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# Applications to Commutative Algebra

## 1 Homological dimension

**Lemma 1.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then

$$\sup_M \text{proj. dim } M = \sup_N \text{inj. dim } N.$$

*Proof.* Note that

$$\text{proj. dim } M \leq n$$

if and only if

$$\text{Ext}_{n+1}^A(M, N) = 0, \quad \forall N.$$

And this is equivalent to

$$\text{inj. dim } N \leq n.$$

□

**Remark 2.** In fact, for fix  $N$ , we have

$$\text{inj. dim } N \leq n$$

if and only if

$$\text{Ext}_{n+1}^A(A/I, N) = 0, \quad \forall I$$

By Lemma Yang: ?. Hence we have

$$\sup_{M \text{ finite}} \text{proj. dim } M = \sup_M \text{proj. dim } M = \sup_N \text{inj. dim } N.$$

**Definition 3.** Let  $A$  be a ring. The *homological dimension* of  $A$ , denoted  $\text{hl. dim } A$ , is defined as

$$\text{hl. dim } A := \sup_M \text{proj. dim } M = \sup_M \text{inj. dim } M.$$

**Lemma 4.** Let  $A$  be a noetherian ring,  $B$  a flat  $A$ -algebra and  $M$  a finite  $A$ -module. Then we have

$$\text{Ext}_A^i(M, N) \otimes B \cong \text{Ext}_B^i(M \otimes A, N \otimes A), \quad \forall N.$$

*Proof.* Yang: To be completed.

□

**Proposition 5.** Let  $A$  be a noetherian ring. Then

$$\text{hl. dim } A = \sup_{\mathfrak{p} \in \text{Spec } A} \text{hl. dim } A_{\mathfrak{p}}.$$

*Proof.* Compute homological dimension of  $A$  using  $\text{Ext}_A^i(M, N)$  for finite  $M$ . The conclusion follows from Proposition 5. □

**Definition 6.** Let  $(A, \mathfrak{m}, \mathfrak{k})$  be a noetherian local ring. We say that a homomorphism of  $A$ -modules  $f : M \rightarrow N$  is *minimal* if the induced map  $M \otimes \mathfrak{k} \rightarrow N \otimes \mathfrak{k}$  is an isomorphism. Equivalently,  $f$  is minimal if and only if  $f$  is surjective and  $\text{Ker } f \subset \mathfrak{m}M$ .

**Definition 7.** Let  $A$  be a noetherian local ring and  $M$  a finite  $A$ -module. A *minimal projective resolution* of  $M$  is a projective resolution

$$\cdots \rightarrow P_n \xrightarrow{d_n} P_{n-1} \xrightarrow{d_{n-1}} \cdots \rightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} M \rightarrow 0$$

such that each homomorphism  $P_i \rightarrow \text{Ker } d_{i-1}$  is minimal.

**Proposition 8.** Let  $(A, \mathfrak{m}, \mathbf{k})$  be a noetherian local ring and  $M$  a finite  $A$ -module. Then  $M$  has a minimal projective resolution. Moreover, any two minimal projective resolutions of  $M$  are isomorphic.

*Proof.* Suppose  $M \otimes_A \mathbf{k} = \bigoplus \mathbf{k} \cdot \overline{x_i}$ . Lift  $x_i$  to elements of  $M$ . Then we have a minimal homomorphism  $d_0 : \bigoplus A \cdot x_i \rightarrow M$ . Similarly choose minimal homomorphisms  $d_k : A^{n_k} \rightarrow \text{Ker } d_{k-1}$  for  $k = 1, 2, \dots$ . This gives a minimal projective resolution.

Suppose we have two minimal homomorphisms  $f, g : A^n \rightarrow M$ . After tensoring with  $\mathbf{k}$ , we have isomorphisms between  $f \otimes \mathbf{k}$  and  $g \otimes \mathbf{k}$ . Lifting to  $A$ , we get an homomorphism  $\varphi : f \rightarrow g$ . Here homomorphism between  $f, g$  means a homomorphism  $A^n \rightarrow A^n$  such that  $f = g \circ \varphi$ . The homomorphism  $\varphi$  is represented by a matrix  $T$ . We have  $\det T \notin \mathfrak{m}$ , whence  $\varphi$  is an isomorphism.  $\square$

**Proposition 9.** Let  $L_\bullet \rightarrow M$  be a minimal projective resolution and  $P_\bullet$  be an arbitrary projective resolution of  $M$ . Then we have  $P_\bullet \cong L_\bullet \oplus P'_\bullet$  for some exact complexes  $P'_\bullet$ .

