Ruled Surface

In this section, fix an algebraically closed field k. This section is mainly based on [Har77, Chapter V.2].

1 Minimal Section and Classification

Definition 1 (Ruled surface). A ruled surface is a smooth projective surface X together with a surjective morphism $\pi: X \to \mathcal{C}$ to a smooth curve \mathcal{C} such that all geometric fibers of π are isomorphic to \mathbb{P}^1 .

Let $\pi: X \to C$ be a ruled surface over a smooth curve C of genus g.

Lemma 2. There exists a section of π .

Proof. Yang: To be continued...

Proposition 3. Then there exists a vector bundle \mathcal{E} of rank 2 on \mathcal{C} such that $X \cong \mathbb{P}_{\mathcal{C}}(\mathcal{E})$ over \mathcal{C} .

Proof. Let $\sigma: \mathcal{C} \to X$ be a section of π and D be its image. Let $\mathcal{L} = \mathcal{O}_X(D)$ and $\mathcal{E} = \pi_*\mathcal{L}$. Since D is a section of π , $\mathcal{L}|_{X_t} \cong \mathcal{O}_{\mathbb{P}^1}(1)$ for any $t \in \mathcal{C}$, whence $h^0(X_t, \mathcal{L}|_{X_t}) = 2$ for any $t \in \mathcal{C}$. By Miracle Flatness (Theorem 26), f is flat. By Grauert's Theorem (Theorem 25), \mathcal{E} is a vector bundle of rank 2 on \mathcal{C} and we have a natural isomorphism $\mathcal{E} \otimes \kappa(t) \cong H^0(X_t, \mathcal{L}|_{X_t})$ for any $t \in \mathcal{C}$.

This gives a surjective homomorphism

$$\mathcal{E} \otimes_{\mathcal{O}_{\mathcal{C}}} \kappa(t) \otimes_{\kappa(t)} \mathcal{O}_{X_t} \cong H^0(X_t, \mathcal{L}|_{X_t}) \otimes_{\kappa(t)} \mathcal{O}_{X_t} \twoheadrightarrow \mathcal{L}|_{X_t}.$$

For every $x \in X$, we have

$$\mathcal{E} \otimes_{\mathcal{O}_{\mathcal{C}}} \kappa(\pi(x)) \otimes_{\kappa(\pi(x))} \mathcal{O}_{X_{\pi(x)}} \otimes_{\mathcal{O}_{X_{\pi(x)}}} \kappa(x) \twoheadrightarrow \mathcal{L}|_{X_{\pi(x)}} \otimes_{\mathcal{O}_{X_{\pi(x)}}} \kappa(x).$$

Yang: The left side coincides with $\pi^*\mathcal{E} \otimes_{\mathcal{O}_X} \kappa(x)$ naturally. Hence by Nakayama's Lemma, the natural homomorphism $\pi^*\mathcal{E} \to \mathcal{L}$ is surjective.

By Proposition 28, we have a morphism $\varphi: X \to \mathbb{P}_{\mathcal{C}}(\mathcal{E})$ over \mathcal{C} such that $\mathcal{L} \cong \varphi^* \mathcal{O}_{\mathbb{P}_{\mathcal{C}}(\mathcal{E})}(1)$. Since $\mathcal{L}|_{X_t} \cong \mathcal{O}_{\mathbb{P}^1}(1)$ for any $t \in \mathcal{C}$, $\varphi|_{X_t}: X_t \to \mathbb{P}_{\mathcal{C}}(\mathcal{E})_t$ is an isomorphism for any $t \in \mathcal{C}$. Hence φ is bijection on the underlying sets. Yang: Here is a serious gap. Why fiberwise isomorphism implies isomorphism?

Lemma 4. It is possible to write $X \cong \mathbb{P}_{\mathcal{C}}(\mathcal{E})$ such that $H^0(\mathcal{C}, \mathcal{E}) \neq 0$ but $H^0(\mathcal{C}, \mathcal{E} \otimes \mathcal{L}) = 0$ for any line bundle \mathcal{L} on \mathcal{C} with $\deg \mathcal{L} < 0$. Such a vector bundle \mathcal{E} is called a *normalized vector bundle*. In particular, if \mathcal{E} is normalized, then $e = -\deg c_1(\mathcal{E})$ is an invariant of the ruled surface X.

