#### Formal definition

#### **Definition**

P is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,

$$P = \bigcup_{k} Time(n^{k})$$

Motivation: To define a class of problems that can be solved efficiently.

- ▶ P is invariant for all models of computation that are polynomially equivalent to the deterministic single-tape Turing Machine.
- ▶ P roughly corresponds to the class of problems that are realistically solvable on a computer.



# Class P Justification

P is invariant for all models of computation that are polynomially equivalent to the deterministic single-tape Turing Machine.

Example.

#### Theorem

Let t(n) be a function, where  $t(n) \ge n$ . Then every t(n) time multitape Turing machine has an equivalent  $O(t^2(n))$  time single-tape Turing machine.

In fact, it can be shown that all reasonable deterministic computational models are polynomially equivalent.



Formal definition

#### **Definition**

P is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,

$$P = \bigcup_{k} Time(n^{k})$$

Hence, a language is in P if and only if one can write a pseudo-code that decides the language in polynomial time in the input length; the code must terminate for any input.



# Class *P*Example

 $PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t\}.$ 

Less formal definition of P

#### **Definition**

P is the set of decision problems that can be solved in polynomial time (in the input size).

Examples of polynomial time: O(n),  $O(\log n)$ ,  $O(n^{100})$ ,  $O(n^{2^{2^{2^2}}})$ .



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Examples of polynomial time: O(n),  $O(\log n)$ ,  $O(n^{100})$ ,  $O(n^{2^{2^{2^2}}})$ .

From now on, we will use this less formal and simpler definition of P.



# Simple description of decision problems

#### Problem PATH:

Input: an directed graph G, and two distinct nodes s and t in G.

Question: Does G has a directed path from s to t?

In the remainder of this course, we will adopt this simple description of decision problems over languages.



## Simple description of decision problems

#### Problem PATH:

Input: an directed graph G, and two distinct nodes s and t in G.

Question: Does G has a directed path from s to t?

In the remainder of this course, we will adopt this simple description of decision problems over languages.

A yes-instance is an instance where the answer is yes. No-instance is similarly defined.



# Class *NP*Example

#### Problem HAMPATH:

Input: an directed graph G, and two distinct nodes s and t in G. Question: Does G have a Hamiltonian path from s to t?

A Hamiltonian path in a directed graph G is a directed path that visits every node exactly once.



## Class NP Example

#### Problem *HAMPATH*:

Input: an directed graph G, and two distinct nodes s and t in G. Question: Does G have a Hamiltonian path from s to t?

A Hamiltonian path in a directed graph G is a directed path that visits every node exactly once.

We are not aware of any algorithm that solves HAMPATH in polynomial time. But we know a brute-force algorithm finds a s-t Hamiltonian path in exponential time. Also we can verify/check if a given path is a s-t Hamiltonian path or not.



## Class NP Example

We do not know how to answer in polynomial time if a given instance is a yes-instance or not. However, if it is a yes-instance, there is a proof I can easily check (in polynomial time). A s-t Hamiltonian path of the instance can be such a 'proof'. Once we are given this proof, we can check in polynomial time if the instance is indeed a yes-instance



Formal definition

#### **Definition**

A verifier for a language A is an algorithm V, where

$$A = \{ w \mid V \text{ accepts } < w, c > \text{ for some string } c \}$$

(c is called a certificate or proof).

We measure the time of a verifier only in terms of the length of w, so a polynomial time verifier runs in polynomial time in the length of w. A language A is polynomially verifiable if it has a polynomial time verifier.

Note that a polynomial time verifier A can only read a certificate of size polynomial in |w|; so c must have size polynomial in |w|.



# Class NP Formal definition

## Definition

 ${\it NP}$  is the class of languages that have polynomial time verifiers.



Formal definition

#### Formal definition

The term *NP* comes from nondeterministic polynomial time and has an alternative characterization by using nondeterministic polynomial time Turing machines.

