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# *Affinoid algebras*



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# Contents

<b>1</b>	<b>Normed rings and modules</b>	<b>1</b>
1.1	Semi-normed algebraic structures	1
1.2	Spectral radius	4
<b>2</b>	<b>Convergent and restricted power series</b>	<b>4</b>
2.1	Absolutely convergent power series	5
2.2	Tate algebras	5
2.3	Weierstrass division	7
<b>3</b>	<b>Affinoid algebras</b>	<b>9</b>
3.1	The first properties	9
3.2	Finite modules over affinoid algebras	10

## 1 Normed rings and modules

### 1.1 Semi-normed algebraic structures

**Definition 1.1.** Let  $G$  be an abelian group. A *semi-norm* on  $G$  is a function  $\|\cdot\| : G \rightarrow \mathbb{R}_{\geq 0}$  such that

- $\|0\| = 0$ ;
- $\forall x, y \in G, \|x + y\| \leq \|x\| + \|y\|$ .

Suppose that  $R$  is a ring (commutative with unity) and  $\|\cdot\|$  is a semi-norm on the underlying abelian group of  $R$ . We further require that

- $\|1\| = 1$ ;
- $\forall x, y \in R, \|xy\| \leq \|x\|\|y\|$ .

Suppose that  $(M, \|\cdot\|_M)$  is an  $R$ -module and  $\|\cdot\|_M$  is a semi-norm on the underlying abelian group of  $M$ . We further require that

- $\forall a \in R, x \in M, \|ax\|_M \leq \|a\|\|x\|_M$ .

Suppose that  $(A, \|\cdot\|_A)$  is an  $R$ -algebra and  $\|\cdot\|_A$  is a semi-norm on the underlying  $R$ -module of  $A$ . We further require that this semi-norm is a semi-norm on the underlying ring of  $A$ .

**Definition 1.2.** Let  $A$  be an abelian group (or ring,  $R$ -module,  $R$ -algebra) equipped with a semi-norm  $\|\cdot\|$ . We say that  $\|\cdot\|$  is a *norm* if  $\forall x \in A, \|x\| = 0 \iff x = 0$ .

**Definition 1.3.** Let  $A$  be an abelian group (or ring,  $R$ -module,  $R$ -algebra) equipped with a semi-norm  $\|\cdot\|$ . We say that  $\|\cdot\|$  is *non-archimedean* if we have the strong triangle inequality  $\forall x, y \in A, \|x + y\| \leq \max(\|x\|, \|y\|)$ .

**Definition 1.4.** Let  $\|\cdot\|_1$  and  $\|\cdot\|_2$  be two semi-norms on an abelian group (or ring,  $R$ -module,  $R$ -algebra)  $A$ . We say  $\|\cdot\|_1$  is *bounded* by  $\|\cdot\|_2$  if there exists a constant  $C > 0$  such that  $\forall x \in A, \|x\|_1 \leq C\|x\|_2$ . If  $\|\cdot\|_1$  and  $\|\cdot\|_2$  are bounded by each other, we say they are *equivalent*.

**Remark 1.5.** Equivalent semi-norms induce the same topology on  $A$ . However, the converse is not true in general. Compare with ??.

Yang: what about on a module?

**Definition 1.6.** Let  $M$  be a semi-normed abelian group (or  $R$ -module) and  $N \subseteq M$  be a subgroup (or  $R$ -submodule). The *residue semi-norm* on the quotient group  $M/N$  is defined as

$$\|x + N\|_{M/N} = \inf_{y \in N} \|x + y\|_M.$$

Unless otherwise specified, we always equip the quotient  $M/N$  with the residue semi-norm.

**Remark 1.7.** The residue semi-norm is a norm if and only if  $N$  is closed in  $M$ .

**Definition 1.8.** Let  $M$  and  $N$  be two semi-normed abelian groups (or rings,  $R$ -modules,  $R$ -algebras). A homomorphism  $f : M \rightarrow N$  is called *bounded* if there exists a constant  $C > 0$  such that  $\forall x \in M, \|f(x)\|_N \leq C\|x\|_M$ .

A bounded homomorphism  $f : M \rightarrow N$  is called *admissible* if the induced isomorphism  $M/\ker f \rightarrow \operatorname{Im} f$  is an isometry, i.e.,  $\forall x \in M, \|f(x)\|_N = \|x\|_{M/\ker f}$ .

