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## *Setup and the first examples*



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# Setup and the first examples

## 1 Notations

All schemes are assumed to be separated. For a “scheme” which is not separated, we will use the term “prescheme”.

Let  $A$  be a ring. We denote by  $\text{Spec } A$  the spectrum of  $A$ . For an ideal  $I \subset A$ , we use  $V(I)$  to denote the closed subscheme of  $\text{Spec } A$  defined by  $I$ .

Let  $S$  be  $\text{Spec } k$ ,  $\text{Spec } \mathcal{O}_K$  or an algebraic variety. An  $S$ -variety is an integral scheme  $X$  which is of finite type and flat over  $S$ . For an algebraic variety, we mean a  $k$ -variety.

We will use  $k, K$  to denote fields, and  $\mathbf{k}, \mathbf{K}$  to denote their algebraically closure relatively.

Let  $X$  be an integral scheme. We denote by  $\mathcal{K}(X)$  the function field of  $X$ . For a closed point  $x \in X$ , we denote by  $\kappa(x)$  the residue field of  $x$ .

We denote the category of  $S$ -varieties by  $\mathbf{Var}_S$ . We denote by  $X(T)$  the set of  $T$ -points of  $X$ , that is, the set of morphisms  $T \rightarrow X$ .

Let  $X$  be an algebraic variety over  $k$ . A geometrical point is referred a morphism  $\text{Spec } \mathbf{k} \rightarrow X$ .

When refer a point (may not be closed) in a scheme, we will use the notation  $\xi \in X$ . We use  $Z_\xi$  to denote the Zariski closure of  $\{\xi\}$  in  $X$ . When we talk about a closed point on an algebraic variety, we will use the notation  $x \in X(\mathbf{k})$ .

### 1.1 Separated and proper morphisms

## 2 Examples

**Example 1.** Let  $\mathbf{k}$  be an algebraically closed field and  $A$  the localization of  $\mathbf{k}[x]$  at  $(x)$ . Let  $S = \text{Spec } A$  and  $X = \text{Spec } A[y]$ . There are three types of points in  $X$ :

- (i) closed points with residue field  $\mathbf{k}$ , like  $p = (x, y - a)$ ;
- (ii) closed points with residue field  $\mathbf{k}(y)$ , like  $P = (xy - 1)$ ;
- (iii) non-closed points, like  $\eta_1 = (x), \eta_2 = (y), \eta_3 = (x - y)$ .

## 3 Preparation in commutative algebra

### 3.1 Associated prime ideals

This part refers to [Mat70, Chapter 3].

**Definition 2** (Associated prime ideals). Let  $A$  be a noetherian ring and  $M$  an  $A$ -module. The *associated prime ideals* of  $M$  are the prime ideals  $\mathfrak{p}$  of form  $\text{Ann}(x)$  for some  $x \in M$ . The set of associated prime ideals of  $M$  is denoted by  $\text{Ass}(M)$ .

**Example 3.** Let  $A = \mathbf{k}[x, y]/(xy)$  and  $M = A$ . First we see that  $(x) = \text{Ann } y, (y) = \text{Ann } x \in \text{Ass } M$ . Then we check other prime ideals. For  $(x, y)$ , if  $xf = yf = 0$ , then  $f \in (x) \cap (y) = (0)$ . If  $(x - a) = \text{Ann } f$  for some  $f$ , note that  $y \in (x - a)$  for  $a \in \mathbf{k}^*$ , then  $f \in (x)$ . Hence  $f = 0$ . Therefore  $\text{Ass } M = \{(x), (y)\}$ .

**Example 4.** Let  $A = \mathbf{k}[x, y]/(x^2, xy)$  and  $M = A$ . The underlying space of  $\text{Spec } A$  is the  $y$ -axis since  $\sqrt{(x^2, xy)} = (x)$ . First note that  $(x) = \text{Ann } y, (x, y) = \text{Ann } x \in \text{Ass } M$ . For  $(x, y - a)$  with  $a \in \mathbf{k}^*$ , easily see that  $xf = (y - a)f = 0$  implies  $f = 0$  since  $A = \mathbf{k} \cdot x \oplus \mathbf{k}[y]$  as  $\mathbf{k}$ -vector space. Hence  $\text{Ass } M = \{(x), (x, y)\}$ .

