

# Complex Manifolds

## 1 Definition and Examples

**Definition 1.** A *complex manifold* of complex dimension  $n$  is a topological space  $M$  such that

- (a)  $M$  is Hausdorff and second countable;
- (b)  $M$  is locally homeomorphic to  $\mathbb{C}^n$ , i.e., for every point  $p \in M$ , there exists an open neighborhood  $U$  of  $p$  and a homeomorphism  $\varphi : U \rightarrow V \subset \mathbb{C}^n$ , where  $V$  is an open subset of  $\mathbb{C}^n$ . The pair  $(U, \varphi)$  is called a *chart*;
- (c) if  $(U, \varphi)$  and  $(U', \varphi')$  are two charts with  $U \cap U' \neq \emptyset$ , then the transition map

$$\varphi' \circ \varphi^{-1} : \varphi(U \cap U') \rightarrow \varphi'(U \cap U')$$

is holomorphic.

The collection of all charts  $\{(U_\alpha, \varphi_\alpha)\}$  that cover  $M$  is called an *atlas*. If the atlas is maximal, it is called a *complex structure* on  $M$ .

Another way to define complex manifolds is to use the language of ringed spaces.

**Definition 2.** A *complex manifold* of complex dimension  $n$  is a locally ringed space  $(M, \mathcal{O}_M)$  such that

- (a)  $M$  is Hausdorff and second countable;
- (b) for every point  $p \in M$ , there exists an open neighborhood  $U$  of  $p$  such that  $(U, \mathcal{O}_M|_U)$  is isomorphic to  $(B, \mathcal{O}_B)$ , where  $B$  is the unit open ball in  $\mathbb{C}^n$  and  $\mathcal{O}_B$  is the sheaf of holomorphic functions on  $B$ .

**Question 3.** Given a topological space  $M$  that is Hausdorff and second countable, when does it admit a complex structure? Is such a complex structure unique?

For complex dimension 1, the answer is positive and well-known. For higher dimensions, the answer is negative in general. In particular, does the 6-sphere  $S^6$  admit a complex structure? This is a famous open problem in complex geometry.

**Question 4.** Does the 6-sphere  $S^6$  admit a complex structure?

**Definition 5.** Let  $M$  and  $N$  be two complex manifolds. A continuous map  $f : M \rightarrow N$  is called *holomorphic* if for every point  $p \in M$ , there exist charts  $(U, \varphi)$  of  $M$  around  $p$  and  $(V, \psi)$  of  $N$  around  $f(p)$  with  $U \subset f^{-1}(V)$  such that

$$\psi \circ f \circ \varphi^{-1} : \varphi(U) \rightarrow \psi(V)$$

is holomorphic.

**Definition 6.** Let  $M$  be a complex manifold of complex dimension  $n$ . A subset  $S \subset M$  is called a *complex submanifold* of complex dimension  $k$  if for every point  $p \in S$ , there exist a chart  $(U, \varphi)$  of  $M$  around  $p$  such that

$$\varphi(U \cap S) = \varphi(U) \cap (\mathbb{C}^k \times \{0\}) \subset \mathbb{C}^n,$$

where we identify  $\mathbb{C}^n$  with  $\mathbb{C}^k \times \mathbb{C}^{n-k}$ . This gives a chart of  $S$  around  $p$ . Endowed with the induced topology and the induced complex structure,  $S$  is a complex manifold of complex dimension  $k$ .

**Example 7.** Any complex vector space  $V$  of complex dimension  $n$  is a complex manifold of complex dimension  $n$ .

**Example 8.** The complex projective space  $\mathbb{CP}^n := \mathbb{C}^{n+1} \setminus \{0\} / \mathbb{C}^\times$  is a complex manifold of complex dimension  $n$ . In fact,  $\mathbb{CP}^n$  can be covered by  $n+1$  charts, each of which is biholomorphic to  $\mathbb{C}^n$ . For example, the chart  $U_0 = \{[z_0 : z_1 : \dots : z_n] \in \mathbb{CP}^n : z_0 \neq 0\}$  is biholomorphic to  $\mathbb{C}^n$  via the map

$$[z_0 : z_1 : \dots : z_n] \mapsto \left( \frac{z_1}{z_0}, \frac{z_2}{z_0}, \dots, \frac{z_n}{z_0} \right).$$

The other charts are defined similarly.

