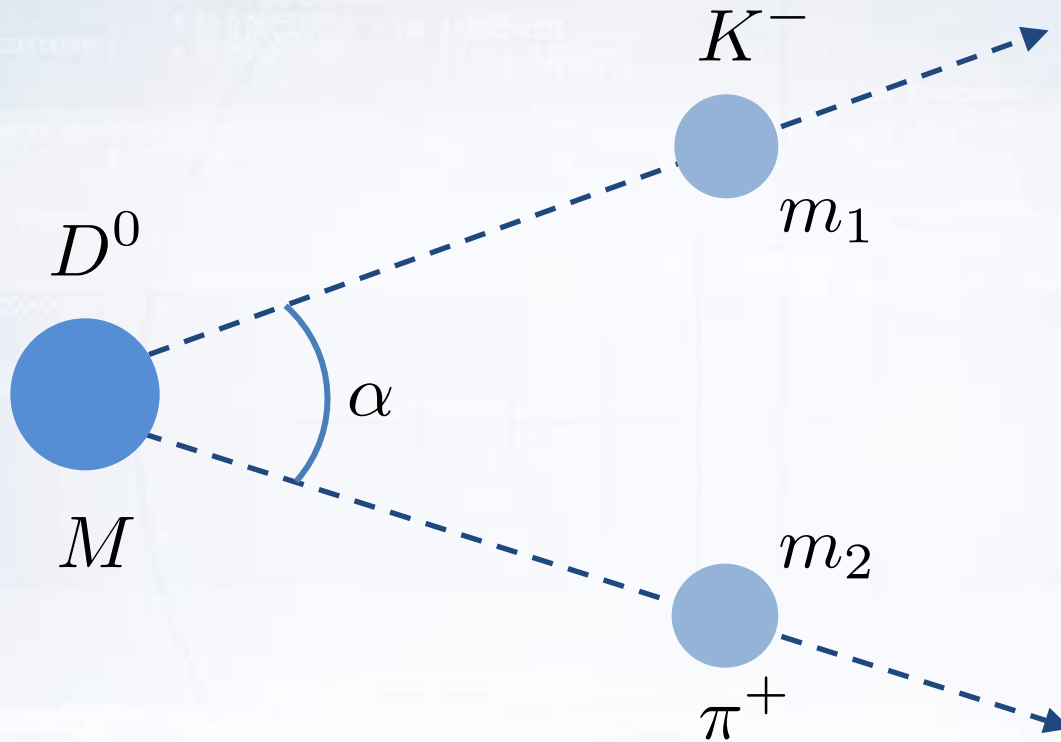


# Particle identification



# A particle decay



$$E_M = E_1 + E_2$$

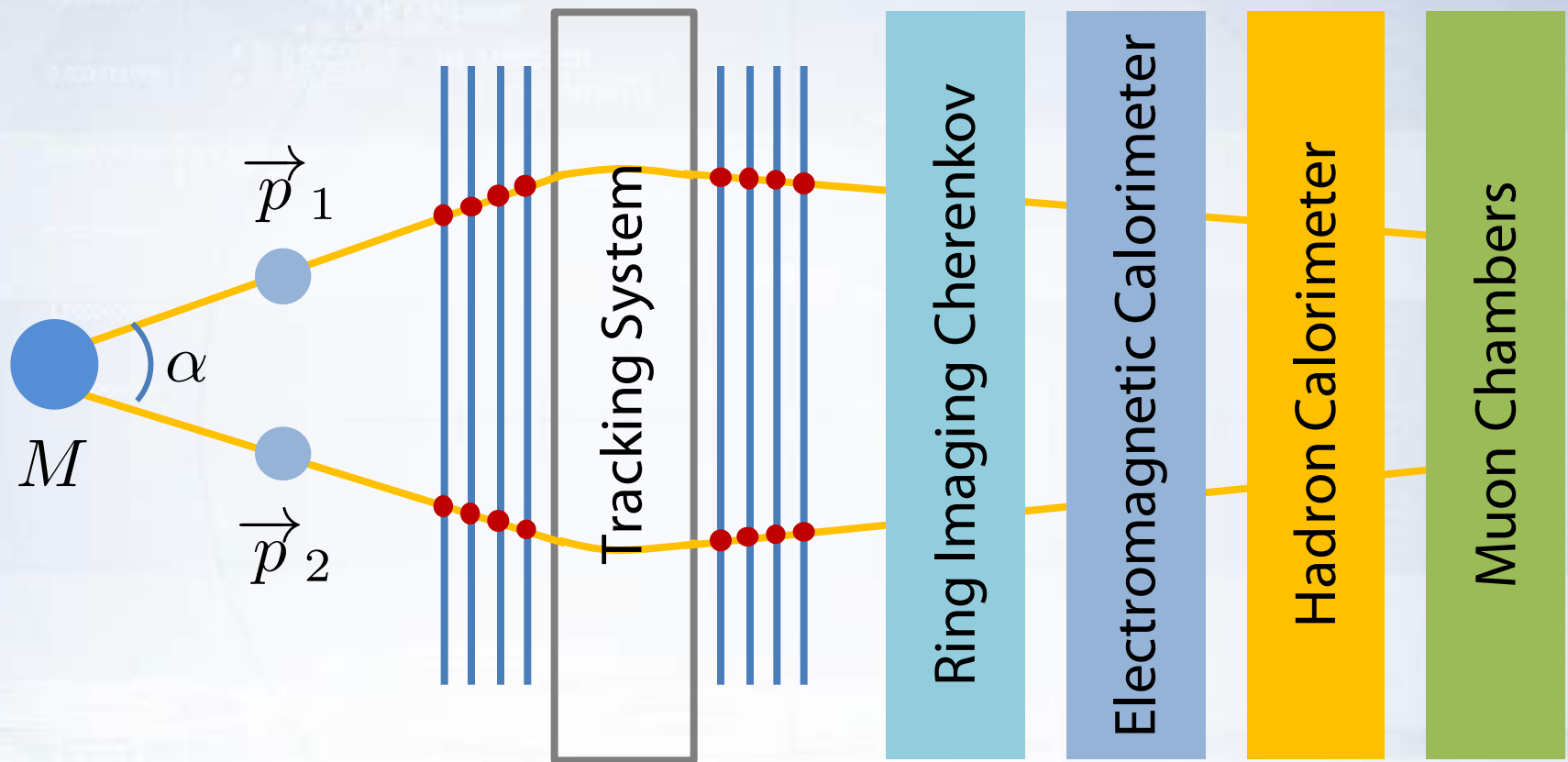
$$\vec{p}_M = \vec{p}_1 + \vec{p}_2$$

$$E^2 = p^2 c^2 + m^2 c^4$$

$$M^2 = m_1^2 + m_2^2 + \frac{2}{c^4} (E_1 E_2 - p_1 p_2 c^2 \cos \alpha)$$



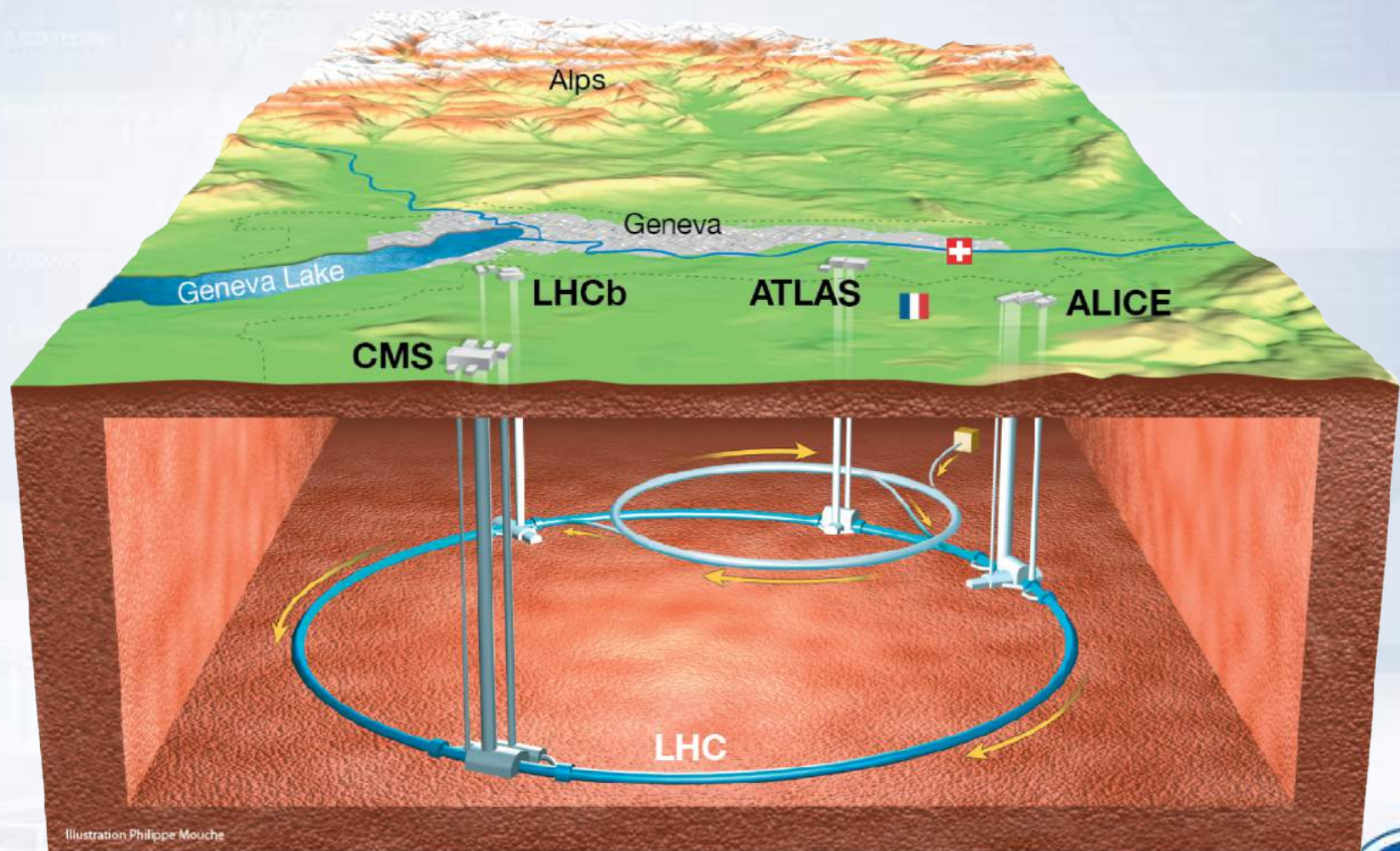
# Particle identification



The goal of the **particle identification (PID)** is to identify a type of a particle associated with a track using responses from different systems.



# LHC experiments

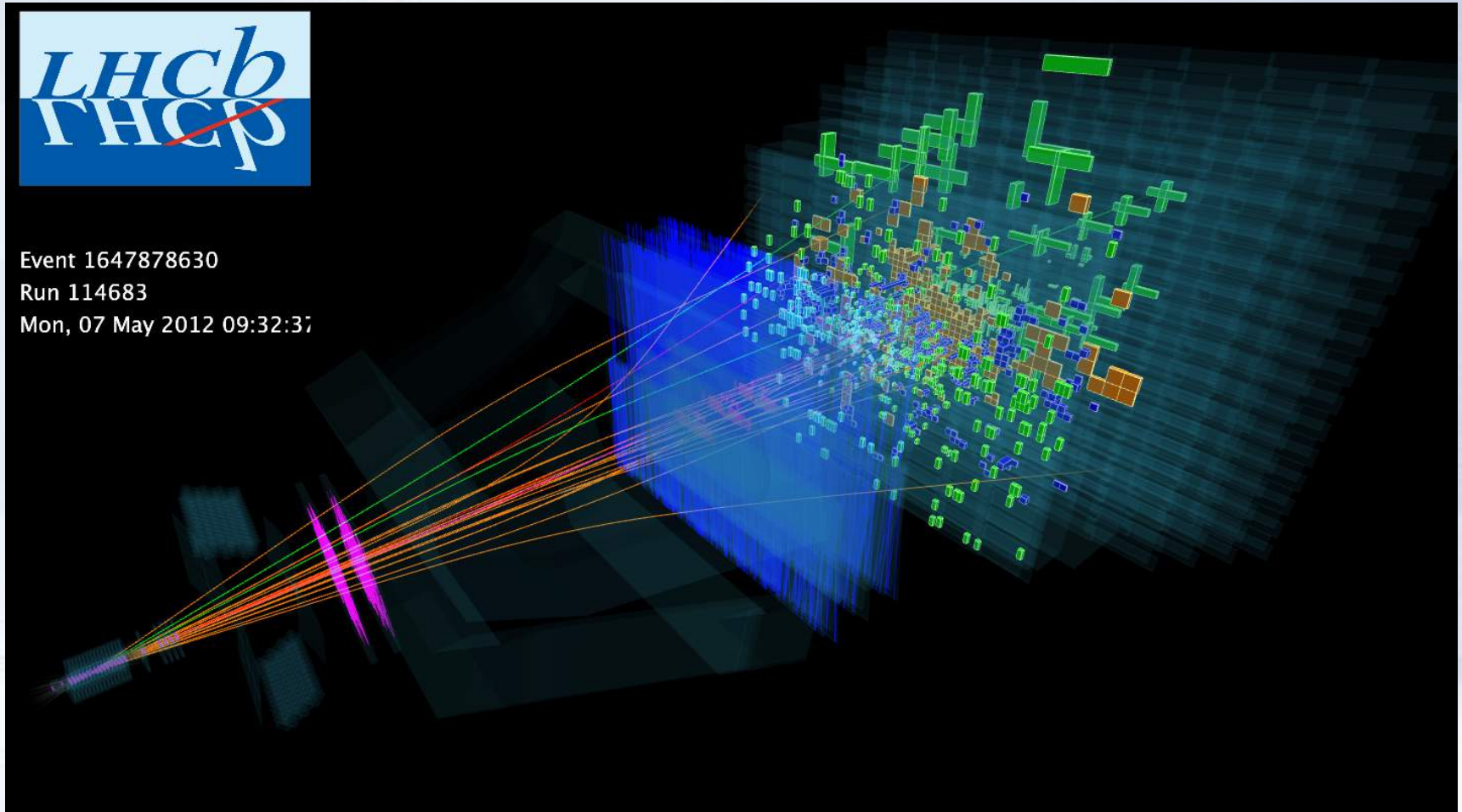




# LHCb event example



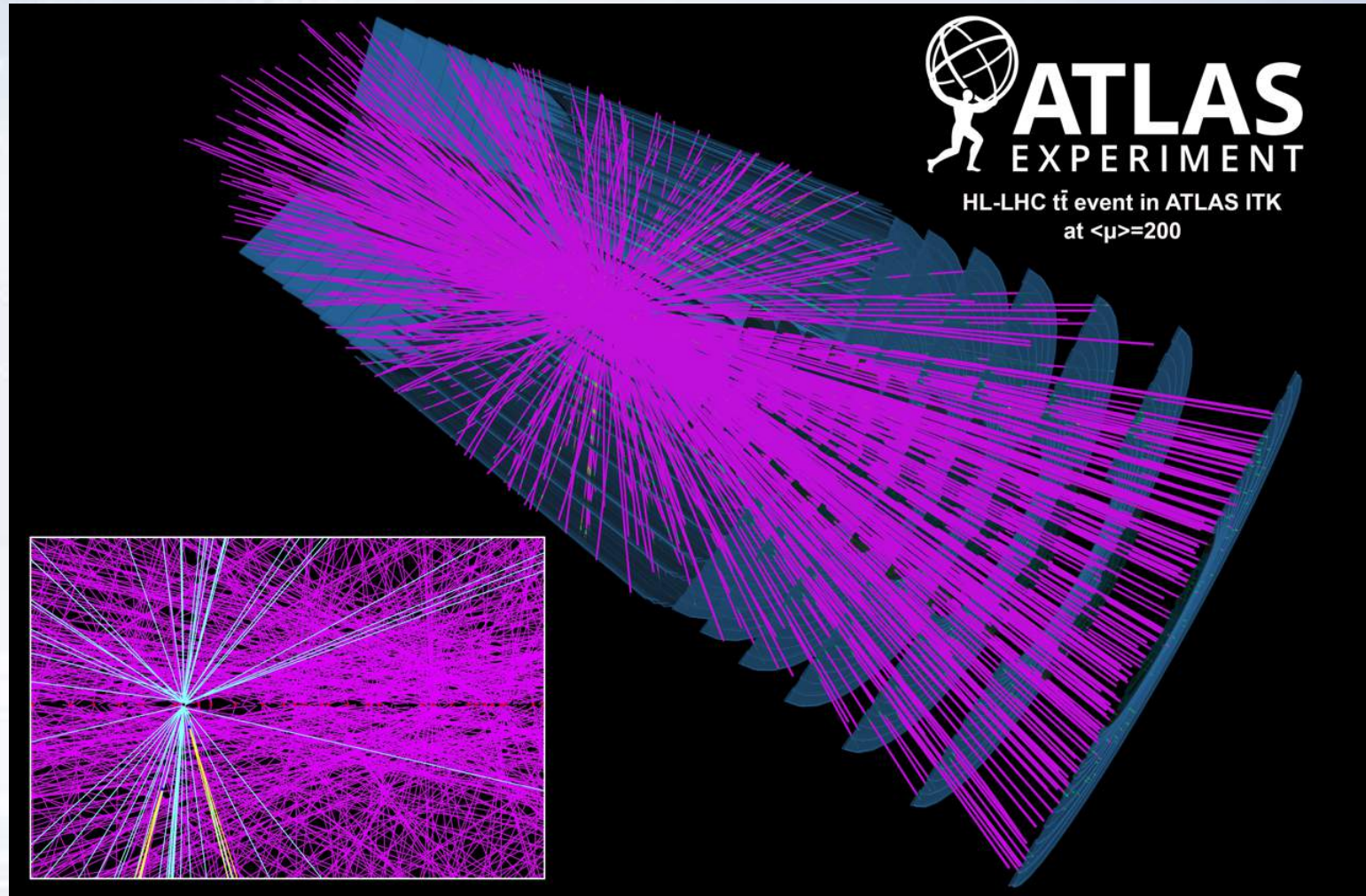
Event 1647878630  
Run 114683  
Mon, 07 May 2012 09:32:37



LHcb / <http://clangenb.web.cern.ch/clangenb/>



# ATLAS event example



Paul Laycock, <https://twiki.cern.ch/twiki/bin/view/AtlasPublic/UpgradeEventDisplays>

