



# Open Immunize (OpenIZ) Solutions Architecture

BETTER IMMUNIZATION MANAGEMENT TOOLING FOR THE WORLD ...

*THE RIGHT THING, DONE RIGHT*

Version: 0.4



# Solutions Architecture & System Design

- ▶ Overview
- ▶ The Architecture
  - ▶ System / solution architecture
  - ▶ Deployment
- ▶ Master Data Model
- ▶ Privacy & Security
- ▶ Application Integration
- ▶ Roadmap
- ▶ Final Thoughts



# Solutions Architecture

- ▶ OpenIZ & MEDIC follow Disciplined Agile Delivery (DAD)
  - ▶ Combines Unified Process (UP) and Scrum methodology
- ▶ Having a comprehensive solutions architecture assists in coherent system design & implementation:
  - ▶ *“Thus it is that in war the victorious strategist only seeks battle after the victory has been won, whereas he who is destined to defeat first fights and afterwards looks for victory” – Sun Tzu*



# Overview

AN OVERVIEW OF THE  
OPENIZ COMMUNITY



# Overview

- ▶ Immunization data leads to better immunization adherence
- ▶ There are no robust, open source, immunization platforms
  - ▶ Commercially available solutions (STC, IBM, etc.) are available
  - ▶ Many public health authorities “roll their own”
- ▶ Interoperability and open architecture are often not the focus of these projects
  - ▶ Immunization data is a key part of a health record, should be shared
  - ▶ Non-generic solutions are difficult to adapt in different jurisdictions



# Challenges

- ▶ OpenIZ will operate globally
  - ▶ Variation of requirements
  - ▶ Variation of technical capacity
  - ▶ Variation of localization (forecasting, language, code sets, etc.)
- ▶ Security and privacy laws
  - ▶ Will vary widely between types of jurisdictions



# Our Team

- ▶ MEDIC – mHealth & eHealth Development and Innovation Centre
  - ▶ Based at Mohawk College in Hamilton, ON Canada
  - ▶ 1 senior solutions architect – Justin Fyfe
  - ▶ 1 senior director – Duane Bender
  - ▶ 1 project manager – Paul Brown
  - ▶ 3 senior developers –
    - ▶ Mohammed Ibrahim
    - ▶ Nityan Khanna
    - ▶ Garrett Tyler
  - ▶ 10-20 junior developers in lab at any time



# Our History

- ▶ Founded in 2007 as Mohawk Applied Research Centre (MARC)
  - ▶ Mission: Prototype standards based enterprise architecture designed for use in Canada.
- ▶ Completed over 50 projects with government and private industry
- ▶ Completed and deployed projects in Canada, USA, Africa and Asia
- ▶ Privacy & Security sensitive deployments in Canada
  - ▶ Some of the toughest privacy requirements in the world
- ▶ Currently working with Ministry of Health Long Term Care (MOHLTC) to develop consumer and clinician facing Immunization reporting systems.





# Our Mission

*To provide an innovative, secure, sustainable, enterprise ready platforms  
based on open architecture, and open standards.*



# Our Capacity to build Capacity

- ▶ MEDIC is based in a College
  - ▶ Dedicated to knowledge and skills transfer in addition to software
  - ▶ Expertise and capacity to deliver post-secondary educational courseware
- ▶ Extend training to local developers
  - ▶ Have run detailed standards based training
  - ▶ Host knowledge transfer events at the college

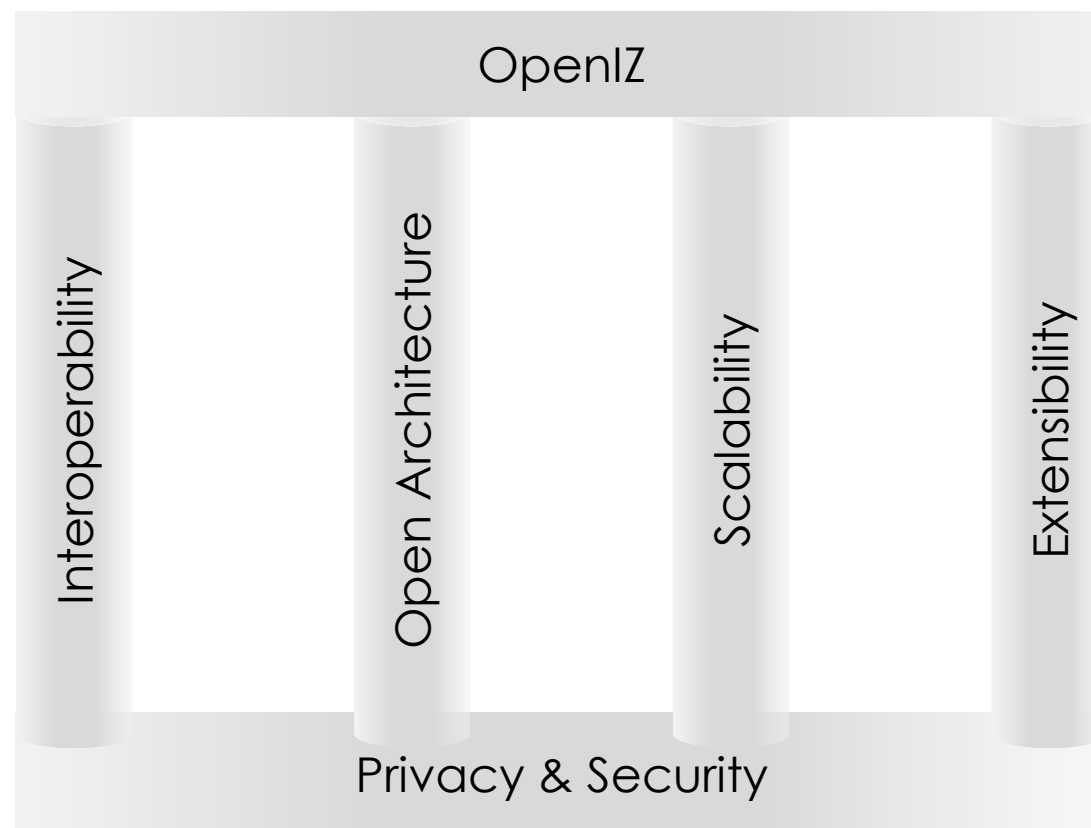


# How does OpenIZ meet this mission?

- ▶ Modular design
  - ▶ OpenIZ grows in scope and capacity as your deployment does
  - ▶ Extension points to allow easy customization of components
  - ▶ Mix and match best of breed open-source and commercial modules
- ▶ Open Architecture
  - ▶ All functionality is exposed via open interfaces
  - ▶ Leverages standards based interfaces
  - ▶ Integrates with HIE infrastructure



# Pillars of OpenZ



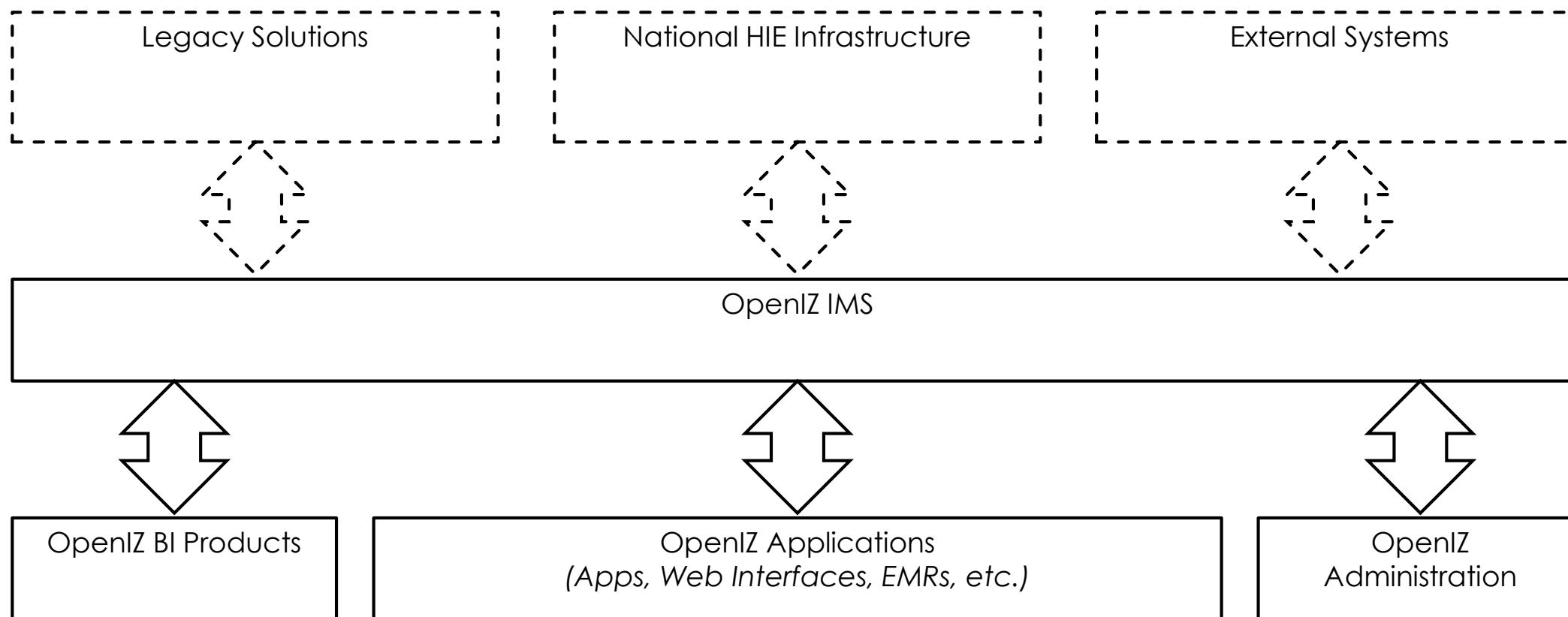


# Architecture

A PLATFORM FOR:  
IMMUNIZATION  
INNOVATION  
INFORMATION  
IMPLEMENTATION



# The big picture





# OpenIZ Applications

- ▶ The OpenIZ IMS has no user interface
  - ▶ Provides extension points “below the IMS”
  - ▶ OpenIZ tested apps can securely communicate with the backbone
- ▶ Control of Apps
  - ▶ Implementers may choose to allow OpenIZ tested apps are permitted to be used
    - ▶ By User Role, Action, Resource, etc.
- ▶ Can review all Point of Service applications prior to issuing certificates
- ▶ Examples:
  - ▶ A PHR connecting to retrieve forecasted immunizations
  - ▶ A mobile used by nurses to perform immunizations
  - ▶ A website used by patients to enter their immunizations



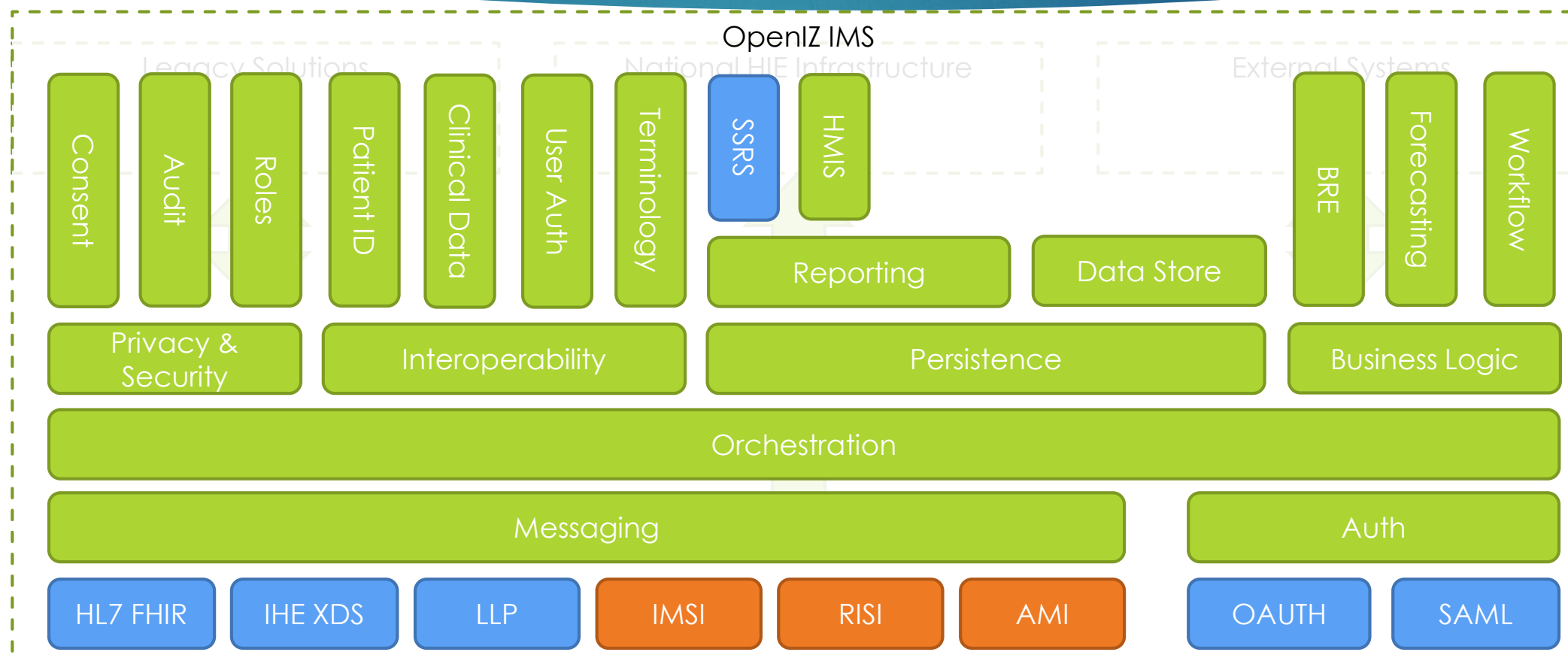
# Immunization Management System

- ▶ Implemented as a services framework
  - ▶ Uses common micro-service pattern
  - ▶ Data model adheres to MVVM pattern
  - ▶ Extension events fired and can be subscribed by any module
- ▶ Highly customizable
  - ▶ Adapts to the environment
- ▶ Designed with implementers in mind
  - ▶ Extension points for:
    - ▶ Business rules based logic
    - ▶ Data lifecycle workflow
    - ▶ Interoperability to jurisdictional systems

