

# Basepoint Free Theorem on Positive Characteristic

This section refers to [Kee99]. For site and algebraic space, we refer to [Knu71], [Art70], [Stacks] and [FGA05]. Throughout this section, all schemes (or algebraic space) are of finite type over a base scheme  $S$  with  $S$  noetherian.

## 1 Preliminaries

**Theorem 1** (Serre vanishing in relative setting, ref. [Laz04, Theorem 1.7.6]). Let  $f : X \rightarrow S$  be a proper morphism of schemes,  $\mathcal{L}$  a line bundle and  $\mathcal{F}$  a coherent sheaf on  $X$ . Suppose that  $\mathcal{L}$  is relatively ample. Then there exists  $n_0 \in \mathbb{N}$  such that for all  $n \geq n_0$ , the higher direct image sheaves  $R^i f_* \mathcal{F} \otimes \mathcal{L}^{\otimes n}$  are zero for all  $i > 0$ .

**Theorem 2** (ref. [Laz04, Proposition 1.4.37]). Let  $X$  be a projective scheme over a field  $\mathbb{k}$ . Then there exists a scheme  $T$  of finite type over  $\mathbb{k}$  and a line bundle  $\mathcal{L}$  on  $X \times T$  such that every numerically trivial line bundle on  $X$  arises as the restriction  $\mathcal{L}|_{X \times \{t\}}$  for some  $t \in T$ .

**Theorem 3** (Theorem on Formal Functions, ref. [Har77, Chapter III, Theorem 11.1]). Let  $f : X \rightarrow Y$  be a projective morphism of noetherian schemes, let  $\mathcal{F}$  be a coherent sheaf on  $X$ , and let  $y \in Y$ . Then the natural map

$$(R^i f_* \mathcal{F})_y^\wedge \rightarrow \varprojlim H^i(X_n, \mathcal{F}_n)$$

is an isomorphism for all  $i \geq 0$ , where  $X_n = X \times_Y \operatorname{Spec} \mathcal{O}_{Y,y}/\mathfrak{m}_y^n$  and  $\mathcal{F}_n = \mathcal{F}|_{X_n}$ .

**Definition 4.** Let  $X$  be a proper variety and  $\mathcal{L}$  a nef line bundle on  $X$ . A closed subvariety  $Z \subseteq X$  is called the *exceptional* for  $\mathcal{L}$  if  $\mathcal{L}^{\dim Z} \cdot Z = 0$ . The *exceptional locus* of  $\mathcal{L}$ , denoted by  $\operatorname{Exc} \mathcal{L}$ , is defined as the closure of the union of all exceptional subvarieties of  $\mathcal{L}$ .

If  $\mathcal{L}$  is semiample, then  $\operatorname{Exc} \mathcal{L} = \operatorname{Exc} \varphi$  for the fibration  $\varphi : X \rightarrow Y$  induced by  $\mathcal{L}$ .

**Definition 5.** Let  $X$  be a proper scheme and  $\mathcal{L}$  a nef line bundle on  $X$ . We say that  $\mathcal{L}$  is *endowed with a map (EWM)* if there is a proper morphism  $\varphi : X \rightarrow Y$  to a proper algebraic space such that  $\dim Z > \dim f(Z)$  if and only if  $Z$  is an exceptional subvariety of  $\mathcal{L}$ . If such a morphism is a fibration, then it is unique, called the *fibration associated to  $\mathcal{L}$* .

**Proposition 6.** Let  $X$  be a proper variety and  $\mathcal{L}$  a nef line bundle on  $X$  endowed with a map. Let  $\varphi : X \rightarrow Y$  be the associated fibration. Then TFAE:

- (a)  $\mathcal{L}$  is semiample;
- (b)  $\mathcal{L}^{\otimes m}$  is pulled back from an ample line bundle on  $Y$  for some  $m \in \mathbb{Z}_{>0}$ ;

(c)  $\mathcal{L}^{\otimes m}$  is pulled back from a line bundle on  $Y$  for some  $m \in \mathbb{Z}_{>0}$ ;

