## **Preliminaries**

**Proposition 1.** Let X be a smooth projective variety over  $\mathbb{C}$ . The Néron-Severi group is a subgroup of  $H^2_{\text{sing}}(X,\mathbb{Z})$ .

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# Examples of dynamics on abelian varieties

On this section, fix an algebraically closed field k of characteristic zero. Everything is defined over k unless otherwise specified.

#### 1 Product of elliptic curves

In this subsection, we consider the dynamics induced by matrices on the product of elliptic curves.

Let E be an elliptic curve without complex multiplication. Consider the abelian variety  $X = E \times E$ . Let  $f_A : X \to X$  be the endomorphism defined by the matrix

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}.$$

Let  $[F_1], [F_2], [\Delta]$  be the classes of the fibers of the two projections and the diagonal in NS(X). It is well-known that they span NS(X) and the intersection numbers are given by

$$[F_1]^2 = [F_2]^2 = [\Delta]^2 = 0, \quad [F_1] \cdot [F_2] = [F_1] \cdot [\Delta] = [F_2] \cdot [\Delta] = 1;$$

see [Laz04, Section 1.5.B].

We have that  $f_A^*[F_1]$  is given by  $[a]_E(x) + [b]_E(y) = 0$ . Then

$$f_A^*[F_1].[F_1] = b^2, \quad f_A^*[F_1].[F_2] = a^2, \quad f_A^*[F_1].[\Delta] = (a+b)^2.$$

Hence

$$f_A^*[F_1] = (a^2 + ab)[F_1] + (b^2 + ab)[F_2] - ab[\Delta].$$

Similarly, we have

$$f_A^*[F_2] = (c^2 + cd)[F_1] + (d^2 + cd)[F_2] - cd[\Delta],$$
  
$$f_A^*[\Delta] = (a - c)(a + b - c - d)[F_1] + (b - d)(a + b - c - d)[F_2] - (a - c)(b - d)[\Delta].$$

Thus, the matrix representation of  $f_A^*$  on NS(X) with respect to the basis  $\{[F_1], [F_2], [\Delta]\}$  is

$$\begin{pmatrix} a^2 + ab & c^2 + cd & (a-c)(a+b-c-d) \\ b^2 + ab & d^2 + cd & (b-d)(a+b-c-d) \\ -ab & -cd & -(a-c)(b-d) \end{pmatrix}.$$

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If we take  $e_1 = [F_1], e_2 = [F_2], e_3 = [\Delta] - [F_1] - [F_2]$  as a new basis of NS(X), then the matrix representation of  $f_A^*$  on NS(X) with respect to the basis  $\{e_1, e_2, e_3\}$  is

$$M = \begin{pmatrix} a^2 & c^2 & -2ac \\ b^2 & d^2 & -2bd \\ -ab & -cd & ad + bc \end{pmatrix}.$$

The characteristic polynomial of M is given by

$$\chi_{f_A^*}(T) = (T - (ad - bc))(T^2 - (a^2 + d^2 + 2bc)T + (ad - bc)^2).$$

Suppose that the eigenvalues of A are  $\lambda, \mu$ . Then the eigenvalues of  $f_A^*$  on NS(X) are given by  $\lambda^2, \mu^2, \lambda\nu$ . When a-d,b,c are not all zero, NS(X) has two invariant subspaces of dimension 1 and 2 respectively. They are given by

$$V_1 = \mathbb{Q} \cdot \begin{pmatrix} 2c \\ -2b \\ a-d \end{pmatrix}, \quad V_2 = \mathbb{Q} \cdot \begin{pmatrix} 0 \\ a-d \\ c \end{pmatrix} \oplus \mathbb{Q} \cdot \begin{pmatrix} d-a \\ 0 \\ b \end{pmatrix} = \{(p,q,r) \mid bp-cq+(a-d)r=0\}.$$

with respect to the basis  $\{e_i\}$ . One can use Code 1 to check this in SageMathCell.

With respect to the basis  $\{e_i\}$ , the cones are given by

$$Nef(X) = Psef(X) = \{ pe_1 + qe_2 + re_3 \mid p, q \ge 0, pq \ge r^2 \}.$$

We analyze the intersection of  $V_1, V_2$  with  $\operatorname{Psef}(X)$ . On the plane P: p+q=2, fix a coordinate system (s,r) with s=(p-q)/2=p-1=1-q. The cone  $\operatorname{Psef}(X)$  is given by the disk  $\{(s,r)\mid s^2+r^2\leq 1\}$ . The plane  $V_2$  is given by the equation b(1+s)-c(1-s)+(a-d)r=(b-c)+(b+c)s+(a-d)r=0. If b=c, then the line  $V_1$  does not intersect the plane P, hence does not intersect the interior of  $\operatorname{Psef}(X)$ . Otherwise,  $V_1\cap P$  is given by the point  $(s,r)=\left(\frac{c+b}{c-b},\frac{a-d}{c-b}\right)$ . There are three cases:

- (a)  $(a+d)^2 < 4(ad-bc)$ :  $V_1$  intersects the interior of Psef(X),  $V_2$  intersects Psef(X) at only the origin.
- (b)  $(a+d)^2 = 4(ad-bc)$ :  $V_1$  intersects the boundary of Psef(X) at a ray,  $V_2$  intersects the boundary of Psef(X) at a ray.
- (c)  $(a+d)^2 > 4(ad-bc)$ :  $V_1$  intersects  $\operatorname{Psef}(X)$  at only the origin,  $V_2$  intersects the interior of  $\operatorname{Psef}(X)$ .