*Proof.* By Proposition ??, we have homomorphism

$$L_\bullet \xrightarrow{\varphi_\bullet} P_\bullet \xrightarrow{\psi_\bullet} L_\bullet.$$

between complexes. By Proposition ?? again,  $T_\bullet := \psi_\bullet \circ \varphi_\bullet$  is homotopic to the identity by  $h_\bullet$ . Suppose  $T_\bullet$  is represented by a matrix. Since  $L_\bullet$  is minimal, we have

$$(T - \text{id})(L_n) = (d_{n+1} \circ h_n + h_{n-1} \circ d_n)(L_n) \subset \mathfrak{m}L_n.$$

Then  $\det T \notin \mathfrak{m}$  and hence  $T_\bullet$  is an isomorphism. It follows that  $\psi_\bullet$  is surjective, whence it splits  $P_\bullet$  into a direct sum  $L \oplus P'_\bullet$  since  $L_\bullet$  is projective. By the Five Lemma, we see that  $P'_\bullet$  is exact.  $\square$

**Lemma 10.** Let  $(A, \mathfrak{m}, \mathbf{k})$  be a noetherian local ring and  $M$  a finite  $A$ -module. Then  $\text{proj. dim } M \leq n$  if and only if  $\text{Tor}_{n+1}^A(M, \mathbf{k}) = 0$ .

*Proof.* The necessity is clear. For the sufficiency, we have a minimal projective resolution

$$\cdots \rightarrow P_{n+1} \xrightarrow{d_{n+1}} P_n \xrightarrow{d_n} P_{n-1} \xrightarrow{d_{n-1}} \cdots \rightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} M \rightarrow 0.$$

Let  $C := \text{Im } d_n$ . Then we have

$$0 \rightarrow P_{n+1} \xrightarrow{d_{n+1}} P_n \xrightarrow{d_n} C \rightarrow 0.$$

Hence  $\text{Tor}_1^A(C, \mathbf{k}) \cong \text{Tor}_{n+1}^A(M, \mathbf{k}) = 0$ . Let  $K = \text{Ker } d_n$ . Then we have the short exact sequence

$$0 \rightarrow K \rightarrow P_n \rightarrow C \rightarrow 0.$$

Since  $\text{Tor}_1^A(C, \mathbf{k}) = 0$ , there is an exact sequence

$$0 \rightarrow K \otimes_A \mathbf{k} \rightarrow P_n \otimes_A \mathbf{k} \rightarrow C \otimes_A \mathbf{k} \rightarrow 0.$$

Since  $P_n \rightarrow C$  is minimal, we have  $K \otimes_A \mathbf{k} = 0$ . By the Nakayama's lemma,  $K = 0$ . This implies that  $\text{proj. dim } C \leq 0$  and hence  $\text{proj. dim } M \leq n$ .  $\square$

**Proposition 11.** Let  $(A, \mathfrak{m}, \mathbf{k})$  be a noetherian local ring. Then  $\text{hl. dim } A = \text{proj. dim } \mathbf{k}$  (finite or infinite).

*Proof.* The inequality  $\text{hl. dim } A \geq \text{proj. dim } \mathbf{k}$  is by definition. Conversely, we can compute  $\text{Tor}_{n+1}^A(M, \mathbf{k})$  using minimal projective resolution of  $\mathbf{k}$  for any finite  $A$ -module  $M$ . By Lemma 10, we have  $\text{proj. dim } M \leq n$  if and only if  $\text{Tor}_{n+1}^A(M, \mathbf{k}) = 0$ . This implies that  $\text{proj. dim } M \leq n$  for all finite  $A$ -modules  $M$  if  $\text{proj. dim } \mathbf{k} = n$ . By Remark 2, we have  $\text{hl. dim } A \leq n$ .  $\square$

**Proposition 12.** Let  $(A, \mathfrak{m})$  be a noetherian local ring and  $M$  a finite  $A$ -module. Let  $a \in \mathfrak{m}$  be an  $M$ -regular element. Then  $\text{proj. dim } M/aM = \text{proj. dim } M + 1$ . Here we set  $\infty + 1 = \infty$ .

