | Space or newline or tab.
125 whitespace :: Parser Char
126 whitespace = satisfy (\c -> c `elem` ['\t', '\n', ' '])
127
128 -- | Consume zero or more whitespaces, maximum munch.
129 whitespaces :: Parser String
130 whitespaces = many whitespace
131
132 -- | Read and check a terminal string, then skip trailing
    spaces.
133 terminal :: String -> Parser String
134 terminal wanted = string wanted <*> whitespaces
135
136 -- | Read an integer, then skip trailing spaces.
137 integer :: Parser Integer
138 integer = sign <*> (read <$> some (satisfy isDigit)) <*>
    whitespaces
139 where
140     sign = (char '-' *> pure negate) <|> pure id
141
142 -- | Read an identifier, then skip trailing spaces. Disallow
    certain keywords.
143 identifier :: [String] -> Parser String
144 identifier keywords = do
145     c <- satisfy isAlpha
146     cs <- many (satisfy isAlphaNum)
147     whitespaces
148     let str = c:cs
```

```

149     if str 'elem' keywords then empty else return str
150
151 -- | One or more operands separated by an operator. Apply the
152   operator(s) in a
153 -- left-associative way.
154 chainl1 :: Parser a -- ^ operand parser
155         -> Parser (a -> a -> a) -- ^ operator parser
156         -> Parser a -- ^ evaluated answer
157 chainl1 arg op = do
158     a <- arg
159     more a
160 where
161     more x = do
162         f <- op
163         y <- arg
164         more (f x y)
165     <|>
166     return x
167
168 -- | One or more operands separated by an operator. Apply the
169   operator(s) in a
170 -- right-associative way.
171 chainr1 :: Parser a -- ^ operand parser
172         -> Parser (a -> a -> a) -- ^ operator parser
173         -> Parser a -- ^ evaluated answer
174 chainr1 arg op = do
175     x <- arg
176     ((\f y -> f x y) <$> op <*> chainr1 arg op) <|> return x
177
178 -- | Parse a thing that is wrapped between open and close
179   brackets.
180 between :: Parser open -- ^ open bracket parser
181         -> Parser close -- ^ close bracket parser
182         -> Parser a -- ^ thing parser
183         -> Parser a -- ^ return the thing parsed
184 between open close p = open *> p <*> close

```

language=haskell

8.1.1 Parser Implementation

So how he sets up Parsers here is that every parser returns a Maybe containing a string of the unconsumed input with *a* being the result, and if it fails at any point, it returns Nothing. So Parsers are essentially "eating" a string, performing some functions (maybe, success or fail) and then returning the value in a way that it can be further parsed if necessary, or just Nothing if it fails along the way. It's built as a Monad so you can keep applying Parsers to a string easily, and this makes it easier to check for failure.

8.1.2 anyChar

the anyChar function here is a great example here, the Parser consumes one char of the string, and if eats nothing (no more string left to parse), it fails, otherwise, it succeeds and formats the output accordingly

8.1.3 satisfy and char

For char, it uses satisfy which uses a function that if the predicate holds true, then the Parser succeeds and returns what the Parser ate, otherwise, the Parser fails. So char specifically uses a lambda function that checks if it is the argument "wanted".

8.1.4 eof

This one succeeds if the Parser is called on an empty string (nothing left to eat).

8.1.5 empty, many, and some

Just like what the comments say, the empty Parser fails for any input, the many parser runs a parser as many times as it will succeed and populate a list with the results, and will return the list on the first failure, and the some parser does the exact same thing, but there must be at least one success, while the many parser allows for 0 successes.

8.1.6 whitespace, terminal, integer, identifier

whitespace just has the Parser eat one whitespace character, whitespaces eats whitespace characters with the many parser.

terminal eats all of the whitespaces after a string parser, and identifier eats a string and succeeds if the string is not in the list of provided strings, fails otherwise.

8.1.7 chainl1, chainr1

chainl1 recursively calls the "more" function if it can find more operations and arguments. It coming from the left means that it evaluates it from the left side first (so like this: $((1 + 2) + 3) + 4 + 5$).

chainr1 does the exact same thing but on the right side this time $5 + (4 + (3 + (1 + 2)))$.

8.1.8 between

between basically runs its open parser first, then runs the p parser, which result is returned, and then runs the close parser.

9 Thursday, March 15, 2018

9.1 Num.hs

