**The Astrobiology Field Laboratory**

September 26, 2006

Final report of the MEPAG Astrobiology Field Laboratory Science Steering Group (AFL-SSG)

SSG Members: Andrew Steele and David Beaty (co-chairs), , Jan Amend, Bob Anderson, Luther Beegle, Liane Benning, Janok Bhattacharya, David Blake, Will Brinckerhoff, Jennifer Biddle, Sherry Cady, Pan Conrad, John Lindsay, Rocco Mancinelli, Greg Mungas, Jack Mustard, Knut Oxnevad Jan Toporski, Hunter Waite

# (For correspondence, please contact a.steele@gl.ciw.edu 202-478-8974, or David.Beaty@jpl.nasa.gov, 818-354-7968)

This report has been approved for public release by JPL Document Review Services (Reference Ref. # CL#06-3307), and may be freely circulated.

Suggested bibliographic citation:

Steele, A., Beaty, D.W., Amend, J., Anderson, R., Beegle, L, Benning, L, Bhattacharya, J., Blake, D., Brinckerhoff, W., Biddle, J., Cady, S., Conrad, P., Lindsay, J., Mancinelli, R., Mungas, G., Mustard, J., Oxnevad, K., Toporski, J., and Waite, H. (2005). The Astrobiology Field Laboratory. Unpublished white paper, 72 p, posted Dec., 2005 by the Mars Exploration Program Analysis Group (MEPAG) at http://mepag.jpl.nasa.gov/reports/index.html.