**Definition 1.9.** A semi-norm  $\|\cdot\|$  on a ring  $R$  is called *multiplicative* if  $\forall x, y \in R, \|xy\| = \|x\|\|y\|$ . It is called *power-multiplicative* if  $\forall x \in R, \|x^n\| = \|x\|^n$  for all integers  $n \geq 1$ . A multiplicative norm sometimes is called a *(multiplicative) valuation* or an *absolute value*.

**Example 1.10.** Let  $R$  be arbitrary ring. The *trivial norm* on  $R$  is defined as  $\|x\| = 0$  if  $x = 0$  and  $\|x\| = 1$  if  $x \neq 0$ . The ring  $R$  equipped with the trivial norm is a valuation ring. This norm is non-archimedean and multiplicative.

**Example 1.11.** A valuation field  $(\mathbf{k}, |\cdot|)$  can be viewed as a valuation ring.

**Example 1.12.** Let  $|\cdot| = |\cdot|_\infty$  be the usual absolute value on  $\mathbb{Z}$ . Then  $(\mathbb{Z}, |\cdot|)$  is a valuation ring.

**Example 1.13.** Let  $X$  be a compact Hausdorff topological space. The ring  $\mathcal{C}(X, \mathbb{R})$  of continuous real-valued functions on  $X$  equipped with the norm  $\|f\| = \sup_{x \in X} |f(x)|$  is a normed ring. Its norm is power-multiplicative but not multiplicative in general. It is worth mentioning that the Gelfand-Kolmogorov Theorem saying that we can recover  $X$  from the normed ring  $\mathcal{C}(X, \mathbb{R})$ .

**Example 1.14.** Let  $K$  be a number field and  $\mathcal{O}_K$  be its ring of integers. The action of  $K$  on itself by multiplication induces an embedding  $K \hookrightarrow \operatorname{End}_{\mathbb{Q}}(K) \cong M_n(\mathbb{Q})$ . Consider a norm  $\|\cdot\|$  on the matrix ring  $M_n(\mathbb{Q})$  and restrict it to  $K$ . Then  $(K, \|\cdot\|)$  is a normed ring. This norm is even not power-multiplicative in general.

**Definition 1.15.** A (semi-)norm on an abelian group  $M$  induces a (pseudo-)metric  $d(x, y) = \|x - y\|$  on  $M$ . A (semi-)normed abelian group  $M$  is called *complete* if it is complete as a (pseudo-)metric space.

**Definition 1.16.** A *banach ring* is a complete normed ring.

**Proposition 1.17.** Let  $R$  be a banach ring and  $I \subseteq R$  be a closed ideal. Then the residue norm on the quotient ring  $R/I$  is a norm for rings.

*Proof.* We only need to show that  $\|1\|_{R/I} = 1$ . Yang: To be added. □

**Proposition 1.18.** Let  $R$  be a banach ring. Then the group of invertible elements  $R^\times$  is an open subset of  $R$ .

*Proof.* Yang: To be added. □

**Corollary 1.19.** Let  $R$  be a banach ring. Then every maximal ideal of  $R$  is closed.

*Proof.* Yang: To be added. □

**Definition 1.20.** Let  $(A, \|\cdot\|_A)$  be a normed algebraic structure, e.g., a normed abelian group, a normed ring, or a normed module. The *completion* of  $A$ , denoted by  $\hat{A}$ , is the completion of  $A$  as a metric space. Since  $A$  is dense in its completion and the algebraic operations are uniformly continuous, the algebraic operations on  $A$  can be uniquely extended to the completion.

Let  $R$  be a normed ring and  $M, N$  be semi-normed  $R$ -modules. There is a natural semi-norm on the tensor product  $M \otimes_R N$  defined as

$$\|z\|_{M \otimes_R N} = \inf \left\{ \sum_i \|x_i\|_M \|y_i\|_N : z = \sum_i x_i \otimes y_i, x_i \in M, y_i \in N \right\}.$$

**Definition 1.21.** Let  $R$  be a banach ring and  $M, N$  complete semi-normed  $R$ -modules. The *complete tensor product*  $M \hat{\otimes}_R N$  is defined as the completion of the semi-normed  $R$ -module  $M \otimes_R N$ .

**Example 1.22.** Yang: Example of complete tensor product.

**Definition 1.23.** Let  $R$  be a non-archimedean banach ring. We define

$$R^\circ = \{f \in R : \rho(f) \leq 1\}, \quad R^{\circ\circ} = \{f \in R : \rho(f) < 1\}.$$

The *reduction ring* of  $R$  is defined as the quotient ring

$$\tilde{R} = R^\circ / R^{\circ\circ}.$$

For a non-archimedean field  $\mathbf{k}$ , its reduction ring  $\tilde{\mathbf{k}} = \mathcal{K}_{\mathbf{k}}$  is just the residue field of its valuation ring.