Proof. We can suppose that \mathcal{E} is globally generated since we can always twist \mathcal{E} by a sufficiently ample line bundle on \mathcal{C} . Then for all line bundle \mathcal{L} of degree sufficiently large, \mathcal{L} is very ample and hence $H^0(\mathcal{C}, \mathcal{E} \otimes \mathcal{L}) \neq 0$. By Lemma 2 and Proposition 28, \mathcal{E} is an extension of line bundles. Then for all line bundle \mathcal{L} of degree sufficiently negative, $H^0(\mathcal{C}, \mathcal{E} \otimes \mathcal{L}) = 0$ since line bundles of negative

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degree have no global sections. Hence we can find a line bundle \mathcal{M} on \mathcal{C} of lowest degree such that $H^0(\mathcal{C}, \mathcal{E} \otimes \mathcal{M}) \neq 0$. Replacing \mathcal{E} by $\mathcal{E} \otimes \mathcal{M}$, we are done.

Remark 5. The invariant e is unique but the normalization of \mathcal{E} is not unique. For example, if \mathcal{E} is normalized, then so is $\mathcal{E} \otimes \mathcal{L}$ for any line bundle \mathcal{L} on \mathcal{C} of degree 0. Yang: To be continued...

Suppose that $X \cong \mathbb{P}_{\mathcal{C}}(\mathcal{E})$ where \mathcal{E} is a normalized vector bundle of rank 2 on \mathcal{C} . Since $H^0(\mathcal{C}, \mathcal{E}) \neq 0$, choosing a non-zero section s, we get an exact sequence

$$0 \to \mathcal{O}_C \xrightarrow{s} \mathcal{E} \to \mathcal{E}/\mathcal{O}_C \to 0.$$

We claim that $\mathcal{E}/\mathcal{O}_C$ is a line bundle on C. Since C is a curve, we only need to check that $\mathcal{E}/\mathcal{O}_C$ is torsion-free.

Yang: To be continued...

Definition 6. A section C_0 of π is called a *minimal section* if Yang: to be continued...

Lemma 7. Let $X = \mathbb{P}_{\mathcal{C}}(\mathcal{E}) \to \mathcal{C}$ be a ruled surface over a smooth curve \mathcal{C} of genus g with invariant e and normalized \mathcal{E} .

- (a) If \mathcal{E} is decomposable, then $e \geq 0$ and $\mathcal{E} \cong \mathcal{O}_{\mathcal{C}} \oplus \mathcal{L}$ where \mathcal{L} is a line bundle on \mathcal{C} with $\deg \mathcal{L} = -e$.
- (b) If \mathcal{E} is indecomposable, then $-2g \le e \le 2g 2$.

Proof. If $\mathcal{E} = \mathcal{L}_1 \oplus \mathcal{L}_2$ is decomposable, we can assume that $H^0(\mathcal{C}, \mathcal{L}_1) \neq 0$. If $\deg \mathcal{L}_1 > 0$, then $H^0(\mathcal{C}, \mathcal{E} \otimes \mathcal{L}_1^{-1}) \neq 0$, contradicting the normalization of \mathcal{E} . Similarly $\deg \mathcal{L}_2 \leq 0$. Then $\mathcal{L}_1 \cong \mathcal{O}_{\mathcal{C}}$. And hence $e = -\deg c_1(\mathcal{E}) = -\deg \mathcal{L}_2 \geq 0$.

If \mathcal{E} is indecomposable, we have an exact sequence

$$0 \to \mathcal{O}_C \to \mathcal{E} \to \mathcal{L} \to 0$$

which is a non-trivial extension, with \mathcal{L} a line bundle on \mathcal{C} of degree -e. Hence by Proposition 30, we have $0 \neq \operatorname{Ext}_{\mathcal{C}}^{1}(\mathcal{L}, \mathcal{O}_{\mathcal{C}}) \cong H^{1}(\mathcal{C}, \mathcal{L}^{-1})$. By Serre duality, we have $H^{1}(\mathcal{C}, \mathcal{L}^{-1}) \cong H^{0}(\mathcal{C}, \mathcal{L} \otimes \omega_{\mathcal{C}})$. Hence $\operatorname{deg}(\mathcal{L} \otimes \omega_{\mathcal{C}}) = 2g - 2 - e \geq 0$.

