



# Stabilization strategies of a general nonlinear car-following model with varying reaction-time delay of the drivers



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## ABSTRACT

In this paper, the stabilization strategies of a general nonlinear car-following model with reaction-time delay of the drivers are investigated. The reaction-time delay of the driver is time varying and bounded. By using the Lyapunov stability theory, the sufficient condition for the existence of the state feedback control strategy for the stability of the car-following model is given in the form of linear matrix inequality, under which the traffic jam can be well suppressed with respect to the varying reaction-time delay. Moreover, by considering the external disturbance for the running cars, the robust state feedback control strategy is designed, which ensures robust stability and a smaller prescribed  $H_\infty$  disturbance attenuation level for the traffic flow. Numerical examples are given to illustrate the effectiveness of the proposed methods.

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## 1. Introduction

Traffic flow problem is an important research topic and many traffic flow models are proposed to understand the complex dynamics of traffic flow [1–5]. In particular, Bando et al. [3] proposed a car-following traffic model and derived a simple stability condition, in which the vehicle equation is governed by an optimal velocity function which depends on the headway distance. This model is called optimal velocity (OV) traffic model that describes the stop-and-go traffic characteristic and the traffic jam phenomenon of the real traffic flow. Stimulated by the above model, many new car-following traffic models were proposed to understand the complex characteristics of traffic flow. In [6], an improved traffic model was proposed to cope with particular situations like vehicles approaching standing cars. A coupled map car-following model with decentralized delayed feedback control scheme was proposed in [7] to suppress the traffic jam, which describes the dynamical behavior of a group of road vehicles traveling on a single lane without overtaking. In [8], a full velocity difference car-following model was studied, which considered both negative and positive velocity difference, and given a better description of starting process. Zhao and Gao [9] presented another simple strategy to suppress the congested state in the traffic system, in which the control signal incorporates the effect of the velocity difference between the preceding and the considering vehicles. By considering the navigation in modern traffic, a two

velocity difference model for a car following theory was developed in [10], and the property of the model was investigated by using linear and nonlinear analyses. An extended car-following model which takes into account the honk effect was proposed in [11], which shows that the honk effect improves the stability of traffic flow. In [12], a modified coupled map car-following model was proposed, in which two successive vehicle headways in front of the considering vehicle were incorporated into the optimal velocity function, and a new control scheme was presented to suppress the traffic jam. With the consideration of varying road condition, a new car-following model was developed in [13], which shows that the road condition has great influences on uniform flow. In [14], a new anticipation optimal velocity model was proposed for car following theory on single lane by considering anticipation effect, and the linear stability condition was derived by the linear stability analysis.

Time delays play a major role in traffic behavior due to the time needed by human operators in sensing velocity and position variations of the vehicles in the traffic [4,15]. The optimal velocity model by incorporating the time-delay effect was proposed in [16], in which the time delay was constant and the properties of congestion and the delay time of car motion were analyzed. The study in [17] offered two modified optimal velocity models with time delays in order to create reasonable traffic models that better match the reality. In [18], the bifurcations and multiple traffic jams in a car-following model with reaction time delay were considered, and the stability of the uniform-flow equilibrium was studied. In [19], the finite reaction times were considered for the time-continuous microscopic traffic models, which showed that

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the destabilizing effects of reaction times can be compensated by spatial and temporal anticipation. By considering the delay of the drivers response in sensing headway, an extended car-following model was proposed in [20] to describe the traffic, and the neutral stability line and the critical point were obtained by using the linear stability theory.

Although there were numerous works on the analysis of the car-following model with reaction time delays, the existing literatures mainly modeled the time-delayed actions of the drivers by a discrete delay. In practice, the reaction time-delay of the drivers should be time varying and bounded. It is more realistic to consider the time delay as time varying. The stability and stabilization problems of the time-varying delay systems were studied in [21–23]. However, little literature can be found to deal with the nonlinear optimal velocity (OV) traffic model with varying reaction-time delay. Motivated by the above discussions, in this paper, we consider the stabilization strategies of a general nonlinear car-following model with varying reaction-time delay of the drivers. By using the Lyapunov functions theory, the sufficient condition for the existence of the state feedback control strategy for the stability of the car-following model is given in the form of linear matrix inequalities, under which the traffic jam can be well suppressed with respect to the varying reaction-time delay. Additionally, the running vehicles will inevitably suffer from the uncertain external disturbances, such as irregular surfaces, bad weather, and equipment failure. To guarantee all the cars run orderly with the desired velocity with respect to the uncertain external disturbances, similar to the robust tracking control problem of nonlinear systems [24–29], the robust control method can be better applied to study the stability criteria for the car-following model with respect to the uncertain external disturbances. The  $H_\infty$  control method can not only guarantee the stability of the car-following model, but also guarantee a smaller prescribed disturbance attenuation level with respect to the external disturbances [30–33]. Then based on the  $H_\infty$  control method, we design the robust state feedback control strategy, which ensures robust stability and a smaller prescribed  $H_\infty$  disturbance attenuation level for the traffic flow. Numerical examples are given to illustrate the effectiveness of the proposed methods. From the numerical examples, we can observe that the proposed control methods can be effectively used to suppress traffic jam with respect to the varying reaction-time delay. Moreover, the proposed robust control methods effectively suppress the uncertain external disturbance to the stability of traffic flow.