```
182 module Num where
183
184 import ParserLib
185 import Data.Char
186 import Control.Applicative
187
188 data Expr = N Integer
189           | Plus Expr Expr
190           deriving (Eq, Show)
191
192 interp :: Expr -> Integer
193 interp (N i) = i
194 interp (Plus e1 e2) = interp e1 + interp e2
195
196
197 -- expr ::= { integer "+" } integer
198
199 -- Left associative below:
200 -- E.g., 1+2+3 means (1+2)+3
201
202 exprParserL :: Parser Expr
203 exprParserL = do
204   i <- integer
205   more (N i)
206 where
207   more a = do
208     char '+'
209     j <- integer
210     more (Plus a (N j))
211   <|>
212   return a
213
214 exprParserL2 :: Parser Expr
```

```
215 exprParserL2 = chainl1 (fmap N integer) op
216 where
217   op = do char '+'
218         return Plus
219
220 -- Right associative below:
221 -- E.g., 1+2+3 means 1+(2+3)
222
223 exprParser :: Parser Expr
224 exprParser = do
225   i <- integer
226   (eof *> return (N i)) <|>
227   (do char '+'
228       j <- exprParser
229       return (Plus (N i) j))
230
231 exprParser2 :: Parser Expr
232 exprParser2 = chainr1 (fmap N integer) op
233 where
234   op = do
235     char '+'
236     return Plus
```

language=haskell

9.1.1 Purpose

So now that we've done Parsers, we ended up doing an assignment that was about making parsers that turn strings into things like integers and functions, but all in the same format it was given in as a string. Num.hs is essentially about of that into something that actually makes sense.

In this file, we're gonna just be focusing on parsing expressions which are either a Plus of 2 expressions or an integer. We have an interpreter that takes in an integer that represents the number i and just return i and for the Plus case, we'll interpret the two expressions (which will be integers once interpreted), and then we'll return their sum.

9.1.2 Plus function parsers from strings "exprParserL" and "exprParserL2"

If you're reading this and you haven't done the assignment, he also gives an explanation on how to implement a Parser that converts a string into an expression. One implementation that looks like the implementation of `chainl1` in `ParserLib.hs` (because that's essentially what it's trying to do), and the other one utilizes the fact that the "Expr" constructors are also functions. For example, "N" is a function that given an integer "i" returns the data type "(N i)" and "Plus" is a function that given two expressions "a" and "b" will return the data type "(Plus a b)".

9.1.3 expression Parsing from strings

And for "exprParser", it is essentially a Parser for turning strings into our expression type. So $a < | > b$ is the notation for "if Parser a works, return a, otherwise do Parser b" so the two cases are our integer parser that

1. If the string is just an integer "i" (we check this by running eof so that there is no unprocessed input), we return the type "(N i)".
2. If that case does not work or only the integer gets parser'd but the eof fails because there's more stuff, our second case looks for a "+" character and recursively calls itself which would return an integer, it then takes that integer and returns "(Plus (N i) j)". Note that the "i" here comes from the previous case in which eof fails.

9.2 NumVar.hs

```

237 module NumVar where
238
239 import           Data.Map (Map)
240 import qualified Data.Map as Map
241 import           Data.Maybe (fromJust)
242
243 data Expr = N Integer
244           | Var String
245           | Plus Expr Expr
246   deriving (Eq, Show)
247
248 interp :: Map String Integer -> Expr -> Integer
249 interp env (N i) = i
250 interp env (Plus e1 e2) = interp env e1 + interp env e2
251 interp env (Var v) = fromJust (Map.lookup v env)
252
253 example = interp (Map.fromList [("x", 5), ("y", 4)])
254           (Plus (N 1) (Plus (Var "x") (Var "y")))
255
256 interpM :: Map String Integer -> Expr -> Maybe Integer
257 interpM env (N i) = Just i
258 interpM env (Plus e1 e2) = fmap (+) (interpM env e1) <*>
259   (interpM env e2)
260
261 interpM env (Var v) = Map.lookup v env
262
263 exampleM = interpM (Map.fromList [("x", 5), ("y", 4)])
264              (Plus (N 1) (Plus (Var "x") (Var "y")))

```

language=haskell

9.2.1 Purpose and interp implementation

This is basically the same as "Num.hs" but introducing environment, which are basically Maps that map strings to integers that are a new argument for the interpreters. So the new case for our interpreter is for a Variable that

looks up the string that the var is associated with and just returns whatever it finds.

However, the interp implementation can run into errors, for example, the whole program crashes if it cannot find a variable in the environment (a variable has not been assigned).

9.2.2 interpM implementation

The interpM implementation basically turns its output from an integer to a Maybe Integer, so if the variable cannot be found in the map, it just returns an Nothing, which makes the whole expression evaluate to Nothing instead of crashing.

10 Thursday, March 22, 2018

10.1 NumLet.hs