**Example 1.24.** Let  $R$  be a ring equipped with the trivial norm. Then we have  $R^\circ = R$  and  $R^{\circ\circ} = \text{nil}(R)$ . Hence the reduction ring  $\tilde{R}$  is isomorphic to the reduced ring  $R_{\text{red}} = R / \text{nil}(R)$ .

## 1.2 Spectral radius

**Definition 1.25.** Let  $R$  be a banach ring. For each  $f \in R$ , the *spectral radius* of  $f$  is defined as

$$\rho(f) = \lim_{n \rightarrow \infty} \|f^n\|^{1/n}.$$

Since  $\|\cdot\|$  is submultiplicative, the limit defining  $\rho(f)$  exists and equals to  $\inf_{n \geq 1} \|f^n\|^{1/n}$  by Fekete's Subadditive Lemma.

**Example 1.26.** Consider the normed ring  $(K, \|\cdot\|)$  in Example 1.14. Suppose that  $\|\cdot\|$  on  $M_n(\mathbb{Q})$  is given by the 2-norm induced by the euclidean norm on  $\mathbb{Q}^n$ . Then for a matrix  $A \in M_n(\mathbb{Q})$ , its spectral radius  $\rho(A)$  is given by the largest absolute value of eigenvalues of  $A$ . Hence for each  $a \in K$ , its spectral radius  $\rho(a)$  is given by the largest absolute value of embeddings of  $a$  into  $\mathbb{C}$ , i.e.,

$$\rho(a) = \max_{\sigma: K \hookrightarrow \mathbb{C}} |\sigma(a)|.$$

**Proposition 1.27.** Let  $(R, \|\cdot\|)$  be a banach ring. The spectral radius  $\rho(\cdot)$  defines a power-multiplicative semi-norm on  $R$  that is bounded by  $\|\cdot\|$ .

*Proof.* Yang: To be continued. □

**Definition 1.28.** A banach ring  $R$  is called *uniform* if its norm is power-multiplicative.

**Definition 1.29.** Let  $R$  be a banach ring. The *uniformization* of  $R$ , denoted by  $R \rightarrow R^u$ , is the banach ring with the universal property among all bounded homomorphisms from  $R$  to uniform banach rings. Yang: To be continued.

**Definition 1.30.** Let  $R$  be a banach ring. An element  $f \in R$  is called *quasi-nilpotent* if  $\rho(f) = 0$ . All quasi-nilpotent elements of  $R$  form an ideal, denoted by  $\text{Qnil}(R)$ .

**Proposition 1.31.** Let  $R$  be a banach ring. The completion of  $R/\text{Qnil}(R)$  with respect to the spectral radius  $\rho(\cdot)$  is the uniformization of  $R$ .

*Proof.* Yang: To be continued. □

Yang: To be continued...

## 2 Convergent and restricted power series

**Notation 2.1.** Let  $T = (T_1, \dots, T_n)$  be a tuple of  $n$  indeterminates,  $r = (r_1, \dots, r_n)$  be a tuple of  $n$  positive real numbers, and  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$  be a multi-index. We use the following notations:

- $T^\alpha := T_1^{\alpha_1} T_2^{\alpha_2} \dots T_n^{\alpha_n}$  and  $r^\alpha := r_1^{\alpha_1} r_2^{\alpha_2} \dots r_n^{\alpha_n}$ ;
- $\underline{T/r} := (T_1/r_1, T_2/r_2, \dots, T_n/r_n)$ ;
- $|\alpha| := \alpha_1 + \alpha_2 + \dots + \alpha_n$ ;

- $\alpha \leq_{\text{total}} \beta$  if and only if for all  $i = 1, \dots, n$ , we have  $\alpha_i \leq \beta_i$ ;
- Let  $\{x_\alpha\}_{\alpha \in \mathbb{N}^n}$  be a set of elements in a metric space  $X$  indexed by multi-indices  $\alpha \in \mathbb{N}^n$ . We say that  $\lim_{|\alpha| \rightarrow +\infty} x_\alpha = x \in X$  if for every  $\varepsilon > 0$ , there exists  $N \in \mathbb{N}$  such that for all  $\alpha \in \mathbb{N}^n$  with  $|\alpha| > N$ , we have  $d(x_\alpha, x) < \varepsilon$ .