# Table of Contents

Table of Contents2

Membership4

1.0EXECUTIVE SUMMARY5

2.0AFL CHARTER8

2.0DEFINTIONS10

4.0INTRODUCTION12

5.0SCIENCE GOALS13

5.1 Assumptions13

5.2 Objectives16

5.2.1 Habitability16

5.2.2 Extinct or Extant Life. Abiotic or Prebiotic Material17

5.2.2.1 What techniques have been used to detect and characterize terrestrial and meteoritic biosignatures?22

5.2.2.CHAPTER 62-210 STATIONARY SOURCES - GENERAL REQUIREMENTS62-210.100Purpose and Scope. (Effective 1/10/07)62-210.200Definitions. (Effective 3/16/08)62-210.220Small Business Assistance Program. (Effective 2/11/99)62-210.300Permits Required. (Effective 3/16/08)62-210.310Air General Permits. (Effective 5/9/07)62-210.350Public Notice and Comment. (Effective 2/2/06)62-210.360Administrative Permit Corrections. (Effective 3/16/08)62-210.370Emissions Computation and Reporting. (Effective 7/3/08)62-210.550Stack Height Policy. (Effective 11/23/94)62-210.650Circumvention. (Effective 8/26/1981)62-210.700Excess Emissions. (Effective 11/23/94)62-210.900Forms and Instructions. (Effective 7/3/08)62-210.920Registration Forms for Air General Permits. (Effective 5/9/07)62-210.100 Purpose and Scope.The Department of Environmental Protection adopts this chapter to establish general requirements for stationary sources of air pollutant emissions and definitions for use in this chapter as well as Chapters 62-212, 62-213, 62-214, 62-296, and 62-297, F.A.C. This chapter provides criteria for determining the need for an owner or operator to obtain Department authorization, by individual air permit, or by air general permit, to conduct certain activities involving sources of air pollutant emissions. It provides procedures to apply for an air construction or non-Title V air operation permit, or to register for use of an air general permit. It establishes public notice requirements, reporting requirements, and requirements relating to estimating emissions and using air quality models. This chapter also sets forth special provisions related to compliance monitoring, stack heights, circumvention of pollution control equipment, and excess emissions.Specific Authority 403.061 FS. Law Implemented 403.031, 403.061, 403.087 FS. History–New 2-9-93, Formerly 17-210.100, Amended 11-23-94, 1-10-07.62-210.200 Definitions.The following words and phrases when used in this chapter and in Chapters 62-212, 62-213, 62-214, 62-296, and 62-297, F.A.C., shall, unless the context clearly indicates otherwise, have the following meanings:(1) “Acid Mist” – Liquid drops of any size of any acid including sulfuric acid and sulfur trioxide, hydrochloric acid, and nitric acid as measured by EPA test method 8, adopted by reference at Rule 62-204.800, F.A.C., and listed at Rule 62-297.401, F.A.C.(2) “Acid Rain Compliance Option” – A method of compliance available to an Acid Rain unit under the Federal Acid Rain Program.(3) “Acid Rain Compliance Plan” – That portion of an Acid Rain Part application submitted by the designated representative of an Acid Rain source which specifies the methods, or compliance options, by which each Acid Rain unit at the source will meet the applicable Acid Rain emissions limitation and Acid Rain emissions reduction requirements.(4) “Acid Rain Compliance Schedule” – An enforceable sequence of actions, measures, or operations designed to achieve or maintain compliance, or correct noncompliance, with an applicable requirement of the Acid Rain Program, including any applicable Acid Rain Part permit requirement.(5) “Acid Rain Emissions Limitation” – The EPA-established sulfur dioxide and nitrogen oxides emissions limitations under the Federal Acid Rain Program.(6) “Acid Rain Part” – That separate portion of the Title V source permit specifying the Federal Acid Rain Program requirements for an Acid Rain source, and for the owners, operators and the designated representative of the Acid Rain source or the Acid Rain unit.(7) “Acid Rain Program or Federal Acid Rain Program” – The national sulfur dioxide and nitrogen oxides air pollution control and emissions reduction program established pursuant to 42 U.S.C. sections 7651-7651o and 40 C.F.R. Parts 72, 73, 75, 76, 77, and 78, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(8) “Acid Rain Source” – A Title V source with one or more Acid Rain units.(9) “Acid Rain Unit” – A fossil fuel-fired combustion device listed as subject to any Acid Rain emissions reduction requirement or Acid Rain emissions limitation at 40 C.F.R. 72.6 or 79.2, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(10) “Acrylonitrile” – An organic chemical, formula C3H3N, used in the production of various resins, polymers and acrylic fibers. Synonyms for acrylonitrile are: 2-propenitrile, acrylon, acrylonitrile monomer, cyanoethylene, AN, VCN, and vinyl cyanide. The Chemical Abstract Service registration number is 107-13-1.(11) “Actual Emissions” – The actual rate of emission of a pollutant from an emissions unit as determined in accordance with the following provisions:(a) In general, actual emissions as of a particular date shall equal the average rate, in tons per year, at which the emissions unit actually emitted the pollutant during a consecutive 24-month period which precedes the particular date and which is representative of the normal operation of the emissions unit. The Department shall allow the use of a different time period upon a determination that it is more representative of the normal operation of the emissions unit. Actual emissions shall be calculated using the emissions unit’s actual operating hours, production rates and types of materials processed, stored, or combusted during the selected time period.(b) The Department may presume that unit-specific allowable emissions for an emissions unit are equivalent to the actual emissions of the emissions unit provided that such unit-specific allowable emissions limits are federally enforceable.(c) For any emissions unit that has not begun normal operations on a particular date, actual emissions shall equal the potential emissions of the emissions unit on that date.(12) “Administrator” – The Administrator of the United States Environmental Protection Agency or the Administrator’s designee.(13) “Adverse Impact on Visibility” – An impairment to visibility which interferes with the management, protection, preservation, or enjoyment of the visitor’s visual experience of a Federal Class I area. This determination shall be made during the permitting process, utilizing EPA-approved methods of visibility impairment analysis and taking into account such factors as the geographic extent, intensity, duration, frequency, and time of visibility impairments, and how these factors correlate with the time of visitor use of the Federal Class I area and the frequency and timing of natural conditions that reduce visibility.(14) “Affected Pollutant” – In a nonattainment area or area of influence for any pollutant other than ozone, the pollutant for which the area is designated nonattainment. In the case of an ozone nonattainment area classified as marginal or higher, the affected pollutants are volatile organic compounds (VOC) and nitrogen oxides (NOx). For a transitional ozone nonattainment area, the affected pollutant is VOC only. A pollutant is no longer an affected pollutant upon redesignation of the nonattainment area to an attainment area by the U.S. Environmental Protection Agency.(15) “Affected States” – All states, specifically, Alabama, Georgia, or Mississippi or any combination thereof, whose air quality may be affected by the operation of, or that are within 50 miles of, a Title V source for which a permit, permit revision, or permit renewal is being proposed under Chapter 62-213, F.A.C.(16) “Air Curtain Incinerator” – A portable or stationary combustion device that directs a plane of high velocity forced draft air through a manifold head into a pit with vertical walls in such a manner as to maintain a curtain of air over the surface of the pit and a recirculating motion of air under the curtain.(17) “Air Dried Coating” – Coatings which are dried by the use of air or forced warm air at temperatures up to 194 degrees Fahrenheit (90 degrees Celsius).(18) “Air Emissions Bubble” or “Bubble” – An air pollution control strategy wherein a facility complies with a multi-unit aggregate emissions limit or cap, in lieu of unit-specific limits, on a pollutant-specific basis for carbon monoxide, nitrogen oxides, sulfur dioxide, particulate matter, PM10, or volatile organic compounds (VOCs).(19) “Air General Permit” – An authorization by rule as described in subsection 62-210.300(4), F.A.C., to construct or operate an air pollutant emitting facility. Use of such authorization by any individual facility does not require agency action.(20) “Air Pollutant” – Any substance (particulate, liquid, gaseous, organic or inorganic) which if released, allowed to escape, or emitted, whether intentionally or unintentionally, into the outdoor atmosphere may result in or contribute to air pollution.(21) “Air Pollution” – The presence in the outdoor atmosphere of the state of any one or more substances or pollutants in quantities which are or may be harmful or injurious to human health or welfare, animal or plant life, or property, or unreasonably interfere with the enjoyment of life or property, including outdoor recreation.(22) “Air Pollution Control Equipment” – Equipment, including that used to separate entrained particulate matter or organic vapors from gases, gas separation equipment, thermal oxidation equipment, and chemical reaction/conversion equipment, which is designed and used to reduce the discharge of a specific air pollutant to the atmosphere.(a) “Destructive Control Device” – Any device intended and designed for the reduction of VOC pollutant emissions from an emissions unit which alters the chemical composition of the pollutant flowing through the device.(b) “Non-Destructive Control Device” – Any device intended and designed for the reduction of VOC pollutant emissions from an emissions unit which does not alter the chemical composition of the pollutant flowing through the device.(23) “Air Quality Control Region” – Any air quality control region designated pursuant to Section 107 of the Clean Air Act. The boundaries of the air quality control regions in Florida are set forth in 40 C.F.R. Part 81, Sections 81.49, 81.68, 81.91, 81.95, 81.96 and 81.97, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(24) “Allowable Emissions” – The emission rate calculated using the maximum rated capacity of the emissions unit, as limited or modified by any state or federally enforceable restrictions on the operating rate or hours of operation, or both, and the most stringent state or federal emission limiting standard applicable to the emissions unit; or the maximum allowable emission rate specified by any state or federally enforceable permit conditions.(25) “Alternate Designated Representative” – (a) For the purposes of the Acid Rain Program, alternate designated representative shall mean “alternate designated representative” as described in 40 CFR 72.22, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(b) For the purposes of the CAIR Program, alternate designated representative shall mean “alternate CAIR designated representative” as defined in 40 CFR 96.102, 96.202, or 96.302, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(c) For the purposes of the Hg Budget Trading Program, alternate designated representative shall mean “alternate Hg designated representative” as defined in 40 CFR 60.4102, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(26) “Alternative Control Techniques Document” or “ACT” – A guidance document issued by the U.S. Environmental Protection Agency under the Clean Air Act (42 U.S.C. s. 7511b) which identifies control alternatives for sources of volatile organic compounds (VOC) and nitrogen oxides (NOx) that emit more than 25 tons per year.(27) “Ambient Air Quality Standard” or “Ambient Standard” – A restriction established to limit the quantity or concentration of an air pollutant that may be allowed to exist in the ambient air for any specific period of time.(a) “National Ambient Air Quality Standard” means an ambient standard established by EPA and specified at 40 C.F.R. Part 50, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(b) “Primary Standard” means an ambient standard established to protect public health.(c) “Secondary Standard” means an ambient standard established to protect the public welfare including the protection of animal and plant life, property, visibility and atmospheric clarity, and the enjoyment of life and property.(d) “State Ambient Air Quality Standard” means an ambient standard established or adopted by the Department.(28) “Animal Crematory” – Any combustion apparatus used solely for the cremation of animal remains.(29) “Applicable Requirement” –(a) For purposes of the permitting requirements of Chapter 62-213, F.A.C., all of the following as they apply to a Title V source or any emissions unit at such source:1. Any standard or other requirement provided for in the state implementation plan;2. Any term or condition of any preconstruction permit issued by the Environmental Protection Agency pursuant to 40 C.F.R. 52.21 or by the Department pursuant to subparagraph 62-204.800(11)(d)2., F.A.C. (formerly 62-204.800(10)(d)2.); Rule 62-212.300, F.A.C. (formerly 17-212.300, formerly 17-2.520); Rule 62-212.400, F.A.C. (formerly 17-212.400, formerly 17-2.500); Rule 62-212.500, F.A.C. (formerly 17-212.500, formerly 17-2.510); Rule 62-212.720, F.A.C.; Rule 17-2.17, F.A.C. (repealed); or Rule 62-4.210, F.A.C. (formerly 17-4.210, formerly 17-4.21);3. Any term or condition of any air operation permit issued pursuant to paragraph 62-210.300(2)(b), F.A.C.;4. Any standard or other requirement under Chapter 62-4, 62-204, 62-210, 62-212, 62-213, 62-214, 62-252, 62-256, 62-257, 62-281, 62-296, or 62-297, F.A.C.;5. Any standard or other requirement under the Federal Acid Rain Program;6. Any standard or other requirement under 42 U.S.C. Section 7411 or 7412, as published in “United States Code, 2000 Edition, Supplements 1 and 2,” available online at http://www.gpoaccess.gov/uscode/index.html;7. If incorporated into the Specific Operating Agreement with the Department, any standard or other requirement adopted by a local air pollution control program having geographical jurisdiction over the emission unit, unless such standard or requirement conflicts with the provisions of the Federal Acid Rain Program or the Florida Electrical Power Plant Siting Act;8. Any standard or other requirement of 40 C.F.R. Part 55, adopted by reference in Rule 62-204.800, F.A.C.;9. Any applicable standard or other requirement of Subpart B, C, or D of 40 C.F.R. Part 59, adopted by reference in Rule 62-204.800, F.A.C.;10. Any applicable standard or other requirement of 40 C.F.R. Part 64, adopted by reference in Rule 62-204.800, F.A.C.;11. Any applicable standard or other requirement of Subpart A, B, C, D, E, F, or G of 40 C.F.R. Part 65, adopted by reference in Rule 62-204.800, F.A.C.;12. Any applicable standard or other requirement of Subpart A, B, C, E, F, or G of 40 C.F.R. Part 82, adopted by reference in Rule 62-204.800, F.A.C.(b) For purposes of the permitting and exemption requirements of Chapters 62-210 and 62-212, F.A.C., all of the following as they apply to any facility or to any emissions unit within such facility:1. Any standard or other requirement provided for in the State Implementation Plan;2. Any term or condition of any preconstruction permit issued by the Environmental Protection Agency pursuant to 40 C.F.R. 52.21 or by the Department pursuant to subparagraph 62-204.800(11)(d)2., F.A.C. (formerly 62-204.800(10)(d)2.); Rule 62-212.300, F.A.C. (formerly 17-212.300, formerly 17-2.520); Rule 62-212.400, F.A.C. (formerly 17-212.400, formerly 17-2.500); Rule 62-212.500, F.A.C. (formerly 17-212.500, formerly 17-2.510); Rule 62-212.720, F.A.C.; Rule 17-2.17, F.A.C. (repealed); or Rule 62-4.210, F.A.C. (formerly 17-4.210, formerly 17-4.21);3. Any term or condition of any air operation permit;4. Any standard or other requirement under Chapter 62-4, 62-204, 62-210, 62-212, 62-252, 62-256, 62-257, 62-281, 62-296, or 62-297, F.A.C.5. Any standard or other requirement under 42 U.S.C. Section 7411 or 7412, as published in “United States Code, 2000 Edition, Supplements 1 and 2,” available online at http://www.gpoaccess.gov/uscode/index.html; and6. If incorporated into the Specific Operating Agreement with the Department, any standard or other requirement adopted by a local air pollution control program having geographical jurisdiction over the emission unit, unless such standard or requirement conflicts with the provisions of the Federal Acid Rain Program or the Florida Electrical Power Plant Siting Act.(30) “Application Area” – The area where a coating is applied by spraying, dipping, or flowcoating techniques.(31) “Approved Conditional Compliance Option” – A conditional compliance option which has been incorporated into the Acid Rain Part.(32) “Area of Influence” – An area which is outside the boundary of a nonattainment or air quality maintenance area but within the locus of all points that are fifty kilometers outside of the boundary of the nonattainment or air quality maintenance area.(33) “Asphalt” – A dark brown to black cementitious material (solid, semi-solid, or liquid in consistency) in which the predominating constituents are bitumens which occur in nature as such or which are obtained as a residue in refining petroleum.(34) “Asphalt Concrete Plant” or “Hot Mix Asphalt Plant” – Any facility that produces hot mix asphalt by heating and drying aggregate and mixing with asphalt cements.(35) “Base Emission Limit” – The maximum emission offset that any emissions unit is eligible to provide to another emissions unit. In an ozone nonattainment area classified as marginal or higher, the base emission limit is defined separately for emissions of volatile organic compounds (VOC) and nitrogen oxides (NOx).(36) “Baseline Actual Emissions” and “Baseline Actual Emissions for PAL” – The rate of emissions, in tons per year, of a PSD pollutant, as follows:(a) For any existing electric utility steam generating unit, baseline actual emissions means the average rate, in tons per year, at which the unit actually emitted the pollutant during any consecutive 24-month period selected by the owner or operator within the 5-year period immediately preceding the date a complete permit application is received by the Department. The Department shall allow the use of a different time period upon a determination that it is more representative of normal source operation.1. The average rate shall include fugitive emissions to the extent quantifiable, and emissions associated with startups and shutdowns.2. The average rate shall be adjusted downward to exclude any non-compliant emissions that occurred while the source was operating above any emission limitation that was legally enforceable during the consecutive 24-month period.3. For a PSD pollutant, when a project involves multiple emissions units, only one consecutive 24-month period must be used to determine the baseline actual emissions for the emissions units being changed. A different consecutive 24-month period can be used for each PSD pollutant.4. The average rate shall not be based on any consecutive 24-month period for which there is inadequate information for determining annual emissions, in tons per year, and for adjusting this amount if required by subparagraph (a)2. above.(b) For an existing emissions unit (other than an electric utility steam generating unit), baseline actual emissions means the average rate, in tons per year, at which the emissions unit actually emitted the pollutant during any consecutive 24-month period selected by the owner or operator within the 10-year period immediately preceding the date a complete permit application is received by the Department, except that the 10-year period shall not include any period earlier than November 15, 1990.1. The average rate shall include fugitive emissions to the extent quantifiable, and emissions associated with startups and shutdowns.2. The average rate shall be adjusted downward to exclude any non-compliant emissions that occurred while the source was operating above an emission limitation that was legally enforceable during the consecutive 24-month period.3. The average rate shall be adjusted downward to exclude any emissions that would have exceeded an emission limitation with which the major stationary source must currently comply, had such major stationary source been required to comply with such limitations during the consecutive 24-month period.4. For a PSD pollutant, when a project involves multiple emissions units, only one consecutive 24-month period must be used to determine the baseline actual emissions for all the emissions units being changed. A different consecutive 24-month period can be used for each PSD pollutant.5. The average rate shall not be based on any consecutive 24-month period for which there is inadequate information for determining annual emissions, in tons per year, and for adjusting this amount if required by subparagraphs (b)2. and 3. above.(c) For a new emissions unit, the baseline actual emissions for purposes of determining the emissions increase that will result from the initial construction and operation of such unit shall equal zero; and thereafter, for all other purposes, shall equal the unit's potential to emit.(37) “Baseline Area” – The area (and every part thereof) designated as a prevention of significant deterioration (PSD) area under Rule 62-204.360, F.A.C., in which the facility or major modification establishing the minor source baseline date would construct or in which the emissions of the facility (or the significant net increase in emissions for a major modification) would have a predicted air quality impact equal to or greater than one (1) microgram per cubic meter (annual average) of the pollutant for which the minor source baseline date is established.(38) “Baseline Concentration” – The ambient concentration level that exists in the baseline area at the time of the applicable minor source baseline date. A baseline concentration is determined for each pollutant for which a minor source baseline date is established and for each averaging time for which a maximum allowable increase is established in Rule 62-204.260, F.A.C.(a) The baseline concentration shall include the concentration attributable to:1. The actual emissions representative of sources in existence on the applicable minor source baseline date, except as provided at paragraph (b) below; and2. The federally enforceable allowable emissions of major stationary sources on which construction commenced on or before the major source baseline date but which were not in operation by the applicable minor source baseline date.(b) The baseline concentration shall not include the concentration attributable to the following emissions; rather, such emissions shall affect the amount of any applicable allowable increase remaining available:1. The actual emissions from any major stationary source on which construction commenced after the major source baseline date; and2. Any increase or decrease in the actual emissions of facilities occurring after the applicable minor source baseline date.(c) For purposes of this definition, “construction” means any physical change or change in the method of operation (including fabrication, erection, installation, demolition, shutdown or modification of an emissions unit) that would result in a change in emissions, and “commence construction” has the meaning given at Rule 62-210.200, F.A.C., provided, however, that in the case of demolition or shutdown of an emissions unit, “commence construction” means that the owner or operator has permanently ceased all operations of the unit.(d) Notwithstanding the provisions of paragraph (b) above:1. The change in concentration attributable to any decrease in the actual emissions of a facility on which the Department has relied in demonstrating attainment, defining reasonable further progress, or issuing a permit under the provisions of Rule 17-2.17 (repealed), 17-2.510 (transferred), 17-2.650 (transferred), 62-212.500, 62-296.500 through 62-296.570, or 62-296.700 through 62-296.712, F.A.C., shall be included in the baseline concentration and not be considered in determining the amount of any maximum allowable increase remaining available; and2. Concentrations of particulate matter attributable to the increase in emissions from construction or other temporary emission-related activities of new or modified facilities shall be excluded in determining compliance with any maximum allowable increase.(39) “Batch Process” – A process which takes in the basic raw materials at the beginning of a cycle and processes them in accordance with a predetermined scheme during which no more basic raw materials are added to the process. Two variations include:(a) Processes where some of the reactants (materials) are added at the beginning with the remainder added as the reaction progresses.(b) Processes where once the materials are added, one or more products are continuously removed as the reaction progresses.Such processes include production of super phosphate, basic oxygen furnaces, and cement batch plants.(40) “Best Available Control Technology” or “BACT” – (a) An emission limitation, including a visible emissions standard, based on the maximum degree of reduction of each pollutant emitted which the Department, on a case by case basis, taking into account:1. Energy, environmental and economic impacts, and other costs;2. All scientific, engineering, and technical material and other information available to the Department; and3. The emission limiting standards or BACT determinations of Florida and any other state; determines is achievable through application of production processes and available methods, systems and techniques (including fuel cleaning or treatment or innovative fuel combustion techniques) for control of each such pollutant.(b) If the Department determines that technological or economic limitations on the application of measurement methodology to a particular part of an emissions unit or facility would make the imposition of an emission standard infeasible, a design, equipment, work practice, operational standard or combination thereof, may be prescribed instead to satisfy the requirement for the application of BACT. Such standard shall, to the degree possible, set forth the emissions reductions achievable by implementation of such design, equipment, work practice or operation.(c) Each BACT determination shall include applicable test methods or shall provide for determining compliance with the standard(s) by means which achieve equivalent results.(d) In no event shall application of best available control technology result in emissions of any pollutant which would exceed the emissions allowed by any applicable standard under 40 CFR Parts 60, 61, and 63.(41) “Biological Waste” – Solid waste that causes or has the capability of causing disease or infection and which includes biomedical waste, diseased or dead animals, and other wastes capable of transmitting pathogens to humans or animals.(42) “Biological Waste Incinerator” – Any incinerator operated or utilized for the disposal or treatment of biological waste. The term does not include any air curtain incinerator used or authorized by the Department of Agriculture and Consumer Services for the emergency destruction of animal carcasses.(43) “Biomass” – Vegetative matter and untreated wood.(44) “Biomedical Waste” – Any solid or liquid waste which may present a threat of infection to humans, including nonliquid tissue, body parts, blood, blood products, and body fluids from humans and other primates; laboratory and veterinary wastes which contain human disease-causing agents; and discarded sharps. The following are also included:(a) Used absorbent materials saturated with blood, blood products, body fluids, or excretions or secretions contaminated with visible blood; and absorbent materials saturated with blood or blood products that have dried.(b) Non-absorbent, disposable devices that have been contaminated with blood, body fluids, or secretions or excretions visibly contaminated with blood, but have not been treated by a method listed in Section 381.0098, F.S., or a method approved pursuant to Chapter 64E-16, F.A.C.(45) “Black Liquor Oxidation System” – The vessels used to oxidize, with air or oxygen, the black liquor, and associated storage tank(s).(46) “Black Liquor Solids” – The dry weight of the solids which enter the kraft recovery furnace in the black liquor.(47) “Brown Stock Washer System” – Brown stock washers and associated knotters, vacuum pumps, and filtrate tanks used to wash the pulp following the digester system.(48) “Bubble Baseline Emissions” or “Bubble Baseline” – For purposes of establishing an air emissions bubble, the sum of emissions of each pollutant from the emissions units included within the bubble, expressed both on a short-term and long-term basis.(a) On a short-term basis, the bubble baseline shall be calculated by summing the allowable emissions of each unit after converting the allowable emissions to the equivalent pounds per hour.(b) On a long-term basis the bubble baseline shall be calculated in tons per year by multiplying the allowable emissions times the actual capacity of each unit, actual capacity being determined as the average of the highest two out of the last five calendar years prior to the permit application for the bubble. For steam generating units, the actual capacity shall be expressed as million British Thermal Units per year.(49) “Building Enclosure” – A building or room enclosure that contains an activity, process, or emissions unit that emits an air pollutant.(50) “Bulk Gasoline Plant” – Any gasoline storage and distribution facility that receives gasoline from bulk terminals by pipeline, ship, barge, or gasoline cargo tank, stores it in tanks, and subsequently delivers it to resellers, farms, businesses, service stations, or other end users, and that has an annual average daily throughput of less than 20,000 gallons (75,700 liters), calculated on the basis of the number of calendar days that the facility receives or distributes gasoline.(51) “Bulk Gasoline Terminal” – Any gasoline storage and distribution facility that receives gasoline from its supply sources primarily by pipeline, ship, barge, or gasoline cargo tank and delivers gasoline to bulk gasoline plants or to commercial or retail accounts primarily by tanker truck or trailer, and that has an annual average daily throughput of equal to or more than 20,000 gallons (75,700 liters) of gasoline, calculated on the basis of the number of calendar days that the facility receives or distributes gasoline.(52) “CAIR” – Abbreviation for federal Clean Air Interstate Rule.(53) “CAIR NOx Allowance” – A limited authorization issued by the Department pursuant to Rule 62-296.470, F.A.C., to emit one ton of nitrogen oxides during a control period of the specified calendar year for which the authorization is allocated, or of any calendar year thereafter, under the CAIR NOx Annual Trading Program.(54) “CAIR NOx Annual Trading Program” – The program implemented at subsection 62-296.470(3), F.A.C., which, upon approval by the U.S. Environmental Protection Agency, requires CAIR NOx units in Florida to participate in the multi-state air pollution control and emission reduction program administered by the U.S. Environmental Protection Agency pursuant to 40 CFR Part 96, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(55) “CAIR NOx Ozone Season Allowance” - A limited authorization issued by the Department pursuant to Rule 62-296.470, F.A.C., to emit one ton of nitrogen oxides during a control period of the specified calendar year for which the authorization is allocated, or of any calendar year thereafter, under the CAIR NOx Ozone Season Trading Program.(56) “CAIR NOx Ozone Season Trading Program” – The program implemented at subsection 62-296.470(5), F.A.C., which, upon approval by the U.S. Environmental Protection Agency, requires CAIR NOx Ozone Season units in Florida to participate in the multi-state air pollution control and emission reduction program administered by the U.S. Environmental Protection Agency pursuant to 40 CFR Part 96, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(57) “CAIR NOx Ozone Season Unit” – A unit that is subject to the CAIR NOx Ozone Season Trading Program pursuant to 40 CFR 96.304, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(58) “CAIR NOx Unit” – A unit that is subject to the CAIR NOx Annual Trading Program pursuant to 40 CFR 96.104, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(59) “CAIR Part” or “CAIR Permit” – DEP Form No. 62-210.900(1)(b), completed and certified by the designated representative and incorporated as a part of the Title V source permit or air construction permit. The CAIR Part shall specify the CAIR Program requirements applicable to the CAIR source, to each CAIR unit at the source, and to the owners and operators and the designated representative of the CAIR source and each such unit.(60) “CAIR Program” – Any or all of the following:(a) CAIR NOx Annual Trading Program;(b) CAIR SO2 Trading Program; or(c) CAIR NOx Ozone Season Trading Program.(61) “CAIR SO2 Allowance” – A limited authorization issued by the Administrator under the Acid Rain Program to emit sulfur dioxide during the control period of the specified calendar year for which the authorization is allocated, or of any calendar year thereafter, under the CAIR SO2 Trading Program.(62) “CAIR SO2 Trading Program” – The program implemented at subsection 62-296.470(4), F.A.C., which, upon approval by the U.S. Environmental Protection Agency, requires CAIR SO2 units in Florida to participate in the multi-state air pollution control and emission reduction program administered by the U.S. Environmental Protection Agency pursuant to 40 CFR Part 96, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(63) “CAIR SO2 Unit” – A unit that is subject to the CAIR SO2 Trading Program pursuant to 40 CFR 96.204, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(64) “CAIR Source” – A facility that includes one or more CAIR units.(65) “CAIR Unit” – (a) A CAIR NOx unit;(b) A CAIR SO2 unit; or(c) A CAIR NOx Ozone Season unit.(66) “Calciner” – A device used to calcine lime mud, consisting primarily of calcium carbonate, into quicklime (calcium oxide), by using a fluidized bed to burn or reburn the lime mud in suspension.(67) “Capacity Factor” – The ratio of the average load on or output of a machine or unit operation to the permitted capacity rating of the machine or unit operation for a normal operation period or cycle. The “capacity factor” shall be expressed as a percent of rating.(68) “Capture” – The containment or recovery of emissions from an activity, process, or emissions unit for direction into a duct which may be exhausted through a stack or sent to a destructive or nondestructive control device.(69) “Capture Efficiency” – The weight per unit time of an air pollutant entering a capture system and delivered to a control device divided by the weight per unit time of the total amount of the same air pollutant which was generated by the emissions unit or emissions units served by the capture system, expressed as a percentage.(70) “Capture System” – All equipment, including hoods, ducts, fans, booths, ovens, dryers, etc., used to contain, collect, capture, or transport a pollutant to a control device.(71) “Carbon Adsorption System” – A device containing adsorbent material (e.g., activated carbon, aluminum, silica gel); an inlet and outlet for exhaust gases; and a system to regenerate the saturated adsorbent. The carbon adsorption system must provide for the proper disposal or reuse of all VOC adsorbed.(72) “Carbonaceous Fuel” – Solid materials composed primarily of vegetative matter such as tree bark, wood waste, or bagasse.(73) “Carbonaceous Fuel Burning Equipment” – A firebox, furnace or combustion device which burns carbonaceous and fossil fuels for the primary purpose of producing steam or to heat other liquids or gases. The term includes bagasse burners, bark burners, and waste wood burners, but does not include teepee or conical wood burners or incinerators.(74) “Cast Polymer Operation” – An operation where gel coat resin is sprayed or otherwise applied to a mold, after which a casting resin is applied without spraying. A cast polymer operation does not incorporate the spray lay-up of fiber reinforcement.(75) “Cause or Contribute” – With respect to a violation of an ambient air quality standard, to have a significant impact on the ambient air concentration of a pollutant at any locality that does not or would not meet the applicable standard.(76) “C.F.R.” or “CFR” – Code of Federal Regulations(77) “Clean Air Act (CAA)” or “Act” – The Federal Clean Air Act (42 U.S.C. s. 7401 et seq.)(78) “Clean Coal Technology” – Any technology, including technologies applied at the precombustion, combustion, or post combustion stage, at a new or existing facility which will achieve significant reductions in air emissions of sulfur dioxide or oxides of nitrogen associated with the utilization of coal in the generation of electricity, or process steam which was not in widespread use as of November 15, 1990.(79) “Clean Coal Technology Demonstration Project” – A project using funds appropriated under the heading “Department of Energy – Clean Coal Technology”, up to a total amount of $2,500,000,000 for commercial demonstration of clean coal technology, or similar projects funded through appropriations for the Environmental Protection Agency. The Federal contribution for a qualifying project shall be at least 20 percent of the total cost of the demonstration project. A temporary clean coal technology demonstration project is a clean coal technology demonstration project that is operated for a period of 5 years or less, and which complies with the state implementation plans for the state in which the project is located and other requirements necessary to attain and maintain the national ambient air quality standards during the project and after it is terminated.(80) “Clear Coat ” – A coating which lacks color and opacity or is transparent and uses the undercoat as a reflectant base or undertone color.(81) “Coating” – The application of a protective, decorative, or functional film to a surface.(82) “Coating Application System” – Any operations and equipment which apply, convey, and dry a surface coating, including spray booths, flow coaters, conveyors, flashoff areas, air dryers and ovens.(83) “Coating Applicator” – An apparatus used to apply a surface coating to a surface.(84) “Coating Line” – One or more apparatus or operations which include a coating applicator, flashoff area, and oven wherein a surface coating is applied, dried and/or cured.(85) “Coil Coating” – The coating of any flat metal sheet or strip that comes in rolls or coils.(86) “Cold Cleaning” – The batch process of cleaning and removing soils from metal surfaces by brushing, flushing or immersion while maintaining the solvent below its boiling point. Wipe cleaning is not included in this definition.(87) “Cold Mixed Asphaltic Concrete Patching Material” – A mixture of asphalt cement, stone aggregate, and mineral filler blended together with a small amount of petroleum solvent (diluent). The diluent prevents the material from hardening after the heat of mixing has dissipated, thereby allowing stockpile storage of the material for use in pavement repairs when the use of hot asphaltic concrete is impractical.(88) “Commence Construction” – As applied to the construction or modification of a facility, means that the owner has all preconstruction permits and approvals required under federal air pollution control laws and regulations and those air pollution control laws and regulations which are part of the State Implementation Plan (SIP) or which are part of Chapter 62-210 or 62-212, F.A.C., to the extent that the provisions of these laws and regulations specify conditions or requirements for obtaining a state construction permit for an emissions unit, and:(a) Begins a continuous program of actual on-site construction or physical modification of the facility, to be completed within a time commensurate with the nature of the construction project; or(b) Enters into binding agreements or contractual obligations, which cannot be cancelled or modified without substantial loss to the owner or operator, to undertake a program of actual construction or physical modification of the facility to be completed within a time commensurate with the nature of the construction project; or(c) Begins those on-site activities, other than preparatory activities, which mark the initiation of a change in the method of operation of the facility.(89) “Commence Operation” –(a) For purposes of the Acid Rain Program, to begin any mechanical, chemical, or electronic process, including start-up of an emissions control technology or emissions monitor or of an emissions unit’s combustion chamber.(b) For the purposes of the CAIR Program, commence operation shall mean “commence operation” as defined in 40 CFR 96.102, 96.202, or 96.302, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(c) For the purposes of the Hg Budget Trading Program, commence operation shall mean “commence operation” as defined in 40 CFR 60.4102, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(d) Otherwise, to set into operation any emissions unit for any purpose.(90) “Complete” – In reference to an application for a permit, means that the application contains all of the information necessary for processing the application, except as otherwise provided in Rule 62-213.420, F.A.C.(91) “Condensate” – Hydrocarbon liquid separated from natural gas which condenses due to changes in the temperature and/or pressure and remains liquid at standard conditions.(92) “Condensate Stripper System” – A column and associated condensers, used to strip, with air or steam, total reduced sulfur (TRS) compounds from contaminated condensate streams.(93) “Conditional Compliance Option” – A compliance option submitted as part of an Acid Rain compliance plan which is not intended to be immediately active, but which may be activated at a later date during the term of the permit.(94) “Construction” – (a) The act of performing on-site fabrication, erection, installation or modification of an emissions unit or facility of a permanent nature, including installation of foundations or building supports; laying of underground pipe work or electrical conduit; and fabrication or installation of permanent storage structures, component parts of an emissions unit or facility, associated support equipment, or utility connections. Land clearing and other site preparation activities are not a part of the construction activities.(b) For the purposes of Rules 62-212.300, 62-212.400, 62-212.500, and 62-212.720, F.A.C., construction means any physical change or change in the method of operation (including fabrication, erection, installation, or modification of an emissions unit) that would result in a change in emissions. (c) For the purposes of the provisions of 40 CFR Parts 60 and 61, adopted by reference in Rule 62-204.800, F.A.C., construction means fabrication, erection, or installation of an affected facility.(d) For the purposes of the provisions of 40 CFR Part 63, adopted by reference in Rule 62-204.800, F.A.C., construction means the on-site fabrication, erection, or installation of an affected source. Construction does not include the removal of all equipment comprising an affected source from an existing location and reinstallation of such equipment at a new location. The owner or operator of an existing affected source that is relocated may elect not to reinstall minor ancillary equipment including piping, ductwork, and valves. However, removal and reinstallation of an affected source will be construed as reconstruction if it satisfies the criteria for reconstruction as defined in this section. The costs of replacing minor ancillary equipment must be considered in determining whether the existing affected source is reconstructed.(95) “Continuous Emissions Monitoring System” or “CEMS” – All of the equipment that may be required to meet the data acquisition and availability requirements to sample, condition or analyze; and provide a record of emissions on a continuous basis.(96) “Continuous Emissions Rate Monitoring System” or “CERMS” – The total equipment required for the determination and recording of the pollutant mass emissions rate in terms of mass per unit of time.(97) “Continuous Monitoring System” – All equipment, required under applicable rules, used to calibrate, sample, condition (if applicable), and analyze air pollutant emissions, or used to provide a permanent record of emissions or process parameters.(98) “Continuous Parameter Monitoring System” or “CPMS” – All of the equipment necessary to meet the data acquisition and availability requirements of 40 CFR 52.21, adopted by reference in Rule 62-204.800, F.A.C., to monitor process and control device operational parameters including control device secondary voltages and electric currents; and other information including gas flow rate, oxygen or carbon dioxide concentrations; and to record average operational parameter value (s) on a continuous basis.(99) “Continuous Unloader” – A bulk materials unloading system that is normally installed at wharf or pier side. A typical system is essentially of enclosed construction, providing for dust abatement and weather tightness, utilizing screw conveyors, elevators, conveyor belt arrangements, or similar devices to facilitate basically uninterrupted discharge of materials from vessel cargo holds.(100) “Control Device” – See “Air Pollution Control Equipment” above.(101) “Control System” – A combination of one or more capture systems and control devices working in concert to reduce the discharges of an air pollutant to the ambient air.(102) “Control Techniques Guidelines Document” or “CTG” – A guidance document issued by the U.S. Environmental Protection Agency under the Clean Air Act (42 U.S.C. s. 7511b) which defines reasonably available control technology (RACT) and presumptive RACT limits for a source category.(103) “Conveyorized Degreasing” – The continuous process of cleaning and removing soils from metal surfaces by operating with either cold or vaporized solvents.(104) “Cross Recovery Furnace” – A furnace used to recover chemicals consisting primarily of sodium and sulfur compounds by burning black liquor which on a quarterly basis contains more than 7 weight percent of the total pulp solids from the neutral sulfite semichemical (NSSC) process and has a green liquor sulfidity of more than 28 percent.(105) “Crude Oil” – A naturally occurring mixture which consists of hydrocarbons and/or sulfur, nitrogen and/or oxygen derivatives of hydrocarbons and which is liquid at standard conditions.(106) “Cutback Asphalt” – Asphalt cement which has been liquified by blending with petroleum solvents (diluents). Upon exposure to atmospheric conditions the diluents evaporate, leaving the asphalt cement to perform its function.(107) “Delivery Vessel” – Tank trucks or trailers equipped with a storage tank and used for the transport of gasoline from sources of supply to stationary storage tanks of gasoline dispensing facilities.(108) “Department” – The State of Florida Department of Environmental Protection.(109) “Destruction or Removal Efficiency” – The weight per unit time of an air pollutant entering a control device or set of control devices minus the weight per unit time of that air pollutant exiting the control device(s), divided by the weight per unit time of that air pollutant entering the control device(s), expressed as a percentage.(110) “Digester System” – Each continuous digester or each batch digester used for the cooking of wood in white liquor, and associated flash tank(s), blow tank(s), chip steamer(s) and condenser(s).(111) “Digital Printing” – The transfer of electronic files directly from the computer to an electronically driven output device that prints the image directly on the selected media (substrate).(112) “Draft Permit” – The version of a Title V permit for which the Department offers public participation under subsection 62-210.350(3), F.A.C., or affected state review under subsection 62-213.450(2), F.A.C.(113) “Designated Representative” – (a) For the purposes of the Acid Rain Program, a responsible natural person authorized, by the owners and operators of an Acid Rain source and of all Acid Rain units at the source, in accordance with 40 C.F.R. Part 72, Subpart B, adopted and incorporated by reference in Rule 62-204.800, F.A.C., to represent and legally bind each owner and operator, as a matter of federal law, in matters pertaining to the Acid Rain Program.(b) For the purposes of the CAIR Program, designated representative shall mean “CAIR designated representative” as defined in 40 CFR 96.102, 96.202, or 96.302, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(c) For the purposes of the Hg Budget Trading Program, designated representative shall mean “Hg designated representative” as defined in 40 CFR 60.4102, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(114) “Draft Acid Rain Part” – Means the version of the Acid Rain Part of a Title V source operation permit that the Department offers for public comment.(115) “Dry Cleaning Facility” – A facility engaged in the cleaning of fabrics in a nonaqueous solvent by means of one or more washes in solvent, extraction of excess solvent by spinning, and drying by tumbling in an airstream. The facility includes washer, dryer, filter and purification systems; emission control equipment; waste disposal systems; holding tanks; pumps and attendant piping and valves.(116) “Electrical Power Plant” – Any electrical generating facility that uses any process or fuel and that is owned or operated by an electric utility and includes any associated facility that directly supports the operation of the electrical power plant.(117) “Electric Utility” – Cities and towns, counties, public utility districts, regulated electric companies, electric cooperatives, and joint operating agencies, or combinations thereof, engaged in, or authorized to engage in, the business of generating, transmitting, or distributing electric energy.(118) “Electron Beam-Cured” – An ink and coating drying process by which monomers, oligomers, and other components polymerize to form a film when exposed to an electron beam radiation.(119) “Emergency Generator” – Any stationary generator powered by an internal combustion engine which operates no more than 500 hours per year as a mechanical or electrical power source to provide power internal to a facility only when the primary power source for that facility has been rendered inoperable by an emergency situation.(120) “Electric Utility Steam Generating Unit” – Any steam electric generating unit that is constructed for the purpose of supplying more than one-third of its potential electric output capacity and more than 25 MW electrical output to any utility power distribution system for sale. Any steam supplied to a steam distribution system for the purpose of providing steam to a steam-electric generator that would produce electrical energy for sale is also considered in determining the electrical energy output capacity of the unit.(121) “Emission” – The discharge or release into the atmosphere of one or more air pollutants.(122) “Emission Limiting Standard” or “Emission Standard” or “Emission Limitation” or “Performance Standard” – Any restriction established in or pursuant to a regulation adopted by the Department which limits the quantity, rate, concentration or opacity of any pollutant released, allowed to escape or emitted, whether intentionally or unintentionally, into the atmosphere, including any restriction which prescribes equipment, sets fuel specifications, or prescribes operation or maintenance procedures for an emissions unit to assure emission reduction or control.(123) “Emission Offset” or “Offset” – A compensating reduction in the emissions of an affected pollutant from a permitted emissions unit to provide an emission allowance for a new or modified emissions unit.(124) “Emission Point” or “Discharge Point” – The point at which an air pollutant first enters the atmosphere.(125) “Emissions Unit” – Any part or activity of a facility that emits or has the potential to emit any air pollutant.(126) “Emulsified Asphalt” – An emulsion of asphalt cement and water which contains a small amount of an emulsifying agent; a heterogeneous system containing two normally immiscible phases (asphalt and water) in which the water forms the continuous phase of the emulsion, and minute globules of asphalt form the discontinuous phase.(127) “End Sealing Compound” – A synthetic rubber compound which when coated on a can end functions as a gasket when the end is assembled on the can.(128) “Environmental Protection Agency” or “EPA” – The United States Environmental Protection Agency.(129) “Existing Emissions Unit” – An emissions unit which was in existence, in operation, or under construction, or had received a permit to begin construction prior to January 18, 1972. However, “existing emissions unit” for the purposes of Rules 62-296.700 through 62-296.712, and 62-212.500, F.A.C., shall mean any emissions units which is not defined as a new emissions unit with respect to a specific rule or provision of any of those sections. For the purpose of Rules 62-296.500 through 62-296.512, F.A.C., existing emissions units are those emissions units which were constructed or for which a construction permit was issued prior to July 1, 1979. For the purposes of Rule 62-212.400, F.A.C., an existing emissions unit is an emissions unit which is not a new emissions unit as defined for the purposes of Rule 62-212.400, F.A.C.(130) “Exterior Base Coating” – A coating applied to the exterior of a can to provide exterior protection to the metal and background for the lithographic or printing operation.(131) “External Floating Roof” – A storage vessel cover in an open top tank consisting of a double deck or pontoon single deck which rests upon and is supported by the petroleum liquid being contained and is equipped with a closure seal or seals to close the space between the roof edge and tank shell.(132) “Extreme Performance Coating” – Coating designed to withstand exposure to harsh conditions such as continuous weather exposure and temperatures consistently above 203 degrees Fahrenheit (95 degrees Celsius), or abrasive and scouring agents.(133) “Fabric Coating” – The coating of a textile substrate with a knife, roll, or rotogravure coater to impart properties that are not initially present, such as strength, stability, water or acid repellancy, or appearance.(134) “Facility” – All of the emissions units which are located on one or more contiguous or adjacent properties, and which are under the control of the same person (or persons under common control).(135) “Federal Land Manager” – With respect to any lands in the United States, the Secretary of the department with authority over such lands.(136) “Federally Enforceable” – Pertaining to limitations and conditions which are enforceable by the Administrator, including any requirements developed pursuant to Title 40 of the Code of Federal Regulations, any requirements within the State Implementation Plan, and any requirements established pursuant to permits issued under:(a) The state’s Title V operation permit program, consistent with 40 C.F.R. Part 70.(b) Paragraph 62-210.300(2)(b), F.A.C.;(c) 40 C.F.R. 52.21; or(d) Subparagraph 62-204.800(11)(d)2., F.A.C. (formerly 62-204.800(10)(d)2.); Rule 62-212.300, F.A.C. (formerly 17-212.300, formerly 17-2.520); Rule 62-212.400, F.A.C. (formerly 17-212.400, formerly 17-2.500); Rule 62-212.500, F.A.C. (formerly 17-212.500, formerly 17-2.510); Rule 17-2.17, F.A.C. (repealed); or Rule 62-4.210, F.A.C. (formerly 17-4.210, formerly 17-4.21).(137) “Final Permit” – The version of a Title V source permit issued by the Department for which all review procedures required by Rule 62-213.450, F.A.C., have been completed.(138) “Firebox” – The chamber or compartment of a boiler or furnace in which materials are burned but does not mean the combustion chamber of an incinerator.(139) “Flashoff Area” – The space between the application area and the oven.(140) “Flexographic Printing” – The application of words, designs and pictures to a substrate by means of a roll printing technique in which the pattern to be applied is raised above the printing roll and the image carrier is made of rubber or other elastomeric materials.(141) “Fossil Fuel” – Natural gas, petroleum, coal, or any form of solid, liquid, or gaseous fuel derived from such material.(142) “Fossil Fuel Steam Generators” – A furnace or boiler which produces steam by combustion of oil, coal, or gas of fossil origin.(143) “Fountain Solution” – A mixture of water and other volatile and non-volatile chemicals and additives that maintains the quality of the printing plate and reduces the surface tension of the water so that it spreads easily across the printing plate surface. The fountain solution wets the non-image area so that the ink is maintained within the image areas. Non-volatile additives include mineral salts and hydrophilic gums.(144) “Fountain Solution Additives” – Wetting additives that include alcohol and alcohol substitutes, including isopropyl alcohol, glycol ethers and ethylene glycol, which are used to reduce the surface tension of the fountain solution.(145) “Freeboard Height” –(a) For heated vapor degreasers is the distance from the top of the vapor zone to the top of the degreaser tank.(b) For cold cleaning degreasers is the distance from the solvent to the top edge of the cold cleaner.(146) “Freeboard Ratio” – The freeboard height divided by the width of the degreaser.(147) “Fugitive Emissions” – Those emissions which could not reasonably pass through a stack, chimney, vent or other functionally equivalent opening.(148) “Gas/Gas Method” – Either of two EPA methods for determining capture efficiency which rely only on gas phase measurements. One method, prescribed in paragraph 62-297.450(2)(a), F.A.C., requires construction of a temporary total enclosure to assure all otherwise unconfined air pollutant emissions are measured. The other method, prescribed in paragraph 62-297.450(2)(c), F.A.C., uses the room or building which houses the emissions activity, process, or source as an enclosure.(149) “Gasoline” – Any petroleum distillate having a Reid vapor pressure of 4 psia (27.6 kilopascals) or greater.(150) “Gasoline Cargo Tank” – A delivery tanker truck, trailer, or railcar that is loading or unloading gasoline.(151) “Gasoline Dispensing Facility” – Any stationary facility that dispenses gasoline directly into the fuel tank of a motor vehicle.(152) “Green Liquor Sulfidity” – The sulfidity of the liquor which leaves the smelt dissolving tank.(153) “Hardboard” – A panel manufactured primarily from inter-felted lignocellulosic fibers which are consolidated under heat and pressure in a hot press.(154) “Hardwood Plywood” – Plywood whose surface layer is a veneer or hardwood.(155) “Hazardous Air Pollutant (HAP)” – An air pollutant:(a) Identified by the CAS number or chemical name from the following list: (b) For all listings above which contain the word “compounds” and for glycol ethers, the following applies: unless otherwise specified, these listings are defined as including the named chemical and any unique chemical substance that contains the named chemical (i.e., antimony, arsenic, etc.) as part of that chemical’s infrastructure.(156) “Heatset” – A lithographic web printing process where heat is used to evaporate ink oils from the printing ink. Heatset dryers (typically hot air) are used to deliver the heat to the printed web.(157) “Hg” – The regulated air pollutant mercury.(158) “Hg Allowance” – A limited authorization issued by the Department to emit one ounce of mercury during a control period of the specified calendar year for which the authorization is allocated, or of any calendar year thereafter, under the Hg Budget Trading Program. (159) “Hg Budget Part” or “Hg Budget Permit” – DEP Form No. 62-210.900(1)(c), completed and certified by the designated representative and incorporated as a part of the Title V source permit or air construction permit. The Hg Budget Part shall specify the Hg Budget Trading Program requirements applicable to the Hg Budget source, to each Hg Budget unit at the source, and to the owners and operators and the designated representative of the Hg Budget source and each such Hg Budget unit.(160) “Hg Budget Source” – A facility that includes one or more Hg Budget units.(161) “Hg Budget Trading Program” – The program implemented at Rule 62-296.480, F.A.C., which, upon approval by the U.S. Environmental Protection Agency, requires Hg Budget units in Florida to participate in the multi-state air pollution control and emission reduction program administered by the U.S. Environmental Protection Agency pursuant to 40 CFR Part 60, Subpart HHHH, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(162) “Hg Budget Unit” – A unit that is subject to the Hg Budget Trading Program pursuant to 40 CFR 60.4104, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(163) “Hood” – A partial enclosure or canopy for capturing and exhausting, by means of a draft, an air pollutant rising from an activity, process, or source of the air pollutant.(164) “Human Crematory” – Any combustion apparatus used solely for the cremation of either human or fetal remains.(165) “Hydrocarbon” – Any organic compound of carbon and hydrogen only.(166) “Incinerator” – A combustion apparatus designed for the ignition and burning of solid, semi-solid, liquid or gaseous combustible wastes.(167) “Indian Governing Body” – The governing body of any tribe, band, or group of Indians subject to the jurisdiction of the United States and recognized by the United States as possessing power of self-government.(168) “Indian Reservation” – Any federally recognized reservation established by Treaty, Agreement, Executive Order, or Act of Congress.(169) “Innovative Control Technology” – Any system of air pollution control that has not been adequately demonstrated in practice, but would have a substantial likelihood of achieving greater continuous emissions reduction than any control system in current practice or of achieving at least comparable reductions at lower cost in terms of energy, economics, or nonair quality environmental impacts.(170) “Interior Base Coating” – A coating applied by roller coater or spray to the interior of a can to provide a protective lining between the can metal and product.(171) “Interior Body Spray” – A coating sprayed on the interior of the can body to provide a protective film between the product and the can.(172) “Internal Floating Roof” – A cover or roof in a fixed roof tank which rests upon or is floated upon the petroleum liquid being contained, and is equipped with a closure seal or seals to close the space between the roof edge and tank shell.(173) “Isokinetic Sampling” or “Isokinetic Conditions” – Sampling in which the linear velocity of the gas entering the sampling nozzle is equal to that of the undisturbed gas stream at the sample point.(174) “Knife Coating” – The application of a coating material to a substrate by means of drawing the substrate beneath a knife that spreads the coating evenly over the full width of the substrate.(175) “Kraft (Sulfate) Pulp Mill” – Any facility that produces cellulose or cellulosic materials by chemically cooking (digesting) wood chips or other cellulosic raw materials in an alkaline solution containing water, sodium hydroxide, and sodium sulfide under conditions of elevated temperature and pressure. The regeneration of the cooking chemicals through a recovery process also constitutes part of the kraft (sulfate) pulp mill.(176) “Kraft Recovery Furnace” – Any straight kraft recovery furnace or cross recovery furnace used to recover chemicals consisting primarily of sodium and sulfur by burning black liquor. If the kraft recovery furnace is equipped with a direct contact evaporator or wet-bottom electrostatic precipitator, this equipment shall be considered part of the kraft recovery furnace.(177) “Land Clearing Debris” – Uprooted or cleared vegetation resulting from a land clearing operation, including any untreated wood generated by the land clearing operation (e.g., untreated fence posts).(178) “Land Clearing Operation” – The uprooting or clearing of vegetation in connection with construction for buildings and rights-of-way; land development; or mineral operations. It does not include landscaping and yard maintenance operations or other such routine property clean-up activities.(179) “Large Appliances” – For purposes of the Reasonably Available Control Technology rules of Chapter 62-296, F.A.C., doors, cases, lids, panels, and interior support parts of residential and commercial washers, dryers, ranges, refrigerators, freezers, water heaters, dishwashers, trash compactors, air conditioners and other similar products.(180) “Lead Processing Operation” – Any facility that emits or has the potential to emit greater than 100 pounds per year of lead, lead alloys or lead compounds in its lead alloys or lead compounds in its operation. These operations include primary lead smelters, secondary lead smelters, primary lead-acid battery manufacturing operations, lead oxide and lead compound manufacturing or handling operations, pot furnaces that melt lead, lead-based paint pigment storage and handling operations, electric arc furnace equipped secondary steel manufacturing operations, secondary steel manufacturing slag handling operations, and all other lead-containing slag processing or handling operations where the lead content of the slag is greater than 0.25 percent by weight. Lead processing operations do not include indoor or outdoor firearm ranges unless recovered spent lead materials are melted on-site, waste-to-energy facilities, fossil fuel-fired steam generators, and facilities that use waste oil as fuel.(181) “Lease Custody Transfer” – The transfer of produced crude oil and/or condensate, after processing and/or treating in the producing operations, from storage tanks or automatic transfer facilities to pipelines or any other forms of transportation.(182) “Letterpress Printing” – A printing system in which the image area is raised relative to the non-image area and the ink is transferred to the substrate directly from the image surface.(183) “Lime Kiln” – An inclined rotary drum device used to calcine lime mud, which consists primarily of calcium carbonate, into quicklime, which is calcium oxide.(184) “Liquid/Gas Method” – Either of two EPA methods for determining capture efficiency which require both gas phase and liquid phase measurements and analysis. One liquid/gas method, prescribed in paragraph 62-297.450(2)(b), F.A.C., requires construction of a temporary enclosure. The other, prescribed in paragraph 62-297.450(2)(d), F.A.C., uses the room or building which houses the emissions activity, process, or source as an enclosure.(185) “Liquid Mounted Seal” – A primary seal mounted in continuous contact with the liquid between the tank wall and the floating roof around the circumference of the tank.(186) “Lithographic Printing” – A planographic printing system where the image and non-image areas are chemically differentiated. The image area is oil receptive and non-image area is water receptive. Ink film from the lithographic plate is transferred to an intermediary surface (blanket), which, in turn, transfers the ink film to the substrate. Fountain solution is applied to maintain the hydrophilic properties of the non-image area. Ink drying is divided into heatset and non-heatset.(187) “Loading Rack” – An aggregation or combination of loading equipment arranged so that all loading outlets in the combination can be connected to a tank truck or trailer.(188) “Low Solvent Coating” – Coatings which contain less organic solvent than the conventional coatings used by the industry. Low solvent coatings include water-borne, higher solids, electrodeposition and powder coatings.(189) “Lowest Achievable Emission Rate” or “LAER” – An allowable emission rate determined in accordance with the provisions of Rule 62-212.500, F.A.C. This term applied to a modification means the lowest achievable emission rate for that portion of the facility which is modified.(190) “Magnet Wire Coating” – The process of applying a coating of electrically insulating varnish or enamel to aluminum or copper wire for use in electrical machinery.(191) “Major Facility” – Any facility which emits, or has the potential to emit:(a) 5 tons per year or more of lead or lead compounds, measured as elemental lead;(b) 30 tons per year or more of acrylonitrile; or(c) 100 tons per year or more of any other air pollutant subject to regulation under Chapter 403, Florida Statutes.(192) “Major Modification” – (a) Any physical change in or change in the method of operation of a major stationary source that would result in a significant emissions increase of a PSD pollutant and a significant net emissions increase of that pollutant from the major stationary source.(b) Any significant emissions increase from any emissions units or net emissions increase at a major stationary source that is significant for volatile organic compounds shall be considered significant for ozone.(c) A physical change or change in the method of operation shall not include:1. Routine maintenance, repair and replacement.2. Use of an alternative fuel or raw material by reason of an order under Sections 2 (a) and (b) of the Energy Supply and Environmental Coordination Act of 1974, or any superseding legislation, or by reason of a natural gas curtailment plan pursuant to the Federal Power Act;3. Use of an alternative fuel by reason of an order or rule under Section 125 of the Clean Air Act;4. Use of an alternative fuel at a steam generating unit to the extent that the fuel is generated from municipal solid waste;5. Use of an alternative fuel or raw material by a stationary source which:a. The source was capable of accommodating before January 6, 1975, unless such change would be prohibited under any federally enforceable permit condition which was established after January 6, 1975; orb. The source is approved to use under any federally enforceable permit condition issued under 40 CFR 52.21 or under regulations approved pursuant to 40 CFR 51.166;6. An increase in the hours of operation or in the production rate, unless such change would be prohibited under any federally enforceable permit condition which was established after January 6, 1975.7. Any change in ownership at a stationary source.8. The installation, operation, cessation, or removal of a temporary clean coal technology demonstration project, provided that the project complies with:a. The State Implementation Plan, andb. Other requirements necessary to attain and maintain the national ambient air quality standards during the project and after it is terminated.9. The installation or operation of a permanent clean coal technology demonstration project that constitutes repowering, provided that the project does not result in an increase in the potential to emit of any regulated pollutant emitted by the unit. This exemption shall apply on a pollutant-by-pollutant basis.10. The reactivation of a very clean coal-fired electric utility steam generating unit.(d) This definition shall not apply with respect to a particular PSD pollutant when the major stationary source is complying with the requirements under Rule 62-212.720, F.A.C., for a PAL for that pollutant. Instead, the definition at 40 CFR 52.21(aa)(2)(viii), adopted by reference in Rule 62-204.800, F.A.C., shall apply.(193) “Major Source Baseline Date” – Pursuant to 40 C.F.R. 51.166(14)(i), adopted and incorporated by reference in Rule 62-204.800, F.A.C.:(a) In the case of particulate matter and sulfur dioxide, January 6, 1975; and(b) In the case of nitrogen dioxide, February 8, 1988,(194) “Major Source of Air Pollution” or “Title V Source” – A facility containing an emissions unit, or any group of emissions units, which is or includes any of the following:(a) For pollutants other than radionuclides, any emissions unit or group of emissions units that emits or has the potential to emit, in the aggregate, 10 tons per year or more of any one hazardous air pollutant (HAP), 25 tons per year or more of any combination of HAPs, or any lesser quantity of a HAP as established through EPA rulemaking. Notwithstanding the preceding sentence, HAP emissions from any oil or gas exploration or production well (with its associated equipment) and HAP emissions from any pipeline compressor or pump station shall not be aggregated with HAP emissions from other similar units, whether or not such units are in a contiguous area or under common control, to determine whether such units or stations are Title V sources.(b) An emissions unit or group of emissions units, all belonging to the same two-digit Major Group as described in the Standard Industrial Classification Manual, 1987, that directly emits or has the potential to emit, 100 tons per year or more of any regulated air pollutant. The fugitive emissions of an emissions unit or group of emissions units shall not be considered in determining whether it is a Title V source for purposes of this paragraph unless the emissions unit or group of emissions units belongs to one of the following categories:1. Coal cleaning plants (with thermal dryers);2. Kraft pulp mills;3. Portland cement plants;4. Primary zinc smelters;5. Iron and steel mills;6. Primary aluminum ore reduction plants;7. Primary copper smelters;8. Municipal incinerators capable of charging more than 250 tons of refuse per day;9. Hydrofluoric, sulfuric, or nitric acid plants;10. Petroleum refineries;11. Lime plants;12. Phosphate rock processing plants;13. Coke oven batteries;14. Sulfur recovery plants;15. Carbon black plants (furnace process);16. Primary lead smelters;17. Fuel conversion plant;18. Sintering plants;19. Secondary metal production plants;20. Chemical process plants;21. Fossil-fuel boilers (or combination thereof) totaling more than 250 million British thermal units per hour heat input;22. Petroleum storage and transfer units with a total storage capacity exceeding 300,000 barrels;23. Taconite ore processing plants;24. Glass fiber processing plants;25. Charcoal production plants;26. Fossil fuel-fired steam electric plants of more than 250 million British thermal units per hour heat input;27. Any other stationary source category, which as of August 7, 1980, is being regulated under Section 111 or 112 of the Act;(c) A major stationary source.(d) A major stationary source as described in Part D of Title I of the Federal Clean Air Act which includes:1. For ozone nonattainment areas, an emissions unit or group of emissions units, all belonging to the same two (2) digit Major Group as described in the Standard Industrial Classification Manual, 1987, with the potential to emit 100 tons per year or more of volatile organic compounds or oxides of nitrogen in areas classified as “marginal” or “moderate,” fifty (50) tons per year or more in areas classified as “serious,” twenty-five (25) tons per year or more in areas classified as “severe,”, and ten (10) tons per year or more in areas classified as “extreme,” except that the references in the clause of 100, fifty (50), twenty-five (25), and ten (10) tons per year of nitrogen oxides shall not apply with respect to any source for which EPA has made in finding, under 42 U.S.C.§ 7511a(f)(a) or (2), that requirements under 42 U.S.C. § 7511a(f) do not apply;2. For ozone transport regions established pursuant to 42 U.S.C. § 7511c, an emissions unit or group of emissions units, all belonging to the same two (2) digit Major Group as described in the Standard Industrial Classification Manual, 1987, with the potential to emit fifty (50) tons per year or more of volatile organic compounds (V)Cs);3. For carbon monoxide nonattainment areas (i) that are classified as “serious”, and (ii) in which stationary sources contribute significantly to carbon monoxide levels as determined under rules issued by EPA, an emissions unit or group of emissions units, all belonging to the same two (2) digit Major Group as described in the Standard Industrial Classification Manual, 1987, with the potential to emit fifty (50) tons per year or more of carbon monoxide;4. For particulate matter (PM-10) nonattainment areas classified as “serious”, an emissions unit or group of emissions unit, all belonging to the same two (2) digit Major Group as described in the Standard Industrial Classification Manual, 1987, with the potential to emit seventy (70) tons or more per year of PM-10;(e) An emissions unit or group of emissions units, all belonging to the same two (2) digit Major Group as described in the Standard Industrial Classification Manual, 1987, that emits or has the potential to emit five (5) tons per year or more of lead or lead compounds, measured as elemental lead;(f) An emissions unit or group of emissions units with one (1) or more emissions units subject to standards or regulations promulgated under 42 U.S.C. § 7411 – Standards of Performance for New Stationary Sources; or 42 U.S.C. § 7412 – Hazardous Air Pollutants; provided, however, that such emissions unit or group of emissions units is not a Title V source solely because:1. It is regulated under the Prevention of Accidental Releases criteria (42 U.S.C. § 7412(r)), or2. It is subject to a reporting requirement, or3. It is subject to 40 C.F.R. Part 61, Subpart M – National Emission Standard for Asbestos Section 61.145, Standard for Demolition and Renovation, adopted and incorporated by reference into Rule 62-204.800, F.A.C., or4. It is subject to a standard or regulation promulgated under 42 U.S.C. § 7411, unless such standard or regulation specifies that the emission unit or group of emissions units requires a Title V permit.(g) One (1) or more acid rain units; or(h) An emissions unit or group of emission units designated as a Part 70 source under 40 C.F.R. 70.3(a)(5), adopted and incorporated by reference in Rule 62-204.800, F.A.C.(195) “Major Stationary Source” – (a) A major stationary source is:1. Any of the following stationary sources of air pollutants which emits, or has the potential to emit, 100 tons per year or more of any PSD pollutant: Fossil fuel-fired steam electric plants of more than 250 million British thermal units per hour heat input, coal cleaning plants (with thermal dryers), kraft pulp mills, portland cement plants, primary zinc smelters, iron and steel mill plants, primary aluminum ore reduction plants, primary copper smelters, municipal incinerators capable of charging more than 250 tons of refuse per day, hydrofluoric, sulfuric, and nitric acid plants, petroleum refineries, lime plants, phosphate rock processing plants, coke oven batteries, sulfur recovery plants, carbon black plants (furnace process), primary lead smelters, fuel conversion plants, sintering plants, secondary metal production plants, chemical process plants, fossil fuel boilers (or combinations thereof) totaling more than 250 million British thermal units per hour heat input, petroleum storage and transfer units with a total storage capacity exceeding 300,000 barrels, taconite ore processing plants, glass fiber processing plants, and charcoal production plants; 2. Any stationary source which emits, or has the potential to emit, 250 tons per year or more of a PSD pollutant; or 3. Any physical change that would occur at a stationary source not otherwise qualifying as a major stationary source, if the change would constitute a major stationary source by itself.(b) A major stationary source that is major for volatile organic compounds or nitrogen oxides shall be considered major for ozone.(c) The fugitive emissions of a stationary source shall not be included in determining for any of the purposes of this definition whether it is a major stationary source, unless the source belongs to one of the following categories of stationary sources:1. Coal cleaning plants (with thermal dryers);2. Kraft pulp mills;3. Portland cement plants;4. Primary zinc smelters;5. Iron and steel mills;6. Primary aluminum ore reduction plants;7. Primary copper smelters;8. Municipal incinerators capable of charging more than 250 tons of refuse per day;9. Hydrofluoric, sulfuric, or nitric acid plants;10. Petroleum refineries;11. Lime plants;12. Phosphate rock processing plants;13. Coke oven batteries;14. Sulfur recovery plants;15. Carbon black plants (furnace process);16. Primary lead smelters;17. Fuel conversion plants;18. Sintering plants;19. Secondary metal production plants;20. Chemical process plants;21. Fossil-fuel boilers (or combination thereof) totaling more than 250 million British thermal units per hour heat input;22. Petroleum storage and transfer units with a total storage capacity exceeding 300,000 barrels;23. Taconite ore processing plants;24. Glass fiber processing plants;25. Charcoal production plants;26. Fossil fuel-fired steam electric plants of more than 250 million British thermal units per hour heat input, and27. Any other stationary source category which, as of August 7, 1980, is being regulated under Section 111 or 112 of the Clean Air Act.(d) For purposes of this definition, a stationary source is all of the pollutant-emitting activities which belong to the same industrial grouping, are located on one or more contiguous or adjacent properties, and are under the control of the same person or persons under common control, except the activities of any vessel; which emit or may emit a PSD pollutant. Pollutant-emitting activities shall be considered as part of the same industrial grouping if they belong to the same Major Group, or have the same first two digit code, as described in the Standard Industrial Classification Manual, 1972, as amended by the 1977 Supplement.(196) “Malfunction” – Any unavoidable mechanical and/or electrical failure of air pollution control equipment or process equipment or of a process resulting in operation in an abnormal or unusual manner.(197) “Maximum Achievable Control Technology” or “MACT” – Maximum achievable control technology as defined in 40 C.F.R. Part 63, Subpart B, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(198) “Maximum Uncontrolled Emissions” – The maximum capacity of an emissions unit or facility to emit a pollutant under its physical and operational design, including any quantifiable fugitive and unconfined emissions and excluding any restrictions on hours of operation or on the type or amount of material that may be combusted, stored, or processed and any air pollution control equipment, methods, or techniques that may be used. The maximum uncontrolled emission rate is the maximum emission rate that would occur absent the use of any air pollution control equipment, methods, or techniques and absent any regulatory restrictions on hours of operation or on the type or amount of fuels or materials combusted, stored, or processed, when the emissions unit is operated at its maximum physical and operational capacity. The maximum uncontrolled emissions of an emissions unit or facility do not include any secondary emissions that may be associated with the emissions unit or facility.(199) “Metal Furniture Coating” – The surface coating of any furniture made of metal or any metal part which will be assembled with other metal, wood, fabric, plastic, or glass parts to form a furniture piece.(200) “Minor Betterment of Public Roads” – Improvements to existing public roads intended to increase their safety and serviceability as the need is dictated by increased traffic levels, or other changes in their use. These improvements include the extension or construction of acceleration lanes, deceleration lanes, turning storage lanes, or median crossovers.(201) “Minor Facility” – Any facility that is not a major facility.(202) “Minor Source Baseline Date” – Pursuant to 40 C.F.R. 51.166(b)(14)(ii), adopted and incorporated by reference in Rule 62-204.800, F.A.C., the minor source baseline date for each pollutant for which maximum allowable increases have been established under Rule 62-204.260, F.A.C., is the earliest date after August 7, 1977, for particulate matter and sulfur dioxide, and February 8, 1988, for nitrogen dioxide, that a facility or a modification subject to preconstruction review under 40 C.F.R. 52.21, Rule 17-2.500 (transferred), or Rule 62-212.400, F.A.C., submits a complete application for permit under such regulations provided that:(a) On the date the complete application is filed, the area in which the facility or modification would be constructed is designated as attainment or unclassifiable for the applicable pollutant under 42 U.S.C. Section 7407(d)(1) of the Clean Air Act (if the application is filed under 40 C.F.R. 52.21), or as a PSD area under Rule 17-2.450 (transferred), 62-275.700 (repealed), or 62-204.360, F.A.C., (if the application is filed under Rule 17-2.500 (transferred) or 62-212.400, F.A.C.); and(b) In the case of a facility, the emissions of the applicable pollutant would be equal to or greater than the significant emissions rate as defined under Rule 62-210.200, F.A.C., or, in the case of modification, there would be a significant net emissions increase of the pollutant.(203) “Method of Operation” – For purposes of the Title V source permitting program, a procedure to operate one or more specific emissions units within a Title V source in a particular manner which may affect air pollutant emissions.(204) “Mode of Operation” – For purposes of the Title V source permitting program, a method of operation that involves two or more specific air emissions units in emissions trading pursuant to Rule 62-213.415, F.A.C.(205) “Modification” – Any physical change in, change in the method of operation of, or addition to a facility which would result in an increase in the actual emissions of any air pollutant subject to regulation under the Act, including any not previously emitted, from any emissions unit or facility.(a) A physical change or change in the method of operation shall not include:1. Routine maintenance, repair, or replacement of component parts of an emissions unit; or2. A change in ownership of an emissions unit or facility.(b) For any pollutant that is specifically regulated by the EPA under the Clean Air Act, a change in the method of operation shall not include an increase in the hours of operation or in the production rate, unless such change would be prohibited under any federally enforceable permit condition which was established after January 6, 1975.(c) For any pollutant that is not specifically regulated by the EPA under the Clean Air Act, a change in the method of operation shall not include an increase in the hours of operation or in the production rate, unless such change would exceed any restriction on hours of operation or production rate included in any applicable Department air construction or air operation permit.(206) “Molten Sulfur Storage and Handling Facility” – A facility designed and utilized for unloading, transferring or storing elemental sulfur in liquid form from ships, barges, railcars, trucks or other methods of water or land transport to heated storage tanks.(207) “Multiple Effect Evaporator System” – The multiple effect evaporators and concentrators and associated condenser(s) and hotwell(s) used to concentrate the spent cooking liquor (black liquor) that is separated from the pulp.(208) “Natural Conditions” – Naturally occurring phenomena that reduce visibility as measured in terms of visual range, contrast, or coloration.(209) “Natural Finish Hardwood Plywood Panels” – Panels whose original grain pattern is enhanced by essentially transparent finishes frequently supplemented by fillers and toners.(210) “Net Emissions Increase” –(a) With respect to any PSD pollutant emitted by a major stationary source, the amount by which the sum of the following exceeds zero (0):1. The increase in emissions from a particular physical change or change in the method of operation as calculated pursuant to paragraph 62-212.400(2)(a), F.A.C.; and2. Any other increases and decreases in actual emissions at the major stationary source that are contemporaneous with the particular change and are creditable. Baseline actual emissions for calculating increases and decreases under this subparagraph shall be determined as provided by the definition of “baseline actual emissions”, except that subparagraphs (a)3. and (b)4. of such definition shall not apply.(b) An increase or decrease in actual emissions is contemporaneous with the increase from the particular change only if it occurs between:1. The date five years before construction on the particular change commences; and2. The date that the increase from the particular change occurs.(c) An increase or decrease in actual emissions is creditable only if the Department has not relied on it in issuing a permit for the source Rule 62-212.400, F.A.C. or Rule 62-212.500, F.A.C., which permit is in effect when the increase in actual emissions from the particular change occurs.(d) An increase or decrease in actual emissions of sulfur dioxide, particulate matter, or nitrogen oxides that occurs before the applicable minor source baseline date is creditable only if it is required to be considered in calculating the amount of maximum allowable increases remaining available.(e) An increase in actual emissions is creditable only to the extent that the new level of actual emissions exceeds the old level.(f) A decrease in actual emissions is creditable only to the extent that:1. The old level of actual emissions or the old level of allowable emissions, whichever is lower, exceeds the new level of actual emissions;2. It is federally enforceable as a practical matter at and after the time that actual construction on the particular change begins; and3. It has approximately the same qualitative significance for public health and welfare as that attributed to the increase from the particular change.(g) An increase that results from a physical change at a source occurs when the emissions unit on which construction occurred becomes operational and begins to emit a particular pollutant. Any replacement unit that requires shakedown becomes operational only after a reasonable shakedown period, not to exceed 180 days.(h) Paragraph (a) of the definition of “actual emissions” shall not apply for determining creditable increases and decreases. (211) “Neutral Sulfite Semichemical (NSSC) Pulping Operation” – Any series of unit o2 What are the challenges for AFL in the search for biosignatures on Mars?23

