

This document is strictly confidential and is intended for internal use only. Unauthorized access or distribution is strictly prohibited, and any disclosure of client confidential information may lead to severe penalties.

In accordance with GDPR, handling personally identifiable information (PII) requires additional safeguards to prevent data breaches. Any detected unauthorized access must be reported immediately to ensure compliance.

All employees are required to adhere to Know Your Customer (KYC) and Anti-Money Laundering (AML) regulations. Failure to comply with these requirements can result in significant fines from the SEC and other regulatory bodies.

Please be advised that insider trading, unauthorized trading activity, or any speculative investment behavior not compliant with investor protection laws is forbidden. We also discourage any highly speculative trades without prior approval.

Risk management protocols must be followed to avoid potential financial losses. Any violation of these policies, including the non-disclosure of sensitive information, may trigger compliance review and possible termination.

Confidential Document

This document is strictly confidential and intended for internal use only. Unauthorized access or distribution is strictly prohibited. Disclosure of this information without prior consent may lead to severe legal liability.

Compliance Program:

Our organization follows the guidelines set forth in the GDPR to ensure data protection and privacy. All employees are required to adhere to the company's compliance program, including anti-money laundering (AML) and export control regulations.

Data Protection and Privacy Policy:

As part of our commitment to data security, we have a robust privacy policy in place. Any potential data breach or unauthorized access must be reported immediately to the

compliance team. Intellectual property, trade secrets, and other proprietary information should not be disclosed without authorization.

#### Risk Management:

Our risk management procedures are designed to mitigate legal and financial risks. This includes adherence to SEC regulations and ensuring compliance with financial regulations. Employees are required to comply with all aspects of the risk management policy.

#### Legal and Regulatory Obligations:

In accordance with our obligations under various laws and regulations, employees must refrain from sharing any trade secrets or engaging in unauthorized activities. Violations of this policy may result in disciplinary actions.

By accessing this document, you agree to the terms and conditions outlined in our non-disclosure agreement and acknowledge the legal liability associated with any breach of these terms.