The rest of this paper is organized as follows. In Section 2, a general nonlinear optimal car-following model with varying reaction-time delay is presented. In Section 3, the stabilization strategies of the nonlinear car-following model with varying reaction-time delay are considered. In Section 4, numerical examples are provided to demonstrate the effectiveness of our theoretical results. We conclude this paper in Section 5.

## 2. Optimal car-following model

Let us consider a general nonlinear optimal velocity traffic model with varying reaction-time delay of the drivers under an open boundary condition. The leading vehicle is supposed to be running with a constant velocity  $v_0 > 0$ , and the motion of the leading vehicle is described as follows:

$$\dot{x}_0(t) = x_0(0) + v_0 t, \quad (1)$$

where  $x_0(t) > 0$  is the position of the leading vehicle at time  $t$ ,  $x_0(0) > 0$  is its initial position.

Assume that the leading vehicle is not influenced by other following vehicles. By considering reaction-time delay of the drivers, the motions of the following vehicles group are described

by a nonlinear dynamical delayed equation as follows:

$$\begin{cases} \dot{y}_i(t) = v_{i-1}(t) - v_i(t), \\ \dot{v}_i(t) = a_i(F(y_i(t - \tau(t))) - v_i(t)) + u_i(t), \quad i = 1, 2, \dots, n, \end{cases} \quad (2)$$

where  $y_i(t) > 0$  is the headway distance between the  $i-1$ -th and  $i$ -th vehicles,  $v_i(t)$  is the velocity of the  $i$ th vehicle,  $a_i > 0$  denotes the sensitivity of the driver, the different  $a_i > 0$  means that each driver has a different sensitivity,  $n$  is the number of following vehicles,  $F(y_i(t - \tau(t)))$  is the OV function related to the behavior of individual drivers, which depends on the distance between the  $(i-1)$ -th and the  $i$ -th vehicles,  $u_i(t)$  is the control input signal to be designed,  $\tau(t)$  is the varying reaction-time delay of the drivers and satisfies that

$$0 \leq \tau(t) \leq h, \quad \dot{\tau}(t) \leq d < 1, \quad (3)$$

where the bounds  $h$  and  $d$  are known constant scalars.  $h$  is the maximum reaction time delay due to the rational behavior of the driver. In addition, it is shown empirically that the variations of time delay of humans are very slow [34]. So it is reasonable to assume that  $\dot{\tau}(t) \leq d < 1$ . Additionally, for the dynamical delayed equation (2), the initial condition is given as  $y_i(t) = \phi_i(t)$ ,  $t \in [-h, 0]$ ,  $i = 1, 2, \dots, n$ , where  $\phi_i(t)$  is a continuous and differentiable function.

The physical basis of the model (2) is the fact that the reaction time of the driver performing his decision is varying with the time, in contrast to the case that the reaction time is discrete, which can better match the reality to describe the behavior of individual drivers for the traffic dynamics.

Without loss of generality, we choose a general nonlinear OV function as follows:

$$F(y(t)) = \frac{v_{\max}}{2}(\tanh(y(t) - y_c) + \tanh(y_c)), \quad (4)$$

where  $v_{\max}$  is the maximum velocity,  $y_c$  is the safe distance. Moreover, it is clear that the nonlinear OV function (4) satisfies that

$$\|F(y_1(t)) - F(y_2(t))\| \leq \frac{v_{\max}}{2}\|y_1(t) - y_2(t)\|, \quad \forall y_1(t), y_2(t) \in \mathbb{R}^m. \quad (5)$$

The form of condition (5) holds for the most proposed nonlinear OV functions in the existing literatures. It is very easy to prove that for the most proposed OV functions, there exists some constant  $\alpha > 0$ , such that the following condition holds:

$$\|F(y_1(t)) - F(y_2(t))\| \leq \alpha\|y_1(t) - y_2(t)\|, \quad \forall y_1(t), y_2(t) \in \mathbb{R}^m. \quad (6)$$

Consider that the leading vehicle runs constantly with velocity  $v_0$ . The car-following model (2) has the following steady state:

$$[y_i^*(t), v_i^*(t)]^T = [F^{-1}(v_0), v_0]^T, \quad i = 1, 2, \dots, n, \quad (7)$$

which implies that, in the steady state, all the vehicles run orderly with velocity  $v_0$  and headway distance  $F^{-1}(v_0)$ .

The objective of this paper is to design the state feedback control input signal  $u_i(t)$  to guarantee that the following vehicles run orderly with velocity  $v_0$  and headway distance  $F^{-1}(v_0)$  by rejecting the effect of the varying reaction time delays, so as to effectively suppress the traffic jam.

To obtain the main results, the following lemmas are needed.

