

A Appendix

Given an execution history x , the history $x|p$ denotes the subsequence of x for the calls issued by the process p , and the history $x|u$ denotes the subsequence of x for the calls on the update method u . Similarly, $x|g$ denotes the subsequence of x for the calls on the update methods in the group g .

We use the familiar functions $\text{size}(l)$, $\text{prefix}(l, e)$ (excluding e and later elements), and $l \cdot l'$ (concatenation) and the predicate $\text{prefix-of}(l, l')$ on lists.

Definition 1 (Refinement Relation).

For all K, W and τ ,

$\text{refines}(K, W, \tau)$ iff

let $\overline{[p_i \mapsto \sigma'_j, A_i, S_i, F_i, L_i]}_{i \in \{1..|P|\}} = K$,

and $\langle \overline{[p_i \mapsto \sigma_i]}_{i \in \{1..|P|\}}, \overline{[p_i \mapsto x_j]}_{i \in \{1..|P|\}} \rangle = W$ in

(R₀) For all $i, j \in \{1..|P|\}$ and u ,

$\text{prefix-of}(x_j|p_i|u, x_i|p_i|u)$.

(R₁) For all $i \in \{1..|P|\}$,

$\sigma_i = \text{Apply}(S_i)(\sigma'_i)$

(R₂) For all $i, j, k \in \{1..|P|\}$, u, v, r and u' ,

let $c = u(v)_{p_i, r}$ in

$\langle c, D \rangle \in F_j(p_i) \wedge u' \in \text{Dep}(u) \rightarrow$

$\text{size}(\text{prefix}(x_i, c)|p_k|u') = D(p_k, u')$

(R'₂) For all $i, j \in \{1..|P|\}$, u, v, r, g and u' ,

let $c = u(v)_{p_i, r}$ in

$\langle c, D \rangle \in L_j(g) \wedge \text{Leader}(u) = p_i \wedge u' \in \text{Dep}(u) \rightarrow$

$\text{size}(\text{prefix}(x_i, c)|p_k|u') = D(p_k, u')$

(R₃) For all $j, k \in \{1..|P|\}$ and u ,

$\text{size}(x_j|p_k|u) = A_j(p_k, u)$

(R₄) For all $i, j, k \in \{1..|P|\}$, u, v and r ,

let $c = u(v)_{p_k, r}$ in

$\langle c, _ \rangle \in F_j(p_i) \rightarrow k = i \wedge c \in x_i \wedge c \notin x_j$

(R₅) For all $i, j, k \in \{1..|P|\}$, u, v, r and g ,

let $c = u(v)_{p_k, r}$ in

$\langle c, _ \rangle \in L_j(g) \wedge \text{SyncGroup}(u) = g \wedge \text{Leader}(g) = p_i \rightarrow$

$k = i \wedge c \in x_i \wedge c \notin x_j$

(R₆) For all $i, j \in \{1..|P|\}$, u, v , and r ,

$u(v)_{p_i, r} \in x_j \rightarrow u(v)_{p_i, r} \in x_i$

(R₇) For all $i \in \{1..|P|\}$, u, v , and r ,

let $c = u(v)_{p_i, r}$ in

$c \in x_j \rightarrow (p_i, (u(v), r)) \in \tau$

(R₈) For all $g, i, j, k \in \{1..|P|\}$, u, v and r ,

$u(v)_{p_j, r} \in x_k \wedge \text{SyncGroup}(u) = g \wedge \text{Leader}(g) = p_i \rightarrow$

$j = i$

(R₉) For all g and $i, j \in \{1..|P|\}$,

$x_i|g \cdot (\text{map}(\text{fst}, L_i(g))) = x_j|g \cdot (\text{map}(\text{fst}, L_j(g))) \wedge$

$L_{\text{Leader}(g)}(g) = \emptyset$

(R₁₀) For all $i, j, k \in \{1..|P|\}$ and u

such that $\text{SyncGroup}(u) = \perp$,

$x_i|p_k|u \cdot (\text{map}(\text{fst}, F_i(p_k))) = x_j|p_k|u \cdot$

$(\text{map}(\text{fst}, F_j(p_k)))$

Lemma 4 (Refinement). For all K and τ , if $K_0 \xrightarrow{\tau} K$, there exists W , such that $W_0 \xrightarrow{\tau} W$ and $\text{refines}(K, W, \tau)$.