```
263 module NumLet where
264
265 import           Data.Map.Strict (Map)
266 import qualified Data.Map.Strict as Map
267 import           Data.Maybe (fromJust)
268
269 data Expr = N Integer
270          | Var String
271          | Plus Expr Expr
272          | Let [(String, Integer)] Expr -- Unrealistic but
273          simpler.
274 deriving (Eq, Show)
275
276 mainInterp :: Expr -> Integer
277 mainInterp e = interp Map.empty e
278
279 interp :: Map String Integer -> Expr -> Integer
280 interp env (N i) = i
281 interp env (Var v) = fromJust (Map.lookup v env)
```

```

281 interp env (Plus e1 e2) = interp env e1 + interp env e2
282 interp env (Let bindings e) =
283   let env_new = Map.union (Map.fromList bindings) env
284   in interp env_new e
285   {- In Map.union s1 s2 when a key occurs in both s1 and s2,
286      s1's version is
287      adopted.
288
289      So here an inner binding shadows an outer binding of the
290      same variable
291      name --- "shadowing".
292   -}
293 -- (let y=6 in y+4) + (let x=5 in x+1)
294 example = Plus (Let [("y", 6)] (Plus (Var "y") (N 4)))
295           (Let [("x", 5)] (Plus (Var "x") (N 1)))
296
297 -- More realistic:
298 data Expr2 = N2 Integer
299           | Var2 String
300           | Plus2 Expr2 Expr2
301           | Let2 [(String, Expr2)] Expr2
302           -- let x=4; y=x+1 in x+y
303           deriving (Eq, Show)
304
305 {-
306 Semantics choice:
307
308 * Scope: Like let* in Scheme:
309
310   let x=2+3; y=x+4 in x+y
311
312   the y=x+4 has access to x=5.
313
314   let x=y+1; y=x+1 in ...
315
316   x=y+1 refers to y outside, not y=x+1.

```

```

317 * Evaluation order:
318
319     let x=2+3; y=x+4 in x+y
320
321 Evaluate 2+3, then evaluate x+4, then x+y --- call by value
322 (CBV), aka eager
323 evaluation.
324
325 So the environment just needs to map variables to numbers.
326
327 Overall, it is as though:
328
329     let x=2+3; y=x+4 in x+y
330 = let x=2+3 in let y=x+4 in x+y
331 -}
332
333 interp2 :: Map String Integer -> Expr2 -> Integer
334 interp2 env (N2 i) = i
335 interp2 env (Var2 v) = case Map.lookup v env of
336   Just a -> a
337   Nothing -> error (v ++ " is not found")
338 interp2 env (Plus2 e1 e2) = interp2 env e1 + interp2 env e2
339 interp2 env (Let2 [] body) = interp2 env body
340 interp2 env (Let2 ((v, rhs) : defs) body) =
341   let a = interp2 env rhs
342   new_env = Map.insert v a env
343   in interp2 new_env (Let2 defs body)
344
345 mainInterp2 :: Expr2 -> Integer
346 mainInterp2 = interp2 Map.empty
347
348 -- let x=2+3; y=x+4 in x+y
349 example2 = Let2 [ ("x", Plus2 (N2 2) (N2 3))
350                  , ("y", Plus2 (Var2 "x") (N2 4))
351                  ]
352               (Plus2 (Var2 "x") (Var2 "y"))
353 {- let x=2; y=x; z=x+y in ...

```

```
354  
355 (v,rhs) = ("x", N2 2) :  
356 defs = ("y", Var2 "x") : ("z", Plus2 (Var2 "x") (Var2 "y"))  
        : []  
357  
358 -}
```

language=haskell

10.1.1 Let implementation

So here, we have essentially what we saw from the previous implementation but now we have a Let type that consists of a list of string integer pairings, the string being the name of the variable and the integer corresponds to its value.

So as you can see in the "interp" interpreter, when it runs into a Let case, the List of (String, Integer) is conveniently turned into a Map with "Map.fromList" and we use "Map.union" to combine our current environment with this Map with the bindings (union will be favouring our new Map for collisions).

So basically that implementation is great if all of your bindings are already calculated for you, but what if your bindings are expressions that rely on previous bindings to be calculated?

So for this new case, we create another datatype "Expr2" that is exactly like "Expr" but the bindings are "(String, Expr2)" pairings. In this example, we want our later bindings to have access to our earlier bindings.

So in our interpreter for this datatype ("interp2"), we do everything in the exact same way again, but for Let, we just interpret the body if the list is empty and if it isn't, we take the first binding in the list, add it as a variable into a new environment variable, and then recursively evaluate another Let operation with the rest of the variables under the new environment.

10.2 NumLambda.hs