## 2.1 Absolutely convergent power series

**Definition 2.2.** Let  $R$  be a banach ring and  $r > 0$  be a real number. We define the *ring of absolutely convergent power series* over  $\mathbf{k}$  with radius  $r$  as

$$R\langle T/r \rangle := \left\{ \sum_{n=0}^{\infty} a_n T^n \in R[[T]] : \sum_{n=0}^{\infty} \|a_n\| r^n < \infty \right\}.$$

Equipped with the norm  $\|\sum_{n=0}^{\infty} a_n T^n\| := \sum_{n=0}^{\infty} \|a_n\| r^n$ , the ring  $R\langle T/r \rangle$  is a banach ring.

For a tuple of  $n$  indeterminates  $T = (T_1, \dots, T_n)$  and a tuple of  $n$  positive real numbers  $r = (r_1, \dots, r_n)$ , we define

$$R\langle \underline{T}/r \rangle := R\langle T_1/r_1, \dots, T_n/r_n \rangle := R\langle T_1/r, \dots, T_{n-1}/r_{n-1} \rangle \langle T_n/r_n \rangle.$$

## 2.2 Tate algebras

**Definition 2.3.** Let  $R$  be a non-archimedean banach ring. Let  $T = (T_1, \dots, T_n)$  be a tuple of  $n$  indeterminates and  $r = (r_1, \dots, r_n)$  be a tuple of  $n$  positive real numbers. The *Tate algebra* (or *ring of restricted power series*) is defined as

$$R\langle \underline{T}/r \rangle := R\{\underline{T}/r\} := \left\{ \sum_{\alpha \in \mathbb{N}^n} a_\alpha T^\alpha \mid a_\alpha \in R, \lim_{|\alpha| \rightarrow +\infty} \|a_\alpha\| r^\alpha = 0 \right\}.$$

**Proposition 2.4.** Let  $R$  be a non-archimedean banach ring. Then the Tate algebra  $R\{\underline{T}/r\}$  is a non-archimedean multiplicative banach  $R$ -algebra with respect to the *gauss norm*

$$\left\| \sum_{\alpha \in \mathbb{N}^n} a_\alpha T^\alpha \right\| := \sup_{\alpha \in \mathbb{N}^n} \|a_\alpha\| r^\alpha = \max_{\alpha \in \mathbb{N}^n} \|a_\alpha\| r^\alpha.$$

*Proof.* The proof splits into several parts. Every parts is straightforward and standard.

**Step 1.** We first show that  $R\{\underline{T}/r\}$  is a  $R$ -algebra.

Easily to see that it is closed under addition and scalar multiplication. Suppose that  $f = \sum_{\alpha \in \mathbb{N}^n} a_\alpha T^\alpha$  and  $g = \sum_{\alpha \in \mathbb{N}^n} b_\alpha T^\alpha$  are two nonzero elements in  $R\{\underline{T}/r\}$ . Given  $\varepsilon > 0$ , there exists  $N \in \mathbb{N}$  such that for all  $|\alpha| > N$ , we have  $\|a_\alpha\| r^\alpha < \varepsilon/\|g\|$  and  $\|b_\alpha\| r^\alpha < \varepsilon/\|f\|$ . For any  $|\gamma| > 2N$ , we have

$$\left\| \sum_{\alpha+\beta=\gamma} a_\alpha b_\beta \right\| r^\gamma \leq \max_{\alpha+\beta=\gamma} \|a_\alpha\| r^\alpha \cdot \|b_\beta\| r^\beta < \max \left\{ \frac{\varepsilon}{\|g\|} \|b_\beta\| r^\beta, \frac{\varepsilon}{\|f\|} \|a_\alpha\| r^\alpha \right\} \leq \varepsilon.$$

Hence  $f \cdot g \in R\{\underline{T}/r\}$  and it shows that  $R\{\underline{T}/r\}$  is a  $R$ -algebra.