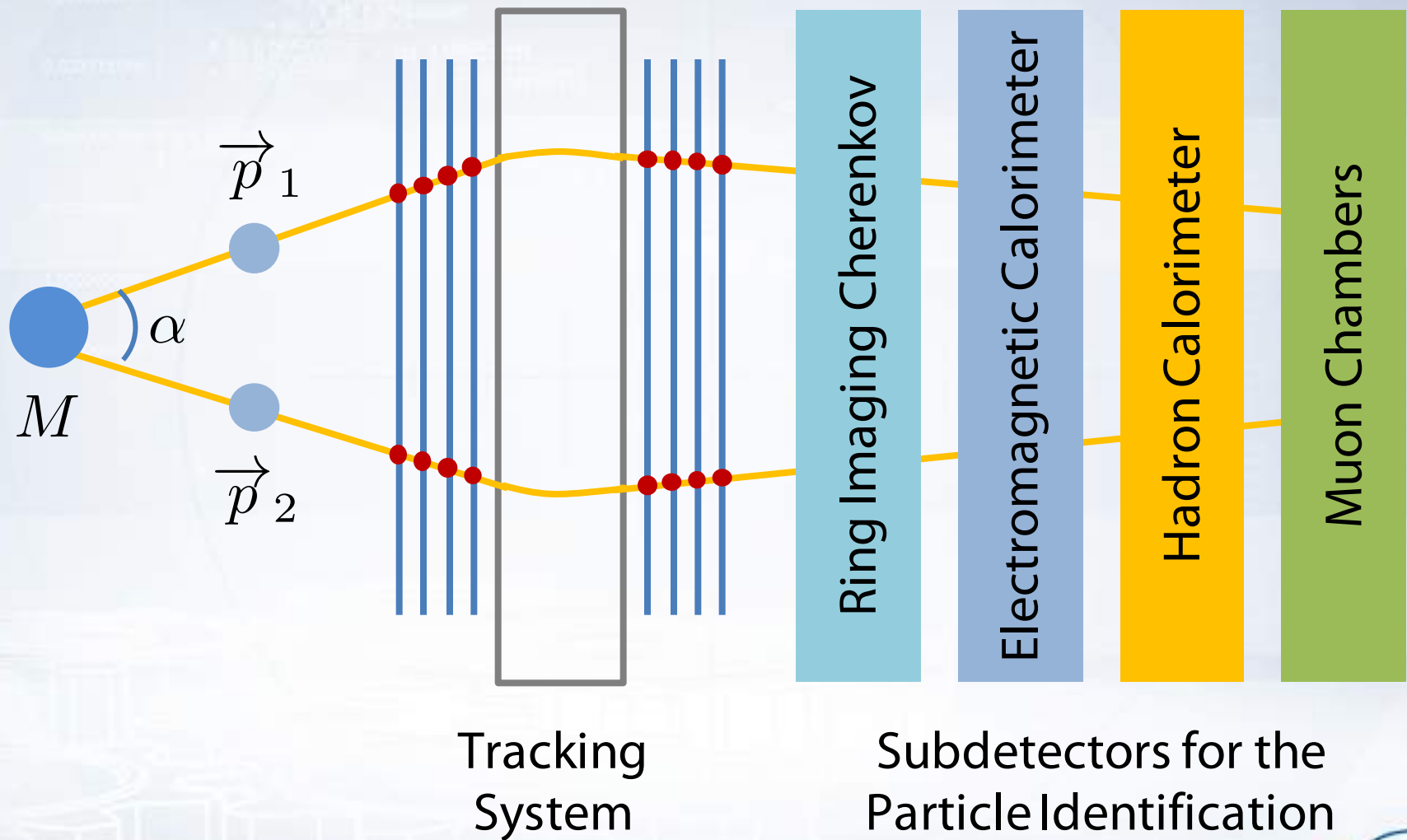


# Tracking system



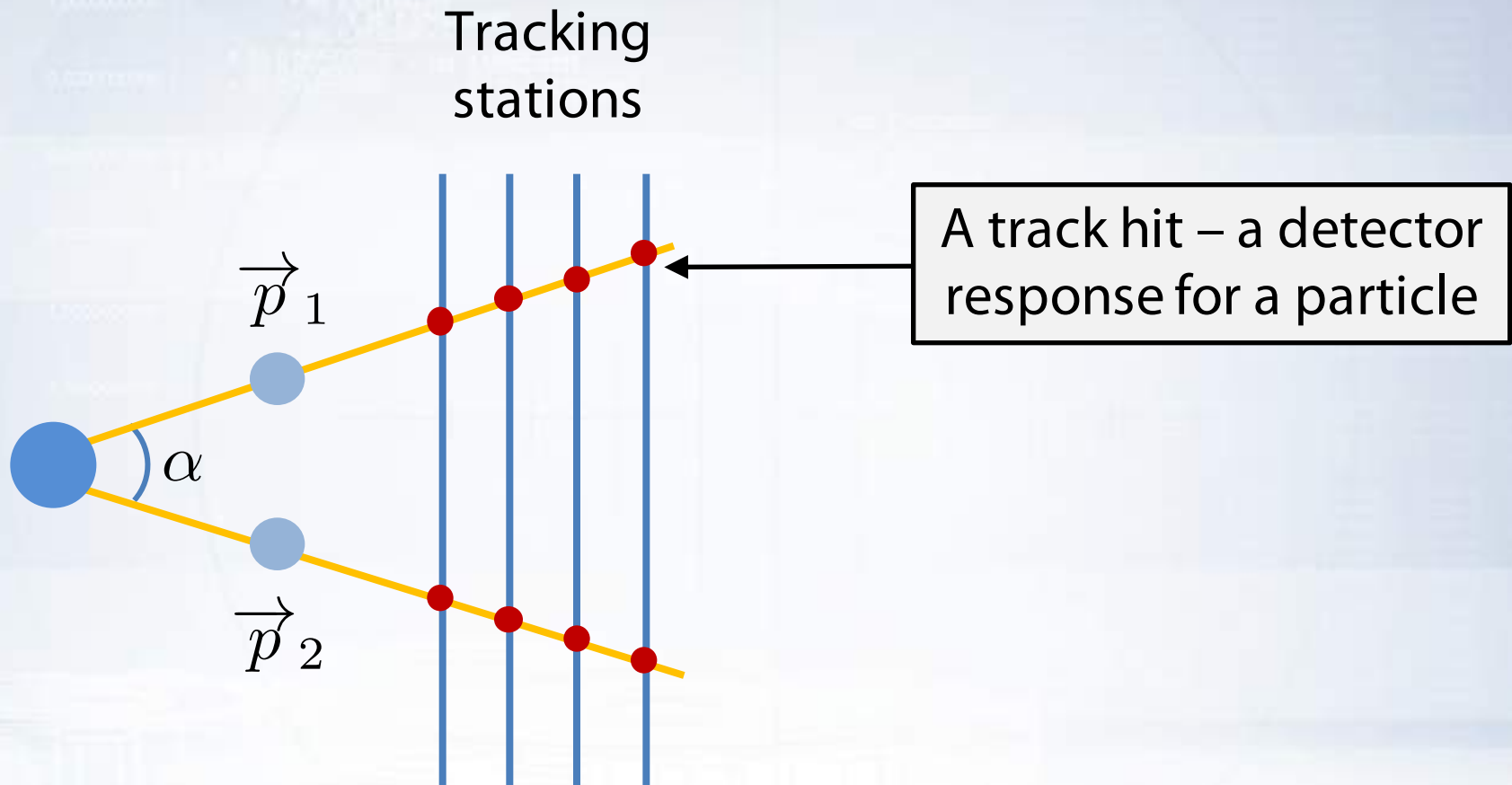


# Particle identification





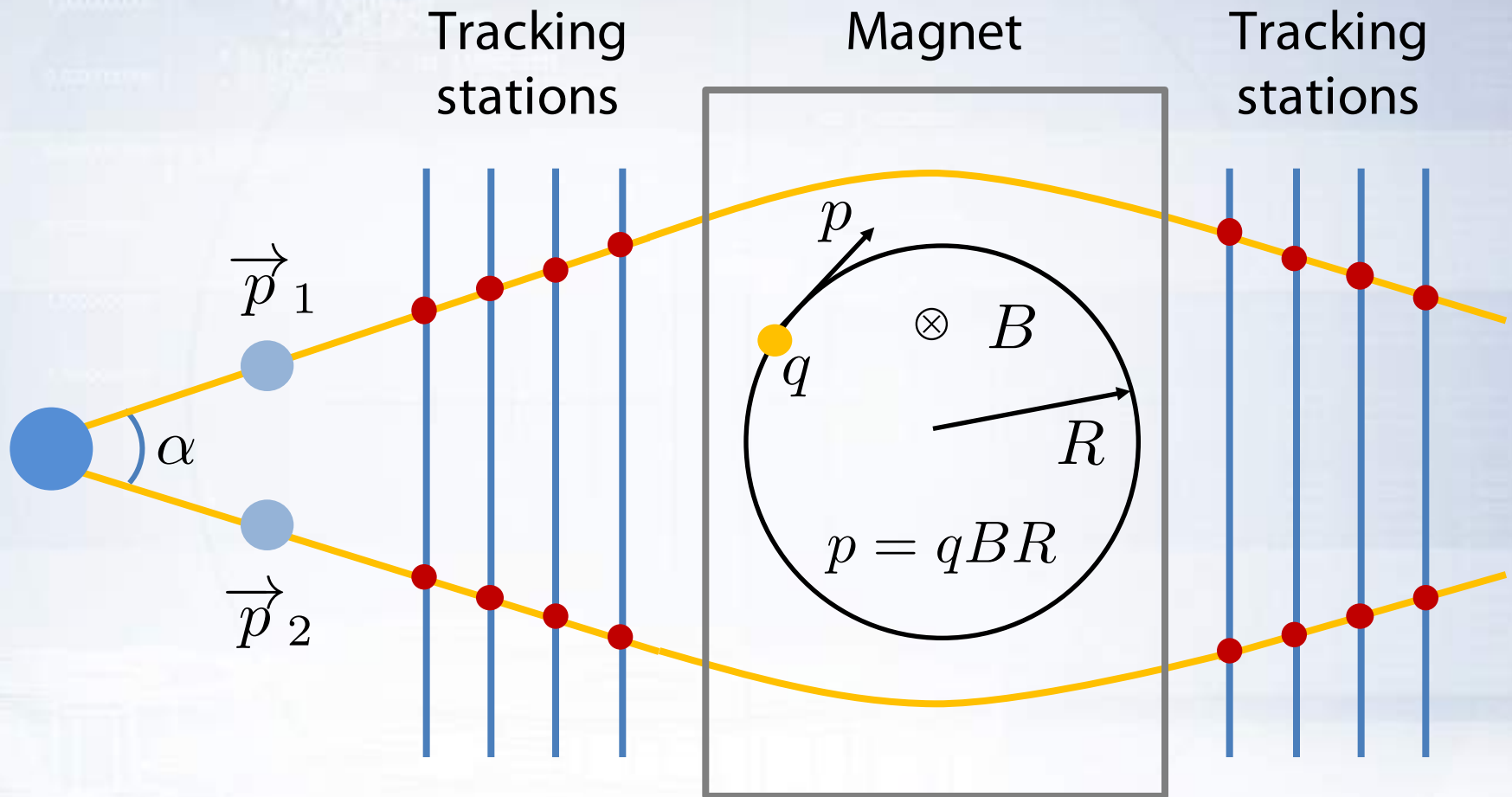
# Tracking system



Hits allows to reconstruct particle tracks and estimate  $\alpha$ .  
**Track pattern recognition** – recognition of particle tracks among set of hits in the detector.



# Tracking system



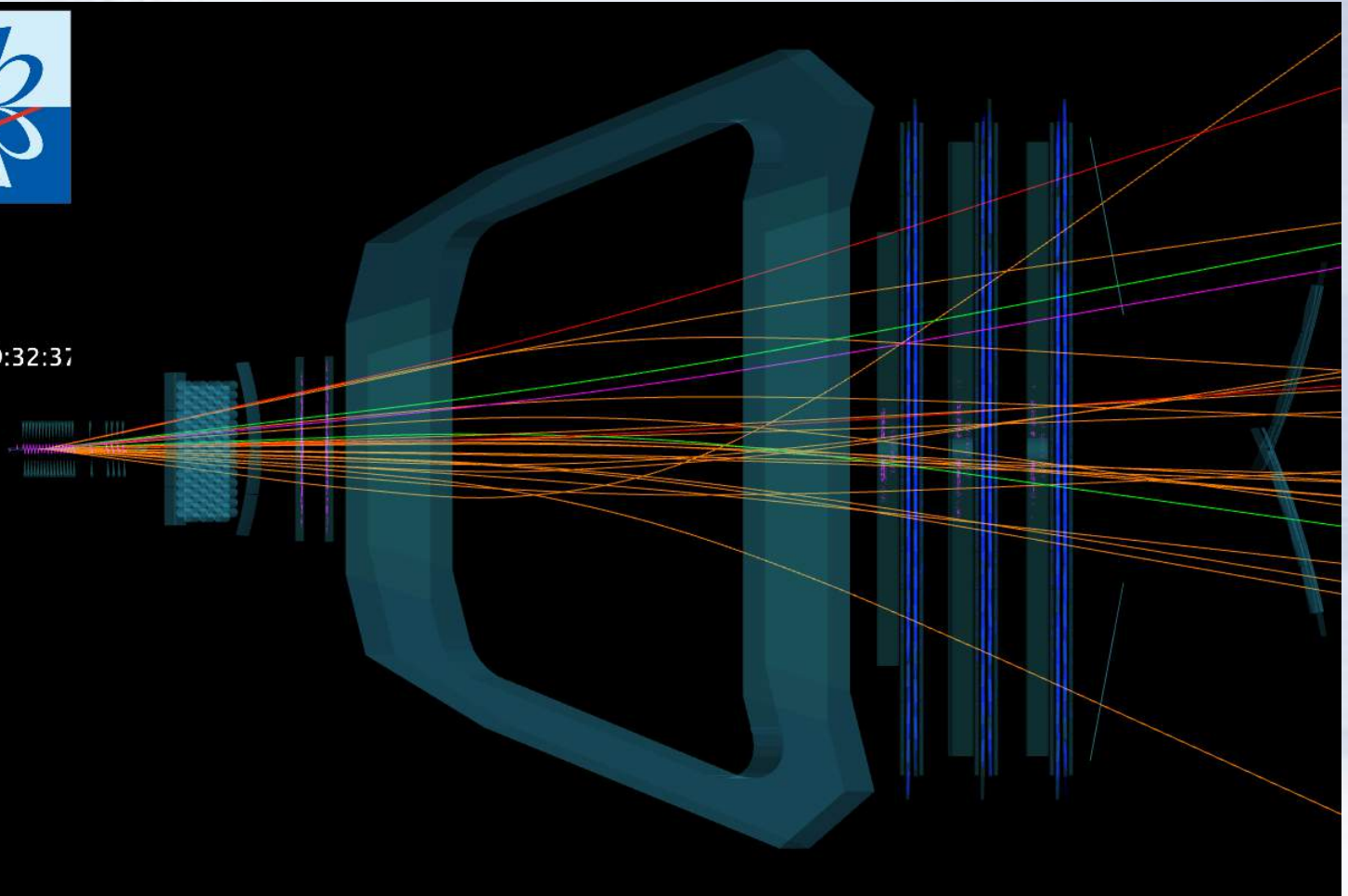
Magnetic field deflects charged particles and allows to estimate particle momentum. Tracking stations are needed to estimate the deflection.



# LHCb tracking system



Event 1647878630  
Run 114683  
Mon, 07 May 2012 09:32:37

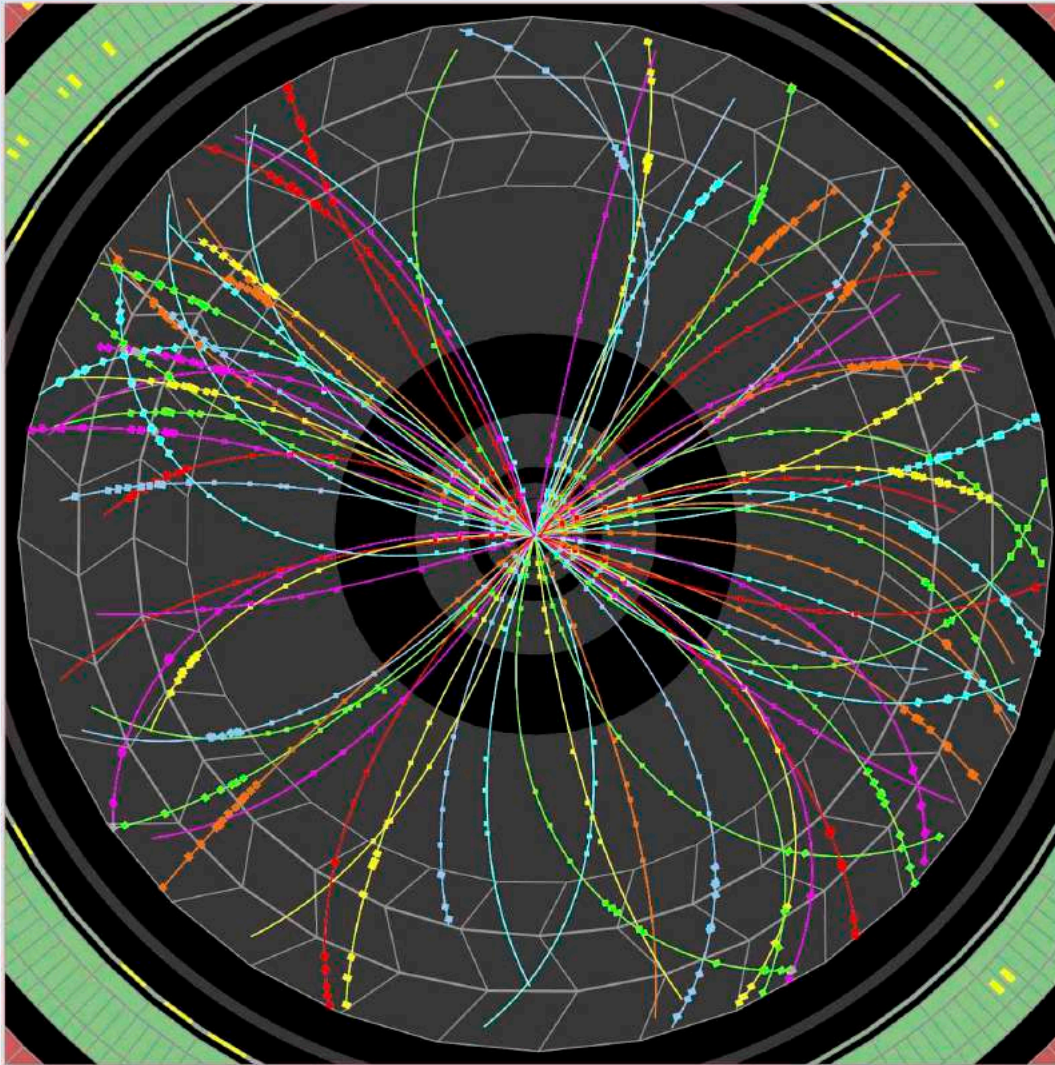


LHcb / <http://clangenb.web.cern.ch/clangenb/>



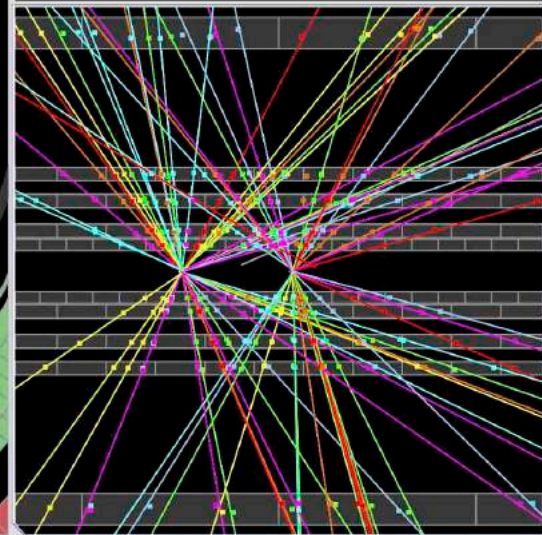


# ATLAS tracking system



Run Number: 265545, Event Number: 5720351

Date: 2015-05-21 10:39:54 CEST



Paul Laycock, <https://twiki.cern.ch/twiki/bin/view/AtlasPublic/EventDisplayRun2Collisions>

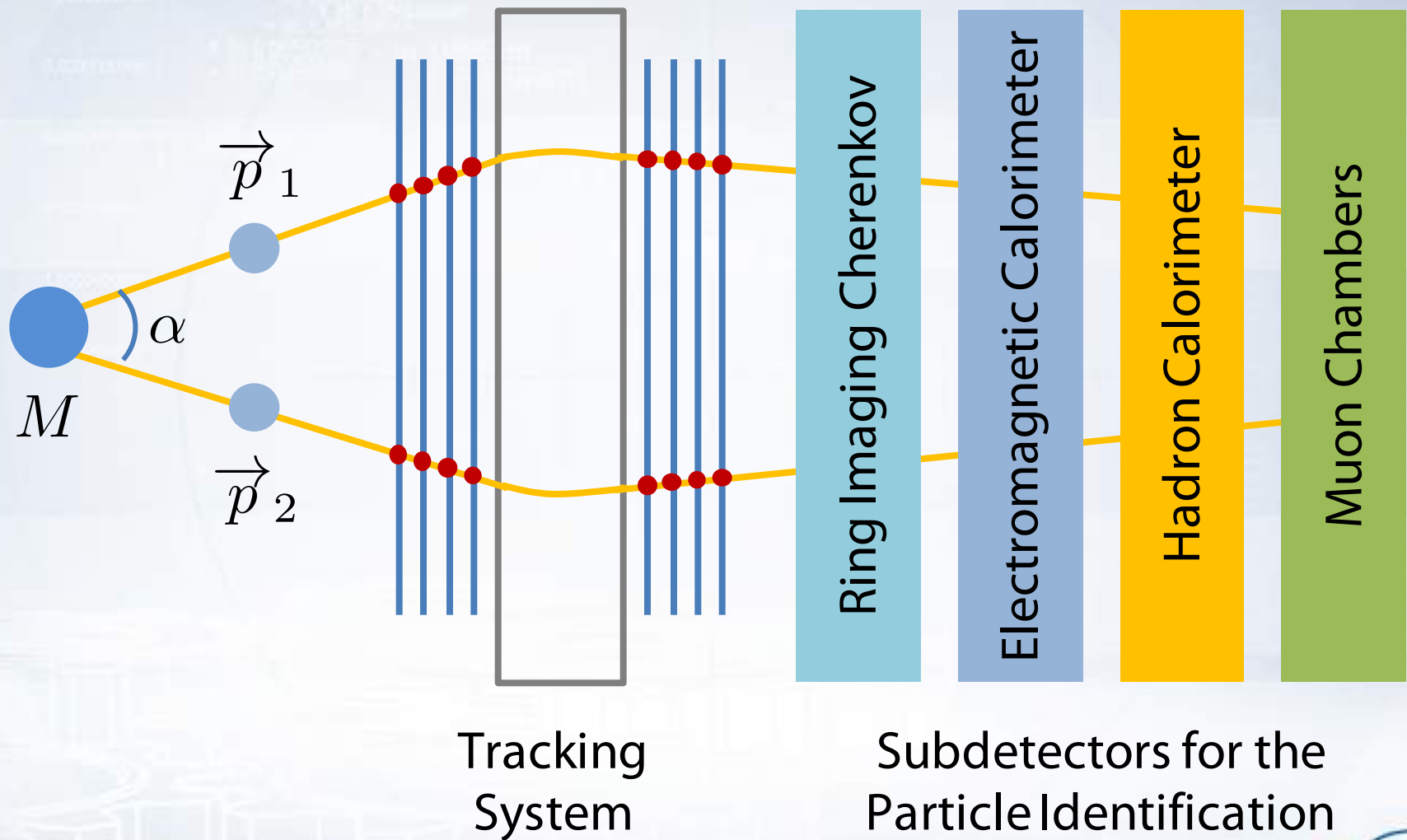




# Ring Imaging Cherenkov (RICH) detector



# Particle identification



# Ring Imaging Cherenkov (RICH) detector

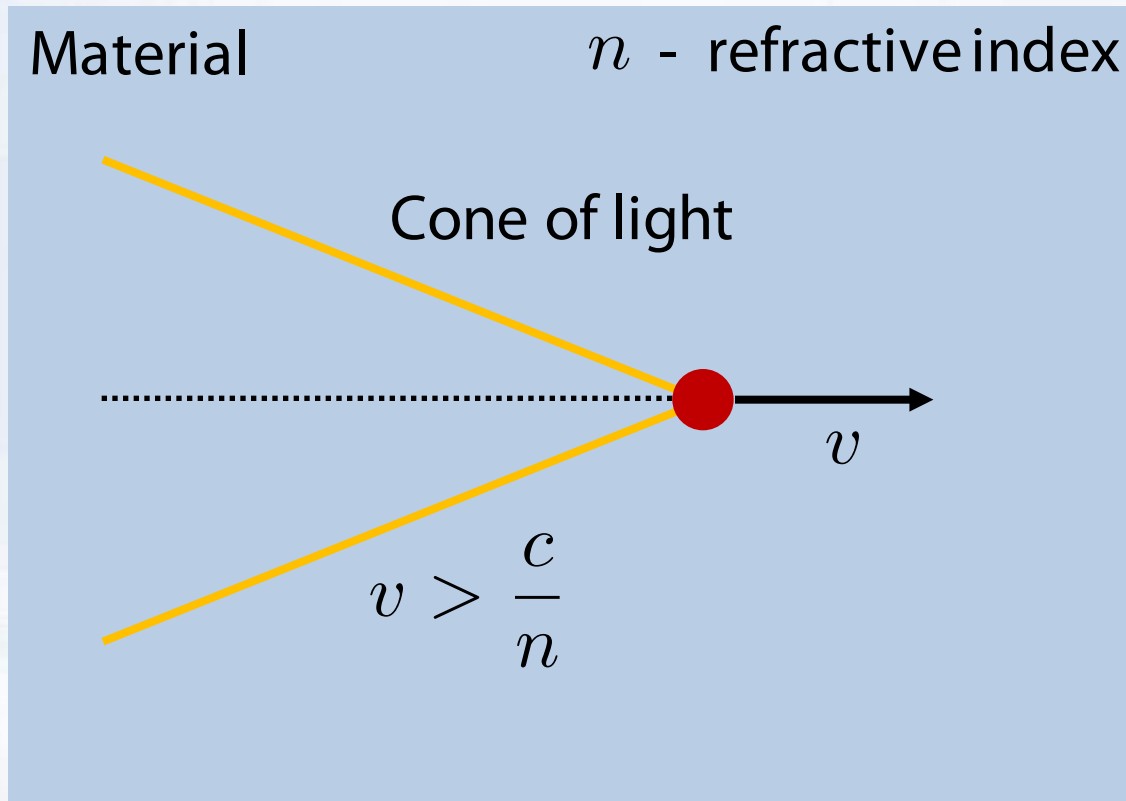
RICH detector is based on Cherenkov radiation effect:

Material                       $n$  - refractive index



# Ring Imaging Cherenkov (RICH) detector

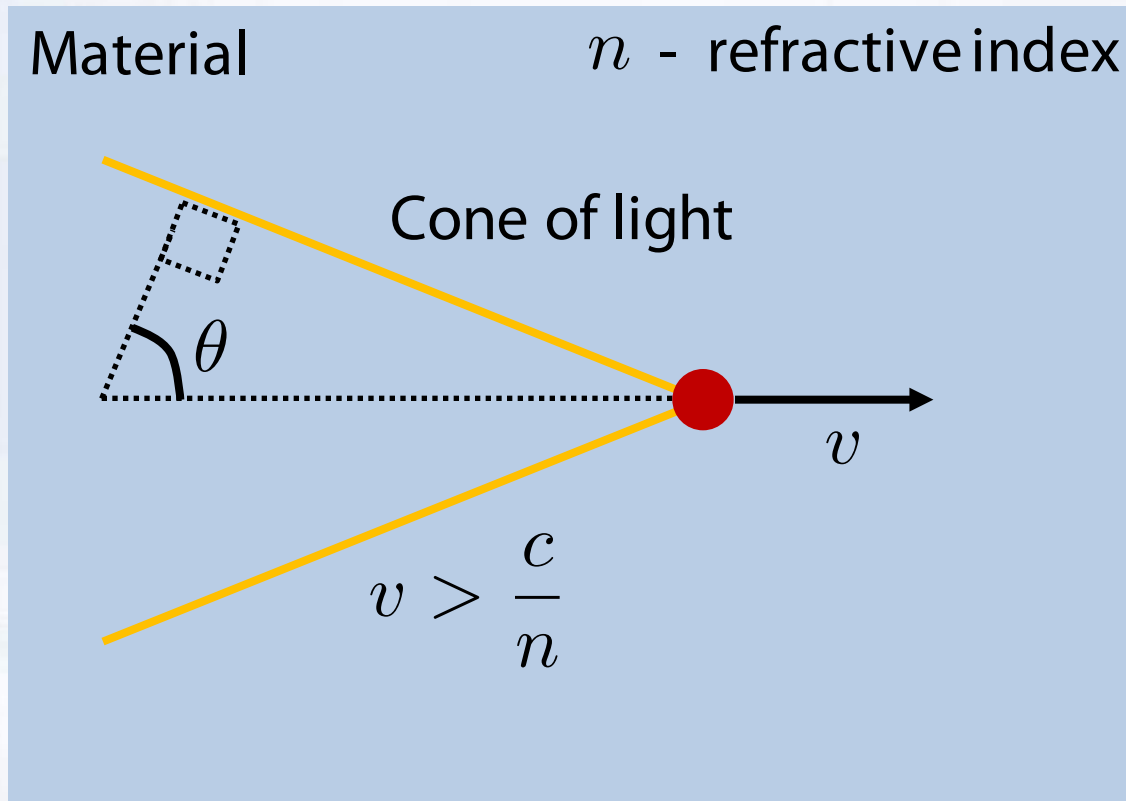
RICH detector is based on Cherenkov radiation effect:





# Ring Imaging Cherenkov (RICH) detector

RICH detector is based on Cherenkov radiation effect:



$$\cos \theta = \frac{1}{n\beta}$$

$$\beta = \frac{v}{c}$$



# Ring Imaging Cherenkov (RICH) detector

Momentum of a particle:

$$p = \frac{mc\beta}{\sqrt{1 - \beta^2}}$$

Then

$$\beta = \frac{p}{\sqrt{p^2 + m^2c^2}}$$

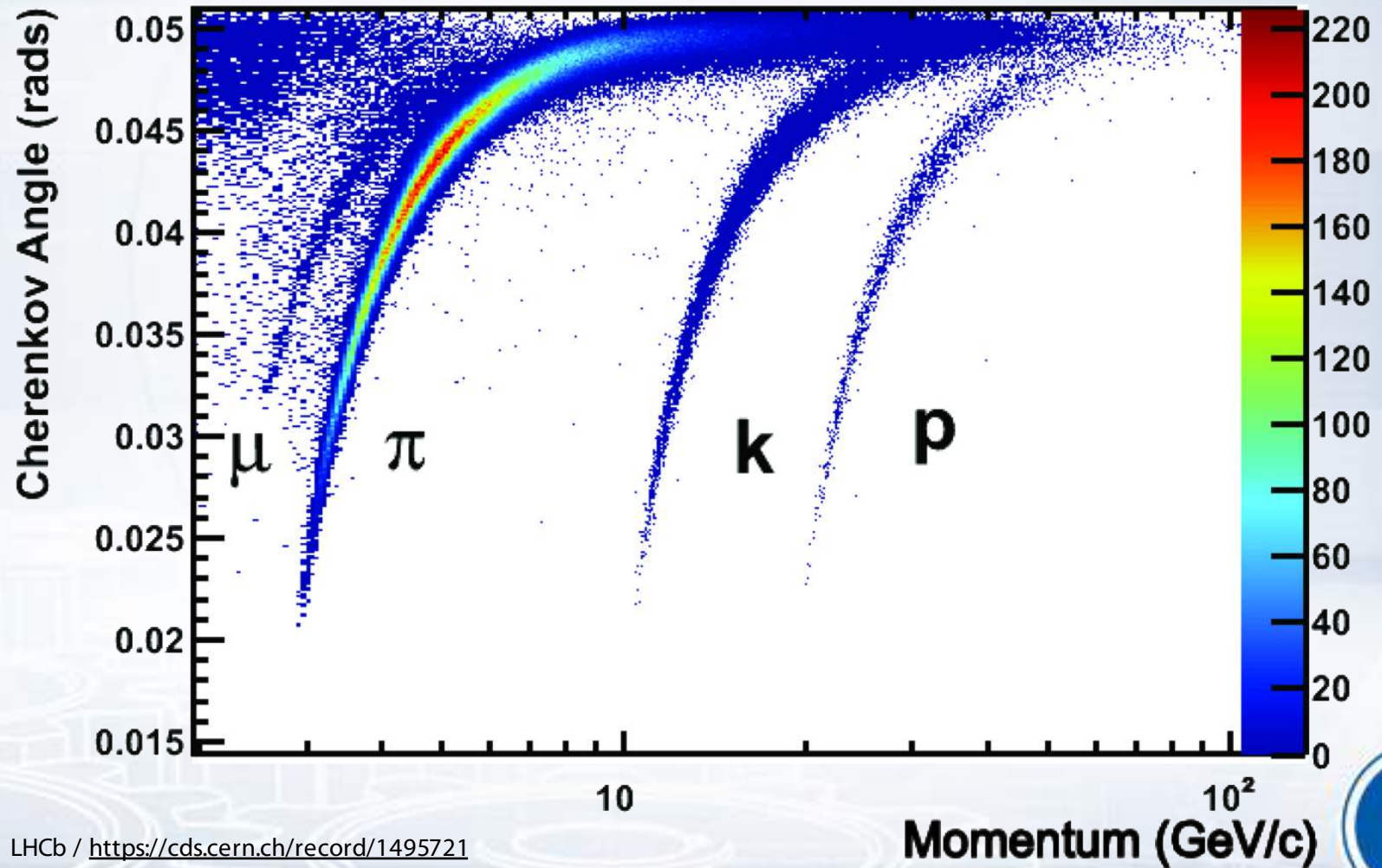
Cherenkov emission angle takes form:

$$\cos \theta = \frac{1}{n\beta} = \frac{\sqrt{p^2 + m^2c^2}}{np}$$



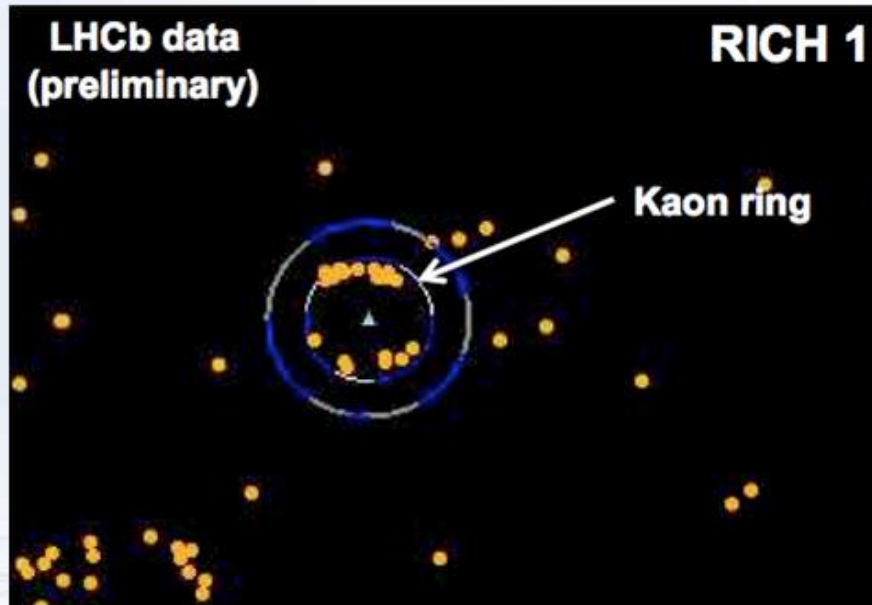
# Ring Imaging Cherenkov (RICH) detector

Reconstructed Cherenkov angle as a function of track momentum:

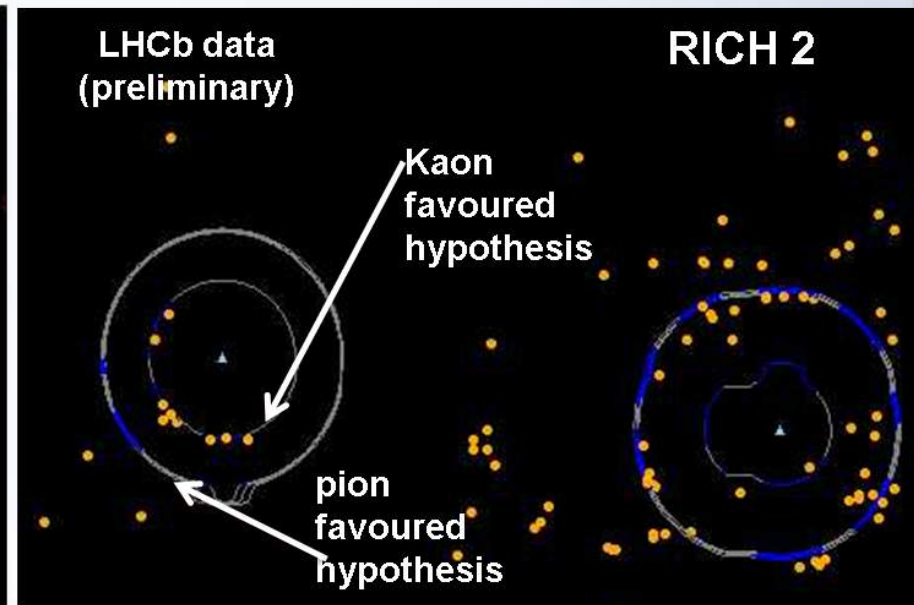


# Ring Imaging Cherenkov (RICH) detector

How it looks in the LHCb RICH detectors:



LHCb / <https://inspirehep.net/record/857115/plots>



LHCb / <https://inspirehep.net/record/857115/plots>

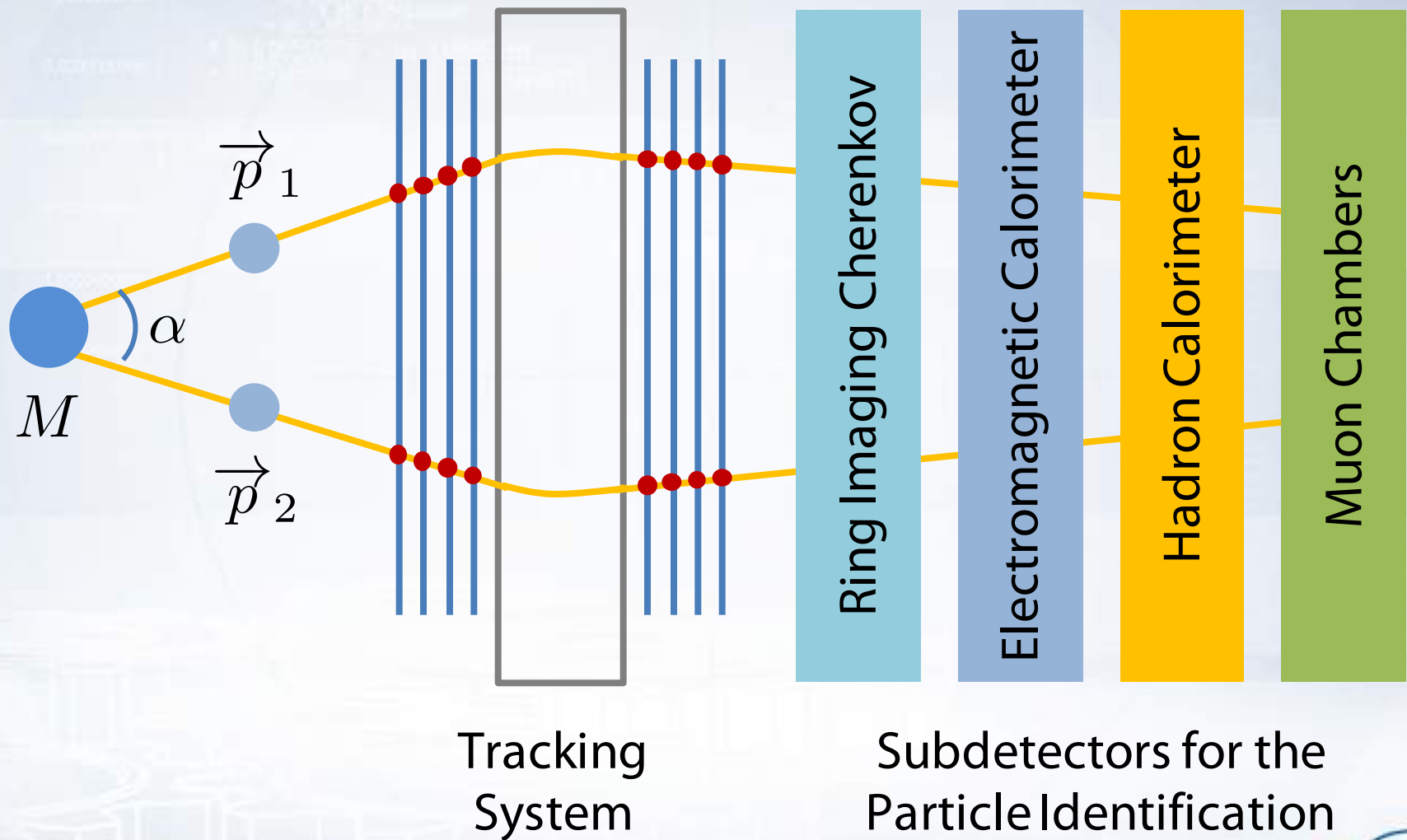




# Calorimeters



# Particle identification



# Electromagnetic calorimeter

The calorimeter system is designed to measure particles energy.

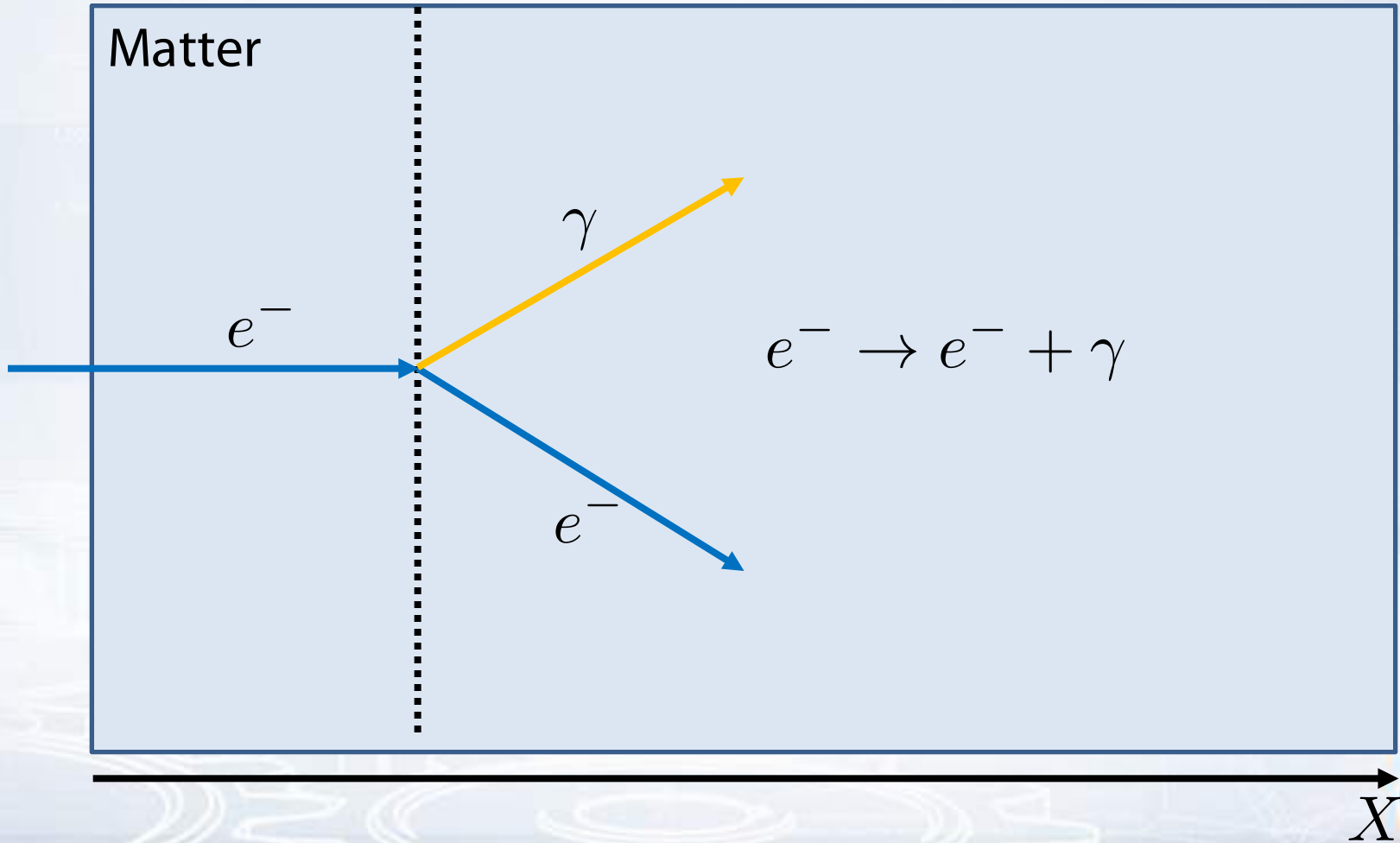
Particles interact with matter of the calorimeter and lose energy. The calorimeter measures how much energy the particles lose before they stop.

