

The p -adic Method



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1 Adelic topology

The main reference of this section is [Xie25].

1.1 Adelic subset

Let \mathbf{k} be a finitely generated field over \mathbb{Q} and $\bar{\mathbf{k}}$ be its algebraic closure.

Let $\mathcal{I}_{\mathbf{k}}$ be the set of all embeddings $\sigma : \mathbf{k} \rightarrow \mathbb{C}_\sigma$ over \mathbf{k} , where $\mathbb{C}_\sigma = \mathbb{C}_p$ or \mathbb{C} according to whether v is non-archimedean or archimedean. For each $\sigma \in \mathcal{I}_{\mathbf{k}}$, set $\mathcal{I}_\sigma = \{\tau : \bar{\mathbf{k}} \rightarrow \mathbb{C}_v \mid \tau|_{\mathbf{k}} = \sigma\}$. For every $\tau \in \mathcal{I}_{\mathbf{k}}$, we have an induced map $\phi_\tau : X(\mathbf{k}) \rightarrow X(\mathbb{C}_\tau)$.

On $X(\mathbb{C}_\tau)$, we have the analytic topology induced from \mathbb{C}_τ .

Definition 1.1. Let $\sigma, \sigma_i \in \mathcal{I}_{\mathbf{k}}$ and let $U, U_i \subseteq X(\mathbb{C}_\sigma)$ be an open subset in the analytic topology. We define

$$X_{\mathbf{k}}(\sigma, U) := \bigcup_{\tau \in \mathcal{I}_\sigma} \phi_\tau^{-1}(U) \subseteq X(\mathbf{k})$$

and

$$X_{\mathbf{k}}(\{\sigma_i, U_i\}_{i=1}^n) := \bigcap_{i=1}^n X_{\mathbf{k}}(\sigma_i, U_i) \subseteq X(\mathbf{k}).$$

The subset of form $X_{\mathbf{k}}(\{\sigma_i, U_i\}_{i=1}^n)$ is called a *basic adelic open subset* of $X(\mathbf{k})$, where $U \subseteq X(\mathbb{C}_\sigma)$ is an open subset in the analytic topology.

Definition 1.2. A *general adelic subset* of $X(\mathbb{k})$ is defined to a subset of the form $\pi(B)$ with $\pi : Y \rightarrow X$ a flat morphism of varieties over \mathbf{k} and B a basic adelic open subset of $Y(\mathbb{k})$.

Remark 1.3.

Theorem 1.4 (ref. [Xie25, Proposition 3.9] cf. [ManZan14:AWapproxOnVarieties]). Suppose that X is irreducible. Then for any finite collection of places $\sigma_1, \dots, \sigma_n \in M_{\mathbf{k}}$ and any basic adelic open subsets $X_{\mathbf{k}}(\sigma_i, U_i)$ for $i = 1, \dots, n$, the intersection

$$\bigcap_{i=1}^n X_{\mathbf{k}}(\sigma_i, U_i)$$

is non-empty.

Proposition 1.5. Let X be a variety over \mathbf{k} and \mathbf{l} a finite field extension of \mathbf{k} . Then the following properties hold:

- (a) let $f : Y \rightarrow X_{\mathbf{l}}$ be a morphism and $A \subset X_{\mathbf{l}}(\mathbb{k})$ a general adelic subset, then $f^{-1}(A)$ is a general adelic subset of Y ;

1.2 Adelic topology

Definition 1.6. The *adelic topology* on $X(\mathbb{k})$ is defined to be the topology generated by all general adelic subsets of $X(\mathbb{k})$.

Yang: Is $\mathbf{A}^1(\mathbb{Q})$ adelic closed in $\mathbf{A}^1(\overline{\mathbb{Q}})$ with adelic topology? If so, why?

Yang: Describe all adelic closed subset in $\mathbf{A}^1(\overline{\mathbb{Q}})$.

2 Interpolation

The main reference of this section is [Ame11; BGT10; Poo14]. Let \mathbf{k} be a finitely generated field over \mathbb{Q} and \mathbb{k} be its algebraic closure. Let X be a variety over \mathbf{k} and $f : X \dashrightarrow X$ a dominant rational self-map defined over \mathbf{k} . We want to show that after iteration, we can interpolate the iterates of f on an analytic open subset of $X(\mathbb{C}_p)$ for some prime p .