On the other hand, let \mathcal{M} be a line bundle on \mathcal{C} of degree -1. Twist the above exact sequence by \mathcal{M} and take global sections, we have an equation

$$h^0(\mathcal{M}) - h^0(\mathcal{E} \otimes \mathcal{M}) + h^0(\mathcal{L} \otimes \mathcal{M}) - h^1(\mathcal{M}) + h^1(\mathcal{E} \otimes \mathcal{M}) - h^1(\mathcal{L} \otimes \mathcal{M}) = 0.$$

Since $\deg \mathcal{M} < 0$ and \mathcal{E} is normalized, we have $h^0(\mathcal{M}) = h^0(\mathcal{E} \otimes \mathcal{M}) = 0$. By Riemann-Roch, we have $h^1(\mathcal{M}) = g$ and $h^0(\mathcal{L} \otimes \mathcal{M}) - h^1(\mathcal{L} \otimes \mathcal{M}) = -e - 1 + 1 - g$. Hence

$$h^1(\mathcal{E}\otimes\mathcal{M})=e+2g\geq 0.$$

This gives $e \ge -2g$.

Theorem 8. Let $\pi: X \to C$ be a ruled surface over $C = \mathbb{P}^1$ with invariant e. Then $X \cong \mathbb{P}_C(\mathcal{O}_C \oplus \mathcal{O}_C(-e))$.

Proof. This is a direct consequence of Lemma 7.

Example 9. Here we give an explicit description of the ruled surface $X = \mathbb{P}_{\mathbb{P}^1}(\mathcal{O} \oplus \mathcal{O}(-e))$ for $e \geq 0$.

Let C be covered by two standard affine charts U_0, U_1 with coordinate u on U_0 and v on U_1 such that u = 1/v on $U_0 \cap U_1$. On U_i , let $\mathcal{O}(-e)|_{U_i}$ be generated by s_i for i = 0, 1. We have $s_0 = u^e s_1$ on $U_0 \cap U_1$.

On $X_i = X_{U_i} \cong U_i \times \mathbb{P}^1$, let $[x_0 : x_1]$ and $[y_0 : y_1]$ be the homogeneous coordinates of \mathbb{P}^1 on X_0 and X_1 respectively. Then the transition function on $X_0 \cap X_1$ is given by

$$(u, [x_0 : x_1]) \mapsto (1/u, [x_0 : u^e x_1]).$$

Remark 10. The surface $X = \mathbb{P}_{\mathbb{P}^1}(\mathcal{O} \oplus \mathcal{O}(-e))$ is also called the *Hirzebruch surface*.

Theorem 11. Let $\pi: X = \mathbb{P}_E(\mathcal{E}) \to E$ be a ruled surface over an elliptic curve E with invariant e and normalized \mathcal{E} .

- (a) If \mathcal{E} is indecomposable, then e=0 or -1, and for each e there exists a unique such ruled surface up to isomorphism.
- (b) If \mathcal{E} is decomposable, then $e \geq 0$ and $\mathcal{E} \cong \mathcal{O}_E \oplus \mathcal{L}$ where \mathcal{L} is a line bundle on E with $\deg \mathcal{L} = -e$.

Proof. Only the indecomposable case needs a proof. By Lemma 7, we have $-2 \le e \le 0$ and a non-trivial extension

$$0 \to \mathcal{O}_F \to \mathcal{E} \to \mathcal{L} \to 0$$

where \mathcal{L} is a line bundle on E of degree -e.

Case 1. e = 0.

In this case, \mathcal{L} is of degree 0 and $H^1(E,\mathcal{L}^{-1}) \cong H^0(E,\mathcal{L} \otimes \omega_E) \cong H^0(E,\mathcal{L}) \neq 0$. Hence $\mathcal{L} \cong \mathcal{O}_E$. Yang: To be continued...

Case 2. e = -1.

In this case, \mathcal{L} is of degree 1 and $H^1(E,\mathcal{L})\cong H^0(E,\mathcal{L}^{-1})=0$. By Riemann-Roch, we have $h^0(E,\mathcal{L})=1$.

Case 3. e = -2.

Yang: To be continued...

Example 12. Yang: To be continued...

2 The Néron-Severi Group of Ruled Surfaces

Proposition 13. Let $\pi: X \to C$ be a ruled surface over a smooth curve C of genus g. Let C_0 be a minimal section of π and F a fiber of π . Then $\text{Pic}(X) \cong \mathbb{Z}[C_0] \oplus \pi^* \text{Pic}(C)$.