```
1 module NumLambda where
2
3 import           Data.Map.Strict (Map)
4 import qualified Data.Map.Strict as Map
5 import           Debug.Trace
6
7 data Expr = N Integer
8           | Var String
9           | Plus Expr Expr
10          | Mul Expr Expr
11          | IsZero Expr Expr Expr
12          | Lambda String Expr
13          | App Expr Expr
14          deriving (Eq, Show)
15
16 {-
17 Semantics of App f e: "call by value" (CBV), aka "eager
18 evaluation":
19
20 1. Evaluate f until it is a value. Expect it to be a lambda
21    (later closure).
22 2. Evaluate e until it is a value.
23 3. Plug and chug. (Implemented by extending the environment,
24    as said.)
25 -}
26
27 -- The type of possible answers from the interpreter.
28 data Value = VN Integer
29            | VClosure (Map String Value) String Expr
30            -- Cannot be simply "VFunc String Expr", see below.
31            deriving (Eq, Show)
32
33 {-
34 Lambda is evaluated to VClosure. VClosure needs to remember
35 an environment
36 because:
```

```
33
34 Suppose your lambda is \y->x+y. What do you use for x?!
35
36 Terminology: y is a "bound variable", x is a "free variable".
37
38 Bound variable (of an expression): You can see where the
    variable is bound
39 (introduced or declared or defined).
40
41 Free variable (of an expression): You can't, it probably
    comes from the outside.
42
43 A free variable like x is probably introduced from an outer
    context:
44
45     (\x -> ..... (\y -> x + y) ....) 10
46
47 When the interpreter runs into \y->x+y, and if it already
    knows x=10 from
48 processing the outer context, it needs to attach "x=10" to
    \y->x+y so it doesn't
49 forget.
50
51 Why not just substitute? Answer: Much slower, and has its own
    subtle problems
52 --- look up "variable capture" for how easy it is to do it
    wrong. This also
53 holds for how we implement App: We won't substitute, we will
    just extend the
54 environment.
55
56 Terminology: The combination "\y->x+y, oh BTW x=10 there" is
    a "closure".
57
58 A closure is a record or data structure that stores an
    expression (usually a
59 lambda, but generally can be any expression) together with the
60 environment/bindings for all of its free variables.
```

```

61
62 Fun fact: When the Javascript, Java, and C++ finally added
    lambda to their
63 languages, they finally realized how difficult this business
    of free variables
64 and closures is! They also have an extra issue:
65
66 Since "x" is a mutable variable in their languages, you get
    to ask: Does it mean
67 the value of x at the time of creating the lambda, or does it
    mean a reference
68 to x?
69
70 Java: value at the time of lambda creation ("final variable").
71 Javascript: reference.
72 C++: extra syntax for you to choose.
73 -}
74
75 mainInterp = interp Map.empty
76
77 interp :: Map String Value -> Expr -> Value
78 interp env (N i) = VN i
79 interp env (Var v) = case Map.lookup v env of
80   Just val -> val
81   Nothing -> error (v ++ " not found")
82 interp env (Plus e1 e2) = case (interp env e1, interp env e2)
    of
83   (VN i, VN j) -> VN (i + j)
84   _ -> error "wrong type in Plus"
85 interp env (Mul e1 e2) = case (interp env e1, interp env e2)
    of
86   (VN i, VN j) -> VN (i * j)
87   _ -> error "wrong type in Mul"
88 interp env (IsZero test e1 e2) = case interp env test of
89   VN 0 -> interp env e1
90   VN _ -> interp env e2
91   _ -> error "wrong type in IsZero"
92 interp env (Lambda v body) = VClosure env v body

```

