Definition and First Properties of Schemes

1 Locally Ringed Space

Definition 1. Let X be a topological space. A *presheaf* of sets (resp. abelian groups, rings, etc.) on X is a contravariant functor $\mathcal{F} : \mathbf{Open}(X) \to \mathbf{Set}$ (resp. $\mathbf{Ab}$, $\mathbf{Ring}$, etc.), where $\mathbf{Open}(X)$ is the category of open subsets of X with inclusions as morphisms.

A presheaf $\mathcal{F}$ is a *sheaf* if sections can be glued uniquely. More precisely, for every open covering $\{U_i\}_{i \in I}$ of an open set $U \subset X$ and every family of sections $s_i \in \mathcal{F}(U_i)$ such that $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$ for all $i, j \in I$, there exists a unique section $s \in \mathcal{F}(U)$ such that $s|_{U_i} = s_i$ for all $i \in I$.

Example 2. Let X be a real (resp. complex) manifold. The assignment $U \mapsto C^\infty(U, \mathbb{R})$ (resp. $U \mapsto \{$holomorphic functions on $U\}$) defines a sheaf of rings on X.

Example 3. Let X be a non-connected topological space. The assignment

$$U \mapsto \{\text{constant functions on } U\}$$

defines a presheaf $\mathcal{C}$ of rings on X but not a sheaf.

For a concrete example, let $X = (0, 1) \cup (2, 3)$ with the subspace topology from $\mathbb{R}$. Consider the open covering $\{(0, 1), (2, 3)\}$ of X. The sections $s_1 = 1 \in \mathcal{C}((0, 1))$ and $s_2 = 2 \in \mathcal{C}((2, 3))$ agree on the intersection (which is empty), but there is no global section $s \in \mathcal{C}(X)$ such that $s|_{(0,1)} = s_1$ and $s|_{(2,3)} = s_2$.

Definition 4. A *locally ringed space* is a pair $(X, \mathcal{O}_X)$ where X is a topological space and $\mathcal{O}_X$ is a sheaf of rings on X such that for every $x \in X$, the stalk $\mathcal{O}_{X,x}$ is a local ring.

A *morphism of locally ringed spaces* $f : (X, \mathcal{O}_X) \to (Y, \mathcal{O}_Y)$ consists of a continuous map $f : X \to Y$ and a morphism of sheaves of rings $f^\sharp : \mathcal{O}_Y \to f_* \mathcal{O}_X$ such that for every $x \in X$, the induced map on stalks $f_x^\sharp : \mathcal{O}_{Y,f(x)} \to \mathcal{O}_{X,x}$ is a local homomorphism, i.e., it maps the maximal ideal of $\mathcal{O}_{Y,f(x)}$ to the maximal ideal of $\mathcal{O}_{X,x}$.

Example 5. Let p be a prime number. Then the inclusion $\mathbb{Z}_{(p)} \to \mathbb{Q}$ is a homomorphism of local rings but not a local homomorphism. Here $\mathbb{Z}_{(p)}$ is the localization of $\mathbb{Z}$ at the prime ideal (p).

Example 6 (Glue morphisms)**.** Let $f : (X, \mathcal{O}_X) \to (Y, \mathcal{O}_Y)$ be a morphism of locally ringed spaces. If $U \subset X$ and $V \subset Y$ are open subsets such that $f(U) \subset V$, then the restriction $f|_U : (U, \mathcal{O}_X|_U) \to (V, \mathcal{O}_Y|_V)$ is a morphism of locally ringed spaces. Conversely, if $\{U_i\}_{i \in I}$ is an open covering of X and for each $i \in I$, we have a morphism $f_i : (U_i, \mathcal{O}_X|_{U_i}) \to (Y, \mathcal{O}_Y)$ such that $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$ for all $i, j \in I$, then there exists a unique morphism $f : (X, \mathcal{O}_X) \to (Y, \mathcal{O}_Y)$ such that $f|_{U_i} = f_i$ for all $i \in I$.

Example 7 (Glue locally ringed space)**.** We construct a locally ringed space by gluing open subspaces. Let $(X_i, \mathcal{O}_{X_i})$ be locally ringed spaces for $i \in I$ and $(U_{ij}, \mathcal{O}_{X_i}|_{U_{ij}})$ be open subspaces for $i, j \in I$. Suppose we have isomorphisms $\varphi_{ij} : (U_{ij}, \mathcal{O}_{X_i}|_{U_{ij}}) \to (U_{ji}, \mathcal{O}_{X_j}|_{U_{ji}})$ such that

(a) $\varphi_{ii} = \mathrm{id}_{X_i}$ for all $i \in I$;

(b) $\varphi_{ij}(U_{ij} \cap U_{ik}) = U_{ji} \cap U_{jk}$ for all $i, j \in I$;

(c) $\varphi_{jk} \circ \varphi_{ij} = \varphi_{ik}$ on $U_{ij} \cap U_{ik}$ for all $i, j, k \in I$.

Then there exists a locally ringed space $(X, \mathcal{O}_X)$ and open immersions $\psi_i : (X_i, \mathcal{O}_{X_i}) \to (X, \mathcal{O}_X)$ uniquely up to isomorphism such that

(a) $\varphi_i(U_{ij}) = \psi_i(X_i) \cap \psi_j(X_j)$ for all $i, j \in I$;

(b) the following diagram

$$
\begin{array}{ccccc}
(U_{ij}, \mathcal{O}_{X_i}|_{U_{ij}}) & \lhook\joinrel\longrightarrow & (X_i, \mathcal{O}_{X_i}) & \overset{\psi_i}{\lhook\joinrel\longrightarrow} & (X, \mathcal{O}_X) \\
\varphi_{ij} \downarrow & & & & \downarrow = \\
(U_{ji}, \mathcal{O}_{X_j}|_{U_{ji}}) & \lhook\joinrel\longrightarrow & (X_j, \mathcal{O}_{X_j}) & \overset{\psi_j}{\lhook\joinrel\longrightarrow} & (X, \mathcal{O}_X)
\end{array}
$$

commutes for all $i, j \in I$;

(c) $X = \bigcup_{i \in I} \psi_i(X_i)$.

