

# A Study of Robust Learning under Label Noise with Neural Networks

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- Given the success of deep learning in the last decade and need for large scale datasets, label errors are inevitable.
- These labelling errors can be due to automated labelling processes, crowdsourced annotations, human errors, etc.
- That's why the problem of robust learning under label noise is relevant and needs to be studied in detail.

# Supervised Learning - Formulation

- **Assumption:** i.i.d. samples  $\{(\mathbf{x}_i, y_i)\}_{i=1}^m$   
( $\mathbf{x}_i \in \mathcal{X} \subseteq \mathbb{R}^n, y_i \in \mathcal{Y} \subseteq \mathbb{R}^K$ ) drawn from underlying distribution,  $\mathcal{D}$



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- After learning the score function,  $f : \mathcal{X} \rightarrow \mathcal{M} \subseteq \mathbb{R}^K$ , the label prediction is done with the help of a 'pred' function
- So, the classifier function that we want to learn can be written as  $h(\cdot) = \text{pred} \circ f(\cdot)$ . For instance,  $\text{pred}(o)$  could be the max function selecting the index corresponding to the maximum component of  $o \in \mathcal{M}$

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- *Risk* for a given classifier function,  $f$ , and loss function,  $L$ , w.r.t the underlying distribution,  $\mathcal{D}$ , can be defined as:

$$R_L(f) = \mathbb{E}_{\mathcal{D}}[L(f(\mathbf{x}), y_{\mathbf{x}})] \quad (1)$$

## Risk Minimization framework (contd.)

- We denote a global minimizer of this risk by  $f^*$ :

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- For this thesis, all training algorithms implement empirical risk minimization for a user-specified loss function.

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- In the context of neural networks, [54, 3] demonstrate that neural networks are able to memorize the entire training dataset for any amount of label noise. This raises interesting questions for generalization in deep networks.

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- Before we go ahead, we will look at the notation first

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- But what's the relation between the corrupted or noisy ( $y_x$ ) and clean labels ( $y_x^{cl}$ )?

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The  $y_x$  here are the corrupted or noisy labels and they are random variables dependent on the clean labels,  $y_x^{cl}$ , through the following conditional probability relations:

$$\eta_{x,ij} = P(y_x = j | \mathbf{x}, y_x^{cl} = i) \quad \forall j \in [K] \quad (3)$$

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- Based on these probabilities, we can define the noise rate matrix,  $N$ , where  $N_{ij} = P(y_x = j | \mathbf{x}, y_x^{cl} = i) = \eta_{x,ij}$ , which completely specifies the noise model.

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- Note that:

$$\sum_{j \in [K]} \eta_{x,ij} = 1 \quad \forall i \in [K], \quad \forall \mathbf{x} \quad (4)$$

## Problem Formulation (contd.)

Label noise can be categorized into 3 types:

- **Symmetric/Uniform Label Noise (SLN):** The labels are uniformly flipped to any one of the remaining classes. That is,  $\eta_{\mathbf{x},ij}$  do not depend on  $\mathbf{x}$ .

$$\eta_{\mathbf{x},ij} = \frac{\eta}{K-1} \quad (\forall j \in [K], j \neq i) \quad (5)$$

$$\eta_{\mathbf{x},ii} = 1 - \eta \quad (6)$$

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Label noise can be categorized into 3 types:

- **Class-conditional Label Noise (CCLN):** This is, relative to symmetric label noise, a more realistic model of label noise. As per this model, the noise rates are dependent on the true labels ( $y_x^{cl}$ 's) only .i.e.

$$\eta_{x,ij} = P(y_x = j | \mathbf{x}, y_x^{cl} = i) = P(y_x = j | y_x^{cl} = i) \quad (7)$$

## Problem Formulation (contd.)

- **Non-Uniform label noise (NULN):** This is the most general case of label noise. As per this model, the noise rates are dependent on both the feature vectors ( $\mathbf{x}$ 's) and the true labels ( $y_{\mathbf{x}}^{c_l}$ 's).

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- So, for robust learning under label noise, the objective is to learn a classifier from noisy training data,  $S_\eta$ , such that the classifier performs “well” on data drawn from  $\mathcal{D}$ .
- We capture this idea of **robustness** through the *risk minimization* framework and call it **Robust Risk Minimization**.



## Problem Formulation (contd.)

- Risk minimization for loss function,  $L$ , is said to be **robust** under label noise if [33]:

$$\text{Prob}_{\mathcal{D}}(\{pred \circ f^*(\mathbf{x}) = y_{\mathbf{x}}\}) = \text{Prob}_{\mathcal{D}}(\{pred \circ f_{\eta}^*(\mathbf{x}) = y_{\mathbf{x}}\})$$

### Recall that

- ▶  $f^*$  – a global minimizer of  $R_L(f)$
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- Note that the probability in the above definition is w.r.t.  $\mathcal{D}$ , the underlying (unknown) distribution, that characterizes the clean or true labels.

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- A stronger notion of robustness could also be defined wherein a minimizer of  $R_L^{\eta}(f)$  is required to also be a minimizer of  $R_L(f)$ .

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- A stronger notion of robustness could also be defined wherein a minimizer of  $R_L^{\eta}(f)$  is required to also be a minimizer of  $R_L(f)$ .
- This idea of **robust risk minimization** will be explored further (in the context of neural networks) in the coming slides.

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# Literature Review

We briefly allude to the existing approaches for robustness under label noise via the following categorization:

- *Label filtering* algorithms[4, 19, 20, 50, 7, 14] attempt at identifying the samples that are likely to have incorrect labels. This is one of the oldest approaches and mainly incorporates removal of outliers based on *robust statistics* theory.

# Literature Review

We briefly allude to the existing approaches for robustness under label noise via the following categorization:

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- *Label cleaning* algorithms [44] attempt at identifying and correcting the potentially incorrect labels through joint optimization of labels and network weights [45, 52] or treating true labels as latent variables and using EM-style algorithms to infer them [49]. Various heuristics such as using ensemble of classifiers [58] or entropy of the softmax output of a neural network [44] are also used to identify the most-likely correct label.