Proof. The proof is by induction on the concrete steps with the refinement relation defined in Definition 1.

Case analysis on the concrete step:

For each concrete step, we take one or more abstract steps. We assume the refinement relation for the pre-states and show that the steps preserve it for the post-states.

Case REDUCE:

The abstract steps are CALL for p_j and PROP for other processes.

Since $\text{SyncGroup}(u) = \perp$ and $\text{Dep}(u) = \emptyset$, the conditions CallConfSync and PropDepPres trivially hold. Thus, the abstract steps are enabled.

R₀:

The call by p_j is only added to the history x_j of p_j .

R₁:

The relation R_1 for the post-states holds by R_1 for the pre-states, the summarization property, and the state-commutativity property of u .

R₂:

F maps stay the same and x_j is only extended.

R'₂:

L maps stay the same and x_j is only extended.

R₃:

The call is added to all processes and the record of the applied calls is advanced for all.

R₄:

The maps F stay the same. The call c is added to x_i for each $i \in 1..|P|$. However, by the uniqueness of request identifiers in the trace, $(p_j, c) \notin \tau$. Therefore, by the contra-positive of C_3 , we have $\langle c, _ \rangle \notin F_i(p_j)$. Therefore, the addition of c to x_i does not invalidate R_4 for any element in $F_i(p_j)$.

R₅:

The map L stays the same. The call c is added to x_i for each $i \in 1..|P|$. However, by the uniqueness of request identifiers in the trace, $(p_j, c) \notin \tau$. Therefore, by the contra-positive of C_3 , we have $\langle c, _ \rangle \notin L_i(g)$. Therefore, the addition of c to x_i does not invalidate R_5 for any element in $L_i(g)$.

R₆:

Trivial since c is applied at p_j and all other processes p_i , $i \neq j$.

R₇:

Trivial as the added call is in the label.

R_8 :

The premise is refuted since $\text{SyncGroup}(u) = \perp$.

R_9 :

As $\text{SyncGroup}(u) = \perp$, the step of this case do not apply a method of any synchronization group.

R_{10} :

This case adds the call to the history of all processes and does not change F maps.

Case FREE:

The abstract step is CALL for p_j .

Since $\text{SyncGroup}(u) = \perp$, the conditions CallConfSync trivially holds. Therefore, the abstract step is enabled.

R_0 :

The call by p_j is only added to the history x_j of p_j .

R_1 :

The relation R_1 for the post-states holds by R_1 for the pre-states, and the state-commutativity property of u .

R_2 :

By the rule CALL, the call c is appended to the history x_j . In the post-state, $\text{prefix}(x_j, c)$ is equal to x_j before the step. By the rule FREE, the dependencies D are a projection over A . By R_3 , A represents the size of the sub-histories.

R'_2 :

L maps stay the same and x_j is only extended.

R_3 :

The call is added to the history of x_j and its record of the applied calls $A_j(p_j)$ is advanced.

R_4 :

The call c is added to x_j and all $F_i(p_j)$, $i \neq j$. By the uniqueness of request identifiers in the trace, $(p_j, c) \notin \tau$. Therefore, by the contra-positive of R_7 , we have $c \notin x_i$. Therefore, R_4 holds in the post-state for the new call c in the F map. Further, similar to the case REDUCE, R_4 is preserved for previous elements in F maps as well.

R_5 :

The map L stays unchanged. Similar to the case REDUCE, by C_3 , R_5 is preserved for the elements of L map.

R_6 :

Trivial since $i = j$.

R_7 :

Trivial as the added call is in the label.

R_8 :

The premise is refuted since $\text{SyncGroup}(u) = \perp$.

R_9 :

As $\text{SyncGroup}(u) = \perp$, the step of this case do not apply a method of any synchronization group.

R_{10} :

This case adds the call to the history of the local process and does not change its F maps. It adds it to the F maps of other processes and does not change their histories.

Case CONF:

The abstract step is CALL for p_j .

Let $c = u(v)_{p_j, r}$.

We show that the condition CallConfSync holds:

By the contra-positive of R_7 , for all $k \in \{1..|P|\}$, we have $c \notin x_k$.