*Proof.* We have an exact sequence

$$0 \rightarrow M \xrightarrow{*a} M \rightarrow M/aM \rightarrow 0.$$

Take the long exact sequence with respect to  $\text{Tor}(-, \mathbf{k})$ , we get

$$\cdots \rightarrow \text{Tor}_{i+1}^A(M, \mathbf{k}) \rightarrow \text{Tor}_{i+1}^A(M/aM, \mathbf{k}) \rightarrow \text{Tor}_i^A(M, \mathbf{k}) \xrightarrow{*a} \text{Tor}_i^A(M, \mathbf{k}) \rightarrow \cdots$$

Since the derived homomorphism of  $*a$  is zero, we have  $\text{Tor}_{i+1}^A(M/aM, \mathbf{k}) = 0$  if and only if  $\text{Tor}_i^A(M, \mathbf{k}) = 0$ . By Lemma 10, we have  $\text{proj. dim } M/aM = \text{proj. dim } M + 1$ .  $\square$

## 2 Depth and regularity by homological algebra

**Proposition 13.** Let  $(A, \mathfrak{m}, \mathbf{k})$  be a noetherian local ring and  $M$  a finite  $A$ -module. Then

$$\text{depth } M := \inf\{i : \text{Ext}_A^i(\mathbf{k}, M) \neq 0\}.$$

*Proof.* Let  $a \in \mathfrak{m}$  be  $M$ -regular and  $N = M/aM$ . Then we claim that

$$\inf\{i : \text{Ext}_A^i(\mathbf{k}, N) \neq 0\} = \inf\{i : \text{Ext}_A^i(\mathbf{k}, M) \neq 0\} - 1.$$

Indeed, we have an exact sequence

$$0 \rightarrow M \xrightarrow{a} M \rightarrow N \rightarrow 0.$$

It induces a long exact sequence

$$\cdots \rightarrow \text{Ext}_A^{i-1}(\mathbf{k}, M) \rightarrow \text{Ext}_A^{i-1}(\mathbf{k}, N) \rightarrow \text{Ext}_A^i(\mathbf{k}, M) \xrightarrow{\text{Ext}_A^i(\mathbf{k}, \text{Mult}_a)} \text{Ext}_A^i(\mathbf{k}, M) \rightarrow \cdots.$$

Note that  $a \in \mathfrak{m}$ , then  $\text{Ext}_A^i(\mathbf{k}, \text{Mult}_a) = 0$ . It follows that when  $\text{Ext}_A^{i-1}(\mathbf{k}, M) = 0$ , we have  $\text{Ext}_A^{i-1}(\mathbf{k}, N) = 0$  iff  $\text{Ext}_A^i(\mathbf{k}, M) = 0$ , whence the claim.

Let  $n = \inf\{i : \text{Ext}_A^i(\mathbf{k}, M) \neq 0\}$ . Induct on  $n$ . Suppose first  $n = 0$ . Since  $\mathbf{k}$  is a simple  $A$ -module, there is an injective homomorphism  $\mathbf{k} \rightarrow M$ . Then  $\mathfrak{m} \in \text{Ass } M$  and hence  $\text{depth } M = 0$ .

Suppose  $n > 0$ , let  $a_1, \dots, a_m \in \mathfrak{m}$  be any  $M$ -regular sequence. Using the claim inductively on  $M/(a_1, \dots, a_m)M$ , we have  $n \geq \text{depth}$ . If  $M$  has no regular element, then  $\mathfrak{m} \subset \bigcup_{\mathfrak{p} \in \text{Ass } M} \mathfrak{p}$ . Then  $\mathfrak{m} = \mathfrak{p}$  for some  $\mathfrak{p} \in \text{Ass } M$ . This show that we can find  $x \neq 0 \in M$  such that  $\mathfrak{p} = \text{Ann } x$ . It gives a homomorphism  $\mathbf{k} = A/\mathfrak{m} \rightarrow M$ . That is a contradiction and hence  $M$  has a regular element. Let  $a$  be  $M$ -regular and  $N = M/aM$ . Then  $\text{depth } N = n - 1$  by the claim and induction hypothesis. Hence we have  $\text{depth } M \geq n$ .  $\square$

**Lemma 14.** Let  $(A, \mathfrak{m}, \mathbf{k})$  be a noetherian local ring. Suppose we have exact sequences

$$0 \rightarrow A^{n_r} \xrightarrow{d_r} A^{n_{r-1}} \xrightarrow{d_{r-1}} \cdots \rightarrow A^{n_1} \xrightarrow{d_1} A^{n_0},$$

such that  $A^{n_i} \rightarrow \text{Ker } d_{i-1}$  is minimal for all  $i$ . Then  $\text{depth } A \geq r$ .