#### Theorem

A language is in NP iff it is decided by some nondeterministic polynomial time Turing machine.

#### Proof.

- $(\Rightarrow)$  Convert a polynomial time verifier V to an equivalent polynomial time NTM N. On input w of length n:
  - Nondeterministically select string c of length at most  $n^k$  (assuming that V runs in time  $n^k$ ).
  - ▶ Run V on input < w, c >.
  - ▶ If *V* accepts, accept; otherwise, reject.



Formal definition

#### **Theorem**

A language is in NP iff it is decided by some nondeterministic polynomial time Turing machine.

#### Proof.

- $(\Leftarrow)$  Convert a polynomial time NTM N to an equivalent polynomial time verifier V. On input w of length n:
  - ▶ Simulate *N* on input *w*, treating each symbol of *c* as a description of the nondeterministic choice to make at each step.
  - ▶ If this branch of *N*'s computation accepts, accept; otherwise, reject.



#### **Examples**

A clique in an undirected graph is a subgraph, wherein every two nodes are connected by an edge. A k-clique is a clique that contains k nodes.

 $CLIQUE = \{ \langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique} \}$ 

#### Lemma

CLIQUE is in NP.

#### Proof.

Let  $w = \langle G, k \rangle$ . The certificate c is a k-clique. We can easily test if c is a clique in polynomial time in w and c, and has k nodes.



Examples

 $HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}.$ 

#### Lemma

HAMPATH is in NP.

## Simple definition of *P*

From now on, we will use the following simpler definition of P.

#### **Definition**

P is the set of decision problems that can be solved in polynomial time (in the input size).

Examples of polynomial time: O(n),  $O(\log n)$ ,  $O(n^{100})$ ,  $O(n^{2^{2^{2^2}}})$ , etc.



Less formal definition

From now on, we will use the following simpler definition of NP.

#### **Definition**

*NP* is the set of decision problems with the following property: If the answer is Yes, then there is a proof of this fact that can be checked in polynomial time.

(The size of the proof must be polynomially bounded by n).



Example

#### Problem CLIQUE:

Input: an undirected graph G and an integer  $k \geq 1$ .

Question: Does G have a k-clique?

A clique in an undirected graph is a subgraph, wherein every two nodes are connected by an edge. A k-clique is a clique that contains k nodes.

## Proposition

CLIQUE is in NP.

#### Proof.

The certificate is a set of k vertices that form a clique which can be checked in polynomial time.



Example

#### Problem HAMPATH:

Input: an directed graph G, and two distinct nodes s and t in G.

Question: Does G have a Hamiltonian path from s to t?

## Proposition

HAMPATH is in NP.

#### Proof.

Consider any yes-instance. The certificate is the following: a Hamiltonian path from s to t which must exist from the definition of the problem, and can easily be checked in polynomial time.



$$P \subseteq NP$$

Think about any decision problem A in the class P. Why is it in NP?



## $P \subseteq NP$

Think about any decision problem A in the class P. Why is it in NP?

In other words, if an input/instance is a Yes-instance, how can we check it in polynomial time? We can solve the problem from scratch in polynomial time. No certificates are needed.



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Think about any decision problem A in the class P. Why is it in NP?

In other words, if an input/instance is a Yes-instance, how can we check it in polynomial time? We can solve the problem from scratch in polynomial time. No certificates are needed.

For example, think about the probelm PATH.



Here, *EXP* is the class of problems that can be solved in exponential time.



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Think about any decision problem A in the class NP. If a yes-instance has a 'short' certificate. We can try each certificate (by brute force). So the checking can be done in exponential time.

For example, think about CLIQUE or HAMPATH.



## P vs. NP?

Either of the following two must be true: P = NP or  $P \subsetneq NP$ .

We know that  $NP \subseteq EXP$ , but we do not even know if NP = EXP or  $NP \subsetneq EXP$ 

