

# First properties of algebraic groups

Let  $\mathbf{k}$  be a field and  $\bar{\mathbf{k}}$  its algebraic closure. All varieties are defined over  $\mathbf{k}$  unless otherwise specified.

## 1 Basic concepts

**Definition 1.** A *group scheme* over  $S$  is an  $S$ -scheme  $G$  together with morphisms *multiplication*  $\mu : G \times G \rightarrow G$ , *identity*  $\varepsilon : S \rightarrow G$  and *inversion*  $\iota : G \rightarrow G$  over  $S$  such that the following diagrams commute:

(a) (Associativity)

$$\begin{array}{ccc} & G \times G \times G & \\ \text{id}_G \times \mu \swarrow & & \searrow \mu \times \text{id}_G \\ G \times G & & G \times G \\ & \mu \searrow & \swarrow \mu \\ & G & \end{array} ;$$

(b) (Identity)

$$\begin{array}{ccccc} G \times S & \xrightarrow{\text{id}_G \times \varepsilon} & G \times G & \xleftarrow{\varepsilon \times \text{id}_G} & S \times G \\ & \searrow \cong & \downarrow \mu & \swarrow \cong & \\ & & G & & \end{array} ;$$

(c) (Inversion)

$$\begin{array}{ccccc} & & G & & \\ \text{id}_G \times \iota \swarrow & & \downarrow & & \searrow \iota \times \text{id}_G \\ G \times G & & S & & G \times G \\ & \mu \searrow & \downarrow \varepsilon & \swarrow \mu & \\ & & G & & \end{array} .$$

In other words, a group scheme is a group object in the category of schemes.

**Definition 2.** An *algebraic group* is a  $\mathbf{k}$ -group scheme  $G$  which is reduced, separated and of finite type over a field  $\mathbf{k}$ .

**Definition 3.** Let  $G$  be an algebraic group and  $x \in G(\mathbf{k})$  a  $\mathbf{k}$ -point. The *left translation* by  $x$  is the morphism

$$l_x : G \xrightarrow{\cong} \text{Spec } \mathbf{k} \times G \xrightarrow{x \times \text{id}_G} G \times G \xrightarrow{\mu} G.$$

Similar definition applies to the right translation  $r_x$ .

**Remark 4.** In the context of algebraic groups, we often use multiplicative notation for the group law. That is, for  $g, h \in G(\mathbf{k})$ , we write  $gh$  instead of  $\mu(g, h)$  and  $g^{-1}$  instead of  $\iota(g)$ . The identity element  $\varepsilon$  is often denoted by  $e$ .

Sometimes we also abuse the notation by  $\mu : G \times \cdots \times G \rightarrow G$  to denote the multiplication of multiple elements, i.e.  $\mu(g_1, \dots, g_n) = g_1 \cdots g_n$  for  $g_1, \dots, g_n \in G(\mathbf{k})$ .

**Remark 5.** Since algebraic groups are almost varieties over an arbitrary field  $\mathbf{k}$ , we often identify an algebraic group  $G$  with its set of closed points  $G(\mathbf{k})$  when there is no confusion.

**Proposition 6.** Let  $G$  be an algebraic group. Then  $G$  is smooth over  $\mathbf{k}$ .

*Proof.* Since  $G$  is reduced and of finite type over a field, it is generically regular. Let  $g \in G(\mathbf{k})$  be a regular point. Then the left translation  $l_{gh^{-1}} : G \rightarrow G$  is an isomorphism, hence  $G$  is regular at  $h \in G(\mathbf{k})$ . It follows that  $G$  is regular at every  $\mathbf{k}$ -point, hence  $G$  is smooth over  $\mathbf{k}$ .  $\square$

**Remark 7.** Let  $G$  be an algebraic group. Then the irreducible components of  $G$  coincide with the connected components of  $G$ . We will use the term “connected” to refer to both concepts since “irreducible” has other meanings in the theory of representations.