Consider arbitrary $k, k' \in \{1..|P|\}$ and $c' = u'(v')_{p_{k'}, r'}$ such that $c' \in x_k$ and $c' \bowtie c$.

From $c' \bowtie c$, and $\text{SyncGroup}(u) = g$, we have $u' \in g$.

By R_8 and $\text{Leader}(g) = p_j$, we have $k' = j$.

By R_6 , we have $c' \in x_j$.

Thus, the CallConfSync condition holds.

Thus, the abstract step is enabled.

R_0 :

The call by p_j is only added to the history x_j of p_j .

R_1 :

The relation R_1 for the post-states follow from R_1 for the pre-states and the state-commutativity property of calls in S .

R_2 :

F maps stay the same and x_j is only extended.

R'_2 :

By the rule CALL, the call c is appended to the history x_j . In the post-state, $\text{prefix}(x_j, c)$ is equal to x_j before the step. By the rule CONF, the dependencies D are a projection over A . By R_3 , A represents the size of the sub-histories.

R_3 :

The call is added to the history of x_j and its record of the applied calls $A_j(p_j)$ is advanced.

R_4 :

The maps F stay unchanged. Similar to the case REDUCE, by C_3 , R_4 is preserved for the elements of F maps.

R_5 :

The call c is added to x_j and all $L_i(p_j)$, $i \neq j$. By the uniqueness of request identifiers in the trace, $(p_j, c) \notin \tau$. Therefore, by the contra-positive of R_7 , we have $c \notin x_i$. Therefore, R_5 holds in the post-state for the new call c in the L maps. Further, similar to the case REDUCE, R_5 is preserved for previous elements in $L_j(g)$ as well.

R_6 :

Trivial since $i = j$.

R_7 :

Trivial as the added call is in the label.

R_8 :

The call is added to x_j . Trivial from the premises of the rule CONF.

R_9 :

We have that $p_j = \text{Leader}(g)$. This step applies the call to x_j , and appends it to the L_i map for each other process p_i , $i \neq j$. Therefore, the equality is preserved for any pair of j s. Further, $L_{\text{Leader}(g)}(g)$ stays empty and the equality is preserved for any pair of i and j .

R_{10} :

This case does not apply since $\text{SyncGroup}(g) \neq \perp$.

Case FREE-APP:

Let the concrete step be for the process p_j .

The abstract step is PROP for p_j .

The condition PropConfSync hold by C_1 .

The condition PropDepPres holds as follow:

Let $c = u(v)_{p_i, r}$ and $u' \in \text{Dep}(u)$.

By R_3 , $D \leq A$ and R_2 , for all $k \in \{1..|P|\}$, we have

$\text{size}(\text{prefix}(x_i, c)|p_k|u') \leq \text{size}(x_j|p_k|u')$

By R_0 , we have that $x_i|p_k|u'$ and $x_j|p_k|u'$ are prefixes of $x_k|p_k|u'$. Thus, one is a prefix of another:

$\text{prefix-of}(x_i|p_k|u, x_j|p_k|u) \vee \text{prefix-of}(x_j|p_k|u, x_i|p_k|u)$.

From the size equation above, we have:

$\text{prefix-of}(\text{prefix}(x_i, c)|p_k|u', x_j|p_k|u')$

Thus, for all v' , $c' = u'(v')_{p_k}$,

$c' \prec_{x_i} c \rightarrow c' \in x_j$

Thus, the condition PropDepPres holds.

The condition $c \in xs(p') \setminus xs(p)$ hold by R_4 .

Thus, the abstract step is enabled.

R_0 :

Immediate from R_4 .

R_1 :

The relation R_1 for the post-states follow from R_1 for the pre-states and the state-commutativity property of calls in S .

R_2 :

An element is only removed from the F maps and the history x_j is only extended.

R'_2 :

L maps stay the same and x_j is only extended.

R_3 :

The call from p_i is added to the history of x_j and its record of the applied calls $A_j(p_i)$ is advanced.

R_4 :

An element is only removed from F . However, the call c from $F_j(p_i)$ is applied in x_j . By C_4 , there is no duplicate call in $F_j(p_i)$. Therefore, R_4 is preserved for remaining elements of $F_j(p_i)$.