*Proof.* (a)  $\Leftrightarrow$  (b)  $\Rightarrow$  (c) is clear. Replacing  $\mathcal{L}$  by  $\mathcal{L}^{\otimes m}$  for some  $m \in \mathbb{Z}_{>0}$ , suppose that  $\mathcal{L} = \varphi^* \mathcal{L}_Y$  for some line bundle  $\mathcal{L}_Y$  on  $Y$ . We show that  $\mathcal{L}_Y$  is ample. Indeed, for all closed subvarieties  $Z \subset Y$ , we can find  $Z' \subset X$  such that  $Z' \twoheadrightarrow Z$  and  $\dim Z' = \dim Z$ . Then

$$\mathcal{L}_Y^{\dim Z} \cdot Z = d \mathcal{L}^{\dim Z'} \cdot Z' > 0$$

where  $d = \deg(Z' \rightarrow Z)$ . Hence  $\mathcal{L}_Y$  is ample.  $\square$

**Definition 7.** A morphism  $f : X \rightarrow Y$  of schemes is called a *universal homeomorphism* if for every  $Y$ -scheme  $Y'$ , the base change  $X \times_Y Y' \rightarrow Y'$  is a homeomorphism between the underlying topological spaces.

**Example 8.** Let  $X$  be a scheme of finite type over  $\mathbb{k}$ . Then the natural morphism  $X_{\text{red}} \rightarrow X$  is a universal homeomorphism.

Let  $X$  be a scheme over  $S$  of characteristic  $p$ . Then the absolute and relative Frobenius morphisms are universal homeomorphisms. **Yang: To be completed.**

The morphism  $\text{Spec } \mathbb{C} \rightarrow \text{Spec } \mathbb{R}$  is not a universal homeomorphism.

**Lemma 9.** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be two morphisms of schemes with  $g$  finite. Let  $\mathcal{F}$  be a coherent sheaf on  $X$ . Then we have

$$R^i(g \circ f)_* \mathcal{F} = g_*(R^i f_* \mathcal{F}).$$

*Proof.* **Yang: This is a simple application of the Grothendieck spectral sequence. However, I do not know anything about it.**  $\square$

## 2 Algebraic space

**Definition 10.** Let  $\mathbf{C}$  be a category. A *Grothendieck topology* on  $\mathbf{C}$  is a collection of sets of arrows  $\{U_i \rightarrow U\}_{i \in I}$ , called *covering*, for each object  $U$  in  $\mathbf{C}$  such that:

- (a) if  $V \rightarrow U$  is an isomorphism, then  $\{V \rightarrow U\}$  is a covering;
- (b) if  $\{U_i \rightarrow U\}_{i \in I}$  is a covering and  $V \rightarrow U$  is an arrow, then the fiber product  $U_i \times_U V \rightarrow V$  exists and  $\{U_i \times_U V \rightarrow V\}$  is a covering of  $V$ ;
- (c) if  $\{U_i \rightarrow U\}_{i \in I}$  and  $\{U_{ij} \rightarrow U_i\}_{j \in J_i}$  are coverings, then the collection of composition  $\{U_{ij} \rightarrow U_i \rightarrow U\}_{i \in I, j \in J_i}$  is a covering.

A *site* is a pair  $(\mathbf{C}, j)$  where  $\mathbf{C}$  is a category and  $j$  is a Grothendieck topology on  $\mathbf{C}$ .

Note that sheaf is indeed defined on a site.

**Definition 11.** Let  $(\mathbf{C}, j)$  be a site. A *sheaf* on  $(\mathbf{C}, j)$  is a functor  $\mathcal{F} : \mathbf{C}^{op} \rightarrow \mathbf{Set}$  satisfying the following condition: for every object  $U$  in  $\mathbf{C}$  and every covering  $\{U_i \rightarrow U\}_{i \in I}$  of  $U$ , if we have a collection of elements  $s_i \in \mathcal{F}(U_i)$  such that for every  $i, j$ , the pullback  $s_i|_{U_i \times_U U_j}$  and  $s_j|_{U_i \times_U U_j}$  are equal, then there exists a unique element  $s \in \mathcal{F}(U)$  such that for every  $i$ , the pullback  $s|_{U_i} = s_i$ .

**Definition 12.** Let  $X$  be a scheme. The *big étale site* of  $X$ , denoted by  $(\mathbf{Sch}/X)_{\text{ét}}$ , is the category of schemes over  $X$  with the Grothendieck topology generated by étale morphisms, that is, a collection of morphisms  $\{U_i \rightarrow U\}_{i \in I}$  is a covering if and only if each  $U_i$  is étale over  $U$  and the union of their images is the whole  $U$ .