2.1 Interpolation of analytic maps

In this subsection, we find the interpolation of analytic maps on an analytic disk. Fix a complete non-archimedean field \mathbf{k} of characteristic 0 with $|p|_{\mathbf{k}} = 1/p$ for some prime p . We use the method of difference operators given in [Poo14].

Let $E = E(0, 1) = \{x \in \mathbf{k}^d \mid \|x\| \leq 1\}$ be the closed unit ball in \mathbf{k}^d and $\Phi : E \rightarrow E$ be an analytic map, i.e., $\Phi \in \mathbf{k}^{\circ}\{\underline{T}\}^d$. Here the norm on \mathbf{k}^d or $\mathbf{k}^{\circ}\{\underline{T}\}^d$ is the supremum norm, i.e., $|x| = \max_{1 \leq i \leq d} |x_i|$. For every analytic map h from E to E , we define

$$\Delta(h) := h \circ \Phi - h, \quad \Delta^n(h) := \Delta(\Delta^{n-1}(h)) \text{ for } n \geq 1,$$

and $\Delta^0(h) = h$. Note that $\Delta^n(h)$ is still an analytic map from E to E by the strong triangle inequality.

Lemma 2.1. We have the following binomial theorem:

$$\sum_{m=0}^n \binom{n}{m} \Delta^m(\text{id}_E) = \Phi^n.$$

Proof. By induction, we have

$$\begin{aligned} \Delta^m(\text{id}_E) &= \Delta \left(\sum_{k=0}^{m-1} \binom{m-1}{k} (-1)^{m-1-k} \Phi^k \right) \\ &= \sum_{k=0}^{m-1} \binom{m-1}{k} (-1)^{m-1-k} \Phi^{k+1} - \sum_{k=0}^{m-1} \binom{m-1}{k} (-1)^{m-1-k} \Phi^k \\ &= \sum_{k=0}^m \left(\binom{m-1}{k-1} (-1)^{m-k} - \binom{m-1}{k} (-1)^{m-1-k} \right) \Phi^k \\ &= \sum_{k=0}^m \binom{m}{k} (-1)^{m-k} \Phi^k. \end{aligned}$$

It follows that

$$\begin{aligned} \sum_{m=0}^n \binom{n}{m} \Delta^m(\text{id}_E) &= \sum_{m=0}^n \sum_{k=0}^m \binom{n}{m} \binom{m}{k} (-1)^{m-k} \Phi^k \\ &= \sum_{k=0}^n \sum_{m=k}^n \binom{n}{k} \binom{n-k}{m-k} (-1)^{m-k} \Phi^k \\ &= \sum_{k=0}^n \binom{n}{k} \Phi^k \sum_{l=0}^{n-k} \binom{n-k}{l} (-1)^l \\ &= \binom{n}{n} \Phi^n + \sum_{k=0}^{n-1} \binom{n}{k} \Phi^k \cdot (1-1)^{n-k} \\ &= \Phi^n. \end{aligned}$$

We finish the proof. □

Lemma 2.2. Suppose that $\Phi = (\Phi_1, \dots, \Phi_d) \in \mathbf{k}^\circ \{\underline{T}\}^d$ satisfies $\|\Phi - \text{id}_E\| \leq r_p$. Then **Yang: To be added.**

Proof. **Yang: To be added.** □

Theorem 2.3 (ref.[Poo14, Theorem 1] cf.[BGT10, Theorem 3.3]). Let \mathbf{k} be a complete non-archimedean field of characteristic 0 with $|p|_{\mathbf{k}} = 1/p$. Set $r_p = p^{-1/(p-1)}$.

Suppose that $\Phi = (\Phi_1, \dots, \Phi_d) \in \mathbf{k}^\circ \{\underline{T}\}^d$ satisfies $r := r_p / \|\Phi - \text{id}_E\| > 1$. Then there exists a function $F \in \mathbf{k}\{\underline{T}, S/s\}^d$, $1 < s < r$, such that for each $n \in \mathbb{Z}_{\geq 0}$ and each $x \in E$,

$$F(x, n) = \Phi^n(x).$$

Proof. Consider the formal series

$$F(\underline{T}, S) := \sum_{n=0}^{\infty} \binom{S}{n} \Delta^n(\text{id}_E)(\underline{T}) = \sum_{n=0}^{\infty} f_n.$$

We only need to show that $f_n \in \mathbf{k}\{\underline{T}, S/s\}^d$ and $\|f_n\| \rightarrow 0$ as $n \rightarrow \infty$.