R_5 :

The L maps stay unchanged. However, the call c from $F_j(p_i)$ is applied in x_j . By C_4 , there is no duplicate call in $F_j(p_i)$ and $L_j(g)$ (for each $i \in \{1..|P|\}$). Therefore, R_5 is preserved for the elements of $L_j(g)$.

R_6 :

It follows from R_4 in the pre-state.

R_7 :

Follows from C_3 .

R_8 :

The call from F is added to x_j . By C_2 , $\text{SyncGroup}(u) = \perp$; thus, the premise is refuted.

R_9 :

By C_1 , this rule does not change the set of methods on synchronization groups.

R_{10} :

The call is removed from F map and added to the history.

Case CONF-APP:

Let the concrete step be for the process p_j and call $c = u(v)$.

The abstract step is PROP for p_j .

The condition PropDepPres holds similar to the case CONF-APP except that instead of the relation R_2 , the relation R'_2 is used.

We show that the condition PropConfSync holds:

Consider arbitrary $i \in \{1..|P|\}$ and $c' = u'(v')_{p_i, r'}$ such that $c' \prec_{x_i} c$ and $c' \bowtie c$. From C_2 , we have $u' \in g$. Thus, we consider the group g .

By R_9 , we have

$x_i|g \cdot (\text{map}(\text{fst}, L_i(g))) = x_j|g \cdot (\text{map}(\text{fst}, L_j(g)))$ where $c = u(v) = \text{head}(\text{map}(\text{fst}, L_j(g)))$

We consider two cases:

Case $\text{prefix}(x_i|g, x_j|g)$:

From $c' \prec_{x_i} c$, we have $c' \prec_{x_j} c$.

Case $\text{prefix}(x_j|g, x_i|g)$:

Thus, $\text{prefix}(x_i|g, c) = x_j|g$.

Thus, if $c' \prec_{x_i} c$ then $c' \prec_{x_j} c$.

Thus, the condition PropConfSync holds.

The condition $c \in xs(p') \setminus xs(p)$ hold by R'_4

Thus, the abstract step is enabled.

R_0 :

Immediate from R_4 .

R_1 :

The relation R_1 for the post-states holds by R_1 for the pre-states, and the state-commutativity property of S .

R_2 :

The F maps stay the same and the history x_j is only extended.

R'_2 :

An element is only removed from the L maps and the history x_j is only extended.

R_3 :

The call from p_i is added to the history of x_j and its record of the applied calls $A_j(p_i)$ is advanced.

R_4 :

The F maps stay unchanged. However, the call c from $L_j(g)$ is applied in x_j . By C_4 , there is no duplicate call in $F_j(p_i)$ and $L_j(g)$ (for each $i \in \{1..|P|\}$). Therefore, R_4 is preserved for the elements of $F_j(p_i)$.

R_5 :

An element is only removed from $L_j(g)$. However, the call c from $L_j(g)$ is applied in x_j . By C_4 , there is no duplicate call in $L_j(g)$. Therefore, R_5 is preserved for remaining elements of $L_j(g)$.

R_6 :

It follows from R_5 in the pre-state.

R_7 :

Follows from C_3 .

R_8 :

The call from L is added to x_j . Thus, the conclusion immediately follows from R_5 .

R_9 :

This step removes a call from the head of the L list and appends it to the execution history x . Thus, the equality is preserved.

R_{10} :

This case does not apply since by C_2 , $\text{SyncGroup}(u) \neq \perp$

Case **QUERY**:

The abstract step **QUERY** is trivially enabled.

By R_1 , the two return values v' are equal.

R_0 :

The histories stay the same.

R_1 :

The states σ and S stay the same.

R_2 :

The map F and the histories xs stay the same.

R'_2 :

The map L and the histories xs stay the same.

R_3 :

The histories and the record of applied calls stay the same.

R_4 :

The map F and the histories xs stay the same.

R_5 :

The map L and the histories xs stay the same.

R_6 :

The histories xs stay the same.

R_7 :

The histories xs stay the same and the trace is extended.

R_8 :

The histories xs stay the same.

R_9 :

The histories xs and the maps L stay the same.

R_{10} :

The histories xs and F maps stay the same.