Note that if b=c, we always have  $(a+d)^2>4(ad-bc)$  under the assumption that a-d,b,c are not all zero. And note that  $(a+d)^2-4(ad-bc)=\mathrm{disc}(\chi_A)$ . Hence, we have the conclusion in table 1.

Now we focus on the case when A has only one eigenvalue, i.e. A is similar to a Jordan block. Assume that A is not a scalar matrix, i.e. b,c are not both zero. Then easily see that  $V_1\subset V_2$  iff  $(a+d)^2=4(ad-bc)$  iff A has the Jordan normal form  $\begin{pmatrix}\lambda&1\\0&\lambda\end{pmatrix}$  for some  $\lambda\in\mathbb{Z}$ . Hence in this case, there is a finite equivariant cover  $(X,f)\to (X,g)$  such that g is induced by the Jordan block.

**Example 2.** Let  $f: X \to X$  be the endomorphism defined by the matrix

$$A = \begin{pmatrix} 2 & 1 \\ 0 & 2 \end{pmatrix}.$$

			dimension of minimal
Case	$V_1\cap \mathrm{Psef}(X)$	$V_2\cap\operatorname{Psef}(X)$	invariant subspace
			intersecting $\operatorname{Psef}(X)^{\circ}$
A is scalar			
A has only one eigenvalue	Boundary (ray)	Boundary (ray)	3
A has complex eigenvalues	Interior	Origin only	1
A has distinct real eigenvalues	Origin only	Interior	2

Table 1: Intersection of invariant subspaces  $V_1$ ,  $V_2$  with  $\operatorname{Psef}(X)$  in different cases.

Then the matrix representation of  $f^*$  on NS(X) with respect to the basis  $\{e_1,e_2,e_3\}$  is

$$M = \begin{pmatrix} 4 & 0 & 0 \\ 1 & 4 & -4 \\ -2 & 0 & 4 \end{pmatrix}.$$

The Jordan normal form of  $\boldsymbol{M}$  is

$$\begin{pmatrix} 4 & 1 & 0 \\ 0 & 4 & 1 \\ 0 & 0 & 4 \end{pmatrix}.$$

The invariant subspaces of M are given by

$$V_1 = \mathbb{R} \cdot \begin{pmatrix} 0 \\ -2 \\ 0 \end{pmatrix}, \quad V_2 = \{(p, q, r) \mid p = 0\}.$$

We have  $V_2 \cap \operatorname{Psef}(X) = V_1 \cap \operatorname{Psef}(X) = \mathbb{R}_{\geq 0} \cdot (0, 1, 0)^T$ . The action of  $f^*$  on NS(X) induces a dynamics on the plane P: p+q=2; see fig. 1.

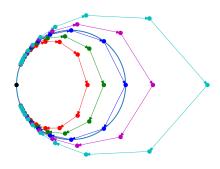


Figure 1: Dynamics on NS(X) induced by the endomorphism defined by a Jordan block.

## 2 Appendix

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a, b, c, d = var('a b c d')
2
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M = matrix([[a^2, c^2, -2*a*c],
            [b^2, d^2, -2*b*d],
            [-a*b, -c*d, a*d+b*c]])
I = identity_matrix(3)
M1 = M - (a*d-b*c)*I
M2 = M^2 - (a^2+d^2+2*b*c)*M + (a*d-b*c)^2*I
v1 = vector([2*c, -2*b, a-d])
v2 = vector([0, a-d, c])
v3 = vector([d-a, 0, b])
print("M1 * v1 =")
print((M1 * v1).simplify_full())
print()
print("M2 * v2 =")
print((M2 * v2).simplify_full())
print()
print("M2 * v3 =")
print((M2 * v3).simplify_full())
print()
```

Listing 1: Test invariant subspaces of  $NS(E \times E)$ 

## References

[Laz04] Robert Lazarsfeld. Positivity in algebraic geometry. I. Vol. 48. Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge. A Series of Modern Surveys in Mathematics [Results in Mathematics and Related Areas. 3rd Series. A Series of Modern Surveys in Mathematics]. Classical setting: line bundles and linear series. Springer-Verlag, Berlin, 2004, pp. xviii+387. ISBN: 3-540-22533-1. DOI: 10.1007/978-3-642-18808-4. URL: https://doi.org/10.1007/978-3-642-18808-4 (cit. on p. 1).