The **electromagnetic calorimeter** is responsible for measuring the energy of electrons and photons.



# Electromagnetic calorimeter

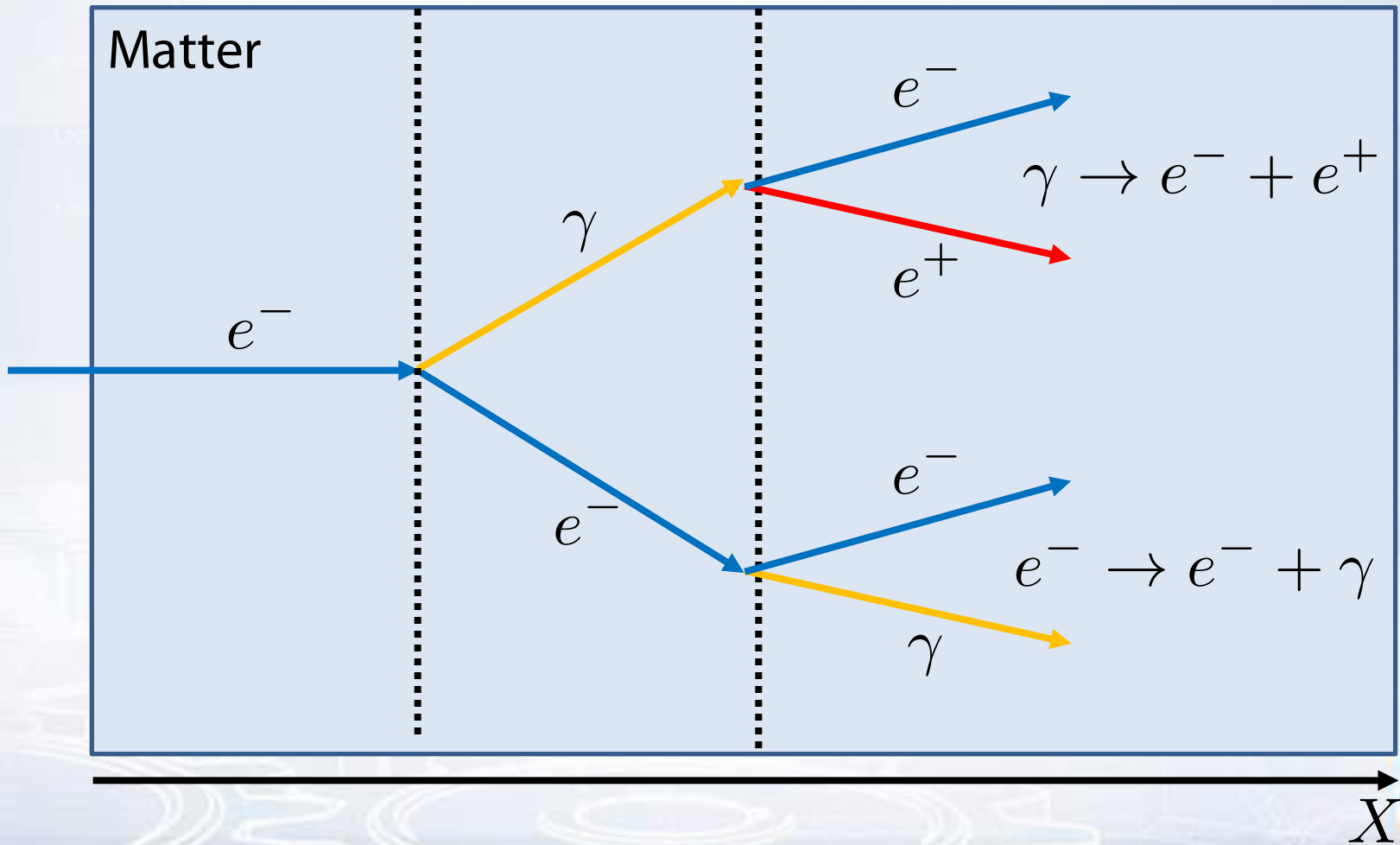
Interacting with matter an electron emits a photon:





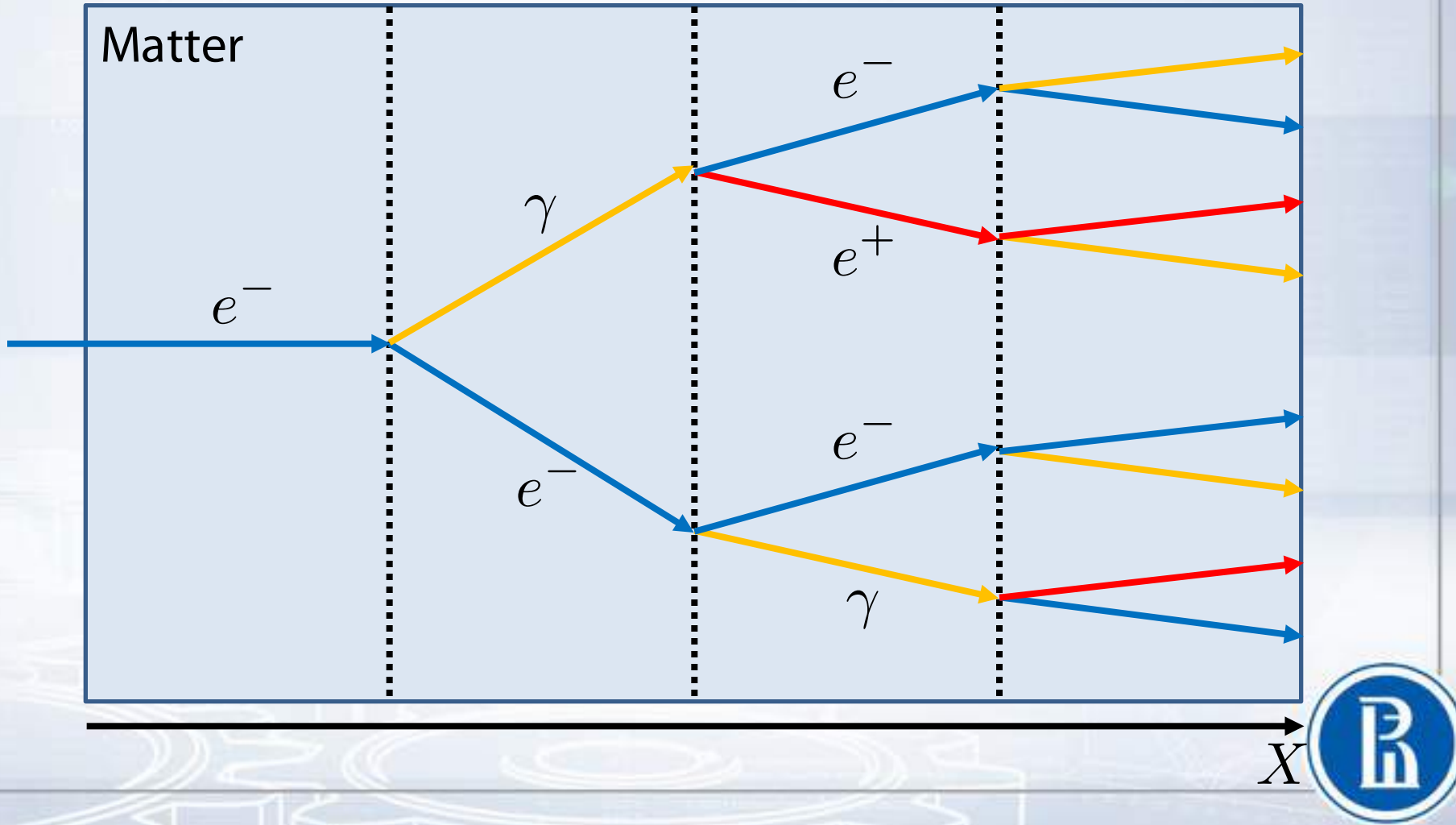
# Electromagnetic calorimeter

Interacting with matter an photon decays into an electron and a positron:



# Electromagnetic calorimeter

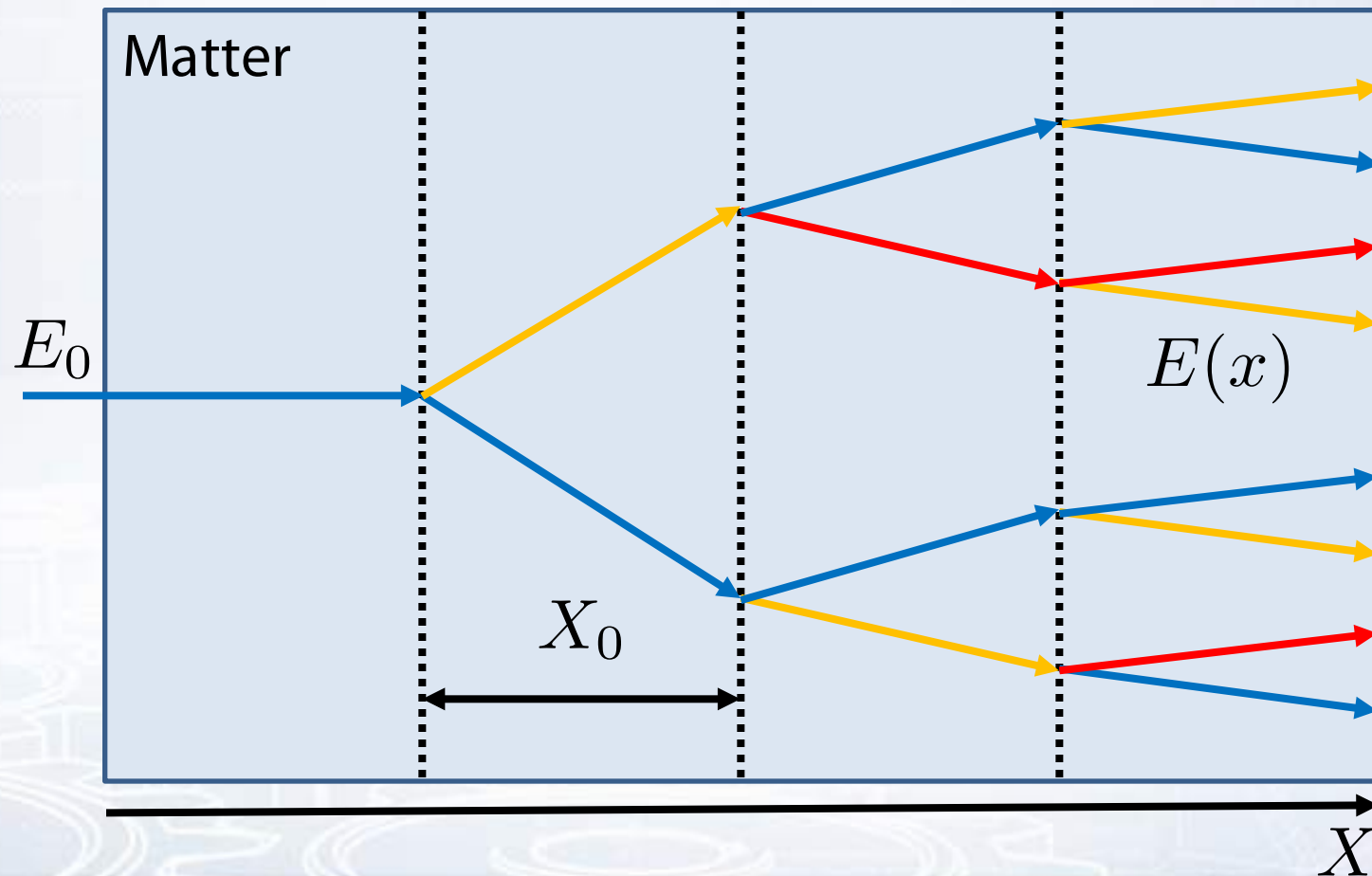
The process repeats creating an **electromagnetic shower**:



# Electromagnetic calorimeter

Particle energy is defined as:

$$E(x) \approx E_0 e^{-\frac{x}{X_0}}$$



# Electromagnetic calorimeter

Electromagnetic shower grows while the energy of the particles is above the critical value  $E_C$ . The shower size  $X_{max}$  can be estimated as follow:

$$E_C \approx E_0 e^{-\frac{X_{max}}{X_0}}$$

$$X_{max} \approx X_0 \ln \frac{E_0}{E_C}$$





# Electromagnetic calorimeter

Electromagnetic shower grows while the energy of the particles is above the critical value  $E_C$ . The shower size  $X_{max}$  can be estimated as follow:

$$E_C \approx E_0 e^{-\frac{X_{max}}{X_0}}$$

$$X_{max} \approx X_0 \ln \frac{E_0}{E_C}$$

The total number of particles in the shower is estimated as:

$$N \sim \frac{E_0}{E_C}$$

Measuring the number of particles allows to determine the energy of the incoming particle. This number is measured by **Scintillation Counters**.



# Electromagnetic calorimeter

**Matter:** creates an electromagnetic shower



**Scintillation Counter:** count number of particles in the shower



# LHCb electromagnetic calorimeter

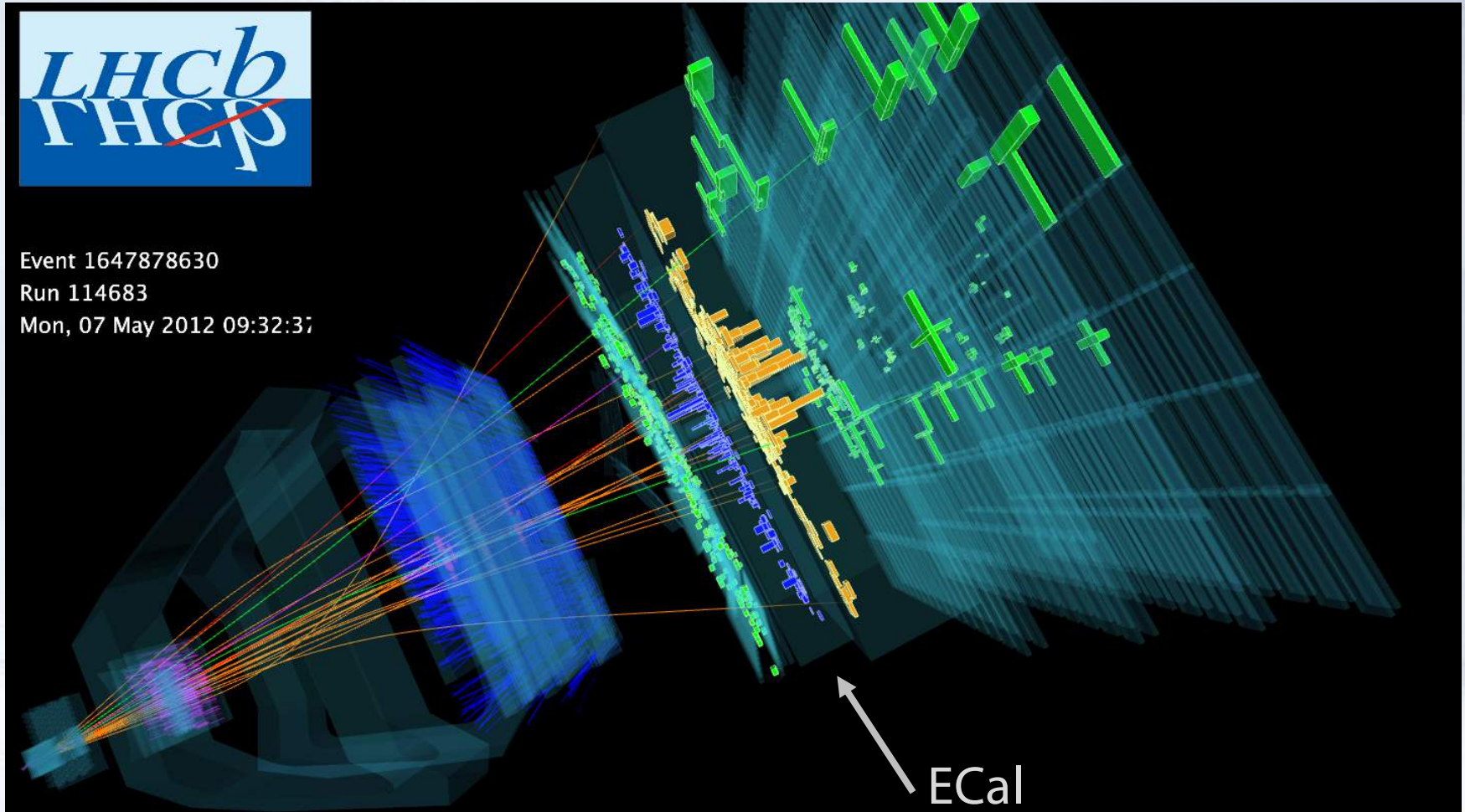


Maximilien Brice / <http://cds.cern.ch/record/835712>





# LHCb electromagnetic calorimeter





# Electromagnetic calorimeter

Estimated from this equation.  
**Identifies a particle.**

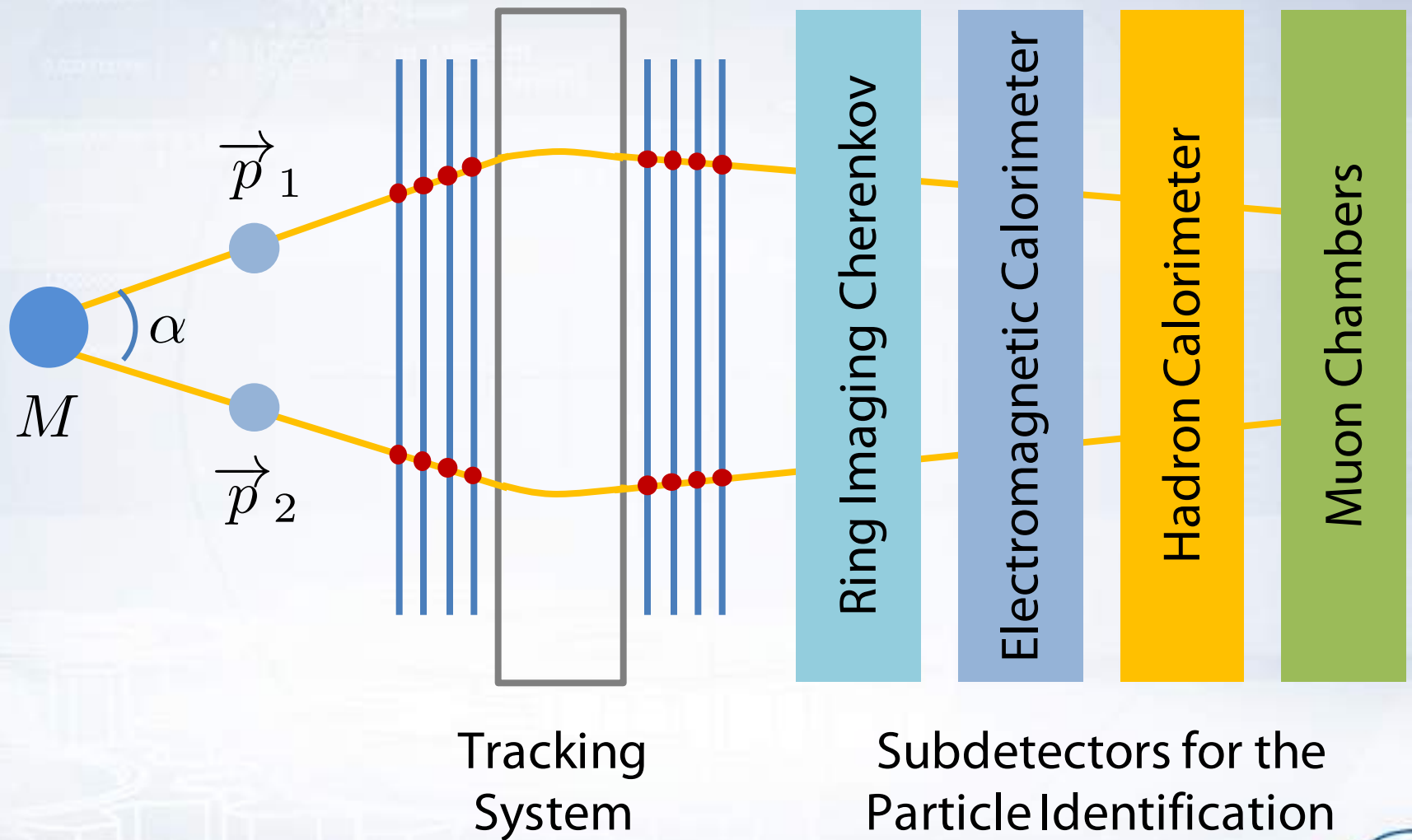
Measured in the  
**calorimeter**

$$E^2 = p^2 c^2 + m^2 c^4$$

Measured in the  
**tracking system**



# Particle identification



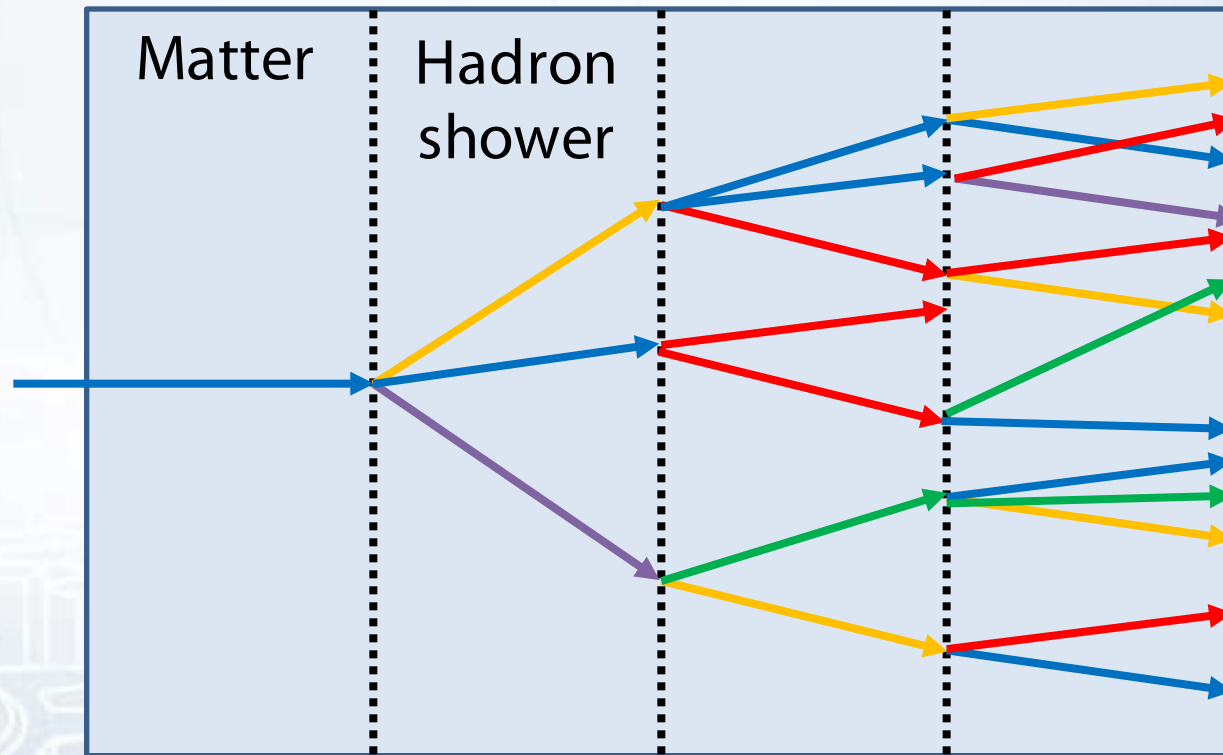
# Hadron calorimeter

The **hadron calorimeter** is responsible for measuring the energy of protons, neutrons and other particles containing quarks.