□

Application of a call c to a state σ , $c(\sigma)$ is naturally lifted to application of an execution history x to a state σ , $x(\sigma)$.

Definition 2 (Locally permissible). *A replicated execution xs is locally permissible, written as $\text{LocalPerm}(xs)$, iff every call $c = u(v)_{p,r}$ of xs is permissible in the state resulting from the sub-history of $xs(p)$ before c , i.e., $\mathcal{P}(\text{prefix}(xs(p), c)(\sigma_0), c)$.*

Definition 3 (Conflict-synchronizing). *A replicated execution xs is conflict-synchronizing, written as $\text{ConfSync}(xs)$, iff*

for every pair of processes p and p' and pair of calls c and c' such that $c \bowtie c'$,

1. $c \in xs(p) \wedge c' \in xs(p') \rightarrow c \in xs(p') \vee c' \in xs(p)$
2. $c' <_{xs(p)} c \rightarrow c \not<_{xs(p')} c'$

Definition 4 (Dependency-Preserving). A replicated execution xs is dependency-preserving, written as $\text{DepPres}(xs)$, iff for every pair of calls $c = u(v)_{p,r}$ and c' such that $c' \not\sqsubseteq c$, if $c <_{xs(p)} c'$, then for every process p' , $c <_{xs(p')} c'$.

Lemma 5 (Abstract Invariant).

For all W and τ , if $W_0 \xrightarrow{\tau} W$, then

let $\langle [p_i \mapsto \sigma_i]_{i \in \{1..|P|\}}, xs \rangle = W$ in

let $[p_i \mapsto x_i]_{i \in \{1..|P|\}} = xs$ in

(A₀) For all $i \in \{1..|P|\}$, u, v , and r ,

$$u(v)_{p_i,r} \in x_j \rightarrow (p_i, (u(v)_r) \in \tau$$

(A₁) For all $i \in \{1..|P|\}$,

$$\sigma_i = x_i(\sigma_0)$$

(A₂) $\text{LocalPerm}(xs)$

(A₃) $\text{ConfSync}(xs)$

(A₄) $\text{DepPres}(xs)$

Proof. The proof is by induction on the steps.

Case analysis on the step:

Case CALL:

A₀:

The call is on the label and is added to $xs(p)$.

A₁:

By the induction hypothesis and the premise $\sigma' = u(v)(\sigma)$.

A₂:

Immediate from the premise $\mathcal{P}(\sigma, c)$.

A₃:

The condition 1 of ConfSync for the new call c : It follows from the premise CallConfSync that $c' \in xs(p)$.

The condition 2 of ConfSync for the new call c : From the contra-positive of A₀, for all p' , $c \notin xs(p')$. Therefore, $c \not<_{xs(p')} c'$.

A₄:

Immediate as p is the issuing process itself.

Case PROP:

A₀:

$$c = u(v)_{p',r}$$

Since $c \in xs(p')$, by the induction hypothesis, $(p', (u(v)_r) \in \tau$.

A₁:

By the induction hypothesis and the premise $\sigma' = u(v)(\sigma)$.

A₂:

The two processes p and p' are distinct. The issuing process of the call is p and the call is applied to the process p' .

A₃:

The condition 1 of ConfSync for the new call c : It follows from the premise PropConfSync that $c' \in xs(p)$ and therefore, $c' \in xs'(p)$.

The condition 2 of ConfSync for the new call c : From the premise PropConfSync we have that $c' <_{xs(p')} c \rightarrow c' \in xs(p)$. Therefore, $c' <_{xs'(p')} c \rightarrow c' <_{xs(p)} c$. Therefore, $c \not<_{xs(p')} c'$.

A₄:

Immediate from the premise PropDepPres .

Case QUERY:

A₀:

The histories and the states stay the same.

A₁:

The histories and the states stay the same.

A₂:

The histories stay the same.

A₃:

The histories stay the same.

A₄:

The histories stay the same.

□

Lemma 6 (Convergence). For all ss, xs, p and p' , if $W_0 \rightarrow^* \langle ss, xs \rangle$ and $xs(p) \sim xs(p')$ then $ss(p) = ss(p')$.

Proof. This lemma follows from the invariant A₃ and Lemma 1 of [39].

