# Algebraic Groups



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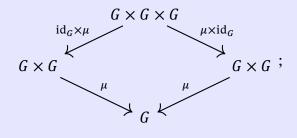
# 1 First properties of algebraic groups

Let  $\mathbf{k}$  be a field and  $\mathbf{k}$  its algebraic closure. All varieties are defined over  $\mathbf{k}$  unless otherwise specified.

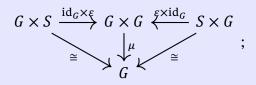
### 1.1 Basic concepts

**Definition 1.1.** A group scheme over S is an S-scheme G together with morphisms multiplication  $\mu: G \times G \to G$ , identity  $\varepsilon: S \to G$  and inversion  $\iota: G \to G$  over S such that the following diagrams commute:

(a) (Associativity)



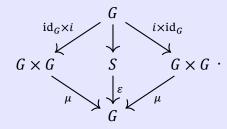
(b) (Identity)



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#### (c) (Inversion)



In other words, a group scheme is a group object in the category of schemes.

**Definition 1.2.** An *algebraic group* is a **k**-group scheme G which is reduced, separated and of finite type over a field **k**.

**Remark 1.3.** Even if we work over  $\mathbb{k}$  and just consider the closed points  $G(\mathbb{k})$  of an algebraic group G,  $G(\mathbb{k})$  is not a topological group with respect to the Zariski topology in general. The reason is that the topology on  $G(\mathbb{k}) \times G(\mathbb{k})$  is not the product topology of the topologies on  $G(\mathbb{k})$ .

**Definition 1.4.** Let G be an algebraic group and  $x \in G(\mathbf{k})$  a **k**-point. The *left translation* by x is the morphism

$$l_x: G \xrightarrow{\cong} \operatorname{Spec} \mathbf{k} \times G \xrightarrow{x \times \operatorname{id}_G} G \times G \xrightarrow{\mu} G.$$

Similar definition applies to the right translation  $r_r$ .

**Remark 1.5.** In the context of algebraic groups, we often use multiplicative notation for the group law. That is, for  $g, h \in G(\mathbf{k})$ , we write gh instead of  $\mu(g, h)$  and  $g^{-1}$  instead of  $\iota(g)$ .

Sometimes we also abuse the notation by  $\mu:G\times\cdots\times G\to G$  to denote the multiplication of multiple elements, i.e.  $\mu(g_1,\ldots,g_n)=g_1\cdots g_n$  for  $g_1,\ldots,g_n\in G(\mathbf{k})$ .

**Proposition 1.6.** Let G be an algebraic group. Then G is smooth over  $\mathbf{k}$ .

*Proof.* Since G is reduced and of finite type over a field, it is generically regular. Let  $g \in G(\mathbb{k})$  be a regular point. Then the left translation  $l_{gh^{-1}}: G \to G$  is an isomorphism, hence G is regular at  $h \in G(\mathbb{k})$ . It follows that G is regular at every  $\mathbb{k}$ -point, hence G is smooth over  $\mathbb{k}$ .

**Remark 1.7.** Let G be an algebraic group. Then the irreducible components of G coincide with the connected components of G. We will use the term "connected" to refer to both concepts since "irreducible" has other meanings in the theory of representations.

**Example 1.8.** The *additive group*  $\mathbb{G}_a$  is defined to be the affine line  $\mathbb{A}^1$  with the group law given by addition. Concretely, we can write  $\mathbb{G}_a = \operatorname{Spec} \mathbf{k}[T]$  with the group law given by the morphism

$$\mu: \mathbb{G}_a \times \mathbb{G}_a \to \mathbb{G}_a, \quad (x, y) \mapsto x + y,$$

$$\iota: \mathbb{G}_a \to \mathbb{G}_a, \quad x \mapsto -x,$$

$$\varepsilon: \operatorname{Spec} \mathbf{k} \to \mathbb{G}_a, \quad * \mapsto 0.$$