**Proposition 9.** Let  $M$  and  $N$  be complex manifolds of complex dimension  $n$  and  $m$  respectively, with  $n \geq m$ . If  $f : M \rightarrow N$  is a holomorphic map such that  $p$  is a regular value of  $f$ , i.e., the tangent map  $df_x$  is surjective for every  $x \in f^{-1}(p)$ , then  $f^{-1}(p)$  is a complex submanifold of  $M$  of complex dimension  $n - m$ .

*Proof.* For every point  $q \in f^{-1}(p)$ , choose charts  $(U, \varphi)$  of  $M$  around  $q$  and  $(V, \psi)$  of  $N$  around  $p$  such that  $f(U) \subset V$ . By changing coordinates if necessary, we may assume that  $\det(\partial f / \partial w)(q) \neq 0$ , where we write the coordinates of  $\varphi(U)$  as  $(z, w) = (z_1, \dots, z_{n-m}, w_1, \dots, w_m) \in \mathbb{C}^{n-m} \times \mathbb{C}^m$ . Then by the Holomorphic Implicit Function Theorem (Theorem 26), there exist open neighborhoods  $U'$  of  $q$  such that  $f^{-1}(p) \cap U'$  is biholomorphic to an open subset of  $\mathbb{C}^{n-m}$ .  $\square$

**Example 10.** Let  $X \subset \mathbb{C}^n$  be a complex algebraic variety defined by the vanishing of polynomials  $f_1, \dots, f_m \in \mathbb{C}[z_1, \dots, z_n]$ . Suppose that  $X$  is non-singular, i.e., for every point  $p \in X$ , the Jacobian matrix  $(\partial_{z_j} f_i(p))_{i,j}$  has maximal rank  $r$ . Then  $X$  is a complex submanifold of  $\mathbb{C}^n$  of complex dimension  $n - r$ .

**Example 11.** A *hypersurface*  $H$  in  $\mathbb{CP}^n$  is the zero locus of a homogeneous polynomial  $f \in \mathbb{C}[z_0, z_1, \dots, z_n]$ . Suppose  $0$  is a regular value of  $f : \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{C}$ . On each chart  $U_i \cong \mathbb{C}^n$  of  $\mathbb{CP}^n$ , it defines a holomorphic function  $f_i : U_i \rightarrow \mathbb{C}, [z] \mapsto z = (z_1, \dots, z_{i-1}, 1, z_{i+1}, \dots, z_n) \mapsto f(z)$ . The regularity condition implies that  $0$  is a regular value of each  $f_i$ . Hence  $H \cap U_i = f_i^{-1}(0)$  is a complex submanifold of  $U_i$  of complex dimension  $n - 1$  by Proposition 9. Gluing these local pieces together, we see that  $H$  is a complex submanifold of  $\mathbb{CP}^n$  of complex dimension  $n - 1$ .

**Proposition 12.** Let  $M$  be a complex manifold and let  $G$  be a discrete group acting on  $M$  by holomorphic automorphisms. If the action is free and properly discontinuous, then the quotient space  $M/G$  is a complex manifold and the quotient map  $\pi : M \rightarrow M/G$  is a holomorphic covering map.

*Proof.* For every point  $p \in M/G$ , choose a point  $q \in M$  such that  $\pi(q) = p$ . Since the action is free and properly discontinuous (see Remark 13), there exists an open neighborhood  $U$  of  $q$  such that  $gU \cap U = \emptyset$  for all  $g \in G \setminus \{e\}$ . Then  $\pi|_U : U \rightarrow \pi(U)$  is a homeomorphism. This gives a chart of  $M/G$  around  $p$ . If we have two such charts  $(\pi(U), \varphi)$  and  $(\pi(U'), \varphi')$  of  $M/G$  whose intersection is non-empty, WLOG, assume that  $U \cap U' \neq \emptyset$ . Then  $\pi^{-1}(\pi(U) \cap \pi(U')) = \bigsqcup_{g \in G} g(U \cap U')$ . The transition map of  $U$  and  $U'$  gives the transition map of  $\pi(U)$  and  $\pi(U')$ . Since the action of  $G$  is by holomorphic automorphisms, the transition maps are holomorphic.  $\square$

**Remark 13.** Recall that an action of a group  $G$  on a topological space  $X$  is said to be *properly discontinuous* if for every compact subset  $K \subset X$ , the set  $\{g \in G : gK \cap K \neq \emptyset\}$  is finite. If  $G$  is a discrete group acting on a manifold  $M$  by diffeomorphisms, then the action is properly discontinuous and free if and only if for every point  $p \in M$ , there exists an open neighborhood  $U$  of  $p$  such that  $gU \cap U = \emptyset$  for all  $g \in G \setminus \{e\}$ .