**Lemma 2.1** (Jensen's inequality, Gu et al. [35]). For any constant matrix  $R > 0$ , scalar  $b > 0$ , and vector function  $x : [0, b] \rightarrow \mathbb{R}^n$ , one has

$$b \int_0^b x^T(s) R x(s) ds \geq \left( \int_0^b x(s) ds \right)^T R \left( \int_0^b x(s) ds \right).$$

**Lemma 2.2** (Schur Complement, Boyd et al. [36]). The matrix inequality

$$\begin{bmatrix} S_{11} & S_{12} \\ S_{12}^T & -S_{22} \end{bmatrix} < 0,$$

where  $S_{11} = S_{11}^T$ ,  $S_{22} = S_{22}^T$  is equivalent to

$$S_{22} > 0, \quad S_{11} + S_{12}S_{22}^{-1}S_{21} < 0.$$

### 3. Stabilization strategies of the car-following model

In this section, based on the Lyapunov stability theory, we will study the stabilization strategies of the car-following model with varying reaction-time delay of the drivers.

Consider that the state feedback control input signal  $u_i(t)$  for the vehicle  $i$  is based on the information of the difference between the present headway distance  $y_i(t)$  and the ahead car's headway  $y_{i-1}(t)$ , and the difference between the ahead car's velocity  $v_{i-1}(t)$  and the present velocity  $v_i(t)$ . Then the control input signal  $u_i(t)$  for each vehicle is designed as follows:

$$u_i(t) = k_{1i}(y_i(t) - y_{i-1}(t)) + k_{2i}(v_{i-1}(t) - v_i(t)), \quad i = 1, 2, \dots, n, \quad (8)$$

where  $k_{1i}, k_{2i}$  are the control parameters to be determined, and  $y_0 = F^{-1}(v_0)$ . The control input signal  $u_i(t)$  is designed as the control equipment of the autonomous cruise control system for the vehicles, and the ahead car's headway and velocity can be measured by the advanced radar and video techniques.

Applying the above control input signal  $u_i(t)$  to the car-following model (2), one can obtain the following closed-loop car-following model:

$$\begin{cases} \dot{y}_i(t) = v_{i-1}(t) - v_i(t), \\ \dot{v}_i(t) = a_i(F(y_i(t - \tau(t))) - v_i(t)) + k_{1i}(y_i(t) - y_{i-1}(t)) \\ \quad + k_{2i}(v_{i-1}(t) - v_i(t)), \quad i = 1, 2, \dots, n. \end{cases} \quad (9)$$

Let error vectors  $\hat{y}_i(t) = y_i(t) - y_0$ ,  $\hat{v}_i(t) = v_i(t) - v_0$ . According to (7) and (9), one can obtain the error dynamic for car-following model (2) as

$$\begin{cases} \dot{\hat{y}}_i(t) = \hat{v}_{i-1}(t) - \hat{v}_i(t), \quad \dot{\hat{v}}_i(t) = a_i(\hat{F}(y_i(t - \tau(t))) - \hat{v}_i(t)) \\ \quad + k_{1i}(\hat{y}_i(t) - \hat{y}_{i-1}(t)) + k_{2i}(\hat{v}_{i-1}(t) - \hat{v}_i(t)), \quad i = 1, 2, \dots, n, \end{cases} \quad (10)$$

where  $\hat{F}(y_i(t - \tau(t))) = F(y_i(t - \tau(t))) - F(y_0)$ .

Let  $\hat{y}(t) = [\hat{y}_1(t), \hat{y}_2(t), \dots, \hat{y}_n(t)]^T$ ,  $\hat{v}(t) = [\hat{v}_1(t), \hat{v}_2(t), \dots, \hat{v}_n(t)]^T$ . Reformulate the error dynamic (10) as

$$\begin{cases} \dot{\hat{y}}(t) = C_2 \hat{v}(t), \quad \dot{\hat{v}}(t) = A(\hat{F}(y(t - \tau(t))) - \hat{v}(t)) + K_1 C_1 \hat{y}(t) + K_2 C_2 \hat{v}(t), \end{cases} \quad (11)$$

where  $A = \text{diag}\{a_1, a_2, \dots, a_n\}$ ,  $K_1 = \text{diag}\{k_{11}, k_{12}, \dots, k_{1n}\}$ ,  $K_2 = \text{diag}\{k_{21}, k_{22}, \dots, k_{2n}\}$ ,

$$C_1 = \begin{bmatrix} 1 & 0 & 0 & \dots \\ -1 & 1 & 0 & \dots \\ \dots & \dots & \dots & \dots \\ 0 & \dots & -1 & 1 \end{bmatrix}, \quad C_2 = \begin{bmatrix} -1 & 0 & 0 & \dots \\ 1 & -1 & 0 & \dots \\ \dots & \dots & \dots & \dots \\ 0 & \dots & 1 & -1 \end{bmatrix}.$$

It is obvious that the following vehicles run orderly with velocity  $v_0$  and headway distance  $F^{-1}(v_0)$  if the solution of the error dynamic (11) converges to zero.

The following theorem will provide a sufficient condition for the existence of the state feedback controller for the stability of the car-following model with varying reaction-time delay.