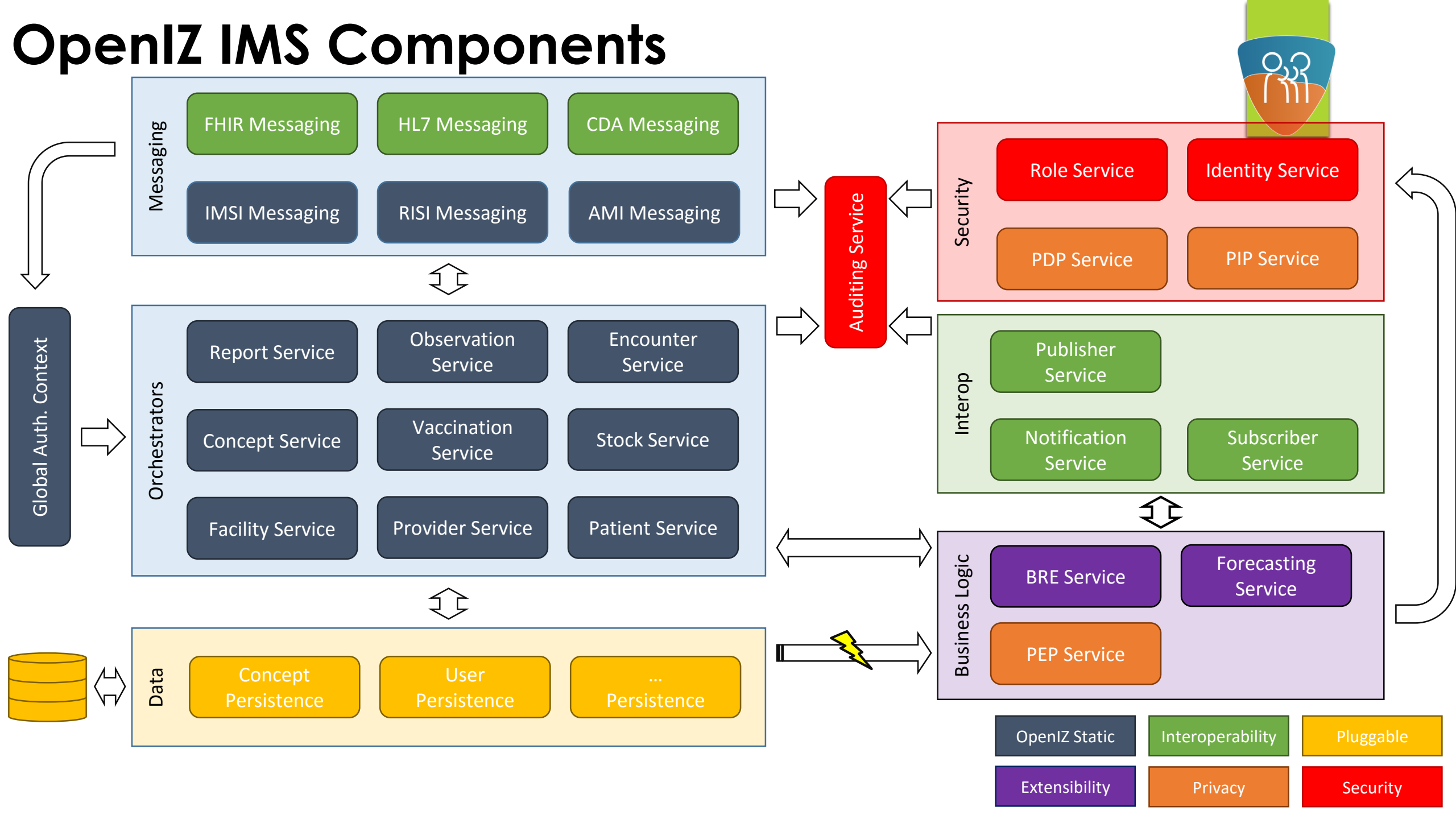




# Immunization Management System



# OpenIZ IMS Components



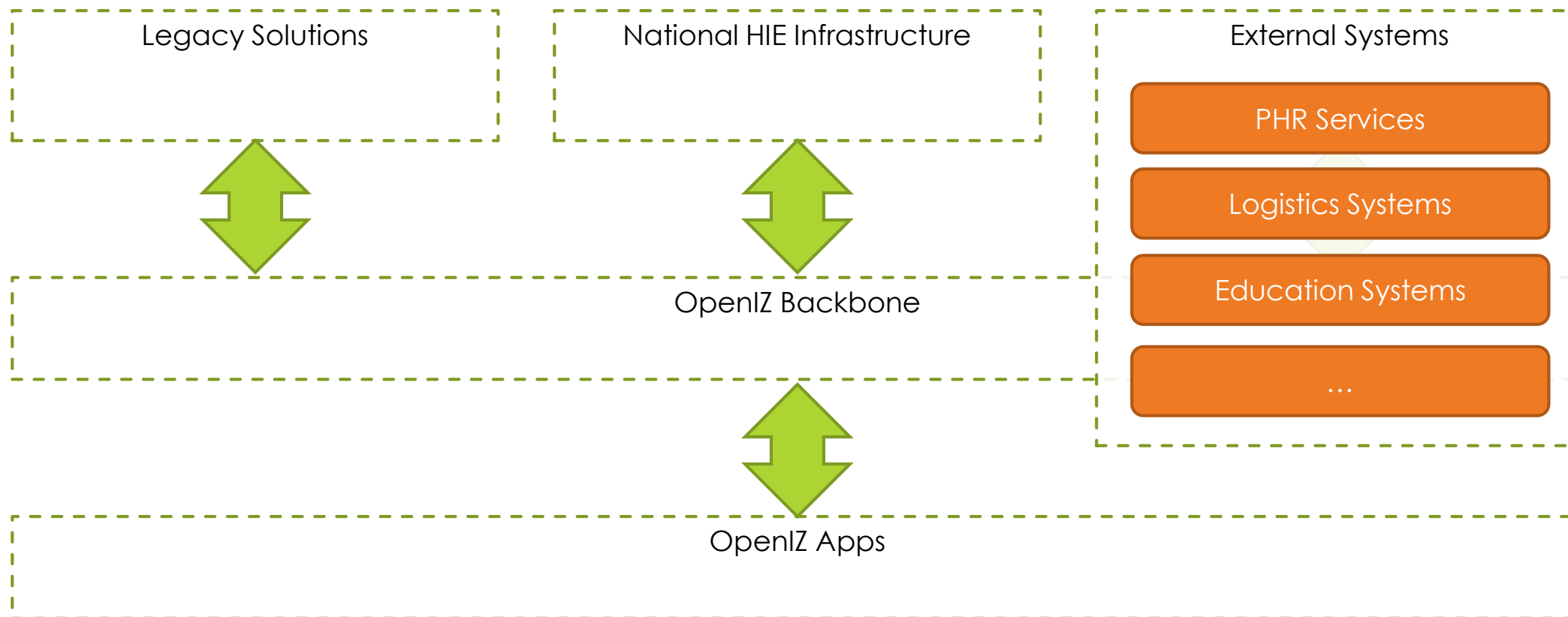


# External Systems

- ▶ The Internet of Things
  - ▶ OpenIZ seeks to provide extension points to other medical and non-medical systems
    - ▶ Personal Health Services
    - ▶ Calendaring Systems (Outlook.com, Gmail, etc.)
    - ▶ Education Systems
    - ▶ Logistics Management Information Systems



# External Systems





# Deployment

DEPLOYMENT ARCHITECTURE

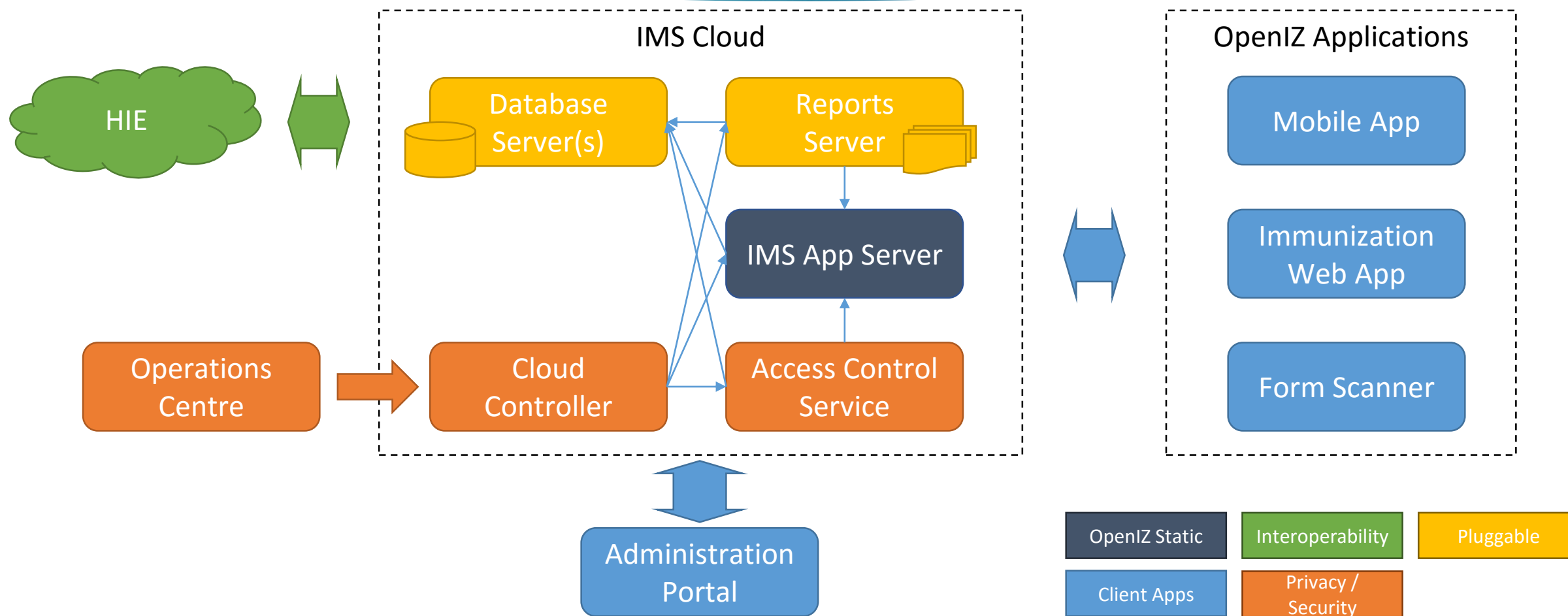


# OpenIZ IMS Deployment

- ▶ A physically deployed IMS service may run all or a portion of services
  - ▶ Possible to balance the services over multiple servers
  - ▶ Specialize a server based on need
- ▶ Three types of deployment
  - ▶ Simple
    - ▶ All services run locally on one server
  - ▶ Cloud
    - ▶ Services run in a series of specialized host servers
    - ▶ Configuration & node authentication controlled by “cluster controller” node
  - ▶ Federated
    - ▶ Cluster with tiers for administrative subdivisions
    - ▶ Forecasted availability: OpenIZ Chippewa (Dec 2016) release