5.3 Preservation Potential25

6.0Precursor Discoveries25

7.0Mission Site Selection26

7.1 Sediments27

7.2 Hydrothermal29

7.3 Ice33

7.4 Water38

8.0Core Mission Components39

8.1 Payload strategy40

8.2 Core Measurements and Instrumentation41

8.3 Sampling and Precision Sub sampling46

8.3.1 Obtaining a sample47

8.3.2 Sedimentary deposits:48

8.3.3 Precision sampling of a core48

8.3.4 Ice Samples49

8.3.5 Liquid and Heat extraction of organics49

8.3.6 Contamination concerns52

8.4. Time resolved Measurements52

9.0Engineering analysis of AFL core53

10.0Planetary Protection56

11.0Relationship between AFL and MSL57

12.0The Future of AFL57

13.0References59

14.0Appendix 1. Discoveries AFL must respond to.66

15.0Appendix 2 - Instrument descriptions and capabilities67

# Membership

During the course of the SSG several breakout groups were formed to answer specific issues related to our discussions. These are as follows;

AFL subcommittees

**Sedimentary sub-team**. Pan Conrad, leader.

**Hydrothermal sub-team**. David Blake, leader

**Ice sub-team**. Luther Beegle, leader

**Sample preparation sub-team**. Jan Toporski, leader

**Definitions sub-team**. Pan Conrad, leader

**Instruments sub team.** Will Brinkerhoff leader

**Water sub-team**. Jan Amend, leader

# EXECUTIVE SUMMARY

The AFL SSG was asked to develop an analysis of a possible future mission called the **Astrobiology Field Lab**. This mission is a generic concept, consisting of a lander equipped with a major in-situ laboratory capable of making significant advancements towards MEPAG’s Goal I (“Determine if life ever arose on Mars”). In essence, the purpose of this analysis was to evaluate the question, “what is the most that can be accomplished in this area by in situ means?” In order to give the analysis team room to work, financial and timing constraints were very loose. Although at the time of convening this exercise 2013 was the closest discussed deadline and so considerations were given to what technically could be accomplished for this deadline.

The AFL SSG considered the problem at several levels:

What overall programmatic exploration strategies are needed to achieve Goal I? Results from many missions will contribute to these strategies, and a mixture of ambiguous and definitive outcomes will need to be accommodated.

What result would AFL need to deliver to make a meaningful contribution to this strategy?

What are the engineering options for configuring a landed mission that would make such a contribution?

Programmatic exploration strategies

In order to plan missions during the period 2013-1018, it is necessary to predict the state of human knowledge at that time. Although this is hard to do in detail, it is possible to reach some important generalities. First of all, habitability is the potential of an environment (and applied to either the past or the present) to sustain life. By this definition, habitability will be the integrated and accumulated knowledge of many missions and many different kinds of scientific investigations. However, as with any other potential, it will not be possible to achieve certainty unless life itself is discovered. Habitation, on the other hand, is a simple yes-no question. A key planning question, therefore, is when has the habitability potential risen high enough that a habitation test can be justified?

Although it has been generally assumed in the past that these two objectives need to be pursued sequentially, the AFL SSG has concluded that organisms and their environment together constitute a system, and each produces an effect on the other. Many kinds of investigations of this system can simultaneously provide information about both. This implies that habitability and habitation can be investigated together. This expands significantly on the current mission concept for MSL, with AFL having an expanded instrument suite dedicated more towards life detection and precision sample handling than MSL. Moreover, the process of life detection on Mars involves two sequential steps: 1). Proposing that a set of phenomenon are, or could be, biosignatures. This will constitute a working hypothesis that life is or was present. 2). Establishing that at least one of these biosignatures is definitive. This requires extensive effort and careful planning and a number measurements mutually confirming each other. Finally, we know that some kinds of scientific investigations will measure signs of both extinct and extant life without needing to distinguish between these two possibilities before launch.

Given the expected state of our knowledge about Mars during the period 2013-2018, the AFL SSG has reached three conclusions:

It is both possible and reasonable to do life detection first, then determine whether it is extinct or extant on the basis of a positive result.

Missions during this period can reasonably begin the process of life detection by characterizing potential biosignatures.

It is reasonable to set mission objectives that relate to both habitability AND habitation. It is not necessary to choose one at the expense of the other.

Finally if a definitive biosignature is located by AFL instrumentation and missions must be configured to definitively characterize that life signature. It is only by thorough study of a positive signal will skepticism be kept to a minimum and the maximum understanding of how this relates to the formation of life on earth be understood.

Engineering options

The AFL SSG has concluded that the following overall scientific objective is both achievable by AFL as early as 2013 (although 2018 was also postulated as a target from the pathways document, Figure 1), and is a significant extension of currently planned missions:

**For at least one Martian environment of high habitability potential, quantitatively investigate the geological and geochemical context, the presence of the chemical precursors of life, and the preservation potential for biosignatures, and begin/continue the process of life detection.**

By targeting an environment of high habitability potential, a response to prior discoveries is implied. Investigating the context is a reflection of the reality that our understanding of habitability will not be complete by 2013 we need to plan for more work. Understanding prebiotic chemistry is necessary to allow planetary-scale life-related predictions, especially in the contingency that life is not found in a specific experiment. Understanding preservation is key to interpreting the results of biosignature investigations, and is also critical feed-forward to future missions. Finally, life detection, as AFL SSG defines it, is a process that will take time. It is reasonable to expect that missions like AFL will play a significant role in this process, but unreasonable to expect that they will bring it to a conclusion.

Engineering options for an AFL mission

The AFL SSG has defined a landed mission that can achieve the above objective. There are multiple possible variations of what could be called “AFL”, and different scientists see these variations in different context, and with different systems of priority. However, it is possible to define an invariant base that is common to most versions, along with a discovery-responsive and competition-responsive cap. The basic landed system needs to be able to accomplish four things:

Acquire the right samples (access a place with high general habitability potential, understand preservation potential, have a high ability for scientific sample selection, capable sample acquisition system)

Know the context (Setting, mineralogy, chemistry, relationships)

ID best place on the sample (Mid-scale observations.

Precision sub-sampling (down to mm scale) for investigation by analytical suite)

At least 3 mutually confirming A/B measurements (Suites of observations by different means of the same or related phenomena will be necessary to reach definitive conclusions).

Initial engineering concepts for this mission place AFL as a COSPAR level 4B mission.

# 2.0AFL CHARTER

The AFL SSG was given the following charter.

Introduction

The Mars Program Office at NASA HQ (Code S) requests a study of the preliminary scientific options and engineering characteristics of the AFL mission. This mission was identified in the final report of the MSPSG (Mars Science Program Synthesis Group).

Starting assumptions (to be refined)

Assumptions for each mission need to be compiled separately.

Assume TBD mission must be ready to launch as early as TBD.

Science priorities will be derived from the MEPAG Goals document.

Requested Tasks:

Develop a set of candidate whole mission concepts. For each:

Define preliminary general science objectives, and science floor (the level below which the mission is not worth flying).

Identify and evaluate the primary science trades

Determine whether instruments capable of addressing the science objectives are likely to be available in time.

Landing site accessibility: Propose the size of the latitude band which needs to be held open for this mission, the landing precision, and required ability to land in rough terrain

Identify possible facility subsystems related to sample acquisition and sample preparation.

Describe the essential engineering constraints on the mission

Determine if positioning in the pathways makes a difference to the science/engineering of the mission.

Describe how the mission fits into NASA’s long-range strategic framework for the exploration of Mars

Based on the above analysis, present a prioritized set of preliminary options for consideration by NASA HQ.

Methods

The SSG is asked to conduct its business primarily by telecons, e-mail, and or web-based processes. There is enough budget to convene 1 or 2 face-to-face meetings.

Logistical support will be provided by the Mars Program Science Office.

Timing

It is expected that the team will be ready to start its deliberations in mid-November.

A mid-term telecon status check by Jim Garvin, Dan McCleese, and Bruce Jakosky is requested after the new year.

The near-final report of the AFL SSG is requested by Feb. 28, 2004.

It is expected that the results of this study will be presented to MEPAG at its June, 2004 meeting. Feedback from this discussion will be incorporated in the final report, which will be due July 31, 2004.

Report Format

It is requested that the results be presented in the form of both a PowerPoint presentation and a white paper. Additional supporting documents can be prepared as needed. After the white paper has been accepted by program management (including the MEPAG executive committee), it will be posted on a publicly accessible web site.

The report should not include any material that is a concern for ITAR (as is true of everything done by MEPAG).

Note, the bulk of this work and the draft white paper was completed by September 2004. There have been unavoidable delays to its publication. In the meantime thinking about AFL has progressed. This document reflects the thinking in September 2004. Whilst engineering and programmatic changes have occurred since then, the strength of this document lies in the science definition for the mission.

# DEFINTIONS

During the course of the AFL-SSG discussions several questions related to the MSPSG statement arose. Specifically these questions relate to the definitions of, for example, the terms habitability (or what constitutes a habitat) and biosignature. Critical questioning by the group resulted in the formation of a definitions subgroup

The following definitions were decided upon by that group. These definitions are consistent through this document and although we cannot suggest the wider community adopt these definitions it is suggested that some consensus within the MEPAG members is reached to prevent numerous iterations of this process in other reports.

Abiotic Chemistry

Mainly carbon based chemistry the speciation and composition of which has remained simple with the production of all different isomeric possibilities and show no chiral or species preferences. In this scenario complex molecules may only be kerrogenous in nature (type iv) and similar to that found in meteorites.

Biosignature

Any phenomenon produced by life (either modern or ancient). Two sub-definitions: Definitive Biosignature: A phenomenon produced exclusively by life.  Due to its unique biogenic characteristics, a definitive biosignature can be interpreted without question as having been produced by life. Potential Biosignature: A phenomenon that may have been produced by life, but for which alternate abiotic origins may also be possible.

Extant life

General reference to living or recently dead organisms which may also possess a fossil record.

Extinct life

General reference to past life (and no longer present on the planet). If evidence remains, it is ONLY fossil.

Habitability

A general term referring to the potential of an environment (past or present) to support life of any kind. In the context of planetary exploration, two further concepts are important: Indigenous habitability is the potential of a planetary environment to support life that originated on that planet, and exogenous habitability is the potential of a planetary environment to support life that originated on another planet.

Habitat

An environment (defined in time and space) that is or was occupied by life.

Life detection

The process of investigating the presence of biosignatures (including potential biosignatures). Life detection can apply to either past or present life.

**Micro BioSensors (not to exclude organic chemical detection)**

Miniaturized instruments or instrument suites that are developed from technology such as Micro Electronic Machine Systems (MEMS), Micro electronic optic systems (MEOS), Microfluidics, Micro Total Analytical Systems (uTAS) or Lab-on-a-Chip (LOC).

Prebiotic Chemistry

Mainly carbon based chemistry the speciation and composition of which has a complexity and has produced a number of polymeric systems that could be used for structural, metabolic processes and information storage and retrieval.

Present life investigation

One that specifically targets living or recently dead organisms. Time resolved studies on seasonal and daily (with perhaps higher frequency) time scales may be required to confirm observations that a biosignature of present life has been detected.

Preservation Potential

The potential for a particular biosignature to survive and therefore be detected in a particular habitat.

Primary Sample

Geological material (e.g. rock, regolith, dust, atmosphere, ice) acquired from its natural setting on Mars.  Note: specific locations where data are collected by contact instruments are referred to as "targets", not samples.

Secondary Sample

Any sample derived from the primary, including splits, extracts, sub-samples, etc.

# 4.0INTRODUCTION

The primary science driver for the mission concept was to define the first Mars mission to concentrate fully on Astrobiology science goals (as defined within the recently updated Astrobiology roadmap). Therefore, to define the preliminary general science objectives, and the science floor, the level below which the mission is not worth flying. The Astrobiology Field Lab was created as a concept by the Mars Science Program Synthesis Group (MSPSG) during their Pathways planning discussions in 2002-03 and can be paraphrased as;

**Astrobiology Field Laboratory.** “This mission would land on and explore a site thought to be a habitat. Examples of such sites are an active or extinct hydrothermal deposit or a site confirmed by MSL to be of high astrobiological interest, such as a lake or marine deposits or a specific polar site. The investigations would be designed to explore the site and to search for evidence of past or present life. The mission will require a rover with “go to” capability to gather “fresh” samples for a variety of detailed *in situ* analyses appropriate to the site. *In situ* life detection would be required in many cases.” (*From MSPSG (2003)*

However, MSPSG deferred to a successor team (AFL-SSG) the definition of AFL’s specific scientific and engineering constraints, possibilities, and priorities. The AFLSSG team was initially convened in October 2003 and operated through a number of telecons and one face to face meeting. Therefore this team was asked to plan during a constantly shifting science focus and have constantly endeavored to keep abreast of the Mars Exploration Rover findings and review the goals and outcomes of the SSG accordingly. Undertaking this activity at a time when 3 new space craft have started to explore Mars has been exciting, inspiring and already produced new evidence to which we have responded. Many notions of how to perform this mission have therefore been updated from preconceived notions held before specifically, the MER data was returned. We hope that these changes reflect a renewed sense of optimism and realization of the location of interesting samples to interrogate with instrumentation currently under development.

# 5.0SCIENCE GOALS

## 5.1 Assumptions

To undertake this task the AFL-SSG was asked to consider the following assumptions;

Assume AFL will need to be ready to launch as early as the 2013 opportunity

Assume all missions scheduled before 2013 are successful.

The MSL entry-descent-landing (EDL) system has successfully been demonstrated, and the engineering heritage can be used on AFL.

Assume the primary goal of AFL is to make a major advance in astrobiology.

Assume a cost cap approximately equal to that of Ground Breaking Mars Sample Return.

These assumptions are based on the timeline suggested by the Pathways SSG, summarized in Figure 1.

Figure 1. A summary diagram of the pathways proposed by MSPSG.

From Figure 1 it can be seen that the pathways leading to AFL are propelled by the discoveries of hydrothermal habitats and the search for evidence of past life. During the course of the AFL-SSG discussions several questions related to the MSPSG statement arose. Specifically these questions relate to the definitions of, for example, the terms habitability (or what constitutes a habitat) and biosignature. Critical questioning by he group resulted in the formation of a definitions subgroup the results of which are shown in Section 2.

Responses to discoveries other than pathway to discover hydrothermal habitats as shown in Figure 1 were deemed necessary and led to the formation of the hydrothermal, ice, sedimentary and water subgroups. Through these discussions the parallel nature of exploration and engineering goals in different environments was explored and a “core” of similar themes and objectives arrived at that included life detection philosophy, measurements, rover capabilities and sample preparation. This notion is explored further in section 8.1.2.

Other questions arising from the MSPSG guidelines and our discussions related to “the capability to gather fresh samples” which led to the formation of the sample preparation subgroup. The mention of *in-situ* life detection led to the Instrument subgroup surveying and documenting the current instruments in development.

Several assertions for the completion of these science goals were formulated and are as follows:

1.By 2013 a full model of the potential habitability of Mars, organized by environment, and applicable to both the present and geological past will be partially understood. Therefore the Mars program will have to choose to either; select one environment with a high habitability potential and test for habitation *or* continue to refine the habitability models to allow better targeting of a subsequent habitation mission.

Therefore we forecast one of two conditions will be true in 2013:

•More likely: Models of habitability require either further definition or further confirmation before a specific test for habitation should be attempted.

•Less likely: At least one environment (past or present) with high habitability and preservation potential has been identified, and a habitation test is justified.

We therefore questioned whether AFL would be effective in both scenarios. Which further reinforced the concept of defining a core set of mission parameters (Section 8).

2.Organisms and their environment together constitute a system. Each produces an effect on the other. Some kinds of investigations can simultaneously provide information about both the environment (e.g. habitability potential) and associated life forms (habitation).

3.Traditional Mars mission planning has involved choosing scientific objectives and investigations for EITHER prebiotic chemistry, extinct OR extant life. (PP policy is structured the same way.) However, some kinds of scientific investigations will detect all of the above categories and potentially measure the signs of life without prior need to assume search parameters that will pre-categorize whether it is extant or extinct.

4.As our exploration of Mars (through robotic and sample return missions and terrestrial studies on Martian meteorites) proceeds, anomalous features will be discovered that are POSSIBLE biosignatures for Martian life forms. It is important that this

Observation of POSSIBLE biosignatures can be made by relatively simple observations (e.g. geological, textural, geochemical). Such features would constitute a working hypothesis, **NOT** confirmation that life exists and has been detected.

Concluding that evidence of a Martian life form (past or present) has been discovered requires proving that a POSSIBLE biosignature was produced by the activities or remains of Martian life. Unless a POSSIBLE biosignature is proven to be a DEFINITIVE biosignature – an object or phenomenon that could only have been produced by life – it may not possible to prove the presence or former presence of life on Mars using AFL alone. However, the AFL mission has been configured so that it will not miss POSSIBLE biosignatures if they occur in a similar habitat and with similar character to those found on Earth and may indeed detect those non-earth centric signatures that would, without prior knowledge of the state of an unknown biochemistry, appear to be reasonably measurable.

Once several POSSIBLE biosignatures are identified, additional efforts will need to be made to prove that they definitively represent extant life or former life, or determine whether the group of POSSIBLE biosignatures is CONSISTENT with the hypothesis that life exists or once existed on Mars.

The current MEPAG goals document highlights the following strategy for Goal 1 “The search for Life” Determining if life ever arose on Mars is a challenging goal. *The essence of this goal is to establish that life is or was present on Mars,* ***or*** *if life never was present to understand the reasons why Mars did not ever support its own biology. A comprehensive conclusion will necessitate understanding the planetary evolution of Mars and whether Mars is or could have been habitable and will need to be based in multi-disciplinary scientific exploration at scales ranging from planetary to microscopic. The strategy we have adopted to pursue this goal has two sequential aspects: Assess the habitability of Mars (which needs to be undertaken environment by environment), and in environments which can be shown to have high habitability potential, to test for prebiotic processes, past or present life. These constitute two high-level scientific objectives. A critical means to achieve both of these objectives is to characterize Martian carbon chemistry and carbon cycling. The science associated with carbon chemistry is so fundamental to the overall life goal that we have established it as a third primary science objective. To some degree, these overarching scientific objectives can be addressed simultaneously, as each requires basic knowledge of the distributions of water and carbon on Mars and an understanding of the processes that govern their interactions.*

Importantly this statement points out that the seemingly differing goals, habitability, Carbon chemistry and the search for biosignatures, overlap and can therefore be addressed to a significant degree by the interpretation of measurements undertaken by certain instruments. Examples, habitability demands the presence of Carbon, biosignatures are often Carbon based etc. Amino acid analysis, n alkane distributions, selection of informational and catalytic polymers based on a narrow range of particular molecules and isomers of a particular molecular group. For example nucleic acids contain ACTGU on earth, but may contain LMNOP on Mars, it is the presence of a narrow range of the possible purines and pyrimidines available through abiotic processes that would constitute a biosignature. This could be true of any potential novel biomolecule and it *may be* that upon detecting a small range of the possible isomers of a particular compound speculation as to their informational or catalytic roles can begin.

Therefore AFL can reasonably begin the process of life detection by characterizing potential biosignatures.

## 5.2 Objectives

Proposed overall scientific objective of AFL:

For at least one Martian environment of high habitability potential, further investigate the potential for habitability, the potential presence of the chemical precursors of life, the potential for preservation of biosignatures, and possible signs of life.

This objective must balance the need to be a significant extension beyond currently planned missions, yet not an unrealistic extension of current technology. The detailed objectives proposed include (in no order of importance);

1.Within the region of Martian surface operations, identify and classify Martian environments (past or present) with different habitability potential, and characterize their geologic context.

2.Quantitatively assess habitability potential.

Measure isotopic, chemical, mineralogical, and structural characteristics of samples, including the distribution and molecular complexity of carbon compounds.

Assess biologically available sources of energy, including chemical and thermal equilibria/disequilibria.

Determine the role of water (past or present) in the geological processes at the landing site

3.Investigate the factors that will affect the preservation of potential signs of life (past or present) on Mars

4.Investigate the possibility of prebiotic chemistry on Mars (including non-carbon chemistry)

5.Document any anomalous features that can be hypothesized as POSSIBLE Martian biosignatures. This will constitute a set of working hypotheses, which will need refinement and further testing on Mars or in return samples.

### 5.2.1 Habitability

A definition for habitability is contained in section 2. From the first assumption above the following recommendation was made:Habitability models have the potential to integrate many different classes of information that have been made recently and will be acquired over the next decade. However, they will be most effective if placed on a semi-quantitative footing (see Appendix II for an example).This question was then followed up in discussions within the definitions subgroup and illustrated by Figure 2.

Habitability should be described by measurable parameters that index the potential of an environment to support life. Only in this way can the scientific community achieve consensus regarding whether or not a given environment is habitable, either for Martian or Earthly life. For any living system, certainly there will be a range of environmental requirements, outside of which life will be unsupportable. Even though we have no information on potential Martian biological requirements, we can learn from universal Earthly life requirements. The AFL study group has agreed that Earth life requires water and certain chemical raw materials such as carbon, hydrogen, nitrogen, phosphorus and a few others in trace amounts (Williams and Fraústo da Silva, 1996). We also know that life makes products from these raw materials with the additional requirement for an energy source, so sufficient habitat space must be available for the products to be mobilized or diffuse away, otherwise metabolic reactions would run to equilibrium, or possibly reverse. On Earth, the chemistry of life involves oxidation-reduction reactions, and metabolism from the archaea to some highly-evolved eukarya requires electron donor/receptor pairs. The spatial distribution of both oxidized and reduced forms of ions involved in respiration may be as important as their concentration in the context of biological requirements.

We assume that the astrobiology community will have made progress toward consensus regarding the indexing of habitability before the launch of an AFL mission, as the concept of habitability will have an impact on missions with the scope of Terrestrial Planet Finder to SSE missions in search of present or past Martian habitable environments. One approach toward such progress may lie in development of terms that lead to a probabilistic evaluation—a scale of habitability based upon measurements of agreed-upon parameters such as threshold concentrations of water and other raw materials, energy, etc.

### 5.2.2 Extinct or Extant Life. Abiotic or Prebiotic Material

It is important to recall that life on Mars may be composed of many molecules that differ from those of Earth life. However, most current hypotheses on extraterrestrial life maintain that Martian life, if it exists or once existed, will resemble life on Earth in that it will be: 1) composed of carbon, 2) based on a ‘nucleic acid like’ replication mechanism and 3) packaged in cellular compartments. Measuring the distribution, isomerization and quantities of carbon species limits the search to life based on carbon chemistry, an appropriate goal that reflects the strategies used to locate the biosignatures of ancient carbon-based life forms on Earth. Potential organic carbon species that would need to be distinguished by AFL are given in Table 2.

In the search for biosignatures on Mars the interpretation of measurements will determine whether a particular results indicates the category to which a particular a/biosignature should be placed i.e. pre/abiotic extinct or extant. The important issue is to make the correct measurements to ensure the sensitive detection of molecules of interest can be undertaken.

Figure 2 Illustrated the cross cutting relationships between the searches for habitability in comparison with the search for evidence of past or present habitation.

From assertions 2 and 3 and illustrated by Figure 2 the implications are that***:***The distinctions between investigations of habitability potential, habitation, extinct life, and extant life are blurred. It is possible to configure a mission that has relevance to ALL of these subjects.

Without evidence of liquid water on Mars, the potential to locate extant Martian life is less, as all conceivable life forms require liquid water. Hence the focus of upcoming missions on determining whether liquid water is available. Until this information is known, an AFL mission will need to be prepared to detect both extinct and extant life, as well as be able to distinguish abiotic and prebiotic material. We assume that the investigation of abiotic and prebiotic chemistry will be useful in evaluating the postulated meteoritic and cometary delivery of exogenous organics to the lithosphere and the formation of organic material by indigenous hydrothermal processes. The current MER information that Mars harbors environments that contained liquid water in the past indicates that the possibility of discovering extinct life has increased.

All information gained from AFL will be useful with regard to either describing what kind of life exists/existed on Mars or describing conditions found on Mars and determine why life evolved on Earth and not Mars (assuming the conditions on Mars are similar to those on Earth). The search for the signatures of prebiotic chemicals or components of life–past or present will provide important information that will advance the field of astrobiology and the understanding of our own planet. In addition, there is now considerable evidence pointing to the presence of methane in the atmosphere on Mars (Kerr 2004a.b commentary). This implies that geological processes on Mars could provide a chemical potential and carbon source that could be used by microorganisms and may indicate the presence of hydrothermal sites and liquid water. The generation and fate of atmospheric methane on Mars would be a significant goal for missions that fly prior to AFL. Such measurements would significantly improve our understanding of habitability.

Investigating early planetary surface chemical processes on Mars is important to understanding two possible program-level exploration outcomes:

If life is not present at a specific test site, can we predict that it might exist elsewhere?

If life never formed on Mars, WHY?

Studying such issues will also address specific goals, issues:

Understand planetary evolution through elucidating organic chemical input i.e. meteoritic versus abiogenic synthesis reactions.

Mars may give clues to the prebiotic evolution of the Earth. On Earth an unaltered geologic record of early planetary evolution (4.5-3.8 Ga) does not exist.

Allow conjecture as to why life did not start on Mars (should that be the outcome). Were the chemical processes and building blocks present there as on Earth?

By definition, a biosignature is an indicator of life or biological activity. Therefore, by definition, the discovery of even one biosignature on Mars would indicate that life once existed on the red planet. However, discoveries of ancient POSSIBLE biosignatures on Earth and Mars have shown that it can be extremely difficult, if not impossible, to prove their biogenic origin. Our inability to prove an object or phenomenon’s biogenic origin (i.e., biogenicity) is hampered by the fact that inorganic processes can produce abiotic mimics of biosignatures. Hence the need to make a distinction between a POSSIBLE biosignature and a DEFINITIVE biosignature.

A DEFINITIVE biosignature is one that has attributes that can ONLY be produced by life or biological activity. Until such time that a POSSIBLE biosignature is proven to be a DEFINITIVE biosignature, the former constitutes a working hypothesis that requires additional characterization. AFL will contain the necessary equipment to detect POSSIBLE biosignatures (e.g., microfossils, biofabrics, biominerals, biomarkers, biomolecules isotopes, etc.). However, short of locating a living or perfectly preserved cell that displays the structural complexity indicative of biosynthesis, establishing that a POSSIBLE biosignature is DEFINITIVE evidence for life will require further testing. It will also be necessary to prove that a biosignature is indigenous to Mars and not a contaminant, regardless of whether we discover it on Mars or in rocks or sediment returned to Earth from a future sample return mission from Mars. These considerations underscore the need to distinguish a DEFINITIVE biosignature from a POSSIBLE biosignature. This underscored the goal of the definitions sub group that postulated that only by producing several mutually supporting lines of evidence (i.e. possible biosignatures) could a definitive biosignatures be postulated.

The lack of a conclusive set of criteria for life detection and preservation has been illustrated recently by two debates; the search for the oldest evidence of life on Earth and the raging debate on the claims for life in ALH84001 (McKay, 1996). The scientific controversies over the former debate, that of the earliest evidence of life on Earth, have recently intensified but are still unresolved (Schidlowski, 1988; Schopf, 1993; Mojzsis et al., 1996; Rosing, 1999; Mojzsis and Harrison, 2000; Brasier et al., 2002; Fedo and Whitehouse, 2002, Pasteris and Wopenka, 2003, Furness 2004). The common denominator in both of these debates is the underlying difficulty, or inability to demonstrate conclusively the biological origin of the respective evidence, which in either of the above cases would have to be seen as conclusively proving the presences of fossil microbial life. However, a consensus that has emerged from these discussions, and is now seen as a critical requirement, is the demand for further lines of evidence in addition to any morphological data that supports such extraordinary claims. Since the inception of the second debate, that of life in Martian meteorite ALH84001, it has become evident that there is no consensus on the nature of life in extraterrestrial materials. Indeed techniques supposed to detect life failed, for whatever reason, to conclusively detect the presence of terrestrial organisms within this meteorite (Steele et al., 1999, 2000, Toporski, 2000). Recent studies suggest that the mass spectrometry experiments on the Viking lander would have missed 3x107 bacteria per gram of Martian regolith (Glavin et al., 2001). These examples are beginning to show that only by means of a multi-disciplinary, multi-instrument scientific approach, will the above questions be answered. It is clear that a great deal of additional systematic experimentation and testing must be undertaken in terrestrial environments to better determine the criteria by which biogenicity and therefore preserved biosignatures can be quantified.

Though there are a number of ways of categorizing biosignatures, microbial biosignatures found in ancient Earth rocks can be organized into three categories: *bona fide* microfossils, microbially influenced structures, and chemical fossils, also known as chemofossils (Cady et al., 2003). Bona fide microfossils, which may include cellular and/or extracellular remains (e.g., carbonaceous microfossils), display structural and chemical characteristics that confirm their biological origin. Microbially influenced sedimentary structures (e.g., biogenic stromatolites and microbialites), display biofabrics and morphologies known to have been produced by the presence and/or activity of biofilms or microbial mats. Chemofossils (e.g., biomarkers and biominerals), display chemical, isotopic, and structural characteristics indicative of biological activity.

Among the chemical biosignatures that have been identified as applicable to past and present biological activity on Earth are the biominerals, that is, minerals formed by biotic processes, either directly, or indirectly. Biominerals have been found in the fossil record that date back to the Precambrian. It has been suggested that biominerals could be important indicators of life and thus could play an important role in the search for past or present life on Mars (Schwartz et al., 1992, Cady et al 2003). Furthermore, organic components (biomarkers) that are often associated with biominerals are believed to play crucial roles in both pre-biotic and biotic reactions. For measurements carried out on Mars, a crucial step will be the in situ quantification of the nature, structure and concentration of biosignatures as a function of depth and time.