Let  $X$  be a scheme over  $S$ . By Yoneda's Lemma, it is equivalent to give a functor  $h_X : \mathbf{Sch}_S^{op} \rightarrow \mathbf{Set}$  such that for any  $S$ -scheme  $T$ ,  $h_X(T) = \text{Hom}_{\mathbf{Sch}_S}(T, X)$ . **Yang:** Easy to check that  $h_X$  is a sheaf on the big étale site  $(\mathbf{Sch}/S)_{\text{ét}}$ .

**Definition 13.** Let  $U$  be a scheme over a base scheme  $S$ . An *étale equivalence relation* on  $U$  is a morphism  $R \rightarrow U \times_S U$  between schemes over  $S$  such that:

- (a) the projections in two factors  $R \rightarrow U$  are étale and surjective;
- (b) for every  $S$ -scheme  $T$ ,  $h_R(T) \rightarrow h_U(T) \times h_U(T)$  gives an equivalence relation on  $h_U(T)$  set-theoretically.

**Definition 14.** An *algebraic space*  $X$  over a base scheme  $S$  is an  $S$ -scheme  $U$  together with an étale equivalence relation  $R \rightarrow U \times_S U$ .

Let  $X = (U, R)$  be an algebraic space over  $S$ . We explain  $X$  as a sheaf on the big étale site  $(\mathbf{Sch}/S)_{\text{ét}}$ . For any scheme  $T$  over  $S$ ,  $h_R(T)$  is an equivalence relation on  $h_U(T)$ . The rule sending  $T$  to the set of equivalence classes of  $h_R(T)$  gives a presheaf on the site  $(\mathbf{Sch}/S)_{\text{ét}}$ . The sheafification of this presheaf is the sheaf associated to the algebraic space  $X$ . Explicitly, we have

$$X(T) := \left\{ f = (f_i) \left| \begin{array}{l} \{T_i \rightarrow T\} \text{ a covering, } f_i \in h_U(T_i) \text{ such} \\ \text{that } (f_i|_{T_i \times_T T_j}, f_j|_{T_i \times_T T_j}) \in h_R(T_i \times_T T_j) \end{array} \right. \right\} / \sim,$$

where

$$\alpha \sim \beta \quad \text{if } \exists \{S_i \rightarrow T\} \text{ such that } (\alpha|_{S_i}, \beta|_{S_i}) \in h_R(S_i).$$

**Definition 15.** An *algebraic space* over a base scheme  $S$  is a sheaf  $F$  on the big étale site  $(\mathbf{Sch}/S)_{\text{ét}}$  such that

- (a) the diagonal morphism  $F \rightarrow F \times_S F$  is representable;
- (b) there exists a scheme  $U$  over  $S$  and a map  $h_U \rightarrow F$  which is surjective and étale.

The *morphism between algebraic spaces*  $F_1, F_2$  is defined as a natural transformation of functors  $F_1, F_2$ .

**Remark 16.** By Yoneda's Lemma, given a morphism  $h_U \rightarrow F$  between sheaves is the same as giving an element of  $F(U)$ . We may abuse the notation.

**Definition 17.** Let  $\mathcal{P}$  be a property of morphisms of schemes satisfying the following conditions:

- (a) is preserved under any base change;
- (b) is étale local on the base. Yang: In [Stacks], this requires that “fppf local”.

Let  $\alpha : F \rightarrow G$  be a representable morphism of sheaves on the big étale site  $(\mathbf{Sch}/S)_{\text{ét}}$ . We say that  $\alpha$  has property  $\mathcal{P}$  if for every  $h_T \rightarrow G$ , the base change  $h_T \times_G F \rightarrow F$  has property  $\mathcal{P}$ .

**Remark 18.** The fiber product  $F_1 \times_F F_2$  is just defined as  $F_1 \times_F F_2(T) := F_1(T) \times_{F(T)} F_2(T)$  for any object  $T \in \text{Obj}(\mathbf{Sch}_S)$ . We say that a morphism  $f : F_1 \rightarrow F_2$  of sheaves is *representable* if for every  $T \in \text{Obj}(\mathbf{Sch}/S)$  and every  $\xi \in F_2(T)$ , the sheaf  $F_1 \times_{F_2} h_T$  is representable as a functor. Here  $h_T \rightarrow F_2$  is given by

$$h_T(U) \rightarrow F_2(U), \quad f \in \text{Hom}(U, T) \mapsto F_2(f)(\xi) \in F_2(U).$$

In our case, given an arbitrary  $h_U \rightarrow F \times F$  is equivalent to giving morphisms  $h_{U_i} \rightarrow F$  for  $i = 1, 2$ . And the fiber product  $F \times_{F \times F} (h_{U_1} \times h_{U_2})$  is just the fiber product  $h_{U_1} \times_F h_{U_2}$ . Hence the first condition in Definition 15 is equivalent to that  $h_{U_1} \times_F h_{U_2}$  is representable for any  $U_1, U_2$  over  $F$ . This implies that  $h_U \rightarrow F$  is representable, whence the second condition in Definition 15 makes sense.