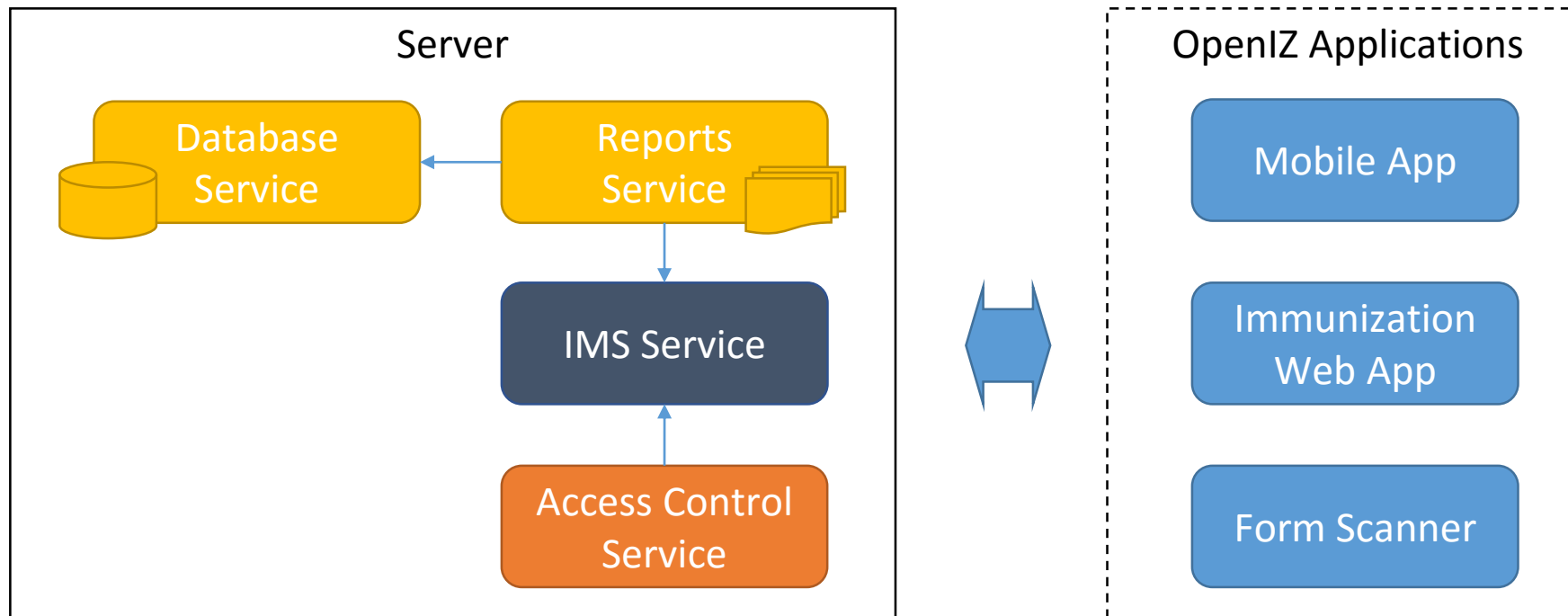
# OpenIZ Deployment Pieces





# IMS Deployment : Simple

- ▶ Single server hosts all required IMS services

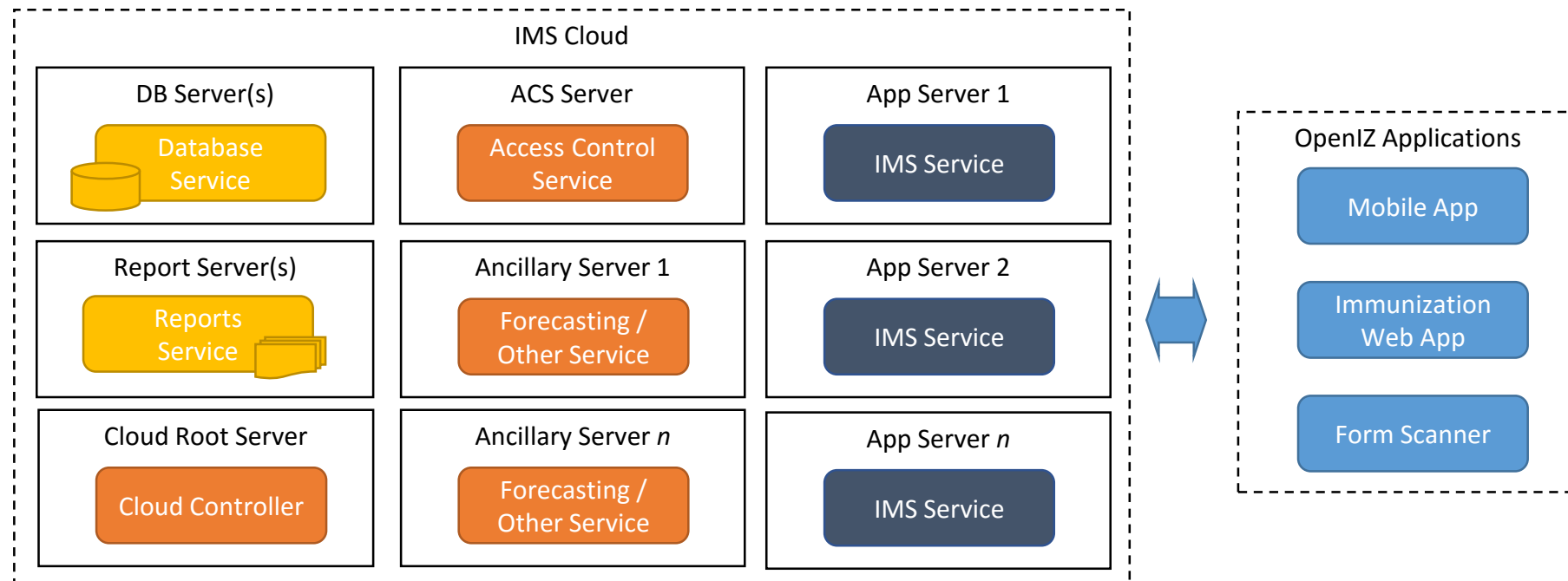






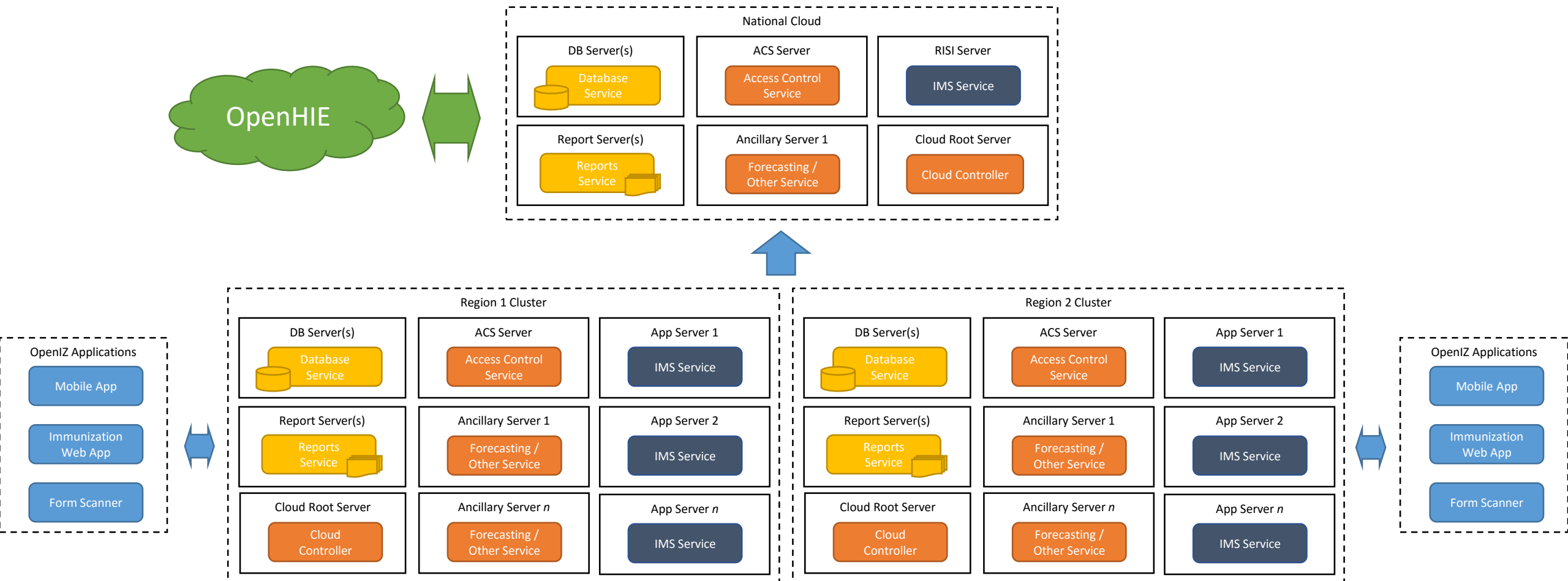
# IMS Deployment : Cloud

- ▶ A series of servers are selected for particular roles
  - ▶ The role services must be independent and stateless





# IMS Deployment : Federated



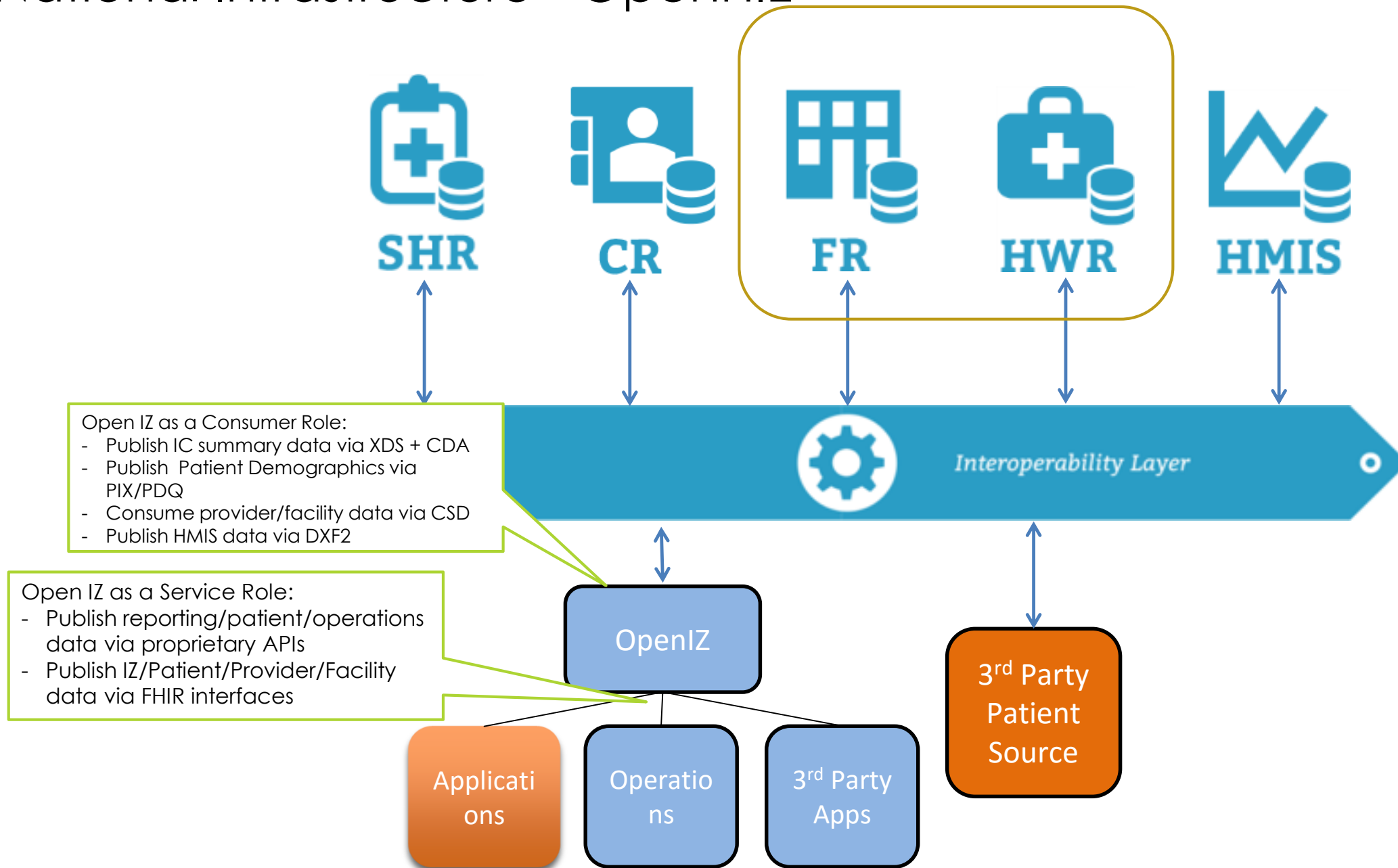


# National Infrastructure

- ▶ OpenIZ integration with other infrastructure
  - ▶ OpenIZ as a consumer to HIEs (example: OpenHIE)
  - ▶ Standards based interfaces to regional or national infrastructure
- ▶ Clinician choice of sharing
  - ▶ OpenIZ will confirm and/or provide a confirmation of sharing prior to publishing PHI