**Example 1.9.** The multiplicative group  $\mathbb{G}_m$  is defined to be the affine variety  $\mathbb{A}^1 \setminus \{0\}$  with the group law given by multiplication. Concretely, we can write  $\mathbb{G}_m = \operatorname{Spec} \mathbf{k}[T, T^{-1}]$  with the group law given

by the morphism

$$\mu: \mathbb{G}_m \times \mathbb{G}_m \to \mathbb{G}_m, \quad (x, y) \mapsto xy,$$

$$\iota: \mathbb{G}_m \to \mathbb{G}_m, \quad x \mapsto x^{-1},$$

$$\varepsilon: \operatorname{Spec} \mathbf{k} \to \mathbb{G}_m, \quad * \mapsto 1.$$

**Example 1.10.** The general linear group  $GL_n$  is defined to be the open subvariety of  $\mathbb{A}^{n^2}$  consisting of invertible matrices, with the group law given by matrix multiplication. Concretely, we can write  $GL_n = \operatorname{Spec} \mathbf{k}[T_{ij}, \det(T_{ij})^{-1}]$  where  $1 \leq i, j \leq n$  and the group law is given by the morphism

$$\mu: \operatorname{GL}_n \times \operatorname{GL}_n \to \operatorname{GL}_n, \quad (A, B) \mapsto AB,$$

$$\iota: \operatorname{GL}_n \to \operatorname{GL}_n, \quad A \mapsto A^{-1},$$

$$\varepsilon: \operatorname{Spec} \mathbf{k} \to \operatorname{GL}_n, \quad * \mapsto I_n.$$

**Example 1.11.** An abelian variety is an algebraic group that is also a proper variety.

**Example 1.12.** Let G and H be algebraic groups. The product  $G \times H$  is an algebraic group with the group law defined by

$$\mu_{G \times H} = \mu_G \times \mu_H : (G \times H) \times (G \times H) \cong (G \times G) \times (H \times H) \to G \times H,$$
  

$$\varepsilon_{G \times H} = \varepsilon_G \times \varepsilon_H : \operatorname{Spec} \mathbf{k} \cong \operatorname{Spec} \mathbf{k} \times \operatorname{Spec} \mathbf{k} \to G \times H,$$
  

$$\iota_{G \times H} = \iota_G \times \iota_H : G \times H \to G \times H.$$

**Example 1.13.** Let G be an algebraic group over  $\mathbf{k}$  and  $\mathbf{K}/\mathbf{k}$  a field extension. The base change  $G_{\mathbf{K}} = G \times_{\operatorname{Spec} \mathbf{k}} \operatorname{Spec} \mathbf{K}$  is an algebraic group over  $\mathbf{K}$  with the group law defined by the base change of the original group law of G to  $\mathbf{K}$ .

**Definition 1.14.** A homomorphism of algebraic groups is a morphism of schemes that is also a group homomorphism. Explicitly, a morphism  $f: G \to H$  between algebraic groups G and H is a homomorphism if the following diagram commutes:

$$\begin{array}{ccc}
G \times G & \xrightarrow{\mu_G} & G \\
f \times f \downarrow & & \downarrow f \\
H \times H & \xrightarrow{\mu_H} & H
\end{array}$$

where  $\mu_G$  and  $\mu_H$  are the group laws of G and H, respectively.

**Definition 1.15.** An algebraic subgroup of an algebraic group G is a closed subscheme  $H \subseteq G$  that is also a subgroup of G. More precisely, H is an algebraic subgroup and the inclusion morphism  $H \hookrightarrow G$  is compatible with the group laws.

An algebraic subgroup H of G is called *normal* if for any **k**-scheme S, the subgroup H(S) is a normal subgroup of the abstract group G(S).

**Example 1.16.** The *special linear group*  $SL_n$  is defined to be the closed subvariety of  $GL_n$  defined by the equation det = 1. It is an algebraic subgroup of  $GL_n$ .