**Example 8.** The *additive group*  $\mathbb{G}_a$  is defined to be the affine line  $\mathbb{A}^1$  with the group law given by addition. Concretely, we can write  $\mathbb{G}_a = \text{Spec } \mathbf{k}[T]$  with the group law given by the morphism

$$\begin{aligned}\mu : \mathbb{G}_a \times \mathbb{G}_a &\rightarrow \mathbb{G}_a, & (x, y) &\mapsto x + y, \\ \iota : \mathbb{G}_a &\rightarrow \mathbb{G}_a, & x &\mapsto -x, \\ \varepsilon : \text{Spec } \mathbf{k} &\rightarrow \mathbb{G}_a, & * &\mapsto 0.\end{aligned}$$

**Example 9.** The *multiplicative group*  $\mathbb{G}_m$  is defined to be the affine variety  $\mathbb{A}^1 \setminus \{0\}$  with the group law given by multiplication. Concretely, we can write  $\mathbb{G}_m = \text{Spec } \mathbf{k}[T, T^{-1}]$  with the group law given by the morphism

$$\begin{aligned}\mu : \mathbb{G}_m \times \mathbb{G}_m &\rightarrow \mathbb{G}_m, & (x, y) &\mapsto xy, \\ \iota : \mathbb{G}_m &\rightarrow \mathbb{G}_m, & x &\mapsto x^{-1}, \\ \varepsilon : \text{Spec } \mathbf{k} &\rightarrow \mathbb{G}_m, & * &\mapsto 1.\end{aligned}$$

**Example 10.** The *general linear group*  $\text{GL}_n$  is defined to be the open subvariety of  $\mathbb{A}^{n^2}$  consisting of invertible matrices, with the group law given by matrix multiplication. Concretely, we can write  $\text{GL}_n = \text{Spec } \mathbf{k}[T_{ij}, \det(T_{ij})^{-1}]$  where  $1 \leq i, j \leq n$  and the group law is given by the morphism

$$\begin{aligned}\mu : \text{GL}_n \times \text{GL}_n &\rightarrow \text{GL}_n, & (A, B) &\mapsto AB, \\ \iota : \text{GL}_n &\rightarrow \text{GL}_n, & A &\mapsto A^{-1}, \\ \varepsilon : \text{Spec } \mathbf{k} &\rightarrow \text{GL}_n, & * &\mapsto I_n.\end{aligned}$$

**Example 11.** An abelian variety is an algebraic group that is also a proper variety.

**Example 12.** Let  $G$  and  $H$  be algebraic groups. The *product*  $G \times H$  is an algebraic group with the group law defined by

$$\begin{aligned}\mu_{G \times H} &= \mu_G \times \mu_H : (G \times H) \times (G \times H) \cong (G \times G) \times (H \times H) \rightarrow G \times H, \\ \varepsilon_{G \times H} &= \varepsilon_G \times \varepsilon_H : \text{Spec } \mathbf{k} \cong \text{Spec } \mathbf{k} \times \text{Spec } \mathbf{k} \rightarrow G \times H, \\ \iota_{G \times H} &= \iota_G \times \iota_H : G \times H \rightarrow G \times H.\end{aligned}$$

**Example 13.** Let  $G$  be an algebraic group over  $\mathbf{k}$  and  $\mathbf{K}/\mathbf{k}$  a field extension. The base change  $G_{\mathbf{K}} = G \times_{\text{Spec } \mathbf{k}} \text{Spec } \mathbf{K}$  is an algebraic group over  $\mathbf{K}$  with the group law defined by the base change of the original group law of  $G$  to  $\mathbf{K}$ .

**Definition 14.** A *homomorphism* of algebraic groups is a morphism of schemes that is also a group homomorphism. Explicitly, a morphism  $f : G \rightarrow H$  between algebraic groups  $G$  and  $H$  is a homomorphism if the following diagram commutes:

$$\begin{array}{ccc} G \times G & \xrightarrow{\mu_G} & G \\ f \times f \downarrow & & \downarrow f \\ H \times H & \xrightarrow{\mu_H} & H \end{array}$$

where  $\mu_G$  and  $\mu_H$  are the group laws of  $G$  and  $H$ , respectively.

**Definition 15.** An *algebraic subgroup* of an algebraic group  $G$  is a closed subscheme  $H \subseteq G$  that is also a subgroup of  $G$ . More precisely,  $H$  is an algebraic subgroup and the inclusion morphism  $H \hookrightarrow G$  is compatible with the group laws.

**Example 16.** The *special linear group*  $\text{SL}_n$  is defined to be the closed subvariety of  $\text{GL}_n$  defined by the equation  $\det = 1$ . It is an algebraic subgroup of  $\text{GL}_n$ .

**Definition 17.** Let  $G$  be an algebraic group. The *neutral component*  $G^0$  is the connected component of  $G$  containing the identity element  $\varepsilon$ .