The search for biosignatures requires an extensive knowledge of the context in which they are found. The types of rocks and paleoenvironments that have the highest potential to trap and preserve biosignatures on Earth and Mars include: mineralized sinters, evaporite basins, mineralized soils, subsurface sedimentary systems, permafrost and ground-ice (Farmer and Des Marais, 1999). Recent data from the Mars orbiter, which suggests the presence of reduced gases of biological or volcanic origin, indicate that gas seeps in any type of terrain should also be targeted for possible biosignatures. On Earth, additional criteria such as tectonic setting and alteration history are taken in consideration when looking for biosignatures. The amount of alteration a deposit has experienced since its time of formation is particularly important for assessing the preservation potential of a deposit (see next section).

Typical lithologies for searching for biosignatures of past life in ancient terrestrial settings are similar to the ones we hope to find on Mars. Interestingly the haematite rich sites like those found by the MER rovers at Meridiani and Gusev may not be the ideal sites to search for Carbon signatures due to the poor preservation of organic material in haematite (Sumner 2004). Settings with a higher preservation potential include aqueously deposited chemical sediments, such as cherts, carbonates, or phosphates, which are known to be effective at preserving biosignatures on Earth. Because the spatial scale or distribution of such deposits on Mars is presently unknown, and because of the difficulty of resolving mineral mixtures using available or recently acquired remote spectral data (i.e., TES, THEMIS or CRISM), the acquisition of data at high spatial resolution (30-100m/pixel) from selected locations is considered a crucial precursor to defining an adequate landing site for the AFL mission.

A critical component for identifying biosignatures on any planetary body is the ability to assess in-situ the potential for an aqueous geochemical environment to create and support life. As an example for Mars, in-situ characterization could provide evidence as to whether the chemical composition of the evaporites located in suspected ancient water bodies were biologically influenced or possessed the chemical parameters within which life may have existed, or may still exist.