**Proposition 1.17.** Let G be an algebraic group and S is a closed subgroup of  $G(\mathbb{k})$ . Then there exists a unique algebraic subgroup H of G such that  $H(\mathbb{k}) = S$ .

Proof. Yang: To be continued...

**Remark 1.18.** By Proposition 1.17, we often identify an algebraic group G with its set of closed points  $G(\mathbb{k})$  when there is no confusion.

Remark 1.19. If one replaces k by k in Proposition 1.17, the statement may not hold. For example, let  $k = \mathbb{Q}$  and G be the elliptic curve defined by  $X^3 + Y^3 = Z^3$  in  $\mathbb{P}^2$ . It is well-known that  $\#G(\mathbb{Q}) = 3$ . Let G be the disjoint union of the three G-points of G endowed with the reduced subscheme structure and the group structure induced from G. Then G is a proper closed subgroup of G and we have  $G(\mathbb{Q}) = G(\mathbb{Q})$ . This contradicts the uniqueness in Proposition 1.17.

Indeed, in this chapter, despite working over an arbitrary field  $\mathbf{k}$ , we mostly consider the closed points of algebraic groups over  $\mathbf{k}$ .

**Definition 1.20.** Let G be an algebraic group. The neutral component  $G^0$  is the connected component of G containing the identity element  $\varepsilon$ .

**Proposition 1.21.** The neutral component  $G^0$  is a closed, normal algebraic subgroup of G.

Proof. Yang: To be continued...

**Proposition 1.22.** Let G be an algebraic group and  $H \subseteq G(\mathbb{k})$  a subgroup (not necessarily closed). Then the Zariski closure  $\overline{H}$  of H in G is an algebraic subgroup of G. If  $H \subseteq G(\mathbb{k})$  is constructible, then  $H = \overline{H}(\mathbb{k})$ .

*Proof.* Yang: To be continued...

Example 1.23. Let  $G = SL_2$  over k,  $T = \{ diag(t, t^{-1}) | t \in k^{\times} \}$  and  $g = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Set  $S = gTg^{-1}$ .

Then both T and S are closed algebraic subgroups of  $G(\mathbb{k})$ , but the product TS is not closed in  $G(\mathbb{k})$ . By direct computation, we have

$$S = \left\{ \begin{pmatrix} s & s^{-1} - s \\ 0 & s^{-1} \end{pmatrix} \middle| s \in \mathbb{R}^{\times} \right\}.$$

Then

$$TS = \left\{ \begin{pmatrix} ts & t(s^{-1} - s) \\ 0 & (ts)^{-1} \end{pmatrix} \middle| t, s \in \mathbb{k}^{\times} \right\}.$$

We have

$$TS \cap \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \middle| a \in \mathbb{k} \right\} = \left\{ \begin{pmatrix} 1 & s^{-2} - 1 \\ 0 & 1 \end{pmatrix} \middle| s \in \mathbb{k}^{\times} \right\}.$$

The right hand side is not closed in  $SL_2(\mathbb{k})$  since it does not contain the matrix  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$ . Hence TS is not closed in  $G(\mathbb{k})$ .

**Proposition 1.24.** Let G be an algebraic group,  $X_i$  varieties over  $\mathbf{k}$  and  $f_i: X_i \to G$  morphisms for  $i=1,\ldots,n$  with images  $Y_i=f_i(X_i)$ . Suppose that  $Y_i$  pass through the identity element of G. Let H be the closed subgroup of G generated by  $Y_1,\ldots,Y_n$ , i.e. the smallest closed subgroup of G containing  $Y_1,\ldots,Y_n$ . Then H is connected and  $H=Y_{a_1}^{e_1}\cdots Y_{a_m}^{e_m}$  for some  $a_1,\ldots,a_m\in\{1,\ldots,n\}$  and  $e_1,\ldots,e_m\in\{\pm 1\}$ .

Proof. Yang: To be continued...