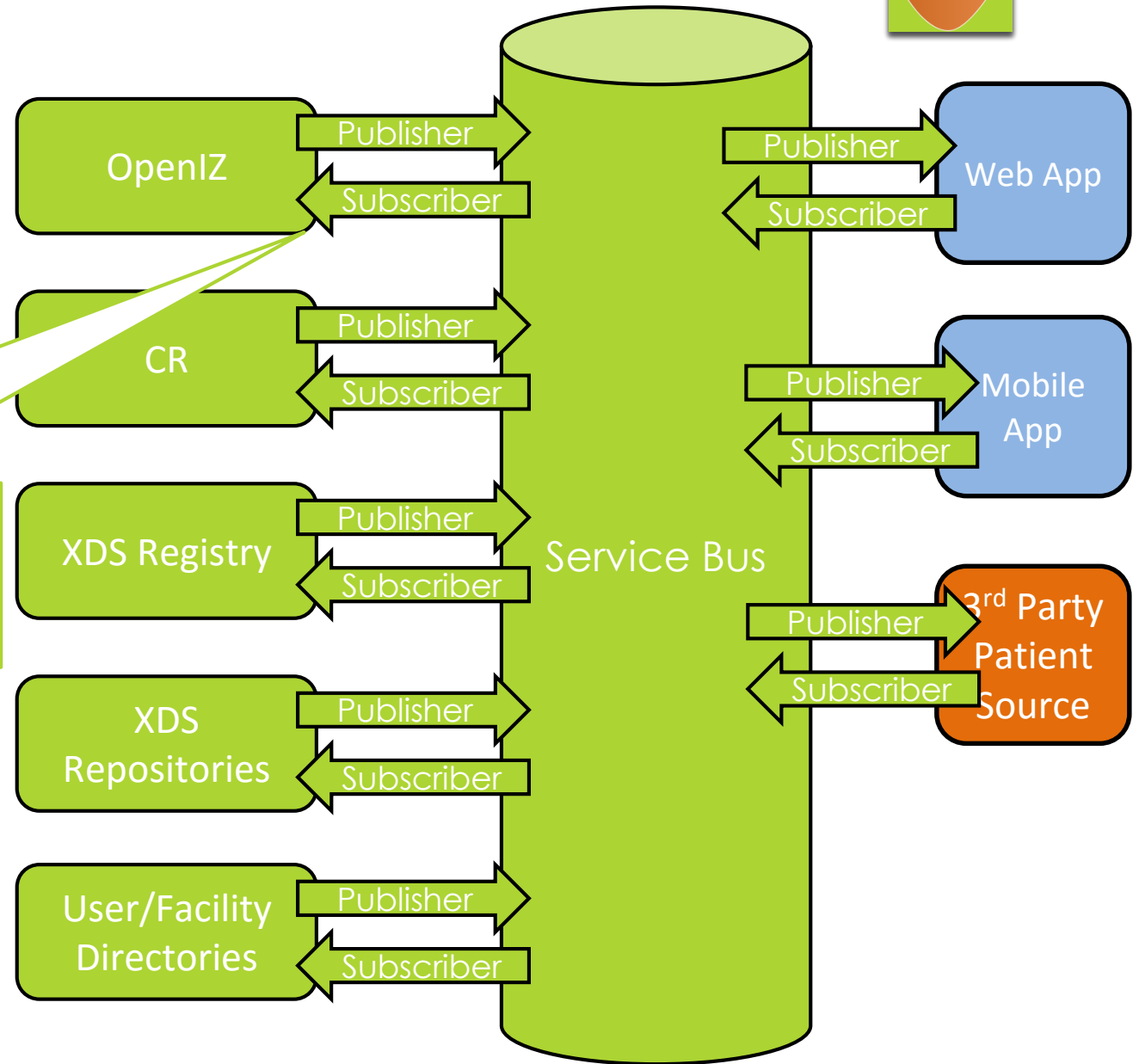
# National Infrastructure - OpenHIE



# National Infrastructure – ESB HIE

- ▶ Open IZ has open architecture interfaces
  - ▶ Can act as a publisher of information from the LOB system
  - ▶ Can act as a subscriber of information from other LOB systems
- ▶ Mobile apps can integrate through the service bus as publisher/subscribers

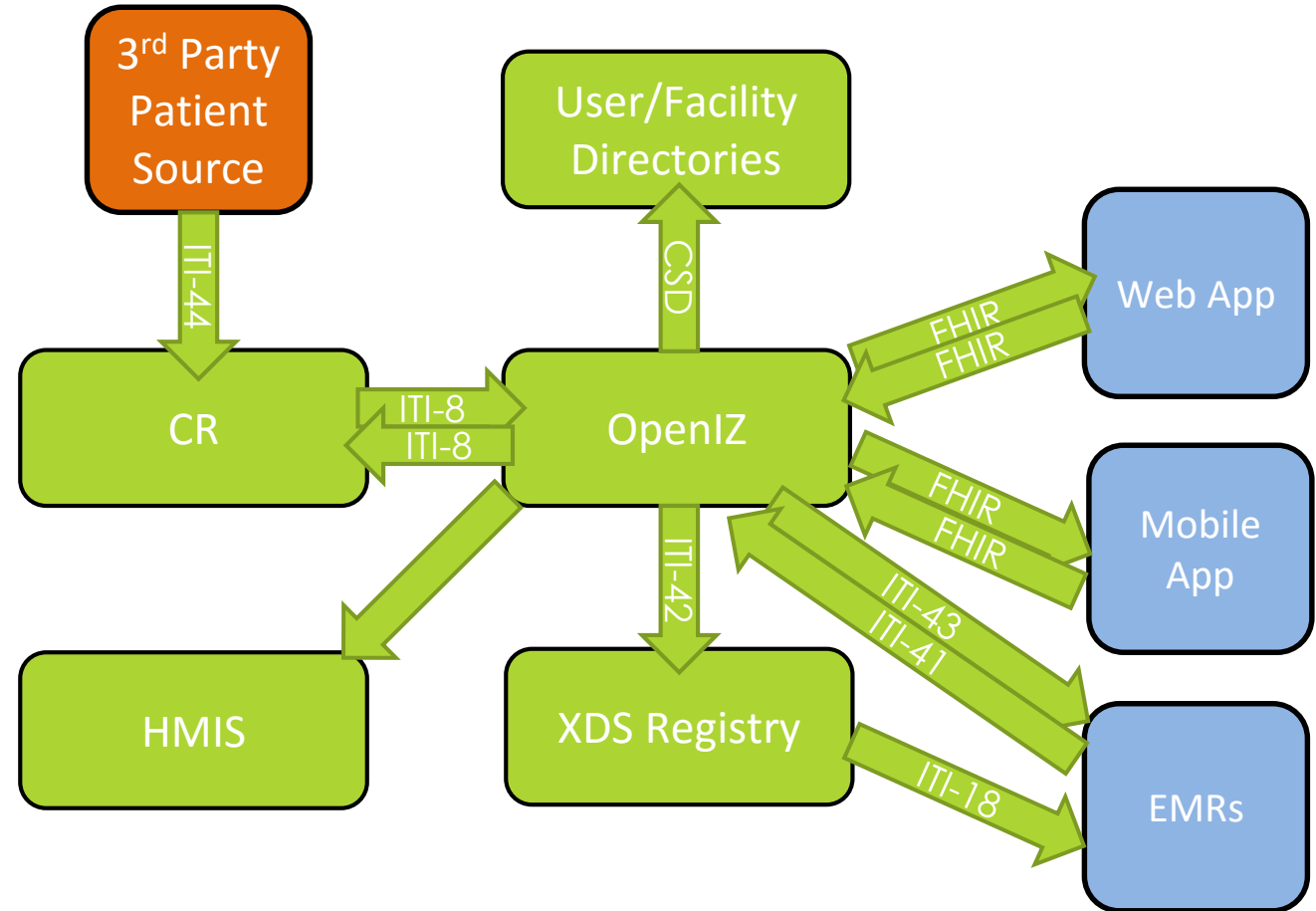
**Publisher:** Patient Demographics, Immunization Summaries, Facility/Provider Information, HMIS, XDS Registry, Audit  
**Subscriber:** Patient Demographics, Immunization Summaries, Facility/Provider Information



# National Infrastructure – P2P HIE



- ▶ Open IZ has open architecture interfaces
  - ▶ Can act as an ODD query to/from third party EMR messages
  - ▶ FHIR interfaces and other proprietary interfaces still available for web/mobile apps
  - ▶ Interfaces to/from other supporting systems via standards based interfaces
- ▶ Same interfaces and code as used in OpenHIE and BUS based HIE



# IMS Data Model

A MASTER DATA MODEL  
SUPPORTING A VARIETY OF  
JURISDICTIONAL  
REQUIREMENTS



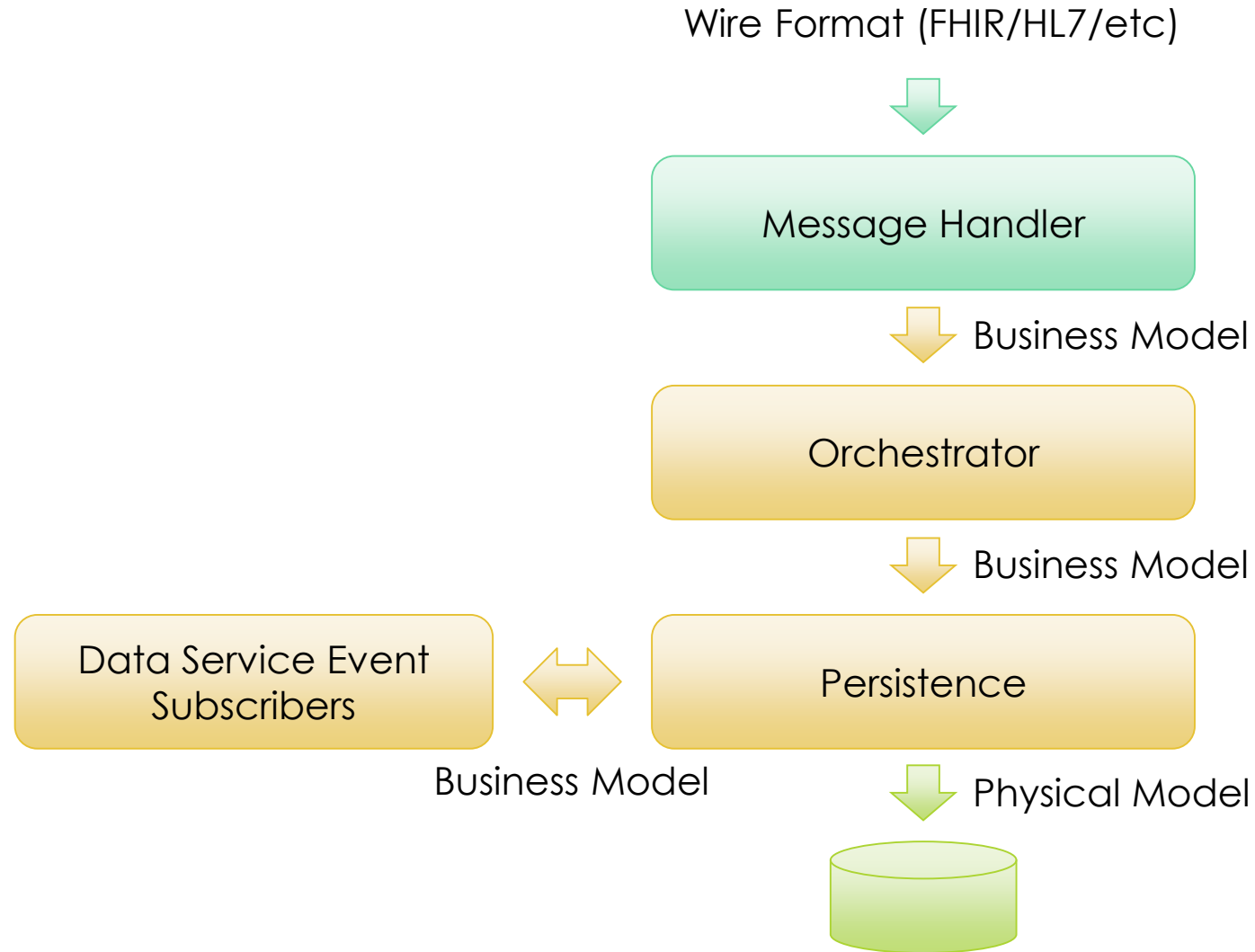


# Concepts of the Data Model

- ▶ OpenIZ sports a robust data model
- ▶ Consists of two layers:
  - ▶ Business Data Model – A model which uses classes to express concepts
  - ▶ Physical Data Model – Realization of the logical RDBMS model.
- ▶ Broken into 5 sub-categories
  - ▶ Privacy & Security
  - ▶ Clinical Data
  - ▶ Stock Management
  - ▶ Protocol / Workflow
  - ▶ Concept Dictionary

