## Applications to Commutative Algebra



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## 1 Homological dimension

**Lemma 1.** Let A be a ring and M an A-module. Then

$$\sup_{M} \operatorname{proj.dim} M = \sup_{N} \operatorname{inj.dim} N.$$

Proof. Note that

proj. dim  $M \leq n$ 

if and only if

$$\operatorname{Ext}_{n+1}^{A}(M,N) = 0, \quad \forall N.$$

And this is equivalent to

inj. dim  $N \leq n$ .

**Remark 2.** In fact, for fix N, we have

inj.  $\dim N \leq n$ 

if and only if

$$\operatorname{Ext}_{n+1}^{A}(A/I, N) = 0, \quad \forall I$$

By Lemma Yang: ?. Hence we have

$$\sup_{M \text{ finite}} \text{ proj.} \dim M = \sup_{M} \text{proj.} \dim M = \sup_{N} \text{inj.} \dim N.$$

**Definition 3.** Let A be a ring. The homological dimension of A, denoted hl.  $\dim A$ , is defined as

hl. 
$$\dim A := \sup_{M} \operatorname{proj.} \dim M = \sup_{M} \operatorname{inj.} \dim M.$$

**Lemma 4.** Let A be a noetherian ring, B a flat A-algebra and M a finite A-module. Then we have

$$\operatorname{Ext}\nolimits_A^i(M,N)\otimes B\cong \operatorname{Ext}\nolimits_B^i(M\otimes B,N\otimes M),\quad \forall N.$$

Proof. Yang: To be completed.

**Proposition 5.** Let A be a noetherian ring. Then

$$\operatorname{hl.dim} A = \sup_{\mathfrak{p} \in \operatorname{Spec} A} \operatorname{hl.dim} A_{\mathfrak{p}}.$$

*Proof.* Compute homological dimension of A using  $\operatorname{Ext}_A^i(M,N)$  for finite M. The conclusion follows from Propostion 5.

**Definition 6.** Let  $(A, \mathfrak{m}, \mathsf{k})$  be a noetherian local ring. We say that a homomorphism of A-modules  $f: M \to N$  is minimal if the induced map  $M \otimes \mathsf{k} \to N \otimes \mathsf{k}$  is an isomorphism. Equivalently, f is minimal if and only if f is surjective and  $\operatorname{Ker} f \subset \mathfrak{m} M$ .

**Definition 7.** Let A be a noetherian local ring and M a finite A-module. A minimal projective resolution of M is a projective resolution

$$\cdots \to P_n \xrightarrow{d_n} P_{n-1} \xrightarrow{d_{n-1}} \cdots \to P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} M \to 0$$

such that each homomorphism  $P_i \to \operatorname{Ker} d_{i-1}$  is minimal.

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**Proposition 8.** Let  $(A, \mathfrak{m}, \mathsf{k})$  be a noetherian local ring and M a finite A-module. Then M has a minimal projective resolution. Moreover, any two minimal projective resolutions of M are isomorphic.

*Proof.* Suppose  $M \otimes_A \mathsf{k} = \bigoplus \mathsf{k} \cdot \overline{x_i}$ . Lift  $x_i$  to elements of M. Then we have a minimal homomorphism  $d_0 : \bigoplus A \cdot x_i \to M$ . Similarly choose minimal homomorphisms  $d_k : A^{n_i} \to \operatorname{Ker} d_{i-1}$  for  $i = 1, 2, \cdots$ . This gives a minimal projective resolution.

Suppose we have two minimal homomorphism  $f,g:A^n\to M$ . After tensoring with k, we have isomorphisms between  $f\otimes \mathsf{k}$  and  $g\otimes \mathsf{k}$ . Lifting to A, we get an homomorphism  $\varphi:f\to g$ . Here homomorphism between f,g means a homomorphism  $A^n\to A^n$  such that  $f=g\circ\varphi$ . The homomorphism  $\varphi$  is represented by a matrix T. We have  $\det T\not\in\mathfrak{m}$ , whence  $\varphi$  is an isomorphism.