- *Loss correction* methods [37, 47, 16, 35] suitably modify loss function (or posterior probabilities) to correct for the effects of label noise on risk minimization such that minimizers of risk under the noisy distribution ( $\mathcal{D}_\eta$ ) are same as those of risk under the clean distribution ( $\mathcal{D}$ ); however, they need to know (or estimate) the noise rates.

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- *Robust Loss Function* based methods devise novel loss functions which are inherently robust (Equation 8) thereby enabling robust risk minimization in presence of label noise [11, 56, 33, 29, 23, 46, 5].

- *Regularization* methods devise regularizers that penalize the network parameters if the network starts overfitting to noisy data and direct the network to learn from clean data [1, 26, 30, 55, 40, 34, 27] instead.

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- *Regularization* methods devise regularizers that penalize the network parameters if the network starts overfitting to noisy data and direct the network to learn from clean data [1, 26, 30, 55, 40, 34, 27] instead.
- *Sample Reweighting* methods [18, 32, 28, 13, 53, 41, 43, 51] are one of the popular strategies for regularization to reduce overfitting to the noisy data. The idea is to optimize over a weighted loss wherein each sample's weight is adjusted such that the overfitting to noisy data is reduced and more weightage is given to the data that's believed to be 'clean' <sup>†</sup>.

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- This is done to reduce the influence of samples that are likely to have noisy labels thereby reducing overfitting to it.
- This idea is very similar to that of ‘curriculum learning’ wherein the objective is to find a sequencing of samples for training such that the network learns from ‘easy’ samples before learning from ‘hard’ ones.



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- In the context of label noise, one can think of clean samples as the ‘easy’ ones and noisy samples as the ‘hard’ ones.
- This is a plausible analogy as studies such as [3, 12, 31] show that neural networks, when trained on randomly-labelled data, seem to learn from clean data before overfitting to the noisy data.

## Related Work

- Motivated by this, several strategies of ‘curriculum learning’ have been devised that aim to select (or give more weightage to) ‘clean’ samples for robustness against label noise [18, 13, 53, 51, 28].

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- Co-Teaching [13] and Co-Teaching+ [53] cross-train two similar neural network with this ‘small loss’ trick’. However, we need to know the noise rates.

## Related Work (contd.)

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- [28] propose a loss function that can be constructed using a surrogate loss of 0–1 loss function. This resultant loss is a (binary) weighted sum of the chosen surrogate loss values such that it's minimized with respect to the fixed threshold that depends on the noise rate.

## Related Work (contd.)

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- However, loss value of any specific example is itself a function of the current state of learning and it evolves with epochs.
- Loss values of even clean samples may change over a significant range during the course of learning.
- Further, the loss values achievable by a network even on clean samples may be different for examples of different classes.

# An adaptive curriculum

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- The idea is to focus on the current state of learning, in a given mini-batch, for identifying the noisy labels in it.
- This is done by using the batch statistics of loss values for a mini-batch to compute the threshold for sample selection. We do not need any knowledge of noise rates at all.

## An adaptive curriculum (contd.)

General curriculum can be viewed as minimization of a weighted loss [24, 18]:

$$\min_{\theta, \mathbf{w} \in [0,1]^m} \mathcal{L}_{\text{wtd}}(\theta, \mathbf{w}) = \frac{1}{m} \sum_{i=1}^m w_i \mathcal{L}(f(x_i; \theta), y_i) + G(\mathbf{w}) + \beta \|\theta\|^2$$

where  $G(\mathbf{w})$  represents the curriculum,  $f(\cdot; \theta) \in \Delta^{K-1}$  ( $\Delta^{K-1} \subset [0, 1]^K$  is the probability simplex) is a classifier function parameterized by  $\theta$ , and  $\mathcal{L}(f(\cdot; \theta), \cdot)$  is a loss function. We use CCE loss here.

## An adaptive curriculum (contd.)

- One simple choice for the curriculum is [24]  $G(\mathbf{w}) = -\lambda \|\mathbf{w}\|_1$ ,  $\lambda > 0$ . Putting this in the above, omitting the regularization term and taking  $l_i = \mathcal{L}(f(x_i; \theta), y_i)$ , the optimization problem becomes

$$\begin{aligned} \min_{\theta, \mathbf{w} \in [0,1]^m} \mathcal{L}_{\text{wtd}}(\theta, \mathbf{w}) &= \frac{1}{m} \sum_{i=1}^m (w_i l_i - \lambda w_i) \\ &= \sum_{i=1}^m (w_i l_i + (1 - w_i) \lambda) - m \lambda \end{aligned}$$

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- Under the usual assumption that loss function is non-negative, for the above problem, the optimal  $\mathbf{w}$  for any fixed  $\theta$  is:  $w_i = 1$  if  $l_i < \lambda$  and  $w_i = 0$  otherwise.

## An adaptive curriculum (contd.)

- If we want an adaptive curriculum, we want  $\lambda$  to be dynamically adjusted based on the current state of learning. First, let us consider the case where we make  $\lambda$  depend on the class label. The optimization problem becomes

$$\begin{aligned}\min_{\theta, \mathbf{w} \in [0,1]^m} \mathcal{L}_{\text{wtd}}(\theta, \mathbf{w}) &= \frac{1}{m} \sum_{i=1}^m (w_i l_i - \lambda(y_i) w_i) \\ &= \frac{1}{m} \sum_{j=1}^K \sum_{i: y_i = e_j} (w_i l_i - \lambda_j w_i) \\ &= \sum_{j=1}^K \sum_{i: y_i = e_j} (w_i l_i + (1 - w_i) \lambda_j) - \sum_{j=1}^K \sum_{i: y_i = e_j} \lambda_j\end{aligned}$$

where  $\lambda_j = \lambda(e_j)$ .

## An adaptive curriculum (contd.)

- As is easy to see, the optimal  $w_i$  (for any fixed  $\theta$ ) are still given by the same relation: for an  $i$  with  $y_i = e_j$ ,  $w_i = 1$  when  $l_i < \lambda_j$ .