**Theorem 3.1.** Consider the car-following model (2) with the control input signal (8). The varying reaction-time delay  $\tau(t)$  satisfies condition (3). For the given control parameter matrices  $K_1$ ,  $K_2$ , if there exist a positive scalar  $\varepsilon$ , positive definite matrixes  $P$ ,  $Q_1$ ,  $Q_2$ ,  $R$ , such

that the following linear matrix inequality holds:

$$\begin{bmatrix} \Phi & 0 & \frac{1}{h}H_2^T R & PH_3 \\ 0 & \varepsilon(\frac{v_{\max}}{2})^2 I - (1-d)Q_1 & 0 & 0 \\ \frac{1}{h}RH_2 & 0 & -Q_2 - \frac{1}{h}R & 0 \\ H_3^T P & 0 & 0 & -\varepsilon I \end{bmatrix} < 0, \quad (12)$$

where

$$\begin{aligned} \Phi &= P \begin{bmatrix} 0 & C_2 \\ 0 & -A \end{bmatrix} + \begin{bmatrix} 0 & C_2 \\ 0 & -A \end{bmatrix}^T P + P \begin{bmatrix} 0 & 0 \\ K_1 C_1 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ K_1 C_1 & 0 \end{bmatrix}^T P \\ &+ P \begin{bmatrix} 0 & 0 \\ 0 & K_2 C_2 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & K_2 C_2 \end{bmatrix}^T P + H_2^T Q_1 H_2 + H_2^T Q_2 H_2 \\ &+ h H_1^T C_2^T R C_2 H_1 - \frac{1}{h} H_2^T R H_2, \end{aligned}$$

$$H_1 = [0 \quad I], \quad H_2 = [I \quad 0], \quad H_3 = \begin{bmatrix} 0 \\ A \end{bmatrix},$$

and  $I$  is an identity matrix with appropriate dimension, then the state feedback control gains  $K_1$  and  $K_2$  are obtained to guarantee the stability of the car-following model (2) with the varying reaction-time delay, under which the traffic jam can be well suppressed.

**Proof.** Let  $\delta(t) = [\hat{y}^T(t), \hat{v}^T(t)]^T$ . The error dynamic (11) is rewritten as

$$\begin{aligned} \dot{\delta}(t) &= \begin{bmatrix} 0 \\ A \end{bmatrix} \hat{F}(y(t - \tau(t))) + \begin{bmatrix} 0 & C_2 \\ 0 & -A \end{bmatrix} \delta(t) + \begin{bmatrix} 0 & 0 \\ K_1 C_1 & 0 \end{bmatrix} \delta(t) \\ &+ \begin{bmatrix} 0 & 0 \\ 0 & K_2 C_2 \end{bmatrix} \delta(t). \end{aligned} \quad (13)$$

According to the Lyapunov–Krasovskii function theory for the nonlinear time delay system, one can construct the following Lyapunov–Krasovskii function candidate for the error dynamic (13) of the car-following model (2) as

$$V(t) = V_1(t) + V_2(t) + V_3(t) \quad (14)$$

with

$$V_1(t) = \delta^T(t) P \delta(t),$$

$$V_2(t) = \int_{t-\tau(t)}^t \hat{y}^T(\alpha) Q_1 \hat{y}(\alpha) d\alpha + \int_{t-h}^t \hat{y}^T(\alpha) Q_2 \hat{y}(\alpha) d\alpha,$$

$$V_3(t) = \int_{-h}^0 \int_{t+\theta}^t \dot{\hat{y}}^T(\alpha) R \dot{\hat{y}}(\alpha) d\alpha d\theta,$$

where  $P$ ,  $Q_1$ ,  $Q_2$ ,  $R$  are positive definite weighting matrices.  $V_1(t)$  is standard to the delayless nominal system while  $V_2(t)$  and  $V_3(t)$  correspond to the delay-dependent conditions. Moreover, the first integral term of  $V_2(t)$  is related to the time-varying delay  $\tau(t)$ , and the second is about the maximum delay  $h$ , which will ensure that the time-varying delay satisfies the bound of the maximum delay.

Taking the derivative of  $V_1(t)$  along the trajectory of the error dynamic (13) yields that

$$\begin{aligned} \frac{dV_1(t)}{dt} &= 2\delta^T(t) P \left\{ \begin{bmatrix} 0 \\ A \end{bmatrix} \hat{F}(y(t - \tau(t))) + \begin{bmatrix} 0 & C_2 \\ 0 & -A \end{bmatrix} \delta(t) \right. \\ &\quad \left. + \begin{bmatrix} 0 & 0 \\ K_1 C_1 & 0 \end{bmatrix} \delta(t) + \begin{bmatrix} 0 & 0 \\ 0 & K_2 C_2 \end{bmatrix} \delta(t) \right\}. \end{aligned} \quad (15)$$

According to the Cauchy inequality that  $A^T B + B^T A \leq \varepsilon^{-1} A^T A + \varepsilon B^T B$ ,  $\forall \varepsilon > 0$ , and matrices  $A$  and  $B$  with appropriate dimensions, together with the condition (5), it holds that

$$2\delta^T(t) P \begin{bmatrix} 0 \\ A \end{bmatrix} \hat{F}(y(t - \tau(t))) \leq \varepsilon^{-1} \delta^T(t) P \begin{bmatrix} 0 \\ A \end{bmatrix} [0 \quad A^T] P \delta(t)$$

$$+ \varepsilon \left( \frac{v_{\max}}{2} \right)^2 \dot{y}^T(t - \tau(t)) \dot{y}(t - \tau(t)). \quad (16)$$