**Example 14.** Let  $\Lambda \subset \mathbb{C}$  be a lattice, i.e., a discrete subgroup of  $\mathbb{C}$  generated by two  $\mathbb{R}$ -linearly independent complex numbers. Then  $\Lambda$  is isomorphic to  $\mathbb{Z}^2$  as an abstract group and acts on  $\mathbb{C}$  by translations, which are holomorphic automorphisms of  $\mathbb{C}$ . Then the quotient space  $\mathbb{C}/\Lambda$  is a complex manifold of complex dimension 1 by Proposition 12. Such a complex manifold is called an *elliptic curve*. As real manifolds, it is diffeomorphic to  $S^1 \times S^1$ .

**Example 15.** Fix  $\alpha \in \mathbb{C}^\times$  with  $|\alpha| \neq 1$ . Let  $\mathbb{Z}$  act on  $\mathbb{C}^n \setminus \{0\}$  by  $k \cdot z = \alpha^k z$  for every  $k \in \mathbb{Z}$  and  $z \in \mathbb{C}^n \setminus \{0\}$ . This action is free and properly discontinuous. Then the quotient space  $(\mathbb{C}^n \setminus \{0\})/\mathbb{Z}$  is a complex manifold of complex dimension  $n$  by Proposition 12. Such a complex manifold is called a *Hopf manifold*.

**Example 16.** Let

$$M = \left\{ \begin{pmatrix} 1 & z_1 & z_3 \\ 0 & 1 & z_2 \\ 0 & 0 & 1 \end{pmatrix} \mid z_1, z_2, z_3 \in \mathbb{C} \right\}$$

be the complex Heisenberg group, which is biholomorphic to  $\mathbb{C}^3$ . Let  $\Gamma := M \cap \mathrm{GL}(3, \mathbb{Z}[\sqrt{-1}])$ . Then  $\Gamma$  is a discrete subgroup of  $M$  and acts on  $M$  by left multiplication, which are holomorphic automorphisms of  $M$ . The action is free and properly discontinuous. Then the quotient space  $M/\Gamma$  is a complex manifold of complex dimension 3 by Proposition 12. It is called the *Iwasawa manifold*. One can replace  $\Gamma$  by other cocompact discrete subgroups of  $M$ .

## 2 Almost Complex Structures

Let  $X$  be a complex manifold of complex dimension  $n$ . The tangent bundle  $TX$  is a real vector bundle of rank  $2n$ . There is a natural endomorphism  $J : TX \rightarrow TX$  induced by the complex structure of  $X$ , i.e., for every point  $p \in X$ ,  $J_p : T_p X \rightarrow T_p X$  is the multiplication by  $\sqrt{-1}$ . We have  $J^2 = -\mathrm{id}$ .

**Definition 17.** Let  $M$  be a smooth manifold of real dimension  $2n$ . An *almost complex structure* on  $M$  is a smooth endomorphism  $J : TM \rightarrow TM$  such that  $J^2 = -\mathrm{id}$ . The pair  $(M, J)$  is called an *almost complex manifold*.

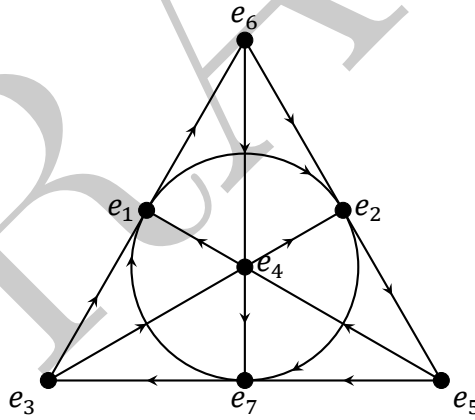
**Question 18.** Given a smooth manifold  $M$  of real dimension  $2n$ , when does it admit an almost complex structure? Is such an almost complex structure unique?