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- This relation for optimal  $w_i$  is true even if we make  $\lambda_j$  a function of  $\theta$  and of all  $x_i$  with  $y_i = e_j$ .



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- This relation for optimal  $w_i$  is true even if we make  $\lambda_j$  a function of  $\theta$  and of all  $x_i$  with  $y_i = e_j$ .
- Thus we can have a truly dynamically adaptive curriculum by making these  $\lambda_j$  depend on all  $x_i$  of that class in the mini-batch and the current  $\theta$ .
- The next question is how we should decide or evolve these  $\lambda_j$ .

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- So, we set the sample weights in the following manner:

$$w_i = \begin{cases} 1 & \text{if } f_{y_i}(x_i; \theta) \geq \frac{1}{|\mathcal{S}_{y_i}|} \sum_{s \in \mathcal{S}_{y_i}} f_{y_s}(x_s; \theta) + \sigma_{y_i} \\ 0 & \text{else} \end{cases} \quad (9)$$

where  $\mathcal{S}_{y_i} = \{k \in [m] \mid y_k = y_i\}$  and  $\sigma_{y_i}$  indicates the sample variance of the class posterior probabilities for class- $y_i$  in the given mini-batch.

# BARE - The Algorithm

Keeping in mind that the neural networks are trained in a mini-batch manner, the BARE algorithm consists of three parts:

- Computing sample selection threshold,  $T_{K \times 1}$ , for a given mini-batch of data (Equation 9)

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- Sample selection based on this threshold as per Equation 9
- Parameter updation using these selected samples

# Experimental Setup

Datasets:

- MNIST [25] (– No data augmentation)
- CIFAR-10 [22] (– random cropping with size 4 padding and random horizontal flips)
- Clothing-1M [49] (– random cropping while ensuring fixed image size)

**Table 1:** Dataset details

	TRAIN SIZE	TEST SIZE	# CLASS	SIZE
MNIST	60,000	10,000	10	$28 \times 28$
CIFAR-10	50,000	10,000	10	$32 \times 32$
CLOTHING-1M	10,00,000	10,000	14	$224 \times 224$



## Experimental Setup (contd.)

**Baselines:** We compare the proposed algorithm with the following algorithms from literature:

- Co-Teaching (CoT) [13] which involves cross-training of two similar networks by selecting samples using a loss threshold based on (estimated) noise rates;

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- Curriculum Loss (CL) [28], which involves a curriculum for sample selection based on (estimated) noise rates;
- Standard (CCE), which is the usual training through empirical risk minimization with cross-entropy loss (using the data with noisy labels).

## Experimental Setup (contd.)

- CoT, CoT+, and CL are sample selection algorithms that require knowledge of noise rates. CoT+ and CL require a warm-up period. We use 5 epochs and 10 epochs as warm up period during training for MNIST and CIFAR-10 respectively.
- For all the datasets, 80% of the training set is used for training and, from the remaining 20% data, we sample 1000 images that constitute the validation set.
- MR and MN assume access to a small set of clean validation data. Because of this, and for a fair comparison among all the baselines, a clean validation set of 1000 samples is used in case of MR and MN, and the same set of samples but with the noisy labels is used for the rest of the algorithms including the proposed one.

# Experimental Setup (contd.)

## Types of Label Noise simulated

- Symmetric Label Noise



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- Symmetric Label Noise
- Class-conditional Label Noise
  - ▶ For MNIST, the following flipping is done:  $1 \leftarrow 7$ ,  $2 \rightarrow 7$ ,  $3 \rightarrow 8$ , and  $5 \leftrightarrow 6$
  - ▶ For CIFAR10, the following flipping is done: TRUCK  $\rightarrow$  AUTOMOBILE, BIRD  $\rightarrow$  AIRPLANE, DEER  $\rightarrow$  HORSE, CAT  $\leftrightarrow$  DOG

# Experimental Setup (contd.)

## Network architectures and optimizers

- For MNIST: 1-hidden layer fully-connected network with Adam (learning rate =  $2 \times 10^{-4}$  and a learning rate scheduler: ReduceLROnPlateau)

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- For MR, SGD optimizer with momentum 0.9 and learning rate of  $1 \times 10^{-3}$  is used as the meta-optimizer. For MN, SGD optimizer with learning rate of  $2 \times 10^{-3}$  is used as meta-optimizer.

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- For Clothing-1M: pre-trained ResNet-50 with SGD (learning rate of  $1 \times 10^{-3}$  that is halved at epochs 6 and 11) with a weight decay of  $1 \times 10^{-3}$  and momentum 0.9 for 14 epochs.

# Network Architectures

**Table 2:** Network Architectures used for training on MNIST and CIFAR-10 datasets

MNIST	CIFAR-10
11*DENSE $28 \times 28 \rightarrow 256$	3×3 CONV., 64 ReLU, STRIDE 1, PADDING 1
	BATCH NORMALIZATION
	2×2 MAX POOLING, STRIDE 2
	3×3 CONV., 128 ReLU, STRIDE 1, PADDING 1
	BATCH NORMALIZATION
	2×2 MAX POOLING, STRIDE 2
	3×3 CONV., 196 ReLU, STRIDE 1, PADDING 1
	BATCH NORMALIZATION
	3×3 CONV., 16 ReLU, STRIDE 1, PADDING 1
DENSE $256 \rightarrow 10$	BATCH NORMALIZATION
	2×2 MAX POOLING, STRIDE 2
DENSE $256 \rightarrow 10$	DENSE $256 \rightarrow 10$

# Other Training Details

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- All the simulations are run for 5 trials.
- All experiments use PyTorch [36], NumPy [15], scikit-learn [38], and NVIDIA Titan X Pascal GPU with CUDA 10.0.