**Proposition 9.** Let  $L_{\bullet} \to M$  be a minimal projective resolution and  $P_{\bullet}$  be an arbitrary projective resolution of M. Then we have  $P_{\bullet} \cong L_{\bullet} \oplus P'_{\bullet}$  for some exact complexes  $P'_{\bullet}$ .

*Proof.* By Propostion ??, we have homomorphism

$$L_{\bullet} \xrightarrow{\varphi_{\bullet}} P_{\bullet} \xrightarrow{\psi_{\bullet}} L_{\bullet}.$$

between complexes. By Propostion ?? again,  $T_{\bullet} := \psi_{\bullet} \circ \varphi_{\bullet}$  is homotopic to the identity by  $h_{\bullet}$ . Suppose  $T_{\bullet}$  is represented by a matrix. Since  $L_{\bullet}$  is minimal, we have

$$(T - \mathrm{id})(L_n) = (\mathrm{d}_{n+1} \circ h_n + h_{n-1} \circ \mathrm{d}_n)(L_n) \subset \mathfrak{m}L_n.$$

Then  $\det T \notin \mathfrak{m}$  and hence  $T_{\bullet}$  is an isomorphism. It follows that  $\psi_{\bullet}$  is surjective, whence it splits  $P_{\bullet}$  into a direct sum  $L \oplus P'_{\bullet}$  since  $L_{\bullet}$  is projective. By the Five Lemma, we see that  $P'_{\bullet}$  is exact.

**Lemma 10.** Let  $(A, \mathfrak{m}, \mathsf{k})$  be a noetherian local ring and M a finite A-module. Then proj. dim  $M \leq n$  if and only if  $\operatorname{Tor}_{n+1}^A(M, \mathsf{k}) = 0$ .

*Proof.* The necessity is clear. For the sufficiency, we have a minimal projective resolution

$$\cdots \to P_{n+1} \xrightarrow{\mathrm{d}_{n+1}} P_n \xrightarrow{\mathrm{d}_n} P_{n-1} \xrightarrow{\mathrm{d}_{n-1}} \cdots \to P_1 \xrightarrow{\mathrm{d}_1} P_0 \xrightarrow{\mathrm{d}_0} M \to 0.$$

Let  $C := \operatorname{Im} d_n$ . Then we have

$$0 \to P_{n+1} \xrightarrow{\mathrm{d}_{n+1}} P_n \xrightarrow{\mathrm{d}_n} C \to 0.$$

Hence  $\operatorname{Tor}_{1}^{A}(C,\mathsf{k})\cong\operatorname{Tor}_{n+1}^{A}(M,\mathsf{k})=0$ . Let  $K=\operatorname{Ker}\operatorname{d}_{n}$ . Then we have the short exact sequence

$$0 \to K \to P_n \to C \to 0$$
.

Since  $\operatorname{Tor}_1^A(C, \mathsf{k}) = 0$ , there is an exact sequence

$$0 \to K \otimes_A \mathsf{k} \to P_n \otimes_A \mathsf{k} \to C \otimes_A \mathsf{k} \to 0.$$

Since  $P_n \to C$  is minimal, we have  $K \otimes_A \mathsf{k} = 0$ . By the Nakayama's lemma, K = 0. This implies that proj. dim  $C \leq 0$  and hence proj. dim  $M \leq n$ .

**Proposition 11.** Let  $(A, \mathfrak{m}, \mathsf{k})$  be a noetherian local ring. Then hl. dim  $A = \text{proj. dim } \mathsf{k}$  (finite or infinite).

*Proof.* The inequality hl. dim  $A \geq \text{proj.}$  dim k is by definition. Conversely, we can compute  $\text{Tor}_{n+1}^A(M, \mathsf{k})$  using minimal projective resolution of k for any finite A-module M. By Lemma 10, we have proj. dim  $M \leq n$  if and only if  $\text{Tor}_{n+1}^A(M, \mathsf{k}) = 0$ . This implies that proj. dim  $M \leq n$  for all finite A-modules M if proj. dim  $\mathsf{k} = n$ . By Remark 2, we have hl. dim  $A \leq n$ .

**Proposition 12.** Let  $(A, \mathfrak{m})$  be a noetherian local ring and M a finite A-module. Let  $a \in \mathfrak{m}$  be an M-regular element. Then proj. dim  $M/aM = \operatorname{proj.dim} M + 1$ . Here we set  $\infty + 1 = \infty$ .