It is almost certain to be the case that any life signature found on Mars will become the basis for intense debate and necessary follow up investigations. These investigations must be targeted at characterization of any positive siperations in which pulp is produced from wood by cooking (digesting) wood chips in a solution of sodium sulfite and sodium bicarbonate, followed by mechanical defibrating (grinding).(212) “New Design Direct-Fired Kraft Recovery Furnace” – Any new design kraft recovery furnace which was initially designed and constructed to burn black liquor received from a multiple effect evaporator system using a noncontact evaporator or concentrator to achieve the final level of solids concentration rather than a direct contact evaporator system connected to the kraft recovery furnace duct work.(213) “New Design Direct-Fired Suspension-Burning Kraft Recovery Furnace” – Any new design direct-fired kraft recovery furnace designed to evaporate remaining water from and burn the organic content of a spray of finely divided concentrated black liquor droplets while the droplets are in suspension. Such a furnace will have only two levels of air introduction (primary and secondary) and a flat hearth with the smelt spouts located above the hearth.(214) “New Design Kraft Recovery Furnace” – Any straight kraft recovery furnace which is of “membrane wall” construction to minimize air in-leakage and has an adjustable air introduction system to deliver an adequate quantity of air while providing both effective air distribution and penetration into the furnace. The air induction system on “new design” Babcock & Wilcox furnaces will consist of primary, secondary, and tertiary ports. In Combustion Engineering units the secondary air (introduced above the black liquor gun elevation) will be introduced tangentially.(215) “New Emissions Unit” – An emissions unit which is not in existence, for which an application for a permit to construct has not been submitted before the effective date of an applicable section or provision. For the purposes of Rule 62-212.400, F.A.C., a new emissions unit is any emission unit that is or will be newly constructed and that has enlisted for less than 2 years from the date of beginning normal operation.(216) “Nitric Acid Plant” – Any facility producing weak nitric acid by employing either the pressure or atmospheric pressure process.(217) “Nonattainment Area” – Any area not meeting ambient air quality standards and designated as a nonattainment area under Rule 62-204.340, F.A.C. Such an area may be designated as a particulate, sulfur dioxide, nitrogen dioxide, carbon monoxide, lead or ozone nonattainment area, depending on which ambient standard has been violated. An area may be designated as nonattainment for more than one air pollutant. Ozone nonattainment areas may be transitional, marginal, moderate, serious, severe, or extreme as classified in Rule 62-204.340, F.A.C.(218) “Non-heatset” – A lithographic printing process where the printing inks are set without the use of heat. Traditional non-heatset inks set and dry by absorption and/or oxidation of the ink oils. Ultraviolet-cured, thermography and electron beam-cured inks are considered non-heatset although radiant energy is required to cure these inks.(219) “Objectionable Odor” – Any odor present in the outdoor atmosphere which by itself or in combination with other odors, is or may be harmful or injurious to human health or welfare, which unreasonably interferes with the comfortable use and enjoyment of life or property, or which creates a nuisance.(220) “Odor” – A sensation resulting from stimulation of the human olfactory organ.(221) “Old Design Kraft Recovery Furnace” – Any straight kraft recovery furnace which is not of “membrane wall” construction to minimize air in-leakage.(222) “Opacity” – A condition which renders material partially or wholly impervious to rays of light causing obstruction of observer’s view.(223) “Open Burning” – The burning of any matter in such a manner that the products of combustion resulting from the burning are emitted directly into the outdoor atmosphere without passing through a stack or chimney.(224) “Open Top Vapor Degreasing” – The batch process of cleaning and removing soils from metal surfaces by condensing hot solvent vapor on the colder metal parts.(225) “Operating Change” – For purposes of the Title V source permitting program, any physical change to, or change to the operation of, any Title V source or any emissions unit within any Title V source which contravenes a permit term or condition, other than one described at paragraphs 62-213.400(2)(a)-(j), F.A.C., but which does not constitute a modification and does not otherwise subject the source to a requirement for permit revision pursuant to Rule 62-213.400, F.A.C.(226) “Organic Compounds” – Any substance that contains the element carbon, except carbon oxides and various carbonates.(227) “Oven” – A chamber within which heat is used to bake, cure, polymerize, and/or dry a surface coating.(228) “Overall Emission Reduction Efficiency” – The product of the capture efficiency and the control equipment destruction or removal efficiency, divided by 100, expressed as a percentage.(229) “Overvarnish” – A coating applied directly over ink to reduce the coefficient of friction, to provide a gloss, and to protect the finish against abrasion and corrosion.(230) “Owner” or “Operator” – Any person or entity who or which owns, leases, operates, controls or supervises an emissions unit or facility.(231) “Packaging Rotogravure Printing” – Rotogravure printing upon paper, paper board, metal foil, plastic film, and other substrates, which are, in subsequent operations, formed into packing products and labels for articles to be sold.(232) “Paper Coating” – Coatings put on paper and pressure sensitive tapes regardless of substrate. Related web coating processes on plastic film and decorative coatings on metal foil are included in this definition.(233) “Particulate Matter” –(a) With respect to concentrations in the atmosphere, particulate matter means any airborne finely divided solid or liquid material.(b) With respect to emissions, particulate matter means all finely divided solid or liquid material, other than uncombined water, emitted to the atmosphere as measured by applicable reference methods, or an equivalent or alternative method, specified in 40 C.F.R. Part 60, Appendix A, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(234) “Penetrating Prime Coat” – An application of low viscosity liquid asphalt to an absorbent surface. It is used to prepare an untreated base for an asphalt surface. The prime penetrates the base and plugs the voids, hardens the top, and helps bind to the overlying asphalt course. It also reduces the necessity of maintaining an untreated base course prior to placing the asphalt pavement.(235) “Permanent Total Enclosure” – With respect to VOC emissions, a permanent total enclosure is an enclosure which contains an activity, process, or emissions unit that emits VOC and meets the specifications given in Procedure T which is adopted by reference in Rule 62-204.800, F.A.C.(236) “Permit Revision” or “Permit Modification” – Any alteration to a permit term or condition except the Administrative Permit Correction described at Rule 62-210.360, F.A.C.(237) “Petroleum Liquids” – Petroleum, condensate, and any finished or intermediate products manufactured in a petroleum refinery but does not mean No. 2 through No. 6 fuel oils as specified in ASTM D 396, gas turbine fuel oils No. 2-GT through No. 4-GT as specified in ASTM D 2880, or diesel fuel oils No. 2-D and No. 4-D as specified in ASTM D 975, all of which are adopted and incorporated by reference at Rule 62-297.440, F.A.C.(238) “Petroleum Refinery” – Any facility engaged in producing gasoline, kerosene, distillate fuel oils, residual fuel oils, lubricants, or other products through distillation of crude oils, or through redistillation, cracking, extraction, or reforming of unfinished petroleum derivatives.(239) “Plant Section” – A part of a plant consisting of one or more unit operations including auxiliary equipment which provides the complete processing of input (raw) materials to produce a marketable product, including granular triple super phosphate, phosphoric acid, run-of-pile triple super phosphate, and diammonium phosphate, or one or more unit operations including auxiliary equipment or structures which are used for the functions such as: storage, shipping, loading, unloading, or bagging.(240) “PM10” –(a) With respect to concentrations in the atmosphere, PM10 means particulate matter with an aerodynamic diameter less than or equal to a nominal 10 micrometers as measured by a reference method based on 40 C.F.R. Part 50 Appendix J, adopted and incorporated by reference in Rule 62-204.800, F.A.C., and designated in accordance with 40 C.F.R. Part 53 or by an equivalent method designated in accordance with 40 C.F.R. Part 53, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(b) With respect to emissions, PM10 means finely divided solid or liquid material, with an aerodynamic diameter less than or equal to a nominal 10 micrometers emitted to the atmosphere as measured by an applicable reference method or by an equivalent or alternative method specified in 40 C.F.R. Part 60, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(241) “Pollution Control Project” - Any activity or project undertaken at an existing electric utility steam generating unit for purposes of reducing emissions from such unit. Such activities or projects are limited to:(a) A permanent clean coal technology demonstration project conducted under Title II, section 101(d) of the Further Continuing Appropriations Act of 1985 (sec. 5903(d) of title 42 of the United States Code), or subsequent appropriations, up to a total amount of $2,500,000,000 for commercial demonstration of clean coal technology, or similar projects funded through appropriations for the Environmental Protection Agency; or(b) A permanent clean coal technology demonstration project that constitutes a repowering project. (242) “Polyester Resin Material” – Materials used in polyester resin operations which include isophthalic, orthophthalic, halogenated, bisphenol-A, vinyl-ester or furan resins; cross-linking agents; catalysts, gel coats, inhibitors, accelerators, promoters, and any other VOC containing materials.(243) “Portland Cement Plant” – Any facility manufacturing Portland Cement by either the wet or dry process.(244) “Potential to Emit” – The maximum capacity of an emission unit or facility to emit a pollutant under its physical and operational design. Any physical or operational limitation on the capacity of the emissions unit or facility to emit a pollutant, including air pollution control equipment and restrictions on hours of operation or on the type or amount of material combusted, stored, or processed, shall be treated as part of its design if the limitation or the effect it would have on emissions is federally enforceable. Secondary emissions do not count in determining the potential to emit of an emission unit or facility.(245) “Predictive Emissions Monitoring System” or “PEMS” - All of the equipment necessary to monitor process and control device operational parameters including control device secondary voltages and electric currents; and other information including gas flow rate, oxygen or carbon dioxide concentrations; and calculate and record the mass emissions rate such as 1b/hr on a continuous basis.(246) “Prime Coat” – The first film of coating applied in a multi-coat operation.(247) “Printed Interior Panels” – Panels whose grain or natural surface is obscured by fillers and basecoats upon which a simulated grain or decorative pattern is printed.(248) “Printing Line” – A printing production assembly composed of one or more units used to produce a printed substrate including any associated coating, spray powder application, or infrared, natural gas, or electric heating units or dryers.(249) “Projected Actual Emissions” – The maximum annual rate, in tons per year, at which an existing emissions unit is projected to emit a PSD pollutant in any one of the 5 years following the date the unit resumes regular operation after the project, or in any one of the 10 years following that date, if the project involves increasing the emissions unit's design capacity or its potential to emit that PSD pollutant and full utilization of the unit would result in a significant emissions increase or a significant net emissions increase at the major stationary source. One year is one 12-month period. In determining the projected actual emissions, the Department:(a) Shall consider all relevant information, including historical operational data, the company’s own representations, the company’s expected business activity and the company’s highest projections of business activity, the company’s filings with the State or Federal regulatory authorities, and compliance plans or orders, including consent orders; and(b) Shall include fugitive emissions to the extent quantifiable and emissions associated with startups and shutdowns; and(c) Shall exclude that portion of the unit's emissions following the project that an existing unit could have accommodated during the consecutive 24-month period used to establish the baseline actual emissions and that are also unrelated to the particular project including any increased utilization due to product demand growth; or(d) In lieu of using the method set out in paragraphs (a) through (c) above, may be directed by the owner or operator to use the emissions unit’s potential to emit, in tons per year.(250) “Process Weight” – The total weight of all materials introduced into any process. Solid fuels and recycled materials are included in the determination of process weights; but uncombined water, liquid and gaseous fuels, combustion air, or excess air are not included.(251) “Proposed Acid Rain Part” – The version of an Acid Rain Part of a Title V source permit that the Department submits to EPA pursuant to Rule 62-213.450, F.A.C., after the public comment period.(252) “Proposed Permit” – The version of a Title V source permit that the Department proposes to issue and forwards to EPA in compliance with subsection 62-213.450(1), F.A.C.(253) “PSD Pollutant” – Any pollutant listed as having a significant emission rate as defined in Rule 62-210.200, F.A.C.(254) “Publication Rotogravure” – Rotogravure printing upon paper which is subsequently formed into books, magazines, catalogues, brochures, directories, newspaper supplements and other types of printed materials.(255) “Quench Area” – A chamber where the hot metal exiting the oven is cooled by either a spray of water or a blast of air followed by water cooling.(256) “Reasonable Further Progress” – A level of annual incremental reductions in emissions of affected air pollutants such as may be required for ensuring attainment of the applicable national ambient air quality standards by the applicable date.(257) “Reasonably Available Control Technology” or “RACT” – The lowest emission limit that a particular emissions unit is capable of meeting by the application of control technology that is reasonably available considering technological and economic feasibility. It may require technology that has been applied to similar, but not necessarily identical, source categories.(258) “Reconstruction” – For the purposes of Rule 62-212.400, F.A.C., the replacement of components of an existing emissions unit to such an extent that the fixed capital cost of the new components exceeds 50 percent of the fixed capital cost that would be required to construct a comparable entirely new emissions unit.(259) “Refinery Fuel Gas” – Any gas which is generated by a petroleum refinery process unit and which is combusted, including any gaseous mixture of natural gas and fuel gas.(260) “Regulated Air Pollutant” –(a) Nitrogen oxides or any volatile organic compound;(b) Any pollutant regulated under 42 U.S.C. s. 7411 – Standards of Performance for New Stationary Sources, or 42 U.S.C. s. 7412 – Hazardous Air Pollutants; or(c) Any pollutant for which a national primary ambient air quality standard has been specified at 40 C.F.R. Part 50, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(261) “Reid Vapor Pressure” – The absolute vapor pressure of volatile crude oil and volatile non-viscous petroleum liquids except liquified petroleum gases as determined by American Society for Testing and Materials, Part 17, 1973, D-323-72 (reapproved 1977).(262) “Reinforced Polyester Resin Operations” – An operation that entails saturating a reinforcing material such as glass fiber with a polyester resin material. Such operations include the production or rework of product by mixing, pouring, hand laying-up, impregnating, injecting, forming, spraying, and/or curing unsaturated polyester materials with fiberglass, fillers, or any other reinforcement materials and associated cleanup.(263) “Relocatable Facility” – A facility such as, but not limited to, an asphalt plant, portable power generator, or cement batch plant, which is designed to be physically moved to, and operated on, different sites by being wholly or partially dismantled and re-erected in essentially the same configuration. It shall not be operable while in transit.(264) “Removal Efficiency” – See “Destruction or Removal Efficiency” above.(265) “Repowering” – For the purposes of Rule 62-212.400, F.A.C., replacement of an existing coal-fired boiler with one of the following clean coal technologies: atmospheric or pressurized fluidized bed combustion, integrated gasification combined cycle, magnetohydrodynamics, direct and indirect coal-fired turbines, integrated gasification fuel cells, or as determined by the Administrator, in consultation with the Secretary of Energy, a derivative of one or more of these technologies, and any other technology capable of controlling multiple combustion emissions simultaneously with improved boiler or generation efficiency and with significantly greater waste reduction relative to the performance of technology in widespread commercial use as of November 15, 1990. Repowering shall also include any oil and/or gas-fired unit which has been awarded clean coal technology demonstration funding as of January 1, 1991, by the Department of Energy.(266) “Responsible Official” – One of the following:(a) For a corporation, the president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision-making functions for the corporation, or a duly authorized representative of such person if the representative is responsible for the overall operation of one or more manufacturing, production, or operating facilities applying for or subject to a permit under Chapter 62-213, F.A.C.;(b) For a partnership or sole proprietorship, a general partner or the proprietor, respectively;(c) For a municipality, county, state, federal, or other public agency, either a principal executive officer or ranking elected official; or(d) For implementation of the Federal Acid Rain Program at an Acid Rain source: The designated representative. For other purposes at an Acid Rain source: Either the designated representative or any person that would qualify as a responsible official under paragraphs (a) through (c) of this definition.(267) “Ringelmann Chart” – The Chart published and described in the U.S. Bureau of Mines Information Circulars No. 8333 and No. 7718. The above references are available from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C., and may be inspected at the Department’s Tallahassee office.(268) “Roll Coating” – The application of a coating material to a substrate by means of hard rubber or steel rolls.(269) “Roll Printing” – The application of words, designs, and pictures to a substrate usually by means of a series of hard rubber or steel rolls each with only partial coverage.(270) “Rotogravure Coating” – The application of a coating material to a substrate by means of a roll coating technique in which the pattern to be applied is etched on the coating roll. The coating material is picked up in these recessed areas and is transferred to the substrate.(271) “Rotogravure Printing” – The application of words, designs, and pictures to a substrate by means of a roll printing technique which involves an intaglio or recessed image areas in the form of cells.(272) “Routine Maintenance of Public Roads” – Those activities necessary to maintain the public highway system in as near original condition as is practical, not to include large scale resurfacing, or reconstruction.(273) “Sand Seal Coat” – A thin asphalt surface treatment designed to seal surface cracks in existing pavements for the purpose of preventing the intrusion of water into the pavement base. The sand seal coat consists of a light application of liquid asphalt covered with fine aggregate.(274) “Screen Printing” – A printing system where the printing ink passes through a web or fabric to which a refined form of stencil has been applied. The stencil openings determine the form and dimensions of the imprint.(275) “Secretary” – The Secretary of the Department.(276) “Secondary Emissions” – The emissions which occur as a result of the construction or operation of a facility or a modification to a facility, but which are not discharged into the atmosphere from the facility itself. Secondary emissions may include but are not limited to emissions from ships or trains coming to or leaving a new or modified facility and emissions from any off-site support facility which would not otherwise be constructed or increase its emissions except as a result of the construction or operation of the new or modified facility. Secondary emissions must be specific, well defined, quantifiable, and impact the same general area as the facility or modification which causes the secondary emissions.(277) “Sharps” – Devices with physical characteristics capable of puncturing, lacerating, or otherwise penetrating the skin. These devices include needles, intact or broken glass, and intact or broken hard plastic.(278) “Shutdown” – The cessation of the operation of an emissions unit for any purpose.(279) “Significant Emissions Rate” – (a) With respect to any emissions increase or any net emissions increase, or the potential of a facility to emit any of the following pollutants, significant emissions rate means a rate of pollutant emissions that would equal or exceed:1. A rate listed at 40 CFR 52.21(b)(23)(i), adopted by reference at Rule 62-204.800, F.A.C.; specifically, any of the following rates:a. Carbon monoxide: 100 tons per year (tpy);b. Nitrogen oxides: 40 tpy;c. Sulfur dioxide: 40 tpy;d. Particulate matter:(I) 25 tpy of particulate matter emissions;(II) 15 tpy of PM10 emissions;e. Ozone: 40 tpy of volatile organic compounds or nitrogen oxides;f. Lead: 0.6 tpy;g. Fluorides: 3 tpy;h. Sulfuric acid mist: 7 tpy;i. Hydrogen sulfide (H2S): 10 tpy;j. Total reduced sulfur (including H2S): 10 tpy;k. Reduced sulfur compounds (including H2S): 10 tpy;l. Municipal waste combustor organics (measured as total tetra- through octa-chlorinated dibenzo-p-dioxins and dibenzofurans): 3.2 × 10-6 megagrams per year (3.5 × 10-6 tons per year);m. Municipal waste combustor metals (measured as particulate matter): 14 megagrams per year (15 tons per year);n. Municipal waste combustor acid gases (measured as sulfur dioxide and hydrogen chloride): 36 megagrams per year (40 tons per year);o. Municipal solid waste landfills emissions (measured as nonmethane organic compounds): 45 megagrams per year (50 tons per year); or2. A rate previously listed at Table 62-212.400-2; specifically, Mercury: 0.1 tpy.(b) Significant emissions rate also means, for the pollutants listed above in paragraph (a), any emissions rate or any net emissions increase associated with a major stationary source or major modification which would construct within 10 kilometers of a Class I area and have an impact on such area equal to or greater than 1 g/m3, 24-hour average.(280) “Significant Impact” – An impact of emissions on ambient air quality in excess of any of the following pollutant-specific concentration values:(a) Sulfur Dioxide.1. Maximum three-hour concentration not to be exceeded more than once per year – 25.0 micrograms per cubic meter.2. Maximum 24-hour concentration not to be exceeded more than once per year – 1.0 microgram per cubic meter for Class I areas; 5.0 micrograms per cubic meter for all other areas.3. Annual arithmetic mean – 1.0 microgram per cubic meter.(b) PM10.1. Maximum 24-hour concentration not to be exceeded more than once per year – 1.0 microgram per cubic meter for Class I areas; 5.0 micrograms per cubic meter for all other areas.2. Annual arithmetic mean – 1.0 microgram per cubic meter.(c) Nitrogen Dioxide.Annual arithmetic mean – 1.0 microgram per cubic meter.(d) Carbon Monoxide.1. Maximum one-hour concentration not to be exceeded more than once per year – 2.0 milligrams per cubic meter.2. Maximum eight-hour concentration not to be exceeded more than once per year – 0.5 milligram per cubic meter.(e) Lead. Maximum quarterly arithmetic mean – 0.03 microgram per cubic meter.(281) “Single Coat” – Single film of coating applied directly to the metal substrate omitting the primer application.(282) “Small Business Stationary Source” – Either paragraph (a) or (b) as follows:(a) A facility which:1. Is owned or operated by a person who employs 100 or fewer individuals;2. Is a small business concern as defined in 15 U.S.C. s. 632;3. Is other than a major stationary source within the meaning of 42 U.S.C. s. 7602(j), and is other than a major emitting facility within the meaning of 42 U.S.C. s. 7479, and is other than a major stationary source within the meaning of 42 U.S.C. s. 7503;4. Emits less than 50 tons per year of any regulated pollutant; and5. Emits less than 75 tons per year of all regulated pollutants; or(b) A facility which:1. Is owned or operated by a person that employes 100 or fewer individuals;2. Is a small business concern as defined in 15 U.S.C. s. 632; and3. Emits not more than 100 tons per year of all regulated air pollutants and demonstrates compliance with the requirements of paragraph 62-210.220(2)(b), F.A.C., including all the requirements of subparagraph 62-210.220(2)(b)1. through 9., F.A.C.(283) “Smelt Dissolving Tank” – A vessel used for dissolving the smelt collected from the recovery furnace.(284) “Soil Thermal Treatment Facility” – Either a stationary or mobile facility system designed, constructed, or utilized, and permitted by the Department to handle, store, and thermally treat or process petroleum contaminated soils. “Soil thermal treatment facility” does not include electrical power plants in which thermal treatment of contaminated soils from their own property results in ash which is disposed of in accordance with Chapter 62-701 or 62-702, F.A.C., or facilities that treat RCRA and hazardous waste or hazardous substances.(285) “Solid Sulfur Storage and Handling Facility” – A facility designed and utilized for unloading, transferring, or storing elemental sulfur in pelletized form.(286) “Solid Waste” – Includes garbage, refuse, yard trash, clean debris, white goods, special waste, ashes, sludge, or other discarded material, including solid, liquid, semisolid, or contained gaseous material resulting from domestic, industrial, commercial, mining, agricultural, or governmental operations.(287) “Solvent” – Organic materials which are liquid at standard conditions and which are used as dissolvers, viscosity reducers, or cleaning agents.(288) “Solvent Metal Cleaning” – The process of cleaning soil from metal surfaces by cold cleaning or open top vapor degreasing or conveyorized degreasing.(289) “Special Waste” – Solid wastes that can require special handling and management, including white goods, whole tires, used oil, mattresses, furniture, lead-acid batteries, and biological wastes.(290) “Stack” – A pipe, duct, chimney, or other functionally equivalent device that confines and conveys air pollutants from an emissions unit or group of emissions units into the atmosphere through an emission point designed to discharge air pollutants into the atmosphere, but not including flares.(291) “Stack in Existence” – A stack where the owner or operator had, as of a particular date:(a) Begun, or caused to begin, a continuous program of physical on-site construction of the stack; or(b) Entered into binding agreements or contractual obligations, which could not be cancelled or modified without substantial loss to the owner or operator, to undertake a program of construction of the stack to be completed in a reasonable time.(292) “Standard Conditions” – A temperature of 68 degrees Fahrenheit (20 degrees Celsius) and a pressure of 14.7 pounds per square inch absolute (760 mm Hg).(293) “Standard Sulfur Pellets” – Any generally spherical form of solid sulfur (such as air or water-formed prills, or granules, or hemispherical forms such as Sandvick rotoform, but not including aglomerates, popcorn, slate or crushed bulk sulfur) that meets all of the following specifications. All required tests shall be performed on sulfur pellets that have been allowed to stand a minimum of 20 days after being formed. All test results shall be the arithmetic average of three test runs, each on a separate representative composite sample of the shipment or lot being tested.(a) Not more than 20 percent retained on a 1/4 inch U.S. (6.3 mm) screen, determined in accordance with SUDIC Test Method S2-77: Sieve Analysis of Sulfur Forms, as adopted in Chapter 62-297, F.A.C.(b) Less than six percent additional fines (minus 50 U.S. screen) generated under SUDIC’s standard Stress Level II test (Method S5-77: Determination of Friability of Sulfur Forms – 28 inch (700 mm) Diameter Tumbler Test).(294) “Startup” – The commencement of operation of any emissions unit which has shut down or ceased operation for a period of time sufficient to cause temperature, pressure, chemical or pollution control device imbalances, which result in excess emissions.(295) “State Implementation Plan (SIP)” or “Implementation Plan” – The plan which Section 110 of the Clean Air Act requires a state to submit to the Administrator. The State Implementation Plan for the State of Florida, as approved by the U.S. Environmental Protection Agency, is identified in 40 C.F.R. Part 52, Subpart K, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(296) “Straight Kraft Recovery Furnace” – A furnace used to recover chemicals consisting primarily of sodium and sulfur compounds by burning black liquor which on a quarterly basis contains 7 weight percent or less of the total pulp solids from the neutral sulfite semichemical (NSSC) process or has a green liquor sulfidity of 28 percent or less.(297) “Submerged Filling” – The filling of a gasoline cargo tank or a stationary storage tank through an internal fill pipe whose discharge is no more than six (6) inches from the bottom of the tank. Bottom filling of gasoline cargo tanks or stationary storage tanks is included in this definition.(298) “Sulfur Recovery Plant” – Any plant that recovers sulfur from crude (unrefined) petroleum materials.(299) “Sulfur Storage and Handling Facility” – A facility designed and utilized for unloading, transferring or storing elemental sulfur in either molten form, solid pelletized form or solid vats.(300) “Sulfur Vat” – A block of solid sulfur formed by pouring molten sulfur on an established base utilizing movable forms or existing vat walls to contain the liquid sulfur until it solidifies.(301) “Sulfuric Acid Plant” – Any installation producing sulfuric acid by burning elemental sulfur, alkylation acid, hydrogen sulfides, organic sulfides, mercaptans, or acid sludge.(302) “Synthetic Non-Title V Source” – A facility that would be classified as a Title V source, but for a physical or operational limitation assumed by the owner or operator on the capacity of the facility to emit a pollutant, including any air pollution control equipment and any restriction on hours of operation or on the type or amount of material combusted, stored, or processed, provided that such physical or operational limitation is federally enforceable.(303) “Tack Coat” – A light application of liquid asphalt to an existing asphalt pavement or base to insure a bond between the surface being paved, or repaired, and the overlying paving or patching material.(304) “Tall Oil Plant” – A plant which recovers the crude tall oil fraction from the spent kraft cooking liquor (black liquor) used in the kraft process. Included are all associated tanks and vents from which reduced sulfur compounds are emitted to the atmosphere.(305) “Temporary Total Enclosure” – With respect to VOC emissions, a temporary total enclosure is an enclosure which is built around an activity, process, or emissions unit that emits VOC and meets the specifications given in Procedure T which is adopted by reference in Rule 62-204.800, F.A.C.(306) “Thermography” – The process of spreading thermal powders on the wet ink of a print application and heating it in order to melt the powder into a single solid mass which creates a raised printing effect. The heating is accomplished with a natural gas or electric oven.(307) “Thin Particleboard” – A manufactured board 1/2 inch or less in thickness made of individual wood particles which have been coated with binder and formed into flat sheets by pressure.(308) “Three-Piece Can Side-Seam Spray” – A coating sprayed on the exterior and interior of a welded, cemented or soldered seam to protect the exposed metal.(309) “Tight-lipped Clamshell Bucket” – A clamshell bucket designed with appropriate materials and geometry to provide and maintain a secure seal to prevent material loss or spillage. The following are typical features of such a bucket:(a) “Composition” – All plate and bar stock shall be a combination of 100,000 and 70,000 psi minimum yield steel. Such steel shall be used in those parts of the bucket where strength or weldability are needed.(b) “Lips” – The lips (cutting edge) shall be composed of a high strength abrasion resistant alloy steel which is weldable and has a minimum hardness of 250 Brinell. The lips shall be hard surfaced for the entire length of the outer edge to provide continuing lead edge as they wear and shall be designed to be replaceable. The lips shall be bevelled for the entire length of the bottom and sides so the cutting edge will wear evenly. Where appropriate with respect to the material being handled, the lips shall be designed so that they come together in a tongue and groove fashion. The lips shall be provided with a hard rubber insert, which shall run the full length of the bottom and side lips of the bowls.(c) “Design” – The geometry of the bucket shall provide maximum force on the lips in the closed position and the bowls (scoops) of the bucket shall have adequate gussets, and stiffeners to assure lip alignment. Side and cover plates will be installed to contain particulate emissions or spillage. The exposed plates may be streamlined to minimize material clinging to the outside of the bucket after it clears the ship’s hold.(d) “Bearings, Crosshead and Corner Arms” – All wear points shall be constructed of appropriate material. Bushings shall be composed of a chromium-molybdenum alloy steel and heat treated to approximately 450 Brinell. All shafts shall be made of heat treated 4140 Chromium-molybdenum steel. All wear points shall be grease lubricated.(310) “Tileboard” – Paneling that has a colored waterproof surface coating.(311) “Title V Operation Permit Program” – The EPA-approved operation permit program which Title V of the Act requires a state to submit to the Administrator.(312) “Title V Source” – A major source of air pollution as defined above.(313) “Title V Source Permit” – A permit issued pursuant to Chapter 62-213, F.A.C.(314) “Topcoat” – The final film of coating applied in a multiple coat operation.(315) “Total Reduced Sulfur (TRS)” – The sum of the sulfur compounds hydrogen sulfide, methyl mercaptan, dimethyl sulfide, and dimethyl disulfide that are released during the kraft pulping process and measured by Reference Method 16 or a designated alternate method.(316) “Total Suspended Particulate” or “TSP” – Particulate matter as measured by the method described in 40 C.F.R. Part 50, Appendix B, adopted and incorporated by reference in Rule 62-204.800, F.A.C.(317) “True Vapor Pressure” – The equilibrium partial pressure exerted by a petroleum liquid as determined in accordance with methods described in American Petroleum Institute Bulletin 2517, “Evaporation Loss from External Floating Roof Tanks,” 1980. The above reference is available from American Petroleum Institute, 2101 L. Street, Northwest, Washington, D.C., and may be inspected at the Department’s Tallahassee office.(318) “Two-Piece Can Exterior End Coating” – A coating applied by roller coating or spraying to the exterior end of a can to provide protection to the metal.(319) “Ultraviolet-Cured” – An ink and coating drying process by which monomers, oligomers, and other components polymerize to form a film when exposed to ultraviolet radiation.(320) “Unconfined Emissions” – Emissions which escape and become airborne from unenclosed operations or which are emitted into the atmosphere without being conducted through a stack.(321) “Unit-Specific Applicable Requirement” – An applicable requirement that applies specifically to a given emissions unit; however, for purposes of subsection 62-210.300(3), subparagraph 62-213.300(2)(a)1. and paragraph 62-213.430(6)(b), F.A.C., applicable requirements which are not considered unit-specific applicable requirements include the following:(a) Any subpart of 40 C.F.R. Part 60, 61, or 63 that imposes nothing more than a recordkeeping or reporting requirement on an emissions unit;(b) 40 CFR Part 61, Subpart M – National Emission Standard for Asbestos, Section 61.145, Standard for Demolition and Renovation;(c) Subsection 62-296.320(2), F.A.C., Objectionable Odor Prohibited;(d) Subsection 62-296.320(3), F.A.C., Industrial, Commercial, and Municipal Open Burning Prohibited;(e) Paragraph 62-296.320(4)(b), F.A.C., General Visible Emissions Standard, except subparagraph 62-296.320(4)(b)2., F.A.C.;(f) Paragraph 62-296.320(4)(c), F.A.C., Unconfined Emissions of Particulate Matter;(g) Rule 62-4.160, F.A.C., except subsection 62-4.160(13), F.A.C.; and(h) Any standard or other requirement under Chapters 62-252, 62-256, 62-257, and 62-281, F.A.C.(322) “Untreated Wood” – Wood (including lighter pine, tree trunks, limbs and stumps, shrubs, and lumber) which is free of paint, glue, filler, pentachlorophenol, creosote, tar, asphalt, chromated copper arsenate (CCA), and other wood preservatives or treatments.(323) “Vapor Balance System” – A combination of pipes or hoses which create a closed system between the vapor spaces of an unloading tank and a receiving tank such that vapors displaced from the receiving tanks are transferred to the tank being unloaded.(324) “Vapor Collection System” – A vapor transport system which uses direct displacement by the liquid loaded to force vapors from the tank into a vapor control system.(325) “Vapor Control System” – A system that will not allow emissions of volatile organic compounds in the displaced vapor at a rate greater than 80 milligrams per liter (4.7 grains/gallon (gr./gal.)) of gasoline transferred.(326) “Vapor-mounted Seal” – A primary seal mounted so there is an annular vapor space underneath the seal. The annular vapor space is bounded by the bottom of the primary seal, the tank wall, the liquid surface, and the floating roof.(327) “Vapor Recovery System” – A system that collects and conserves vapors that would otherwise be released to the atmosphere.(328) “Vinyl Coating” – Applying a decorative or protective topcoat, or printing on vinyl-coated fabric or vinyl sheets. VOC emission reduction credit is not allowed when plastisols are used in emission averaging involving vinyl printing and topcoating.(329) “Visible Emission” – An emission greater than 5 percent opacity or 1/4 Ringelmann measured by standard methods.(330) “Visibility Impairment” or “Impairment to Visibility” – Any humanly perceptible change in visibility (visual range, contrast, coloration) from that which would have existed under natural conditions.(331) “Volatile Organic Compound (VOC)” – A volatile organic compound as defined at 40 CFR 51.100, adopted and incorporated by reference at Rule 62-204.800, F.A.C.(332) “Waste-to-Energy Facility” – A facility that uses an enclosed device using controlled combustion to thermally break down solid, liquid or gaseous combustible solid waste to an ash residue that contains little or no combustible material, and that produces electricity, steam, or other energy as a result. The term does not include facilities that primarily burn fuels other than solid waste, even if the facilities also burn some solid waste as a fuel supplement. The term also does not include facilities that burn vegetative, agricultural, or silvicultural wastes, bagasse, clean dry wood, methane or other landfill gas, wood fuel derived from construction or demolition debris, or waste tires, alone or in combination with fossil fuel. For the purposes of Rule 62-296.416, F.A.C., the term does not include facilities that primarily burn biohazardous or hazardous waste and industrial boilers that burn pelletized paper waste as a supplemental fuel.(333) “Water-based Ink/Coating/Adhesive” – An ink, coating or adhesive with a VOC content less than or equal to 25 percent by weight as applied.(334) “Waxy, Heavy Pour Crude Oil” – A crude oil with a pour point of 50 degrees or higher as determined by ASTM D 97, which is adopted and incorporated by reference at Rule 62-297.440, F.A.C.(335) “Yard Waste” – Vegetative matter resulting from landscaping and yard maintenance operations and other such routine property clean-up activities. It includes materials such as leaves, shrub trimmings, grass clippings, palm fronds, and brush.Specific Authority 403.061, 403.8055 FS. Law Implemented 403.031, 403.061, 403.087, 403.8055 FS. History–Formerly 17-2.100, Amended 2-9-93, 11-28-93, Formerly 17-210.200, Amended 11-23-94, 4-18-95, 1-2-96, 3-13-96, 3-21-96, 8-15-96, 10-7-96, 10-15-96, 5-20-97, 11-13-97, 2-5-98, 2-11-99, 4-16-01, 2-19-03, 4-1-05, 7-6-05, 2-2-06, 4-1-06, 9-4-06, 9-6-06, 1-10-07, 5-9-07, 7-16-07, 3-16-08.62-210.220 Small Business Assistance Program.A “Small Business Stationary Source Technical and Environmental Compliance Assistance Program,” or “Small Business Assistance Program,” is established as an organizational unit of the Department’s Division of Air Resources Management. The purpose of this rule is to establish procedures for notifying small business stationary sources of their rights and to assure an opportunity for public comment on any petition filed by any facility seeking inclusion on the list of small business stationary sources maintained by the Small Business Assistance Program.(1) Notification of Rights. The Department shall provide, at a minimum, notice to small business stationary sources as identified pursuant to subsection 62-210.220(2), F.A.C., of state requirements.(a) The Small Business Assistance Program shall provide notice of those rules related to air pollution which have been proposed by the Department and published in the Florida Administrative Weekly. Each notice shall contain:1. The subject matter of the rule;2. The publication date;3. Any published effective date;4. The Florida Administrative Weekly location, by volume and page number; and5. The Small Business Assistance Program Hotline telephone number.(b) The Department shall provide those small business stationary sources identified pursuant to subsection 62-210.220(2), F.A.C., which are also Title V sources with notice of any requirements of Chapter 62-213, F.A.C., in accordance with the provisions of Chapter 62-213, F.A.C.(2) Public Notice and Comment. The Small Business Assistance Program shall create and maintain a list of interested entities to receive the notices identified in subsection 62-210.220(1), F.A.C.(a) The Small Business Assistance Program shall create a list of small business stationary sources as follows:1. The program shall identify, using existing Department air pollutant emitting facility computerized records, all permitted facilities that have the potential to emit not more than 100 tons per year of all regulated air pollutants. The program shall request of each such facility:a. The total number of full-time and part-time employees, including temporary employees, employed by the person, corporation or partnership which owns or operates the facility;b. The type of business in which the facility is engaged; andc. The total amount of annual receipts for the most recently completed fiscal year.2. Each facility desiring consideration as a small business stationary source shall provide the information listed in subparagraph 62-210.220(2)(a)1., F.A.C. The Small Business Assistance Program shall review the information and determine, based upon the information submitted by the facility and upon the air pollutant emission information contained in the Department’s computerized air facility records, whether the facility is a “small business stationary source” as defined in subsection 62-210.200, F.A.C.(b) Any facility may petition for inclusion on the list described at paragraph 62-210.220(2)(a), F.A.C. Each petitioning facility must publish notice of such petition in a newspaper of general circulation in each county in which the facility operates. No less than 30 days after receipt of both the notice of publication and a petition meeting the requirements of this paragraph, the Small Business Assistance Program shall add to the list the name and address of any such facility which conforms to the requirements of paragraph (b) of the definition of “small business stationary source” at Rule 62-210.200, F.A.C. Each petition for inclusion must provide factual data showing:1. Name;2. Mail address;3. Facility address;4. County;5. Standard Industrial Classification (SIC) code;6. Description of operation;7. Data showing the facility is owned or operated by an individual person, a corporate entity or a partnership entity employing no more than 100 employees including full and part-time employees and permanent and temporary employees during any pay period of the past 12 calendar months preceding application;8. Data showing the facility does not exceed the size standards, as expressed in dollars, established in 13 C.F.R. 121.601, hereby adopted and incorporated by reference; and9. Data showing the facility does not emit more than 100 tons per year, in the aggregate, of all regulated air pollutants.(c) The Small Business Assistance Program shall notify each facility responding pursuant to subparagraph 62-210.220(2)(a)2., F.A.C., or petitioning pursuant to paragraph 62-210.220(2)(b), F.A.C., that the responding facility does or does not conform to the definition of “small business stationary source” at Rule 62-210.200, F.A.C., or that the petitioning facility does or does not conform to the requirements of paragraph (b) of the definition of “small business stationary source” at Rule 62-210.200, F.A.C. The determination shall constitute agency action for purposes of Chapter 28-106, F.A.C. Any person who has provided comments to the Small Business Assistance Program in response to the published notice described at paragraph 62-210.220(2)(b), F.A.C., shall be provided written notice of the determination. The facility shall be considered an applicant for purposes of Chapter 28-106, F.A.C.(d) The Department shall include on the list described at paragraph 62-210.220(1)(a), F.A.C., each facility that has submitted a petition pursuant to paragraph 62-210.220(2)(b), F.A.C., and which the Department has determined conforms to the definition of “small business stationary source” at Rule 62-210.200, F.A.C.(e) The Department shall maintain the list described at paragraph 62-210.220(1)(a), F.A.C., annually. The Department shall delete from the list the name and address of any facility which has requested deletion or from which the Department’s notice has been returned as not deliverable.Specific Authority 403.8052 FS. Law Implemented 403.8052 FS. History–New 10-15-96, Amended 2-11-99.62-210.300 Permits Required.Unless exempted from permitting pursuant to this rule or Rule 62-4.040, F.A.C., the owner or operator of any facility or emissions unit which emits or can reasonably be expected to emit any air pollutant shall obtain appropriate authorization from the Department prior to undertaking any activity at the facility or emissions unit for which such authorization is required. The Department grants authorization to conduct such activities by individual air permit or by air general permit. Activities requiring authorization by individual air construction permit are addressed at subsection 62-210.300(1), F.A.C., and activities requiring authorization by individual air operation permit are addressed at subsection 62-210.300(2), F.A.C. Authorization by air general permit is addressed at subsection 62-210.300(4), F.A.C. All emissions limitations, controls, and other requirements imposed by any individual air permit shall be at least as stringent as any applicable limitations and requirements contained in or enforceable under the State Implementation Plan (SIP) or that are otherwise federally enforceable. Except as provided at Rule 62-213.460, F.A.C., being authorized to construct, operate, or undertake any other activity by individual air permit or air general permit does not relieve the owner or operator of a facility or emissions unit from complying with any applicable requirements, any emission limiting standards or other requirements of the air pollution rules of the Department or any other such requirements under federal, state, or local law.(1) Air Construction Permits.(a) Unless exempt from permitting pursuant to paragraph 62-210.300(3)(a) or (b), F.A.C., or Rule 62-4.040, F.A.C., an air construction permit shall be obtained by the owner or operator of any proposed new, reconstructed, or modified facility or emissions unit, or any new pollution control equipment prior to the beginning of construction, reconstruction pursuant to 40 CFR 60.15 or 63.2, or modification of the facility or emissions unit or addition of the air pollution control equipment; or to establish a PAL; in accordance with all applicable provisions of this chapter, Chapter 62-212, F.A.C., and Chapter 62-4, F.A.C. Except as provided under Rule 62-213.415, F.A.C., the owner or operator of any facility seeking to create or change an air emissions bubble shall obtain an air construction permit in accordance with all the applicable provisions of this chapter, Chapters 62-212 and 62-4, F.A.C. The construction permit shall be issued for a period of time sufficient to allow construction, reconstruction or modification of the facility or emissions unit or addition of the air pollution control equipment; and operation while the owner or operator of the new, reconstructed or modified facility or emissions unit or the new pollution control equipment is conducting tests or otherwise demonstrating initial compliance with the conditions of the construction permit.(b) Notwithstanding the expiration of an air construction permit, all limitations and requirements of such permit that are applicable to the design and operation of the permitted facility or emissions unit shall remain in effect until the facility or emissions unit is permanently shut down, except for any such limitation or requirement that is obsolete by its nature (such as a requirement for initial compliance testing) or any such limitation or requirement that is changed in accordance with the provisions of subparagraph 62-210.300(1)(b)1., F.A.C. Either the applicant or the Department can propose that certain conditions be considered obsolete. Any conditions or language in an air construction permit that are included for informational purposes only, if they are transferred to the air operation permit, shall be transferred for informational purposes only and shall not become enforceable conditions unless voluntarily agreed to by the permittee or otherwise required under Department rules.1. Except for those limitations or requirements that are obsolete, all limitations and requirements of an air construction permit shall be included and identified in any air operation permit for the facility or emissions unit. The limitations and requirements included in the air operation permit can be changed, and thereby superseded, through the issuance of an air construction permit, federally enforceable state air operation permit, federally enforceable air general permit, or Title V air operation permit; provided, however, that:a. Any change that would constitute an administrative correction may be made pursuant to Rule 62-210.360, F.A.C.;b. Any change that would constitute a modification, as defined at Rule 62-210.200, F.A.C., shall be accomplished only through the issuance of an air construction permit; andc. Any change in a permit limitation or requirement that originates from a permit issued by the Environmental Protection Agency pursuant to 40 C.F.R. 52.21, or by the Department pursuant to subparagraph 62-204.800(11)(d)2., F.A.C., Rule 62-212.400, F.A.C., Rule 62-212.500, F.A.C., or any former codification of Rule 62-212.400 or 62-212.500, F.A.C., shall be accomplished only through the issuance of a new or revised air construction permit under subparagraph 62-204.800(11)(d)2., F.A.C., Rule 62-212.400 or 62-212.500, F.A.C., as appropriate.2. The force and effect of any change in a permit limitation or requirement made in accordance with the provisions of subparagraph 62-210.300(1)(b)1., F.A.C., shall be the same as if such change were made to the original air construction permit.3. Nothing in paragraph 62-210.300(1)(b), F.A.C., shall be construed as to allow operation of a facility or emissions unit without a valid air operation permit.(c) Notwithstanding the provisions of paragraph 62-210.200(1)(a), F.A.C., the owner or operator of any eligible facility who registers to use an air general permit under Rule 62-210.310, F.A.C., or Rule 62-213.300, F.A.C., who is not denied use of the air general permit, and who constructs the facility in compliance with the terms and conditions of the air general permit shall not be required to obtain an air construction permit pursuant to this subsection, provided, however, that any proposed new major stationary source, major modification, or modification that would be a major modification but for the provisions of paragraph 62-212.400(2)(a), F.A.C., shall require authorization by air construction permit. (2) Air Operation Permits. Upon expiration of the air operation permit for any existing facility or emissions unit; subsequent to any construction, reconstruction or modification of a facility or emissions unit authorized by an air construction permit, and demonstration of compliance with the conditions of such air construction permit; subsequent to the establishment of a PAL or air emissions bubble by air construction permit; or as otherwise provided in this chapter or Chapter 62-213, F.A.C.; the owner or operator of such facility or emissions unit shall obtain a renewal air operation permit, an initial air operation permit, or revision of an existing air operation permit, whichever is appropriate, in accordance with all applicable provisions of this chapter, Chapter 62-213 (if the facility is a Title V source), and Chapter 62-4, F.A.C.(a) Minimum Requirements for All Air Operation Permits. At a minimum, a permit issued pursuant to this subsection shall:1. Specify the manner, nature, volume and frequency of the emissions permitted, and the applicable emission limiting standards or performance standards, if any;2. Require proper operation and maintenance of any pollution control equipment by qualified personnel, where applicable in accordance with the provisions of any operation and maintenance plan required by the air pollution rules of the Department.3. Contain an effective date stated in the permit which shall not be earlier than the date final action is taken on the application and be issued for a period, beginning on the effective date, as provided below.a. The operation permit for an emissions unit which is in compliance with all applicable rules and in operational condition, and which the owner or operator intends to continue operating, shall be issued or renewed for a five-year period.b. Except as provided in sub-subparagraph 62-210.300(2)(a)3.d., F.A.C., the operation permit for an emissions unit which has been shut down for six months or more prior to the expiration date of the current operation permit, shall be renewed for a period not to exceed five years from the date of shutdown, even if the emissions unit is not maintained in operational condition, provided:(I) The owner or operator of the emissions unit demonstrates to the Department that the emissions unit may need to be reactivated and used, or that it is the owner’s or operator’s intent to apply to the Department for a permit to construct a new emissions unit at the facility before the end of the extension period; and(II) The owner or operator of the emissions unit agrees to and is legally prohibited from providing the allowable emission permitted by the renewed permit as an emissions offset to any other person under Rule 62-212.500, F.A.C.; and(III) The emissions unit was operating in compliance with all applicable rules as of the time the source was shut down.c. Except as provided in sub-subparagraph 62-210.300(2)(a)3.d., F.A.C., the operation permit for an emissions unit which has been shut down for five years or more prior to the expiration date of the current operation permit shall be renewed for a maximum period not to exceed ten years from the date of shutdown, even if the emissions unit is not maintained in operational condition, provided the conditions given in sub-subparagraph 62-210.300(2)(a)3.b., F.A.C., are met and the owner or operator demonstrates to the Department that failure to renew the permit would constitute a hardship, which may include economic hardship.d. The operation permit for an electric utility generating unit on cold standby or long-term reserve shutdown shall be renewed for a five-year period, and additional five-year periods, even if the unit is not maintained in operational condition, provided the conditions given in sub-sub-subparagraphs 62-210.300(2)(a)3.b.(I) through (III), F.A.C., are met.4. In the case of an emissions unit permitted pursuant to sub-subparagraphs 62-210.300(2)(a)3.b., c., and d., F.A.C., include reasonable notification and compliance testing requirements for reactivation of such emissions unit and provide that the owner or operator demonstrate to the Department prior to reactivation that such reactivation would not constitute any modification or reconstruction pursuant to this chapter or any federal regulation adopted by reference at Rule 62-204.800, F.A.C.(b) Additional Requirements for Federally Enforceable State Operation Permits (FESOPs) for Non-Title V Sources.1. An operation permit for a non-Title V source, including a synthetic non-Title V source, shall be considered federally enforceable only if it is issued, renewed, or revised in accordance with the following provisions:a. At the time of initial application for the permit, the applicant requests that the permit be made federally enforceable.b. A notice of proposed agency action on the initial application, any renewal application involving material changes from the existing permit, and any application for permit revision is published in accordance with the provisions of subsections 62-210.350(1) and subsection (4), F.A.C., except as provided in subparagraph 62-210.300(2)(b)3., F.A.C.c. The permit is a facility-wide permit.d. The permit is conditioned such that the owner or operator is legally obligated to adhere to the terms and limitations of such permit, including any condition or limitation assumed by the owner or operator upon acceptance of such permit.e. The permit is conditioned such that any emissions limitation, control requirement, or other requirement assumed by the owner or operator upon acceptance of such permit shall be quantifiable and enforceable as a practical matter.2. Once a synthetic non-Title V source has been issued a federally enforceable state operation permit (FESOP), it shall remain subject to the requirements of paragraph 62-210.300(2)(b), F.A.C., unless:a. The owner or operator accepts a higher limit and the facility becomes a Title V source; orb. The owner or operator demonstrates to the Department that it no longer needs a federally enforceable operation permit to be classified as a non-Title V source (i.e., the facility is naturally “minor” without any federally enforceable limits) and specifically requests exemption from these requirements.3. If all of the permitted emissions units within a facility have been issued one or more air construction permits which have undergone public notice in accordance with procedures at least as stringent as those provided in subsection 62-210.350(4), F.A.C., and the applicant requests that the conditions of such construction permit(s) be transferred without material change to a federally enforceable state operation permit (FESOP), the Department shall waive the requirements of sub-subparagraph 62-210.300(2)(b)1.b. and subparagraph 62-210.350(4)(a)3., F.A.C., for publication of a notice of proposed agency action; provided, however, that the remaining provisions of subsection 62-210.350(4), F.A.C., shall apply, including the requirement that notice be given to the U.S. Environmental Protection Agency and any local air pollution control program.4. If an applicant requests that existing, multiple air operation permits for a facility be consolidated into a single federally enforceable state operation permit (FESOP), the Department shall reduce the permit processing fee required pursuant to Rule 62-4.050, F.A.C., by an amount equal to the sum of the processing fees paid for the existing permits prorated by the number of years remaining until expiration of each such permit.(c) Notwithstanding the provisions of subsection 62-210.300(2), F.A.C., the owner or operator of any eligible facility who registers to use an air general permit under Rule 62-210.310, F.A.C., or Rule 62-213.300, F.A.C., who is not denied use of the air general permit, and who operates the facility in compliance with the terms and conditions of the air general permit shall not be required to obtain an air operation permit pursuant to this subsection or Rule 62-213.400, F.A.C.(3) Exemptions. Except as otherwise provided herein, an owner or operator shall not be required to obtain an air construction permit or non-Title V air operation permit, or to use an air general permit pursuant to Rule 62-210.310, F.A.C., for any facility, emissions unit or pollutant-emitting activity that satisfies the applicable criteria of paragraph 62-210.300(3)(a) or (b), F.A.C., or has been exempted from permitting pursuant to Rule 62-4.040, F.A.C. Failure of a facility, emissions unit or activity to satisfy the exemption criteria of paragraph 62-210.300(3)(a) or (b), F.A.C., does not preclude such facility, emissions unit or activity from being considered for exemption pursuant to Rule 62-4.040, F.A.C. Notwithstanding the above, no emissions unit or activity shall be exempt from the requirement to obtain an air construction permit or non-Title V air operation permit, or to use an air general permit pursuant to Rule 62-210.310, F.A.C., if it would be subject to any unit-specific applicable requirement, including a PAL. Furthermore, no new, reconstructed, or modified emissions unit or activity shall be exempt from the requirement to obtain an air construction permit if its emissions would contribute to a major modification or to any modification that would be a major modification but for the provisions of paragraph 62-212.400(2)(a), F.A.C. An emissions unit or pollutant-emitting activity exempt from the requirement to obtain an air construction permit shall not be exempt from the permitting requirements of Chapter 62-213, F.A.C., if it is contained within a Title V source or if its emissions, in combination with the emissions of other emission units and activities at the facility, would cause the facility to be classified as a Title V source. Exemption from the requirement to obtain an air construction permit or non-Title V air operation permit, or to use an air general permit pursuant to Rule 62-210.310, F.A.C., does not relieve any emissions unit or activity from complying with any requirement under 40 CFR Part 60, 61, or 63, adopted and incorporated by reference at Rule 62-204.800, F.A.C., to which it is subject, even if such requirement is not a unit-specific applicable requirement. Furthermore, an exempt emissions unit or activity shall be subject to any general, facility-level applicable requirements, and its emissions shall be considered in determining the applicability of permitting requirements to other emissions units at the facility or to the facility as a whole.(a) Categorical and Conditional Exemptions. Except as otherwise provided at subsection 62-210.300(3), F.A.C., above, the following facilities, emissions units, and pollutant-emitting activities shall be exempt from any requirement to obtain an air construction permit or non-Title V air operation permit, or to use an air general permit pursuant to Rule 62-210.310, F.A.C. The exemptions listed at subparagraphs 62-210.300(3)(a)23. through 36., F.A.C., are valid only if the owner or operator ensures that the conditions of exemption are met.1. Home heating and comfort heating with a gross maximum heat output of less than one million Btu per hour.2. Internal combustion engines in boats, aircraft and vehicles used for transportation of passengers or freight.3. Incinerators in one or two family dwellings or in multi-family dwellings containing four or less family units, one of which is owner-occupied.4. Noncommercial and nonindustrial vacuum cleaning systems used exclusively for residential housekeeping purposes.5. Cold storage refrigeration equipment, except for any such equipment located at a Title V source using an ozone-depleting substance regulated under 40 C.F.R. Part 82.6. Vacuum pumps in laboratory operations.7. Equipment used for steam cleaning.8. Belt or drum sanders having a total sanding surface of five square feet or less and other equipment used exclusively on wood or plastics or their products having a density of 20 pounds per cubic foot or more.9. Equipment used exclusively for space heating, other than boilers.10. Noncommercial smoke houses used exclusively for smoking food products.11. Bakery ovens located at any retail bakery facility which derives at least fifty percent of its revenues from retail sales on premises. Also, bakery ovens located at any commercial bakery facility utilizing only non-conveyor belt ovens operating on a single baking cycle in which a determinate amount of product is cooked at one baking (i.e., batch ovens).12. Laboratory equipment used exclusively for chemical or physical analyses.13. Brazing, soldering or welding equipment.14. Laundry dryers, extractors, or tumblers for fabrics cleaned with only water solutions of bleach or detergents.15. Fire and safety equipment.16. Petroleum lubrication systems.17. Application of fungicide, herbicide, or pesticide.18. Asbestos renovation and demolition activities.19. Vehicle refueling operations and associated fuel storage.20. Restaurants.21. Burning of drugs seized by law enforcement agencies in boilers with a heat input of 250 million Btu per hour or more.22. Phosphogypsum cooling ponds and inactive phosphogypsum stacks which have demonstrated compliance with the requirements of 40 CFR Part 61, Subpart R, adopted and incorporated by reference at Rule 62-204.800, F.A.C.23. Degreasing units using heavier-than-air vapors exclusively, provided that such units shall not use any substance containing any hazardous air pollutant.24. Non-halogenated solvent storage and cleaning operations, provided that such operations shall not use any solvent containing any hazardous air pollutant.25. Petroleum dry cleaning facilities, provided the solvent consumption shall be less than 3,250 gallons per year.26. Portable air curtain incinerators, provided the following conditions are met.a. Except as provided at sub-subparagraph c., only land clearing debris and appropriate starting fuel shall be burned in the air curtain incinerator. The air curtain incinerator shall not be used to burn any material prohibited to be open-burned as set forth at subsection 62-256.300(3), F.A.C. Only kerosene, diesel fuel, drip torch fuel (as used to ignite prescribed fires), untreated wood, virgin oil, natural gas or liquefied petroleum gas shall be used to start the fire in the air curtain incinerator. The use of used oil, chemicals, gasoline, or tires to start the fire is prohibited.b. The air curtain incinerator, alone or in combination with any other air curtain incinerator(s) claiming this exemption from air permitting, shall not be deployed at a single site for more than six (6) months in any consecutive twelve (12) months and, except as provided at sub-subparagraph c., shall not burn any material other than land clearing debris generated at the site or at any other site under control of the same person (or persons under common control). For purposes of this provision, a site is any and all locations on one (1) or more contiguous or adjacent properties which are under the control of the same person (or persons under common control), except that, in the case of a linear right-of-way, a site is any and all locations within any one-mile span of right-of-way. Any deployment of one (1) or more air curtain incinerators at a single site for more than six (6) months in any consecutive twelve (12) months, and, except as provided at sub-subparagraph c., any use of an air curtain incinerator at a site to burn material other than land clearing debris generated at the site or any other site under control of the same person (or persons under common control), shall require an appropriate air permit.c. Notwithstanding the provisions of sub-subparagraphs a. and b., the air curtain incinerator may be used for up to six (6) months in any consecutive twelve (12) months at any location for the destruction of animal carcasses in accordance with the provisions of subsection 62-256.700(6), F.A.C., the burning of storm-generated debris in accordance with the provisions of subsection 62-256.700(8), F.A.C., or the destruction of insect or disease-infested vegetation in accordance with the provisions of subsection 62-256.700(9), F.A.C. When using an air curtain incinerator to burn animal carcasses, untreated wood may also be burned to maintain good combustion.d. If the air curtain incinerator employs an earthen trench, the pit walls (width and length) shall be vertical, and maintained as such, so that combustion of the waste within the pit is maintained at an adequate temperature and with sufficient air recirculation to provide enough residence time and mixing for proper combustion and control of emissions. Pit width shall not exceed twelve (12) feet.e. Material shall not be loaded into the air curtain incinerator such that it protrudes above the level of the air curtain in the pit.f. Ash shall not be allowed to build up in the pit of the air curtain incinerator to higher than 1/3 the pit depth or to the point where the ash begins to impede combustion, whichever occurs first.g. Visible emissions from the air curtain incinerator shall not exceed ten percent (10%) opacity, six (6) minute average, except for up to thirty (30) minutes during periods of startup when visible emissions up to thirty-five percent (35) opacity, six (6) minute average, shall be allowed. For purposes of this exemption, these visible emissions limitations shall not be considered unit-specific applicable requirements.h. The air curtain incinerator shall be attended at all times while materials are being burned or flames are visible within the incinerator.i. The air curtain incinerator shall be located at least 50 feet away from any wildlands, brush, combustible structure, or paved public roadway and 300 feet away from any occupied building.j. If the air curtain incinerator is equipped with refractory-lined walls, charging shall begin no earlier than sunrise and must end no later than one hour after sunset. If the air curtain incinerator is not equipped with refractory-lined walls, charging shall begin no earlier than 8:00 a.m. Central time or 9:00 a.m. Eastern time and must end no later than one hour after sunset. After charging ceases, air flow shall be maintained until all material within the air curtain incinerator has been reduced to coals, and flames are no longer visible. A log shall be maintained onsite that documents daily beginning and ending times of charging.k. Prior to any period of operation of the air curtain incinerator, the owner or operator shall contact the Division of Forestry regarding the planned burning activity.l. If the owner of the air curtain incinerator, by lease or other means, grants authority to operate the incinerator to a person not in the employ of the owner, the owner shall provide such person with a copy of the conditions of this exemption.m. If the air curtain incinerator is operated in compliance with all conditions of this exemption, it shall not be subject to the requirements of subsection 62-296.401(7), F.A.C.27. Surface coating operations within a single facility, provided:a. The surface coating operation shall use only coatings containing 5.0 percent or less VOC, by volume, or the total quantity of coatings containing greater than 5.0 percent VOC, by volume, used at the facility shall not exceed 6.0 gallons per day, averaged monthly, where the quantity of coatings used includes all solvents and thinners used in the process or for cleanup; andb. Such operations are not subject to any unit-specific applicable requirement.28. Volume reduction processes as defined in Rule 62-296.417, F.A.C., provided the owner or operator shall manage only spent mercury-containing lamps removed from the facility where the volume reduction process is located.29. Mercury recovery processes as defined in Rule 62-296.417, F.A.C., provided the owner or operator shall manage only spent mercury-containing devices temporarily or permanently removed from service from the owner or operator’s own facilities or installations.30. Bulk gasoline plants, provided:a. The facility receives and distributes only petroleum-based lubricants, gasoline, diesel fuel, mineral spirits and kerosene;b. The total storage capacity for gasoline at the facility does not exceed 100,000 gallons;c. The facility shall not exceed a throughput rate (receive and distribute) of 1.3 million gallons of gasoline in any consecutive twelve (12) months; andd. The facility is not subject to Rule 62-296.418, F.A.C.31. Relocatable wet screening-only operations, provided:a. The screening operation is not connected to a nonmetallic mineral processing plant subject to 40 CFR Part 60, Subpart OOO, adopted and incorporated by reference at Rule 62-204.800, F.A.C.;b. No hazardous waste, as defined in Section 403.703, F.S., shall be processed; andc. The operation shall not operate at a single site for more than six (6) months in any consecutive twelve (12) months. For purposes of this provision, a site is any and all locations on one or more contiguous or adjacent properties which are under the control of the same person (or persons under common control).32. Brownfield site remediation, as described at Rule 62-785.700, F.A.C., provided that the total volatile organic compounds in the air emissions from all onsite remediation equipment shall not exceed 13.7 pounds per day.33. Fossil fuel steam generators, hot water generators, and other external combustion heating units with heat input capacity equal to or less than 10 million Btu per hour, provided the following conditions are met with respect to each such unit.a. The unit is not subject to the Acid Rain Program, CAIR Program, or any unit-specific applicable requirement.b. The rated heat input capacity of the unit is equal to or less than 10 million Btu per hour and, collectively, the total rated heat input capacity of all units claiming this exemption at the same facility is less than 10 million Btu per hour.c. The unit shall not burn used oil or any fuels other than natural gas or propane, except that fuel oil with a sulfur content not exceeding 1.0 percent by weight may be burned during periods of natural gas curtailment.34. Fossil fuel steam generators, hot water generators, and other external combustion heating units with heat input capacity less than 100 million Btu per hour, provided the following conditions are met with respect to each such unit.a. The unit is not subject to the Acid Rain Program, CAIR Program, or any unit-specific applicable requirement.b. The rated heat input capacity of the unit is less than 100 million Btu per hour and, collectively, the total rated heat input capacity of all units claiming this exemption at the same facility is less than 250 million Btu per hour.c. The unit shall not burn more than the maximum annual amount of a single fuel, as given in sub-subparagraph e., or equivalent maximum annual amounts of multiple fuels, as addressed in sub-subparagraph f. d. Collectively, all units claiming this exemption at the same facility shall not burn more than the collective maximum annual amount of a single fuel, as given in sub-subparagraph g., or equivalent collective maximum annual amounts of multiple fuels, as addressed in sub-subparagraph h. e. If burning only one (1) type of fuel, the annual amount of fuel burned by the unit shall not exceed 150 million standard cubic feet of natural gas, one million gallons of propane, one million gallons of fuel oil with a sulfur content not exceeding 0.05 percent, by weight, 290,000 gallons of fuel oil with a sulfur content not exceeding 0.5 percent, by weight, or 145,000 gallons of fuel oil with a sulfur content not exceeding 1.0 percent, by weight. f. If burning more than one (1) type of fuel, the equivalent annual amount of each fuel burned by the unit shall not exceed the maximum annual amount of such fuel, as given in sub-subparagraph e., multiplied by a fuel percentage. The fuel percentage is the percentage ratio of the total annual amount of the fuel burned by the unit to the total annual amount of such fuel allowed to be burned by the unit pursuant to sub-subparagraph e. The sum of the fuel percentages for all fuels burned by the unit must be less than or equal to 100 percent. g. If burning only one (1) type of fuel, the collective annual amount of fuel burned by all units claiming this exemption at the same facility shall not exceed 375 million standard cubic feet of natural gas, 2.5 million gallons of propane, 2.5 million gallons of fuel oil with a sulfur content not exceeding 0.05 percent, by weight, 290,000 gallons of fuel oil with a sulfur content not exceeding 0.5 percent, by weight, or 145,000 gallons of fuel oil with a sulfur content not exceeding 1.0 percent, by weight.h. If burning more than one (1) type of fuel, the equivalent collective annual amount of each fuel burned by the units claiming this exemption at the same facility shall not exceed the collective maximum annual amount of such fuel, as given in sub-subparagraph g., multiplied by a fuel percentage. The fuel percentage is the percentage ratio of the total annual amount of the fuel burned by all units claiming this exemption at the same facility to the total annual amount of such fuel allowed to be burned by all units claiming this exemption at the same facility pursuant to sub-subparagraph g. The sum of the fuel percentages for all fuels burned by the units claiming this exemption at the same facility must be less than or equal to 100 percent. 35. One (1) or more emergency generators located within a single facility provided: a. The unit is not subject to the Acid Rain Program, CAIR Program, or any unit-specific applicable requirement.b. The unit shall not burn used oil or any fuels other than natural gas, propane, gasoline, and diesel fuel.c. Collectively, all units claiming this exemption at the same facility shall not burn more than the collective maximum annual amount of a single fuel, as given in sub-subparagraph d., or equivalent collective maximum annual amounts of multiple fuels, as addressed in sub-subparagraph e. d. If burning only one (1) type of fuel, the collective annual amount of fuel burned by all units claiming this exemption at the same facility shall not exceed 2,700 gallons of gasoline, 32,000 gallons of diesel fuel, 144,000 gallons of propane, or 4.4 million standard cubic feet of natural gas. e. If burning more than one (1) type of fuel, the equivalent collective annual amount of each fuel burned by the units claiming this exemption at the same facility shall not exceed the collective maximum annual amount of such fuel, as given in sub-subparagraph d., multiplied by a fuel percentage. The fuel percentage is the percentage ratio of the total amount of the fuel burned by all units claiming this exemption at the same facility to the total amount of such fuel allowed to be burned by all units claiming this exemption at the same facility pursuant to sub-subparagraph d. The sum of the fuel percentages for all fuels burned by the units claiming this exemption at the same facility must be less than or equal to 100 percent.36. General purpose internal combustion engines, and other reciprocating internal combustion devices, provided the following conditions are met with respect to each such unit.a. The unit is not subject to the Acid Rain Program, CAIR Program, or any unit-specific applicable requirement.b. The unit shall not burn used oil or any fuels other than natural gas, propane, gasoline, and diesel fuel.c. Collectively, all units claiming this exemption at the same facility shall not burn more than the collective maximum annual amount of a single fuel, as given in sub-subparagraph d., or equivalent collective maximum annual amounts of multiple fuels, as addressed in sub-subparagraph e. d. If burning only one (1) type of fuel, the collective annual amount of fuel burned by all units claiming this exemption at the same facility shall not exceed 2,700 gallons of gasoline, 32,000 gallons of diesel fuel, 144,000 gallons of propane, or 4.4 million standard cubic feet of natural gas. e. If burning more than one (1) type of fuel, the equivalent collective annual amount of each fuel burned by the units claiming this exemption at the same facility shall not exceed the collective maximum annual amount of such fuel, as given in sub-subparagraph d., multiplied by a fuel percentage. The fuel percentage is the percentage ratio of the total amount of the fuel burned by all units claiming this exemption at the same facility to the total amount of such fuel allowed to be burned by all units claiming this exemption at the same facility pursuant to sub-subparagraph d. The sum of the fuel percentages for all fuels burned by the units claiming this exemption at the same facility must be less than or equal to 100 percent.37. Printing operations, provided:a. The facility is not subject to any unit-specific applicable requirement;b. The facility shall use less than 667 gallons of materials containing any hazardous air pollutants in any consecutive twelve (12) months; andc. The facility shall:(I) Operate only heatset offset lithographic printing lines and use less than 20,000 pounds, combined, of ink, cleaning solvent, and fountain solution additives in any consecutive twelve (12) months;(II) Operate only non-heatset offset lithographic printing lines and use less than 2,850 gallons, combined, of cleaning solvent and fountain solution additives in any consecutive twelve (12) months;(III) Operate only digital printing lines and use less than 2,425 gallons, combined, of solvent based inks, clean-up solutions, and other solvent-containing materials in any consecutive twelve (12) months;(IV) Operate only screen or letterpress printing lines and use less than 2,850 gallons, combined, of solvent based inks, clean-up solutions, and other solvent-containing materials in any consecutive twelve (12) months;(V) Operate only water-based or ultraviolet-cured-material flexographic or rotogravure printing lines and use less than 80,000 pounds, combined, of water-based inks, coatings, and adhesives in any consecutive twelve (12) months; or(VI) Operate only solvent-based material flexographic or rotogravure printing lines and use less than 20,000 pounds, combined, of inks, dilution solvents, coatings, cleaning solutions, and adhesives in any consecutive twelve (12) months. (b) Generic and Temporary Exemptions.1. Generic Emissions Unit or Activity Exemption. Except as otherwise provided at subsection 62-210.300(3), F.A.C., above, an emissions unit or pollutant-emitting activity that is not entitled to a categorical or conditional exemption pursuant to paragraph 62-210.300(3)(a), F.A.C., shall be exempt from any requirement to obtain an air construction permit or non-Title V air operation permit, or to use an air general permit pursuant to Rule 62-210.310, F.A.C., if it meets all of the following criteria:a. It would be subject to no unit-specific applicable requirement.b. It would neither emit nor have the potential to emit:(I) 500 pounds per year or more of lead and lead compounds expressed as lead;(II) 1,000 pounds per year or more of any hazardous air pollutant;(III) 2,500 pounds per year or more of total hazardous air pollutants; or(IV) 5.0 tons per year or more of any other regulated pollutant.c. Its emissions, in combination with the emissions of other units and activities at the facility, would not cause the facility to emit or have the potential to emit any pollutant in such amount as to make the facility a Title V source.d. In the case of a proposed new emissions unit at an existing facility, the emissions of such unit, in combination with the emissions of any other proposed new or modified units and activities at the facility, would not result in a modification subject to the preconstruction review requirements of subparagraph 62-204.800(11)(d)2., Rule 62-212.400 or 62-212.500, F.A.C.e. In the case of a proposed new pollutant-emitting activity, such activity would not constitute a modification of any existing non-exempt emissions unit at a non-Title V source or any existing non-insignificant emissions unit at a Title V source.2. Generic Facility Exemption. Except as otherwise provided at subsection 62-210.300(3), F.A.C., a facility that is not entitled to a categorical or conditional exemption pursuant to paragraph 62-210.300(3)(a), F.A.C., shall be exempt from any requirement to obtain an air construction permit or non-Title V air operation permit, or to use an air general permit pursuant to Rule 62-210.310, F.A.C., if all of the emissions units and activities within the facility, including any proposed new emissions units and activities, individually meet the exemption criteria of paragraph 62-210.300(3)(a), F.A.C., or subparagraph 62-210.300(3)(b)1., F.A.C., or if the facility meets all of the following criteria:a. No emissions unit or pollutant-emitting activity within the facility would be subject to any unit-specific applicable requirement.b. The facility would neither emit nor have the potential to emit:(I) 1,000 pounds per year or more of lead and lead compounds expressed as lead;(II) 1.0 ton per year or more of any hazardous air pollutant;(III) 2.5 tons per year or more of total hazardous air pollutants;(IV) 25 tons per year or more of carbon monoxide, nitrogen oxides and sulfur dioxide; or(V) 10 tons per year or more of any other regulated pollutant.c. The facility would neither emit nor have the potential to emit any pollutant in such amount as to make the facility a Title V source, nor would the facility be a Title V source for any other reason.3. Temporary Exemption for Emissions Units at Certain Title V Sources. Except for an emissions unit that is subject to any applicable regulation or permitting requirement under Rule 62-212.400 or 62-212.500, F.A.C.; any emissions standard or other requirement adopted by reference prior to July 1, 1995, in Rule 62-204.800, F.A.C.; any requirement established pursuant to Rule 62-296.330, F.A.C.; or any Reasonably Available Control Technology (RACT) provisions under Rules 62-296.500 through 62-296.712, F.A.C.; an emissions unit that is described in a timely and complete permit application under Chapter 62-213, F.A.C., and not subject to an existing valid air permit, shall be exempt from the permitting requirements of this chapter, Chapter 62-4 and Rule 62-212.300, F.A.C., until a final determination on a permit application under Chapter 62-213, F.A.C., is made. In addition, no emissions unit shall be exempt under this paragraph if its emissions cause or contribute to a significant net emissions increase under Rule 62-212.400 or 62-212.500, F.A.C., which would trigger preconstruction review, or if it is constructed or modified, as defined under Rule 62-210.200, F.A.C., subsequent to November 23, 1994. Any applicant exercising this exemption shall provide notification of such exemption to the Department, and further authorizes the Department to inspect these emissions units at the Department’s discretion. Emissions units subject to existing valid permits shall continue to operate consistent with those permits as provided under subparagraph 62-213.420(1)(b)2., F.A.C. This exemption is available only to emissions units contained within either facilities that were Title V sources on or before October 25, 1995, and that commenced operation on or before that date, or facilities that became Title V sources by operation of law after October 25, 1995, and have timely applied for an initial Title V air operation permit.4. Temporary Phosphogypsum Exemption. Until permitted pursuant to Chapter 62-213, F.A.C., phosphogypsum disposal areas are exempt from the requirement to obtain an air operation permit.(c) Conditional Exemptions from Title V Air Permitting. Except as otherwise provided herein, the following facilities shall be exempt from the requirement to obtain a Title V air operation permit under the provisions of Chapter 62-213, F.A.C., provided the conditions of exemption for each such facility are met. Facilities exempt from Title V air permitting pursuant to subparagraph 62-210.300(3)(c)2., F.A.C., are not exempt from the requirement to obtain an air construction permit or non-Title V air operation permit. A facility shall not be entitled to an exemption from Title V air permignal.