By the condition that  $\dot{\tau}(t) \leq d < 1$ , one has

$$\begin{aligned} \frac{dV_2(t)}{dt} &= \dot{y}^T(t) Q_1 \dot{y}(t) - (1 - \dot{\tau}(t)) \dot{y}^T(t - \tau(t)) Q_1 \dot{y}(t - \tau(t)) \\ &\quad + \dot{y}^T(t) Q_2 \dot{y}(t) - \dot{y}^T(t - h) Q_2 \dot{y}(t - h) \\ &\leq \dot{y}^T(t) Q_1 \dot{y}(t) - (1 - d) \dot{y}^T(t - \tau(t)) Q_1 \dot{y}(t - \tau(t)) \\ &\quad + \dot{y}^T(t) Q_2 \dot{y}(t) - \dot{y}^T(t - h) Q_2 \dot{y}(t - h). \end{aligned} \quad (17)$$

In addition, by Lemma 2.1, it holds that

$$\int_{t-h}^t \dot{y}^T(\alpha) R \dot{y}(\alpha) d\alpha \geq \frac{1}{h} (\dot{y}(t) - \dot{y}(t-h))^T R (\dot{y}(t) - \dot{y}(t-h)). \quad (18)$$

Then direct computation gives

$$\begin{aligned} \frac{dV_3(t)}{dt} &= h \dot{y}^T(t) R \dot{y}(t) - \int_{t-h}^t \dot{y}^T(\alpha) R \dot{y}(\alpha) d\alpha \\ &= h \dot{\delta}^T(t) H_1^T C_2^T R C_2 H_1 \delta(t) - \int_{t-h}^t \dot{y}^T(\alpha) R \dot{y}(\alpha) d\alpha \\ &\leq h \dot{\delta}^T(t) H_1^T C_2^T R C_2 H_1 \delta(t) \\ &\quad - \frac{1}{h} (\dot{y}(t) - \dot{y}(t-h))^T R (\dot{y}(t) - \dot{y}(t-h)). \end{aligned} \quad (19)$$

Combining (15)–(19) yields

$$\begin{aligned} \frac{dV(t)}{dt} &\leq \begin{bmatrix} \delta(t) \\ \dot{y}(t - \tau(t)) \\ \dot{y}(t - h) \end{bmatrix}^T \begin{bmatrix} \Phi + \varepsilon^{-1} P H_3 H_3^T P & 0 & \frac{1}{h} H_2^T R \\ 0 & \varepsilon \left( \frac{v_{\max}}{2} \right)^2 I - (1-d) Q_1 & 0 \\ \frac{1}{h} R H_2 & 0 & -Q_2 - \frac{1}{h} R \end{bmatrix} \\ &\quad \times \begin{bmatrix} \delta(t) \\ \dot{y}(t - \tau(t)) \\ \dot{y}(t - h) \end{bmatrix}. \end{aligned} \quad (20)$$

Then, by Lemma 2.2, one can get that the matrix inequality (12) is equivalent to

$$\begin{bmatrix} \Phi + \varepsilon^{-1} P H_3 H_3^T P & 0 & \frac{1}{h} H_2^T R \\ 0 & \varepsilon \left( \frac{v_{\max}}{2} \right)^2 I - (1-d) Q_1 & 0 \\ \frac{1}{h} R H_2 & 0 & -Q_2 - \frac{1}{h} R \end{bmatrix} < 0. \quad (21)$$

Thus according to (20), one can obtain  $dV(t)/dt < 0$ . By the Lyapunov stability theory, the error dynamic (13) of the car-following model is stable. Therefore, the state feedback control gains  $K_1$  and  $K_2$  are obtained to guarantee the stability of the car-following model (2) with the varying reaction-time delay, under which the traffic jam can be well suppressed.  $\square$

**Remark 3.1.** Theorem 3.1 gives a sufficient condition to choose proper state feedback control gains  $K_1$  and  $K_2$  such that the car-following model (2) is stable at the steady state for any reaction-time delay  $\tau(t)$  with condition (3). The matrix variables  $P, Q_1, Q_2, R$  reduce the conservativeness of the proposed method. Additionally, when the feedback control gains  $K_1$  and  $K_2$  are given, it is obvious that the condition (13) in Theorem 3.1 takes the form of linear matrix inequality, which can be easily determined by using the Matlab LMI toolbox.

In practice, the running vehicles will inevitably suffer from the uncertain external disturbances, such as irregular surfaces, bad weather, and equipment failure. Therefore, it is necessary to study the car-following model with uncertain external disturbances, and

design the robust control strategy for each vehicle to inhibit the influences of uncertain external disturbances.

Let  $w_i(t)$  be the external disturbance with finite energy for each vehicle  $i$ .  $b_i$  is the appropriate weighting factor. Then the car-following model with external disturbances is given as follows:

$$\begin{cases} \dot{y}_i(t) &= v_{i-1}(t) - v_i(t), \\ \dot{v}_i(t) &= a_i(F(y_i(t - \tau(t))) - v_i(t)) \\ &\quad + u_i(t) + b_i w_i(t), \quad i = 1, 2, \dots, n. \end{cases} \quad (22)$$

The corresponding error dynamic is obtained as

$$\begin{aligned} \dot{\delta}(t) &= \begin{bmatrix} 0 \\ A \end{bmatrix} \hat{F}(y(t - \tau(t))) + \begin{bmatrix} 0 & C_2 \\ 0 & -A \end{bmatrix} \delta(t) \\ &\quad + \begin{bmatrix} 0 & 0 \\ K_1 C_1 & 0 \end{bmatrix} \delta(t) \\ &\quad + \begin{bmatrix} 0 & 0 \\ 0 & K_2 C_2 \end{bmatrix} \delta(t) + \begin{bmatrix} 0 \\ B \end{bmatrix} w(t), \end{aligned} \quad (23)$$

where  $w(t) = [w_1(t), w_2(t), \dots, w_n(t)]^T$ ,  $B = \text{diag}\{b_1, b_2, \dots, b_n\}$ , and other notations are the same to those in (11).