□

Lemma 7 (Integrity). For all ss and p , if $W_0 \rightarrow^* \langle ss, _ \rangle$ then $\mathcal{I}(ss(p))$.

Proof. This lemma follows from the invariants A₂, A₃ and A₄ and Lemma 2 of [39].

□

Lemma 8 (Concrete Invariants).

For all K , if $K_0 \xrightarrow{\tau} K$, then

let $[p_i \mapsto \sigma'_i, A_i, S_i, F_i, L_i]_{i \in \{1..|P|\}} = K$ in

(C₁) For all $i, j \in \{1..|P|\}$, u and v ,

$$\langle u(v), _ \rangle \in F_i(p_j) \rightarrow \text{SyncGroup}(u) = \perp$$

(C₂) For all $i \in \{1..|P|\}$, u, v ,

$\langle u(v), _ \rangle \in L_i(g) \rightarrow \text{SyncGroup}(u) = g$
 (C_3) For all $i, j \in \{1..|P|\}$, u, v , and r ,
 let $c = u(v)_{p_i, r}$ in
 $\langle c, _ \rangle \in F_j(p_i) \vee \langle c, _ \rangle \in L_i(g) \rightarrow (p_i, (u(v))_r) \in \tau$
 (C_4) For all $i, j, k \in \{1..|P|\}$ and g ,
 $\text{map}(\text{fst}, F_j(p_i)) \cdot \text{map}(\text{fst}, L_k(g))$ is an isogram.

Proof. The proof is by induction on the steps.
 Case analysis on the step:

Case REDUCE:

C_1 :
 The F map stays the same.

C_2 :
 The L map stays the same.

C_3 :
 The F and L map stays the same.

C_4 :
 The F and L map stays the same.

Case FREE:

C_1 :
 A premise of the rule FREE is $\text{SyncGroup}(u) = \perp$.

C_2 :
 The L map stays the same.

C_3 :
 A call is added to the F map that is on the label. The L map stays the same.

C_4 :
 The L map stays the same. A call is added to the F map. By the uniqueness of call requests in the trace and the contra-positive of C_3 , the added call was not previously in F and L .

Case CONF:

C_1 :
 The F map stays the same.

C_2 :
 A premise of the rule CONF is $\text{SyncGroup}(u) = g$

C_3 :
 A call is added to the L map that is on the label. The F map stays the same.

C_4 :

The F map stays the same. A call is added to the L map. By the uniqueness of call requests in the trace and the contra-positive of C_3 , the added call was not previously in F and L .

Case FREE-APP:

C_1 :
 The L map stays the same.

C_2 :
 A premise of the rule CONF is $\text{SyncGroup}(u) = g$

C_3 :
 A call is only removed from the F map.

C_4 :
 A call is only removed from the F map.

Case CONF-APP:

C_1 :
 The F map stays the same.

C_2 :
 An element from the L is only removed.

C_3 :
 A call is only removed from the L map.

C_4 :
 A call is only removed from the L map.

Case QUERY:

C_1 :
 The F map stays the same.

C_2 :
 The L map stays the same.

C_3 :
 The F and L maps stays the same.

C_4 :
 The F and L maps stays the same.

□

Corollary 3 (Convergence). For all $i, j \in \{1..|P|\}$,
 if $K_0 \rightarrow^* [p_i \mapsto \sigma_j, _, S_i, F_i, L_i]_{i \in \{1..|P|\}}$ and $F_i = F_j = \emptyset$ and
 $L_i = L_j = \emptyset$ then $\text{Apply}(S_i)(\sigma_i) = \text{Apply}(S_j)(\sigma_j)$.

Proof. By Lemma 4 (R_9 and R_{10}) we have $xs(p_i) \sim xs(p_j)$. Hence, the conclusion follows from Lemma 6 and Lemma 4 (R_1).

□

Corollary 4 (Integrity). *For all $i \in \{1..|P|\}$,*
if $K_0 \rightarrow^ \frac{[p_i \mapsto \sigma_j, \neg, S_i, \neg, \neg]_{i \in \{1..|P|\}}}{\mathcal{I}(\text{Apply}(S_i)(\sigma_i))}$ then*

Proof. Immediate from Lemma 4 (R_1) Lemma 7.