```

93 interp env (App f e) = case interp env f of
94   VClosure fEnv v body ->
95     let eVal = interp env e
96         bEnv = Map.insert v eVal fEnv -- fEnv, not env
97     in interp bEnv body
98   _ -> error "wrong type in App"
99
100 -- (\x -> (\x -> \f -> f x) 10000 (\y -> x + y)) 10
101 example1 = App (Lambda "x"
102               (App (App (Lambda "x" (Lambda "f" (App (Var
103               "f") (Var "x")))))
104                   (N 10000))
105               (Lambda "y" (Plus (Var "x") (Var "y")))))
106               (N 10)
107 {-
108 Question: For bEnv, what if we used the following instead?
109
110     bEnv = Map.insert v eVal env
111
112 Try with example1.
113
114 This was basically the bug made in early implementations of
115   Lisp. Some people
116 still regard this bug as a feature: "dynamic scoping".
117
118 Dynamic scoping: a variable name refers to whichever thing
119   has that name at the
120 time/place of evaluation.
121
122 Lexical/Static scoping: a variable name refers to the
123   innermost outer binding in the code.
124 -}

```


10.2.1 Implementation

So this implementation is similar to the previous one except it has Multiplication, and a datatype that returns "e1" if "test" evaluates to 0 and returns "e2" otherwise. There is also a lambda data type that takes in a string and an expression.

There's another bit of a switch up here as well, instead of outputting just an integer, the interpreter outputs a "Value" datatype, which could either be an integer, or a "VClosure", which contains an environment, a string, and an expression.

There is also another data type called the "App", with 2 Expressions, the first one is meant to be a function (so after it is interpreted, a VClosure) and the second expression is the input to that function.

10.2.2 Lambda and App interpreted

So when a Lambda is interpreted, it returns a Vclosure with its environment, a string that will be the binding of its input variable (lets call it, "v" for example), and the body of the function.

Now, what happens after this? Well all we can do with a VClosure after this is if the lambda was the first expression of an "App". If we take a look at what happens when an "App" is interpreted, the first expression is interpreted, and if it is a VClosure, then the second input is evaluated, lets call this interpreted second expression "x". So now "x" is inserted into a Map under the key of "v" and then the body of the VClosure is evaluated.

This makes sense, as in Haskell, this is how you pass in input to a lambda function, the expression after the lambda function is evaluated and then put in as input for the lambda function.

10.3 NumRec.hs

```
1 module NumRec where
2
3 import      Data.Map.Strict (Map)
4 import qualified Data.Map.Strict as Map
5 import      Debug.Trace
6
7 data Expr = N Integer
8           | Var String
9           | Op2 BinOp Expr Expr
10          | IfZero Expr Expr Expr
11          | App Expr Expr
12          | Rec String String Expr Expr
13 deriving (Eq, Show)
14
15 {- Rec is recursive function binding and use.
16
17     let f x = (... may call f ...) in body
18
19 is represented by
20
21     Rec "f" "x" (... may call f ...) body
22 -}
23
24 data BinOp = Plus | Minus | Times deriving (Eq, Show)
25
26 binop Plus = (+)
27 binop Minus = (-)
28 binop Times = (*)
29
30 {-
31 Semantics of App f e: "call by value" (CBV), aka "eager
32 evaluation":
33
34 1. Evaluate f until it is a value. Expect it to be a closure.
35 2. Evaluate e until it is a value.
```

```

35 3. Plug and chug. (Implemented by extending the environment,
    as said.)
36
37 -}
38
39 -- The type of possible answers from the interpreter.
40 data Value = VN Integer
41             | VClosure (Map String Value) String Expr
42             deriving (Eq, Show)
43
44 mainInterp = interp Map.empty
45
46 interp :: Map String Value -> Expr -> Value
47 interp env (N i) = VN i
48 interp env (Var v) = case Map.lookup v env of
49   Just val -> val
50   Nothing -> error (v ++ " not found")
51 interp env (Op2 op e1 e2) = case (interp env e1, interp env
    e2) of
52   (VN i, VN j) -> VN (binop op i j)
53   _ -> error "wrong type in Op2"
54 interp env (IfZero e e0 e1) = case interp env e of
55   VN 0 -> interp env e0
56   VN _ -> interp env e1
57   _ -> error "wrong type in IsZero"
58 interp env (App f e) = case interp env f of
59   VClosure fEnv v body ->
60     let eVal = interp env e
61         bEnv = Map.insert v eVal fEnv
62         in interp bEnv body
63   _ -> error "wrong type in App"
64 interp env (Rec f v fbody body) =
65   let new_env = Map.insert f (VClosure new_env v fbody) env
66   in interp new_env body
67
68 {-
69 new_env is a cyclic data structure now. I use Haskell lazy
    evaluation to set it

```