**Step 2.** Show that the gauss norm is a non-archimedean norm on  $R\{\underline{T}/r\}$ .

The linearity and positive-definiteness of the gauss norm are direct from the definition. We have

$$\|f + g\| = \sup_{\alpha \in \mathbb{N}^n} \|a_\alpha + b_\alpha\| r^\alpha \leq \sup_{\alpha \in \mathbb{N}^n} \max\{\|a_\alpha\| + \|b_\alpha\|\} r^\alpha \leq \max\{\|f\|, \|g\|\}$$

and

$$\begin{aligned} \|f \cdot g\| &= \left\| \sum_{\gamma \in \mathbb{N}^n} \left( \sum_{\alpha+\beta=\gamma} a_\alpha b_\beta \right) T^\gamma \right\| = \sup_{\gamma \in \mathbb{N}^n} \left\| \sum_{\alpha+\beta=\gamma} a_\alpha b_\beta \right\| r^\gamma \\ &\leq \sup_{\gamma \in \mathbb{N}^n} \max_{\alpha+\beta=\gamma} \|a_\alpha\| \|b_\beta\| r^\alpha r^\beta = \|a_{\alpha_0}\| r^{\alpha_0} \cdot \|b_{\beta_0}\| r^{\beta_0} \leq \|f\| \cdot \|g\|. \end{aligned}$$

These show that Tate algebra with the gauss norm is a non-archimedean normed  $\mathbf{k}$ -algebra.

**Step 3.** Show that the gauss norm is multiplicative.

Suppose that  $\|f\| = \|a_{\alpha_1}\| r^{\alpha_1}$  and  $\|a_\alpha\| r^\alpha < \|f\|$  for all  $\alpha <_{\text{total}} \alpha_1$ . Similar to  $\|b_{\beta_1}\| r^{\beta_1}$ . Then we have

$$\|f\| \cdot \|g\| = \|a_{\alpha_1}\| r^{\alpha_1} \cdot \|b_{\beta_1}\| r^{\beta_1} = \max_{\alpha+\beta=\alpha_1+\beta_1} \|a_\alpha\| \|b_\beta\| r^\alpha r^\beta = \left\| \sum_{\alpha+\beta=\alpha_1+\beta_1} a_\alpha b_\beta \right\| r^{\alpha_1+\beta_1} \leq \|f \cdot g\|,$$

where the third equality holds since  $(\alpha_1, \beta_1)$  is the unique pair such that  $\|a_{\alpha_1}\| r^{\alpha_1} \cdot \|b_{\beta_1}\| r^{\beta_1}$  is maximized and by ???. Thus the gauss norm is multiplicative.

**Step 4.** Finally show that  $R\{\underline{T}/r\}$  is complete with respect to the gauss norm.

Let  $\{f_m = \sum a_{\alpha,m} T^\alpha\}$  be a cauchy sequence in  $R\{\underline{T}/r\}$ . We have

$$\|a_{\alpha,m} - a_{\alpha,l}\| r^\alpha \leq \|f_m - f_l\|.$$

Thus for each  $\alpha \in \mathbb{N}^n$ , the sequence  $\{a_{\alpha,m}\}$  is a cauchy sequence in  $R$ . Since  $R$  is complete, set  $a_\alpha := \lim_{m \rightarrow +\infty} a_{\alpha,m}$  and  $f = \sum_{\alpha \in \mathbb{N}^n} a_\alpha T^\alpha$ . Given  $\varepsilon > 0$ , there exists  $M \in \mathbb{N}$  such that for all  $m, l > M$ , we have  $\|f_m - f_l\| < \varepsilon$ . Fixing  $m > M$ , there exists  $N \in \mathbb{N}$  such that for all  $|\alpha| > N$ , we have  $\|a_{\alpha,m}\| r^\alpha < \varepsilon$ . Hence for all  $|\alpha| > N$  and  $l > M$ , we have

$$\|a_{\alpha,l}\| r^\alpha \leq \|a_{\alpha,l} - a_{\alpha,m}\| r^\alpha + \|a_{\alpha,m}\| r^\alpha < 2\varepsilon.$$

Taking  $l \rightarrow +\infty$ , we have  $\|a_\alpha\| r^\alpha \leq 2\varepsilon$  for all  $|\alpha| > N$ . It follows that  $f \in \mathbf{k}\{\underline{T}/r\}$ .