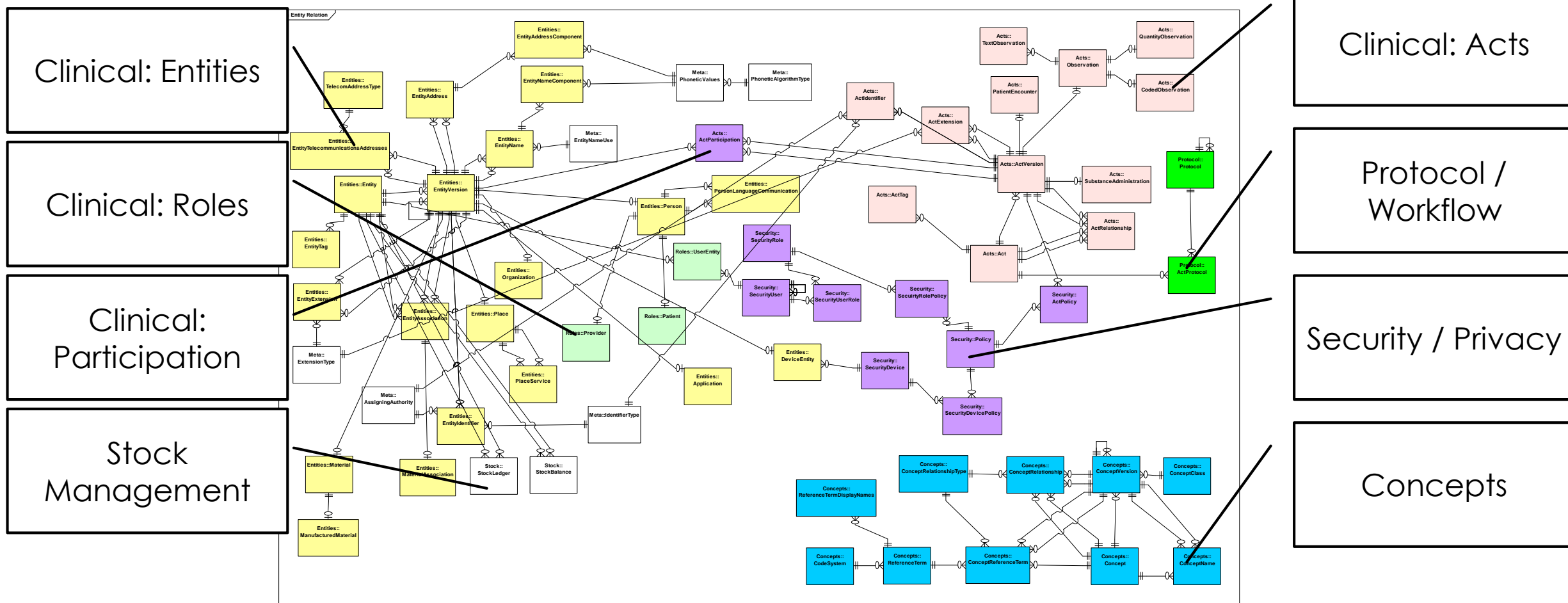


# From Business Model to MDM





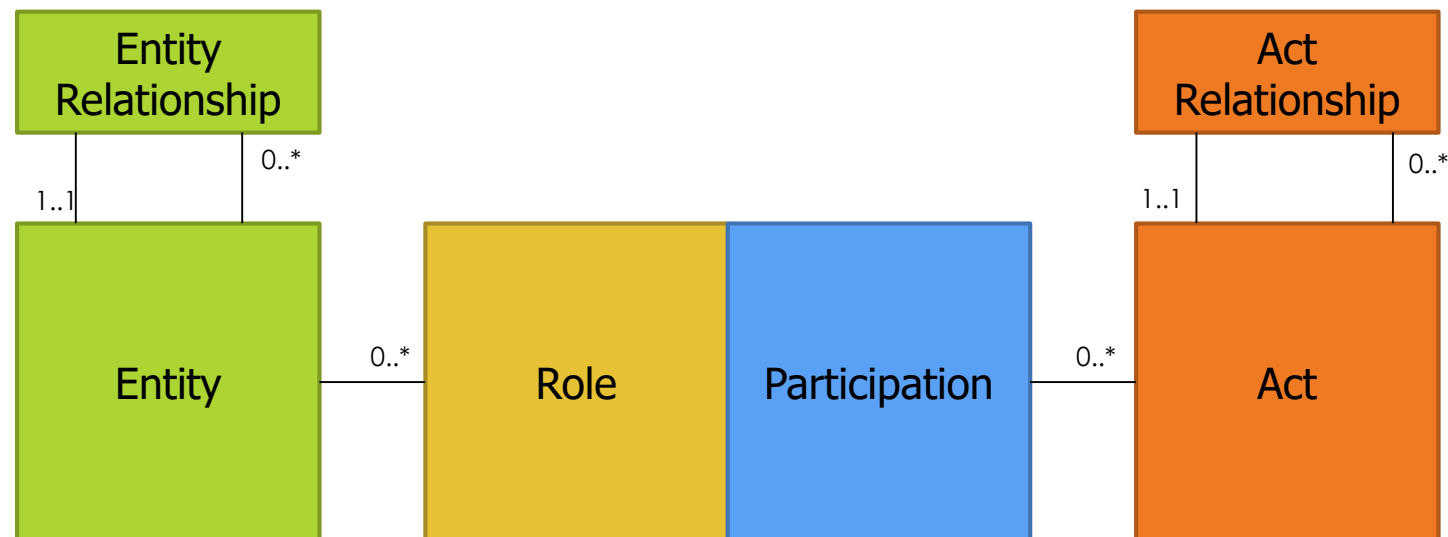
# OpenI3 Master Data Model (MDM)





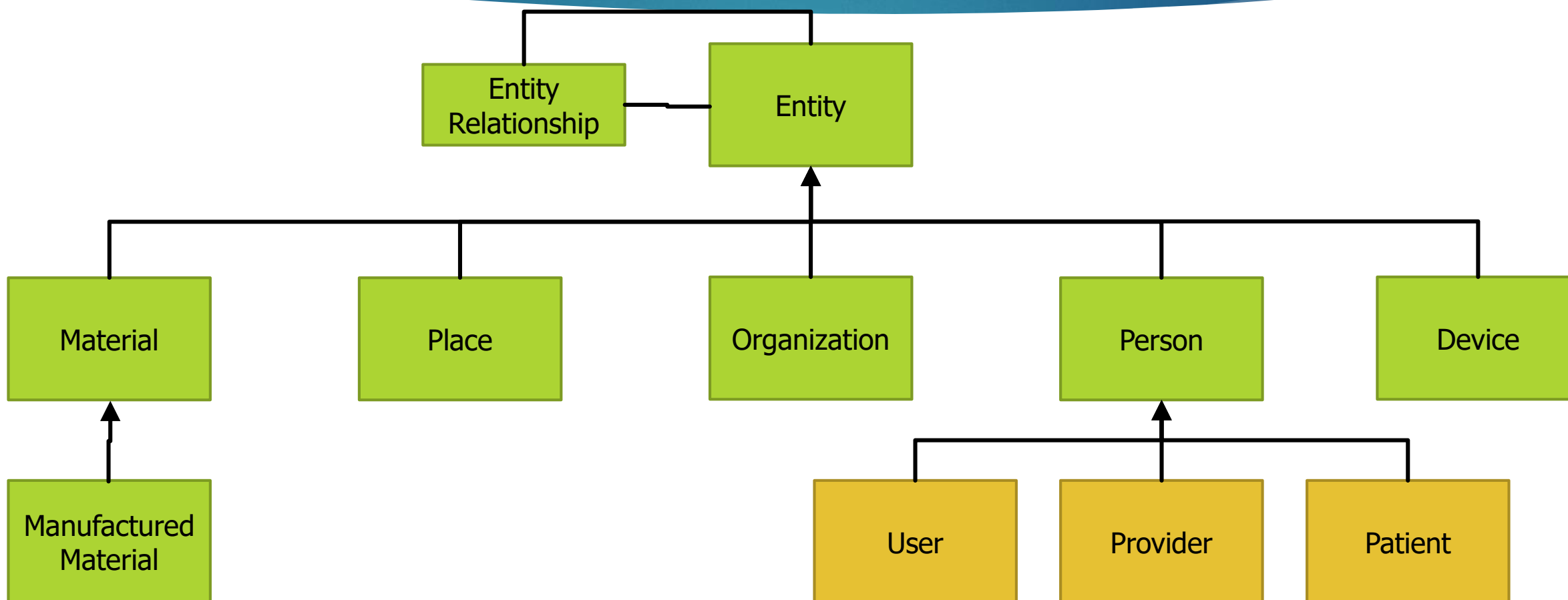
# Clinical Tables

- ▶ Clinical data model is loosely based on the HL7 RIM
  - ▶ Entities playing roles participating in acts.
  - ▶ Complexities are handled by the API layer



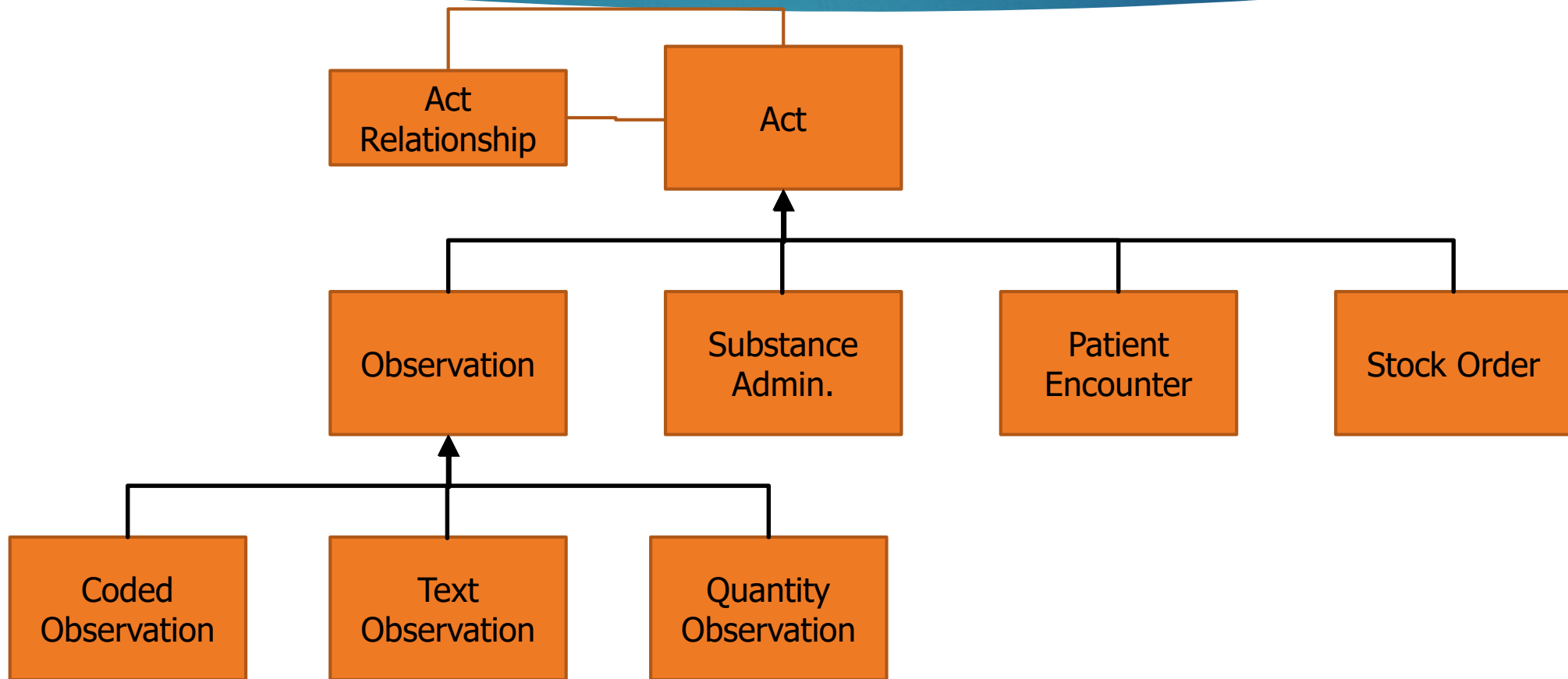


# Clinical Tables: Entities





# Clinical Tables: Acts





# Example: Immunizing A Child

- ▶ A child [entity] presents to a clinic [entity] appointment [act] to receive their scheduled vaccinations. A clinician [entity] administers [act] each vaccine [entity]. The clinician then observes [act] a rash.
  - ▶ In this scenario an appointment [Act: ENC, INT] is setup between a clinic [Entity: PLC, SDLOC] and the patient (child) [Entity: PAT, RCT].
  - ▶ At a later time an encounter [Act: ENC, EVN] is created which fulfills the appointment. The patient and clinic as well as clinician [Entity: ASSIGNED, PRF] are associated.
    - ▶ The act contains several substance administrations [Act: SBADM, EVN, IMMUNIZE] of vaccines [Entity: MMAT, SUBJ] are performed and linked to the encounter [COMP]
    - ▶ The coded observation [Act: PROB, EVN, SYMPTOM] of a rash is linked to the encounter [CAUS] as well.
      - ▶ If a severity is reported then it is recorded as an obs [Act: OBS, EVN, SEVERITY] and linked to the reaction [SEV]
    - ▶ If the clinician wishes to report an allergy, then a concern is created [Act: OBS, EVN, DALG] and the reaction is linked.