# Hadron calorimeter

- The **hadron calorimeter** is similar to the electromagnetic calorimeter.
- But a particle produces a **hadronic shower** due to interactions with the nuclei of matter atoms.
- The shower consists of a large number of different particle types.

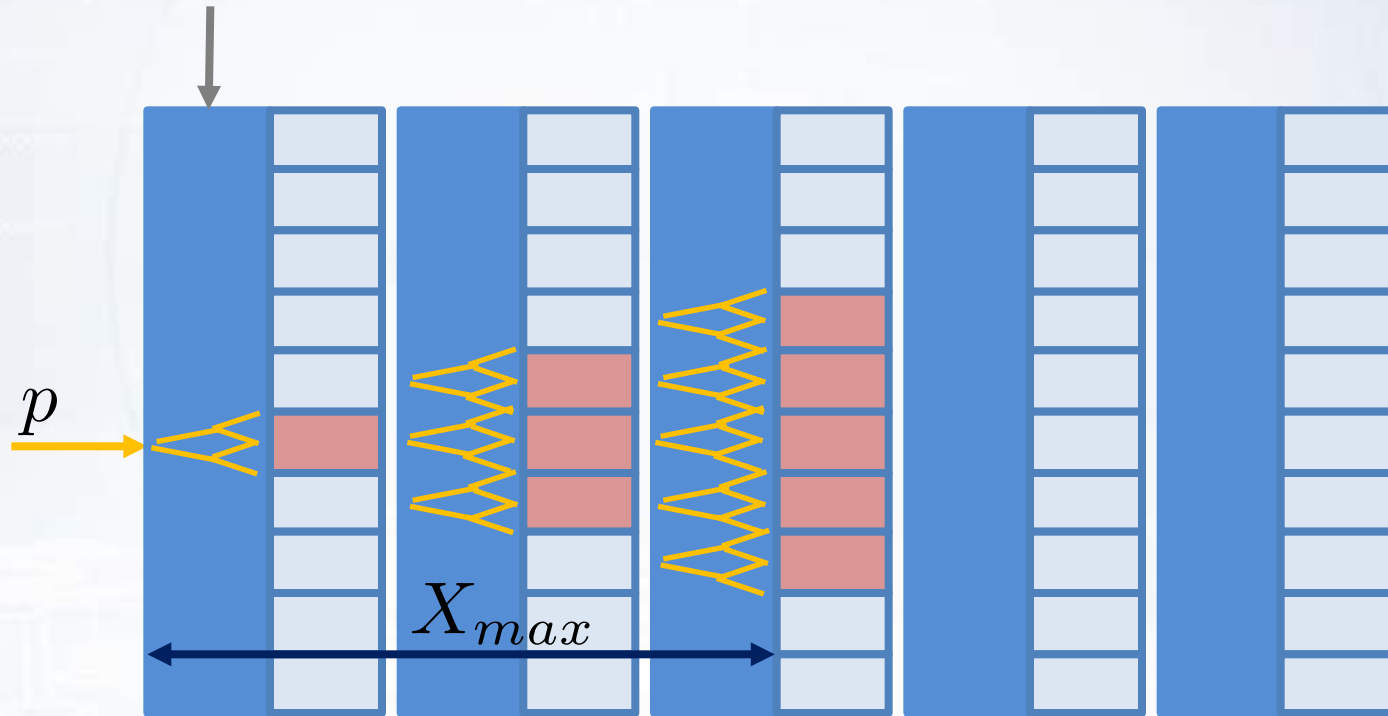




# Hadron calorimeter

The hadron calorimeter composition:

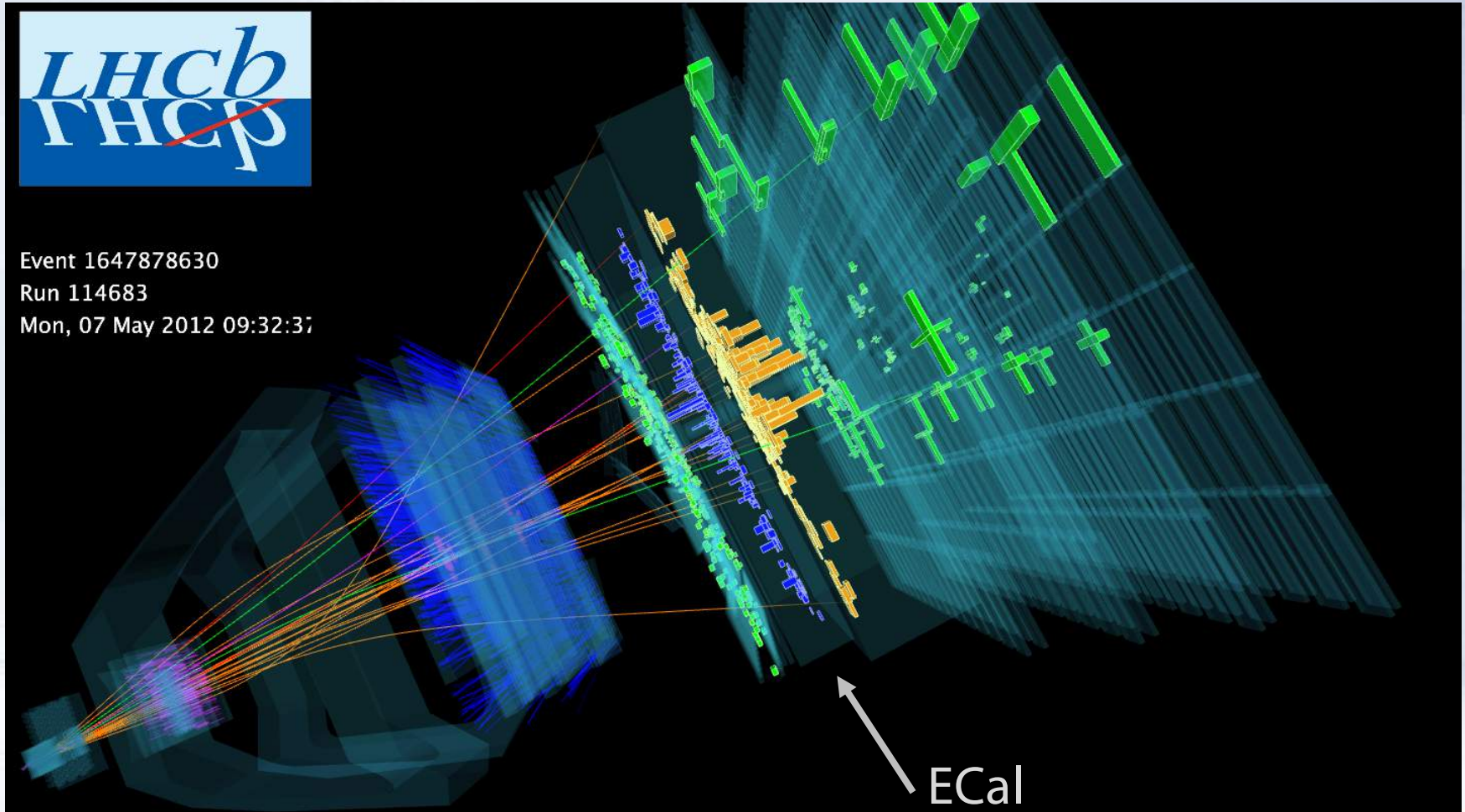
**Matter:** creates an hadronic shower



**Scintillation Counters:** counts number of particles in the shower



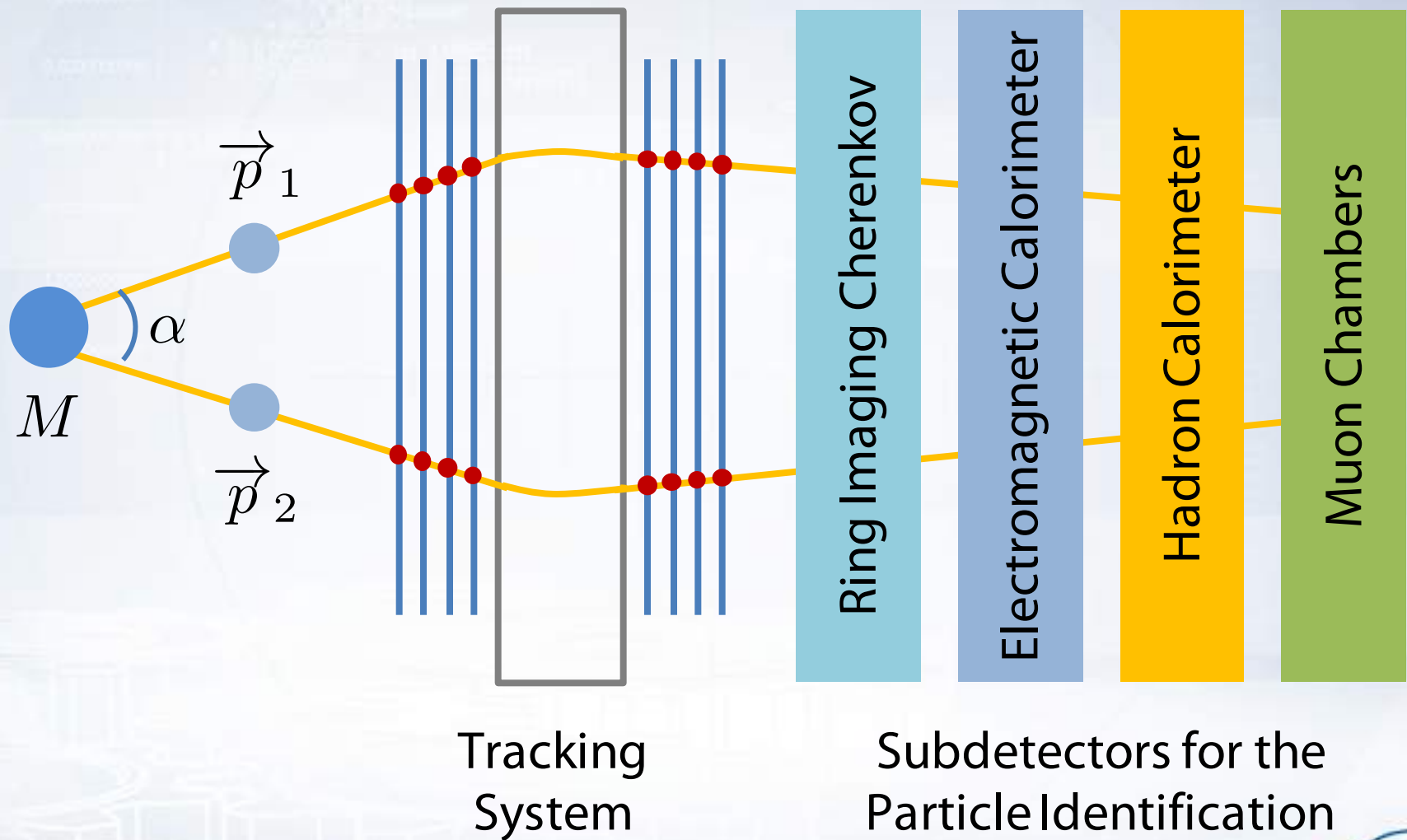
# LHCb electromagnetic calorimeter



# Muon system

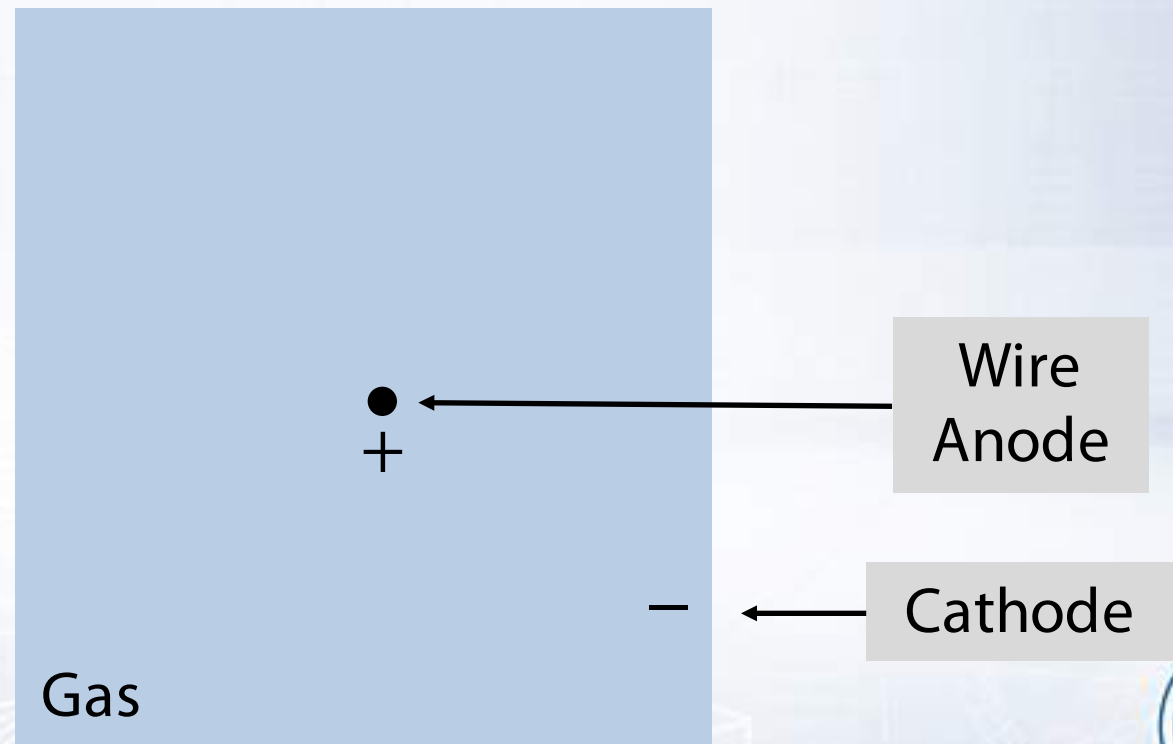


# Particle identification



# Muon chambers

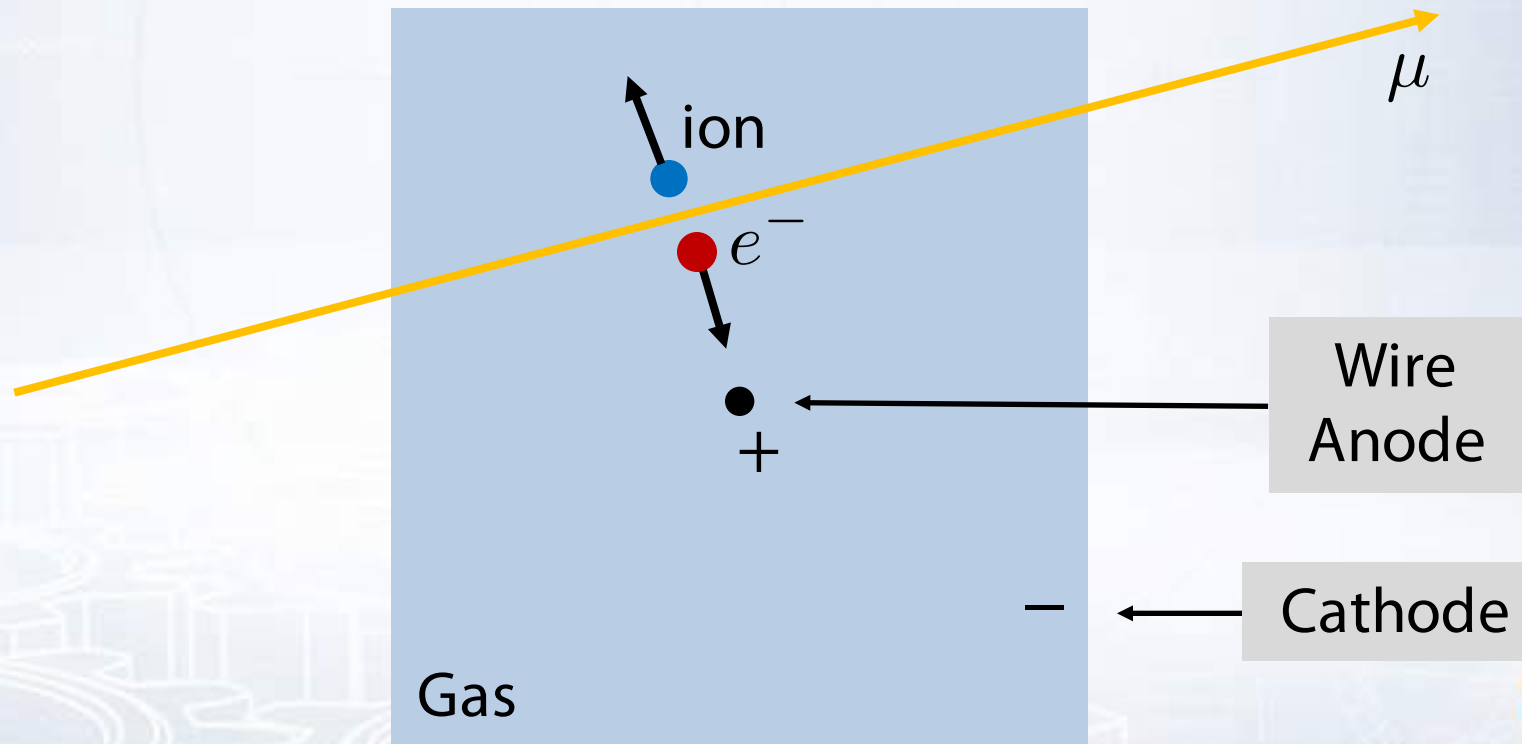
- A muon chamber is filled with gas and has a wire inside.
- Voltage is applied between the wire (anode) and the chamber walls (cathode).