□

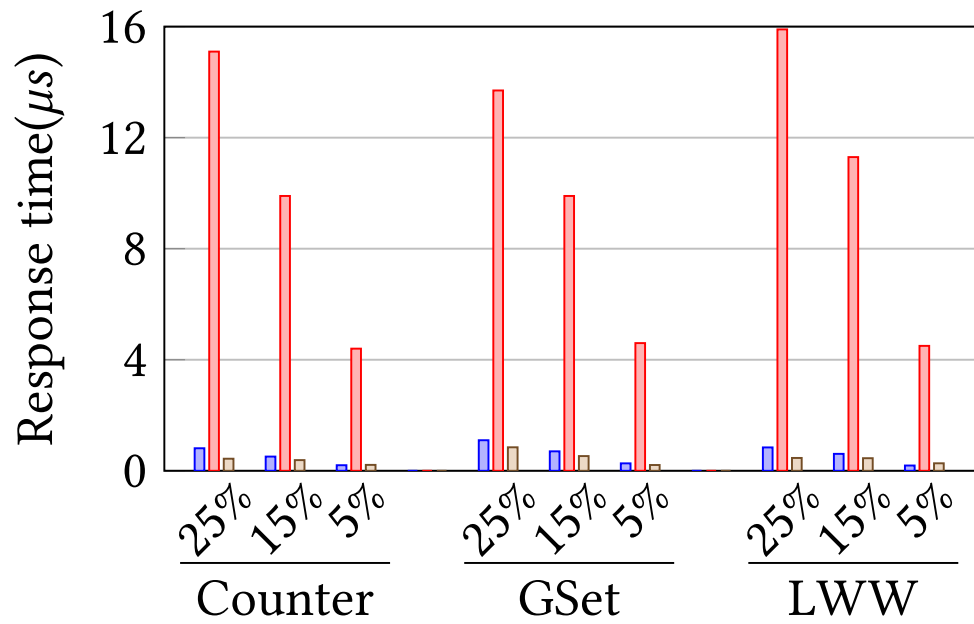


Figure 14. Effect of summarization and remote writes for on response time of reducible methods.

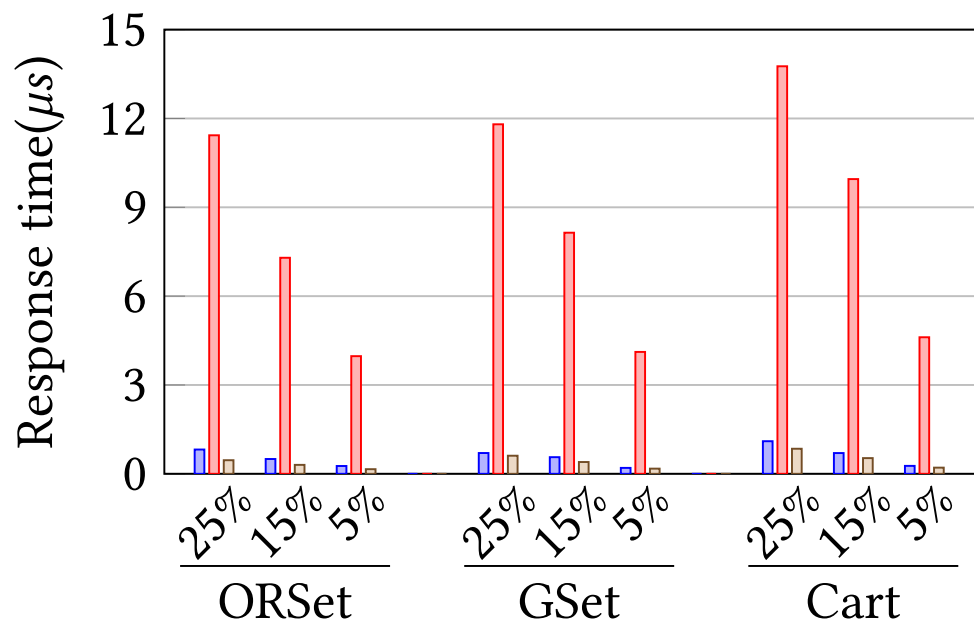


Figure 15. Effect of summarization and remote writes for on response time of irreducible methods.