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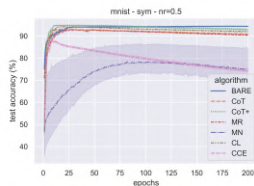
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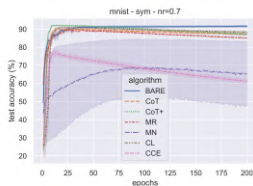
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  - ▶ **recall** ( $\# \text{ clean labels selected} / \# \text{ of clean labels}$ )

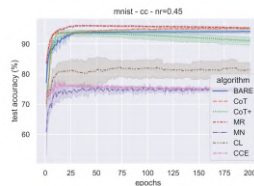
# Results on MNIST - Test Accuracy



(a)



(b)

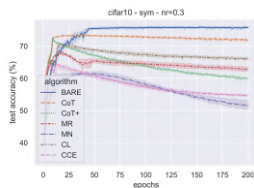


(c)

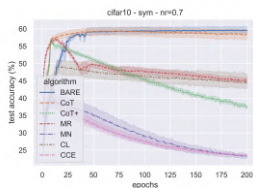
**Figure 1:** Test Accuracies - MNIST - Symmetric ((a) & (b)) & Class-conditional ((c)) Label Noise

- BARE outperforms the baselines for symmetric noise.
- For class-conditional noise, the test accuracy of BARE is marginally less than the best of the baselines, namely CoT and MR.

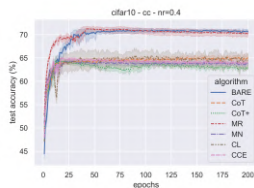
# Results on CIFAR10 - Test Accuracy



(a)



(b)



(c)

**Figure 2:** Test Accuracies - CIFAR10 - Symmetric ((a) & (b)) & Class-conditional ((c)) Label Noise

- BARE outperforms the baseline schemes and its test accuracies are uniformly good for all types of label noise.



## Some comments

- Test accuracies for BARE stays saturated after attaining maximum performance whereas for baselines, there's an accuracy dip towards end of training. This suggests that BARE doesn't let the network overfit even after long durations of training unlike the baselines.

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- Test accuracies for BARE stays saturated after attaining maximum performance whereas for baselines, there's an accuracy dip towards end of training. This suggests that BARE doesn't let the network overfit even after long durations of training unlike the baselines.
- All baselines have hyperparameters and the accuracies reported here are for the best possible hyperparameter values obtained through tuning. The MR and MN algorithms are particularly sensitive to hyperparameter values in the meta learning algorithm. In contrast, BARE has no hyperparameters for the sample selection and hence no such tuning is involved.

# Results on Clothing-1M – Test Accuracy

**Table 3:** Test accuracies on Clothing-1M dataset

ALGORITHM	TEST ACCURACY (%)
CCE	68.94
D2L [31]	69.47
GCE [56]	69.75
FORWARD [37]	69.84
CoT [13] <sup>†</sup>	70.15
SEAL [6]	70.63
DY [2]	71.00
SCE [46]	71.02
LRT [57]	71.74
PTD-R-V [48]	71.67
JOINT OPT. [45]	72.23
<b>BARE (Ours)</b>	<b>72.28</b>
<b>DivideMix</b> [26]	74.76

<sup>†</sup>as reported in [6]

## Results on Clothing-1M – Test Accuracy (contd.)

- Even for real-world noisy datasets such as Clothing-1M where the label noise that isn't synthetic unlike the symmetric and class-conditional label noise used for aforementioned simulations, BARE performs better than all but one baselines.
- However, it is to be noted that DivideMix requires about 2.4 times the computation time required for BARE. In addition to this, DivideMix requires tuning of 5 hyperparameters whereas no such tuning is required for BARE.

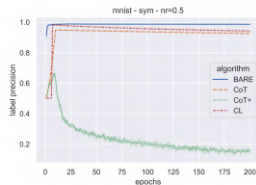
# Efficiency of BARE

- Table 4 shows the typical run times for 200 epochs of training with all the algorithms.
- BARE takes roughly the same time as the usual training with CCE loss. Other baselines are significantly more expensive computationally. For MR and MN, the run times are around 8 times that of BARE for CIFAR-10.

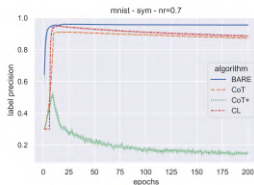
**Table 4:** Algorithm run times for training (in seconds)

ALGORITHM	MNIST	CIFAR10
BARE	<b>310.64</b>	<b>930.78</b>
CoT	504.5	1687.9
CoT+	537.7	1790.57
MR	807.4	8130.87
MN	1138.4	8891.6
CL	730.15	1254.3
CCE	<b>229.27</b>	<b>825.68</b>

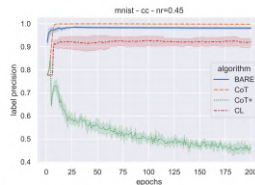
# Efficacy of detecting clean samples - Label Precision



(a)



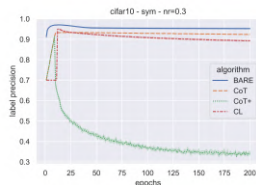
(b)



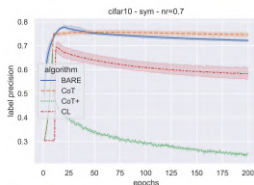
(c)

**Figure 3:** Label Precision - MNIST - Symmetric ((a) & (b)) & Class-conditional ((c)) Label Noise

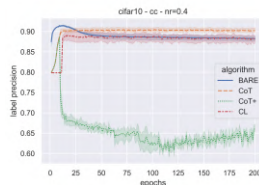
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(b)

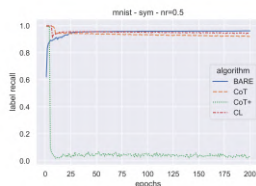


(c)

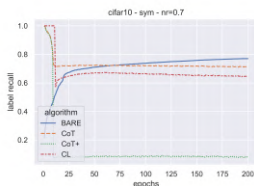
**Figure 4:** Label Precision - CIFAR10 - Symmetric ((a) & (b)) & Class-conditional ((c)) Label Noise

- Figures 3 and 4 show the label precision (across epochs) on MNIST and CIFAR-10 respectively.
- BARE has comparable or better precision.