If investigations prove negative for all forms of carbon / biosignatures then spatially resolved measurements must be undertaken to different sites to ensure all reasonable target areas have been explored.

**Table 2**. Possible sources of organic carbon that need to be distinguished in Martian samples.

#### 5.2.2.1 What techniques have been used to detect and characterize terrestrial and meteoritic biosignatures?

1. Morphological observation using microscopic tools (Light, SEM, TEM, AFM, Fluorescence). The controversy mentioned earlier regarding the oldest fossils on Earth illustrate that it is difficult using all available analytical tools in a laboratory to unambiguously determine if something is truly of biological origin. Recognizing a fossil using the criterion of shape alone poses some challenges, particularly without actually being on the surface of Mars and knowing *a priori* whether it has a fossil record. In contrast, observing movement in extant life is easy. However, not all extant life moves, especially microbes, therefore making it difficult to determine if it is alive by shape alone. Interdisciplinary multi-instrument approaches have been shown to be effective for studies on deep subsurface ecosystems on Earth (e.g., Fisk et al., 2003; Steele et al., 2002; Toporski et al., 2002; Steele).

2. Biochemical analyses. A range of analyses based on either pure chemical or biochemical methods have proven to be useful on Earth in determining if a sample is of biological origin. However, in difficult cases it has usually taken several different methods of analyses to determine if a sample is unequivocally of biological origin. Carbon isotopes have successfully been correlated with individual Proterozoic microfossils (House et al., 2000) and FT-RAMAN spectra were obtained on presumed Proterozoic microfossils (Schopf et al., 2002). Furthermore, fossil and modern bacterial biofilms have been classified using a combination of bulk and spatially resolved measurements including XPS, EDX, XRD, Time of Flight – Secondary Ion Mass Spectroscopy (ToF-SIMS), pyrolysis GCMS, GCMS, GC-IRMS confocal laser microscopy and Raman and infrared microspectroscopy (Steele et al., 2001; Toporski, 2001; Toporski 2002; Toporski 2004, Hall-Stoodley et al, 2004; Benning et al 2004). Only the combination of a multiple-set of instruments lead to a unequivocal determination of the specific characteristics of biofilms.

#### 5.2.2.2 What are the challenges for AFL in the search for biosignatures on Mars?

1. Tested Technologies. Of the techniques listed in table 1 those that have been shown to be successful during space missions include: gas chromatography, mass spectrometry, simple thermal analysis, Mossbauer and some types of interactive chemical techniques (e.g., the Viking biology experiments (see Mancinelli 1998 for review).

For Mars applications, it is necessary for the detector to be sensitive to the picogram level and capable of responding to a broad variety of compounds, i.e., have universal response. A flight proven detector that is both universal and sufficiently sensitive is the metastable ionization detector. The primary disadvantage of gas chromatography is the small margin of error associated with the column retention times for definitive identification of compounds, which can lead to mis-identification of compounds with similar retention times. This disadvantage should be minimized by use of multiple columns with different separation capabilities (i.e., different column coatings or packings) and calibration standards. A GC/MS has been used successfully on space missions, including the Viking mission The disadvantages are that the MS cannot be simultaneously tuned to be sensitive for the analysis of low and high molecular weight substances at the same time, and it is a bulky and heavy instrument*.* Various types of analytical instruments equipped with different pyrolytic devices have been used during space missions. These ranged from simple pyrolysis (combustion) to step-wise heating of samples and measuring the power input and temperature. Step-wise heating is usually followed by collecting any volatiles evolved from the sample during heating, and identifying and quantifying them by GC, or GC/MS. For example, heating samples of soil from earth in a step-wise fashion would first volatilize adsorbed water and gases (e.g., CO2, and lower molecular weight organic compounds) at the lower temperatures. At higher temperatures, water from mineral hydration, CO2 from carbonate decomposition, and volatiles from pyrolysis of higher molecular weight organics would be released. Although this technique allows one to analyze the evolved gases, it does not yield any direct information regarding the nature of the sample (e.g. clays vs. hydrated silicates). Mossbauer spectroscopy provides information on the valence state of specific elements (i.e., Fe, Sn, Sb, Ru, and Au), how these elements are combined in the structure of a compound, and the magnetic properties of the sample. Mossbauer spectroscopy can provide information about H2O only if it is associated with the elements Fe, Sn, Sb, Ru, or Au. This again is an area where micro total analytical systems and micromachining may allow significant weight and energy savings.

2. Non-tested technologies. Scanning electron microscopy-energy dispersive X-ray spectrometry (SEM-EDS), which maps electron intensities for identification of elements with atomic numbers greater than sodium, although windowless detection allows all elements heavier than boron to be detected. Electron spectroscopy for chemical analyses (ESCA) quantitatively determines the valence states and bonding energies of most naturally occurring elements (excluding hydrogen and helium). This technique is limited to analysis of the top 1-10 monolayers of the sample. X-ray diffraction (XRD) analysis directly and nondestructively probes atomic scale structural correlations of mineral samples yielding sample mineralogy along with information about the presence of H2O. X-ray fluorescence (XRF) analysis non-destructively provides information on the elemental composition of a sample for elements having atomic numbers greater than that of boron. However, no information is given about how those elements are combined in the sample. Rutherford backscattering spectrometry (RBS) maps the elemental composition and distribution measured on sample surfaces (the top 0.5 – 3 microns). Elements that can be analyzed by this non-destructive technique range from Li to U. Secondary ion mass spectrometry (SIMS) analysis has a very high sensitivity and can identify all elements including hydrogen and deuterium. A mass spectrometer (MS) provides information on elemental and molecular composition, including that of H2O, and the isotopic abundances found in a sample. Differential scanning calorimetry (DSC), in which the amount of heat required to maintain isothermal conditions between the sample and an inert reference placed in a continuously heating oven, is recorded, and the enthalpy provided directly. Sample identification is made by examination of the patterns of exotherms and endotherms along a temperature scale. The DSC provides quantitative data to ~700°C. For temperatures >700°C the signal-to-noise ratio becomes too great. Differential thermal analysis (DTA) is similar to DSC in that the sample and an inert reference are heated at the same rate, but to ~1200°C. The temperature of the sample and reference are monitored simultaneously. It differs from DSC in that when endothermic and exothermic events occur in the sample, no attempt is made to keep the sample and reference isothermal to each other. In DTA, the temperature difference between the sample and the reference is recorded as a function of oven temperature and provide the information for sample identification. The thermogram obtained from a DTA or DSC analysis provides information on the mineralogy and chemical composition of the sample. Where the DTA or DSC is coupled to a gas chromatograph (GC), the GC collects and analyzes the volatiles (including H2O) evolved from the sample as it is heated.

Specifically for extant life detection interactive chemical methods were performed as part of the Viking mission. This approach is fraught with problems. It assumes prior knowledge of Martian organism metabolism. Using these culturing methods only detect 1-2% of the microbes in earth soil can be detected. A distribution mass peaks obtained by a mass spectrometer of alkanes showing a decrease in concentration with increasing carbon number would indicate abiotic processes. Similarly a predominance of biogenic amino acids with an excess of the L isomer would indicate extant or recently extinct life. Whereas, a suite of racemized biogenic amino acids may indicate fossil life. Detection of hopanes by Time of Flight Mass Spectrometry may also be indicative of life. Field ATP luminometry measurements of the cryptoendolithic communities may provides a rapid method of detecting relative amounts of metabolic turnover in microbial communities. None of these techniques would provide definitive evidence of life during the MSL mission. Clearly, multiple approaches need to be done on samples to determine if they contain viable extant organisms. For example, if organic mass gas chromatography spectrometry analyses combined with deep UV florescence, SEM and RAMAN all point toward life, then there is a high probability that the sample may contain life.

## 5.3 Preservation Potential

A biosignature preservation model, guided by data from AFL, will be critical to long term Martian life detection strategy. That is to say that AFL in detecting carbon chemistry in various sites of possible habitability (see definition) can indicate whether such niche areas could preserve clues of Martian life. This must be modeled by suitable experimentation in laboratories before suitable interpretation of any data can be undertaken. We still do not know the exact composition of the mysterious Martian oxidant postulated in the Viking experiments.

Long-range Astrobiological exploration of Mars will require an understanding of the preservation potential of biosignatures. This is an important part of the scientific logic of going from possible biosignature to confirmed biosignature.

Lessons from Earth

•Life processes produce a range of biosignatures, and geological processes progressively alter and ultimately destroy them.

•Understanding the potential for preservation has been a key part of biosignature interpretation.

Application to Mars

•We don’t know the biosignatures of Martian life forms (if they exist).

•However, with appropriate data, it should be possible to postulate a preservation model relating biosignatures as we understand them on Earth to various Martian geologic environments. This model will likely have important predictive value in guiding future search strategy. Models predict that biomolecules and organisms can survive in simulated conditions such models need refinement and to address diagenetic processes in predicted conditions (Scheurger et al., 2003).

# 6.0Precursor Discoveries

Relevant data may already be available but two major classes of discovery would be of essential relevance to AFL mission planning:

MRO

•Sending AFL to a hydrothermal site is impossible with present knowledge, because none are known. However, the CRISM spectrometer on MRO is very powerful, and it has potential to discover the mineralogic expression of hydrothermal zones.

Phoenix

•Phoenix will be the first lander designed to acquire and analyze ice-bearing samples.

•It will collect data of relevance to each of the three primary components of habitability (water, carbon, energy), and thus is capable of returning a result which significantly improves or reduces our interest in sending AFL to an ice-related site.

Table 3 A summary of types and amounts of biomolecules present in a single bacterial cell and compared to known preservation potential for such molecules.

? – debate over the data. Total mass of the organic inventory is based on the assumption that most terrestrial prokaryotes contain approximately 10-13 g of carbon per cell.

# 7.0Mission Site Selection

Four subgroups were founded to begin to address the need for AFL to respond to the discoveries and requirements for as yet to be determined site. Through this process a core mission concept was arrived at and presented to the engineers for costing.

There are four obvious general types of site in which the overall scientific goal of AFL (major advance in A/B) can be pursued:

•The sedimentary record.

•Fossil (inactive) hydrothermal systems

•Sites with ice

•Sites where it may be possible to sample liquid water

We do not have enough information as of this writing to know how these four options would be prioritized by a future SDT. Future discoveries could have a major effect on planning. At the time of writing this document all of the above sites may be postulated to currently exist on Mars. The sedimentary record has been explored by at Gusev and Meridiani by Spirit and Opportunity respectively (Squyres et al., 2004; Grant et al., 2004; Morris et al., 2004; Kerr 2004c (commentary); Arvidson et al., 2004; Bertelsen et al., 2004; Herkenhoff et al., 2004; Gellert et al., 2004). Fossil (slightly active) hydrothermal systems may be concluded from initial papers outlining the concurrence of water vapour, shallow ground ice and methane at Arabia Terra, Elysium Planum and Arcadia Memnoma, (See Kerr 2004a,b and c for commentary). Sites with ice and the obvious poles or shallow “dirty” ice sites such as Phoenix proposes to explore. Sites with possible hydrothermal activity represent a chance to sample liquid water, although this may be at some distance below the surface. To remain flexible to current and future discoveries we

Figure 3 Shows the antecedent discoveries that will impact and guide the choice of sites and final payload of the AFL mission

## 7.1 Sediments

Here we present a mission concept with the overall goal of finding evidence for past or present life in the Martian stratigraphic record in an environment that is highly likely to have formed from the subaqueous deposition of sediment in a shallow marine or lacustrine environment such as exposed in craters at both the Spirit and Opportunity sites (Squyres et al., 2004; commentary by Kerr 2004c).

Objectives

Specific supporting objectives that support this goal are to:

Assess spatially resolved changes in mineralogy with depth on a scale consistent with the depth of individual strata.

Determine the abundance and nature of organic chemicals at the same scales as above.

Seek information regarding water cycling from the strata, eg. is there free or bound water in any of the layers? Ice? Hydrous mineral phases?

Confirm the depositional environment.

Determine provenance of the sediment

Assess the fossil preservation potential of the environment. Factors which might be considered are temperature, rock type, local weather, UV flux, depositional regime as suggested by sedimentary structures

Approach

Central to this mission is the selection of a landing site that possesses multiple outcrops of layered sedimentary rock. We would use remote sensing methods that possess sufficient spatial resolution to resolve individual layers to acquire information from several outcrops. Subsequently, a rover would visit at least one 3D outcrop of layered sedimentary rock, measuring variation in chemistry, mineralogy and texture of the strata for at least 100 meters along the strike and ten meters in the dip of the outcrop. Subsurface penetration would be an important feature of this mission for the acquisition of subsurface samples that are from depths great enough to extend beyond the level of surface oxidation. This may mean accessing a depth of one meter in a horizontal area, though it would be desirable to penetrate the exposed bedding along the slope of an outcrop in a larger feature such as the wall of a crater. Examining the subsurface of such beds would only require a relatively shallow penetration (perhaps a few centimeters), and we would then have access to the primary sediment without having to go through the more recent Aeolian deposits.

Required measurements for meeting the scientific objectives must be conducted at multiple spatial scales, and we recommend three suites of instruments that can provide integrated measurements *a la* the remote sensing, non-contact/contact and analytical suite designations originally suggested by the MEPAG PSIG for the MSL mission. Both spectroscopy and imaging will be key to an integrated science package, and we assume technical progress in science autonomy before the launch of AFL that optimizes science operations on the Martian surface.

There are several engineering /science trade issues associated with taking a large number of measurements from a large outcrop in three dimensions. Some of them are:

“Go to” mobility is required. The degree of mobility will be complementary to the degree of precision of the landing.

The ability to land in a terrain which is rougher than previous targets would be valuable. A priority should be given to precision targeting and hazard tolerance.

Fresh material should be exposed with a RAT or its descendent.

Surface penetration is also required to a level below any weathering layers, a few cm to perhaps a meter.

Sample acquisition and some processing, at least to the level of crushing will be required.

There will be a requirement for positioning—perhaps a laser range finder.

Autonomy should be plentiful—not just for the rover, but for some of the scientific operations in order to maximize efficient use of resources.

Landing Site Selection

One of the primary assumptions of this mission concept is that we will have advanced in our ability to assess habitability for a range of potential landing sites by the missions that are to precede AFL. For example, recent inferences made regarding the environment of deposition for the MER B landing site, Meridiani Planum would suggest that it is an excellent candidate site for an astrobiology follow-up mission. However, as of the time of this writing, there are few exposed examples of the cross-bedded rock from which the shallow marine inferences were drawn at that site. Much of the Martian surface will be mapped in exquisite detail by the time the AFL mission site selection is made, and there are likely to be other candidate target areas that demonstrate appropriate geomorphological and mineralogical character to suggest deposition in a standing body of water. For example Northeast Holden crater, may be a good candidate; geomorphological evidence strongly suggests classical deltaic deposition (Bhattacharya, in prep):

Figure 4 Holden crater

## 7.2 Hydrothermal

Science theme: Assess past Martian Astrobiology in an inactive hydrothermal system.

The apparent harsh climate at the surface of Mars suggests that, should life exist on Mars, the most likely energy source would be subsurface / chemosynthetic rather than surface / photosynthetic. Hydrothermal systems are attractive sites for Astrobiological exploration because they contain all of the requisites for the origin and maintenance of a biosphere and the subsequent preservation of its biosignatures. In such systems, water is typically present in the liquid state in a near-surface environment. Both thermal and chemical energy are made available for use by chemosynthetic organisms as a result of water-rock interactions. Common reactions between mafic/ultramafic minerals, water and volcanic gases such as CO2 lead to the formation of reduced carbon compounds that could have been the building blocks of early life. Secondary mineralization of hydrothermal deposits by carbonate, silica, and other hydrothermal precipitates can preserve evidence of prebiotic carbon chemistry as well as evidence of life. Finally, while the bulk of a hydrothermal system is quite likely to be beyond detection in the subsurface, surface expressions of such systems should be morphologically and mineralogically identifiable from space. However, even when surface expressions of hydrothermal systems are missing or cryptic, impact gardening, mass wasting and simple erosion by wind or water will dissect and expose such systems over geologic time. The detection of the correlation between the concurrence of water vapour, shallow ground ice and methane at Arabia Terra, Elysium Planum and Arcadia Memnoma, may indicate such a system exists in these areas (See Kerr 2004a,b and c for commentary).

**Finding hydrothermal areas:**

At present, we know of no *bona fide* hydrothermal zones or regions on Mars. However, the apparent association of fluvial features with volcanic terrains in many places on Mars suggests that such areas must be common. One can deduce from the young crystallization age of most Martian meteorites (which appear to post-date major fluvial/lacustrine features on the planet) that volcanism and (presumed) associated hydrothermal activity persisted throughout Mars history. Indeed, a number of Mars meteorites (including the famous meteorite ALH84001) contain carbonates or minor hydrous phases suggestive of a hydrothermal setting (Treiman et al 2002).

Clues to the presence of fossil (inactive) hydrothermal zones include morphological, mineralogical and chemical features. A morphological feature could consist, for example, of a spring mound (positive topographic feature) associated with evidence of water flow. A mineralogical feature could consist of surface deposits of carbonates, silica, etc. Global surveys of hydrogen in the near-subsurface, discussed largely in the context of near-surface water, could in some cases represent hydrated mineral phases associated with hydrothermal features.

Future missions will provide clues, perhaps even compelling evidence of past hydrothermal activity. The Mars Reconnaissance Orbiter will have a high-resolution camera from which morphological data will be obtained. CRISM will provide high resolution chemical or mineralogical maps of surface features. Orbital or landed neutron detectors and radar sounding devices could provide maps of near-surface water over large areas of the Mars surface. The ’07 Phoenix Scout mission, as well as Mars ’09 MSL will provide *in-situ* information on both morphology and mineralogy at the sub-meter to sub-millimeter scale.

Five possible landing site hydrothermal geologic settings are envisioned:

Point source hydrothermal zones (igneous-driven convection systems).

Point source hydrothermal zones are well known on the Earth – as for example those present in Yellowstone National Park (a continental-type environment) (e.g., Walter and Des Marais, 1993) or at the mid-ocean ridges (oceanic-type “black smokers”) (e.g., Kelly et al., 2001). These features should be identifiable by their morphology and their mineralogy/chemistry (Farmer, 1998). High-resolution mineralogical data should allow the identification of systems such as these, which may vary in size from kilometers (Grand Prismatic hot spring, the largest hot spring on Earth, is ~1 km in size) to meters in size. Mineralogical signatures of these systems range from monomineralic deposits (silica, carbonate, sulfide, oxide) to polymineralic assemblages. In general, the areal extent of hot springs, which are the surficial expression of point-source hydrothermal zones, are dwarfed when compared to the volume of hydrothermally altered rock in which chemosynthetic life could live in the subsurface (Cady et al., 1997). As a result, even without a large surface expression of hydrothermal activity, one could search for hydrothermal alteration minerals similar to those found around ore deposits on Earth (Horn, 1996). Surface and near-surface deposits of hydrothermal systems will contain a variety of alteration minerals that vary as a function of the underlying mineralogy of the system (e.g., oxides, carbonates, sulfates, hydrated minerals, etc).

Impact-generated hydrothermal systems (craters).

Newsom et al. (2001) reviewed many of the key concepts that support a search strategy for life on Mars in aqueous and hydrothermal deposits associated with Martian impact craters. For example, impact craters on Earth (e.g., the Sudbury impact crater, 1.85 Ga ; ~250 km diameter in Sudbury, Ontario) contain extensive evidence of post-impact hydrothermal activity. Impact melt and uplifted basement heat sources could sustain hydrothermal activity and keep crater lakes from freezing for thousands of years, even under cold climatic conditions (Newsom et al., 1996). Post-impact fluids could result from dewatering of deeply buried hydrated materials, and the breach of local aquifers or regional cryospheres. The lifetimes of impact-generated hydrothermal systems depend on the size and cooling rate of the heat source, the permeability and depth of the disturbed zone, the presence of deeply buried water or hydrated materials, and the rate of burial of the impact melt (e.g., Newsom et al., 2001). The lifetime of hydrothermal systems, which is perhaps long enough to create or sustain a biota, has been estimated as 104 – 105 years for terrestrial craters 100 km in diameter, and up to 106 years for 180-km diameter craters. Impact-generated hydrothermal zones may be quite common in areas of subsurface water or permafrost, such as those areas present in the high latitudes. The surface manifestation of such a system could be mineralogical or morphological, but would be co-located with an identifiable impact structure from which it was generated.

Serpentinizing terranes.

The single most widespread environment of *chemical* disequilibrium on present-day Earth is the oceanic crust (Deming and Baross, 1995; McCollom and Shock, 1997). The composition of the modern lower crust and upper mantle of the Earth is essentially the same as that of the early Earth and Mars (Nisbet, 1987; Longhi et al., 1992), and the early histories of these two planets are similar. It follows that an understanding of these zones of chemical disequilibria on Earth would be of great value in devising a search strategy for similar regions on Mars.

In addition to being potential sites for the genesis of life, hydrothermal systems associated with serpentinization are also excellent candidate sites for the study of prebiotic biogeochemistry. On Earth there is abundant evidence for the formation of abiotic organic compounds along the modern mid-ocean ridge system where it has been linked to serpentinization (H2 source) and hydrothermal activity (Rona et al., 1992; Bougault et al., 1993; Charlou and Donval, 1993; Holm and Charlou, 2001; Schroeder et al., 2002; Kelley and Fruh-Green, 1991; 2001). Serpentinization has also been linked to hydrogen and methane generation onshore in association with ophiolites (Neal and Stanger, 1983; Abrajano et al., 1988). This may also be an explanation of the observations of methane in the Martian atmosphere (Kerr 2004a,b)

An excellent example of subsurface life on Earth is associated with the “Lost City hydrothermal complex” located in an off-axis area of the mid-Atlantic ridge hydrothermal system (Kelley, et al. 2001). Similar sites have been described elsewhere (Chapelle et al, 2002; Stevens and McKinley, 1995; Mottl et al., 2003). In locations such as this, ultramafic rocks from the oceanic crust react with water to form secondary minerals such as serpentine. The process is exothermic, and yields a volume increase of nearly 60%. This type of hydrothermal activity is distinct from all others in that no external source of heat is required (the heat generated by the reaction is sufficient to initiate or perpetuate the system), and the volume increase produced by the reaction results in a self-perpetuating system in which cracks formed in freshly altered material create pathways for water to react with fresh ultramafic rock. The process of serpentinization, through which olivine and pyroxene are altered into serpentine minerals, can be generally described as:

olivine + H2O = serpentine + brucite + magnetite + H2(1)

and

olivine + pyroxene + H2O = serpentine.(2)

Reaction (1) could provide a biological energy source through the production of H2, the basis for many chemoautotrophic biochemical processes, including methanogenesis (CO2 + 4H2 = CH4 + 2H2O).

The serpentinization process should be relevant to present-day Mars, which lacks plate tectonic processes, and even to an ancient Mars that never developed standing oceans or large-scale plate tectonics. The apparent widespread distribution of olivine-rich basalts at the surface of Mars as well as reported outcrops of olivine on the Mars surface (Hoefen et al., 2003) suggest that interactions of ultramafic rocks with water might have been commonplace in the past.

4.Meridiani type areas – hematite or water-associated mineralogy.

Prior to the MER missions, remote and spectroscopic images of Sinus Meridiani suggested an ancient (~4 Ga,) wind-eroded subarial or subaqueous sedimentary comprised of 10-15% hematite. As reviewed by Christensen et al. (2000), five possible mechanisms that involve water could explain the formation of the hematite deposit at Sinus Meridiani: (1) direct precipitation from standing, oxygenated Fe-rich water; (2) precipitation from Fe-rich hydrothermal fluids; (3) low-temperature dissolution and precipitation through mobile ground-water leaching; (4) surface weathering and coatings; and (5) thermal oxidation of magnetite-rich lavas. Allen et al., (2001) discussed, on the basis of terrestrial examples, the possibility that a Martian hematite deposit could be associated with microbial mediation and discussed: (1) four possible mechanisms for producing banded iron formations; (2) the accumulation of iron oxides in hydrothermal deposits; (3) formation mechanisms for iron-rich laterite and ferricrete soils; and (4) the association of bacteria that can oxidize ferrous to ferric iron at neutral pH in rock varnish. It is clear from the recent discovery of buried and exhumed hematite concretions and impact ejected hematite-rich rock near the MER landing site that the area exposed to iron-rich fluid is quite extensive, and much remains to be learned about its origin (Squyres et al., 2004, Kerr 2004c commentary). Such sites are important not only for elucidating the history of water on Mars but also because aqueous mineral precipitates could preserve evidence of an early biota, prebiotic chemistry, or exogenous delivery of organics to the planetary surface during the heavy bombardment period.

Sub-ice Volcanos

A distinctive source of hydrothermal fluids and water-rock interaction is volcanic eruptions into ice or icy regolith. These eruptions necessarily involve heat, liquid water, and reactive rock (fresh lava), on which a biota could thrive. Evidence of “catastrophic outflows” of water from beneath polar caps is reminiscent of similar environments in Iceland and elsewhere, where sub-ice volcanism might create habitats for life. Evidence of habitable under-ice environments might reside within frozen outflows that extend outward from the margins of the polar caps.

The advantages of seeking sub-ice volcanos on Mars are: [1] Volcanos, ground ice, and surface ice are known to be present, and [2] Sub-ice volcanos produce distinctive landforms, easily recognized from orbital imagery. Point eruptions beneath ice produce a characteristic landform, a tuya – a sharply bounded mesa, capped by lava flows, and commonly with volcanic cones and flows visible on its top (Allen, 1979; Hodges and Moore, 1979). Fissure eruptions beneath ice produce distinctive, parallel Moberg ridges (Allen, 1979). Many hills in Mars’ northern plains resemble tuyas, at least in Viking imagery (Allen, 1979; Hodges and Moore, 1979), and the Valles Marineris interior deposits have been similarly interpreted as tuyas (Chapman et al., 2003).

## 7.3 Ice

Science Theme: Assess the potential for Habitation in Icey samples

All life on the Earth is constructed from 2 major ingredients: Water and organic carbon. One of the basic investigation AFL will perform is the identification and inventory of organic carbon species on the Martian surface. The understanding of the nature and chemistry of carbon on Mars can help elucidate astrobiology principals and help us understand the potential of Mars as an enclave of life. The other key ingredient of life, water, has been shown to be present in the polar caps as well as mixed in the regolith at higher latitudes. Therefore a search strategy including exploring a sites that contains a significant amount of H2O (i.e. follow the water) is a possible mission scenario for AFL.

Orbital data has indicated that there exists sub surface water ice in large quantities, as well as making up the majority of the northern polar caps. Mars Odyssey has detected large amounts of subsurface Hydrogen, especially accessible in the northern plans indicating that there exists a reservoir of subsurface H2O (Feldman et al. 2002, Anfimov et al. 2002). This water has been systematically moved from the low latitudes where geologic features indicate there was water present at one time and redistributed in the higher latitudes region (Mellon and Jakosky 1995, Crisp et al. 2000).These permafrost like regions constitute a mixture of regolith and H2O that is accessible in the upper few meters and is accessible by a rover. The current orbit Mars Express orbiter will be deploying the MARSIS orbital radar to better map the subsurface water distribution, and the up coming SHARAD instrument on the Mars Resonance Orbiter, will be able to produce maps of subsurface water to a better resolution and sensitivity then is possible from the Odyssey data. This mapping of the subsurface H2O will enable a determination of the accessibility from a rover type platform, and hence its likelihood of exploration by AFL.

While the current temperature and pressure conditions on Mars does not allow for stable liquid water on the surface, it potentially can exist in a meta stable state in some specific environments (Hecht 2002). Additionally, it has exited in the geologic past when Mars possessed different orbital and atmospheric conditions which allowed liquid water in at least transient states (Malin and Edgett 2003). This can be demonstrated by numerous geomorphoicial features, photographed from orbit, which were created by large amounts of liquid water as well recent evidence found by the MER rovers of evaporative deposits from standing water (Squyres et al. 2004). If life formed on Mars it may still exist in an environment where it has access to H2O and energy to sustain itself. If life never started, discovering the differences between Mars and Earth is vital for the determination of how prevalent life is in the universe. Visiting a site with ice can help us understand both possibilities.

Life also has the ability to exist in terrestrial environments where the temperature is below 0°C for a vast majority of the time. These organisms can exists in environments where only occasionally does the temperature rise above freezing, (Nienow, et al. 1988; Friedmann, et al. 1993), in regions where it reduces the freezing point of water by existing in either brine solutions or excreting chemicals to lower the freezing points of the water (Junge, et al. 2004) and by potentially becoming dormant only to repaired itself in intervening thaw periods (Thomas, et al. 2000; Bakermans, et al. 2003; Gilichinsky, et al. 2003). These vastly different terrestrial settings all have analogies on present day Mars which makes them interesting targets for Astrobiology in situ science.