Proof. Let D be any divisor on X with $D.F = a \in \mathbb{Z}$. Then $D - aC_0$ is numerically trivial on the fibers of π . Let $\mathcal{L} = \mathcal{O}_X(D - aC_0)$. Then $\mathcal{L}|_{X_t} \cong \mathcal{O}_{X_t}$ for any $t \in C$. By Grauert's Theorem (Theorem 25), $\pi_*\mathcal{L}$ is a line bundle on C Yang: and the natural map $\pi^*\pi_*\mathcal{L} \to \mathcal{L}$ is an isomorphism.

Proof. Yang: To be continued.

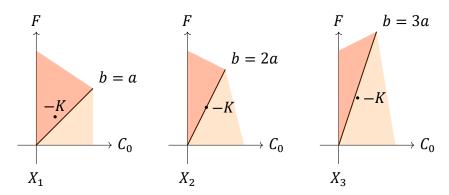
Rational case. Let $\pi: X = \mathbb{P}_{\mathbb{P}^1}(\mathcal{E}) \to \mathbb{P}^1$ be a ruled surface over \mathbb{P}^1 with $\mathcal{E} \cong \mathcal{O} \oplus \mathcal{O}(-e)$ for some $e \geq 0$.

Theorem 15. Let $\pi: X \to \mathbb{P}^1$ be a ruled surface over \mathbb{P}^1 with invariant e. Let C_0 be a minimal section of π and let F be a fiber of π . Let $D \sim aC_0 + bF$ be a divisor on X with $a, b \in \mathbb{Z}$.

- (a) D is effective $\iff a, b \ge 0$;
- (b) D is ample \iff D is very ample \iff a > 0 and b > ae.

Proof. Yang: To be continued...

Example 16. Here we draw the Néron-Severi group of the rational ruled surface $X_e = \mathbb{P}_{\mathbb{P}^1}(\mathcal{O} \oplus \mathcal{O}(-e))$ for e = 1, 2, 3.



We have $-K_{X_e} \equiv 2C_0 + (2+e)F$. For e = 1, -K is ample and hence X_1 is a del Pezzo surface. For e = 2, -K is nef and big but not ample. For $e \geq 3$, -K is big but not nef.

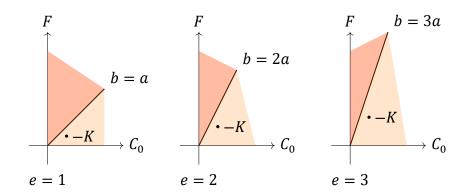
Elliptic case. Let $\pi: X = \mathbb{P}_{\mathcal{C}}(\mathcal{E}) \to E$ be a ruled surface over an elliptic curve E with \mathcal{E} a normalized vector bundle of rank 2 and degree -e.

Theorem 17. Let $\pi: X \to E$ be a ruled surface over an elliptic curve E with invariant e. Assume that \mathcal{E} is decomposable. Let \mathcal{C}_0 be a minimal section of π and let F be a fiber of π . Let $D \equiv a\mathcal{C}_0 + bF$ be a divisor on X with $a, b \in \mathbb{Z}$.

- (a) D is effective $\iff a \ge 0$ and $b \ge ae$;
- (b) D is ample \iff D is very ample \iff a > 0 and b > ae.

Proof. Yang: To be continued...

Example 18. Here we draw the Néron-Severi group of the ruled surface X over an elliptic curve E with decomposable normalized \mathcal{E} for e = 1, 2, 3.



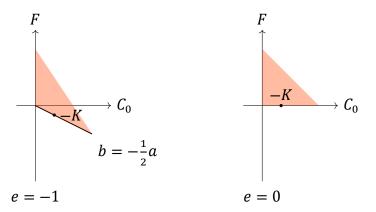
In this case, $-K \equiv 2C_0 + eF$ is always big but not nef.

Theorem 19. Let $\pi: X \to E$ be a ruled surface over an elliptic curve E with invariant e. Assume that E is indecomposable. Let C_0 be a minimal section of π and let E be a fiber of π . Let E be a divisor on E with E with E with invariant E be a divisor on E with E with E be a divisor on E with E with E be a fiber of E.