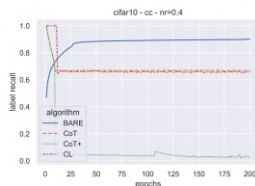
# Efficiency of detecting clean samples - Label Recall



(a)



(b)



(c)

**Figure 5:** Label Recall - Symmetric ((a) & (b)) & Class-conditional ((c)) Label Noise

- Figure 5 show the label recall values for CoT, CoT+, CL, and BARE for MNIST (5(a)) and CIFAR-10 (5(b) & 5(c)).



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- BARE consistently achieves better recall values compared to the baselines. Higher recall values indicate that the algorithm is able to identify clean samples more reliably.

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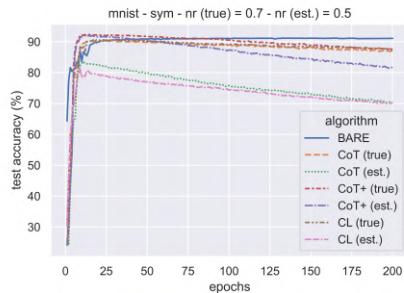
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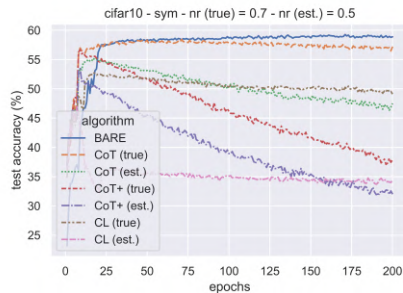
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- This may be the reason for the poor precision and recall values of CoT+ as seen in these figures.
- In terms of fraction of samples selected also, BARE does better.

# Sensitivity to noise rates



(a)



(b)

**Figure 6:** ((a) & (b)): Test accuracies when estimated (symmetric) noise rate,  $\eta = 0.5$ , and true noise rate,  $\eta = 0.7$ , for MNIST & CIFAR-10 resp.

- So, similar performance trends are seen for the case of mis-specified noise rates and arbitrary noise rate matrices.

# BARE - Summary

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- We looked at an adaptive sample selection strategy that provides robustness under label noise by relying only on batch statistics of posterior values in a mini-batch and requires no hyperparameter tuning.
- Empirical studies shows that the proposed algorithm performs better or as well as the baselines under label noise.



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- None of the standard regularization methods such as weight decay, drop-out, etc. seem effective in resisting such overfitting.
- Many other studies (e.g., [3, 12, 9, 10]) throw interesting light on the dynamics of this memorization process and what it means for generalization in deep networks

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- The choice of loss function can be critical in determining this.
- None of the studies on memorization investigate this.

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- Design of algorithms for robust learning when training data has randomly corrupted labels, is also a much studied problem
- Here our interest is in the inherent ability of a loss function to resist overfitting of training data when labels are randomly altered.

# Notation

- $\mathcal{X} \subseteq \mathbb{R}^n$ : feature space;
- $\mathcal{Y} = \{1, \dots, K\}$  where  $K$ : number of classes
- $S = \{\mathbf{x}_i, y_i^{cl}\}_{i=1}^\ell$ : Original training set
- $S_\eta = \{\mathbf{x}_i, y_i\}_{i=1}^\ell$ : Training set with randomly altered labels:

$$y_i = \begin{cases} y_i^{cl} & \text{with probability } 1 - \eta \\ j \in \mathcal{Y} - \{y_i^{cl}\} & \text{with probability } \frac{\eta}{K-1} \end{cases} \quad (10)$$

where  $\eta$  is referred to as the noise rate.

- $h_\eta$ : classifier function (with softmax layer as output layer) learnt by an algorithm with  $S_\eta$  as training data
- To define loss functions we take  $y_i$  to be one-hot vector. ( $\mathbf{e}^k$  represents class- $k$ ).

## Notation (contd.)

- Let  $J_1 = \frac{1}{\ell} \sum_{i=1}^{\ell} \mathbb{I}_{[h_{\eta}(\mathbf{x}_i)=y_i]}$
- This is the usual training accuracy of classifier  $h_{\eta}$  on the training set with randomly altered labels.

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- This is the accuracy of  $h_{\eta}$  on the same training set but computed with respect to the original labels.
- Relative values of  $J_1$  and  $J_2$  can give interesting insights into how different loss functions behave.

# Loss Functions We Compare

- We consider 3 loss functions in this paper: CCE, MSE, and Robust Log Loss (RLL).

$$\mathcal{L}_{CCE}(\mathbf{h}(\mathbf{x}), \mathbf{e}^k) = - \sum_i e_i^k \log(h_i(\mathbf{x})) = -\log(h_k(\mathbf{x}))$$

$$\mathcal{L}_{MSE}(\mathbf{h}(\mathbf{x}), \mathbf{e}^k) = \sum_i \left(h_i(\mathbf{x}) - e_i^k\right)^2$$

$$\begin{aligned} \mathcal{L}_{RLL}(\mathbf{h}(\mathbf{x}), \mathbf{e}^k) &= \log\left(\frac{\alpha + 1}{\alpha}\right) - \log(\alpha + h_k(\mathbf{x})) \\ &\quad + \sum_{j \neq k} \frac{1}{K-1} \log(\alpha + h_j(\mathbf{x})) \end{aligned}$$

where  $\alpha > 0$  is a parameter of the RLL.



# Loss Functions (contd.)

- CCE and MSE are fairly commonly used loss functions for classification and regression tasks.
- RLL is a **symmetric** loss.