The robust stability of the car-following model with varying reaction-time delay of the drivers in this case consists of determining the control gains  $K_1$  and  $K_2$  such that the closed-loop dynamic (23) of the car-following model with  $w(t) = 0$  is stable, and for a certain given prescribed  $H_\infty$  disturbance attenuation level  $\gamma > 0$ , the following condition holds:

$$\left( \int_0^{+\infty} \delta^2(t) dt \right)^{1/2} \leq \gamma \left( \int_0^{+\infty} w^2(t) dt \right)^{1/2}, \quad (24)$$

for any nonzero  $w(t) \in L_2[0, +\infty)$  and the varying reaction-time delay of the drivers under the zero initial condition.

Then the robust stabilization strategy for the car-following model with the varying reaction-time delay and external disturbance is given as the following theorem.

**Theorem 3.2.** Consider the car-following model (22) with the control input signal (8). Let  $\gamma > 0$  be a given constant. For the given control parameter matrices  $K_1, K_2$ , if there exist a positive scalar  $\varepsilon$ , positive definite matrixes  $P, Q_1, Q_2, R$ , such that the following linear matrix inequality holds:

$$\begin{bmatrix} \Phi + I & 0 & \frac{1}{h} H_2^T R & P H_3 & P H_1^T B \\ 0 & \varepsilon \left( \frac{v_{\max}}{2} \right)^2 I - (1-d) Q_1 & 0 & 0 & 0 \\ \frac{1}{h} R H_2 & 0 & -Q_2 - \frac{1}{h} R & 0 & 0 \\ H_3^T P & 0 & 0 & -\varepsilon I & 0 \\ B^T H_1 P & 0 & 0 & 0 & -\gamma^2 I \end{bmatrix} < 0, \quad (25)$$

where the notations are the same to that in (12), then the state feedback control gains  $K_1$  and  $K_2$  are obtained to guarantee the robust stability of the car-following model (22) with the disturbance attenuation level  $\gamma$ , under which the traffic jam can be well suppressed.

**Proof.** At first, by Lemma 2.2, the inequality (25) implies that the condition (12) holds, so according to Theorem 3.1, the error dynamic (23) of the car-following model with  $w(t) = 0$  is stable. Then for any nonzero  $w(t) \in L_2[0, +\infty)$ , calculating the derivative of  $V(t)$  with the form of (14) along the trajectory of error dynamic (23) yields that

$$\frac{dV(t)}{dt} \leq \begin{bmatrix} \delta(t) \\ \dot{y}(t - \tau(t)) \\ \dot{y}(t - h) \\ w(t) \end{bmatrix}^T \begin{bmatrix} \Phi + \varepsilon^{-1} P H_3 H_3^T P & 0 & \frac{1}{h} H_2^T R & P H_1^T B \\ 0 & \Psi & 0 & 0 \\ \frac{1}{h} R^T H_2 & 0 & -Q_2 - \frac{1}{h} R & 0 \\ B^T H_1 P & 0 & 0 & 0 \end{bmatrix}$$



$$\times \begin{bmatrix} \delta(t) \\ \hat{y}(t-\tau(t)) \\ \hat{y}(t-h) \\ w(t) \end{bmatrix}, \quad (26)$$

where  $\Psi = \varepsilon(v_{\max}/2)^2 I - (1-d)Q_1$ .

By Lemma 2.2, the condition (25) is equivalent to

$$\begin{bmatrix} \Phi + \varepsilon^{-1} P H_3 H_3^T P + I & 0 & \frac{1}{h} H_2^T R & P H_1^T B \\ 0 & \Psi & 0 & 0 \\ \frac{1}{h} R^T H_2 & 0 & -Q_2 - \frac{1}{h} R & 0 \\ B^T H_1 P & 0 & 0 & -\gamma^2 I \end{bmatrix} < 0, \quad (27)$$

It obviously follows from (26) and (27) that

$$\frac{dV(t)}{dt} + \delta^T(t)\delta(t) - \gamma^2 w^T(t)w(t) < 0. \quad (28)$$

Thus, noting that  $\lim_{t \rightarrow \infty} V(t) = 0$ , under the zero initial condition, integrating both sides of (28) from 0 to  $+\infty$ , we have

$$\left( \int_0^{+\infty} \delta^2(t) dt \right)^{1/2} \leq \gamma \left( \int_0^{+\infty} w^2(t) dt \right)^{1/2}. \quad (29)$$

Therefore, the car-following model (22) is robustly stable with the disturbance attenuation  $\gamma$ , and under the control input signal (8), the traffic jam can be well suppressed.  $\square$

**Remark 3.2.** Theorem 3.2 gives a sufficient condition to choose proper state feedback control gains  $K_1$  and  $K_2$  such that the car-following model (22) is robustly stable for the external disturbance  $w(t)$ . For the given prescribed  $H_\infty$  disturbance attenuation level  $\gamma > 0$ , and feedback control gains  $K_1$  and  $K_2$ , the condition (25) in Theorem 3.2 also takes the form of linear matrix inequality, which can be easily determined by using the Matlab LMI toolbox.