**Proposition 18.** The neutral component  $G^0(\mathbf{k})$  is a closed, normal algebraic subgroup of  $G(\mathbf{k})$  of finite index. Moreover, each closed subgroup  $H$  of finite index contains  $G^0(\mathbf{k})$ .

*Proof.* Yang: To be continued... □

**Proposition 19.** Let  $G$  be an algebraic group and  $H \subseteq G(\mathbf{k})$  a subgroup (not necessarily closed). Then the Zariski closure  $\overline{H}$  of  $H$  in  $G$  is an algebraic subgroup of  $G$ . If  $H \subset G(\mathbf{k})$  is constructible, then  $H = \overline{H}(\mathbf{k})$ .

*Proof.* Yang: To be continued... □

**Example 20.** Let  $G = \text{SL}_2$  over  $\mathbf{k}$ ,  $T = \{\text{diag}(t, t^{-1}) \mid t \in \mathbf{k}^\times\}$  and  $g = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Set  $S = gTg^{-1}$ . Then both  $T$  and  $S$  are closed algebraic subgroups of  $G(\mathbf{k})$ , but the product  $TS$  is not closed in  $G(\mathbf{k})$ . By direct computation, we have

$$S = \left\{ \begin{pmatrix} s & s^{-1} - s \\ 0 & s^{-1} \end{pmatrix} \mid s \in \mathbf{k}^\times \right\}.$$

Then

$$TS = \left\{ \begin{pmatrix} ts & t(s^{-1} - s) \\ 0 & (ts)^{-1} \end{pmatrix} \mid t, s \in \mathbf{k}^\times \right\}.$$

We have

$$TS \cap \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in \mathbf{k} \right\} = \left\{ \begin{pmatrix} 1 & s^{-2} - 1 \\ 0 & 1 \end{pmatrix} \mid s \in \mathbf{k}^\times \right\}.$$

The right hand side is not closed in  $\mathrm{SL}_2(\mathbb{k})$  since it does not contain the matrix  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$ . Hence  $TS$  is not closed in  $G(\mathbb{k})$ .

**Proposition 21.** Let  $G$  be an algebraic group,  $X_i$  varieties over  $\mathbf{k}$  and  $f_i : X_i \rightarrow G$  morphisms for  $i = 1, \dots, n$  with images  $Y_i = f_i(X_i)$ . Suppose that  $Y_i$  pass through the identity element of  $G$ . Let  $H$  be the closed subgroup of  $G$  generated by  $Y_1, \dots, Y_n$ , i.e. the smallest closed subgroup of  $G$  containing  $Y_1, \dots, Y_n$ . Then  $H$  is connected and  $H = Y_{a_1}^{e_1} \cdots Y_{a_m}^{e_m}$  for some  $a_1, \dots, a_m \in \{1, \dots, n\}$  and  $e_1, \dots, e_m \in \{\pm 1\}$ .

*Proof.* Yang: To be continued...

□

**Remark 22.** We can take  $m \leq 2 \dim G$  in Proposition 21.

## 2 Action and representations

**Definition 23.** An *action* of an algebraic group  $G$  on a variety  $X$  is a morphism

$$\sigma : G \times X \rightarrow X$$

such that the following diagrams commute:

$$\begin{array}{ccc} G \times G \times X & \xrightarrow{\mu \times \mathrm{id}_X} & G \times X \\ \downarrow \mathrm{id}_G \times \sigma & & \downarrow \sigma \\ G \times X & \xrightarrow{\sigma} & X \end{array} \quad \begin{array}{ccc} \mathrm{Spec} \mathbf{k} \times X & \xrightarrow{\varepsilon \times \mathrm{id}_X} & G \times X \\ & \searrow \cong & \downarrow \sigma \\ & & X \end{array}$$

where  $\mu$  is the group law of  $G$  and  $\varepsilon$  is the identity element of  $G$ . In other words, for any  $\mathbf{k}$ -scheme  $S$ , the induced map  $G(S) \times X(S) \rightarrow X(S)$  defines a group action of the abstract group  $G(S)$  on the set  $X(S)$ .