# Information Modeling - Cards

## Acts

Class Code	Instance Data
Mood Code	
Type Code	

Role
Quantity

## Entities

Class Code	Instance Data
Det. Code	
Form / Type	

ENC	Vaccination Encounter 12-12-2016
INT	
VACC	

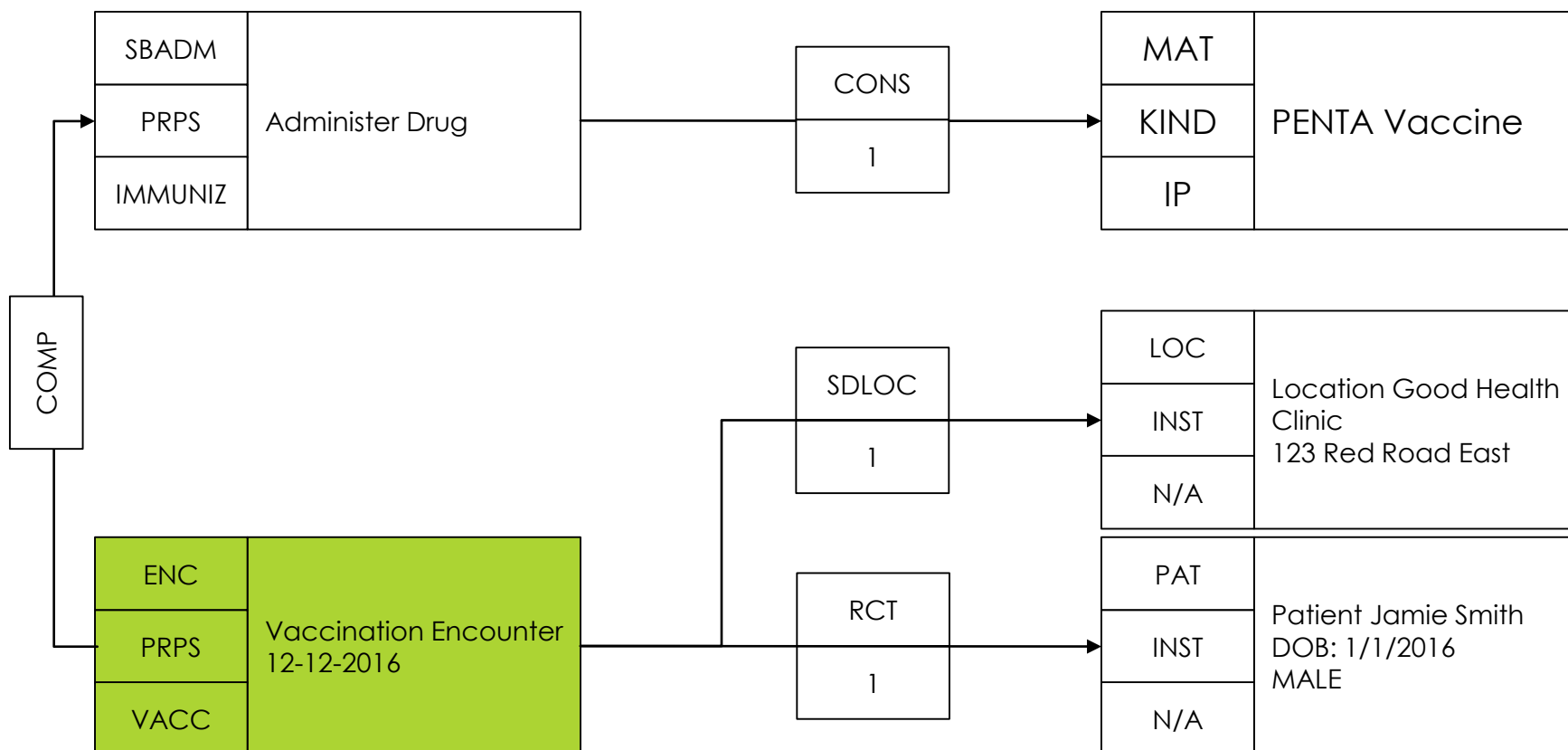
RCT
1

PAT	Patient Jamie Smith DOB: 1/1/2016 MALE
INST	
N/A	

Read: Intend to have an encounter with patient Jamie Smith



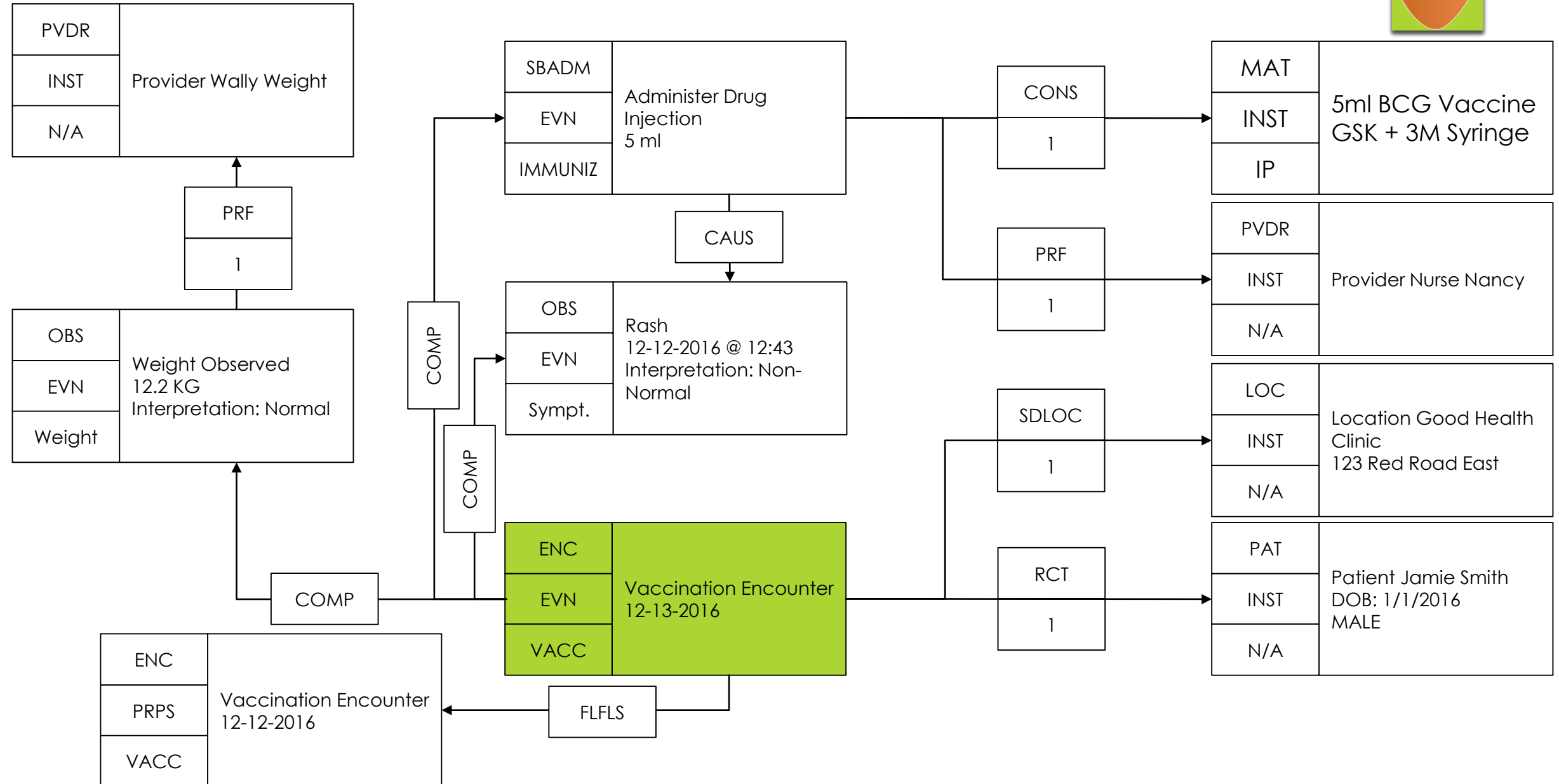
# Modeling an Appointment from Forecaster