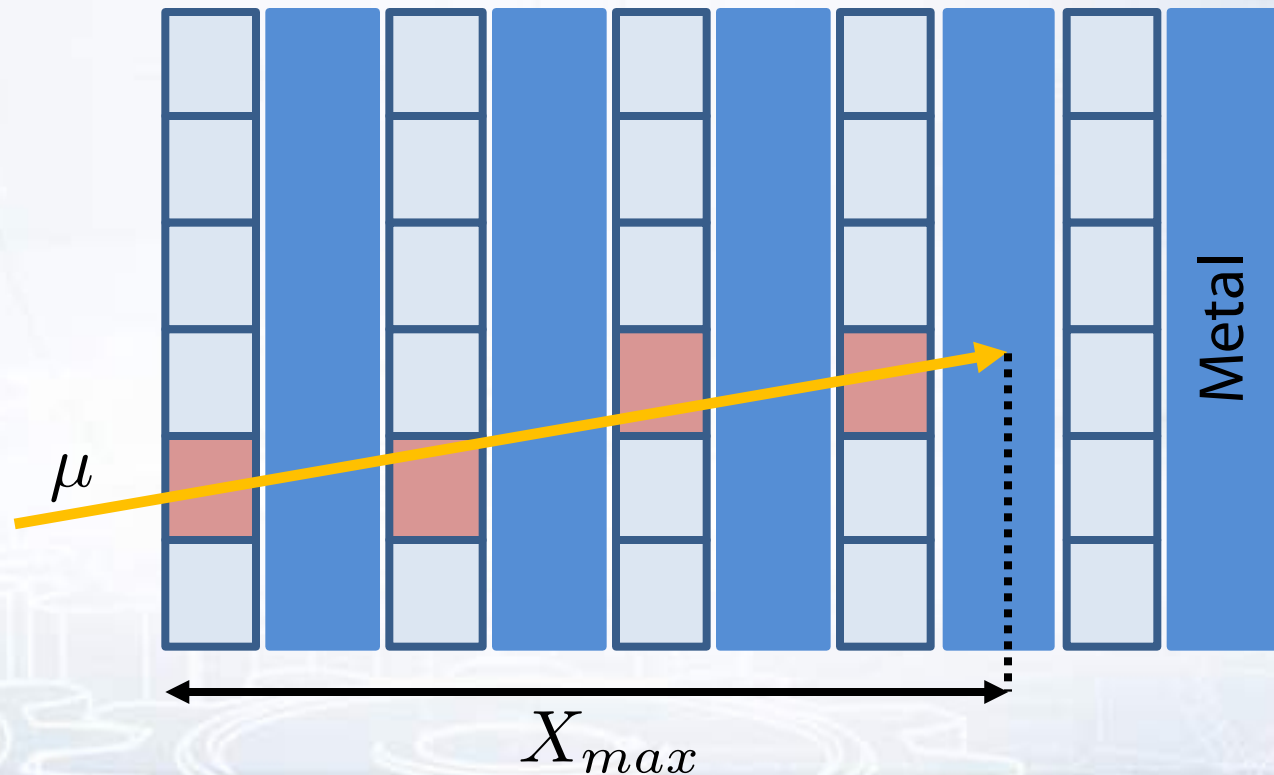
# Muon chambers

- A muon passes through the gas and ionizes it.
- Due to the electrostatic field inside the chamber, ions go to the cathode, electrons go to the anode. This creates signal in the chamber that detects the muon.



# Muon chambers

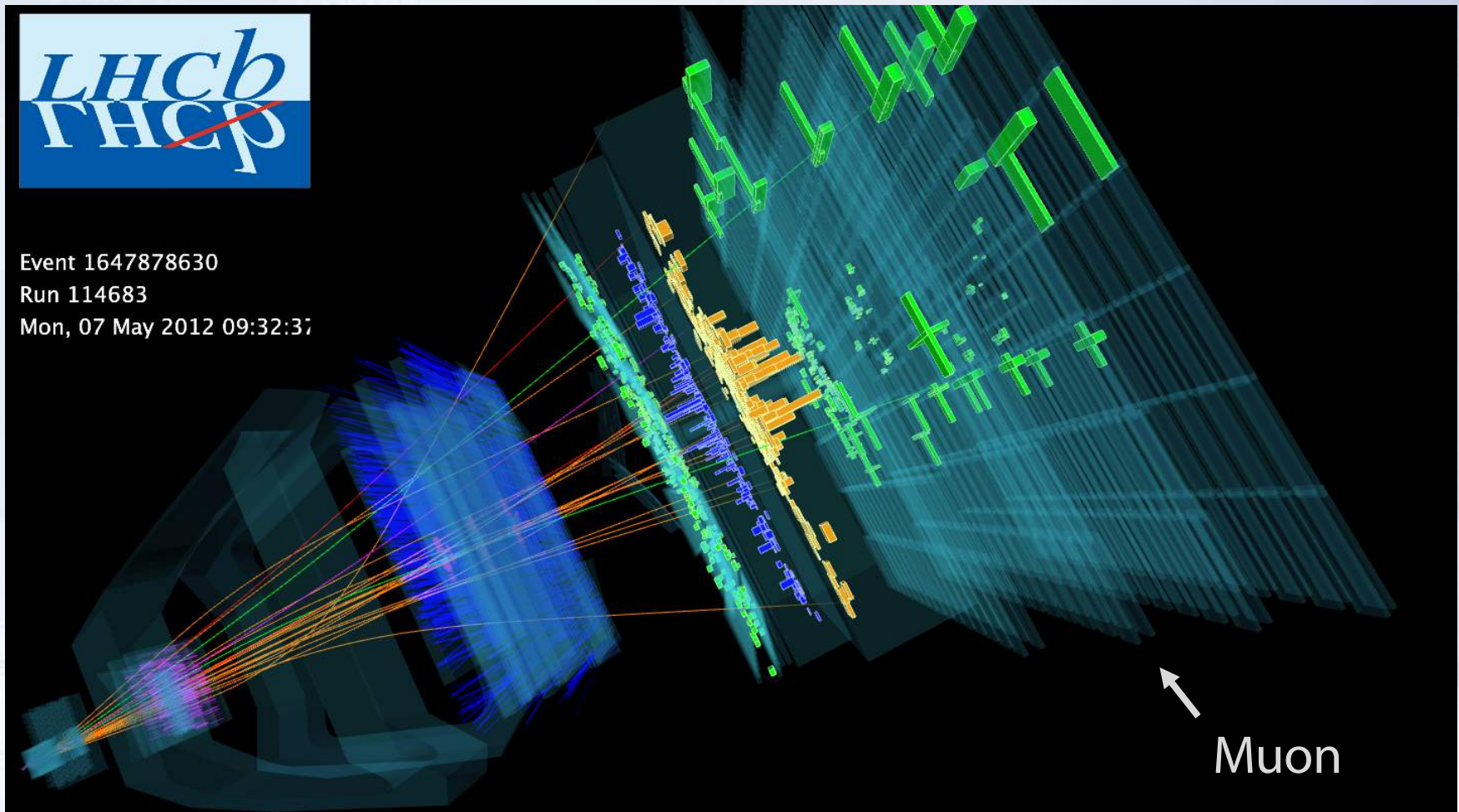
- A muon system has several layers of muon chambers with layers of metal between them.
- The goal of the metal is to stop muons.
- The larger  $X_{max}$  the higher muon energy.



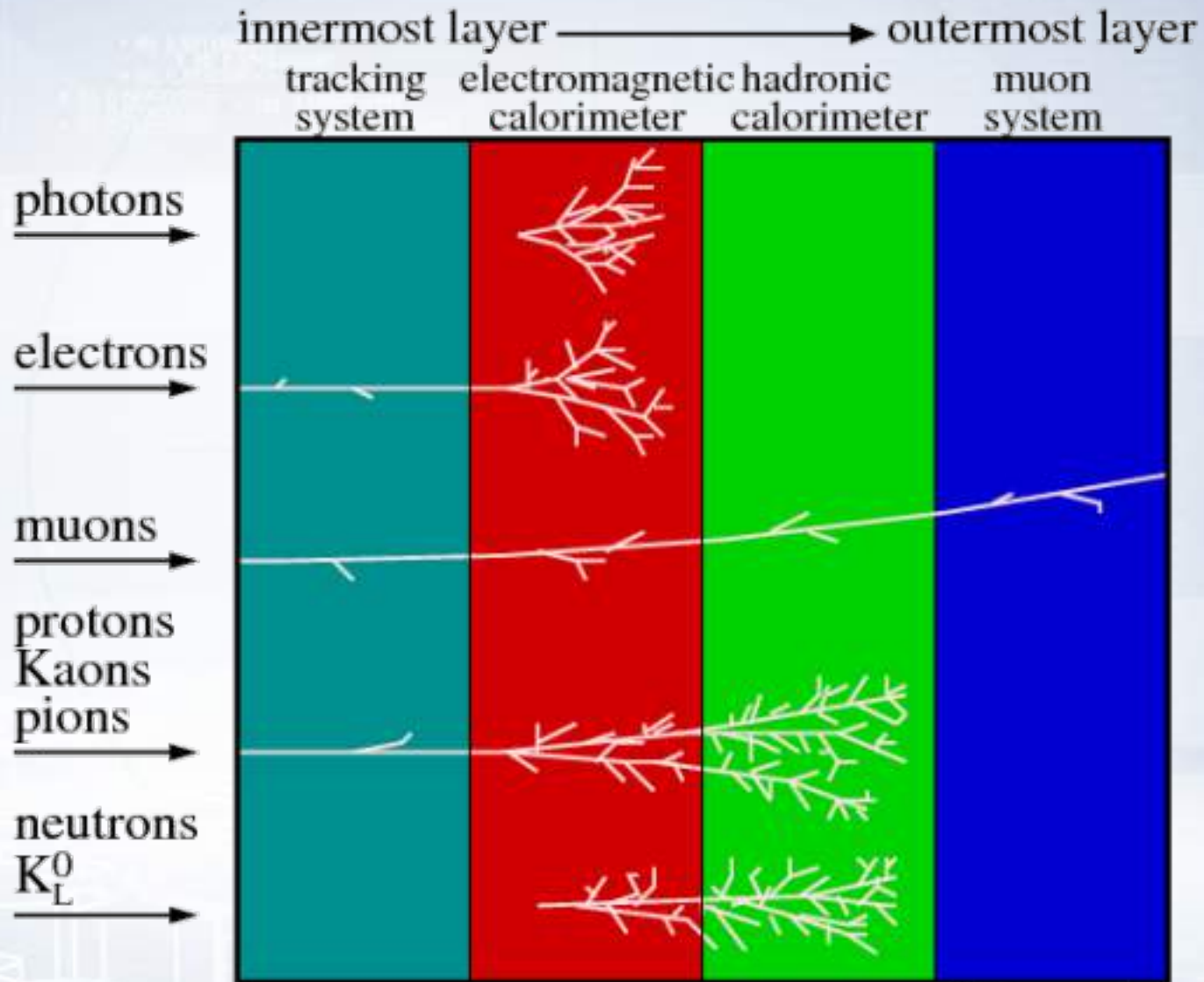
# LHCb muon system



Event 1647878630  
Run 114683  
Mon, 07 May 2012 09:32:37



# Particle identification overview

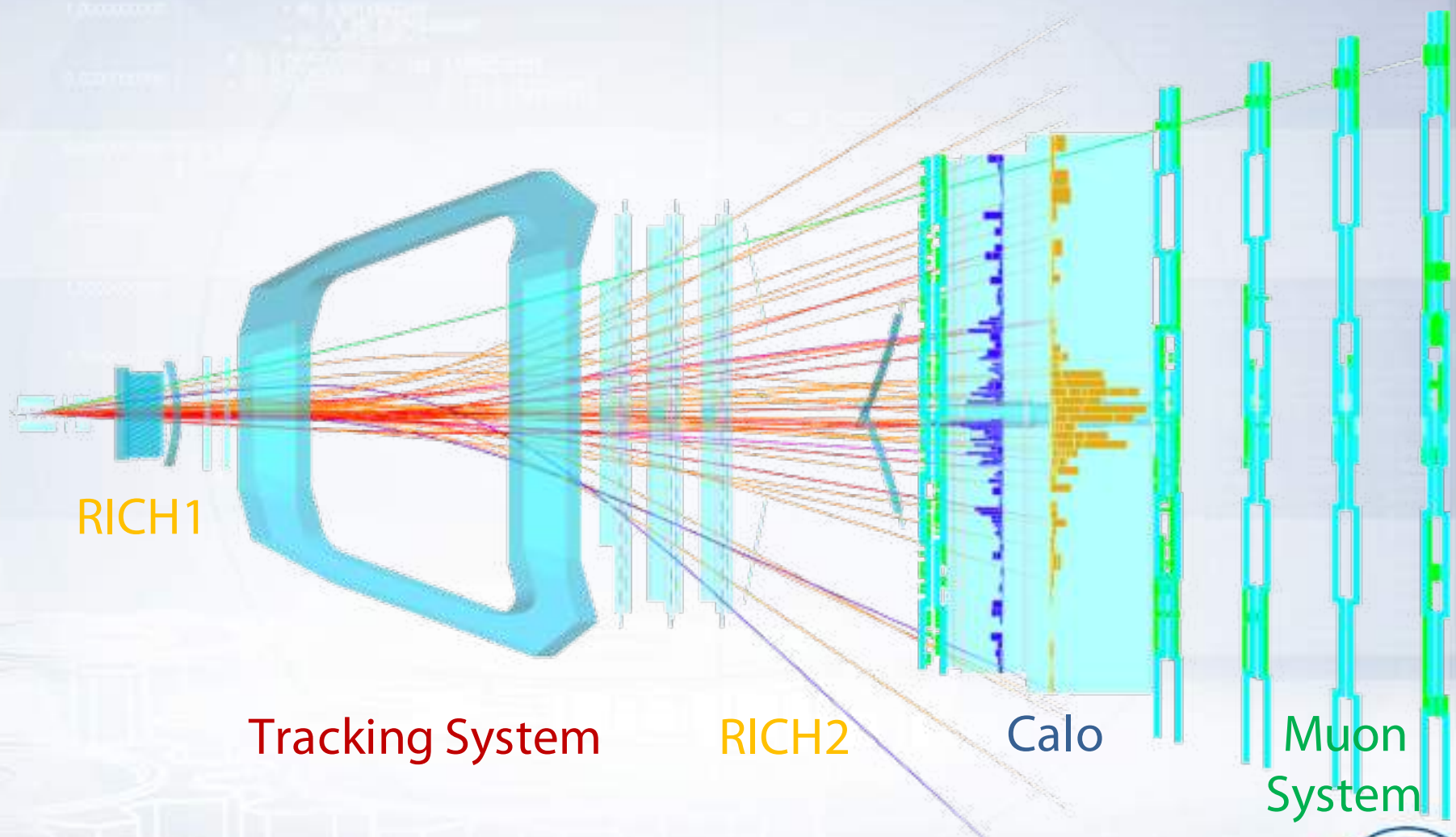


C. Lippmann - 2003



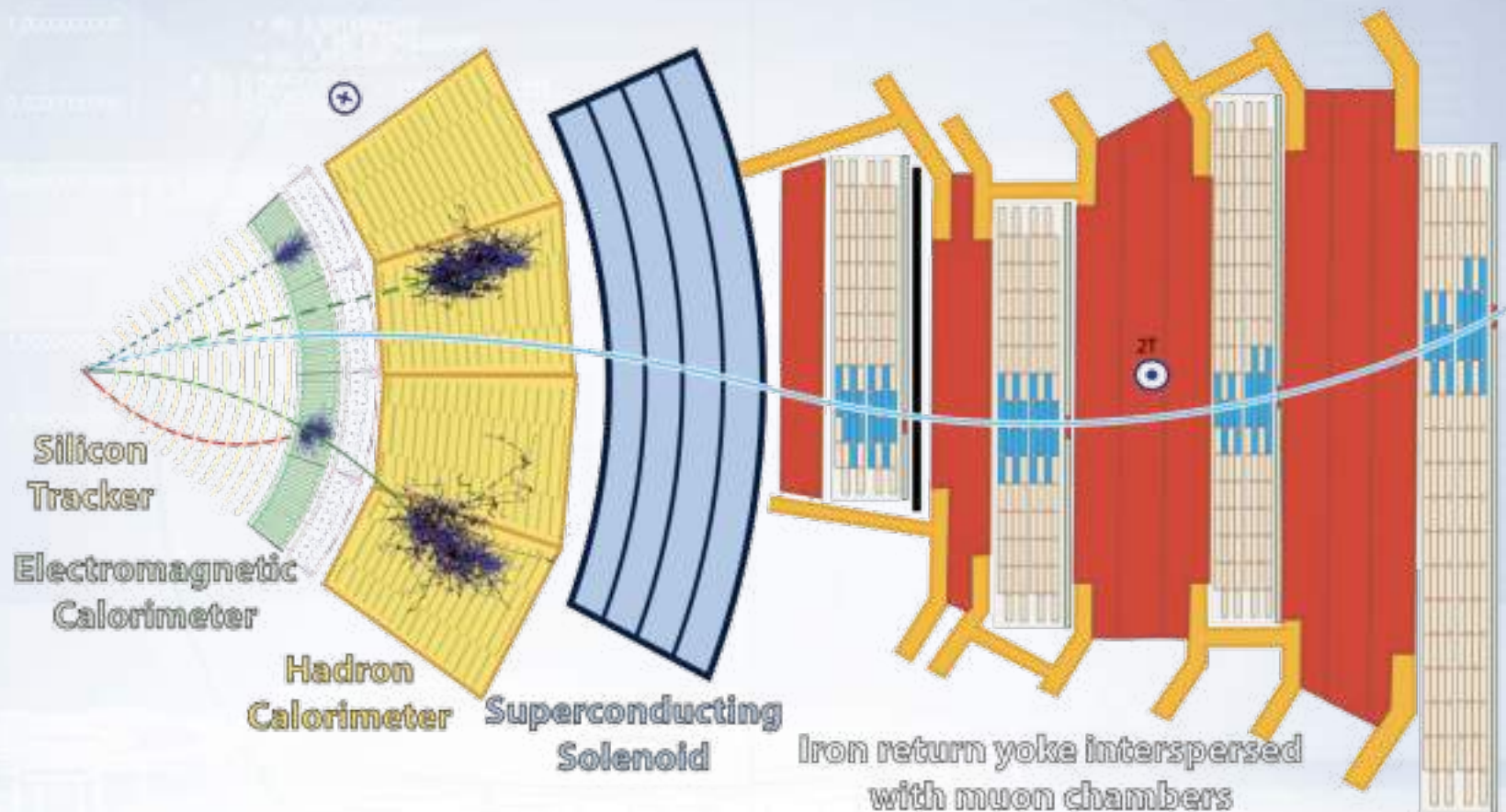


# LHCb detector





# CMS detector



# Machine learning in particle identification



# Problem statement

The goal of the **particle identification (PID)** is to identify a type of a particle associated with a track using responses from different subdetectors (detector systems).

There are 5 particle types: Electron ( $e$ ), Proton ( $p$ ), Kaon ( $K$ ), Pion ( $\pi$ ), Muon ( $\mu$ ).

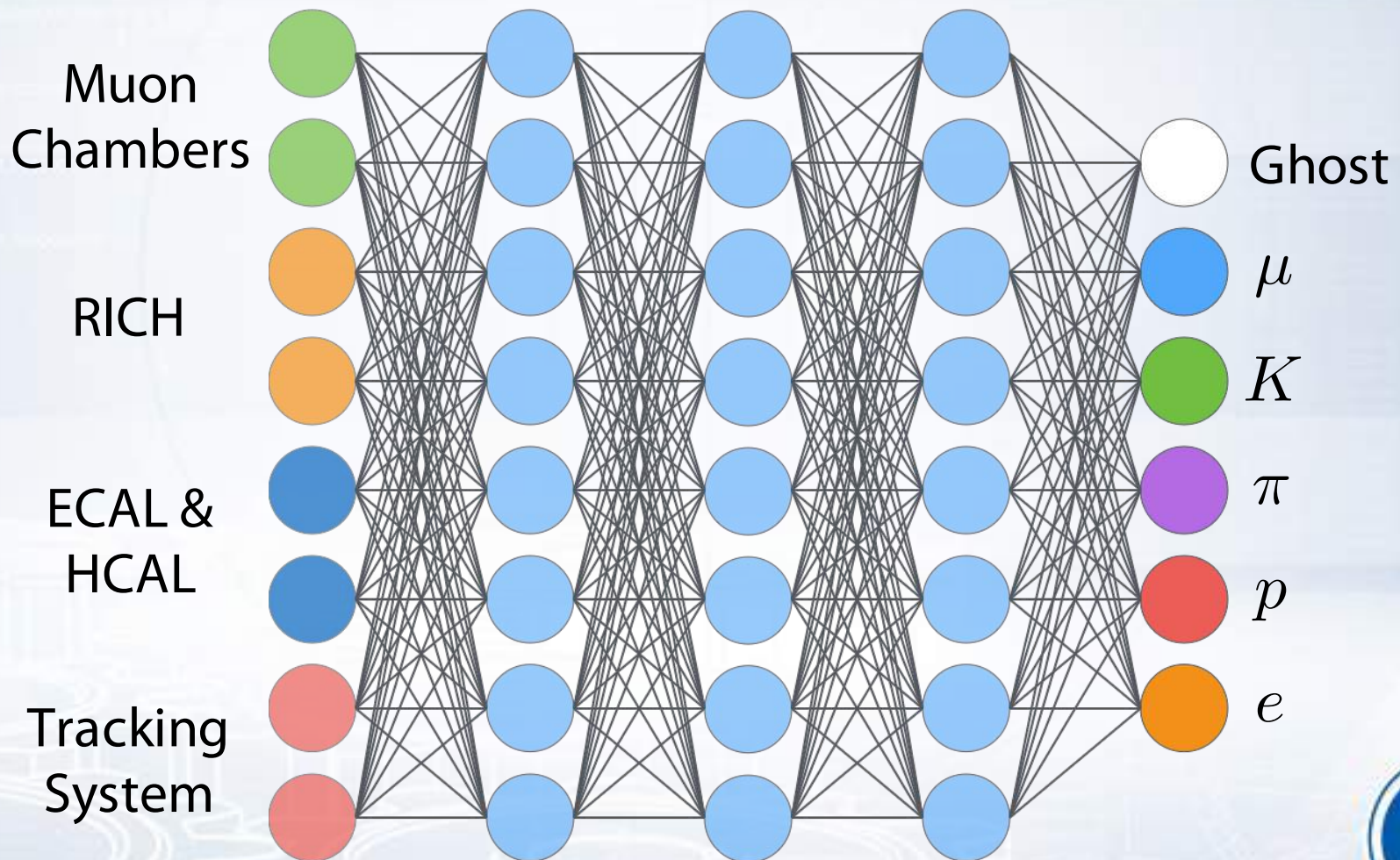
## Detector systems:

- Tracking system
- Ring Imaging Cherenkov detector (RICH)
- Electromagnetic calorimeter (ECAL)
- Hadron calorimeter (HCAL)
- Muon Chambers



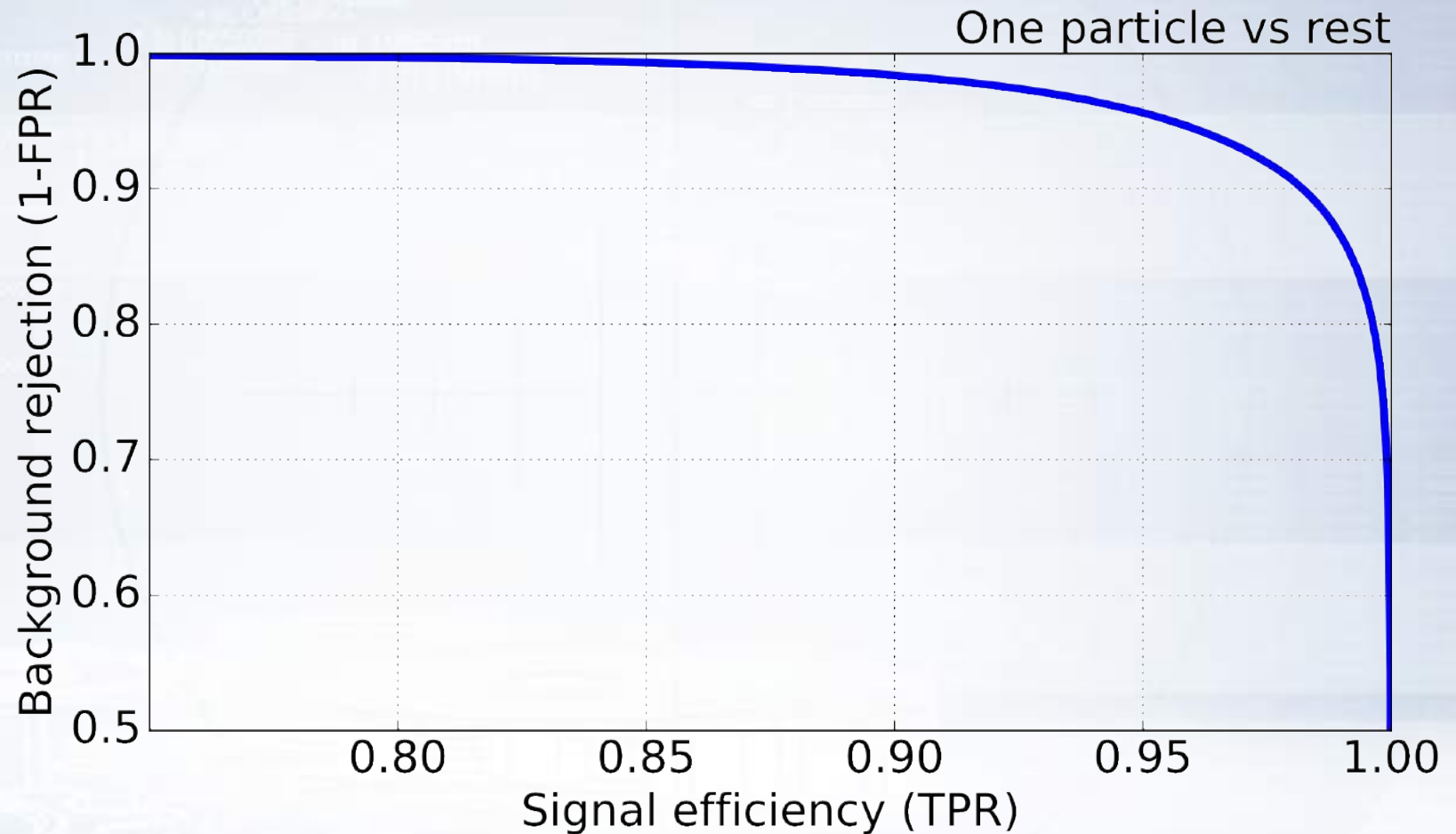
# Machine learning in PID

Particle identification is multiclass problem in machine learning:





# ROC curve in PID

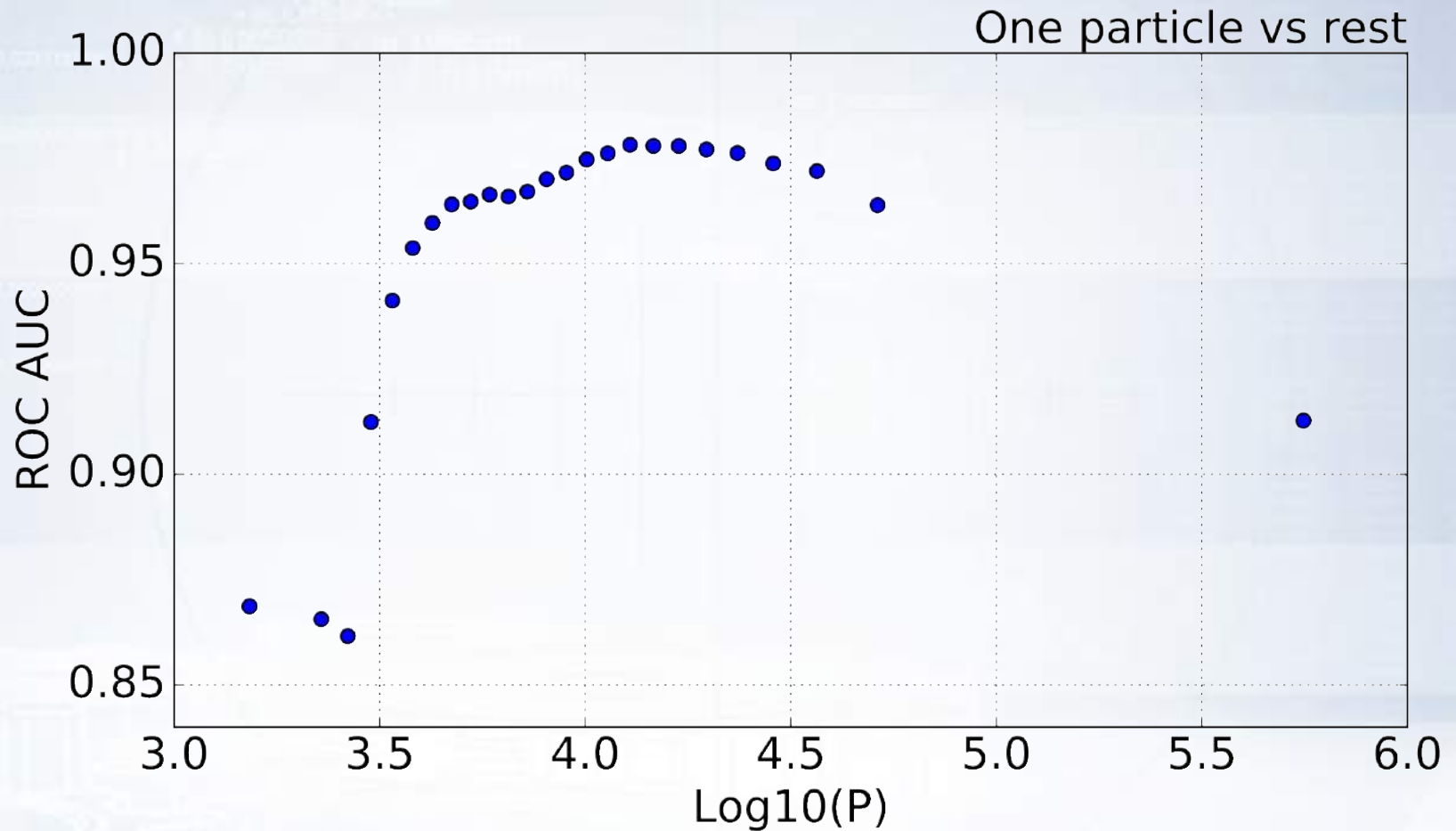


Typical ROC AUC values in PID are 0.90 – 0.995 depending on particle type.





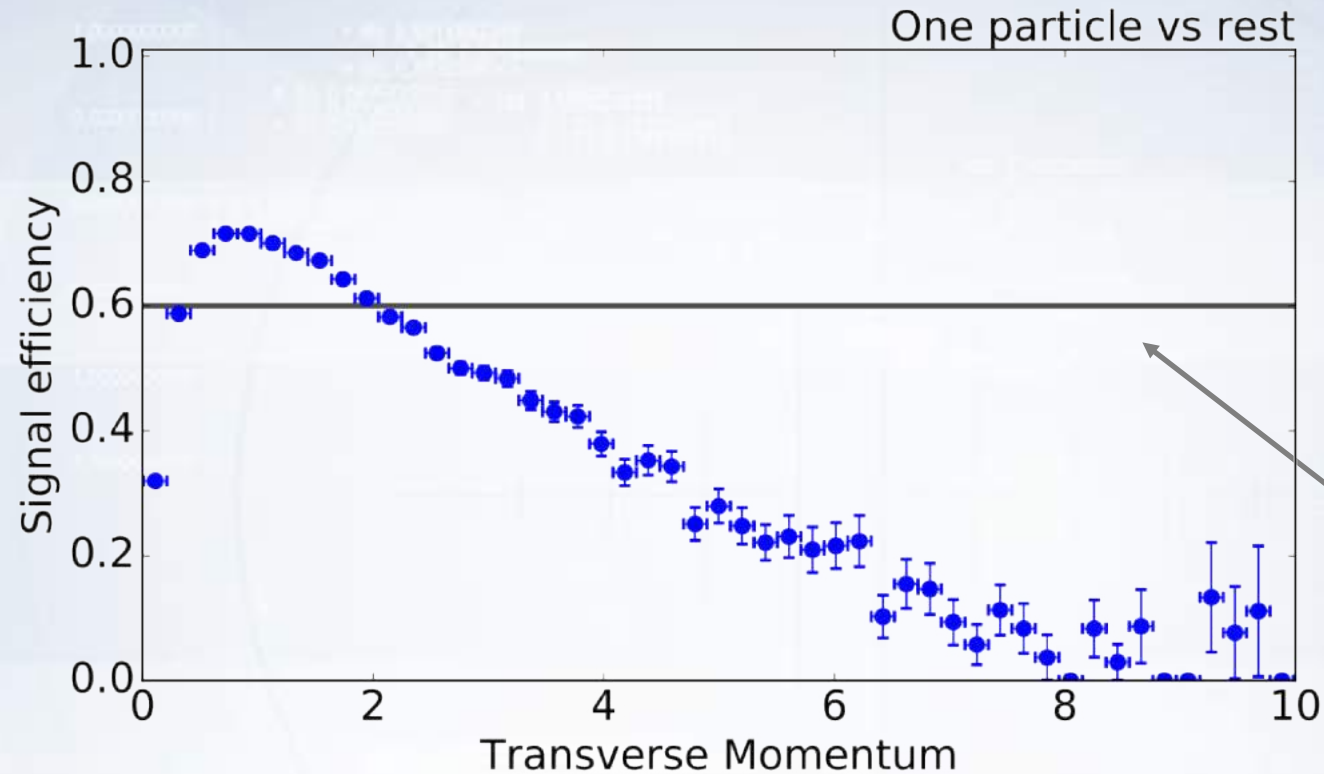
# ROC AUC dependencies



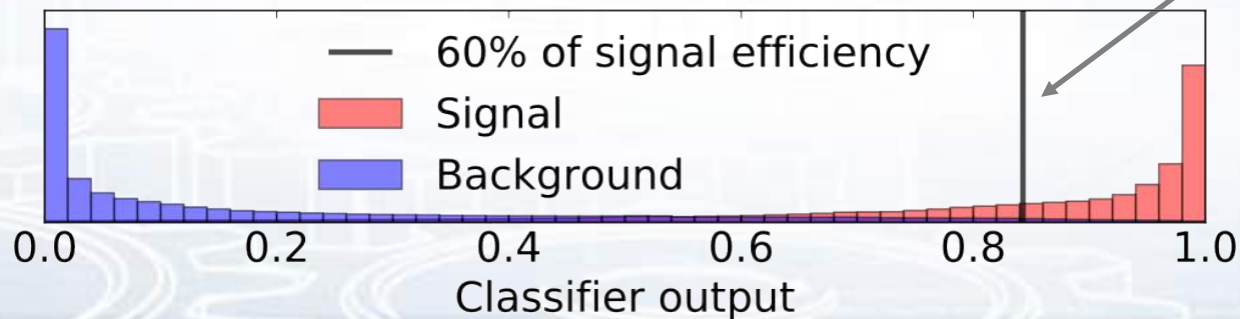
PID quality depends on different particle parameters such as momentum or transverse momentum.



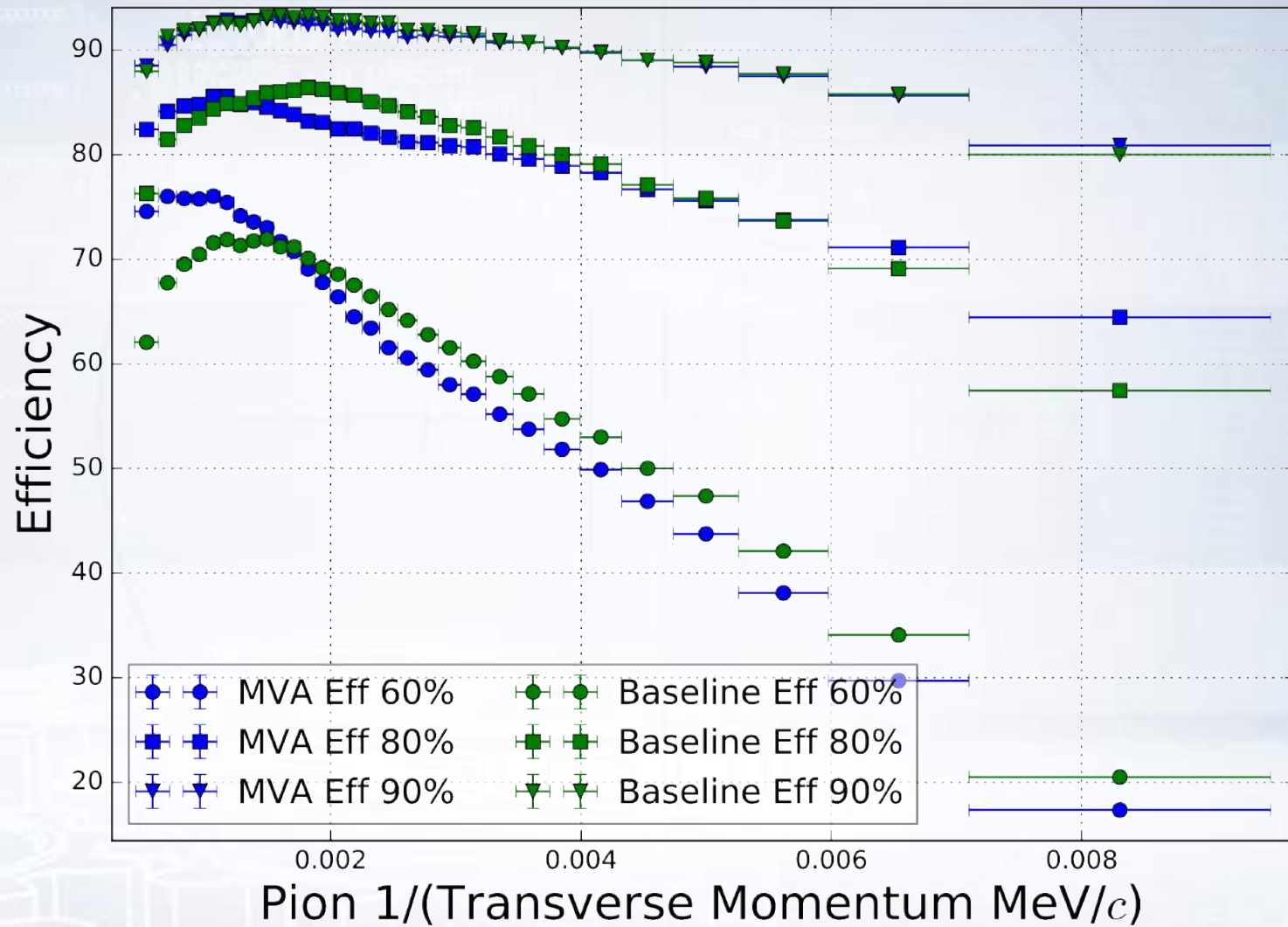
# Signal efficiency dependencies



Global signal efficiency



# Signal efficiency dependencies



Derkach D. et al., Machine-Learning-based global particle-identification algorithms at the LHCb experiment, ACAT 2017, Seattle, USA



# Uniform Classifiers



# Uniform boosting

Consider how to train a boosting over decision trees classifier to provide flat performance on a set of features.

AdaBoost classifier with the following loss function:

$$L_{ada} = \sum_{i=0}^n \exp(-\gamma_i s_i)$$

where  $\gamma_i \in \{-1, 1\}$  is a true label of an event,  $s_i$  is score obtained for each event as the sum of predictions of all trees in the series.





# Uniform boosting

Modify the AdaBoost loss function:

$$L_{ada+flat} = L_{flat} + \alpha L_{ada}$$

where

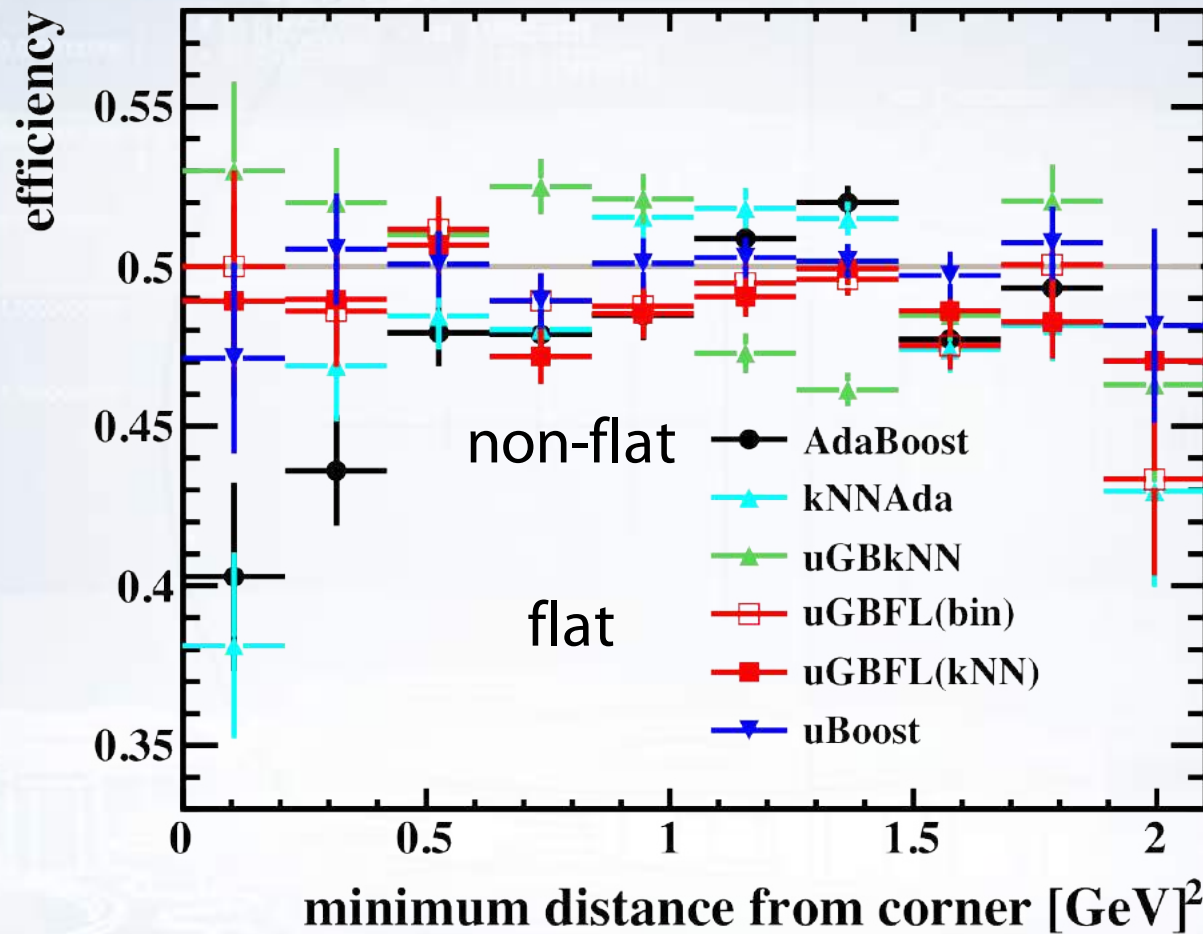
$$L_{flat} = \sum_b w_b \int |F_b(s) - F(s)|^2 ds$$

where  $w_b$  is the fraction of signal events in a bin  $b$ ,  $F_b(s)$  is the cumulative distribution of the classifier output in the bin,  $F(s)$  is the global cumulative distribution of the classifier output.