For every  $\varepsilon > 0$ , there exists  $N \in \mathbb{N}$  such that for all  $m, l > N$ , we have  $\|f_m - f_l\| < \varepsilon$ . Thus for all  $\alpha \in \mathbb{N}^n$  and  $m, l > N$ , we have

$$\|a_{\alpha,m} - a_{\alpha,l}\| r^\alpha \leq \|f_m - f_l\| < \varepsilon.$$

Taking  $l \rightarrow +\infty$ , we have  $\|a_{\alpha,m} - a_\alpha\| r^\alpha \leq \varepsilon$  for all  $m > N$ . It follows that

$$\|f - f_m\| = \sup_{\alpha \in \mathbb{N}^n} \|a_\alpha - a_{\alpha,m}\| r^\alpha \leq \varepsilon$$

for all  $m > N$ . □

**Example 2.5.** Let  $R$  be a non-archimedean banach ring and  $A = R\{T\}$  be the Tate algebra in one variable over  $R$ . Then we have

$$A^\circ = \left\{ \sum_{n \geq 0} a_n T^n : |a_n| \leq 1 \text{ for all } n \in \mathbb{N} \right\} = R^\circ\{T\},$$

and

$$A^{\circ\circ} = \left\{ \sum_{n \geq 0} a_n T^n : |a_n| < 1 \text{ for all } n \in \mathbb{N} \right\} = R^{\circ\circ}\{T\}.$$

Since the norm of items in a restricted power series will tend to 0, we have

$$\tilde{A} = \tilde{R}[T].$$

**Example 2.6.** Let  $R$  is a multiplicative non-archimedean banach ring. Set

$$\sqrt{|R|}^{-1} = \{r \in R_{>0} : r^{-n} \in |R| \text{ for some } n \in \mathbb{N}_{>0}\}.$$

Fix  $r \in R_{>0}^n$ , consider the Tate algebra  $A = R\{T/r\}$ .

Suppose that  $r \in \sqrt{|R|}^{-1}$ . Let  $n$  be the minimal positive integer such that  $r^n \in |R|^{-1}$  and

$$\tilde{M}_k := \{a \in R : |a| = r^{-nk}\} / \{a \in R : |a| < r^{-nk}\}.$$

For  $a_m T^m$  with  $n \nmid m$ , we have  $\|a_m T^m\| = |a_m| r^m \leq 1 \implies |a_m| r^m < 1$ . Hence

$$\widehat{R\{T/r\}} = \tilde{R} \oplus \tilde{M}_1 T^n \oplus \tilde{M}_2 T^{2n} \oplus \tilde{M}_3 T^{3n} \oplus \dots$$

In case  $R = \mathbf{k}$  is a non-archimedean field, we have  $\tilde{M}_k \cong \tilde{\mathbf{k}}$  by choosing an element  $c \in \mathbf{k}$  with  $|c| = r^{-n}$ . Hence

$$\widehat{\mathbf{k}\{T/r\}} \cong \hat{\mathbf{k}}[T^n].$$

Suppose that  $r \notin \sqrt{|R|}^{-1}$ . Then for every  $\|a_n T^n\| = a_n r^n \leq 1$ , we have  $|a_n| < 1$ . It follows that

$$\widehat{R\{T/r\}} = \tilde{R}.$$

## 2.3 Weierstrass division

**Definition 2.7.** Let  $R$  be a non-archimedean banach ring and  $r \in R_{>0}$ . A restricted power series  $f = \sum_{\alpha \in \mathbb{N}^n} a_\alpha T^\alpha \in R\{\underline{T}/r\}$  is said to be *distinguished in the variable  $T_n$  of degree  $d$*  if

- $a_\alpha \in R$  is a unit for  $\alpha = (0, \dots, 0, d)$ ;
- $\|a_\alpha\| r^\alpha < \|a_{(0, \dots, 0, d)}\| r_n^d$  for all  $\alpha_n < d$ .

Yang: To be revised.

**Proposition 2.8.** Let  $R$  be a non-archimedean banach ring. An element  $f = \sum_{\alpha \in \mathbb{N}^n} a_\alpha T^\alpha \in R\{\underline{T}/r\}$  is invertible if and only if  $a_0$  is invertible in  $R$  and  $\|a_0\| > \|a_\alpha\| r^\alpha$  for all  $\alpha \neq 0$ .



*Proof.* Multiplying by  $a_0^{-1}$ , we can reduce to the case  $a_0 = 1$ . Let  $g = \sum_{\alpha \in \mathbb{N}^n} b_\alpha T^\alpha$  be the inverse of  $f$  in  $R[[\underline{T}]]$ . Then we have

$$f \cdot g = \sum_{\alpha \in \mathbb{N}^n} a_\alpha T^\alpha \cdot \sum_{\beta \in \mathbb{N}^n} b_\beta T^\beta = \sum_{\gamma \in \mathbb{N}^n} \left( \sum_{\alpha+\beta=\gamma} a_\alpha b_\beta \right) T^\gamma = 1.$$