Giving an almost complex structure  $J$  on a smooth manifold  $M$  is equivalent to giving the tangent bundle  $TM$  the structure of a complex vector bundle. Hence the existence of almost complex structures is a purely topological problem. Note that to find a complex structure on  $M$  needs to solve some non-linear partial differential equations, which is much harder.

**Example 19.** The 6-sphere  $S^6$  admits an almost complex structure. In fact,  $S^6$  can be identified with the unit sphere in the imaginary octonions  $\text{Im } \mathbb{O}$  (see Remark 20). Denote by  $m(x, y)$  the octonionic multiplication of  $x, y \in \mathbb{O}$ . For every point  $p \in S^6$ , the tangent space  $T_p S^6$  can be identified with the orthogonal complement of  $\mathbb{R}p$  in  $\text{Im } \mathbb{O}$ . Define  $J_p : T_p S^6 \rightarrow T_p S^6$  by  $J_p(v) = m(p, v)$ . Then  $J_p^2(v) = p(pv) = -v$  for every  $v \in T_p S^6$ . Thus we get an almost complex structure on  $S^6$ .

**Remark 20.** Recall some fundamental facts about the octonions  $\mathbb{O}$ :

- (a)  $\mathbb{O}$  is an 8-dimensional normed vector space over  $\mathbb{R}$  with an orthogonal basis  $\{1\} \cup \{e_i | i = 1, \dots, 7\}$ . The subspace spanned by  $\{e_i\}$  is called the space of imaginary octonions and denoted by  $\text{Im } \mathbb{O}$ .
- (b) The multiplication  $m : \mathbb{O} \times \mathbb{O} \rightarrow \mathbb{O}$  is a bilinear map and satisfies the distributive law and the norm multiplicative law  $\|xy\| = \|x\|\|y\|$  for all  $x, y \in \mathbb{O}$ . It is given by the following Fano plane  $\mathbb{P}^2(\mathbb{F}_2)$ :



If  $e_i \rightarrow e_j \rightarrow e_k$  is a directed line in the Fano plane, then  $e_i e_j = e_k$ ,  $e_j e_k = e_i$ , and  $e_k e_i = e_j$ . The multiplication is anti-commutative, i.e.,  $e_i e_j = -e_j e_i$  for all  $i \neq j$ . And we have  $e_i^2 = -1$  for all  $i$ .

Yang: To be checked...

Let  $(M, J)$  be an almost complex manifold. Then the complexified tangent bundle  $TM_{\mathbb{C}} := TM \otimes_{\mathbb{R}} \mathbb{C}$  splits into the direct sum of two complex subbundles

$$TM_{\mathbb{C}} = T^{1,0}M \oplus T^{0,1}M,$$

where

$$T^{1,0}M := \ker(\sqrt{-1}\text{id} - J), \quad T^{0,1}M := \ker(\sqrt{-1}\text{id} + J).$$

We have  $\overline{T^{1,0}M} = T^{0,1}M$  and both  $T^{1,0}M$  and  $T^{0,1}M$  are complex vector bundles of rank  $n$ . This

decomposition induces a decomposition of the complexified cotangent bundle

$$\Omega^1(M) := (TM_{\mathbb{C}})^* = (T^{1,0}M)^* \oplus (T^{0,1}M)^* =: \Omega^{1,0}(M) \oplus \Omega^{0,1}(M).$$

More generally, for every  $p, q \geq 0$ , define

$$\Omega^{p,q}(M) := \wedge^p(T^{1,0}M)^* \otimes \wedge^q(T^{0,1}M)^* \subset \wedge^{p+q}\Omega^1(M).$$

Then we have the decomposition

$$\Omega^k(M) := \wedge^k\Omega^1(M) = \bigoplus_{p+q=k} \Omega^{p,q}(M).$$

The elements of  $\Omega^{p,q}(M)$  are called *differential forms of type  $(p, q)$*  or  *$(p, q)$ -forms* for short.