## Symmetric Loss

A loss function,  $L$ , is **symmetric** if  $\exists C \in \mathbb{R}$  such that:

$$\sum_{j=1}^K L(h(\mathbf{x}), j) = C, \forall h, \mathbf{x} \quad (11)$$

We next present some empirical results comparing these three losses in their ability to resist memorization

# Experimental Setup

Datasets:

- MNIST [25]
- CIFAR-10 [22]

Networks:

- Inception-Lite (same as that used in [54]) for CIFAR-10
- ResNet-32 for CIFAR-10
- ResNet-18 for MNIST

# Experimental Setup (contd.)

Details about network training:

## Inception-Lite

- SGD( $\text{lr} = 10^{-2}$ , momentum=0.9)
- number of epochs = 100
- learning rate reduced by 0.95 factor every epoch

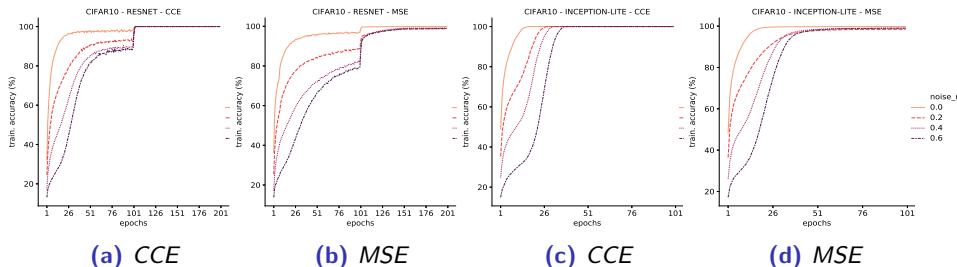
## ResNet-32

- SGD( $\text{lr} = 10^{-1}$ , momentum=0.9, weight\_decay= $10^{-4}$ )
- number of epochs = 200
- learning rate reduced by 0.1 factor at epochs 100 and 150

## ResNet-18

- Adam( $\text{lr} = 10^{-3}$ )
- number of epochs = 200

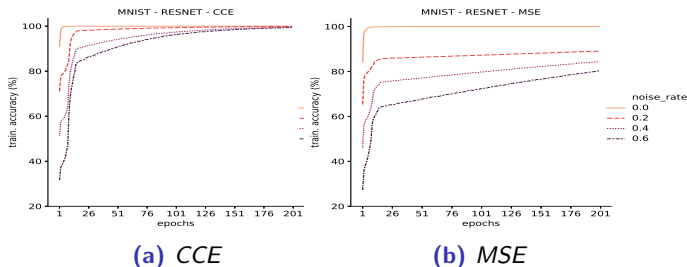
# Results on CIFAR-10



**Figure 7:** Training set accuracies for ResNet-32 ((a) & (b)) & Inception-Lite ((c) & (d)) trained on CIFAR-10 with CCE and MSE losses for  $\eta \in \{0., 0.2, 0.4, 0.6\}$

- CCE and MSE achieve 100% training accuracy (irrespective of noise rate) thus showing they memorize random labels.

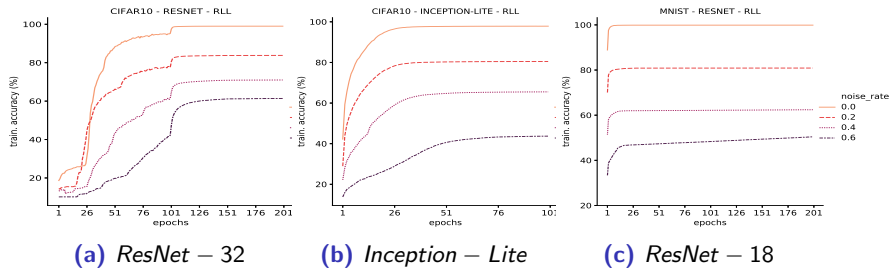
# Results on MNIST



**Figure 8:** Training set accuracies for ResNet-18 trained on MNIST with CCE and MSE losses for  $\eta \in \{0., 0.2, 0.4, 0.6\}$

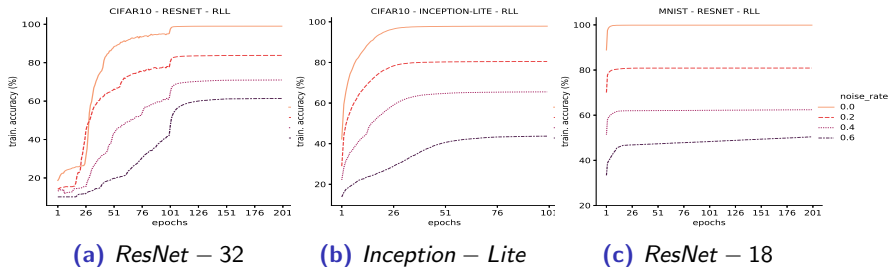
- CCE overfits even with this smaller network. MSE also achieves high training accuracy irrespective of amount of noise.

# Results on CIFAR-10 & MNIST - with RLL



**Figure 9:** Training set accuracies for networks trained on CIFAR-10 ((a) & (b)) and MNIST ((a)) with RLL for  $\eta \in \{0., 0.2, 0.4, 0.6\}$

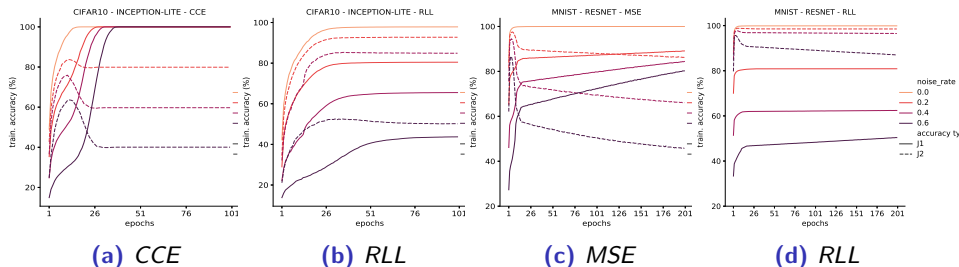
# Results on CIFAR-10 & MNIST - with RLL



- Training accuracies of RLL saturate much below 100% – the higher the noise rate the lower the training accuracy
- We can see from Figure 10 that the training accuracy of RLL saturates to almost  $(1 - \eta) \times 100\%$  ( $\eta$  :- noise rate). Now the network does not overfit. In addition, it is as if we have almost inferred the noise rate!