Such $(X, \mathcal{O}_X)$ is called *the locally ringed space obtained by gluing the $(X_i, \mathcal{O}_{X_i})$ along the φ_{ij}*.

First φ_{ij} induces an equivalence relation $\sim$ on the disjoint union $\coprod_{i \in I} X_i$. By taking the quotient space, we can glue the underlying topological spaces to get a topological space X. The structure sheaf $\mathcal{O}_X$ is given by

$$
\mathcal{O}_X(V) := \left\{ (s_i)_{i \in I} \in \prod_{i \in I} \mathcal{O}_{X_i}(\psi_i^{-1}(V)) \;\middle|\; s_i|_{U_{ij}} = \varphi_{ij}^{\sharp}(s_j|_{U_{ji}}) \text{ for all } i, j \in I \right\}.
$$

Easy to check that $(X, \mathcal{O}_X)$ is a locally ringed space and satisfies the required properties. If there is another locally ringed space $(X', \mathcal{O}_{X'})$ with ψ_i' satisfying the same properties, then by gluing $\psi_i' \circ \psi_i^{-1}$ we get an isomorphism $(X, \mathcal{O}_X) \to (X', \mathcal{O}_{X'})$.

2 Schemes

Let R be a ring. Recall that the *spectrum* of R, denoted by $\operatorname{Spec} R$, is the set of all prime ideals of R equipped with the Zariski topology, where the closed sets are of the form $V(I) = \{\mathfrak{p} \in \operatorname{Spec} R : I \subset \mathfrak{p}\}$ for some ideal $I \subset R$.

For each $f \in R$, let $D(f) = \{\mathfrak{p} \in \operatorname{Spec} R : f \notin \mathfrak{p}\}$. Such $D(f)$ is open in $\operatorname{Spec} R$ and called a *principal open set*.

Proposition 8. Let R be a ring. The collection of principal open sets $\{D(f) : f \in R\}$ forms a basis for the Zariski topology on $\operatorname{Spec} R$.

Proof. Yang: To be continued $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad$ $\square$

Define a sheaf of rings on $\operatorname{Spec} R$ by

$$
\mathcal{O}_{\operatorname{Spec} R}(D(f)) = R[1/f].
$$

Then $(\operatorname{Spec} R, \mathcal{O}_{\operatorname{Spec} R})$ is a locally ringed space.

Definition 9. An *affine scheme* is a locally ringed space isomorphic to $(\operatorname{Spec} R, \mathcal{O}_{\operatorname{Spec} R})$ for some ring R. A *scheme* is a locally ringed space $(X, \mathcal{O}_X)$ which admits an open cover $\{U_i\}_{i \in I}$ such that $(U_i, \mathcal{O}_X|_{U_i})$ is an affine scheme for each $i \in I$.
A *morphism of schemes* is a morphism of locally ringed spaces.
These data form a category, denoted by **Sch**. If we fix a base scheme S, then an S-*scheme* is a scheme X together with a morphism $X \to S$. The category of S-schemes is denoted by **Sch**$/S$ or **Sch**$_S$.

Theorem 10. The functor $\operatorname{Spec} : \mathbf{Ring}^{\mathrm{op}} \to \mathbf{Sch}$ is fully faithful and induces an equivalence of categories between the category of rings and the category of affine schemes. Yang: To be continued

Definition 11. A morphism of schemes $f : X \to Y$ is an *open immersion* (resp. *closed immersion*) if f induces an isomorphism of X onto an open (resp. closed) subscheme of Y. An *immersion* is a morphism which factors as a closed immersion followed by an open immersion. Yang: To be continued

Definition 12. Let $f : X \to Y$ be a morphism of schemes. The *scheme theoretic image* of f is the smallest closed subscheme Z of Y such that f factors through Z. More precisely, if $Y = \operatorname{Spec} A$ is affine, then the scheme theoretic image of f is $\operatorname{Spec}(A/\ker(f^\sharp))$, where $f^\sharp : A \to \Gamma(X, \mathcal{O}_X)$ is the induced map on global sections. In general, we can cover Y by affine open subsets and glue the scheme theoretic images on each affine open subset to get the scheme theoretic image of f. Yang: To be continued

Example 13 (Glue open subschemes). The construction in Example 7 allows us to glue open subschemes to get a scheme. More precisely, let $(X_i, \mathcal{O}_{X_i})$ be schemes for $i \in I$ and $(U_{ij}, \mathcal{O}_{X_i}|_{U_{ij}})$ be open subschemes for $i, j \in I$. Suppose we have isomorphisms $\varphi_{ij} : (U_{ij}, \mathcal{O}_{X_i}|_{U_{ij}}) \to (U_{ji}, \mathcal{O}_{X_j}|_{U_{ji}})$ satisfying the cocycle condition as in Example 7. Then the locally ringed space $(X, \mathcal{O}_X)$ obtained by gluing the $(X_i, \mathcal{O}_{X_i})$ along the φ_{ij} is a scheme.

3 Integral, reduced and irreducible

4 Fiber product

5 Dimension

6 Noetherian and finite type

7 Separated and proper