```

70 up.
71
72 In other languages, use a mutable cell:
73
74 Introduce new mutable cell "self".
75 self := garbage.
76 new_env := Map.insert f self env
77 self := VClosure new_env v body
78 -}
79
80 {-
81 fib 0 = 0
82 fib 1 = 1
83 fib n = fib (n-1) + fib (n-2)
84 -}
85
86 fibbody = (IfZero (Var "n")
87              (N 0)
88              ((IfZero (Op2 Minus (Var "n") (N 1))
89                    (N 1)
90                    (Op2 Plus (App (Var "fib") (Op2 Minus (Var "n") (N
91                    1))))
92                    (App (Var "fib") (Op2 Minus (Var "n") (N
93                    2)))))))
94
95 fib n = mainInterp (Rec "fib" "n" fibbody
96                    (App (Var "fib") (N n)))

```

10.3.1 Recursive implementation

So in this example, we have a the same datatype as before, except now the binary operator has been generalized and we have a "Rec" datatype that takes in 2 strings and 2 expressions. The first string being the name of the function, the second string is the name of the function's input variable, the first expression is the body of the function and the last expression is the rest

of the expression that we need to evaluate.

So when the interpreter reaches the "Rec" datatype, it stores a VClosure of its environment, input variable, and the function body, all under the function name in the map and then evaluates the body.

Remember how (App f e) first evaluates "f" first before evaluating "e"? Well in our lambda example, "f" is just a lambda that evaluates into a (VClosure), but in this recursive example, "f" is just a variable of the function name, and in our implementation of the interpreter, the interpreter just looks for what is the value of the variable name in the map, in this case, if we previously store the function in the map, then the variable would be interpreted into a VClosure, just as the lambda case, and all of the steps would follow from there. This is how function calls would work, if the interpreter finds a function name, it would return the VClosure of that function, and it would do the same thing as a lambda would do from there.

11 Thursday, March 29, 2018

11.1 SelfApp.hs, SelfApp.ss

11.1.1 SelfApp.hs

```
1 module SelfApp where
2
3 import NumLambda
4
5 -- \f -> \n -> if n=0 then 1
6 --                else n * (f f) (n-1)
7 fac_proto = Lambda "f" (
8     Lambda "n" (
9         IsZero (Var "n")
10            (N 1)
11            (Mul
12                (Var "n")
13                (App (App (Var "f") (Var "f"))
14                    (Plus (Var "n") (N (-1))))))
15
```

```

15
16 -- fac n = fac_proto fac_proto n
17 fac n = mainInterp (App (App fac_proto fac_proto) (N n))
18
19 {-
20 Here is a trace:
21
22   fac_proto fac_proto 2
23 ->
24   (\f -> \n -> if n=0 then 1 else n * (f f) (n-1)) fac_proto 2
25 ->
26   (\n -> if n=0 then 1 else n * (f f) (n-1)) 2 where f =
       fac_proto = \f ...
27 ->
28   if 2=0 then 1 else 2 * (f f) (2-1) where f = fac_proto = ...
29 ->
30   2 * (f f) (2-1)  where f = fac_proto = ...
31 ->
32   2 * (\f -> \n -> ...) fac_proto (2-1)
33 ->
34   2 * (\n -> if n=0 then 1 else n * (fac_proto fac_proto)
       (n-1)) (2-1)
35 ->
36   2 * (\n -> if n=0 then 1 else n * (fac_proto fac_proto)
       (n-1)) 1
37 ->
38   2 * if 1=0 then 1 else 1 * (fac_proto fac_proto) (1-1)
39 ->
40   2 * 1 * fac_proto fac_proto (1-1)
41 ->
42   2 * 1 * (\n -> if n=0 then 1 else n * fac_proto fac_proto
       (n-1)) (1-1)
43 ->
44   2 * 1 * (\n -> if n=0 then 1 else n * fac_proto fac_proto
       (n-1)) 0
45 ->
46   2 * 1 * if 0=0 then 1 else 0 * ...
47 ->

```