*Proof.* We have an exact sequence

$$0 \to M \xrightarrow{*a} M \to M/aM \to 0.$$

Take the long exact sequence with respect to Tor(-,k), we get

$$\cdots \to \operatorname{Tor}_{i+1}^A(M,\mathsf{k}) \to \operatorname{Tor}_{i+1}^A(M/aM,\mathsf{k}) \to \operatorname{Tor}_i^A(M,\mathsf{k}) \xrightarrow{*a} \operatorname{Tor}_i^A(M,\mathsf{k}) \to \cdots$$

Since the derived homomorphism of \*a is zero, we have  $\operatorname{Tor}_{i+1}^A(M/aM,\mathsf{k})=0$  if and only if  $\operatorname{Tor}_i^A(M,\mathsf{k})=0$ . By Lemma 10, we have proj.  $\dim M/aM=\operatorname{proj.}\dim M+1$ .

## 2 Depth and regularity by homological algebra

**Proposition 13.** Let  $(A, \mathfrak{m}, \mathsf{k})$  be a noetherian local ring and M a finite A-module. Then

$$\operatorname{depth} M := \inf\{i : \operatorname{Ext}_{A}^{i}(\mathsf{k}, M) \neq 0\}.$$

*Proof.* Let  $a \in \mathfrak{m}$  be M-regular and N = M/aM. Then we claim that

$$\inf\{i: \operatorname{Ext}\nolimits_A^i(\mathsf{k},N) \neq 0\} = \inf\{i: \operatorname{Ext}\nolimits_A^i(\mathsf{k},M) \neq 0\} - 1.$$

Indeed, we have an exact sequence

$$0 \to M \xrightarrow{a} M \to N \to 0.$$

It induces a long exact sequence

$$\cdots \to \operatorname{Ext}\nolimits_A^{i-1}(\mathsf{k},M) \to \operatorname{Ext}\nolimits_A^{i-1}(\mathsf{k},N) \to \operatorname{Ext}\nolimits_A^i(\mathsf{k},M) \xrightarrow{\operatorname{Ext}\nolimits_A^i(\mathsf{k},\operatorname{Mult}\nolimits_a)} \operatorname{Ext}\nolimits_A^i(\mathsf{k},M) \to \cdots.$$

Note that  $a \in \mathfrak{m}$ , then  $\operatorname{Ext}_A^i(\mathsf{k},\operatorname{Mult}_a) = 0$ . It follows that when  $\operatorname{Ext}_A^{i-1}(\mathsf{k},M) = 0$ , we have  $\operatorname{Ext}_A^{i-1}(\mathsf{k},N) = 0$  iff  $\operatorname{Ext}_A^i(\mathsf{k},M) = 0$ , whence the claim.

Let  $n = \inf\{i : \operatorname{Ext}_A^i(\mathsf{k}, M) \neq 0\}$ . Induct on n. Suppose first n = 0. Since  $\mathsf{k}$  is a simple A-module, there is an injective homomorphism  $\mathsf{k} \to M$ . Then  $\mathfrak{m} \in \operatorname{Ass} M$  and hence depth M = 0.

Suppose n > 0., let  $a_1, \dots, a_m \in \mathfrak{m}$  be any M-regular sequence. Using the claim inductively on  $M/(a_1, \dots, a_m)M$ , we have  $n \geq \text{depth}$ . If M has no regular element, then  $\mathfrak{m} \subset \bigcup_{\mathfrak{p} \in \operatorname{Ass} M} \mathfrak{p}$ . Then  $\mathfrak{m} = \mathfrak{p}$  for some  $\mathfrak{p} \in \operatorname{Ass} M$ . This show that we can find  $x \neq 0 \in M$  such that  $\mathfrak{p} = \operatorname{Ann} x$ . It gives a homomorphism  $\mathsf{k} = A/\mathfrak{m} \to M$ . That is a contradiction and hence M has a regular element. Let a be M-regular and N = M/aM. Then depth N = n - 1 by the claim and induction hypothesis. Hence we have depth  $M \geq n$ .

