

# Ultra-metric spaces

We will use  $B(x, r)$  (resp.  $E(x, r)$ ) to denote the open ball (resp. closed ball) with center  $x$  and radius  $r$ .

**Definition 1.** A metric space  $(X, d)$  is called an *ultra-metric space* if its metric  $d$  satisfies the *strong triangle inequality*:

$$d(x, z) \leq \max\{d(x, y), d(y, z)\}, \quad \forall x, y, z \in X.$$

If  $(\mathbf{k}, \|\cdot\|)$  is a non-archimedean field, then the metric  $d(x, y) := \|x - y\|$  on  $\mathbf{k}$  makes  $(\mathbf{k}, d)$  an ultra-metric space.

**Proposition 2.** Let  $(X, d)$  be an ultra-metric space. Then for any  $x, y, z \in X$ , at least two of the three distances  $d(x, y), d(y, z), d(z, x)$  are equal. And the third distance is less than or equal to the common value of the other two.

*Proof.* Suppose that  $d(x, y) \geq d(y, z)$ . By the strong triangle inequality, we have

$$d(x, z) \leq \max\{d(x, y), d(y, z)\} = d(x, y).$$

On the other hand, by the strong triangle inequality again, we have

$$d(x, y) \leq \max\{d(x, z), d(z, y)\} = \max\{d(x, z), d(y, z)\} \leq d(x, y).$$

This shows that  $d(x, y) = \max\{d(x, z), d(y, z)\}$ . Thus either  $d(x, z) = d(x, y) \geq d(y, z)$  or  $d(y, z) = d(x, y) \geq d(x, z)$ .  $\square$

**Proposition 3.** Let  $(X, d)$  be an ultra-metric space. Let  $D_i$  be (open or closed) ball in  $X$  for  $i = 1, 2$ . If  $D_1 \cap D_2 \neq \emptyset$ , then either  $D_1 \subseteq D_2$  or  $D_2 \subseteq D_1$ .

*Proof.* Suppose that  $D_i$  has center  $x_i$  and radius  $r_i$  for  $i = 1, 2$ . Let  $y \in D_1 \cap D_2$ . We have

$$d(x_1, x_2) \leq \max\{d(x_1, y), d(y, x_2)\}.$$

Without loss of generality, we may assume that  $d(x_1, x_2) \leq d(x_1, y)$ . It follows that  $x_2 \in D_1$  since  $d(x_1, y) < r_1$  (or  $\leq r_1$ ).

If there exists  $z \in D_2 \setminus D_1$ , we claim that  $D_1 \subseteq D_2$ . We have  $d(x_1, z) > d(x_1, x_2)$ . Then by [Proposition 2](#),

$$r_1 \leq d(x_1, z) = d(x_2, z) \leq r_2.$$

In particular, if  $D_2$  is an open ball, then we have strict inequality  $r_1 < r_2$ . For any  $w \in D_1$ , we have

$$d(x_2, w) \leq \max\{d(x_2, x_1), d(x_1, w)\} \leq r_1 \leq r_2.$$

Thus  $w \in D_2$  whatever  $D_2$  is open or closed, and it shows that  $D_1 \subseteq D_2$ .  $\square$

**Proposition 4.** Let  $(X, d)$  be an ultra-metric space. Then both  $B(x, r)$  and  $E(x, r)$  are closed and open subsets of  $X$  for any  $x \in X$  and  $r > 0$ .

*Proof.* We show that the sphere  $S(x, r) := \{y \in X \mid d(x, y) = r\}$  is open in  $X$ . Note that if  $y \in S(x, r)$ , then for any  $r' < r$ , we have  $B(y, r') \cap E(x, r) \neq \emptyset$  and  $x \in E(x, r) \setminus B(y, r')$ . Thus by Proposition 3, we have  $B(y, r') \subseteq E(x, r)$ . If  $B(y, r') \cap B(x, r) \neq \emptyset$ , then by Proposition 3 again, we have  $B(y, r') \subseteq B(x, r)$ . However,  $y \in B(y, r') \setminus B(x, r)$ , a contradiction. Thus  $B(y, r') \subseteq E(x, r) \setminus B(x, r) = S(x, r)$ . It yields that  $S(x, r) = \bigcup_{y \in S(x, r)} B(y, r/2)$  is open in  $X$ .

Since  $E(x, r) = B(x, r) \cup S(x, r)$  and  $B(x, r) = E(x, r) \setminus S(x, r)$ , both  $B(x, r)$  and  $E(x, r)$  are open and closed in  $X$ .  $\square$

**Corollary 5.** Let  $(X, d)$  be an ultra-metric space. Then  $X$  is totally disconnected, i.e., the only connected subsets of  $X$  are the set with at most one point.

*Proof.* Suppose that  $S \subset X$  has at least two distinct points  $x, y \in S$ . Let  $r := d(x, y) > 0$ . Consider the open ball  $B(x, r/2)$ . By Proposition 4,  $B(x, r/2)$  is both open and closed in  $X$ . Thus  $B(x, r/2) \cap S$  is both open and closed in  $S$ , however, it is non-empty and not equal to  $S$  since it contains  $x$  but not  $y$ . This shows that  $S$  is disconnected.  $\square$

**Proposition 6.** Let  $(X, d)$  be an ultra-metric space. A sequence  $\{x_n\}$  in  $X$  is cauchy if and only if  $d(x_n, x_{n+1}) \rightarrow 0$  as  $n \rightarrow \infty$ .

*Proof.* The necessity is true for all metric spaces. Suppose that  $d(x_n, x) \rightarrow 0$  as  $n \rightarrow \infty$ . For any  $\varepsilon > 0$ , there exists  $N \in \mathbb{N}$  such that  $d(x_n, x_{n+1}) < \varepsilon$  for all  $n \geq N$ . For any  $m, n \geq N$  with  $m < n$ , by the strong triangle inequality, we have

$$d(x_n, x_m) \leq \max\{d(x_n, x_{n-1}), d(x_{n-1}, x_m)\} \leq \max\{d(x_n, x_{n-1}), d(x_{n-1}, x_{n-2}), \dots, d(x_{m+1}, x_m)\} < \varepsilon.$$

This shows that  $\{x_n\}$  is a cauchy sequence.  $\square$