```

48   2 * 1 * 1
49 -}
50
51 -- \f -> \n -> if n=0 then 0
52 --           else if n-1=0 then 1
53 --           else f f (n-1) + f f (n-2)
54 fib_proto = Lambda "f" (
55     Lambda "n" (
56         IsZero (Var "n")
57             (N 0)
58             (IsZero (Plus (Var "n") (N (-1)))
59                 (N 1)
60                 (Plus (App (App (Var "f") (Var "f"))
61                     (Plus (Var "n") (N (-1))))
62                     (App (App (Var "f") (Var "f"))
63                         (Plus (Var "n") (N (-2))))
64                 )
65             )
66         )
67     )
68
69 fib n = mainInterp (App (App fib_proto fib_proto) (N n))
70
71 -- The theme can be represented by this "diagonal" or
72 -- self-application
73 -- combinator, aka "Delta": \x -> x x
74 diag = Lambda "x" (App (Var "x") (Var "x"))
75 -- Recall diagonalization proofs from C63...
76 {-
77 So why do languages support recursion directly? Why not just
78 make you write
79 code like this?
80
81 Answer: Language design tries to let you express your
82 intention directly rather
83 than encoding/simulating your intention as something else.
84 Your code is then

```

```
82 more readable.  
83 -}
```

11.1.2 SelfApp.ss

```
1 #lang racket  
2  
3 (define (fac_proto f n)  
4   (if (equal? n 0)  
5       1  
6       (* n (f f (- n 1)))))  
7  
8 (define (fac n)  
9   (fac_proto fac_proto n))
```

11.1.3 Explanation to Self Application

These two pieces of code do essentially the exact same thing, the first is just in Haskell and the second is in Scheme. But this is just more obvious in the first example.

Think about the factorial function that uses recursion, it's simple enough, it just calls itself with it's name to apply itself recursively. But what if you want to make a recursive lambda? Since the lambda doesn't have a name, how do you call it? So the solution to this is to pass in the function into itself as one of it's arguments, and since you can refer to it's arguments by name, you can refer to the function by name, and this is how lambda recursion works. In this example, the lambda stores itself as "f", and calls itself with the function "f".

11.2 NumStack.hs


```
1 module NumStack where
2
3 import Control.Applicative
4
5 data Expr = N Integer
6           | Plus Expr Expr
7           deriving (Eq, Show)
8
9 interp :: Expr -> Integer
10 interp (N i) = i
11 interp (Plus e1 e2) = interp e1 + interp e2
12
13 {-
14 Below, an implementation that avoids non-tail recursion. It
15 uses an explicit
16 custom stack instead. This is closer to a real, low-level
17 implementation:
18
19 * tail recursion/call = loop, goto
20
21 * stack = stack
22 -}
23
24 data Frame = TODO Expr | Add Integer
25           deriving Show
26
27 eval :: [Frame] -> Expr -> Integer
28 eval stack (N i) = exec stack i
29 eval stack (Plus d1 d2) = eval (TODO d2 : stack) d1
30
31 exec :: [Frame] -> Integer -> Integer
32 exec [] i = i
33 exec (TODO d : stack) i = eval (Add i : stack) d
34 exec (Add i0 : stack) i = exec stack (i0 + i)
35
36 interp2 :: Expr -> Integer
37 interp2 = eval []
```

```

36
37 {- Here is a trace:
38
39 interp2 (Plus (Plus (N 1) (N 2))
40               (Plus (N 3) (N 4)))
41 = eval [] (Plus (Plus (N 1) (N 2))
42                 (Plus (N 3) (N 4)))
43 = eval [TODO (Plus (N 3) (N 4))] (Plus (N 1) (N 2)) (*)
44 = eval [TODO (N 2), TODO (Plus (N 3) (N 4))] (N 1)
45 = exec [TODO (N 2), TODO (Plus (N 3) (N 4))] 1
46 = eval [Add 1, TODO (Plus (N 3) (N 4))] (N 2)
47 = exec [Add 1, TODO (Plus (N 3) (N 4))] 2
48 = exec [TODO (Plus (N 3) (N 4))] (1+2)          (**)
49 = eval [Add (1+2)] (Plus (N 3) (N 4))
50 = ...
51 = exec [Add (1+2)] (3+4)
52 = exec [] ((1+2)+(3+4))
53 = ((1+2)+(3+4))
54 -}
55
56 {-
57 Invariant:
58 forall e, stack: eval stack e = exec stack (interp e)
59
60 Corollary:
61 interp2 e
62 = eval [] e
63 = exec [] (interp e)
64 = interp e
65
66 Induction proof: [strong] induction on e.
67
68 Base case: e = N i
69   WTP: eval stack (N i) = exec stack (interp (N i))
70
71   eval stack (N i)
72   = exec stack i
73   = exec stack (interp (N i))

```