*Proof.* Since  $d_r$  is injective and its image is contained in  $\mathfrak{m}A^{n_{r-1}}$ , we can choose  $t \in \mathfrak{m}$  that is not a zero divisor. Denote the sequence by  $C_\bullet$ . Then we have a short exact sequence of complexes

$$0 \rightarrow C_\bullet \xrightarrow{*t} C_\bullet \rightarrow C_\bullet/tC_\bullet \rightarrow 0.$$

Consider the long exact sequence in homology

$$\cdots \rightarrow H_i(C_\bullet) \xrightarrow{*t} H_i(C_\bullet) \rightarrow H_i(C_\bullet/tC_\bullet) \rightarrow H_{i-1}(C_\bullet) \xrightarrow{*t} H_{i-1}(C_\bullet) \rightarrow \cdots.$$

Since  $C_\bullet$  is exact, we have  $H_i(C_\bullet) = 0$  for all  $i$ . In particular,  $H_i(C_\bullet/tC_\bullet) = 0$  for all  $i \geq 2$ . Inductively, we can choose a regular sequence of length  $r$  in  $\mathfrak{m}$ .  $\square$

**Lemma 15.** Let  $(A, \mathfrak{m}, \mathbf{k})$  be a noetherian local ring and  $M$  a finite  $A$ -module. Suppose there is an injective homomorphism  $\mathbf{k} \rightarrow M$ . Then  $\text{proj. dim } M \geq \dim_{\mathbf{k}} T_{A, \mathfrak{m}}$ .

*Proof.* Let  $x_1, \dots, x_n \subset \mathfrak{m} \setminus \mathfrak{m}^2$  such that their images in  $\mathfrak{m}/\mathfrak{m}^2$  form a basis. Then we have a complex

$$K_\bullet := 0 \rightarrow \wedge^n A^{\oplus n} \xrightarrow{d_n} \wedge^{n-1} A^{\oplus n} \xrightarrow{d_{n-1}} \cdots \rightarrow \wedge^1 A^{\oplus n} \xrightarrow{d_1} \wedge^0 A^{\oplus n} \xrightarrow{d_0} \mathbf{k} \rightarrow 0,$$

where

$$d_r : \wedge^r A^{\oplus n} \rightarrow \wedge^{r-1} A^{\oplus n}, \quad e_{i_1} \wedge \cdots \wedge e_{i_r} \mapsto \sum_{k=1}^r (-1)^k x_{i_k} e_{i_1} \wedge \cdots \wedge \widehat{e_{i_k}} \wedge \cdots \wedge e_{i_r}.$$

Here  $\widehat{e_{i_k}}$  means that we omit the  $k$ -th element. Let  $P_\bullet \rightarrow M$  be the minimal projective resolution of  $M$ . Then we have a homomorphism of complexes

$$\varphi_\bullet : K_\bullet \rightarrow P_\bullet$$

induced by the injective homomorphism  $k \rightarrow M$ .

We claim that  $\varphi_i$  is injective and splits  $P_i$  into a direct sum  $K_i \oplus F_i$  with  $F_i$  free for all  $i \geq 0$ . Since  $K_i$  and  $P_i$  are free, we just need to show that  $\varphi_i \otimes_A \text{id}_k$  is injective. Induct on  $i$ . For  $i = 0$ , note that  $k \rightarrow M \otimes_A k$  is injective, by the commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{\quad} & k \\ \varphi_0 \otimes_A \text{id}_k \downarrow & & \downarrow \\ P_0 \otimes_A k & \xrightarrow{\cong} & M \otimes_A k \end{array},$$

the image of  $\varphi_0 \otimes_A \text{id}_k$  is not zero in  $P_0 \otimes_A k$ .

For  $i > 0$ , since  $K_{i-1}$  and  $P_{i-1}$  are free, we have a natural isomorphism between

$$\mathfrak{m}K_{i-1} \otimes_A k \rightarrow \mathfrak{m}P_{i-1} \otimes_A k$$

and

$$K_{i-1} \otimes_A \mathfrak{m}/\mathfrak{m}^2 \rightarrow P_{i-1} \otimes_A \mathfrak{m}/\mathfrak{m}^2.$$

We have a commutative diagram

$$\begin{array}{ccc} K_i \otimes_A k & \xrightarrow{\quad} & \mathfrak{m}K_{i-1} \otimes_A k \\ \downarrow & & \downarrow \\ P_i \otimes_A k & \xrightarrow{\quad} & \mathfrak{m}P_{i-1} \otimes_A k \end{array} \quad (1)$$

Since  $P_{i-1}/K_{i-1} \cong F_{i-1}$  is free, the right vertical map in (1) is injective. By construction of  $K_\bullet$ ,  $K_i \otimes_A k \rightarrow \mathfrak{m}K_{i-1} \otimes_A k$  is injective. Hence the left vertical map in (1) is injective. This completes the proof of the claim.

