

# Valuation fields

## 1 absolute values and completion

**Definition 1.** Let  $\mathbf{k}$  be a field. An *absolute value* on  $\mathbf{k}$  is a function  $\|\cdot\| : \mathbf{k} \rightarrow \mathbb{R}_{\geq 0}$  satisfying the following properties for all  $x, y \in \mathbf{k}$ :

- (a)  $\|x\| = 0$  if and only if  $x = 0$ ;
- (b)  $\|xy\| = \|x\| \cdot \|y\|$ ;
- (c)  $\|x + y\| \leq \|x\| + \|y\|$ .

A field  $\mathbf{k}$  equipped with an absolute value  $\|\cdot\|$  is called a *valuation field*.

**Remark 2.** Let  $\mathbf{k}$  be a field. Recall that a *valuation* on  $\mathbf{k}$  is a function  $v : \mathbf{k}^\times \rightarrow \mathbb{R}$  such that

- $\forall x, y \in \mathbf{k}^\times, v(xy) = v(x) + v(y)$ ;
- $\forall x, y \in \mathbf{k}^\times, v(x + y) \geq \min\{v(x), v(y)\}$ .

We can extend  $v$  to the whole field  $\mathbf{k}$  by defining  $v(0) = +\infty$ . Fix a real number  $\varepsilon \in (0, 1)$ . Then  $v$  induces an absolute value  $|\cdot|_v : \mathbf{k} \rightarrow \mathbb{R}_+$  defined by  $|x|_v = \varepsilon^{v(x)}$  for each  $x \in \mathbf{k}$ .

In some literature, the valuation  $v$  is called an *additive valuation* and the induced absolute value  $|\cdot|_v$  is called a *multiplicative valuation*. In this note, the term *valuation* always refers to the additive valuation.

**Definition 3.** Let  $(\mathbf{k}, \|\cdot\|)$  be a valuation field. We say that  $\mathbf{k}$  is *complete* if the metric  $d(x, y) := \|x - y\|$  makes  $\mathbf{k}$  a complete metric space.

**Lemma 4.** Let  $(\mathbf{k}, \|\cdot\|)$  be a valuation field. Let  $(\widehat{\mathbf{k}}, \|\cdot\|)$  be its completion as a metric space. Then the operations of addition and multiplication on  $\mathbf{k}$  can be extended to  $\widehat{\mathbf{k}}$  uniquely, making  $(\widehat{\mathbf{k}}, \|\cdot\|)$  a complete valuation field containing  $\mathbf{k}$  as a dense subfield.

**Definition 5.** A valuation field  $(\mathbf{k}, \|\cdot\|)$  is called *spherically complete* if every decreasing sequence of closed balls in  $\mathbf{k}$  has a non-empty intersection.

## 2 Non-archimedean fields and ultra-metric spaces

**Definition 6.** Let  $(\mathbf{k}, \|\cdot\|)$  be a valuation field. We say that  $\mathbf{k}$  is *non-archimedean* if its absolute value  $\|\cdot\|$  satisfies the *strong triangle inequality*:

$$\|x + y\| \leq \max\{\|x\|, \|y\|\}, \quad \forall x, y \in \mathbf{k}.$$

Otherwise, we say that  $\mathbf{k}$  is *archimedean*.

Let  $\mathbf{k}$  be a non-archimedean field. Then easily see that  $\{x \in \mathbf{k} : \|x\| \leq 1\}$  is a subring of  $\mathbf{k}$ . Moreover, it is a local ring whose maximal ideal is  $\{x \in \mathbf{k} : \|x\| < 1\}$ .

**Definition 7.** Let  $\mathbf{k}$  be a non-archimedean field. The *ring of integers* of  $\mathbf{k}$  is defined as

$$\mathbf{k}^\circ := \{x \in \mathbf{k} : \|x\| \leq 1\}.$$

Its maximal ideal is

$$\mathbf{k}^{\circ\circ} := \{x \in \mathbf{k} : \|x\| < 1\}.$$

The *residue field* of  $\mathbf{k}$  is defined as

$$\kappa_{\mathbf{k}} := \tilde{\mathbf{k}} := \mathbf{k}^\circ / \mathbf{k}^{\circ\circ}.$$

Yang: Is the valuation on residue field trivial?

**Theorem 8** (Hessel's lemma). Let  $\mathbf{k}$  be a non-archimedean field and  $\kappa_{\mathbf{k}}$  be its residue field. For any polynomial  $\tilde{f}(X) \in \kappa_{\mathbf{k}}[X]$  and any simple root  $\tilde{a} \in \kappa_{\mathbf{k}}$  of  $\tilde{f}(X)$ , there exists a root  $a \in \mathbf{k}^\circ$  of  $f(X) \in \mathbf{k}^\circ[X]$  such that the image of  $a$  in  $\kappa_{\mathbf{k}}$  is  $\tilde{a}$ . Yang: To be checked.