Let  $A$  be a noetherian ring and  $M$  an  $A$ -module. Note that  $S^{-1}M = 0$  if and only if  $S \cap \text{Ann } M \neq \emptyset$ . Then the set

$$\{\mathfrak{p} \in \text{Spec } A : M_{\mathfrak{p}} \neq 0\}$$

is equal to  $V(\text{Ann } M)$ .

**Definition 5.** Let  $A$  be a noetherian ring and  $M$  an  $A$ -module. The *support* of  $M$  is the closed subset  $V(\text{Ann } M)$  of  $\text{Spec } A$ , denoted by  $\text{Supp } M$ .

**Lemma 6.** Let  $A$  be a noetherian ring and  $M$  an  $A$ -module. Then the maximal element of the set

$$\{\text{Ann } x : x \in M_{\mathfrak{p}}, x \neq 0\}$$

belongs to  $\text{Ass } M$ .

*Proof.* We just need to show that such  $\text{Ann } x$  is prime. Otherwise, there exist  $a, b \in A$  such that  $ab \in \text{Ann } x$  but  $a, b \notin \text{Ann } x$ . It follows that  $\text{Ann } x \subsetneq \text{Ann } ax$  since  $b \in \text{Ann } ax \setminus \text{Ann } x$ . This contradicts the maximality of  $\text{Ann } x$ .  $\square$

An element  $a \in A$  is called a zero divisor for  $M$  if  $M \rightarrow aM, m \mapsto am$  is not injective.

**Corollary 7.** Let  $A$  be a noetherian ring and  $M$  an  $A$ -module. Then

$$\{\text{zero divisors for } M\} = \bigcup_{\mathfrak{p} \in \text{Ass } M} \mathfrak{p}.$$

**Proposition 8.** We have  $\text{Ass } M \subset \text{Supp } M$ . Moreover, if  $\mathfrak{p} \in \text{Supp } M$  satisfies  $V(\mathfrak{p})$  is an irreducible component of  $\text{Supp } M$ , then  $\mathfrak{p} \in \text{Ass } M$ .

*Proof.* For any  $\mathfrak{p} = \text{Ann } x \in \text{Ass } M$ , we have  $A/\mathfrak{p} \cong A \cdot x \subset M$ . Tensoring with  $A_{\mathfrak{p}}$  gives  $A_{\mathfrak{p}}/\mathfrak{p}A_{\mathfrak{p}} \hookrightarrow M_{\mathfrak{p}}$  since  $A_{\mathfrak{p}}$  is flat. Hence  $M_{\mathfrak{p}} \neq 0$  and  $\mathfrak{p} \in \text{Supp } M$ .

Now suppose  $\mathfrak{p} \in \text{Supp } M$  and  $V(\mathfrak{p})$  is an irreducible component of  $\text{Supp } M$ . First we show that  $\mathfrak{p} \in \text{Ass}_{A_{\mathfrak{p}}} M_{\mathfrak{p}}$ . Let  $x \in M_{\mathfrak{p}}$  such that  $\text{Ann } x$  is maximal in the set