For the flat classifier efficiency  $L_{flat} \rightarrow 0$



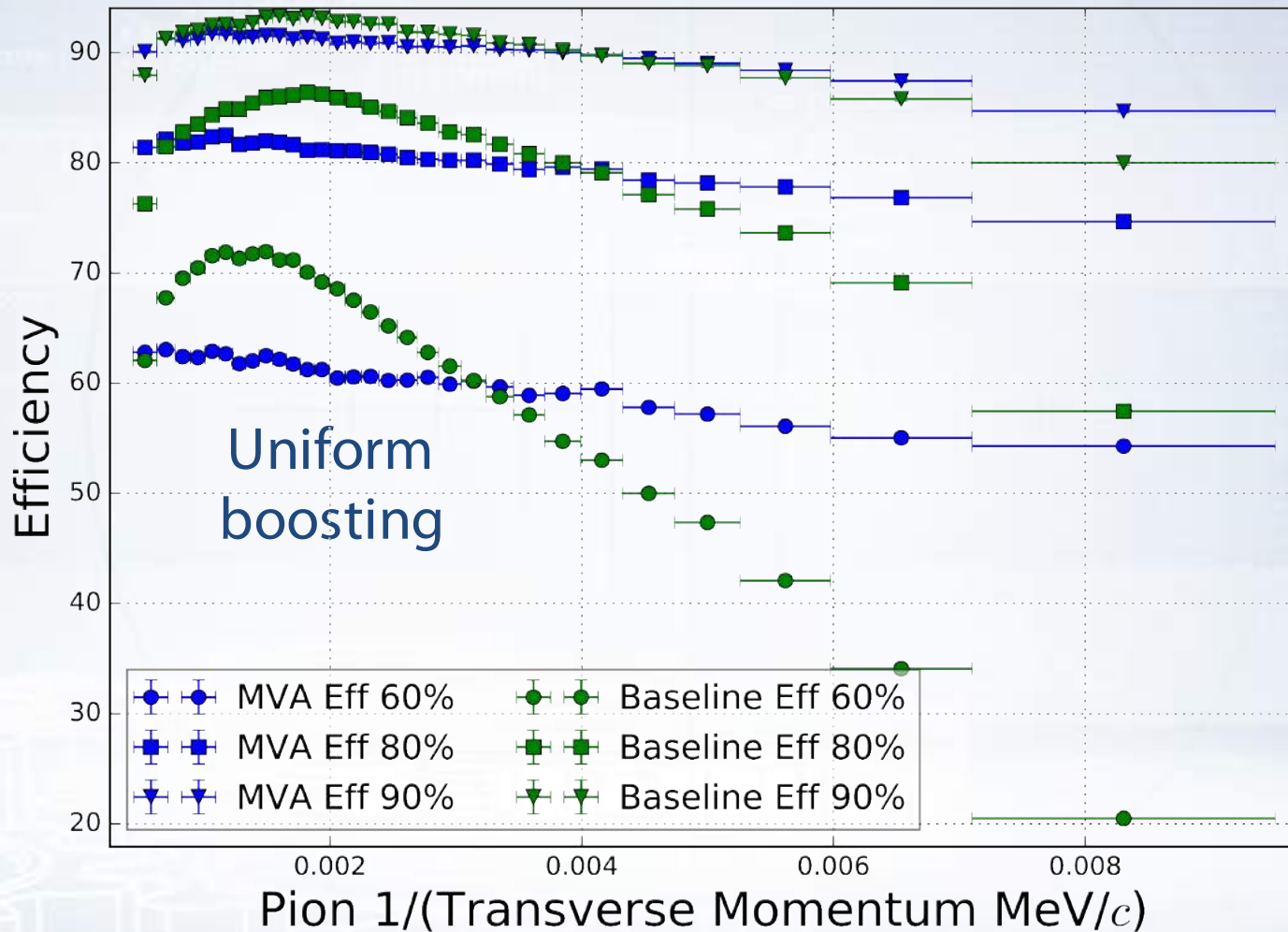
# Uniform boosting



Global signal efficiency is 50%

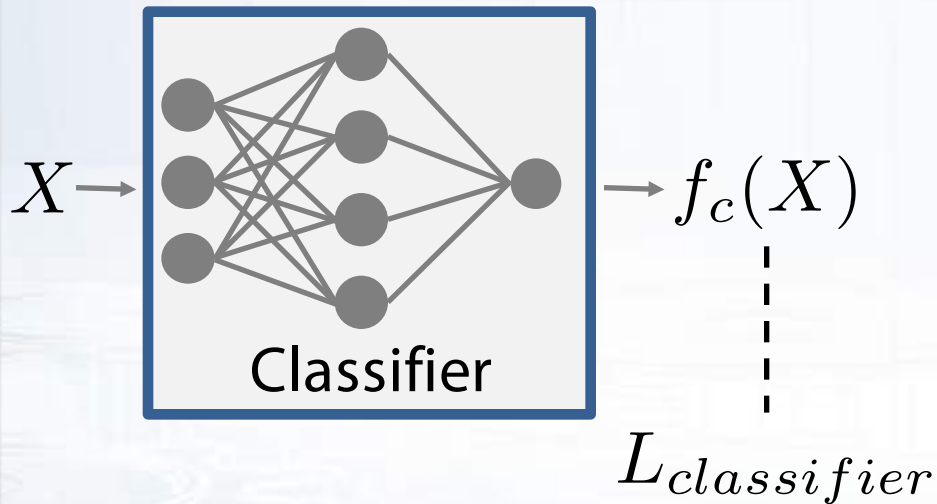


# Uniform boosting



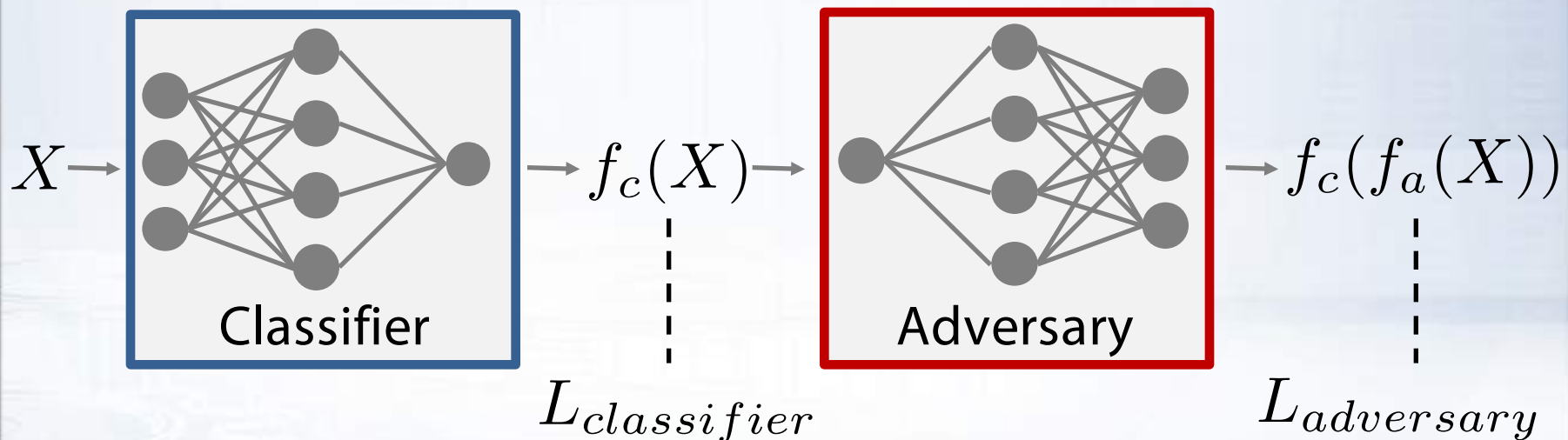
# Decorrelation using adversarial NN

**Classifier** is trained to identify particle type. It returns score (probability) particle belong to a type.



# Decorrelation using adversarial NN

**Adversary** is trained to predict particle parameter such as momentum, mass and other. The parameter is predicted using multiclassification problem.





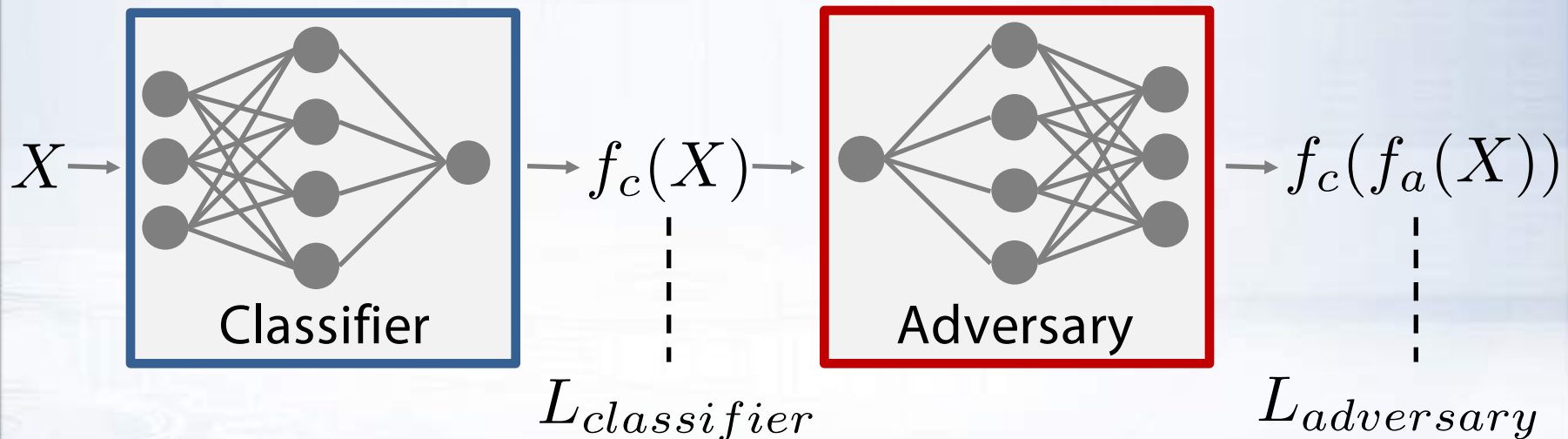
# Decorrelation using adversarial NN

To provide flat output of the classifier minimize concurrently two loss functions:

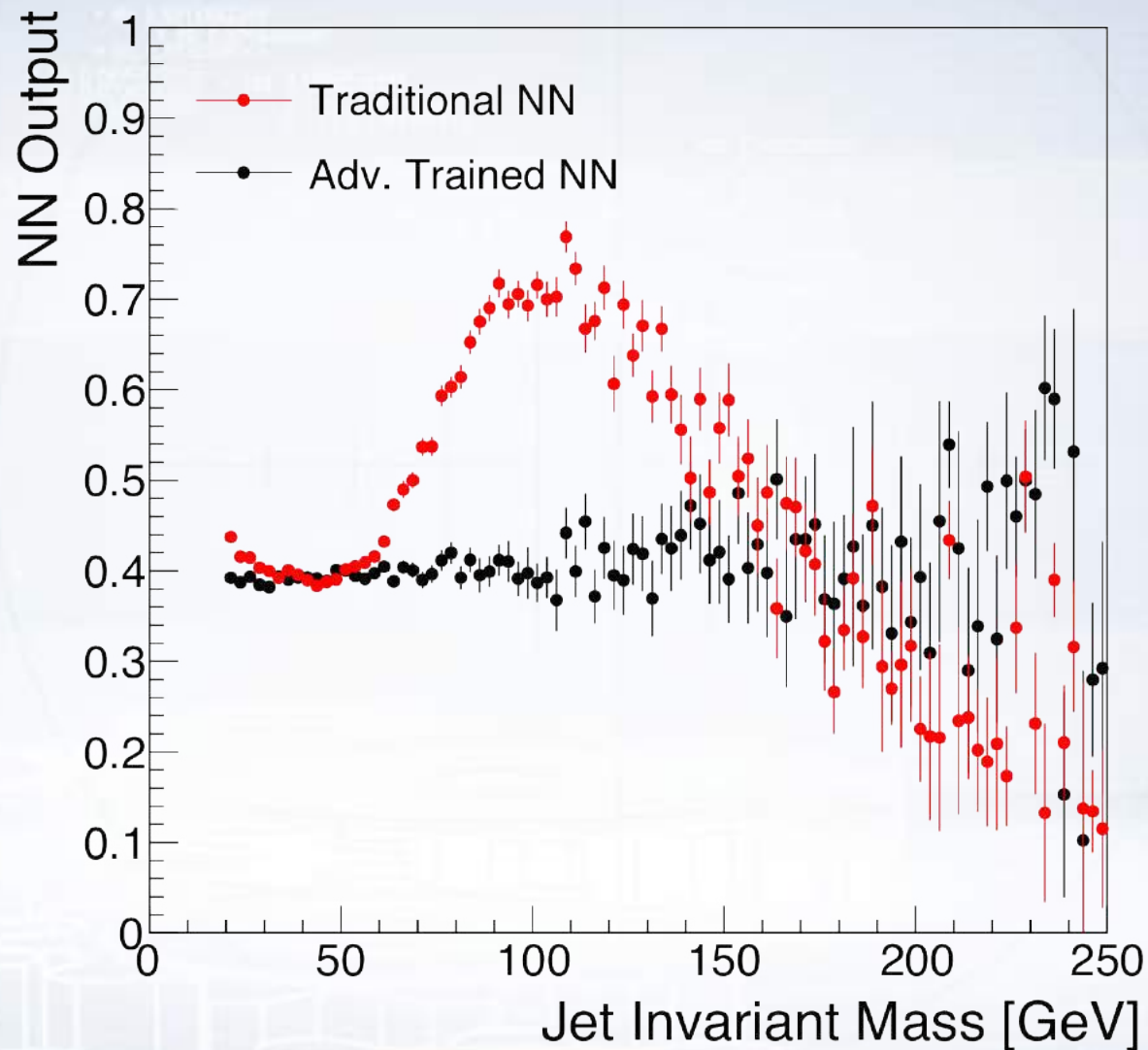
$$L_{adversary}$$

and

$$L = L_{classifier} - \lambda L_{adversary}$$



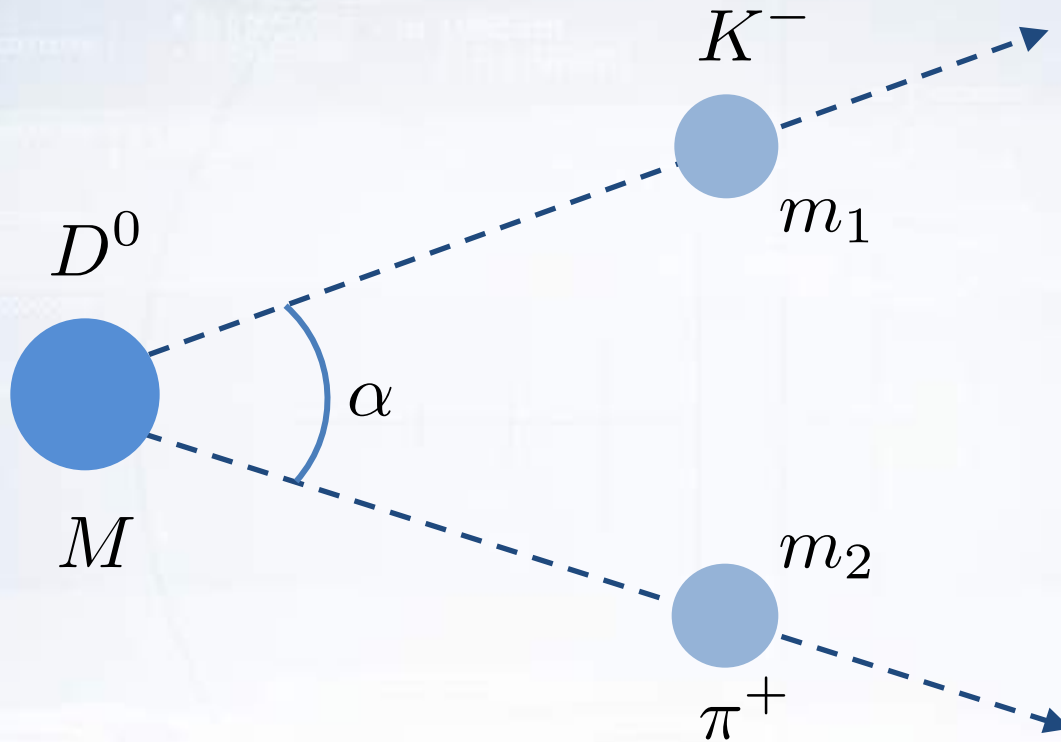
# Decorrelation using adversarial NN



Shimmin C. et. al., Decorrelated Jet Substructure Tagging using Adversarial Neural Networks, Phys. Rev. D 96, 074034 (2017), DOI:10.1103/PhysRevD.96.074034



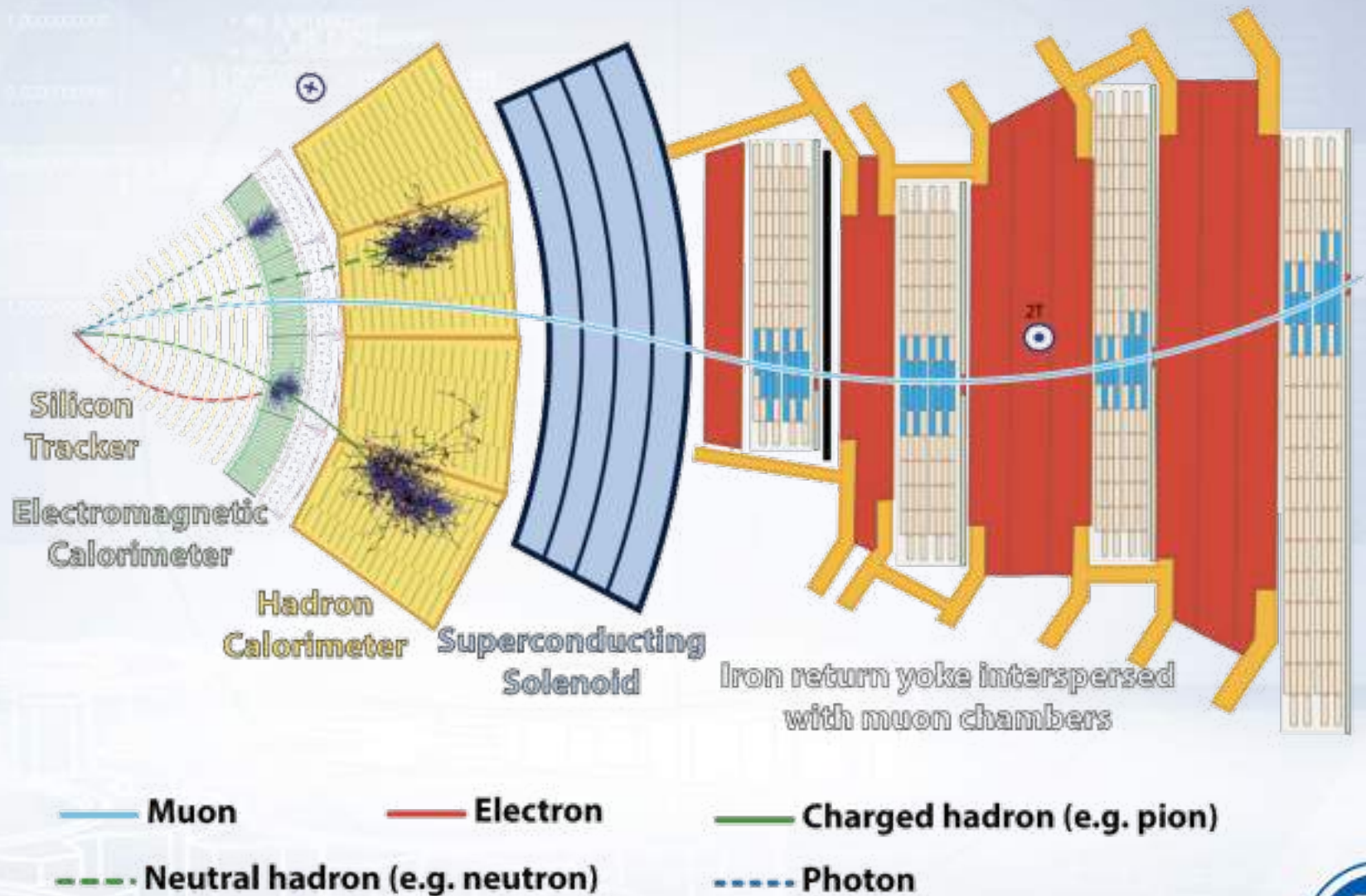
# Summary



$$M^2 = m_1^2 + m_2^2 + \frac{2}{c^4} (E_1 E_2 - p_1 p_2 c^2 \cos \alpha)$$



# Summary



# Summary

## **Machine Learning cases:**

- Particle tracks pattern recognition among detector hits
- Combining recognized tracks into vertices
- Particle momentum estimation
- Ring image recognition in RICH subdetectors
- Particle energy estimation and neutral particle identification based on calorimeter responses
- Global particle identification based on responses of different subdetectors





# References

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- Borisyak M. et. al., Towards automation of data quality system for CERN CMS experiment, DOI:10.1088/1742-6596/898/9/092041
- De Cian, Michel et al., Fast neural-net based fake track rejection in the LHCb reconstruction, LHCb-PUB-2017-011, <https://cds.cern.ch/record/2255039>
- Farrell S. et al., The HEP.TrkX Project: deep neural networks for HL-LHC online and offline tracking, EPJ Web Conf. Volume 150, 2017, DOI: <https://doi.org/10.1051/epjconf/201715000003>