**Definition 19.** Let  $X$  be an algebraic space over a base scheme  $S$ . Two morphisms from field  $\text{Spec } k_i \rightarrow X$  is called equivalent if there is a common extension  $K \supset k_1, k_2$  such that we have  $\text{Spec } K \rightarrow \text{Spec } k_i \rightarrow X$  are the same for  $i = 1, 2$ . The *underlying point set* of  $X$ , denote by  $|X|$ , is defined as the set of equivalence classes of morphisms  $\text{Spec } k \rightarrow X$  for all field  $k$  over the base field  $\mathbb{k}$ .

This definition coincides with the underlying set of a scheme. Let  $\alpha : X \rightarrow Y$  be a morphism of algebraic spaces. It induces a map  $|\alpha| : |X| \rightarrow |Y|$  by  $x \mapsto \alpha \circ x$  (vertical composition).

**Proposition 20** (ref. [Stacks, Lemma 66.4.6]). There is a unique topology on  $|X|$  such that

- (a) if  $X$  is a scheme, then the topology coincides with the usual topology.
- (b) every morphism of algebraic spaces  $f : X \rightarrow Y$  induces a continuous map  $|f| : |X| \rightarrow |Y|$ .
- (c) if  $U$  is a scheme and  $U \rightarrow X$  is étale, then the induced map  $|U| \rightarrow |X|$  is open.

This topology is called the *Zariski topology* on  $|X|$ .

**Definition 21.** Let  $X$  be an algebraic space over a base scheme  $S$ . All étale morphisms  $U \rightarrow X$  with  $U$  scheme form a small site  $X_{\text{ét}}$ . All étale morphisms  $U \rightarrow X$  with  $U$  algebraic space form a small

site  $X_{\text{sp}, \text{ét}}$ . The *structure sheaf*  $\mathcal{O}_X$  of  $X$  is given by  $U \mapsto \Gamma(U, \mathcal{O}_U)$  for every étale morphism  $U \rightarrow X$  from a scheme. It extends to a sheaf on the site  $X_{\text{sp}, \text{ét}}$  uniquely.

**Example 22.** Let  $U = \mathbb{A}_{\mathbb{C}}^1$  and  $R \subset U \times U$  given by  $y = x + n, n \in \mathbb{Z}$ . Then  $R$  is a disjoint union of lines in  $U \times U$ . Write  $R = \coprod_{n \in \mathbb{Z}} R_n$  with  $R_n = \{(x, x + n) : x \in \mathbb{C}\}$ . Then the projection is given by

$$\begin{aligned} \pi_1|_{R_n} : R_n &\rightarrow U, & (x, x + n) &\mapsto x, \\ \pi_2|_{R_n} : R_n &\rightarrow U, & (x, x + n) &\mapsto x + n. \end{aligned}$$

Easily see that the projection  $\pi_i : R \rightarrow U$  is étale and surjective for  $i = 1, 2$ . Let  $r_{ij} : R \times U \rightarrow U \times U \times U$  be the morphism which maps  $((x, y), u)$  to  $(a_1, a_2, a_3)$  where  $a_i = x$ ,  $a_j = y$  and  $a_k = u$  for  $k \neq i, j$ . Since  $\Delta_U \rightarrow U \times U$  factors through  $R$ ,  $(\pi_1, \pi_2) = (\pi_2, \pi_1)$  and  $r_{12} \times_{(U \times U \times U)} r_{23}$  factors through  $r_{13}$ , we have that  $h_R(T)$  is an equivalence relation on  $h_U(T)$  for all  $T$  over  $S$ . Then  $X := (U, R)$  is an algebraic space.