**Remark 3.3.** It should be pointed that for the proposed car-following model (2), the varying reaction-time delays of the drivers are set to be the same. In a similar way, the proposed methods can be extended to handle the case that the varying reaction-time delays are different for each driver.

#### 4. Numerical simulations

In this section, numerical examples are given to illustrate the effectiveness of the proposed methods for the car-following model with varying reaction-time delay.

Let us consider a case where 20 vehicles are running on a single lane without overtaking under an open boundary. The parameters are set as the maximum velocity  $v_{\max} = 2$ , the safe distance  $y_c = 2$ , and the velocity of leading vehicle  $v_0 = 0.964$ . The time horizon is chosen as  $T = 600$ .

For analysis convenience, we assume that the sensitivity and control parameters for each vehicle are the same. The sensitivity  $a_i = 0.5$ ,  $i = 1, 2, \dots, 20$ . The varying reaction-time delay  $\tau(t)$  is set as  $\tau(t) = 1 + 0.4 \cos(t)$ , which shows that  $h = 1.4$ ,  $d = 0.4$ .

Then by the condition (12) of Theorem 3.1, we choose a set of smaller state feedback control parameters as  $k_{1i} = 10.1$ ,  $k_{2i} = 10.1$ ,  $i = 1, 2, \dots, 20$ . The initial conditions  $y_i(t) = 2.2$ ,  $t \in [-h, 0]$  and  $v_i(0)$  are chosen randomly from  $[0.764, 1.164]$ . Consider the situation that the leading vehicle slows down suddenly three times, i.e.,  $v_0(t) = v_0/2$  for  $t = 100-102$ ,  $200-202$ , and  $300-302$ . Under the state feedback control, Fig. 1 plots the space-time plot of the running traffic model, and Fig. 2 shows the temporal velocity behavior of the 1st, 10th and 20th vehicles.

From Fig. 1, we can observe that for the car-following model with reaction-time delay of the drivers, the proposed method can

be effectively used to suppress traffic jam with respect to the varying reaction-time delay. The following vehicles run orderly with velocity  $v_0 = 0.964$  and headway distance  $F^{-1}(v_0) = 2$ . Moreover, it is observed from Fig. 2 that the amplitude of speed fluctuation decreases with the increase of vehicle number  $i$ , which is consistent with the results in the literature [12], and the traffic system exhibits better temporal behavior.

Next, we will consider the robust stability of the car-following model with varying reaction-time delay and external disturbances. Suppose that the vehicles  $i = 3, 4, 5$  of the car-following model are inevitably running with the following external disturbance:

$$w_i(t) = \begin{cases} 5 + \cos(t) & \text{if } 100 \leq t \leq 120, \\ 5 + \cos(t) & \text{if } 260 \leq t \leq 280, \\ 5 + \cos(t) & \text{if } 380 \leq t \leq 400, \\ 0 & \text{otherwise,} \end{cases} \quad (30)$$

and the weighting factor  $b_i$  is chosen as  $b_i = 0.1$ ,  $i = 3, 4, 5$ .

For a given smaller prescribed  $H_\infty$  disturbance attenuation level  $\gamma = 1$ , according to the condition (25) in Theorem 3.2, a set

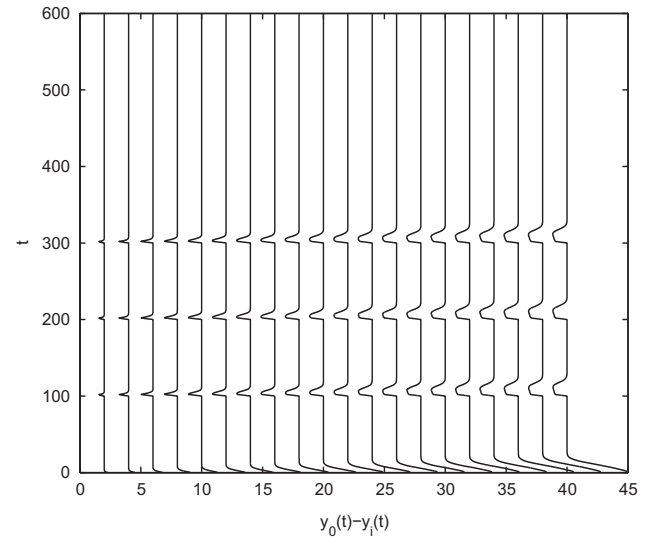


Fig. 1. The space-time plot of the running traffic model under the state feedback control.