**Definition 24.** A *rational action* of an algebraic group  $G$  on a variety  $X$  is a rational map

$$\sigma : G \times X \dashrightarrow X$$

such that the following diagrams commute wherever the maps are defined:

$$\begin{array}{ccc} G \times G \times X & \xrightarrow{\mu \times \mathrm{id}_X} & G \times X \\ \downarrow \mathrm{id}_G \times \sigma & & \downarrow \sigma \\ G \times X & \dashrightarrow \sigma \dashrightarrow & X \end{array} \quad \begin{array}{ccc} \mathrm{Spec} \mathbf{k} \times X & \xrightarrow{\varepsilon \times \mathrm{id}_X} & G \times X \\ & \searrow \cong & \downarrow \sigma \\ & & X \end{array}$$

where  $\mu$  is the group law of  $G$  and  $\varepsilon$  is the identity element of  $G$ . In other words, for any field extension  $K/\mathbf{k}$ , the induced map  $G(K) \times X(K) \dashrightarrow X(K)$  defines a group action of the abstract group  $G(K)$  on the set  $X(K)$ . We say that  $X$  is a *rational  $G$ -variety*. Yang: To be checked.

**Definition 25.** Let  $G$  be an algebraic group acting on a variety  $X$ . For any  $x \in X(\mathbf{k})$ , the *orbit* of  $x$  is the locally closed subvariety  $G \cdot x = \sigma(G \times \{x\})$  of  $X$ . Yang: To be checked.

**Proposition 26.** Let  $G$  be an algebraic group acting on a variety  $X$ . Then for any  $x \in X(\mathbf{k})$ , the orbit  $G \cdot x$  is a locally closed subvariety of  $X$ , and  $\overline{G \cdot x} \setminus G \cdot x$  is a union of orbits of strictly smaller dimension. Yang: To be checked.

*Proof.* Yang: To be continued... □

Let  $G$  be an algebraic group acting on an affine variety  $X = \operatorname{Spec} A$ . For  $x \in G(\mathbf{k})$ , we have the left translation of functions  $\tau_x : A \rightarrow A$  defined by  $\tau_x(f)(y) = f(x^{-1}y)$  for  $y \in X(\mathbf{k})$ .

**Lemma 27.** Let  $G$  be an algebraic group acting on an affine variety  $X = \operatorname{Spec} A$ . For any finite-dimensional subspace  $V \subseteq A$ , there exists a finite-dimensional  $G$ -invariant subspace  $W \subseteq A$  containing  $V$ . Yang: To be continued...

**Theorem 28.** Any affine algebraic group is isomorphic to a closed algebraic subgroup of some  $\operatorname{GL}_n$ .

### 3 Lie algebra of an algebraic group

Let  $G$  be an algebraic group. The *Lie algebra* of  $G$  is defined to be the tangent space of  $G$  at the identity element  $\varepsilon$ :

$$\operatorname{Lie}(G) = T_\varepsilon G.$$

It is a finite-dimensional vector space over  $\mathbf{k}$ .

**Proposition 29.** The group law  $\mu : G \times G \rightarrow G$  induces the plus map on  $\operatorname{Lie}(G)$ :

$$d\mu_{(\varepsilon, \varepsilon)} : T_{(\varepsilon, \varepsilon)}(G \times G) \cong T_\varepsilon G \oplus T_\varepsilon G \rightarrow T_\varepsilon G, \quad (v, w) \mapsto v + w.$$

*Proof.* □

## Preliminaries

**Definition 30.** Let  $X$  be a scheme with underlying topological space  $|X|$ . The family  $\mathfrak{C}$  of constructible sets in  $|X|$  is the smallest family of subsets of  $|X|$  that contains all open subsets and is closed under finite intersections, finite unions, and complements. A subset  $E \subseteq |X|$  is called a *constructible set* if  $E \in \mathfrak{C}$ .

**Theorem 31.** Let  $f : X \rightarrow Y$  be a morphism of varieties. Then the image of  $f$  is a constructible set in  $Y$ .

**Lemma 32.** Let  $X$  and  $Y$  be varieties over a field  $\mathbf{k}$ . For any point  $x \in X(\mathbf{k})$  and  $y \in Y(\mathbf{k})$ , there is a natural isomorphism of  $\mathbf{k}$ -vector spaces

$$T_{(x, y)}(X \times Y) \cong T_x X \oplus T_y Y$$

given by  $v \mapsto (d\pi_1(v), d\pi_2(v))$ , where  $\pi_1 : X \times Y \rightarrow X$  and  $\pi_2 : X \times Y \rightarrow Y$  are the projection morphisms.

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*Proof.* The inverse map is given by  $(u, w) \mapsto d(\iota_1)(u) + d(\iota_2)(w)$ , where  $\iota_1 : X \cong X \times \{y\} \rightarrow X \times Y$  and  $\iota_2 : Y \cong \{x\} \times Y \rightarrow X \times Y$  are the natural inclusions.  $\square$

DRAFT

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