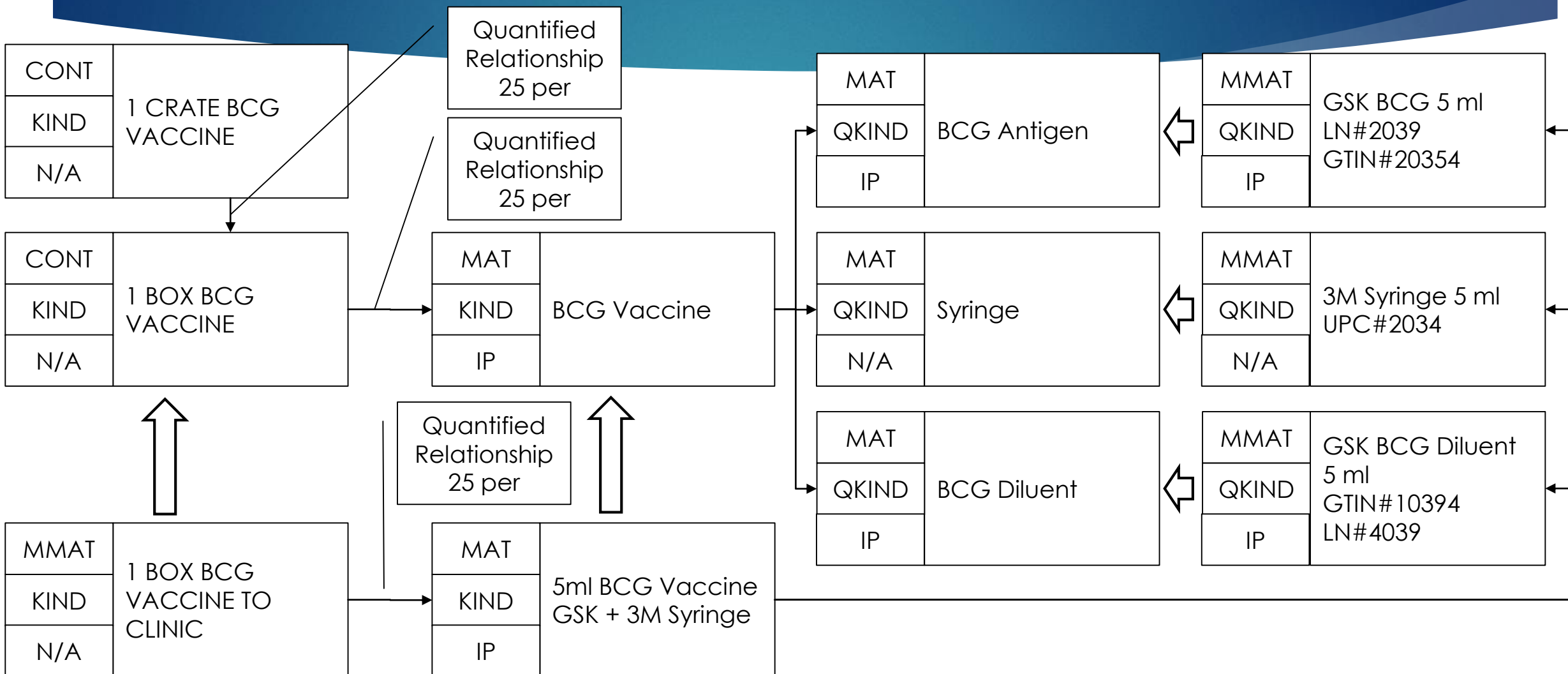






# Modeling Vaccine + Weight + AEFI



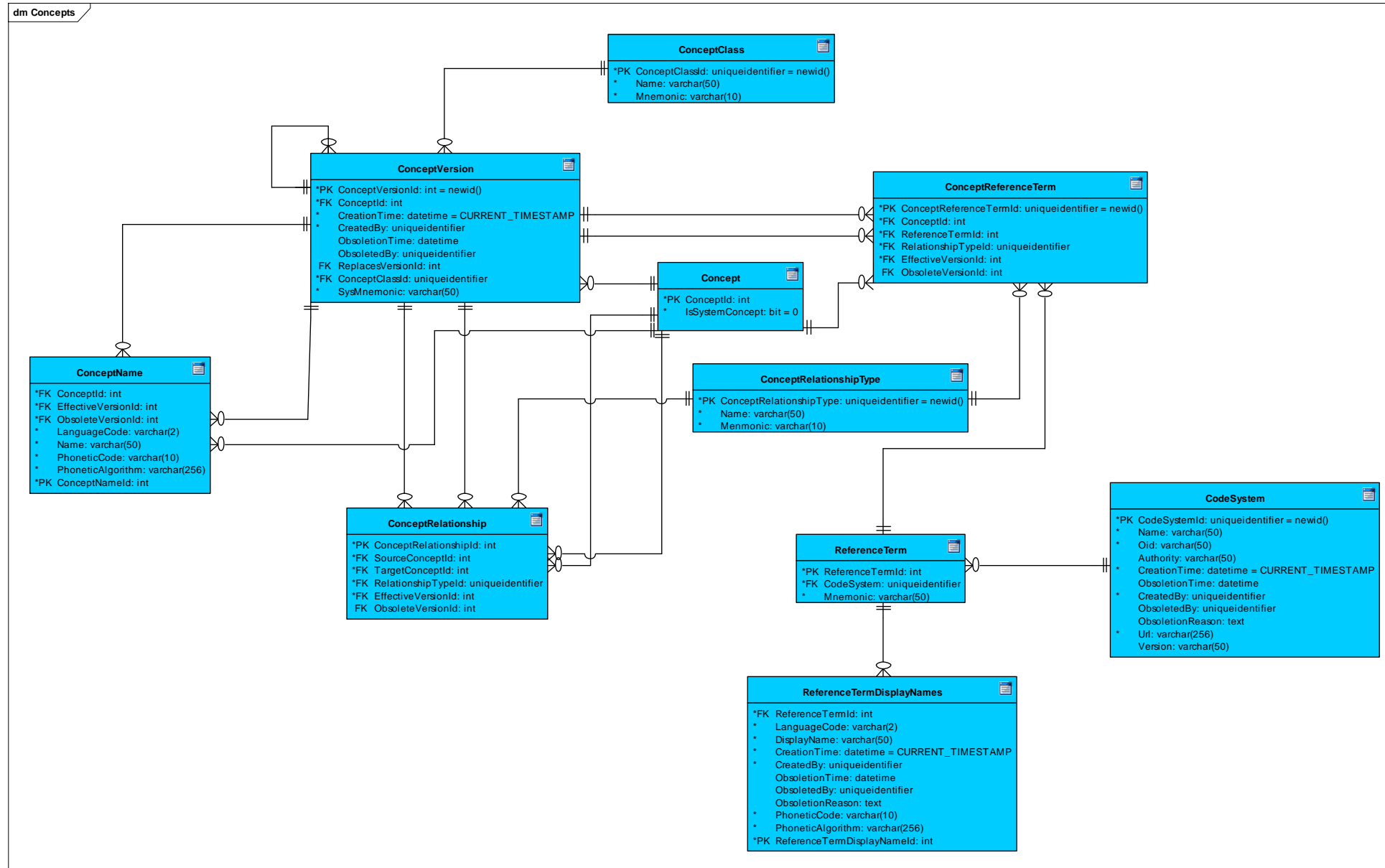




# Concept Dictionary

- ▶ OpenIZ uses a canonical concept dictionary
  - ▶ A unique list of concepts which can be used in the OpenIZ clinical tables
  - ▶ Curated by a jurisdiction prior to deployment or during deployment
- ▶ OpenIZ can translate
  - ▶ Reference Terms are wire-level representations of OpenIZ concepts.
  - ▶ Allows jurisdictions to select which code-systems are used
- ▶ OpenIZ can validate
  - ▶ Validates concepts based on concept classifications and concept set members
- ▶ Interoperability roadmap
  - ▶ Can integrate with external systems via ITerminologyService implementations
    - ▶ HL7 CTS 1.2
    - ▶ HL7 FHIR

# Concept Dictionary





# Protocol & Workflow

- ▶ Business Rules Engine
  - ▶ Supports extensible business rules written in ECMA Script (IMS default is JScript)
  - ▶ Example business rule: Whenever a material is administered, update stock ledger.
  - ▶ JScript triggers interact with event model from the OpenIZ IMS event handlers.
- ▶ Forecasting Engine
  - ▶ Inference Engine: Rules are applied to Facts to make Conclusions
  - ▶ Example Rules:
    - ▶ Don't give live attenuated vaccines to immunosuppressed individuals
    - ▶ OPV must be given at birth, 6 weeks, ...
  - ▶ Example Facts:
    - ▶ HIV is a immunosuppressed condition
    - ▶ OPV is a live attenuated virus
    - ▶ Baby Simba has HIV
  - ▶ Conclusions:
    - ▶ Baby Simba should not receive OPV doses

# Security & Privacy

PRIVACY BY DESIGN –  
OPENIZ INTEGRATES PSA INTO  
THE FABRIC OF THE SOLUTION





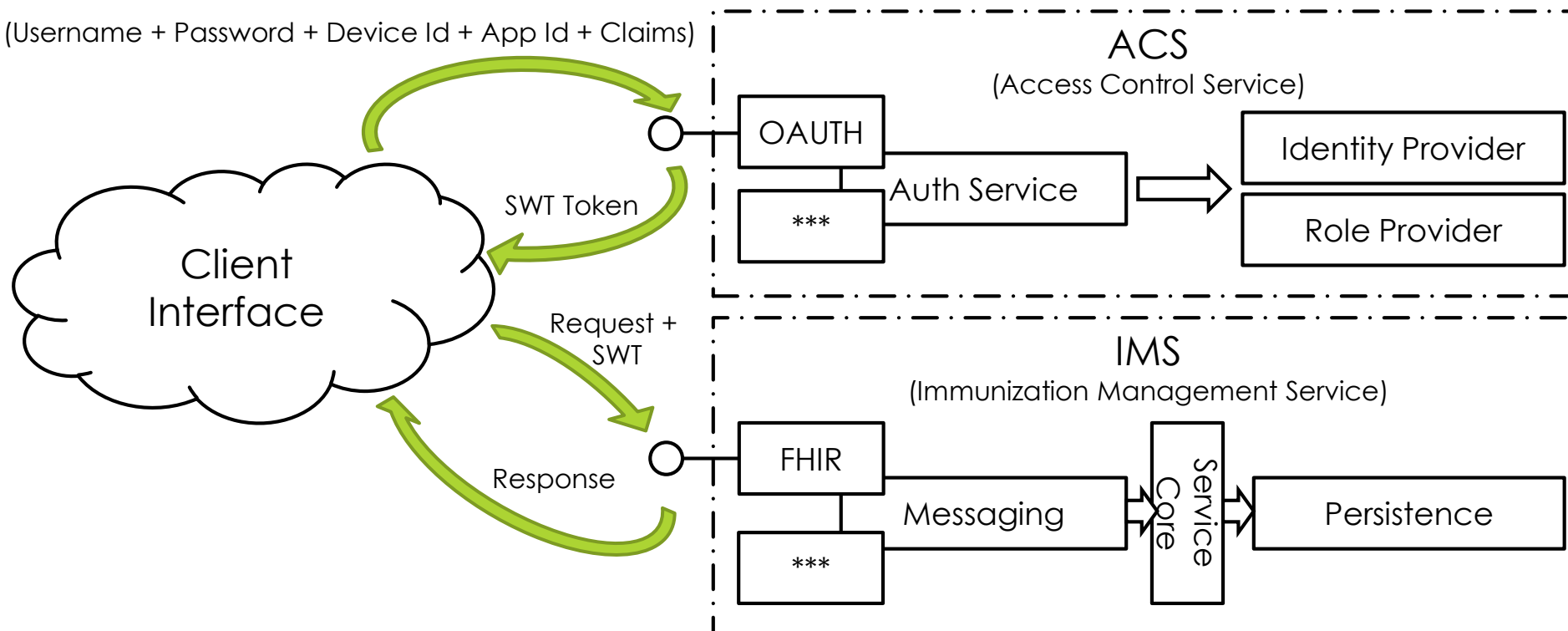
# Security / Policy System

- ▶ Authentication / Authorization
  - ▶ Uses claims based authentication
- ▶ Policies
  - ▶ Policy Information – Stored in Policy classes based on Policy table
  - ▶ Policy Decision – Handled by querying associative entities to Role/Device/Application tables.
  - ▶ Policy Enforcement – Decision from built-in PDP is sent to IPolicyEnforcement service for action.
- ▶ Interoperability Profile roadmap
  - ▶ Security
    - ▶ OAUTH
    - ▶ BASIC Auth
  - ▶ Policy / ACL
    - ▶ XACML



# Authentication Architecture

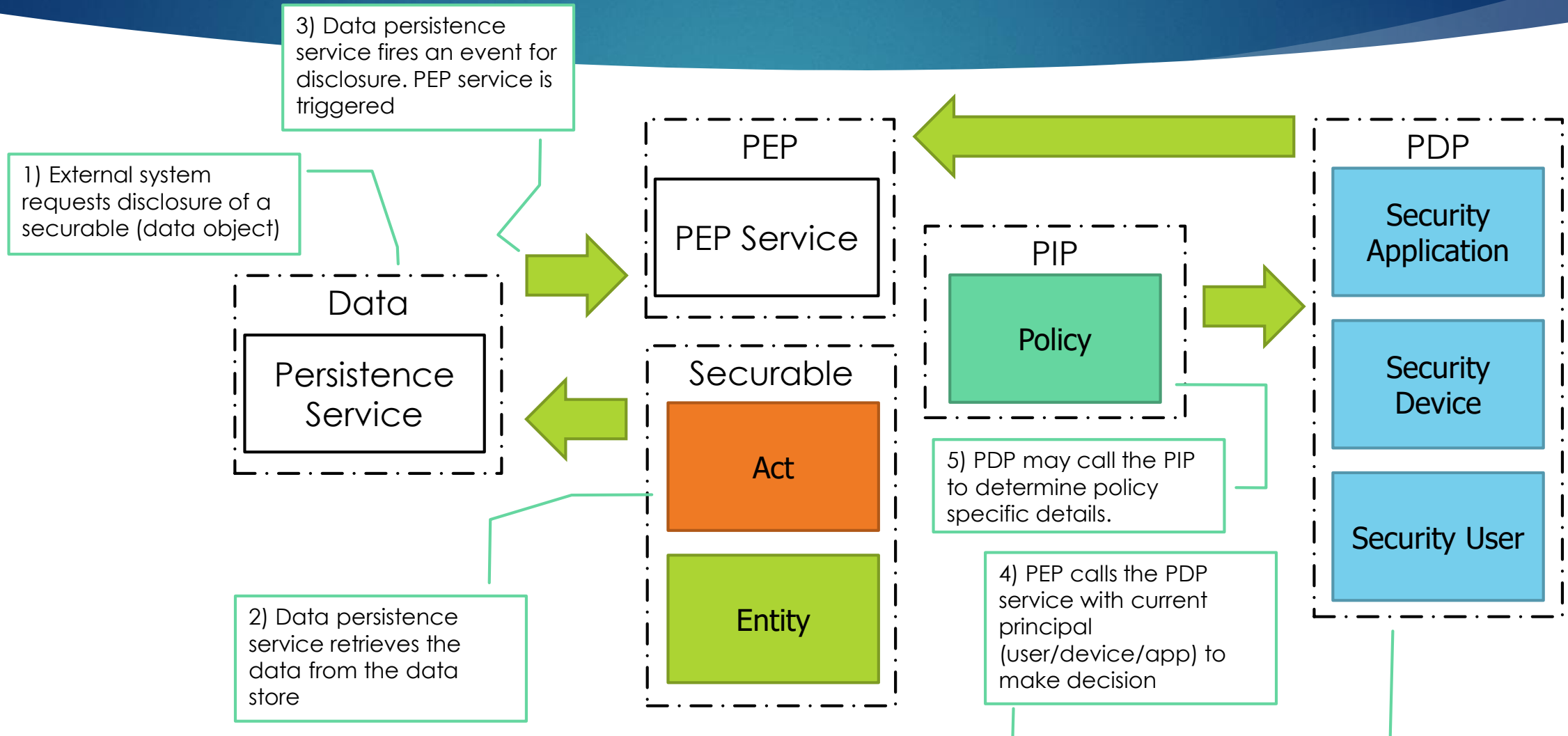
Authenticate (Username + Password + Device Id + App Id + Claims)