Finally, there is the exciting possibility that a preceding Mars lander mission making a compelling discovery and having AFL return to that same location. By visiting the same site that a previous mission has explored, at least some of the preliminary reconnaissance of that region, can be accomplished. For example, the Phoenix 2007 scout lander will be performing investigations of the chemical compositions of the soil including bulk constituents and mineralogy (TEGA with MS) and astrobiologically important characteristics (MECA) such as Redox potential, pH, and trace metal content, among others, in a region of the Northern permafrost regions. If compelling science discovery is made at this landing site, a follow up mission will be able to expand upon the discoveries. This can be thought of as being analogous to the early practice of planetary flybys followed by orbiters, and then eventually a lander or two. There are also possible discovery driven missions in response to MSL in 2009, and a scout mission in 2011 which an 2018 AFL can capitalize on.

*Proposed Landing Site Geologic Setting*

Recent orbital data from Mars Odyssey has located potential water ice that can be accessible to a rover with access to the near subsurface (up to 2 meters) (Boynton, et al. 2002; Mitrofanov, et al. 2002) in vastly different geological settings of high latitudes. We have identified several of those sites as potential sites for exploration by the AFL to include but not be limited to:

Northern Polar Caps

Northern Polar Layered Deposits

Northern Permafrost regions

Site with recent evidence of ground melt

The northern and southern polar caps are different both in composition and geologic setting (Thomas, et al. 2000). This includes the age of the deposits in which the southern cap can be 2 orders of magnitude older then the northern one (Herkenhoff 2000; Thomas, et al. 2000) The northern polar caps offer a better target for AFL exploration then the southern cap due to H2O (Vs CO2) and geological formations including layered deposits which can have a record of part geologic and climatologic activity (Thomas, et al. 2000). These polar layered deposits can be created by Aeolian processes which can strip material from the base of the scarp. A mission to the polar caps would obtain and analyze ice cores for remnants of biological activity. Orbital data indicates that recent activity Martian gullies has taken place, and that this can be a result accompanied by submission and ablation (Howard 2000; Edgett, et al. 2003) of ground melt (Malin and Edgett 2000). This indicates that there is some cycling of material in the near surface ground which has potentially huge astrobiology relevance.

*Proposed science objectives and requirements*

The science objectives for the mission to an ice rich environment include the search for both extinct and extant traces of life. Due to the different types of sites that can be visited, these science investigations require different payload accommodations which would need to be made when the instruments are selected to fully maximize the science return for the AFL. The universal science objectives for any exploration of ice rich environments include:

Detect the geo-chemical remains of extinct life.

Determine the potential for extant life in an environment where H2O is present.

Detect of dormant organisms in an environment which can periodically contain liquid water.

Determine if extant life is in contact with the Martian atmosphere elsewhere on the Martian surface.

Understanding the long term climate and geological evolution to determine if Mars could have been habitable in the past.

One underling theme of astrobiology is the differences in planetary evolution and how that relates to habitability of planets. If Venus, Earth and Mars all formed in the “Habitable zone” of the sun why is Earth the only one to be teaming with life? An AFL mission to high northern latitudes can help elucidate this concept, by helping to understand both geologic and climate changes on Mars over it’s history.

Ice exists on Mars in vastly different geologic settings and therefore there are several major differences in the science requirements both with respect to ice bearing regions as well as other Martian regions (i.e. sedimentary and hydrothermal environments). Here we will discuss science requirements that span the different geologic settings, above and beyond what the core AFL science requirements. As mentioned previously life can exist in these locations by either becoming dormant until conditions exist where the temperature is above freezing point of water, or by creating pockets of liquid water by lowering the freezing point of water. Determining if an acquired sample contains liquid water requires the collection of sample without raising the temperature above the local melting point of water (keeping in mind that the concentration of brines in the sample can dramatically lower the melting point below 0°C). The determination of liquid water in a sample is not necessarily a measurement of life, because liquid water can exist in meta stable state in some Martian environments without being associated with life (Hecht 2002). However, samples containing liquid water would be a priority target to be analyzed by the analytical laboratory instruments. In the Northern polar layered deposits the measurement of strata of layered terrain to see potential differences in layering and effects due to Aeolian processes. This would require imaging at several spatial scales.

A determination of the yearly cycling of CO2 and H2O will not only lead to a better understanding both current and past atmospheric dynamics (Clifford, Crisp et al. 2000) it can potentially identify if a biosphere is in contact with the surface elsewhere on the surface. Recent discovery of methane in the atmosphere from both ground based observations and from the Planetary Fourier Spectrometer (PFS) onboard the ESA’s Mars Express, although most likely not from biologic process, demonstrates that a better understanding of atmospheric process are needed (Kerr 2004a,b). If biology is in contact with the atmosphere, this maybe detectable from orbit (i.e the recent measurements of methane) but whether life produced these gases can only be ascertained by painstaking surface measurements.

*Science Trades*

Because potential ice missions have different geologic regions there are several science trades that can be made so as to maximize the science return of the mission. The first science trade that can be made is the level of mobility requirement. For missions to the permafrost regions and on the polar caps potentially require very little mobility (only 10’s to 100’s of meters) depending on high resolution orbital mapping by Mars express and Mars Reconnaissance Orbiter. Current orbital data on those scales indicate not much difference in geologic setting over km distances. Therefore large surface mobility could be not as scientifically important as it is for other regions. There would be, however, a need for greater subsurface access including drilling well below 1 meter to increased ice concentrations. Therefore a potential trade of horizontal distances vs. depth, would need to be made

On the other end of mobility spectra is the recent ground water site which can require large “goto” capability of at least the level of the landing precessions if of a landing ellipse can be placed near that site. This may require mobility in the 10’s of km, similar to what would be required in the sedimentary region.

The nature of high latitude northern sites indicate that for extended missions nuclear power is most likely the only feasible alternative for mission power generation as Mars progresses through its year. However, for more equatorial missions solar power can be a feasible alternative especially given the projected longer lifetimes that the on going MER missions are demonstrating. This trade will depend on the expected duration of the mission and ground operations and ability to land at high latitudes as set forth in the science requirements.

*Site Specific Measurements and sample handing and preparation requirements*

Measurement requirements are dependent on location. The measurements that are required for ice missions resemble the instrument complement for the other missions scenarios postulated (hydrothermal, and sedimentary deposits) and the measurements requirements can be found in section 8.2. Here we discuss measurement requirements specific to ice regions.

Remote instruments

Mast based instruments must be able to do visual site reconnaissance at a level at least as well as PanCam on MER. Identifying potential targets from the distance of a daily traverse should be a requirement so that interesting samples can be targeted. Remote mineralogy of potential samples from a distance of 10 meters so that samples can be identified. The remote mineralogy instruments may have to account for ground frost when choosing a spectral range for a mast-based instrument. These requirements are virtually the same regardless of the environment AFL explores. In addition, if AFL is going to perform subsurface sample acquisitions in a high H2O environment, some subsurface reconnaissance must be done, especially if H2O varies dramatically in depth over 1 meter scales. A body-mounted detector capable of reconnaissance styled elemental abundances would also be desirable measurement if feasible and kept within the cost cap of the mission. This measurement could detect high potential astrobiological sites, as well as ground truthing orbital data. Finally, for polar cap missions, the cycling of H2O and CO2 and the interaction of those molecules from the surface to the atmosphere needs to be determined. The Martian atmospheric dynamics is not currently in equilibrium (Clifford, Crisp et al. 2000) (i.e. Aeolian processes, ablation and sublimation) Determining the atmospheric polar properties can help put a constraints on atmosphere compositions and help determine if a biosphere presently exists, as well as long term possibilities that a more favorable climate once existed. This is especially true given the recent detection of methane in the atmosphere at trace levels by both ground and orbital observations.

Contact Instrumentation:

The instrument delta between AFL ice and other AFL missions is that direct detection of liquid water present in a sample needs to be made. The Phoenix lander is attempting to make this measurement as well, and lesions learn in that mission will affect the design of this measurement. For mission to the polar cap, any contact instrument will also have to account for the ice core that is being obtained.

Sample Acquisition and Processing:

All of the hardware infrastructure referred to in this environment must be able to handle relatively large amounts of water. This includes the drills, corers, and precession sample processing and distributions stations. Water can interfere with the drilling process either by making material harder to drill into or by melting and creating a mud like material that can interfere with machinery. Drilling into this material without melting the water or using drilling fluids will need to be developed and demonstrated in both a relevant terrestrial environment and under simulated Martian conditions. Finally, for missions to the polar cap, a different sample acquisition system will need to be developed. This instrument will have to be able to melt and sublimate any CO2 or H2O while collecting impurities in the ice material.

## 7.4 Water

*Science Theme*

Assess present (*and past?*) Martian astrobiology by studying liquid water in the shallow subsurface.

*Proposed science strategies*

Drill, core, or otherwise obtain liquid water sample.

Determine concentrations of redox sensitive aqueous compounds, including O2, H2, HCO3-, NO3-, Fe2+, SO42-, H2S, NH4+.

Determine presence (if possible, concentrations) of DOC and aqueous organic monomers, including carboxylic acids, amino acids, sugars, hydrocarbons (or should be target functional groups instead?).

Determine presence (if possible, sequence or composition) of organic polymers, including proteins, lipids, nucleic acids.

Visualize microbial cells (if present) with light microscopy on stained and/or unstained cells.

Carry out microculturing on 1-3 samples using tens to hundreds of pre-designed growth media at several different temperatures.

# 8.0Core Mission Components

As discussed in sections 6 and 7, there currently are multiple possible variations on the AFL mission theme. Opinions differ as to the specifics of these variations in terms of context and priority, which may lead to revisiting the chosen site if selected. However, the AFL-SSG feels that it is possible to define an invariant core, which is common to most versions, along with a discovery-responsive and competition-responsive cap.

The proposed mission requirements to ensure the greatest scientific return for the AFL mission include:

“Go-to” mobility (ability to access a specific target).

When sites are identified from orbit that possess high astrobiological interest (see Section 6.0) the rover has to be able to access them, even if the nearest safe landing site is 10’s of km away. The rover also has to explore several different regions within a high interest site. An example of this is Holden Crater (see Section 7.1) in which what resembles an ancient river delta is clearly visible in orbital images. Exploring the specific features found there would require not only a landing ellipse directly outside the feature but the ability explore several different locations several km’s apart within the potential delta system.

+60 to –60 (seasonal polar cap) for sedimentary/hydrothermal. +45 to +85 for ice mission (See section 7.3).

Precision landing (1 km) and the ability to land in terrain that is rougher than we have targeted in the past (hazard tolerance, hazard avoidance).

In order to access more of the planet for exploration by AFL, as well as limiting costly “Go-To” traverse, having a suitable landing ellipse smaller then 10km is required. This enables access to regions like Melas Chasma, where suitable landing ellipses greater then ~5 km prove difficult to identify.

Subsurface access of 1-3 m, and multiple holes. Probably also have a need to expose / drill into material in outcrops .

Organic material on the Martian surface may be extremely scarce, primarily due to an oxidizing layer thought to exist because of UV fluxes at the surface. How far down this oxidant penetrates is not presently known or constrained, therefore shallow (<3 meters) subsurface material may be void of organic material. Accessing and analyzing this material may indicate if extant life is possible in a protected subsurface environment. However, if the surface regolith is largely made-up of unconsolidated material, organic free material may be thoroughly mixed by several billion years of global dust storms. In this scenario all organic material may have destroyed down to >3 meters, making analysis of this material a lower propriety (hence not a requirement). Subsurface access of potential bedrock and out-crops is highly desirable in any scenario where it is present.

Organic contamination: be able to collect and deliver Earth-clean samples to on-board laboratory

It is a requirement to have samples that are not contaminated by terrestrial organics to a level greater then the minimum level of detection of the astrobiology specific instruments. See report of the Organic contamination Science Steering group (Mahaffy et al., 2004).

Sample preparation including spatially controlled precision sub-sampling and liquid extractions for selected high-potential samples.

The AFL-SSG has determined that identifying the best possible sample for analysis is a primary requirement for a future AFL mission. See section 8.3 for a discussion of these requirements in more detail.

## 8.1 Payload strategy

It was determined that payload characteristics could be defined as core to any potential AFL mission concept as described in Section 7. These include:

Acquiring the right sample.

In order to maximize the probability of detecting biosignatures in a ***location with the high general habitability potential*** has to be identified. Several of the reconnaissance missions (see section 6), will be used to identify this location. In identifying the location, the ***understanding of the preservation potential*** of this location must be better understood. The Earth is inundated with biological material, where most (if not all) sites on the surface (and possibly the subsurface) should have a continual influx of biologic material. On Mars this is not the case. A location on Mars which once supported life, may not have any record of that life, due to chemical interactions, or by meteoritic impacts. Understanding how a site on Mars preserves a record of past life is essential toward acquiring the right sample. In this regard there is the need to be able to access samples with the highest probably of being astrobiologically important. This includes both ***identification of specific samples*** as well as the ***ability to acquire that sample***.

Understanding the geological, mineralogical, and chemical context of that sample

The labeled release experiment aboard Viking, released nutrients into a Martian regolith sample to determine if metabolism took place. The results of this experiment on their own can indicate that metabolism was taking place. However when taken with the GC/MS data it was generally understood that a chemical reaction was taking place within that sample due to the oxidants present in the surface material (Mancinelli 1998). A complete understanding of the relationships between geological, mineralogical and chemical characteristics of the sample is needed to determine Astrobiologically implications of analytical measurements.

Identifying the best place on the sample

Instead of introducing a core into a bulk rock crusher, in which most of the material will not be analyzed, it was determined that sampling of small features of a sample would be required. Section 8.3 describes this precision sub-sampling in more detail.

Performing at least 3 different Astrobiologically related measurements.

The detection of biosignatures on Mars would, to put it mildly, fundamentally change our perception of life else where in the universe. In order to avoid ***potential false positives***, three separate measurements would need to be preformed on a sample to confirm any one measurement. Furthermore, repeat measurements will also help to avoid ***false negatives***. Since Martian life may be very different from terrestrial life, different measurement techniques may return a positive, while others measurements may miss more subtle signs that life is present in the samples. If one or two instruments detect interesting signatures, future missions can be designed to further explore the same site for these signatures.

## 8.2 Core Measurements and Instrumentation

As stated in Section 5.2, the proposed overall scientific objective of AFL is, for at least one Martian environment of high habitability potential, to further investigate the potential for habitability, the potential presence of the chemical precursors of life, the potential for preservation of biosignatures, and possible signs of life. This is to be accomplished through measurements supporting the following (un-prioritized) detailed ***Mission Objectives***:

Within the region of Martian surface operations, identify and classify environments (past or present) with different habitability potential, and characterize their geologic context.

Quantitatively assess habitability potential:

Measure isotopic, chemical, mineralogical, and structural characteristics of samples, including the distribution and molecular complexity of carbon compounds.

Assess biologically available sources of energy, including chemical and thermal equilibria/disequilibria.

Determine the role of water (past or present) in the geological processes at the landing site.

Investigate the factors that will affect the preservation of potential signs of life (past or present) on Mars.

Investigate the possibility of prebiotic chemistry on Mars (including non-carbon chemistry).

Document any anomalous features that can be hypothesized as possible uniquely Martian biosignatures. This will constitute a set of working hypotheses, which will need refinement and further testing on Mars.

The following ***Measurement Requirements*** for the AFL Core, derived from these objectives, were specified in order to support the instrument development and selection process for AFL:

**Comprehensive Imaging** - Fully image the overall landscape and each investigation scene to assess the variety of local environments (past or present) that can be discerned from expressed surface features such as outcrops. Include both color optical stereo imaging and higher-resolution long-focal-length telescopic imaging of key areas of high interest for further investigation of habitability potential. Target range is 1 m to infinity/horizon. High magnification or high resolution imaging should be able to discern layering at the 10 cm scale from a distance of 1 km. These measurements support the decision to focus more closely on specific sites, targets, and samples. *Supports Objectives: 1*

**Definitive Mineralogy and Chemistry** - Determine mineralogical and chemical (elemental) composition at all scales of investigation: *site/scene surface reconnaissance scale* (range: infinity/horizon to meter; resolution: km to cm), *hand-sample scale* (range: meter to cm; resolution: cm to mm), and *acquired subsample scale* (bulk measurement of a few-mm subsample with high accuracy), with respectively increasing degrees of definitiveness and sensitivity. *Supports Objectives: 1, 2, 3.*

**Redox Potential** - Assess the redox potential and oxidation chemistry of the near-surface environment. This measurement details how much energy is available for an organism to use in growth and reproduction and would be required to be measured to a precession of 10 mV. *Supports Objectives: 2, 3*

**Fine-Scale Surface Analyses** - Investigate the surfaces of exposed or acquired samples at fine scales for morphological, chemical, and molecular signatures suggesting preservation of pre-biotic or biotic organic compounds. This may include directly-detected compositional markers, evidence of minerals formed in or altered by liquid water, or particular sample textures (i.e. concretions). Color optical imaging with resolution below 30 m (although for bacterial analysis in anything other than a macroscopic biofilm structure this would be inadequate) within a larger field of view should provide the context for co-focused spectroscopic tools such as UV-excitation fluorescence, laser Raman, or other fine-scale techniques to perform chemical signature detection. Spectroscopic tools must be able to analyze mm-scale regions on surface or drill core samples (e.g., through a focused excitation source or through high imaging/detector resolution). These surface measurements provide first-order astrobiological analyses and support the intelligent selection of subsamples to be processed in the analytical laboratory. *Supports Objectives: 2, 4, 5*

**Subsample Biosignature Analyses** - On selected subsamples, perform an array of high-sensitivity, mutually-confirming laboratory investigations related to astrobiology goals. *Supports Objectives: 4, 5*

The identity, abundance, and isomeric distribution of carbon compounds should be thoroughly analyzed to low detection levels (ppb or below by weight within bulk ~102 mg subsamples) and to high molecular weights (hundreds to thousands of Da) at high peak resolutions (~2000 FWHM). Measurements utilizing broadband techniques such as pyrolysis GC-MS should be configured to enable the detection of less volatile species that are particularly relevant to determining preservation of biosignatures.

The isotopic ratios of H, C, N, O, and S should be characterized with sufficient precision to enable biogenic, environmental, or meteoritic fractionation trends to be identified based on requirements determined from MSL and other measurements (sub-per-mil to % levels). Compound-specific 13C/12C ratios coupled to the analyses in (1) are highly desired. Additional isotope ratios that further characterize atmospheric loss and other environmental fractionation processes relevant to astrobiology are also desired. Analyses may also be conducted on atmospheric samples to provide a more complete understanding.

Highly sensitive tests for the presence and characteristics of specific biosignatures should be conducted on bulk subsamples or isolated downstream extraction products (e.g., phases or concentrates). Biosignatures of particular interest include molecular compounds (or abundance patterns thereof) of distinctly biological origin as known on Earth, indicators of extant metabolic processes such as disequilibrium chemistry (molecular, biogeochemical, agent response, etc.), as well as chemical and morphological traces of such compounds and processes as preserved in the mineralogical microenvironment sampled. While the specific tests to be conducted will depend on the chosen AFL landing site and previous mission results, *examples* include detection of amino and nucleic acids, lipids, and proteins (with ppt detection limits if possible); chirality of amino acids and sugars (with %-level enantiomeric excess detection sensitivity); detection of concentrations of distinct molecules or isomers of the potential abiotic inventory that may represent the use and or concentration of a fraction of the molecules available through non biological interactions and finally direct detection of microbes, cells, or their fossils.

It must be mentioned that the advent of micromachining and the concept of micrototal analysis systems (uTAS) mean that through miniaturization the payload described may be integrated into a very small space whilst retaining accuracy and possible increasing analysis times.

The above information is summarized graphically in Figure 5.

Within the proposed AFL strategy, techniques to address the above requirements are structured in “tiers” following the expected level of physical sample contact: remote/standoff; contact; and laboratory. In the remote/standoff tier, the target “sample” is a wider area and not acquired by definition. In the laboratory tier, a small sample of interest has been acquired and possibly subjected to a preliminary analysis that supported the decision to subsample and deliver it to the laboratory for further analysis. However, in the contact tier, the sample may be analyzed before or after it is acquired (or both). This is designed to allow multiple levels of “triage” for determining the appropriate course of action with a given sample. An example of a post-acquisition contact measurement is a point-by-point imaging and chemical analysis along the surface of a several-cm long core. Based on this analysis, it may be decided to grind and/or otherwise process some or all of this core for analysis in the laboratory. For a description of the suggested mapping of measurements onto instruments placed in each of these tiers, refer to Section 8.1.4.

For completeness, the connection between the *AFL measurement strategy* and the *mission objectives* may also be characterized by indicating those objectives addressed while conducting the following activities:

Acquire the right samples (primarily 1; also 3)

Understand the context (primarily 1, 2; also 3, 4)

Identify the best place on the sample (primarily 5; also 2-4)

Perform mutually confirming astrobiology measurements (primarily 5; also 2-4)

This is summarized in Figure 5.

As mentioned above the instrumentation recommended for the Astrobiology Field Laboratory is divided into three categories or tiers: 1) remote sensing instrumentation located on a deployed mast, 2) a contact instrument suite located on a robotic arm, and 3) the laboratory suite located inside the rover and/or platform and fed with a sample acquisition and distribution system. The remote sensing suite is used to provide site characterization and rover navigation targeting. The contact suite performs “triage” analyses, mimicking a field biologist/geologist. The laboratory suite performs the detailed biology, chemistry, and mineralogy experiments required to quantitatively assess samples for past or present biological potential. Sample analysis instruments are supported by sample acquisition and processing infrastructure such as an articulated corer, (cm to 1 m) a rock abrasion/polishing tool, a precision subsampling tool, and possibly a 2.5 m drill.

The remote sensing suite includes at a minimum a panoramic multi-filter camera system that is used for site characterization, rover navigation, and first-order target selection. Additional instrumentation that may also be desirable may include reconnaissance-scale chemical and mineralogical experiments, such as hyperspectral imaging, stand-off (multi-meter) laser induced breakdown spectroscopy with fluorescence and Raman detection, and thermal infrared mapping for identifying geothermal sources of heat within the near-horizon of the Martian environment.

The contact suite must provide the second order triage for sample selection. The analogy is the selection and preliminary analysis of a surface material or hand sample by a field biologist or geologist. A sample arm equipped with an articulated coring drill and a rotating abrasive tool for clearing and polishing rock surfaces is envisioned for contact arm infrastructure. The contact suite includes at a minimum a course resolution (~20 m) microscope to examine the texture and other features of rocks and fines. Sample triage on AFL will however require additional contact instrumentation that further identifies materials of high interest for subsequent precision subsampling and laboratory measurements. The complement of contact instruments will be determined by the objectives at the type of site chosen for AFL: sedimentary, hydrothermal, ice, or liquid water. Possible arm-mounted spectrometers include: near infrared reflectance, Raman, Mössbauer, APX, deep-ultraviolet fluorescence, and/or various types of laser ablation sampling spectrophotometers and direct-inlet mass spectrometers. These tools are used to probe for and characterize samples of potential biological interest that may be delivered to the laboratory analysis portion of the payload.

Figure 5. AFL Measurement Requirements

The presence and design of the laboratory portion of the AFL payload is predicated upon a high degree of flexibility with respect to sub-sampling of the acquired rock core or soil sample. Therefore, there should be a strong emphasis on an *integrated* analytical laboratory approach to fully characterize common or related sub-samples: using microscopy as the “eyes”; definitive mineralogical and chemical identification from techniques such as x-ray diffraction, x-ray fluorescence, and laser ablation; and organic chemical and stable isotopic analyses that include at a minimum instrumentation capable of similar measurements to a pyrolysis-gas chromatography-mass spectrometer. Enhanced capabilities for identification of trace pre-biotic or biochemical compounds may be provided by staining followed by fluorescence detection techniques, solvent extraction/derivatization followed by a suitable ion mobility or mass spectrometry system, and other more specific techniques that target the detection of biomarkers such as amino acids, proteins, and/or DNA such as capillary electrophoresis, use of specific probes i.e. polymer or antibody systems and chemical assays. The particular implementation of more-specific biological/chemical analyses will depend both on the results of prior missions, such that their design and interpretation is advised by a solid first-order organic chemical characterization of Martian surface samples, as well as through analog field experiments targeted at terrestrial extremophiles. Additional capabilities such as detection of enantiomeric excess (chirality), rock dating, and fine-scale chemical imaging would be strongly complementary to the laboratory suite and highly desired for AFL. Such experiments might be provided by enhancements of previously mentioned instruments or by additional instruments.

The final selection of instrumentation on AFL will be based on a careful cross-matching of measurement requirements to instrument capabilities. It is recommended that the payload resources (mass, power, cost), and thereby the mission scope, for AFL be fundamentally and primarily driven by the sample preparation and instrument needs that are required to fulfill the measurement goals, rather than vise-versa. New instrumentation techniques as well as methods to optimally integrate techniques are desired and encouraged, but these must be maintained within a reasonable cost-risk profile. This necessitates a well-funded, well-advanced instrument development and integration program with strategic oversight form cognizant AFL program members.

The core measurements of AFL has been decided upon to answer the specific questions posed in the science rationale. The high number of instruments on this mission definition is a direct response to the findings of both sedimentary and hydrothermal deposits by the Mars Exploration Rovers and the subsequent realization that samples of Astrobiological interest may be much more accessible than originally thought. This allows deep drilling to be traded off against increased number of instruments.

## 8.3 Sampling and Precision Sub sampling

According to the various mission scenarios, different types of samples will need to be obtained, i.e. from rock, ice, regolith and sedimentary samples. The design of the SHAP facility and the exact number of samples to be handled and processed will depend on which mission scenario is decided on. This number will help define the sample collection system that will have to be developed. The basic concepts and design of the facility, however, will in principle remain the same for each type of sample and each type of measurement to be performed. Four different facets of the overall process are identified:

Obtaining a sample

Precision sampling of that core

Liquid and Heat extraction of organics

Contamination concerns

Issues were discussed with respect to each of these types of environments and are discussed in more detail in the following.

### 8.3.1 Obtaining a sample

Several sample acquisition tools are suggested for integration into SHAP facility. In order to be more precise the following defining terms have been made:

Corer: A device that can obtain a core which is ~ 5 cm in length with ~ 1 cm in diameter from an outer region of a rock on the surface of Mars.

Drill: A device, which can obtain sample from inside of a rock permafrost or sediment (cm – 1m) or from a distance underneath regolith (1-3 m).

Precision sub-sampling mechanism*:* A device, which can obtain a representative sample form a larger core. This would replace the rock crusher, which crushes the entire core, and produces fines, which might not be representative of the entire core.

Scoop: A device which can collect either fragments from a RAT, or unconsolidated regolith or permafrost material from the surface.

An aspect to consider is that idly sitting and drilling for significant time spans on Mars, drilling does not seem to be an efficient use of a limit mission lifetime. However, a system that could drill while not shaking the rover or consuming major power would need to be developed. For example, if an instrument such as XRD or deep UV fluorescence can analyze rock fines and fragments during drilling, we can identify samples that might be interesting, either because they are a fundamentally different type of mineralogy, or because of interesting organic components detected in them. This will not only help in sample identification, but also in the operations profile so that overall more samples can be analyzed.

Ice:

A drill would be required capable of gathering an ice rich sample while avoiding sublimating the ice, melting it, or volatilizing any constituent molecules. The sample must be as chemically similar to the material it is collected from in order to do a proper analysis. This most likely requires nighttime drilling operations in ice rich environments with a drill cooled to a temperature lower than that of the ice to mitigate cutting, melting and drill trapping problems .

Permafrost regions:

Samples that contain a mixture of ice and other material will have to be specially treated. Terrestrial permafrost can create problems when attempting to obtain a core from it in terms of both hardness and ability to keep the sample pristine. Samples will need to be obtained from a device that can be used multiple times while not heating the sample above freezing.

Surface Rock:

The depth MSL is coring to, 5 cm, seems to be a good number for the depth inside a surface rock that should be sampled. Terrestrial organisms practicing photosynthesis inside rocks inhabit approximately the outer 2 cm of sample a rock. Sedimentary material can be identified by obtaining several cm in length cores. It would be desirable to analyze the fines from the core if coring is progressing by a pneumatic device for introducing fines into the analytical suite. In this scenario, the XRD can analyze material as it is chilled in order to identify regions of interest, since the XRD can do infinite number of samples. When an interesting sample is identified it can be further processed via the other analytical instrumentation.

Regolith:

Regolith material would be obtained by a scoop attached to the rover arm and be delivered to sample processing facility. It is worth considering that if the regolith material is unconsolidated, we may assume that it was never in contact with the atmosphere. If it was at some point in the recent past, it could mean that it would be chemically the same as the surface material, and hence not worthy of a drilling effort. Unless the rover is going to head to a site where larger amounts of near surface (to the depth of the drill) water drilling into regolith would be desirable, otherwise it most likely is not.

### 8.3.2 Sedimentary deposits:

If a landing site were selected where drilling into for sedimentary deposits is required, it would be preferable to look at the entire core length. If a complete core could not be obtained because of engineering constraints, having a borehole instruments that can be lowered into the borehole, and examining the entire length of it would be very desirable. Instruments that could be utilized to answer astrobiologically relevant questions (rather then pure geologic questions) would need to be identified. In this scenario it might be worthwhile considering a drill/corer combination, where a drill takes 5 cm cores at a time, delivers to the sample processing facility and be lowered back into the borehole and continue drilling to the desired depth. The ocean drilling industry has begun to develop instrumentation for borehole instrumentation where a geophysics package of instrumentation examines the bore hole. Further development along these lines would be invaluable to any drilling that would take place on Mars.

### 8.3.3 Precision sampling of a core

Once a core has been obtained, it would be injected it into a sample triage station. On this station we would like to look down the axis of the core with the contact suite of instruments, especially if the core was delivered intact. This way layering structures can be identified that might be indicative for a sedimentary material or any other area of interest in any type of sample. After the initial analysis, it is not necessarily desirable to process the entire core in an instrument like the rock crusher. If any regions/layers of interest have been identified in the core, these layers would be diluted/mixed with the other less-interesting layers, and thus make the analysis of the core material give results that are not necessarily indicative of a specific region. Furthermore, the rock crushing mechanisms could produce material that is not necessarily representative of the entire core, due to the crushing mechanism. Simply put, a precision sample handling system needs to be developed that is much more advanced then the rock crushers on MSL. This precision sample handling system would most likely replace the rock crushers on MSL as they are currently designed, because there most likely is not enough mass to have both type of instruments aboard.

The precision sample handling system would need to produce fines from a core that are on the order of 100 m, although finer material is always preferable. These fines would need to be produced from regions of the core that are less then 1 cm in length. Although an exact amount of samples that would be useful for each analytical instrument cannot be accurately defined without knowing what instruments have been chosen, a good working number is 100 mg.

A further developed system for AFL would allow for fines to be obtained from various parts of the core, where astrobiologically interesting signatures were observed. These fines then could be identified by the contact suite or by analysis of the fines that were collected during the coring. A possible method this material can be obtained is via a pneumatic drill, analogous to a dentist drill. This type of sample processing of a core needs to be further addressed, as it may require holding the core so that further processing can occur. If the core would be held in a fixed position, a grinder could grind parts of the core while those fines are collected. Any other material that is produced from this step could be looked at with a microscopic imager, if one is chosen as apart of the science payload, but no further analytical analysis of it would need to be made.

### 8.3.4 Ice Samples

Terrestrial organisms can maintain a layer of liquid water around them in an environment where the temperature is below the freezing value for that corresponding pressure. Therefore, one of the main science investigations with ice is to determine if there is any liquid water in a sample. In addition, volatile material present in the sample might undergo reaction and hence change its form, which might lead to incomplete analysis of the obtained sample. Because of these reasons, it seems desirable to obtain a sample without allowing the temperature to pass above conditions in which phase transition that any water (and potential brine solution) present would undergo for the ambient pressure and temperatures present. From permafrost, it is expected that a core approximately 5 cm long and 1 cm diameter would be sufficient for further studies, however, a larger core would likely allow more comprehensive analysis to be performed. With permafrost samples, the possibility should be considered to include a sample concentration device in the sample possessing suite. This concentration process would only take place after any measurement on liquid H2O on the pristine sample has been performed.

The overall strategy for a “polar” mission largely depends on the choice of landing site, i.e. whether it is permafrost or on the polar cap. If a polar region is decided upon, it is suggested that the obtained ice core is analyzed as a whole, especially if only 5 x 1 cm core are obtained. This based on the assumption that the recent ice deposits will not show much evidence of layering or zoning. However, should new data become available through *Phoenix* investigations, this approach may have to be restructured and a more capable precision sub-sampling system be integrated into this mission concept.

### 8.3.5 Liquid and Heat extraction of organics

Organic analysis has been one of the important measurements the Astrobiology Science Steering Group has identified that AFL should be able to perform. In order to identify organic material, they need to be released from the matrix material they are a part of, especially since surface organics on Mars might be very rare. Several ways to extract organics form rock samples were discussed, including using heat and solvent extractions. Each extraction technique has its advantages and disadvantages. However, it is currently not clear whether there would be enough mass to be able to perform both techniques on the same mission. Also, in an extended surface mission (~900 days), which is powered by a nuclear power source, either extraction technique would need to demonstrate that it can perform analysis on a large number of samples (exact number TBD) that such a mission would be able to collect. Different materials require different extraction strategies. For example, some liquid extractions will miss kerogen type material because of its high molecular weight and low solubility, while heat will destroy most of the more fragile biomarkers such as amino acids or hopanes, resulting in the loss of important molecular information. MSL will, most likely, have some form of heat extraction, although what this will look like will be dependent on the instrumentation that is chose for that mission.

Generally, more refractory, fossil, nonpolar compounds require organic solvent extraction. The choice of solvent depends largely on the polarity of the target compounds. Solvents commonly used are for example hexane, dichloromethane, toluoene, methanol, ethylacetate, propanol, or mixtures thereof. It is necessary to identify mixtures that have highest extraction efficiencies and at the same time covering the broadest possible polar-nonpolar stretch. Organic solvents would be needed for GC/MS sample preparation (and to some degree HPLC but this varies depending on column design and target compounds; H2O and methanol are commonly used as eluents, but could also be acetonitrile, dioxane, ethanol, isopropanol, etc.), in order to obtain molecular information from refractory compounds.

Aqueous solvents (such as super critical water) would be used for amino acid, DNA/protein extraction e.g. for microarray analyses, capillary electrophoresis, culturing experiments, flow cytometry or perhaps even PCR. Numerous proprietary and commercially available extraction kits exist using a variety of different solvent compositions, all being aqueous solutions. It would be required to identify optimized procedures and optimized solvents and/or solvent combinations in future laboratory experiments, e.g. using Mars analogue materials spiked with microbial cells and/or organic target compounds.

Other extraction technologies are currently available and need to be examined more in the context of Mars missions these techniques are not limited to but include; microwave, super critical gas (i.e CO2) ultrasonic and sublimation.