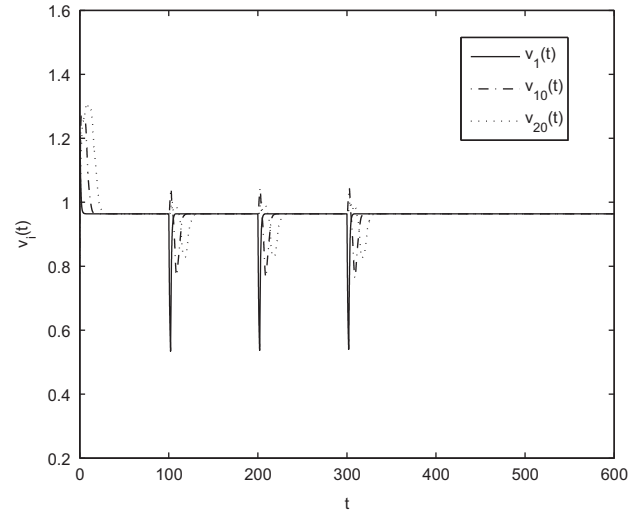


Fig. 2. The temporal velocity behavior of three vehicles.

of smaller control parameters are chosen as  $k_{1i} = 13.1$ ,  $k_{2i} = 13.1$ . Then under the robust control, the space–time plot of the car-following model with external disturbance is shown in Fig. 3. From Fig. 3, we can see that the proposed control method effectively suppress the uncertain external disturbance to the stability of traffic flow. The following vehicles run orderly with velocity  $v_0 = 0.964$  and headway distance  $F^{-1}(v_0) = 2$ . Although the effect of the external disturbances for the 3rd, 4th, and 5th vehicles is propagated to the following cars, by adding the robust control to each car, the external disturbance to the stability of whole car-following model is effectively suppressed. Additionally, Fig. 4 plots the temporal velocity behavior of the 4th, 10th, and 20th vehicles. Under the robust control, the deviations of the velocities of the cars from the desired velocity  $v_0$  are reduced to a reasonable range of 0.12, i.e., the speed fluctuations are effectively reduced under the robust control. When the external disturbances disappear, the running speeds of the following cars quickly converge to the desired velocity  $v_0$ . The simulations show the effectiveness of the proposed methods given in this paper. Moreover, the delay-dependent stability criteria for the multi-lane traffic flow by considering overtaking will be investigated in our future work.

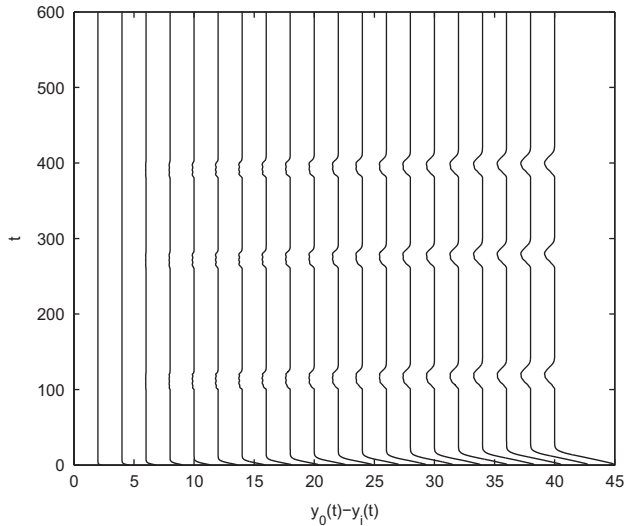


Fig. 3. The space–time plot of the running traffic model under the robust control.

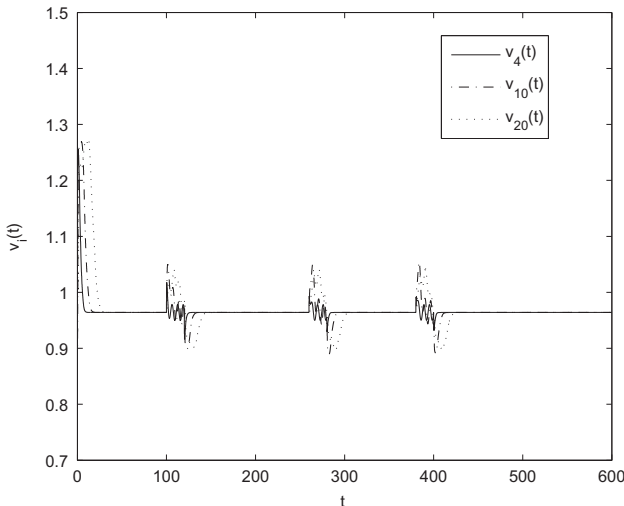


Fig. 4. The temporal velocity behavior of three vehicles.

## 5. Conclusion

In this paper, the stability problem of a general nonlinear car-following model is investigated by considering the varying reaction time delay of drivers. Based on the Lyapunov functions theory and the linear matrix inequalities technique, the sufficient condition for the existence of the state feedback control strategy for the stability of the car-following model is given to suppress the traffic jam with respect to the reaction-time delay. Moreover, the robust control strategy is designed to ensure robust stability and a prescribed  $H_\infty$  disturbance attenuation level for the traffic flow with external disturbances. The proposed theoretical analysis results provide an effective way to deal with the delay-dependent stability criteria of the nonlinear car-following model. Numerical examples are given to illustrate the effectiveness of the theoretical analysis results. Moreover, the delay-dependent stability criteria for the multi-lane traffic flow by considering overtaking will be investigated in our future work.

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