**Lemma 14.** Let  $(A, \mathfrak{m}, \mathsf{k})$  be a noetherian local ring. Suppose we have exact sequences

$$0 \to A^{n_r} \xrightarrow{\mathrm{d}_r} A^{n_{r-1}} \xrightarrow{\mathrm{d}_{r-1}} \cdots \to A^{n_1} \xrightarrow{\mathrm{d}_1} A^{n_0}.$$

such that  $A^{n_i} \to \operatorname{Ker} d_{i-1}$  is minimal for all i. Then depth  $A \ge r$ .

*Proof.* Since  $d_r$  is injective and its image is contained in  $\mathfrak{m}A^{n_{r-1}}$ , we can choose  $t \in \mathfrak{m}$  that is not a zero divisor. Denote the sequence by  $C_{\bullet}$ . Then we have a short exact sequence of complexes

$$0 \to C_{\bullet} \xrightarrow{*t} C_{\bullet} \to C_{\bullet}/tC_{\bullet} \to 0.$$

Consider the long exact sequence in homology

$$\cdots \to H_i(C_{\bullet}) \xrightarrow{*t} H_i(C_{\bullet}) \to H_i(C_{\bullet}/tC_{\bullet}) \to H_{i-1}(C_{\bullet}) \xrightarrow{*t} H_{i-1}(C_{\bullet}) \to \cdots$$

Since  $C_{\bullet}$  is exact, we have  $H_i(C_{\bullet}) = 0$  for all i. In particular,  $H_i(C_{\bullet}/tC_{\bullet}) = 0$  for all  $i \geq 2$ . Inductively, we can choose a regular sequence of length r in  $\mathfrak{m}$ .

**Lemma 15.** Let  $(A, \mathfrak{m}, \mathsf{k})$  be a noetherian local ring and M a finite A-module. Suppose there is an injective homomorphism  $\mathsf{k} \to M$ . Then proj. dim  $M \ge \dim_{\mathsf{k}} T_{A,\mathfrak{m}}$ .

*Proof.* Let  $x_1, \dots, x_n \subset \mathfrak{m} \setminus \mathfrak{m}^2$  such that their images in  $\mathfrak{m}/\mathfrak{m}^2$  form a basis. Then we have a complex

$$K_{\bullet} \coloneqq 0 \to \wedge^n A^{\oplus n} \xrightarrow{\operatorname{d}_n} \wedge^{n-1} A^{\oplus n} \xrightarrow{\operatorname{d}_{n-1}} \cdots \to \wedge^1 A^{\oplus n} \xrightarrow{\operatorname{d}_1} \wedge^0 A^{\oplus n} \xrightarrow{\operatorname{d}_0} \mathsf{k} \to 0,$$

where

$$d_r: \wedge^r A^{\oplus n} \to \wedge^{r-1} A^{\oplus n}, \quad e_{i_1} \wedge \dots \wedge e_{i_r} \mapsto \sum_{k=1}^r (-1)^k x_{i_k} e_{i_1} \wedge \dots \wedge \widehat{e_{i_k}} \wedge \dots \wedge e_{i_r}.$$

$$\varphi_{\bullet}:K_{\bullet}\to P_{\bullet}$$

induced by the injective homomorphism  $k \to M$ .

We claim that  $\varphi_i$  is injective and splits  $P_i$  into a direct sum  $K_i \oplus F_i$  with  $F_i$  free for all  $i \geq 0$ . Since  $K_i$  and  $P_i$  are free, we just need to show that  $\varphi_i \otimes_A \operatorname{id}_k$  is injective. Induct on i. For i = 0, note that  $k \to M \otimes_A k$  is injective, by the commutative diagram

the image of  $\varphi_0 \otimes_A \mathrm{id}_{\mathsf{k}}$  is not zero in  $P_0 \otimes_A \mathsf{k}$ .

For i > 0, since  $K_{i-1}$  and  $P_{i-1}$  are free, we have a natural isomorphism between

$$\mathfrak{m}K_{i-1}\otimes_A\mathsf{k}\to\mathfrak{m}P_{i-1}\otimes_A\mathsf{k}$$

and

$$K_{i-1} \otimes_A \mathfrak{m}/\mathfrak{m}^2 \to P_{i-1} \otimes_A \mathfrak{m}/\mathfrak{m}^2$$
.