It is important to point out here that no judgment is made on which extraction technique is preferable. This is simply an attempt to identify which technique (if either) can be made a facility instrument aboard AFL, and hence have several instruments analyze the extract from the surface samples. Currently, different instrument developers focus on developing extraction techniques for their individual instruments. These techniques do not necessarily have much overlap from instruments to instrument. If consensus could be formed that a particular set of extraction mechanism is desirable (i.e. utilizing H2O at 100oC) it could necessitate a facility instrument to perform that extraction and pass the extract to different instrumentation. This would accomplish reduced mass and power requirements, as well as allow for several instruments to analyze the exact same sample.

Extraction conditions are currently being investigated utilizing different techniques solvents and temperatures. However, the extraction mechanisms need to demonstrate that they are small and repeatable. Null-results from AFL can have great meaning, but they need to be absolute and definable. The Viking GC/MS results showed no organics, but those results don’t necessarily mean there were no organics in the soil that was analyzed. The GC/MS has limits of detection that can be easily determined for single species. However recent work has demonstrated that the Viking ovens were set to a temperature that would have not released certain organics that could have been present in the soil (Glavin et al., 2001). In addition other types of organics could have been destroyed by the heat, and thus not detected. In order to determine what a possible null-result means, an end-to-end analysis would need to be carried out.

Pyrolysis heating:

The Viking landers each had ovens as part of the GC/MS system, although the ovens themselves were not able to reach the temperature necessary to detect some of the organics that could exist there. The Rosetta mission has a small oven, Phoenix has the TEGA, which has eight one-time-only use ovens attached to an EGA and a MS. MSL is intended to investigate multiple samples (24 floor, 78 goal) and has baselined a GC/MS as an instrument. If the development of a multi-use oven is not made, then it would require a ground decision as to whether or not to analyze a sample, if only a limited number of samples that can be analyzed. This would necessitate a science decision, which could delay other analysis on the surface, and limit the number of overall sites that can be visited.

Another prospect of the pyrolysis method is whether it could be designed in such a way that it is capable of concentrating signatures on a sorption trap. If so, any use of those traps will also have to be shown for the same number of samples that the rover will analyze. Also, as mentioned previously, all limits of detection should be for the entire end-to-end system, for a variety of different mineralogical samples.

Liquid extraction:

Liquid extraction is a more gentle way to extract organic molecules from rock and soil samples. One analogy to Martian surface investigations is the analysis of organics from meteoritic material. In those investigations, the organic molecules were released by either hot water extraction or by HCl extraction. Current development of novel techniques for the extraction of exophase biomarkers needs to continue, as does the determining the most efficient solvent extraction parameters. Should a sample be analyzed for its indigenous water content, it might require using another, yet to be determined, technique. In addition, different solvents can extract different types of molecules, water, as it approaches the critical point, becomes a good organic solvent. Clearly, more science groundwork has to be carried out to obtain comprehensive information to allow the best possible choice of solvents to be used. Other solvents that are used in the laboratory include HCl and other acids. These acids perform a more complete digestion of the matrix material, and increase efficiency of extraction, but are harder to handle because of their corrosive nature. With any solvent that is chosen for this step it should be noted that it would be able to concentrate the material to ensure a better signal to noise level.

It is currently unclear whether the liquid sample handling system needs to be completely reusable or whether one-time only use should be the preferred option. This information will become available as experimentation and technology development continues. The only stipulation that needs to be made is that the extraction technique minimizes mass and power resources.

Finally, there are other measurements that can be made during the extraction phase, which would not be possible during pyrolysis heating. These include pH, Redox potential of the material, etc. All of these measurements can help elucidate habitability issues and are an extra measurement that can be made, and if the liquid extraction step is a facility instrument should be made.

### 8.3.6 Contamination concerns

There are two issues that need to be addressed from for contamination concerns:

Contamination issues from organisms brought from Earth

Cross sample contamination

The issue of terrestrial contamination being detected and identified, as material present on a Martian sample is, by far, the main concern. Several different mechanisms can help reduce the possibility of this.

A sterile sample can be brought from Earth and run through the system for the first analysis to show that the end-to-end system is clean and contamination free. If this step produced positive results, it will show that the sample system was not clean and would have to be cleaned e.g. by flushing with a sterile material blank. After the initial sterile material is analyzed, surface dust could be analyzed next. This material is most likely sterile due to UV irradiation and is most likely homogenous across the planet. After the analysis of such sample through the entire system, this material can be used as a negative check for the entire system. If a sample is later found to have the signatures of life, analyzing another soil sample can perform a negative response check of those results, which will further validate the biosignatures that might have been identified.

The other form of contamination is sample to sample. While it should be noted that a general cleaning between samples should be performed, reducing the cross sample contamination should not be a major power and mass drain, which could be better used in other systems.

## 8.4. Time resolved Measurements

For some versions of AFL, time-separated repeat measurements (to observe changes) will be valuable, and these were strongly advocated by some members of the SSG. Given current understanding of Mars, we do not know enough to design the time gap that would be needed in such an experiment (minutes?, hours?, days?, months?), or the fidelity to which the subsequent experiment(s) needs to duplicate the conditions of the first in order to provide a meaningful hypothesis test. The AFL SSG takes the position that time-separated repeat measurements are not essential to all versions of AFL.  Thus, this should not be a part of the common overall mission scientific objectives. The AFL SSG recommends that the capability to do at least some time-separated repeat measurements be a general functionality of the surface science system, and that the decision on how and when to use it be deferred to the competitive process***.***

# 9.0Engineering analysis of AFL core

Based on input from the AFL SSG, a preliminary engineering design concept was defined so that basic mission parameters (such as mass, cost and power generation systems) could be developed. This was done so that technology developments that will be required to undertake the mission could be identified and pursued. This design concept was based upon the AFL SSG core mission requirement and included possible investigation of sedimentary, hydrothermal and liquid water regions. Other investigation (namely to ice covered and sub-surface ice regions) may require a different architecture and hence have a different mass, cost, and power generation systems. The mission architecture was defined by taking into account the measurement objectives, payload infrastructure rover mobility requirements and lander capabilities (Section 8). Given all these requirements and capabilities, a core AFL mission was developed.

The mission studied included 2 instruments for remote sensing placed on the main mast, 2 contact instruments located on an instrument deployment device (IDD), and 6 analytical laboratory instruments capable of analyzing samples obtained from the Martian surface for a total of 10 instruments. The analytical instruments, as well as the sample acquisition and processing infrastructure, will be able to process 25-75 physical samples (rock, regolith, and ice) for detailed analyses by both pyrloysis and wet chemistry instrumentation. Landers, Entry Descent Landing (EDL), cruise launcher, were defined in such a way to meet the mission requirements and so that costing the rover and mission could be done. In order to accomplish this, a list of generic instruments were identified so that parameters such as cost, mass, volume, and power requirements could be included in the engineering design concept. No attempt was made to identify and place individual instruments on the strawman payload (used to assess cost only) and where several instrument from different developers were identified, average mass power and volumes were used.

The engineering design concept assumed a launch in either 2013 or 2018 with a Technology Readiness Level (TRL) of 6 for instruments and subsystem technologies that would have to be reached by 2009 and 2014 respectively. Functional redundancy was required on all subsystems except for the science payload, and this included the sample acquisition and processing infrastructure. Landing site availability for the AFL SSG included access to the Martian surface between: +85 to –60 so that access to both ice regions as well as a wide variety of potential Sedimentary and hydrothermal regions can also be investigated. Landing altitudes of 2.5 km or less relative to the MOLA geoid should be reached within a 10x10 km (3-sigma) landing dispersion ellipse assumed for landing. Because AFL will be assumed to be a mission to a specific site of high scientific interest, rover was designed with “Go-to” mobility capabilities of 10-15 km (linear traverse range) so most astrobiology interesting sites could be reached and explored. For data transmission between Earth and Mars, either MTO or the second generation Mars Telecom Orbiter (MTO) was assumed to be available for Mars to Earth telecom greatly increasing the amount of data that could be acquired on the mission. The collected data would be passed to Earth via 0.3 m HGA for 1024 kbps link via MTO. This design allowed for a data intensive 1-3 GBits of daily science data generation. X-Band from rover direct to Earth (DTE) would be used for back-up purposes only. Finally the main power system of the mission was assumed to be a Radioisotopic Thermal Generator (RTG) system, although solar power could also be utilized for missions that are more equatorial, and potentially shorter in duration (depending on final MER mission power results). The power systems was sized to be able to provide sufficient power with reserves for “worst case” extreme drive Sols (large rocks and slopes) and for analytical laboratory days. Based on this analysis a 4 Brick Small RPS system capable of producing 50We, or 1200WeHRS per sol in combination with a 2 x 8 Ahr-Li-Ion battery system was chosen. Because of the inefficiencies in power generation from an RPS system waste heat has to be dissipated. Therefore, A passive thermal loop system driven by the 1000Wt energy from the RTG system, in combination with electrical heaters, thermal switches, and radiators was designed for the rover for keeping the Warm Electronic Box (WEB), external actuators, and instruments at acceptable temperatures ranges. The passive thermal system on the rover would in combination also be used for dissipating energy from the RTG system the during EDL and cruise stages.

To generate the required science and analyze 25-75 samples, accommodate the selected science payload strawman and provide sufficient power, data storage, data rate, and telecom to an MTO type orbiter, the rover itself would have a mass of ~550 kg (30% reserve included). Of this ~110 kg (~20% of rover mass) would constitute the science payload (once again, depending on the exact parameters of the instruments selected through AO). Bringing such a rover to the Martian surface would require a launched mass of 2456 kg, which would demand an Atlas V521 or a Delta IV 4040 launcher. Assuming, a MER cruise stage, Viking style EDL system with a live lander, this would give an injected mass at Mars of 2174 kg, and require a 4.57 m aeroshell and two chutes during descent.

The rover assumed in this study shares heritage with MER however, final design characteristics for the 2009 MSL mission will influence this decision. The rover includes a mast for the remote sensing instrument, an IDD for the contact instruments and sample acquisition, a detailed sample handling system and an analytical laboratory suite of instruments. The six rover wheels were increased in size to 35 cm (diameter) to negotiate larger rocks and extensive Go-To requirements (as discussed below). Each wheel includes a brushless actuator, which would draw 16-25 W per wheel, and a total of 100-150W for all wheels during traverse depending on surface characteristic of the site (i.e. slop, rock distribution, surface material etc.).

The result of the costing exercise resulted in a 2013 mission cost of $ 1.55 Billion (in RY dollars) and 2018 mission cost of $ 1.78 Billion (in RY dollars). This includes ~ 200 million for instruments and infrastructure and ~ 500 million for all the rover subsystems. These numbers should be adjusted as the design for MSL becomes more set. Savings for things like built-to-print hardware and heritage in the EDL and avionics systems may result in mission savings.

In order to meet the mobility requirements for AFL, the mass of the rover and the potential investigation site are taken into account. One requirement for AFL is to investigate a site(s) that are most likely to have high astrobiology interest. This requirement can mean traverses of up to 10’s of km depending on landing ellipse constraints such that the rover design for longer traverses in Mars terrains must be taken into consideration. In addition, the AFL payload will be much bigger than MER with a scaled rover and hence the wheel contact area has to grow from the 25 cm wheel diameter on MER to accommodate low surface pressure for minimizing wheel sinkage. There are some basic assumptions we can make based upon Mars geology and the proposed investigation sites, such that the mission requirements (see section 8.0) can be accomplished and \ a reasonable preliminary design can be created from which approximate mission costs can be estimated. It needs to be pointed out here that this preliminary analysis is by no means a complete engineering analysis, but it is designed to show approximate system requirements for planning of total mission costs as well as mobility potential for site selection. Finally, with this analysis a decision on the level of required precision (or pinpoint) landing can be made so that investment in technology development for AFL can be carefully planned.

Figure 6. A schematic diagram of how AFL may look

Technology development to fulfill science and engineering goals is summarized in Table 6.

can be seen from Table 6 significant development of critical enabling technology should begin as soon as possible, especially for 2013 launch.

As with all other JPL Rovers, AFL’s drive train subsystem was assumed to be a 6-wheel design. Each wheel has two motors: one turning the wheel, the other steering the wheel. All motors are brushless and 2, 4 or 6 wheels can be driven at a time depending on the terrain. Each wheel consumes approximately 8 W in stand-by mode and about 18 W when driving, making the drive train subsystem the largest power consumer (when operating) on AFL. Additionally, a maximum slope tolerance 30o is assumed due to both current design configurations and projected technology advancement. We have assumed that the technology for continuous drive and autonomous hazard avoidance will be developed and eventually will undergo flight qualification so it can be utilized on AFL. The wheel diameter to be chosen will be large enough to avoid typical Martian hazards (i.e. surface rocks) so that linear odometer distance can be maximized while being small enough to minimize mass and power (which is related to wheel size).

Table 6. Summary of necessary technology for AFL, in particular highlighting instrument development in critical areas as defined by the AFL team. This is not to exclude established technologies from development but merely highlights other critical technologies that should be further developed.

# 10.0Planetary Protection

The different variants of AFL may end up in any of three Planetary Protection classifications.

Category IVb is applied to missions that investigate extant Martian life forms. This may include AFL-Liquid Water and AFL-Ice (depending on the instruments).

Category IVc is applied to missions that access Mars “special regions”. This would include AFL-Liquid Water, AFL-Ice, and perhaps other AFL versions, depending on landing site.

Category IVa is applied to landed missions other than the above. This could apply to AFL-Sedimentary and AFL-Hydrothermal (depending on landing site).

To achieve maximum flexibility, mission engineering should be planned assuming IVb, and de-scoping, if appropriate, can take place from there. The four variants of AFL will have very different implications for Planetary Protection and therefore must be reviewed on a case by case basis.

It is noted that many developing technologies are available for contamination monitoring, decontamination and space craft cleanliness issues. These technologies should be vigorously pursued. In particular the following;

Low temperature sterilization techniques such as microwave plasma and other plasma ashing techniques,

Radiation sterilization technologies for whole space craft as well as ‘hot-spot’ removal.

Real time non culture based systems for monitoring amount and types of bioburden.

Providing of a suitable mineralogical bio and organic clean sample blank for proofing critical sample handling pathways and

It should be noted that several of the analytical techniques mentioned in the AFL instrument section cannot undergo heat sterilization. Protocols that either ensure that instruments are delivered cleaned to the level of the space craft and integrated to the craft after heat sterilization, for the use of cooling loops to keep critical instruments cool during sterilization (obviously the previous point would apply here) or alternatives to heat sterilization must be put in place for these technologies to fly.

# 11.0Relationship between AFL and MSL

AFL will depend on the following heritage from MSL.

Precision landing using a novel (non airbag) landing system

The use of RTG technology

The use of remote, contact and analytical suites of instruments

Crude sample processing to be used but improved on AFL

2.AFL will differ from MSL in the following essential respects:

Advanced sample preparation system.

Precision sub-sampling is an advanced sample management step that will allow a scientific focus on meso- to micro-scale discoveries of enhanced astrobiological interest. This will allow a much higher capacity to investigate specific anomalous features.

Liquid extraction. For advanced studies of carbon chemistry, more efficient sample extraction (and instrument delivery) methods are needed.

Better and miniaturized organic molecule and life-detection related instruments.

Greater interplay between

Precision landing, hazard tolerance/avoidance, go-to mobility.

–Will give us the ability to follow-up on specific discoveries, including in “interesting” terrain.

# 12.0The Future of AFL

It is suggested that the SSG reconvene at a later date to

Respond to discovery to hone mission concepts for site selection

Review sample handling and instrumentation choices and feed-forward to a possible sample return mission

Respond to shifting of the AFL timeline from 2013 to 2018, this would include revisiting the instrument choices based on comments from the SSG as to the use of instruments currently in development but of such a low TRL that it could not feature in the 2013 timeframe example include high vacuum and high voltage instrumentation such as electron microscopy, or photoelectron spectroscopy.

In the past, there was competition between in-situ and sample return mission concepts and there was a question as to whether the AFL was to fly before MSR or after. The current schedule envisions an AFL flight as early as 2016 and an MSR some time after 2020.

The advantages of flying in-situ missions first are that they are relatively low cost compared to MSR (although the costly infrastructure put in place for an initial MSR would not be needed for follow up missions) and there are no issues of sample degradation, sample amount, sterilization, quarantine or ‘off nominal’ delivery to earth.

In addition, the strength of in-situ missions is their ability to assess multiple samples over a spatially diverse area without degradation of the samples. AFL will aid in the identification of sample types for future return missions. This may even include aiding sample caching for a future MSR mission, although that would necessitate a further assessment of precision landing of an MSR mission.

A point to remember is that if / once detected life on Mars should be characterized in its entirety for similarity to earth life, evolution and biochemistry (if viable). Therefore both AFL and MSR must be considered necessary tools to be used at the right time to answer science questions within the foreseeable realms of technology..

Several aspects of both the sample handling capabilities for AFL and the choice of instrumentation will allow the further development of robotic tools to explore elsewhere in the solar system e.g. Europa. This instrumentation although initially geared for the detection of life would upon the successful accomplishment of this task be needed to be further developed to characterize that life in whatever form. It will not be enough to ask was/is there life there, the next logical step is how did it arise, how is it different from earth life and why? It is only by taking this step will we able to understand truly the processes of abiotic / prebiotic / biotic chemistry in the solar system.

Note, the bulk of this work and the draft white paper was completed by September 2004. There have been unavoidable delays to its publication. In the meantime thinking about AFL has progressed. This document reflects the thinking in September 2004. Whilst engineering and programmatic changes have occurred since then, the strength of this document lies in the science definition for the mission.

# 13.0References

Abrajano, T.A. et al (1988). Methane-hydrogen gas seeps, Zimbales Ophiolite, Philippines. *Chemical Geology* **71**: 211-222.

Allen C.C. (1979) Volcano-ice interactions on Mars. *Journal of Geophysical Research* **84**: 8048-8059.

Allen, C.C. et al., (2001) Importance of a martian hematite site for astrobiology. *Astrobiology***1**(1)**:** 111-123.

Arvidson R. E. (2004). Localization and physical properties experiments conducted by Spirit at Gusev crater. *Science.* **305**; 821 – 823.

Bakermans, C., et al. (2003). Reproduction and metabolism at -10 degrees C of bacteria isolated from Siberian permafrost. *Environmental Microbiology* **5**(4): 321-326.

Benning, L. et al., (2004) The dynamics of cyanobacterial silicification: An infrared micro-spectroscopic investigation. *Geochimica et Cosmochimica Acta*. **68** (4); 729–741.

Bertelsen P et al., (2004). Magentic properties experiments on the Mars exploration rover Spirit at Gusev crater. *Science*. **305**; 827 – 828.

Bougault, H, et al., (1993). Fast and slow spreading ridges: structure and hydrothermal activity, ultramafic topographic highs, and CH4 output. *J. Geophysical Res*. **98**: 9643-9651.

Boynton, W. V., et al. (2002). Distribution of hydrogen in the near surface of Mars: Evidence for subsurface ice deposits. *Science* **297**(5578): 81-85.

Brasier, M.D., et al., (2002). Earth's oldest fossils: questioning the evidence. *Nature*, **416**, 76-81.

Cady S.L.; et al., (2003) Morphological Biosignatures and the Search for Life on Mars Astrobiology. **3** (2): 351-368.

Cady, S.L. et al., (1997) Exopaleontological search strategy for Mars exploration: A case for siliceous epithermal deposits. In *Lunar and Planetary Science Conference XXIII*, Lunar and Planetary Institute, Houston, TX, p.1858.

Chapelle, F.H., et al., (2002). A hydrogen-based subsurface microbial community dominated by methanogens. *Nature* **415**: 312-315.

Chapman M.G., et al., (2003) Possible Juventae Chasma subice volcanic eruptions and Maja Valles ice outburst floods on Mars: Implications of Mars Global Surveyor crater densities, geomorphology, and topography. *J. Geophysical Res* **108**:

Charlou, J.L. and Donval, J.P., 1993. Hydrothermal methane between 12oN and 26oN along the Mid-Atlantic Ridge. *J. Geophysical Res*. **98**: 9625-9642.

Christensen, P.R., M.C. Malin, R.V. Morris, J.L. Bandfield, and M.D. Lane, Aqueous sedimentary mineralization of the Martian surface: Evidence for liquid water, in review at J. Geophys. Res., 2000.

Christensen P.R. (1986). The Spatial Distribution of Rocks on Mars, *Icarus*, **68**: 217-238.

Christensen, P.R. et al., (2003). Morphology and composition of the surface of Mars: Mars Odyssey THEMIS results. *Science*, **300**: 2056-2061.

Clifford, S. M. et al. (2000). The state and future of Mars polar science and exploration. *Icarus* **144**(2): 210-242.

Deming, J.W. and Baross, J.A. (1995). Deep-sea smokers: Windows to a subsurface biosphere? Geochim. Cosmochim. Acta **57**: 3219-3230.

Edgett, K. S., et al. (2003). Mars landscape evolution: influence of stratigraphy on geomorphology in the north polar region. *Geomorphology* **52**(3-4): 289-297.

Farmer, J.D. (1998) Thermophiles, early biosphere evolution, and the origin of life on Earth: implications for the exobiological exploration of Mars. *Journal of Geophysical Research* **103**: 28,457-28,461.

Fedo C.M. and Whitehouse M.J. (2002). Metasomatic origin of quartz-pyroxene rock, Akilia, Greenland, and implications for Earth’s earliest life. *Science*, **296**, 1448-1452.

Fisk M.R. et al., (2003). Evidence of biological activity in Hawaiian subsurface basalts. *Geochemistry geophysics Geosystems*. **4** (12); 1029.

Friedmann, E. I. et al. (1993). Long-Term Productivity in the Cryptoendolithic Microbial Community of the Ross Desert, Antarctica. *Microbial Ecology* **25**(1): 51-69.

Gellert R. et al., (2004). Chemistry of rocks and soils in Gusev crater from the Alpha Ray Particle X-ray Spectrometer. *Science* **305**; 829 – 832.

Gilichinsky, D. et al. (2003). Supercooled water brines within permafrost - An unknown ecological niche for microorganisms: A model for astrobiology. *Astrobiology* **3**(2): 331-341.

Glavin et al. (2001). Detecting pyrolysis products from bacteria on Mars. *Earth and Planetary Science* *letters,* **185**; 1-5.

Golombek M. P. and Rapp D. (1997). Size-Frequency Distributions of Rocks on Mars and Earth Analog Sites: Implications for Future Landed Missions. *Journal Geophysical Research.* **102** (E2): 4117-4129.

Golombek M.P. (2003b). Rock Size-Frequency Distributions on Mars and Implications for Mars Exploration Rover Landing Safety and Operations. *Journal of Geophysical Research*, **108** (E12): 8086.

Golombek M.P. et al., (2003a). Selection of the Mars Exploration Rover Landing Sites. *Journal of Geophysical Research*. **108** (E12); 8072.

Grant J.A. et al., (2004). Surficial deposits at Gusev crater along Spirit rover traverses. *Science*. **305**; 807 – 809.

Hecht, M. H. (2002). Metastability of liquid water on Mars. *Icarus* **156**(2): 373-386.

Herkenhoff K.E. (2004). Textures of the soils and rocks at Gusev crater from Spirit’s microscopic imager. Science 305; 824 – 826.

Herkenhoff, K. E. and J. J. Plant (2000). Surface ages and resurfacing rates of the polar layered deposits on Mars. *Icarus* **144**(2): 243-253.

Hodges C.A. and H.J. Moore (1979) The subglacial birth of Olympus Mons and its aureoles. *Journal of Geophysical Research* **84**; 8061-8074.

Hoefen, T. M., et al., (2003). Discovery of olivine in the Nili Fossae region of Mars. *Science* **302**: 627-630.

Holm, N.G. and Charlou, (J.L., 2001). Initial indicators of abiotic formation of hydrocarbons in the Rainbow ultramafic hydrothermal system, Mid-Atlantic Ridge. *Earth and Planetary Science Letters* **191**: 1-8.

Horn, R.A. (1996) Exploration strategies for hydrothermal deposits. In *Evolution of Hydrothermal Ecosystems on Earth (and Mars?), Ciba Foundation Symposium 202.* Editors G.R. Bock and J.A. Goode. John Wiley, Chichester, pgs xx-yy.

House C.H., et al., (2000) Carbon isotopic composition of individual Precambrian microfossils. *Geology*, **28**; 707-710.

Howard, A. D. (2000). The role of eolian processes in forming surface features of the Martian polar layered deposits." Icarus 144(2): 267-288.

Huntington, J.F. (1996) The role of remote sensing in finding hydrothermal mineral deposits on Earth. In *Evolution of Hydrothermal Ecosystems on Earth (and Mars?), Ciba Foundation Symposium 202.* Editors G.R. Bock and J.A. Goode. John Wiley, Chichester, pgs xx-yy.

Junge, K., et al. (2004). Bacterial activity at-2 to-20 degrees C in Arctic wintertime sea ice. *Applied and Environmental Microbiology* **70**(1): 550-557.

Kelley, D.S. and Fruh-Green, G.L., (2001). Magmatic and hydrothermal abiotic methane (H2+hydrocarbon) production in Oceanic crust. [Abstract #3621], 11th Annual Goldschmidt Conference.

Kelley, D.S. and Fruh-Green, G.L., 1999. Abiotic methane in deep-seated mid-ocean ridge environments: insights from stable isotope analyses. *J. Geophysical Res*. **104**: 10439-10460.

Kelley, D.S., et al. (2001). An off-axis hydrothermal vent field near the Mid-Atlantic ridge at 30° N. *Nature* **412**: 145-149.

Kerr R. (2004a). Life or volcanic belching on Mars. *Science*. **303**; 1953.

Kerr R. (2004b). Heavy breathing on Mars? *Science*. **306**; 29.

Kerr R. (2004c). A wet early Mars seen in salty deposits. *Science*. **303**; 1450.

Longhi, J., et al., (1992). The bulk composition and internal structure of Mars, in *Mars* (Kieffer H.H., Jakosky B.M., Snyder C.W. and Matthews M.S., eds.), Univ. of Arizona Press, Tucson, 184-208.

Malin, M. C. and Edgett K. S. (2000). Evidence for recent groundwater seepage and surface runoff on Mars. *Science* **288**(5475): 2330-2335.

Malin, M. C. and Edgett K. S. (2003). Evidence for persistent flow and aqueous sedimentation on early Mars. *Science* **302**(5652): 1931-1934.

Mancinelli RL (1998) Prospects for the evolution of life on Mars, Viking 20 years later. *Adv. Space Res*., **22**: 471-477.

McCollom, T.M. and Shock, E.L. (1997). Geochemical constraints on chemolithoautotrophic metabolism by microorganisms in seafloor hydrothermal systems. *Geochim. Cosmochim. Acta* **61**: 4375-4391.

McKay D.S. et al., (1996). Search for past life on Mars: possible relic biogenic activity in Martian meteorite ALH84001. *Science,* **273**: 924-930.

McSween H. Y. (2004). Basaltic rocks analysed by the Spirit rover in Gusev crater. *Science*. **305**; 842 – 845.

Mellon, M. T. and B. M. Jakosky (1995). The Distribution and Behavior of Martian Ground Ice During Past and Present Epochs. *Journal of Geophysical Research-Planets* **100**(E6): 11781-11799.

Mitrofanov, I., et al. (2002). Maps of subsurface hydrogen from the high energy neutron detector, Mars Odyssey. *Science* **297**(5578): 78-81.

Mojzsis S.J et al., (1996). Evidence for life on Earth before 3.800 million years ago. *Nature*, **384**: 55-59.

Mojzsis S.J. and Harrison T.M. (2000). Vestiges of a beginning: clues to the emergent biosphere recorded in the oldest known sedimentary rocks. *GSA Today*, **10**: 1-7.

Moore H.J. and Jakosky B.M. (1989). Viking Landing Sites, Remote-Sensing Observations, and Physical Properties of Martian Surace Materials. *Icarus*, **81**: 164-184, 1989.

Moreau J.W. and Sharp T.G. (2004). A Transmission Electron Microscopy Study of Silica and Kerogen Biosignatures in ~1.9 Ga Gunflint Microfossils. *Astrobiology* **4**(2): 196 – 210.

Morris R.V. (2004). Mineralogy at Gusev crater from the Mossbauer spectrometer on the Spirit rover. *Science*. **305**; 833 – 836.

Mottl, M.J. et al., (2003). Deep-slab fluids fuel extremophilic *Archaea* on a Mariana forearc serpentinite mud volcano: Ocean Drilling Program Leg 195. *Geochemistry Geophysics Geosystems* **4**(11): 1-14.

Neal, C. and Stanger, G., (1983). Hydrogen generation from mantle source rocks in Oman. *Earth and Planetary Sci. Letters,* **66**: 315-320.

Newsom H. E., Brittelle G.E., Hibbitts C.A., Crossey L.J., Kudo A. (1996). Impact Crater Lakes on Mars. *Journal of Geophysical Research*. **101** (E6) 14951 – 14956.

Newsom, H.E. (1998) Hydrothermal alteration of impact melt sheets with implications for Mars. *Icarus* **44**: 207-216.

Nienow, J. A., et al. (1988). The Cryptoendolithic Microbial Environment in the Ross Desert of Antarctica - Light in the Photosynthetically Active Region. *Microbial Ecology* **16**(3): 271-289.

Nisbet, E.G. (1987). The Young Earth – *An Introduction to Archaen Geology*. Allen & Unwin, Boston.

Pasteris J.D. and Wopenka B. (2002) Laser Raman spectroscopy: Images of the Earth's earliest fossils? *Nature,* **420**:476-477.

Rona, P.A., et al., (1992). Hydrothermal circulation, serpentinization, and degassing at a rift valley-fracture zone intersection: Mid-Atlantic Ridge near 15oN, 45oW. *Geology* **20**: 783-786.

Rosing M.T. (1999). 13C-depleted carbon microparticles in >3700 Ma sea-floor sedimentary rocks from West Greenland. *Science*, **283**; 674-676.

Schidlowski M. (1988). A 3.800 million year isotopic record of life from carbon in sedimentary rocks. *Nature*, **333**, 313-318.

Schopf J.W. (1993). Microfossils of the Early Archaean Apex Chert: New evidence of the Antiquity of Life. *Science*, **260**, 640-646.

Schopf J.W.et al., (2002). Laser-Raman imagery of Earth’s earliest fossils. *Nature*, **416**, 73-76.

Schroeder, T., et al., (2002). Geologic implications of seawater circulation through peridotite exposed at slow-spreading mid-ocean ridges. *Geology* **30**: 367-370.

Schuerger, A. C. et al., (2003). Survival of endospores of *Bacillus subtilis* on spacecraft surfaces under simulated martian environments: implications for the forward contamination of Mars.  *Icarus,* **165 (***2)*: *253-276*

Squyres S.W. et al., (2004). The Spirit Rovers Athena Science investigation at Gusev crater, Mars. *Science*. **305**; 794 – 799.

Steele et al. (2000). Imaging of an unknown organism on ALH84001. *Meteoritics and Planetary Science* **35**, 237 – 241.

Stevens, T.O and J.P. McKinley (1995). “Lithoautotrophic Microbial Ecosystems in Deep Basalt Aquifers.” *Science* **270**: 450-454.

Thomas, P. C., et al. (2000). North-south geological differences between the residual polar caps on Mars. *Nature* **404**(6774): 161-164.

Toporski J.K.W. et al., (2000). Electron Microscopy Studies, Surface Analysis and Microbial Culturing Studies on A Depth Profile through Martian Meteorite NAKHLA. *Abstract of the XXXIst Lunar and Planetary Science Conference*, NASA Johnson Space Center, Houston, Texas, USA, #1636.

Toporski J.K.W. et al., (2002a). Morphological and spectral investigation of exceptionally well preserved bacterial biofilms from the Oligocene Enspel formation, Germany. *Geochim. Cosmochim. Acta*, **66**: 1773-1791.

Toporski J.K.W. et al., (2002b). The simulated silicification of bacteria - new clues to the modes and timing of bacterial preservation and implications for the search for extraterrestrial microfossils. *Astrobiology,* **2**: 1-26.

Toporski J. and Steele A. (2004) Characterization and identification of various purified biomarker compounds using Time of Flight-Secondary Ion Mass Spectroscopy (ToF-SIMS). Organic Geochemistry, in press.

Treiman, A.H., et al., (2002). Hydrothermal origin for carbonate globules in Martian meteorite ALH84001: A terrestrial analogue from Spitsbergen (Norway). *Earth and Planetary Science Letters* **204**: 323-332.

Walter, M.R. and D.J. Des Marais (1993) Preservation of biological information in thermal spring deposits: developing a strategy for the Search for a fossil record on Mars. *Icarus*, **101**: 129-143.

# 14.0Appendix 1. Discoveries AFL must respond to.

Table 7 Summarizes crucial science discoveries that may also directly affect AFL mission, potential follow up questions and measurements

# 15.0Appendix 2 - Instrument descriptions and capabilities

In this table, a number of techniques were suggested by AFL SSG members as potentially applicable to one or more identified measurement objectives. This list is not meant to be comprehensive or definitive, but rather to illustrate the kinds of information that would enable instrument development efforts in general to connect to the specific needs of AFL. As such the table does not identify all aspects of each technique, but only those that were discussed in a preliminary analysis of the desired measurements on AFL. The first and second columns identify the technique and the type of measurement(s) with which it is typically associated (Data/Signatures Sought). The third column explicitly lists the most likely AFL measurement requirement that the technique addresses (see Section 7.0). In this way, techniques applicable to a given measurement of interest, or more generally to a mission objective (see Figure 5), can be found by examining those rows containing the category (1-5) desired. This column is meant to serve as an example template, so all potential uses of each technique are not identified. The next three columns indicate the most likely associated tier(s) for the technique, corresponding to the recommended division as discussed above.

The following thirteen columns provide data for *example* implementations of the technique where useful specifications of the sample analyzed and typical instrument parameters could be identified. Given sample data include: 1. the physical form *as acquired or as extracted/analyzed* – solid (s), liquid (l), or gas (g); 2. the type of material from which it is obtained and/or delivered to the instrument; 3. the type of sample preparation required and/or desired (see key); and 4. the typical size or mass of sample, additionally indicating where a technique looks only at the surface of a solid sample rather than the bulk. The first three columns of the Example Technique Characteristics section provide some of the key distances involved: the standoff, the field-of-view (FOV) or spot size, and the scale of the heterogeneity probed, if appropriate. The heterogeneity is indicated by the structures (e.g., layers or grains) that can be individually analyzed with the method’s FOV or spot size. For example, a Hand Lens instrument might look at individual mineral grains and similar size structures within a mm-cm FOV from a standoff focal length of a cm or so. In this example it is the imaging resolution, not the FOV, that determines the smallest structures observable, and that additional data is found in the resolution column. On the other hand, for a laser mass spectrometer, the spot size does roughly determine the spatial resolution of analysis – a spot size below 100 microns could enable analyses of mineral phases on the mm scale; what is then found in the resolution column is in fact the mass resolution, since that is how the term is used for that method. Further, the Mass Range column gives the typical range of molecular weights that are accessible with a given mass spectrometric method.

Finally, the remaining columns provide a correlation of where a technique would be applied in support of various *discovery-responsive measurements* by AFL that would be called for following the discoveries listed in Appendix 2. This separate correlation, beyond the technique-to-measurement requirement-to-mission objective logical chain, permits a greater flexibility and responsiveness of the AFL concept to specific scenarios that may develop from current Mars missions and over the next several years.