# Security/Policy System





# Security Validation

- ▶ Embedded right into the .NET assemblies which make up OpenIZ
  - ▶ Uses CAS (Code Access Security) in both declarative and imperative ways
- ▶ Policies are customizable – you can extend policy behavior
- ▶ OpenIZ has built in policies for:
  - ▶ Login
  - ▶ Administrative functions – User management & password change
  - ▶ Clinical Functions – Write, Read, Delete, Query



# IMS Applications

PUTTING A USER INTERFACE  
ON THE IMS



# OpenIZ IMS vs. OpenIZ Apps

## OpenIZ IMS

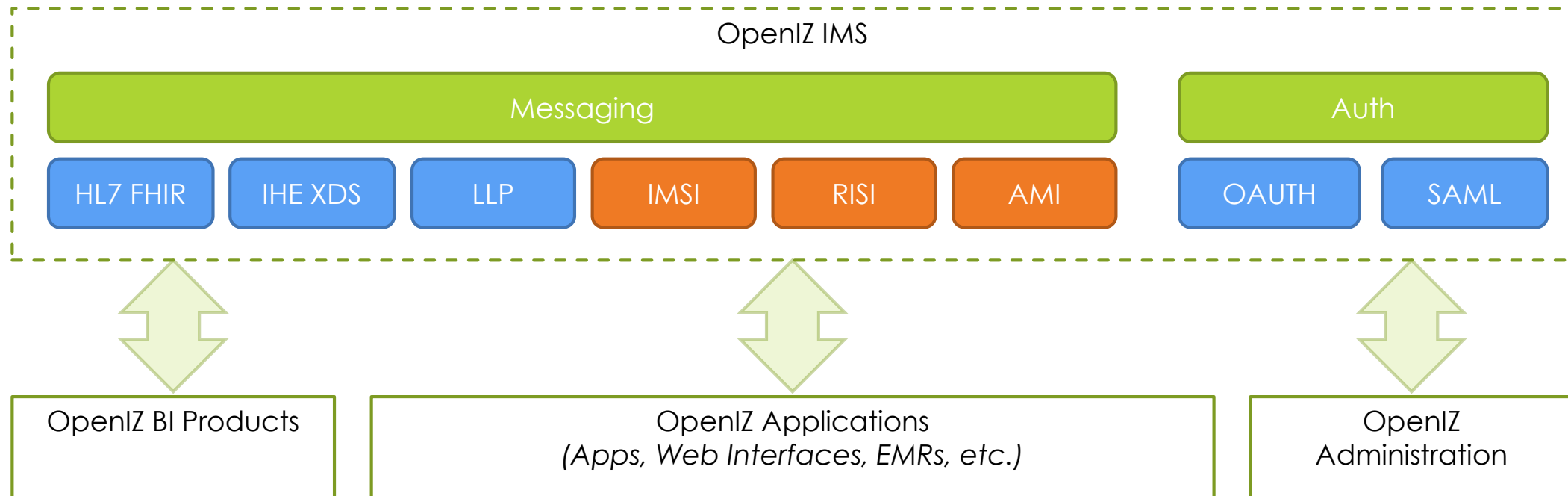
- ▶ The IMS is a headless service
- ▶ It performs all functions related to:
  - ▶ Integration with external parties,
  - ▶ Forecasting and appointment management,
  - ▶ Patient, Place, Vaccine, Act, management,
  - ▶ Stock management and reporting
- ▶ Open Architecture & Open Source

## OpenIZ Apps

- ▶ User Interfaces to the IMS data
  - ▶ Web Sites
  - ▶ EMRs like OpenMRS or OSCAR
  - ▶ Mobile Applications
  - ▶ Business Intelligence Tools
- ▶ Consumers of IMS services
- ▶ Can be commercial applications



# OpenIZ IMS Integration





# Messaging Handlers

- ▶ Proprietary Interfaces:
  - ▶ Immunization Management Service Interface (IMSI)
  - ▶ Report Integration Service Interface (RISI)
  - ▶ Administrative Management Interface (AMI)
- ▶ Standards Based Interfaces
  - ▶ HL7® Fast Health Interoperability Resources (FHIR™)
  - ▶ HL7® CDA™ over IHE XDS
  - ▶ HL7v2™ over LLP
  - ▶ SAML / XUA
  - ▶ OAUTH / IUA



# IMSI (Immunization Management System Interface)

- ▶ RESTful API exposing the underlying IMS .NET API
  - ▶ 1-to-1 match for all immunization functions
  - ▶ Underlying system identifiers are exposed (UUIDs)
- ▶ Functions include:
  - ▶ Create/Update/Query/Get Entities
  - ▶ Create/Update/Query/Get Acts
  - ▶ Create/Update/Query/Get Concepts & Reference Terms
  - ▶ Create/Update/Query/Get Stock Ledger and Balances
- ▶ Useful for offline synchronization (exposes ALL clinical data from IMS)



# RISI (Report Integration Services Interface)

- ▶ RESTful API used for business intelligence operations
  - ▶ Query only
  - ▶ All data is either aggregate or de-identified
  - ▶ Integrates with raw-table level data interface functions (i.e. bypasses the IMS .NET API)
- ▶ Integration with reporting engines
  - ▶ Report URL and Parameter Construction





# AMI (Administration & Management Interface)

- ▶ SOAP Based Administrative Interface
  - ▶ Restarting/Stopping Nodes in IMS cluster
  - ▶ Installing/Removing handlers and service classes
  - ▶ Configuring AssigningAuthorities, Identifier Types, etc.
  - ▶ Configuring Reports and stored queries
- ▶ No access to clinical data
- ▶ Administrator access only



# HL7® FHIR™

- ▶ RESTful, Standards-based API
- ▶ Exposes following resources in FHIR 1.0.2
  - ▶ Vaccination – Create/Update/Search/Get/History-Get
  - ▶ VaccinationRecommendation – Create/Update/Search/Get/History-Get
  - ▶ Patient – Create/Update/Search/Get/History-Get
  - ▶ Provider – Create/Update/Search/Get/History-Get
  - ▶ Organization – Create/Update/Search/Get/History-Get
  - ▶ RelatedPerson – Create/Update/Search/Get
  - ▶ Encounter – Create/Update/Search/Get
  - ▶ Observation – Create/Update/Search/Get
  - ▶ Problem – Create/Update/Search/Get
  - ▶ Allergy/Intolerance – Create/Update/Search/Get



# HL7® CDA™ over IHE XDS

- ▶ IHE XDS
  - ▶ Static and On-Demand Documents
- ▶ CDA™
  - ▶ IHE PCC Immunization Content CDA (IC) Document Consumer and Document Source



# HL7v2.x

- ▶ HL7 Version 2.5 interfaces over Lower Level Protocol (LLP)
  - ▶ ADT – A01 (Create), A08 (Update), A40 (Merge)
  - ▶ QBP – Q21 (Find Candidates)
  - ▶ VXQ – V01 (Vaccine Record Query)
  - ▶ VXU – V04 (Vaccine Record Update)
  - ▶ SRM – S01 (New Appointment), S02 (Appointment Revision), S04 (Appointment Cancellation), S06 (Appointment Delete/Obsolete)



# Example: OpenIZ Disconnected Client

OpenIZ Mobile

4:54 / 4:54 / 4:54  
org.openiz.openiz.mobile  
surface: finger  
system: service  
android: service

**Patient Management**

Patient Check-in Register Patient Search Patients

**Encounter Management**

AEFI Quick Report Appointments Encounters

**Queue** **Walk-in**

**Stock Management**

Quick Receive Stock

**Administration**

Announcements Audit Log System Settings

OpenIZ Mobile

Patient Registration

**Demographic Information**

Given: Luke Family: Smith Date of Birth: 05/02/2015 Accuracy: Day Gender: Male

**Potential Duplicates**

Name (s)	Birthdate	Gender	City/Village(s)
Luke Bension Thomas Smith	02-MAY-2015	Male	Village 1

**Identification**

Id: 6464657586868576 Scan Yellow Card ID

**Domicile**

City/Town(s): Village 1 City 1 Birth Place: Hospital 2

**Contact Information**

Tel: 465432345689976533 Mobile E-Mail: someone@somedomain.com

OpenIZ Mobile

Patient Search

**Barcode Scan**

Identifier: XXXXXXXXXXXX Scan

**Patient Demographics Criteria**

First Name: Luke Last Name: Last/Family Name(s) Date of Birth (from): 02/12/2016 Date of Birth (to): 02/24/2016 Gender:

**Next of Kin**

Relationship to Client: First Name: First/Given Name(s) Last Name: Last/Family Name(s)

**Additional Search Criteria**

City/Village: Village Telephone: Telephone Number

Cancel Search



# Roadmap

WHERE WE'RE HEADING






# Q4 – 2015 Roadmap

OCT 2015		NOV 2015		DEC 2015	
IMS	<ul style="list-style-type: none"><li>○ Solutions architecture commences</li><li>○ Initial development plan</li><li>○ Business plan completed</li></ul>		<ul style="list-style-type: none"><li>○ Registration of OSS project</li><li>○ Design of data model</li><li>○ SQL Server implementation of data model</li><li>○ Solutions architecture commences</li></ul>		<ul style="list-style-type: none"><li>○ Model view classes completed</li><li>○ View model classes designed and commence</li><li>○ Service core backbone commences</li><li>○ Solutions architecture completed.</li></ul>
Applications		<ul style="list-style-type: none"><li>○ Initial development plan</li></ul>		<ul style="list-style-type: none"><li>○ Public profile created</li><li>○ Application wireframes completed</li><li>○ Application technology platform selection commences.</li></ul>	



# Q1 – 2016 Roadmap

JAN 2016		FEB 2016		MAR 2016 	
IMS	<ul style="list-style-type: none"><li>○ IMSI interface completed</li><li>○ Service core classes / contracts completed</li><li>○ FHIR interface commences</li><li>○ View model classes completed</li><li>○ JavaScript BRE engine integrated.</li></ul>		<ul style="list-style-type: none"><li>○ FHIR interface completed</li><li>○ LLP transport service integrated</li><li>○ ADT / QBP HL7 interfaces integrated</li><li>○ OAUTH provider integrated.</li><li>○ AMI commences</li><li>○ NxBRE forecaster integrated</li></ul>		<ul style="list-style-type: none"><li>○ PostgreSQL Data Model implementation completed</li><li>○ RISI interface commences</li><li>○ SSRS RISI provider completed</li><li>○ Administrative UI completed</li><li>○ VXU/VXQ HL7 interfaces integrated.</li></ul>
Applications	<ul style="list-style-type: none"><li>○ Disconnected client designed</li><li>○ Vaccination web user interface commences.</li></ul>		<ul style="list-style-type: none"><li>○ Vaccination web user interface completed</li><li>○ Administrative/operations management console started.</li></ul>		<ul style="list-style-type: none"><li>○ Administrative/operations console completed.</li><li>○ Business intelligence / analytics user interface commences.</li></ul>





# Q2 – 2016 Roadmap

Release: Algonquin

APR 2016

IMS

- RISI interface completed
- Participation at immunization connect-a-thon
- Jasper RISI provider implemented
- Basic JavaScript business rules implemented

Applications

- Demonstration at AppsForHealth 2016

MAY 2016

- Participation at HL7 FHIR connect-a-thon
- Stock management BRE logic implemented.
- IMSI sync protocol completed
- PIX / PDQ client integration completed.

Release: Bluenose

JUN 2016

- ICE forecaster implementation
- XDS / CDA producer / consumer implemented
- JUN-2016 CTP release package.



# Final Thoughts

A FEW PARTING THOUGHTS