We have a commutative diagram

$$K_{i} \otimes_{A} \mathsf{k} \longrightarrow \mathfrak{m} K_{i-1} \otimes_{A} \mathsf{k} . \tag{1}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$P_{i} \otimes_{A} \mathsf{k} \longrightarrow \mathfrak{m} P_{i-1} \otimes_{A} \mathsf{k}$$

Since  $P_{i-1}/K_{i-1} \cong F_{i-1}$  is free, the right vertical map in (1) is injective. By construction of  $K_{\bullet}$ ,  $K_i \otimes_A \mathsf{k} \to \mathfrak{m} K_{i-1} \otimes_A \mathsf{k}$  is injective. Hence the left vertical map in (1) is injective. This completes the proof of the claim. By the claim,  $P_i \neq 0$  for all  $i \leq n$  and the conclusion follows.

**Proposition 16** (Auslander-Buchsbaum formula). Let A be a noetherian local ring and M a finite A-module. Suppose proj. dim  $M < \infty$ . Then proj. dim  $M = \operatorname{depth} A - \operatorname{depth} M$ .

*Proof.* We have a minimal projective resolution

$$0 \to A^{n_r} \to A^{n_{r-1}} \to \cdots \to A^{n_1} \to A^{n_0} \to M \to 0.$$

By Lemma 14, we have depth  $A \ge \text{proj. dim } M$ .

Induct on depth M. Suppose depth M = 0. Then by Proposition 13, we have  $\operatorname{Hom}_A(\mathsf{k}, M) \neq 0$ , whence there is an injective homomorphism  $\mathsf{k} \to M$ . By Lemma 15, we have

$$\operatorname{depth} A \geq \operatorname{proj.dim} M \geq \operatorname{dim}_{\mathsf{k}} T_{A,\mathfrak{m}} \geq \operatorname{depth} A.$$

If depth M>0, choose a regular element  $a\in\mathfrak{m}$  that is M-regular. Then by Propostion 12, we have

$$\operatorname{depth} M + \operatorname{proj.dim} M = \operatorname{depth}(M/aM) - 1 + \operatorname{proj.dim}(M/aM) + 1 = \operatorname{depth} A.$$

**Theorem 17.** Let  $(A, \mathfrak{m})$  be a noetherian local ring. Then A is regular at  $\mathfrak{m}$  if and only if hl. dim  $A < \infty$ .

*Proof.* Suppose A is regular at  $\mathfrak{m}$ . Let  $x_1, \dots, x_n$  be a minimal generating set of  $\mathfrak{m}$ . Then  $x_1, \dots, x_n$  is an A-regular sequence since A is regular at  $\mathfrak{m}$ . By Proposition 12, we have proj. dim  $k = \text{proj.} \dim A/(x_1, \dots, x_n)A = n + \text{proj.} \dim A = n$ .

Conversely, suppose hl. dim  $A < \infty$ . Then by Proposition 11, we have proj. dim  $k < \infty$ . We have

$$\dim_{\mathsf{k}} T_{A,\mathfrak{m}} \leq \operatorname{proj.dim} \mathsf{k} \leq \operatorname{depth} A \leq \dim_{\mathsf{k}} T_{A,\mathfrak{m}}.$$

The first " $\leq$ " follows from Lemma 15. The second " $\leq$ " follows from Proposition 16. Hence we see that A is regular at  $\mathfrak{m}$ .

**Lemma 18.** Let A be a noetherian integral domain. Then A is a UFD if and only if every height 1 prime ideal of A is principal.

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Proof. Yang: To be completed.	
<b>Lemma 19.</b> Let A be a noetherian integral domain and $(x) \subset A$ a non-zero prime ideal. Then A is a only if $A[1/x]$ is a UFD.	UFD if and
Proof. Yang: To be completed.	
<b>Theorem 20.</b> Let $A, \mathfrak{m}$ be a regular noetherian local ring. Then $A$ is UFD.	
Proof. Yang: To be completed.	