# Results for $J_1$ and $J_2$ accuracy - with RLL



**Figure 11:**  $J_1$  and  $J_2$  accuracies for  $\eta \in \{0., 0.2, 0.4, 0.6\}$  (Solid lines show  $J_1$  accuracy; dashed lines show  $J_2$  accuracy)

- For RLL, the  $J_2$  is always above  $J_1$  curve – showing RLL resists overfitting to noisy labels
- For CCE and MSE, the  $J_1$  curve eventually goes above  $J_2$  – showing the network overfits the noisy labels as epochs progress

# Resisting Memorization:

- Recall:  $S$  is the original training data and  $S_\eta$  is the data with randomly altered labels;  $\mathcal{D}$  and  $\mathcal{D}_\eta$  are the corresponding distributions.
- Let  $h$  and  $h_\eta$  denote the classifier learned by an algorithm when given  $S$  and  $S_\eta$  as training data, respectively.

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- We say that an algorithm **resists memorization** if

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- Note that the definition stated above is related to the definition of robustness that we had defined in Equation 8.  
above using training data. The accuracy for  $\eta = 0$  would be an estimate of LHS above.
- For RLL, as we saw, the  $J_2$  accuracy is mostly close to the accuracy achieved when  $\eta = 0$

# Resisting Memorization: Formulation

- As RLL is a symmetric loss and the noise model we considered is of symmetric noise, the following theorem gives some idea of why RLL has this interesting behaviour.

## Theorem

Let  $\mathcal{L}$  be a symmetric loss,  $\mathcal{D}$  and  $\mathcal{D}_\eta$  as defined above. Assume  $\eta < \frac{K-1}{K}$ . Let  $y_x^{cl}$  and  $y_x$  denote the original and noisy labels corresponding to the pattern  $\mathbf{x}$ . The risk of  $h$  over  $\mathcal{D}$  and  $\mathcal{D}_\eta$  is  $R_{\mathcal{L}}(h) = \mathbb{E}_{\mathcal{D}}[\mathcal{L}(h(\mathbf{x}), y_x^{cl})]$  and  $R_{\mathcal{L}}^\eta(h) = \mathbb{E}_{\mathcal{D}_\eta}[\mathcal{L}(h(\mathbf{x}), y_x)]$  resp. Then, given any two classifiers  $h_1$  and  $h_2$ , if  $R_{\mathcal{L}}(h_1) < R_{\mathcal{L}}(h_2)$ , then  $R_{\mathcal{L}}^\eta(h_1) < R_{\mathcal{L}}^\eta(h_2)$  and vice versa.



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- For symmetric losses relative risks of two classifiers are same both with and without noise.
- So, symmetric losses can resist memorization (if we can get minimum of risk)

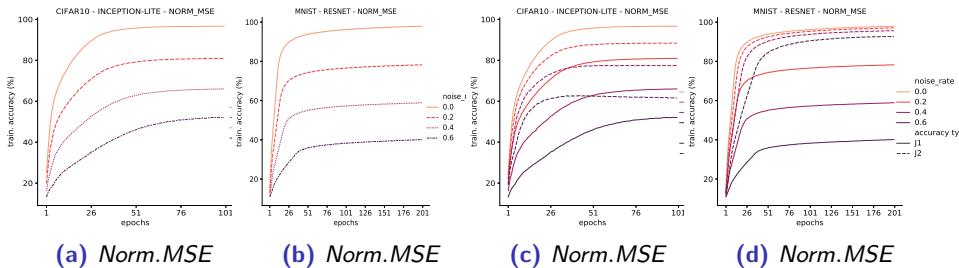
# Resisting Memorization: Symmetric Losses

- As is easy to see, the symmetry condition implies that the loss function is bounded.
- Given a bounded loss function we can satisfy the symmetry condition by 'normalizing' it. Given a bounded loss,  $L$ , define  $\bar{L}$ , by

$$\bar{L}(h(X), j) = \frac{L(h(X), j)}{\sum_s L(h(X), s)} \quad (14)$$

- $\bar{L}$  satisfies the symmetry condition (Equation 11). As mentioned earlier, CCE loss is unbounded and hence normalization would not turn it into a symmetric loss. However, we can normalize MSE loss.

# Results for $J_1$ and $J_2$ accuracy - with Norm. MSE



**Figure 12:** Train. accuracy and  $J_1$  &  $J_2$  accuracies for Inception-Lite ((a) & (c)) & ResNet-18 ((b) & (d)) trained on CIFAR-10 and MNIST resp. for  $\eta \in \{0., 0.2, 0.4, 0.6\}$  (Solid lines show  $J_1$  accuracy; dashed lines show  $J_2$  accuracy)

- Once we normalize MSE, it no longer overfits the data with random labels; it behaves more like RLL now.

# Conclusions I

- We first looked at problem of robust learning under label noise from a risk minimization perspective.
- We also defined the relation between the noisy and clean labels through conditional probabilities and categorized label noise into different types.
- Next, we looked at the existing approaches in literature and categorized them accordingly.
- Motivated by the limitations of existing (sample reweighting) methods, viz. knowledge of noise rates, small set of extra clean data, and additional computation resources, we propose an adaptive, data-dependent sample selection scheme, BARE, for robust learning under label noise.

## Conclusions II

- BARE relies on statistics of assigned posterior probabilities of all samples in a minibatch to select samples from it. The mini-batch statistics are used as proxies for determining current state of learning here.
- Unlike other algorithms, BARE neither needs an extra data set with clean labels nor does it need any knowledge of the noise rates. Further it has no hyperparameters in the selection algorithm. Comparisons with baseline schemes on benchmark datasets show the effectiveness of the proposed algorithm both in terms of performance metrics and computational complexity.
- Since DNNs can interpolate training data even in case of randomly labelled data, the phenomenon of memorization in deep networks has received a lot of attention.
- However, role of loss function on memorization in deep networks hasn't been studied.

## Conclusions III

- We showed through empirical studies that changing the loss function alone can significantly change this memorization.
- We showed this with the symmetric loss function, RLL, and we have provided some theoretical analysis to explain the empirical results.
- The results presented suggest that choice of loss function can play a critical role in overfitting by deep networks.
- It also highlights the need to further investigate the nature of different (symmetric) loss functions for a better understanding of robust learning.

# Publications based on thesis

- **Patel, D.** and Sastry, P.S. *Memorization in Deep Neural Networks: Does the Loss Function matter?*, accepted in PAKDD 2021
- **Patel, D.** and Sastry, P.S. *Adaptive Sample Selection for Robust Learning under Label Noise*, under review



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Thank You  
Any Questions?