By the claim,  $P_i \neq 0$  for all  $i \leq n$  and the conclusion follows.  $\square$

**Proposition 16** (Auslander-Buchsbaum formula). Let  $A$  be a noetherian local ring and  $M$  a finite  $A$ -module. Suppose  $\text{proj. dim } M < \infty$ . Then  $\text{proj. dim } M = \text{depth } A - \text{depth } M$ .

*Proof.* We have a minimal projective resolution

$$0 \rightarrow A^{n_r} \rightarrow A^{n_{r-1}} \rightarrow \cdots \rightarrow A^{n_1} \rightarrow A^{n_0} \rightarrow M \rightarrow 0.$$

By Lemma 14, we have  $\text{depth } A \geq \text{proj. dim } M$ .

Induct on  $\text{depth } M$ . Suppose  $\text{depth } M = 0$ . Then by Proposition 13, we have  $\text{Hom}_A(k, M) \neq 0$ , whence there is an injective homomorphism  $k \rightarrow M$ . By Lemma 15, we have

$$\text{depth } A \geq \text{proj. dim } M \geq \dim_k T_{A, \mathfrak{m}} \geq \text{depth } A.$$

If  $\text{depth } M > 0$ , choose a regular element  $a \in \mathfrak{m}$  that is  $M$ -regular. Then by Proposition 12, we have

$$\text{depth } M + \text{proj. dim } M = \text{depth}(M/aM) - 1 + \text{proj. dim}(M/aM) + 1 = \text{depth } A.$$

$\square$

**Theorem 17.** Let  $(A, \mathfrak{m})$  be a noetherian local ring. Then  $A$  is regular at  $\mathfrak{m}$  if and only if  $\text{hl. dim } A < \infty$ .

*Proof.* Suppose  $A$  is regular at  $\mathfrak{m}$ . Let  $x_1, \dots, x_n$  be a minimal generating set of  $\mathfrak{m}$ . Then  $x_1, \dots, x_n$  is an  $A$ -regular sequence since  $A$  is regular at  $\mathfrak{m}$ . By Proposition 12, we have  $\text{proj. dim } k = \text{proj. dim } A/(x_1, \dots, x_n)A = n + \text{proj. dim } A = n$ .

Conversely, suppose  $\text{hl. dim } A < \infty$ . Then by Proposition 11, we have  $\text{proj. dim } k < \infty$ . We have

$$\dim_k T_{A, \mathfrak{m}} \leq \text{proj. dim } k \leq \text{depth } A \leq \dim_k T_{A, \mathfrak{m}}.$$

The first " $\leq$ " follows from Lemma 15. The second " $\leq$ " follows from Proposition 16. Hence we see that  $A$  is regular at  $\mathfrak{m}$ .  $\square$

**Corollary 18.** Let  $(A, \mathfrak{m})$  be a noetherian local ring. Then  $A$  is regular if and only if it is regular at  $\mathfrak{m}$ .

*Proof.* The sufficiency is trivial. For the necessity, note that if  $A$  is regular, then  $\text{hl.dim } A < \infty$  by Theorem 17. For any  $\mathfrak{p} \in \text{Spec } A$ , we have a finite projective resolution

$$0 \rightarrow P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow A/\mathfrak{p} \rightarrow 0.$$

Tensoring with  $A_{\mathfrak{p}}$ , we have a finite projective resolution of  $\kappa(\mathfrak{p})$ . By Theorem 17 again, we see that  $A_{\mathfrak{p}}$  is regular at  $\mathfrak{p}$ .  $\square$

**Lemma 19.** Let  $A$  be a noetherian integral domain. Then  $A$  is a UFD if and only if every height 1 prime ideal of  $A$  is principal.

*Proof.* Yang: To be completed.  $\square$

**Lemma 20.** Let  $A$  be a noetherian integral domain and  $(x) \subset A$  a non-zero prime ideal. Then  $A$  is a UFD if and only if  $A[1/x]$  is a UFD.

*Proof.* Yang: To be completed.  $\square$

**Theorem 21.** Let  $A, \mathfrak{m}$  be a regular noetherian local ring. Then  $A$  is UFD.

*Proof.* Yang: To be completed.  $\square$