$$\{\text{Ann } x : x \in M_{\mathfrak{p}}, x \neq 0\}.$$

Then we claim that  $\text{Ann } x = \mathfrak{p}A_{\mathfrak{p}}$ . First,  $\text{Ann } x$  is prime by Lemma 6. If  $\text{Ann } x \neq \mathfrak{p}$ , then  $V(\text{Ann } x) \supset V(\mathfrak{p})$ . This implies that  $\text{Ann } x \notin \text{Supp } M_{\mathfrak{p}}$  since  $\text{Supp } M_{\mathfrak{p}} = \text{Supp } M \cap \text{Spec } A_{\mathfrak{p}}$ . This is a contradiction. Thus  $\mathfrak{p}A_{\mathfrak{p}} \in \text{Ass}_{A_{\mathfrak{p}}} M_{\mathfrak{p}}$ . Suppose  $x = y_0/c$  for  $y_0 \in M$  and  $c \in A \setminus \mathfrak{p}$ . For  $a \in \text{Ann } y_0$ ,  $ay_0 = 0$ . Then  $a/1 \in \text{Ann } x = \mathfrak{p}A_{\mathfrak{p}}$ . It follows that  $a \in \mathfrak{p}$ . Hence  $\text{Ann } y_0 \subset \mathfrak{p}$ . Inductively, if  $\text{Ann } y_n \subsetneq \mathfrak{p}$ , then there exists  $b_n \in A \setminus \mathfrak{p}$  such that  $y_{n+1} := b_n y_n$ ,  $\text{Ann } y_{n+1} \subset \mathfrak{p}$  and  $\text{Ann } y_n \subsetneq \text{Ann } y_{n+1}$ . To see this, choose  $a_n \in \mathfrak{p} \setminus \text{Ann } y_n$ . Then  $(a_n/1)y_n = 0$  since  $a_n/1 \in \mathfrak{p}A_{\mathfrak{p}}$ . By definition, there exist  $b_n \in A \setminus \mathfrak{p}$  such that  $a_n b_n y_n = 0$ . This process must terminate since  $A$  is noetherian. Thus  $\text{Ann } y_n = \mathfrak{p}$  for some  $n$ . Hence  $\mathfrak{p} \in \text{Ass } M$ .  $\square$

**Definition 9.** A prime ideal  $\mathfrak{p} \in \text{Ass } M$  is called *embedded* if  $V(\mathfrak{p})$  is not an irreducible component of  $\text{Supp } M$ .

**Example 10.** For  $M = A = \mathbf{k}[x, y]/(x^2, xy)$ , the origin  $(x, y)$  is an embedded point.

**Proposition 11.** If we have exact sequence  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3$ , then  $\text{Ass } M_2 \subset \text{Ass } M_1 \cup \text{Ass } M_3$ .

*Proof.* Let  $\mathfrak{p} = \text{Ann } x \in \text{Ass } M_2 \setminus \text{Ass } M_1$ . Then the image  $[x]$  of  $x$  in  $M_3$  is not equal to 0. We have that  $\text{Ann } x \subset \text{Ann}[x]$ . If  $a \in \text{Ann}[x] \setminus \text{Ann } x$ , then  $ax \in M_1$ . Since  $\text{Ann } x \subsetneq \text{Ann } ax$ , there is  $b \in \text{Ann } ax \setminus \text{Ann } x$ . However, it implies  $ba \in \text{Ann } x$ , and then  $a \in \text{Ann } x$  since  $\text{Ann } x$  is prime, which is a contradiction.  $\square$

**Corollary 12.** If  $M$  is finitely generated, then the set  $\text{Ass } M$  is finite.

*Proof.* For  $\mathfrak{p} = \text{Ann } x \in \text{Ass } M$ , we know that the submodule  $M_1$  generated by  $x$  is isomorphic to  $A/\mathfrak{p}$ . Inductively, we can choose  $M_n$  be the preimage of a submodule of  $M/M_{n-1}$  which is isomorphic to  $A/\mathfrak{q}$  for some  $\mathfrak{q} \in \text{Ass } M/M_{n-1}$ . We can take an ascending sequence  $0 = M_0 \subset M_1 \subset \cdots \subset M_n \subset \cdots$  such that  $M_i/M_{i-1} \cong A/\mathfrak{p}_i$  for some prime  $\mathfrak{p}_i$ . Since  $M$  is finitely generated, this is a finite sequence. Then the conclusion follows by Proposition 11.  $\square$

**Definition 13.** An  $A$ -module is called *co-primary* if  $\text{Ass } M$  has a single element. Let  $M$  be an  $A$ -module and  $N \subset M$  a submodule. Then  $N$  is called *primary* if  $M/N$  is co-primary. If  $\text{Ass } M/N = \{\mathfrak{p}\}$ , then  $N$  is called  $\mathfrak{p}$ -primary.

**Remark 14.** This definition coincide with primary ideals in the case  $M = A$ . Recall an ideal  $\mathfrak{q} \subset A$  is called *primary* if  $\forall ab \in \mathfrak{q}, a \notin \mathfrak{q}$  implies  $b^n \in \mathfrak{q}$  for some  $n$ .