That is, for every  $\gamma \neq 0 \in \mathbb{N}^n$ ,

$$b_\gamma = - \sum_{\substack{\alpha+\beta=\gamma \\ \alpha \neq 0}} a_\alpha b_\beta.$$

Let  $A = \|f - 1\| < 1$ . We show that for every  $m \in \mathbb{N}$ , there exists  $C_m > 0$  such that for all  $\alpha \in \mathbb{N}^n$  with  $|\alpha| \geq C_m$ , we have  $\|b_\alpha\| r^\alpha \leq A^m$ . For  $m = 0$ , note that  $b_0 = 1$ . By induction on  $\gamma$  with respect to the total order  $\leq_{\text{total}}$ , we have

$$\|b_\gamma\| r^\gamma \leq \max_{\substack{\alpha+\beta=\gamma \\ \alpha \neq 0}} \|a_\alpha\| r^\alpha \cdot \|b_\beta\| r^\beta \leq A \max_{\beta <_{\text{total}} \gamma} \|b_\beta\| r^\beta \leq 1.$$

Suppose that the claim holds for  $m$ . There exists  $D_{m+1} \in \mathbb{N}$  such that for all  $\alpha \in \mathbb{N}^n$  with  $|\alpha| \geq D_{m+1}$ , we have  $\|a_\alpha\| r^\alpha \leq A^{m+1}$ . Set  $C_{m+1} = C_m + D_{m+1} + 1$ . For any  $\gamma \in \mathbb{N}^n$  with  $|\gamma| \geq C_{m+1}$ , we have

$$\|b_\gamma\| r^\gamma \leq \max_{\substack{\alpha+\beta=\gamma \\ \alpha \neq 0}} \|a_\alpha\| r^\alpha \cdot \|b_\beta\| r^\beta \leq \max\{A^{m+1}, A \cdot A^m\} = A^{m+1}$$

since either  $|\alpha| \geq D_{m+1}$  or  $|\beta| \geq C_m$ . Thus by induction, we have  $\|b_\alpha\| r^\alpha \rightarrow 0$  as  $|\alpha| \rightarrow +\infty$ . It follows that  $g \in R\{\underline{T}/r\}$ .  $\square$

**Theorem 2.9** (Weierstrass preparation theorem). Let  $\mathbf{k}$  be a complete non-archimedean field. Let  $f \in \mathbf{k}\{\underline{T}/r\}$  be a restricted power series that is distinguished in the variable  $T_n$  of degree  $d$ , i.e.,

$$f = \sum_{\alpha \in \mathbb{N}^{n-1}} a_\alpha T^\alpha + \sum_{\alpha_n \geq 1} a_\alpha T^\alpha$$

with  $a_{(0,\dots,0,d)}$  being a unit in  $\mathbf{k}\{\underline{T}/r\}$  and  $\|a_\alpha\| r^\alpha < \|a_{(0,\dots,0,d)}\| r_n^d$  for all  $\alpha_n < d$ . Then there exists a unique monic polynomial  $P \in \mathbf{k}\{\underline{T}/r\}[T_n]$  of degree  $d$  in  $T_n$  and a unique unit  $U \in \mathbf{k}\{\underline{T}/r\}$  such that

$$f = P \cdot U.$$

Yang: To be checked.

**Theorem 2.10** (Weierstrass division theorem). Let  $\mathbf{k}$  be a complete non-archimedean field. Let  $f \in \mathbf{k}\{\underline{T}/r\}$  be a restricted power series that is distinguished in the variable  $T_n$  of degree  $d$ . Then for every  $g \in \mathbf{k}\{\underline{T}/r\}$ , there exists a unique  $Q \in \mathbf{k}\{\underline{T}/r\}$  and a unique polynomial  $R \in \mathbf{k}\{\underline{T}/r\}[T_n]$  of degree less than  $d$  in  $T_n$  such that

$$g = Q \cdot f + R.$$

Yang: To be checked.

**Proposition 2.11.** Let  $\mathbf{k}$  be a complete non-archimedean field and  $r = (r_1, \dots, r_n) \in \mathbb{R}_+^n$ . Then

$$\text{Spec } \mathbf{k}\{\underline{T}/r\} = \{\},$$

where

## 3 Affinoid algebras

### 3.1 The first properties

**Definition 3.1.** Let  $\mathbf{k}$  be a non-archimedean field. A banach  $\mathbf{k}$ -algebra  $A$  is called a *affinoid  $\mathbf{k}$ -algebra* if there exists an admissible surjective homomorphism

$$\varphi : \mathbf{k}\{\underline{T}/r\} \twoheadrightarrow A$$

for some  $r = (r_1, \dots, r_n) \in \mathbb{R}_{>0}^n$ .