- (a) D is effective $\iff a \ge 0$ and $b \ge \frac{1}{2}ae$;
- (b) D is ample \iff D is very ample \iff a>0 and $b>\frac{1}{2}ae$.

Proof. Yang: To be continued...

Example 20. Here we draw the Néron-Severi group of the ruled surface X over an elliptic curve E with indecomposable normalized E for e = -1, 0.



In this case, $-K \equiv 2C_0 + eF$ is always nef but not big.

Proposition 21. Let $\pi: X \to C$ be a ruled surface over a smooth curve C. Then every nef divisor on X is semi-ample. Yang: Check this carefully.

Appendix

Let S be a variety over \Bbbk and $\mathcal E$ a vector bundle of rank r+1 on S.

Proposition 22. The S-varieties $\mathbb{P}_X(\mathcal{E}) \cong \mathbb{P}_X(\mathcal{E}')$ if and only if $\mathcal{E} \cong \mathcal{E}' \otimes \mathcal{L}$ for some line bundle \mathcal{L} on S.

Theorem 23. Let $\pi: X = \mathbb{P}_S(\mathcal{E}) \to S$ be the projective bundle associated to a vector bundle \mathcal{E} of rank r+1 on S. Then there is an exact sequence of vector bundles on $\mathbb{P}_S(\mathcal{E})$

$$0 \to \Omega_{\mathbb{P}_{\varsigma}(\mathcal{E})/S} \to \pi^*(\mathcal{E})(-1) \to \mathcal{O}_{\mathbb{P}_{\varsigma}(\mathcal{E})} \to 0.$$

In particular, $K_X \sim \pi^*(K_S + \det \mathcal{E}) - (r+1)\mathcal{O}_{\mathbb{P}_S(\mathcal{E})}(1)$. Yang: To be continued...

Theorem 24 (Tsen's Theorem, [Stacks, Tag 03RD]). Let C be a smooth curve over an algebraically closed field k. Then K = k(C) is a C_1 field, i.e., every degree d hypersurface in \mathbb{P}^n_K has a K-rational point provided $d \leq n$.

Theorem 25 (Grauert's Theorem, [Har77, Corollary 12.9]). Let $f: X \to S$ be a projective morphism of noetherian schemes and \mathcal{F} a coherent sheaf on X which is flat over S. Suppose that S is integral and the function $S \mapsto \dim_{\kappa(S)} H^i(X_S, \mathcal{F}_S)$ is constant on S for some $i \geq 0$. Then $\mathsf{R}^i f_* \mathcal{F}$ is locally free and the base change homomorphism

$$\varphi_s^i: \mathsf{R}^i f_* \mathcal{F} \otimes_{\mathcal{O}_S} \kappa(s) \to H^i(X_s, \mathcal{F}_s)$$

is an isomorphism for all $s \in S$.

Theorem 26 (Miracle Flatness, [Mat89, Theorem 23.1]). Let $f: X \to Y$ be a morphism of noetherian schemes. Assume that Y is regular and X is Cohen-Macaulay. If all fibers of f have the same dimension $d = \dim X - \dim Y$, then f is flat.

Proposition 27 (Geometric form of Nakayama's Lemma). Let X be a variety, $x \in X$ a closed point and \mathcal{F} a coherent sheaf on X. If $a_1, \dots, a_k \in \mathcal{F}(X)$ generate $\mathcal{F}|_X = \mathcal{F} \otimes \kappa(x)$, then there is an open subset $U \subset X$ such that $a_i|_U$ generate $\mathcal{F}(U)$.

Proposition 28. Let S be a noetherian scheme and \mathcal{E} a vector bundle of rank r+1 on S. Denote by $\pi: \mathbb{P}_S(\mathcal{E}) \to S$ the projection. Let X be an S-scheme via a morphism $g: X \to S$. Then there is a bijection

$$\left\{ \begin{array}{l} S\text{-morphisms} \\ X \to \mathbb{P}_S(\mathcal{E}) \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \mathcal{L} \in \operatorname{Pic}(X) \text{ and surjective} \\ \text{homomorphisms } g^*\mathcal{E} \to \mathcal{L} \end{array} \right\}.$$

Proof. We have a surjection $\pi^*\mathcal{E} \to \mathcal{O}_{\mathbb{P}_S(\mathcal{E})}(1)$ by the definition of $\mathbb{P}_S(\mathcal{E})$. If we have a morphism $f: X \to \mathbb{P}_S(\mathcal{E})$ over S, then we have a surjective homomorphism $f^*\pi^*\mathcal{E} \to f^*\mathcal{O}_{\mathbb{P}_S(\mathcal{E})}(1)$.