**Remark 1.25.** We can take  $m \le 2 \dim G$  in Proposition 1.24.

# 1.2 Action and representations

**Definition 1.26.** An action of an algebraic group G on a variety X is a morphism

$$\sigma: G \times X \to X$$

such that the following diagrams commute:

$$G \times G \times X \xrightarrow{\mu \times \mathrm{id}_X} G \times X \qquad \text{Spec } \mathbf{k} \times X \xrightarrow{\varepsilon \times \mathrm{id}_X} G \times X$$

$$\downarrow^{\mathrm{id}_G \times \sigma} \qquad \downarrow^{\sigma} \qquad \downarrow^{\sigma}$$

$$G \times X \xrightarrow{\sigma} X$$

where  $\mu$  is the group law of G and  $\varepsilon$  is the identity element of G. In other words, for any **k**-scheme S, the induced map  $G(S) \times X(S) \to X(S)$  defines a group action of the abstract group G(S) on the set X(S).

For simplicity, we often write g.x instead of  $\sigma(g,x)$  for  $g \in G(\mathbf{k})$  and  $x \in X(\mathbf{k})$ .

**Example 1.27.** There are three natural actions of an algebraic group G on itself:

- (a) Left translation:  $g.h = l_g(h) = gh$ ;
- (b) Right translation:  $g.h = r_g(h) = hg^{-1}$ ;
- (c) Conjugation:  $g.h = Ad_g(h) = ghg^{-1}$ .

All of them are morphisms of varieties since they are defined by the group law and inversion of G.

**Example 1.28.** The general linear group  $GL_n$  acts on the affine space  $\mathbb{A}^n$  by matrix multiplication. It is given by polynomials, hence is a morphism of varieties.

**Example 1.29.** The general linear group  $\mathrm{GL}_{n+1}$  acts on the projective space  $\mathbb{P}^n$  by

$$A \cdot [x_0 : \dots : x_n] = [y_0 : \dots : y_n], \text{ where } (y_0, \dots, y_n)^T = A(x_0, \dots, x_n)^T.$$

Let  $U_i$  be the standard affine open subset of  $\mathbb{P}^n$  defined by  $x_i \neq 0$ . The map is given by polynomials on the principal open subset of  $\mathrm{GL}_{n+1} \times U_i$  defined by  $y_j \neq 0$  for any j. Hence it is a morphism of varieties.

**Definition 1.30.** A linear representation of an algebraic group G on a finite-dimensional vector space V over  $\mathbbm{k}$  is an abstract group representation  $\rho: G(\mathbbm{k}) \to GL(V)$  such that if we identify V with  $\mathbb{A}^n$  for some n, then the map  $G(\mathbbm{k}) \times \mathbb{A}^n(\mathbbm{k}) \to \mathbb{A}^n(\mathbbm{k})$  is is a morphism of varieties.

**Definition 1.31.** Let G be an algebraic group acting on a variety X. For any  $x \in X(\mathbf{k})$ , the *orbit* of x is the locally closed subvariety  $G \cdot x = \sigma(G \times \{x\})$  of X.

**Proposition 1.32.** Let G be an algebraic group acting on a variety X. Then for any  $x \in X(\mathbf{k})$ , the orbit  $G \cdot x$  is a locally closed subvariety of X, and  $\overline{G \cdot x} \setminus G \cdot x$  is a union of orbits of strictly smaller dimension.

Proof. Yang: To be continued...

Let G be an algebraic group acting on an affine variety  $X = \operatorname{Spec} A$ . For  $x \in G(\mathbf{k})$ , we have the left translation of functions  $\tau_x : A \to A$  defined by  $\tau_x(f)(y) = f(x^{-1}y)$  for  $y \in X(\mathbf{k})$ .

**Lemma 1.33.** Let G be an algebraic group acting on an affine variety  $X = \operatorname{Spec} A$ . For any finite-dimensional subspace  $V \subseteq A$ , there exists a finite-dimensional G-invariant subspace  $W \subseteq A$  containing V.