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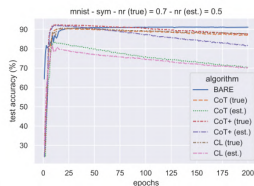


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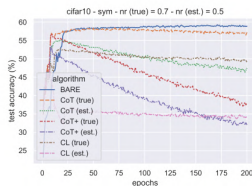
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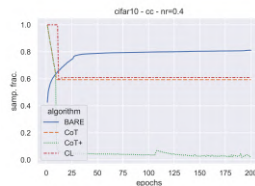
# Some comments (contd.)



(a)



(b)



(c)

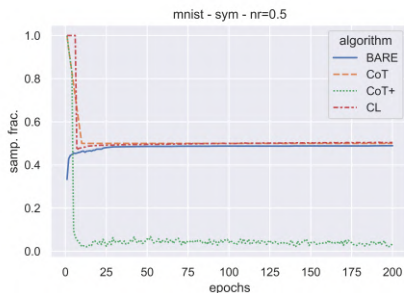
**Figure 13:** ((a) & (b)): Test accuracies when estimated (symmetric) noise rate,  $\eta = 0.5$ , and true noise rate,  $\eta = 0.7$ , for MNIST & CIFAR-10 resp.; (c): sample fraction values for  $\eta = 0.4$  (class-conditional noise) on CIFAR-10

## Some comments (contd.)

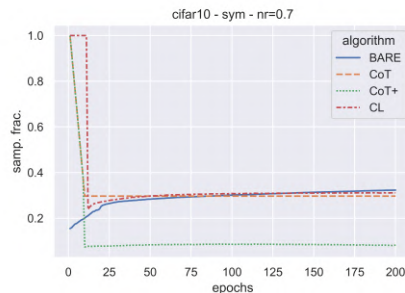
- This can be seen from Figure 13(c) as well which shows the fraction of samples chosen by the sample selection algorithms as epochs go by for  $\eta = 0.4$  (class-conditional noise) on CIFAR-10 dataset.
- As noise rate is to be supplied to CoT and CL, they select  $1 - \eta = 0.6$  fraction of data with every epoch. Whereas, in case of CoT+, the samples where the networks disagree is small because of the training dynamics and as a result, after a few epochs, it consistently selects very few samples. Since the noise is class-conditional, even though  $\eta = 0.4$ , the actual amount of label flipping is  $\sim 20\%$ . And this is why it's interesting to note that BARE leads to an approximate sample selection ratio of 80%.



# Some comments (contd.)



(a)



(b)

**Figure 14:** (a): Sample fraction values for  $\eta = 0.5$  (symmetric noise) on MNIST, (b): Sample fraction values for  $\eta = 0.7$  (symmetric noise) on CIFAR-10

## Some comments (contd.)

- Figures 14(a) and 14(b) show the fraction of samples selected by different algorithms in each epoch for one case of symmetric noise. As is evident from these figures, BARE is able to identify higher fraction of clean samples effectively even without the knowledge of noise rates.

# Sensitivity to noise rates (contd.)

**Table 5:** Test Accuracy (%) for MNIST -  $\eta_{est} = 0.45$  (arbitrary noise matrix)

ALGORITHM	TEST ACCURACY
CoT [13]	<b>95.3</b>
CoT+ [53]	93.07
CL [28]	88.41
<b>BARE (Ours)</b>	<b>95.02</b>

## Sensitivity to noise rates (contd.)

**Table 6:** Avg. Test Accuracy (last 10 epochs) (%) for MNIST -  $\eta_{est} = 0.45$  (arbitrary noise matrix)

ALGORITHM	AVG. TEST ACCURACY (LAST 10 EPOCHS)
CoT [13]	<b>95.22</b>
CoT+ [53]	93.08
CL [28]	88.56
<b>BARE (Ours)</b>	<b>95.03</b>

# Sensitivity to noise rates (contd.)

**Table 7:** Test Accuracy (%) for CIFAR10 -  $\eta_{est} = 0.4$  (arbitrary noise matrix)

ALGORITHM	TEST ACCURACY
CoT [13]	71.92
CoT+ [53]	68.56
CL [28]	<b>72.12</b>
<b>BARE (Ours)</b>	<b>76.22</b>

# Sensitivity to noise rates (contd.)

**Table 8:** Avg. Test Accuracy (last 10 epochs) (%) for CIFAR10 -  $\eta_{est} = 0.4$  (arbitrary noise matrix)

ALGORITHM	AVG. TEST ACCURACY (LAST 10 EPOCHS)
CoT [13]	71.86
CoT+ [53]	68.99
CL [28]	<b>72.27</b>
<b>BARE (Ours)</b>	<b>75.96</b>

# Arbitrary Noise Rate Matrix for MNIST

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0.6 & 0 & 0 & 0 & 0 & 0.3 & 0 & 0.1 \\ 0 & 0 & 0 & 0.5 & 0 & 0.1 & 0 & 0 & 0.4 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0.15 & 0.55 & 0.3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0.35 & 0.55 & 0.10 & 0 & 0 \\ 0 & 0.25 & 0 & 0 & 0 & 0 & 0 & 0.5 & 0 & 0.25 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Arbitrary Noise Rate Matrix for MNIST

# Arbitrary Noise rate Matrix for CIFAR-10

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0.2 & 0 & 0.7 & 0 & 0 & 0 & 0.1 & 0 & 0 & 0 \\ 0.1 & 0 & 0 & 0.6 & 0 & 0.1 & 0 & 0 & 0.2 & 0 \\ 0 & 0.1 & 0.1 & 0 & 0.7 & 0 & 0 & 0.1 & 0 & 0 \\ 0 & 0 & 0 & 0.1 & 0 & 0.6 & 0 & 0 & 0 & 0.3 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0.1 & 0 & 0 & 0 & 0 & 0 & 0.1 & 0 & 0.8 \end{bmatrix}$$

Arbitrary Noise rate Matrix for CIFAR-10