If one can choose  $r_1 = \dots = r_n = 1$ , then we say that  $A$  is a *strict affinoid  $\mathbf{k}$ -algebra*.

**Example 3.2.** Yang: To be added.

**Example 3.3.** Let  $A$  be an affinoid  $\mathbf{k}$ -algebra and  $f_i, g_i \in A$ . Then the normed localization

$$A\{(f_i/g_i)/r_i\}_{i=1}^n = A \otimes_{\mathbf{k}\{\underline{T}\}} \mathbf{k}\{\underline{T}/r, \underline{S}\}/(g_i T_i - f_i)_{i=1}^n$$

is again an affinoid  $\mathbf{k}$ -algebra. Yang: To be added.

**Proposition 3.4.** Let  $A$  be an affinoid  $\mathbf{k}$ -algebra. Then  $A$  is noetherian, and every ideal of  $A$  is closed.

*Proof.* Yang: To be completed. □

**Proposition 3.5.** Let  $A$  be an affinoid  $\mathbf{k}$ -algebra. Then there exists a constant  $C > 0$  and  $N > 0$  such that for all  $f \in A$  and  $n \geq N$ , we have

$$\|f^n\| \leq C\rho(f)^n.$$

In particular,  $\text{Qnil}(A) = \text{nil}(A)$ .

Furthermore, if  $A$  is reduced, we have

$$\|f\| \leq C\rho(f)$$

for all  $f \in A$ .

*Proof.* Yang: To be completed. □

**Proposition 3.6.** Let  $A$  be an affinoid  $\mathbf{k}$ -algebra. If and only if  $\rho(f) \in \sqrt{|\mathbf{k}|} \cup \{0\}$  for all  $f \in A$ , then  $A$  is strict. Yang: To be complete.

*Proof.* Yang: To be completed. □

**Definition 3.7.** Let  $\mathbf{k}$  be a non-archimedean field. We define the *ring of restricted Laurent series* over  $\mathbf{k}$  as

$$\mathbf{K}_r = \mathbf{L}_{\mathbf{k},r} = \left\{ \sum_{n \in \mathbb{Z}} a_n T^n : a_n \in \mathbf{k}, \lim_{|n| \rightarrow \infty} |a_n| r^n = 0 \right\}$$

equipped with the norm

$$\|f\| = \sup_{n \in \mathbb{Z}} |a_n| r^n.$$

Yang: Is  $\mathbf{K}_r$  always a field? Yang: Do we have  $\mathbf{L}_{\mathbf{k},r} = \text{Frac}(\mathbf{k}\{T/r\})$ ?

**Proposition 3.8.** Let  $\mathbf{k}$  be a non-archimedean field. If  $r \notin \sqrt{|\mathbf{k}^\times|}$ , then  $\mathbf{K}_r$  is a complete non-archimedean field with non-trivial absolute value extending that of  $\mathbf{k}$ .

Yang: Tensor with  $\mathbf{K}_r$ .

**Theorem 3.9.** Let  $A$  be a strict affinoid  $\mathbf{k}$ -algebra. Then there exists a finite injective admissible homomorphism

$$\varphi : \mathbf{k}\{T\} \hookrightarrow A$$

for some  $d \in \mathbb{N}$  and  $r_1, \dots, r_d \in \mathbb{R}_{>0}$ . Yang: To be checked.

## 3.2 Finite modules over affinoid algebras

There are three different categories of finite modules over an affinoid algebra  $A$ :

- The category  $\mathbf{Banmod}_A$  of finite banach  $A$ -modules with  $A$ -linear maps as morphisms.
- The category  $\mathbf{Banmod}_A^b$  of finite banach  $A$ -modules with bounded  $A$ -linear maps as morphisms.
- The category  $\mathbf{mod}_A$  of finite  $A$ -modules with all  $A$ -linear maps as morphisms.

**Theorem 3.10.** Let  $A$  be an affinoid  $\mathbf{k}$ -algebra. Then the category of finite banach  $A$ -modules with bounded  $A$ -linear maps as morphisms is equivalent to the category of finite  $A$ -modules with  $A$ -linear maps as morphisms. Yang: To be revised.

For simplicity, we will just write  $\mathbf{mod}_A$  to denote the category of finite banach  $A$ -modules with bounded  $A$ -linear maps as morphisms.