Proof. Yang: To be continued...

**Theorem 1.34.** Any affine algebraic group is isomorphic to a closed algebraic subgroup of some  $\mathrm{GL}_n$ .

Proof. Yang: To be continued...

## 1.3 Lie algebra of an algebraic group

Let G be an algebraic group. The Lie algebra of G is defined to be the tangent space of G at the identity element  $\varepsilon$ :

$$Lie(G) = T_{\varepsilon}G$$
.

It is a finite-dimensional vector space over  $\mathbf{k}$ .

**Proposition 1.35.** The group law  $\mu: G \times G \to G$  induces the plus map on Lie(G):

$$\mathrm{d}\mu_{(\varepsilon,\varepsilon)}:T_{(\varepsilon,\varepsilon)}(G\times G)\cong T_\varepsilon G\oplus T_\varepsilon G\to T_\varepsilon G,\quad (v,w)\mapsto v+w.$$

*Proof.* We have

$$\mathrm{d}\mu_{(\varepsilon,\varepsilon)}(v,w) = \mathrm{d}\mu_{(\varepsilon,\varepsilon)}(v,0) + \mathrm{d}\mu_{(\varepsilon,\varepsilon)}(0,w) = (\mathrm{d}\mu \circ (\mathrm{id}_G \times \varepsilon))_\varepsilon(v) + (\mathrm{d}\mu \circ (\varepsilon \times \mathrm{id}_G))_\varepsilon(w) = v + w.$$

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# 2 Decomposition of algebraic groups

#### 2.1

# 3 Quotient by algebraic group

Everything in this section is over an arbitrary field  $\mathbf{k}$  unless otherwise specified.

# 3.1 Quotient

**Definition 3.1.** Let G be an algebraic group acting on a variety X. A *quotient* of X by G is a variety Y together with a morphism  $\pi: X \to Y$  such that

- (a)  $\pi$  is G-invariant, i.e.,  $\pi(g \cdot x) = \pi(x)$  for all  $g \in G$  and  $x \in X$ .
- (b) For any variety Z and any G-invariant morphism  $f: X \to Z$ , there exists a unique morphism  $\overline{f}: Y \to Z$  such that  $f = \overline{f} \circ \pi$ .

In other words, the following diagram commutes:

$$X \xrightarrow{\pi} Y$$

$$\downarrow_{\overline{f}}$$

$$Z$$

If a quotient exists, it is unique up to a unique isomorphism. Yang: To be continued...

Such a quotient does not always exist.

**Theorem 3.2.** Let G be an affine algebraic group acting on a variety X. Then there exists a variety Y and a rational morphism  $\pi: X \dashrightarrow Y$  with commutative diagram

$$\begin{array}{c} X - \xrightarrow{\pi} \rightarrow Y \\ \downarrow \downarrow \downarrow \uparrow \\ Z \end{array}$$

satisfying the following universal property: If a quotient exists, it is unique up to a unique isomorphism.

Furthermore, if all orbits of G in X are closed, then  $\pi$  is a morphism (i.e., defined everywhere). Yang: To be continued... Yang: Ref?

# 3.2 Quotient of affine algebraic group by closed subgroup

**Lemma 3.3.** Let V be a finite-dimensional vector space over  $\mathbf{k}$  and G an abstract group acting linearly on V. Let  $W \subseteq V$  be a subspace of dimension m. Then G.W = W if and only if  $G. \wedge^m W = \wedge^m W$ .

| Proof. Yang: To be filled.

**Lemma 3.4.** Let G be an affine algebraic group and H a closed subgroup. Then there exists a finite-dimensional linear representation V of G and a one-dimensional subspace  $L \subseteq V$  such that H is the stabilizer of L.

Proof. Yang: To be filled.

**Theorem 3.5.** Let G be an affine algebraic group and H a closed subgroup. Then the quotient G/H exists as a quasi-projective variety.

| Proof. Yang: To be filled.

# 4 Weil regularization theorem