**Definition 9.** A metric space  $(X, d)$  is called an *ultra-metric space* if its metric  $d$  satisfies the *strong triangle inequality*:

$$d(x, z) \leq \max\{d(x, y), d(y, z)\}, \quad \forall x, y, z \in X.$$

**Proposition 10.** Let  $(X, d)$  be an ultra-metric space. Then for any  $x \in X$  and  $r > 0$ , the closed ball  $B(x, r) := \{y \in X : d(x, y) \leq r\}$  satisfies the following properties:

- (a) For any  $y \in B(x, r)$ , we have  $B(x, r) = B(y, r)$ .
- (b) Any two closed balls in  $X$  are either disjoint or one is contained in the other.

Yang: To be revised.

We will use  $B(x, r)$  to denote the open ball with center  $x$  and radius  $r$ . We will use  $E(x, r)$  to denote the closed ball with center  $x$  and radius  $r$ .

**Proposition 11.** Let  $(X, d)$  be an ultra-metric space. Then  $X$  is totally disconnected, i.e., the only connected subsets of  $X$  are the singletons. Yang: To be revised.

### 3 $p$ -adic fields

**Construction 12.** Let  $K$  be a number field and  $\mathfrak{p}$  be a prime ideal of the ring of integers  $\mathcal{O}_K$  of  $K$ . Considering the localization  $(\mathcal{O}_K)_{\mathfrak{p}}$  of  $\mathcal{O}_K$  at  $\mathfrak{p}$ , which is a discrete valuation ring, denote by  $v_{\mathfrak{p}} : K^{\times} \rightarrow \mathbb{Z}$  the corresponding discrete valuation. The  $p$ -adic absolute value on  $K$  associated to  $\mathfrak{p}$  is defined as

$$|x|_{\mathfrak{p}} := N(\mathfrak{p})^{-v_{\mathfrak{p}}(x)}, \quad \forall x \in K,$$

where  $N(\mathfrak{p}) := \#(\mathcal{O}_K/\mathfrak{p})$  is the norm of  $\mathfrak{p}$ .

The completion of  $K$  with respect to the  $p$ -adic absolute value  $|\cdot|_{\mathfrak{p}}$  is denoted by  $K_{\mathfrak{p}}$ , called the  $\mathfrak{p}$ -adic field.

One can just focus on the case  $K = \mathbb{Q}$  and  $\mathfrak{p} = (p)$  for a prime number  $p$ .

**Example 13.** Let  $p$  be a prime number. For every  $r \in \mathbb{Q}$ , we can write  $r$  as  $r = p^n \frac{a}{b}$ , where  $n \in \mathbb{Z}$  and  $a, b \in \mathbb{Z}$  are integers not divisible by  $p$ . The  $p$ -adic absolute value on  $\mathbb{Q}$  is defined as

$$|r|_p := p^{-n}.$$

The  $p$ -adic field  $\mathbb{Q}_p$  can be described concretely as follows:

$$\mathbb{Q}_p = \left\{ \sum_{i=n}^{+\infty} a_i p^i \mid n \in \mathbb{Z}, a_i \in \{0, 1, \dots, p-1\} \right\}.$$

For  $x = \sum_{i=n}^{+\infty} a_i p^i \in \mathbb{Q}_p$  with  $a_n \neq 0$ , its  $p$ -adic absolute value is given by  $|x|_p = p^{-n}$ . The operations of addition and multiplication on  $\mathbb{Q}_p$  are defined similarly as those on decimal expansions.

**Construction 14.** Let  $p$  be a prime number. The field  $\mathbb{C}_p$  of  $p$ -adic complex numbers is defined as the completion of the algebraic closure of  $\mathbb{Q}_p$  with respect to the unique extension of the  $p$ -adic absolute value  $|\cdot|_p$  on  $\mathbb{Q}_p$ . The field  $\mathbb{C}_p$  is algebraically closed and complete with respect to  $|\cdot|_p$ .

Yang: To be completed.

**Proposition 15.** The field  $\mathbb{C}_p$  of  $p$ -adic complex numbers is not spherically complete.

**Construction 16.** Let  $p$  be a prime number. Yang: We construct the spherically complete  $p$ -adic field  $\Omega_p$ . Yang: To be completed.

Yang: What is the relation between the finite extension of  $\mathbb{Q}_p$  and  $K_p$ ?

## Appendix