Let  $\mathfrak{q}$  be a  $\mathfrak{q}$ -primary ideal. Since  $\text{Supp } A/\mathfrak{q} = \{\mathfrak{p}\}$ ,  $\mathfrak{p} \in \text{Ass } A/\mathfrak{q}$ . Suppose  $\text{Ann}[a] \in \text{Ass } A/\mathfrak{q}$ . Then  $\mathfrak{p} \subset \text{Ann}[a]$  since  $V(\mathfrak{p}) = \text{Supp } A/\mathfrak{q}$ . If  $b \in \text{Ann}[a]$ , then  $ab \in \mathfrak{q}$  and  $a \notin \mathfrak{q}$ . Hence  $b^n \in \mathfrak{q}$ , and then  $b \in \mathfrak{p}$ . This shows that  $\text{Ass } A/\mathfrak{q} = \{\mathfrak{p}\}$  and  $\mathfrak{q}$  is  $\mathfrak{p}$ -primary as an  $A$ -submodule.

Let  $\mathfrak{q} \subset A$  be a  $\mathfrak{p}$ -primary  $A$ -submodule. First we have  $\mathfrak{p} = \sqrt{\mathfrak{q}}$  since  $V(\mathfrak{p})$  is the unique irreducible component of  $\text{Supp } A/\mathfrak{q}$ . Suppose  $ab \in \mathfrak{q}$  and  $a \notin \mathfrak{q}$ . Then  $b \in \text{Ann}[a] \subset \mathfrak{p}$  since  $\mathfrak{p}$  is the unique maximal element in  $\{\text{Ann}[c] : c \in A \setminus \mathfrak{q}\}$ . This implies that  $b^n \in \mathfrak{p}$ .

**Definition 15.** Let  $A$  be a noetherian ring,  $M$  an  $A$ -module and  $N \subset M$  a submodule. A *minimal primary decomposition* of  $N$  in  $M$  is a finite set of primary submodules  $\{Q_i\}_{i=1}^n$  such that

$$N = \bigcap_{i=1}^n Q_i,$$

no  $Q_i$  can be omitted and  $\text{Ass } M/Q_i$  are pairwise distinct. For  $\text{Ass } M/Q_i = \{\mathfrak{p}\}$ ,  $Q_i$  is called belonging to  $\mathfrak{p}$ .

Indeed, if  $N \subset M$  admits a minimal primary decomposition  $N = \bigcap Q_i$  with  $Q_i$  belonging to  $\mathfrak{p}$ , then  $\text{Ass}(M/N) = \{\mathfrak{p}_i\}$ . For given  $i$ , consider  $N_i := \bigcap_{j \neq i} Q_j$ , then  $N_i/N \cong (N_i + Q_i)/Q_i$ . Since  $N_i \neq N$ ,  $\text{Ass } N_i/N \neq \emptyset$ . On the other hand,  $\text{Ass } N_i/N \subset \text{Ass } M/Q_i = \{\mathfrak{p}\}$ . It follows that  $\text{Ass } N_i/N = \{\mathfrak{p}_i\}$ , whence  $\mathfrak{p}_i \in \text{Ass } M/N$ . Conversely, we have an injection  $M/N \hookrightarrow \bigoplus M/Q_i$ , so  $\text{Ass } M/N \subset \bigcup \text{Ass } M/Q_i$ . Due to this, if  $Q_i$  belongs to  $\mathfrak{p}$ , we also say that  $Q_i$  is the  $\mathfrak{p}$ -component of  $N$ .

**Proposition 16.** Suppose  $N \subset M$  has a minimal primary decomposition. If  $\mathfrak{p} \in \text{Ass } M/N$  is not embedded, then the  $\mathfrak{p}$  component of  $N$  is unique. Explicitly, we have  $Q = M \cap N_{\mathfrak{p}}$ .

*Proof.* First we show that  $Q = M \cap Q_{\mathfrak{p}}$ , where we regard  $M$  as a submodule of  $M_{\mathfrak{p}}$ . Clearly  $Q \subset M \cap Q_{\mathfrak{p}}$ . Suppose  $x \in M \cap Q_{\mathfrak{p}}$ . Then there exists  $s \in A \setminus \mathfrak{p}$  such that  $sx \in Q$ . That is,  $[sx] = 0 \in M/Q$ . If  $[x] \neq 0$ , we have  $s \in \text{Ann}[x] \subset \mathfrak{p}$ . This contradiction enforces  $Q = M \cap Q_{\mathfrak{p}}$ .