Yang: To be added. □

Yang: If f is invertible, can we see that g is unique? Yang: It seems right.

Example 2.4. Let $\mathbf{k} = \mathbb{Q}_p$ with $p \geq 3$, and let $\Phi: E \rightarrow E$ be the analytic map defined by $\Phi(x) = px^2 + x$. Then we have $\|\Phi - \text{id}_E\| = \|pT^2\| = 1/p < r_p$. Yang: To be checked.

2.2 Pick integral models



Lemma 2.5. Let $f: X \dashrightarrow X$ be a dominant rational self-map of a projective variety defined over a finitely generated field \mathbf{k} over \mathbb{Q} .

Then there exists a subring $R \subseteq \mathbf{k}$ of finite type over \mathbb{Z} , a projective scheme \mathcal{X} over $\text{Spec } R$ with generic fiber X , and a rational self-map $\mathcal{f}: \mathcal{X} \dashrightarrow \mathcal{X}$ over $\text{Spec } R$ with generic fiber f such that

- (a) for every prime ideal \mathfrak{p} of R , the special fiber $\mathcal{X}_{\mathfrak{p}}$ is geometrically integral and of the same dimension as X ;
- (b) the union of non-smooth locus of \mathcal{X} and indeterminacy locus, non-étale locus of \mathcal{f} does not contain any entire special fiber $\mathcal{X}_{\mathfrak{p}}$;

Moreover, if X is smooth (resp. f is a morphism, resp. f is étale), then we can further require that \mathcal{X} is smooth over $\text{Spec } R$ (resp. \mathcal{f} is a morphism, resp. \mathcal{f} is étale over $\text{Spec } R$).

Yang: We can embed R into \mathbb{C}_p for some p .

2.3 Interpolation on an analytic open subset of morphisms

The main reference of this section is [Xie25, Section 3.2]. We first state the main theorem of this section.

Theorem 2.6 (ref.[Xie25, Proposition 3.24]). Let \mathbf{k} be a finitely generated field over \mathbb{Q} , X a projective variety defined over \mathbf{k} , and $f: X \dashrightarrow X$ a dominant rational self-map defined over \mathbf{k} .

There exists an iteration $g = f^m$ of f , an embedding $\mathbf{k} \hookrightarrow \mathbb{C}_p$ for some prime $p \geq 3$, an analytic open subset $U \subseteq X(\mathbb{C}_p)$ and an analytic map $\Phi: \mathbb{C}_p^\circ \times U \rightarrow U$ such that

- (1) $U \cong (\mathbb{C}_p^\circ)^d$ analytically, where $d = \dim X$;
- (2) g is well-defined on U , U is invariant under g and $\|g|_U - \text{id}_U\| < 1/p$;
- (3) $\Phi(n, x) = g^n(x)$ for each $n \in \mathbb{Z}_{\geq 0}$ and each $x \in U$;

Example 2.7. Let $X = E \times E$ with E an elliptic curve without complex multiplication defined over a number field \mathbf{k} , and let $f: X \rightarrow X$ be the endomorphism defined by $(a, b) \mapsto (a + b, b)$. Yang: To be continued.

3 Applications

3.1 Existence of non-preperiodic points

Theorem 3.1 (ref.[Ame11, Corollary 9]). Let \mathbf{k} be an algebraically closed field of characteristic 0, X a projective variety defined over \mathbf{k} , and $f : X \dashrightarrow X$ a dominant rational self-map defined over \mathbf{k} . Then there exists a basic adelic subset $U \subset X(\mathbf{k})$ such that the forward orbit $O_f(x) = \{f^n(x) : n \geq 0\}$ is well-defined and infinite for every $x \in U$.

3.2 DML conjecture for étale morphisms

Theorem 3.2 (ref.[BGT10, Theorem 1.3]). Let \mathbf{k} be a field of characteristic 0, X a variety defined over \mathbf{k} , and $f : X \rightarrow X$ an étale morphism defined over \mathbf{k} . The DML conjecture holds for (X, f) .

3.3 DML conjecture for adelic general points

References

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