Recall the *exterior differential operator*  $d : \Omega^k(M) \rightarrow \Omega^{k+1}(M)$  is locally given by

$$d\left(\sum_I f_I dx_I\right) = \sum_I \sum_{j=1}^{2n} \frac{\partial f_I}{\partial x_j} dx_j \wedge dx_I,$$

where  $I$  runs over all multi-indices with  $|I| = k$  and  $x_1, \dots, x_{2n}$  are local coordinates on  $M$ .

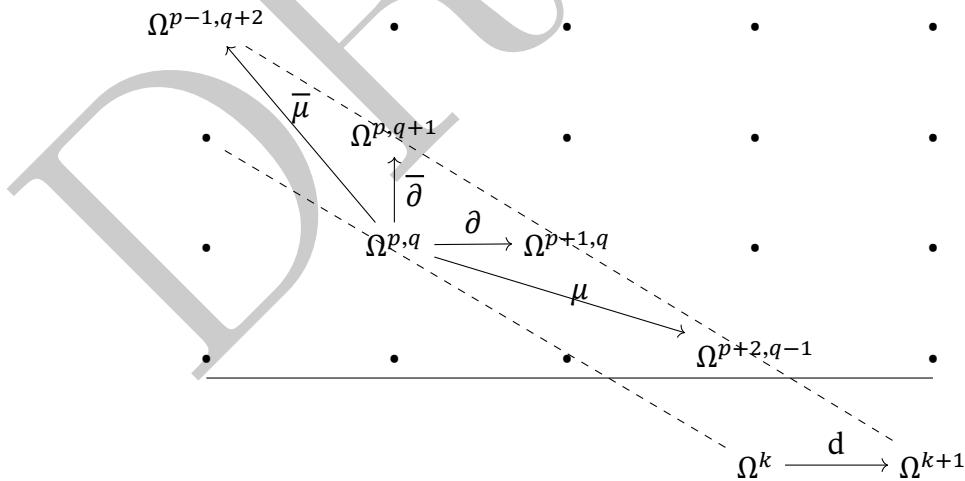
**Proposition 21.** There exist differential operators

$$\partial : \Omega^{p,q}(M) \rightarrow \Omega^{p+1,q}(M), \quad \bar{\mu} : \Omega^{p,q}(M) \rightarrow \Omega^{p+2,q-1}(M)$$

such that

$$d = \partial + \bar{\partial} + \mu + \bar{\mu}.$$

In a diagram:



*Proof of Proposition 21.* Yang: To be continued...

□

**Definition 22.** The operator  $\mu$  in Proposition 21 is called the *Nijenhuis operator* of the almost complex structure  $J$ . If  $\mu = 0$ , then  $J$  is called *integrable*. In this case, we have  $d = \partial + \bar{\partial}$ . Yang: To be continued...

**Example 23.** Let  $J$  be the almost complex structure on  $S^6$  defined in Example 19.

Yang: To be checked...

**Proposition 24.** Let  $M$  be a smooth manifold of real dimension  $2n$  with an almost complex structure  $J$ . If  $J$  is induced by a complex structure on  $M$ , then  $\mu = 0$ .

*Proof.* Yang: To be continued... □

The converse of Proposition 24 is also true, which is the famous Newlander-Nirenberg theorem.  
Yang: To add reference...

**Theorem 25.** Let  $M$  be a smooth manifold of real dimension  $2n$  with an almost complex structure  $J$ . If  $\mu = 0$ , then  $J$  is induced by a complex structure on  $M$ .

## Requirements

**Theorem 26** (Holomorphic Implicit Function Theorem). Let  $f : \mathbb{C}^{n+m} \rightarrow \mathbb{C}^m$  be a holomorphic map. Write the coordinates of  $\mathbb{C}^{n+m}$  as  $(z, w) = (z_1, \dots, z_n, w_1, \dots, w_m) \in \mathbb{C}^n \times \mathbb{C}^m$ . If  $\det(\partial f / \partial w) \neq 0$  at  $(z_0, w_0) \in \mathbb{C}^{n+m}$  with  $f(z_0, w_0) = 0$ , then there exist open neighborhoods  $U$  of  $z_0$  and  $V$  of  $w_0$ , and a unique holomorphic map  $g : U \rightarrow V$  such that for any  $(z, w) \in U \times V$ ,  $f(z, w) = 0$  if and only if  $w = g(z)$ .