Suppose we have a surjective homomorphism $g^*\mathcal{E} \twoheadrightarrow \mathcal{L}$ where \mathcal{L} is a line bundle on X. Take an affine cover $\{U_i\}$ of S such that $\mathcal{E}|_{U_i}$ is trivial. On U_i , choose a basis $e_0^{(i)}, \dots, e_r^{(i)}$ of $\mathcal{E}|_{U_i}$. Suppose $\mathbb{P}_S(\mathcal{E})$ is given by gluing $\mathbb{P}_{U_i}^r$ via φ_{ij} induced by the transition functions of \mathcal{E} .

The surjection $g^*\mathcal{E}|_{U_i} \twoheadrightarrow \mathcal{L}|_{X_{U_i}}$ gives a unique morphism $f_i: X_{U_i} \to \mathbb{P}^r_{U_i}$ by ??. On $X_{U_i \cap U_j}$, f_i

and f_i agree since we have

and the bottom arrow is identical to the identity map on $\mathbb{P}_{S}(\mathcal{E})_{U_{i}\cap U_{j}}$. Gluing f_{i} gives a morphism $f: X \to \mathbb{P}_{S}(\mathcal{E})$ over S. In particular, we have $\mathcal{L} \cong f^{*}\mathcal{O}_{\mathbb{P}_{S}(\mathcal{E})}(1)$.

Definition 29. An extension of a coherent sheaf \mathcal{F} by a coherent sheaf \mathcal{G} on a scheme X is an exact sequence of coherent sheaves

$$S = (0 \to \mathcal{G} \to \mathcal{E} \to \mathcal{F} \to 0).$$

Two extensions S and S' are equivalent if there is a commutative diagram

Proposition 30. Let X be a scheme and \mathcal{F}, \mathcal{G} be coherent sheaves on X. Then there is a one-to-one correspondence between equivalence classes of extensions

$$S = (0 \to \mathcal{G} \to \mathcal{E} \to \mathcal{F} \to 0)$$

and elements of $\operatorname{Ext}_X^1(\mathcal{F},\mathcal{G})$ given by

$$S \mapsto \delta(\mathrm{id}_{\mathcal{L}})$$

where δ : $\operatorname{Hom}_X(\mathcal{F},\mathcal{F}) \to \operatorname{Ext}^1_X(\mathcal{F},\mathcal{G})$ is the connecting homomorphism.

Proof. Take an exact sequence

$$0\to\mathcal{G}\to\mathcal{I}\xrightarrow{\varphi}\mathcal{C}\to0$$

with \mathcal{I} injective. Applying $\operatorname{Hom}_{X}(\mathcal{F}, -)$ gives a long exact sequence

$$0 \to \operatorname{Hom}_X(\mathcal{F}, \mathcal{G}) \to \operatorname{Hom}_X(\mathcal{F}, \mathcal{I}) \to \operatorname{Hom}_X(\mathcal{F}, \mathcal{C}) \xrightarrow{\delta} \operatorname{Ext}_X^1(\mathcal{F}, \mathcal{G}) \to 0.$$

For $\alpha \in \operatorname{Ext}^1_X(\mathcal{F},\mathcal{G})$, choose a lifting $\alpha \in \operatorname{Hom}_X(\mathcal{F},\mathcal{C})$ of α . Let $\mathcal{E} := \operatorname{Ker}(\mathcal{I} \oplus \mathcal{F} \to \mathcal{C},(i,f) \mapsto \varphi(i) - \alpha(f))$.

Let $\mathcal{E} \to \mathcal{F}$ be the projection to the second factor. It is surjective since φ is surjective. Consider the inclusion $\mathcal{G} \to \mathcal{I} \to \mathcal{I} \oplus \mathcal{F}$, which factors through \mathcal{E} . On the other hand, if $e \in \mathcal{E}$ maps to 0 in \mathcal{F} , then $e \in \mathcal{I}$ and $\varphi(e) = 0$, whence $e \in \mathcal{G}$. Hence we have an extension $\mathcal{S} = (0 \to \mathcal{G} \to \mathcal{E} \to \mathcal{F} \to 0)$.

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