Then we show that  $N_{\mathfrak{p}} = Q_{\mathfrak{p}}$ . Just need to show that for  $\mathfrak{p}' \neq \mathfrak{p}$  and the  $\mathfrak{p}'$  component  $Q'$  of  $N$ ,  $Q'_{\mathfrak{p}} = M_{\mathfrak{p}}$ . Since  $\mathfrak{p}$  is not embedded,  $\mathfrak{p}' \not\subset \mathfrak{p}$ . Then  $\mathfrak{p} \notin V(\mathfrak{p}) = \text{Supp } M/Q'$ . So  $M_{\mathfrak{p}}/Q'_{\mathfrak{p}} = 0$ .  $\square$

**Example 17.** If  $\mathfrak{p}$  is embedded, then its components may not be unique. For example, let  $M = A = \mathbf{k}[x, y]/(x^2, xy)$ . Then for every  $n \in \mathbb{Z}_{\geq 1}$ ,  $(x) \cap (x^2, xy, y^n)$  is a minimal primary decomposition of  $(0) \subset M$ .

Let  $A$  be a noetherian ring and  $\mathfrak{p} \subset A$  a prime ideal. We consider the  $\mathfrak{p}$  component of  $\mathfrak{p}^n$ , which is called  $n$ -th symbolic power of  $\mathfrak{p}$ , denoted by  $\mathfrak{p}^{(n)}$ . We have  $\mathfrak{p}^{(n)} = \mathfrak{p}^n A_{\mathfrak{p}} \cap A$ . In general,  $\mathfrak{p}^{(n)}$  is not equal to  $\mathfrak{p}^n$ ; see below example.

**Example 18.** Let  $A = \mathbf{k}[x, y, z, w]/(y^2 - zx^2, yz - xw)$  and  $\mathfrak{p} = (y, z, w)$ . We have  $z = y^2/x^2, w = yz/x \in \mathfrak{p}^2 A_{\mathfrak{p}}$ , whence  $\mathfrak{p}^2 A_{\mathfrak{p}} = (z, w) \neq \mathfrak{p}^2$ .

**Theorem 19.** Let  $A$  be a noetherian ring and  $M$  an  $A$ -module. Then for every  $\mathfrak{p} \in \text{Ass } M$ , there is a  $\mathfrak{p}$ -primary submodule  $Q(\mathfrak{p})$  such that

$$(0) = \bigcap_{\mathfrak{p} \in \text{Ass } M} Q(\mathfrak{p}).$$

*Proof.* Consider the set

$$\mathcal{N} := \{N \subset M : \mathfrak{p} \notin \text{Ass } N\}.$$

Note that  $\text{Ass } \bigcup N_i = \bigcup \text{Ass } N_i$  by definition of associated prime ideals. Then it is easy to check that  $\mathcal{N}$  satisfies the conditions of Zorn's Lemma. Hence  $\mathcal{N}$  has a maximal element  $Q(\mathfrak{p})$ . We claim that  $Q(\mathfrak{p})$  is  $\mathfrak{p}$ -primary. If there is  $\mathfrak{p}' \neq \mathfrak{p} \in \text{Ass } M/Q(\mathfrak{p})$ , then there is a submodule  $N' \cong A/\mathfrak{p}'$ . Let  $N''$  be the preimage of  $N'$  in  $M$ . We have  $Q(\mathfrak{p}) \subsetneq N''$  and  $N'' \in \mathcal{N}$ . This is a contradiction. By the fact  $\text{Ass } \bigcap N_i = \bigcap \text{Ass } N_i$ , we get the conclusion.  $\square$

**Corollary 20.** Let  $A$  be a noetherian ring and  $M$  a finitely generated  $A$ -module. Then every submodule of  $M$  has a minimal primary decomposition.

## References

[Mat70] Hideyuki Matsumura. *Commutative algebra*. Vol. 120. WA Benjamin New York, 1970.