```

74
75     LHS
76     = eval stack (N i)
77     = exec stack i
78
79     RHS
80     = exec stack (interp (N i))
81     = exec stack i
82
83     So LHS = RHS.
84
85 Induction step: e = Plus d1 d2
86 Induction hypothesis:
87
88 forall s: eval s d1 = exec s (interp d1)
89 forall s: eval s d2 = exec s (interp d2)
90
91 WTP: eval stack (Plus d1 d2) = exec stack (interp (Plus d1
92     d2))
93
94     LHS
95     = eval stack (Plus d1 d2)
96     = eval (TODO d2 : stack) d1
97     = exec (TODO d2 : stack) (interp d1)
98     = eval (Add (interp d1) : stack) d2
99     = exec (Add (interp d1) : stack) (interp d2)
100    = exec stack (interp d1 + interp d2)
101
102    RHS
103    = exec stack (interp (Plus d1 d2))
104    = exec stack (interp d1 + interp d2)
105
106    So LHS = RHS as wanted.
107  -}
108 {-
109 registers: r0, ep (Expr pointer), stack pointer (implicitly
    used by push and pop)

```

```
110
111 label eval:
112   if ep points to N i:
113     r0 := i
114     goto exec
115   if ep points to Plus d1 d2:
116     push (TODO d2)
117     ep := d1
118     goto eval
119
120 label exec:
121   if stack is empty:
122     goto exit
123   pop
124   if that was TODO d:
125     push (Add r0)
126     ep := d
127     goto eval
128   if that was Add i0:
129     r0 := i0 + r0
130     goto exec
131
132 label exit:
133   the answer is in r0
134   so return r0
135 -}
136
137 {-
138 That stack reminds you what to do next after you finish the
139   current small task.
140
141 Some people call it the "continuation stack" because it
142   represents what/where you
143   need to continue.
144 -}
```

11.2.1 Explanation

So this is an implementation of non-tail recursion on a function that only takes in integers and the addition of integers. So the interpreter here just evaluates Expressions until they are integers.

So there are two types of frames that can be in the stack, one is TODO, which is for expressions that have not been evaluated yet, and ADD, which is for numbers that have been computed, but the rest of the equation that belongs with this number has not been computed yet.

In this case, that would be when (Plus e1 e2) is being evaluated. Lets say we evaluate e1 first, we do so and place it on the stack as (Add i) and then evaluate e2. Once they are both evaluated, we add them together and continue to clear items off the stack.

We also have two functions that call each other, one is eval, which evaluates expressions, and exec, which place expressions and integers from the stack to be worked on.

11.2.2 The Trace Example

Here's an explanation of the trace if you don't get it. I'll be referring to the section of the data type where the expressions are evaluated as "the table".

```
1 -- the entire expression is being interpreted
2 = interp2 (Plus (Plus (N 1) (N 2))
3           (Plus (N 3) (N 4)))
4 -- eval is called, the stack is empty, and the entire
   expression is on the table
5 = eval [] (Plus (Plus (N 1) (N 2))
6           (Plus (N 3) (N 4)))
7 -- the second part of the expression is put on the stack as a
   TODO, the first part of the expression is on the table
8 = eval [TODO (Plus (N 3) (N 4))] (Plus (N 1) (N 2))
9 -- the second part of THAT expression is put on the stack as
   a TODO, the first part of the original expression is on
   the table
10 = eval [TODO (N 2), TODO (Plus (N 3) (N 4))] (N 1)
```

```
11 -- the expression on the table is evaluated to one
12 = exec [TODO (N 2), TODO (Plus (N 3) (N 4))] 1
13 -- the evaluated expression is added to the stack as an Add,
    the next item is removed off the stack to be evaluated
14 = eval [Add 1, TODO (Plus (N 3) (N 4))] (N 2)
15 -- this item is evaluated to 2
16 = exec [Add 1, TODO (Plus (N 3) (N 4))] 2
17 -- the add is taken off the stack and is added to the value
    on the table
18 = exec [TODO (Plus (N 3) (N 4))] (1+2)
19 -- swap what is on the table with what is on the stack
20 = eval [Add (1+2)] (Plus (N 3) (N 4))
21 -- do previous steps again
22 = ...
23 -- once that expression has been evaluated, go back to the
    stack
24 = exec [Add (1+2)] (3+4)
25 -- add the two values together from the stack
26 = exec [] ((1+2)+(3+4))
27 -- stack is now empty release the value
28 = ((1+2)+(3+4))
```

11.2.3 The rest of the file

So the rest of the file is just the proof of the invariant that these functions do in fact, work. So essentially a proof of Correctness. After that there's just a more lower level version of the algorithm, that is using pointers and registers. It looks like assembly.