We do not check the representability here but give an example. Let  $U \rightarrow X$  be the natural morphism given by  $\text{id}_U \in X(U)$ . For any scheme  $T$  over  $\mathbb{C}$ , we have

$$(U \times_X U)(T) = \{(f, g) \in h_{U \times U}(T) : \exists \{T_i \rightarrow T\} \text{ s.t. } (f_i, g_i) \in h_R(T_i)\} = h_R(T).$$

Hence the fiber product  $h_U \times_X h_U$  is represented by  $R$ .

We show that  $X \cong \mathbb{C}^\times$  by computing the the global sections. Consider the covering  $U \rightarrow X$ , a section  $s \in \mathcal{O}_X(X)$  is given by a section  $s \in \Gamma(U, \mathcal{O}_U) = \mathbb{C}[t]$  such that  $\pi_1^* s = \pi_2^* s$  in  $\Gamma(R, \mathcal{O}_R)$ . This means that  $s(x + n) = s(x)$  for all  $n \in \mathbb{Z}$ . Hence  $s$  is a constant function. In particular,  $\mathcal{O}_X(X) = \mathbb{C} \neq \mathbb{C}[t, t^{-1}]$ .

The underlying set  $|X|$  is union of the quotient set  $\mathbb{C}/\mathbb{Z}$  and a generic point. The Zariski topology on  $|X|$  is the trivial topology.

In following, we will use the technique of *local construction* to construct many scheme-like objects on algebraic spaces. For local construction, see [Knu71]. Roughly speaking, for every étale morphism  $U \rightarrow X$  with  $U$  a scheme, we construct a scheme-theoretic object on  $U$  which is compatible under base change. Then we glue these objects together to get a global object on  $X$ .

**Definition 23.** Let  $X$  be an algebraic space over a base scheme  $S$ . A *coherent sheaf* on  $X$  is a sheaf  $\mathcal{F}$  on  $X_{\text{ét}}$  such that for every covering  $\{U_i \rightarrow X\}$  with  $U_i$  schemes, the sheaf  $\mathcal{F}|_{U_i}$  is coherent for every  $i$ . It extends to a sheaf on the site  $X_{\text{sp}, \text{ét}}$  uniquely.

An *ideal sheaf* on  $X$  is a coherent sheaf  $\mathcal{I} \subset \mathcal{O}_X$ . It defines a closed subspace  $V(\mathcal{I}) \subset X$  by **Yang: to be completed**. And every closed subspace  $Y \subset X$  is defined by an ideal sheaf  $\mathcal{I}_Y$  such that  $V(\mathcal{I}_Y) = Y$ .

**Definition 24.** Let  $X$  be an algebraic space over a base scheme  $S$ . A *line bundle* on  $X$  is a coherent sheaf  $\mathcal{L}$  on  $X$  such that for every covering  $\{U_i \rightarrow X\}$  with  $U_i$  schemes, the sheaf  $\mathcal{L}|_{U_i}$  is a line bundle on  $U_i$ . It extends to a sheaf on the site  $X_{\text{sp}, \text{ét}}$  uniquely.

**Theorem 25** (ref. [Stacks, Theorem 76.36.4]). Let  $f : X \rightarrow Y$  be a proper morphism of algebraic spaces over a base scheme  $S$ . Then there exists a factorization

$$X \xrightarrow{f_1} Z \xrightarrow{f_2} Y,$$

where  $f_1$  has geometrically connected fibers and  $(f_1)_* \mathcal{O}_X = \mathcal{O}_Z$  and  $f_2$  is finite.

**Definition 26.** Let  $X$  be an algebraic space over a base scheme  $S$  and  $Y$  a closed subset of  $|X|$ . The *formal completion* of  $X$  along  $Y$ , denoted by  $\mathfrak{X}$ , is

Its structure sheaf  $\mathcal{O}_{\mathfrak{X}}$  is defined as  $\varprojlim_n \mathcal{O}_X/\mathcal{I}^n$  where  $\mathcal{I}$  is the ideal sheaf of  $Y$  in  $\mathcal{O}_X$ . **Yang: to be completed.**

**Definition 27.** Let  $X$  be an algebraic space and  $Y$  a closed subset of  $X$ . A *modification* of  $X$  along  $Y$  is a proper morphism  $f : X' \rightarrow X$  and a closed subset  $Y' \subset X'$  such that  $X' \setminus Y' \rightarrow X \setminus Y$  is an isomorphism and  $f^{-1}(Y) = Y'$ .

**Theorem 28** (ref. [Art70, Theorem 3.1]). Let  $Y'$  be a closed subset of an algebraic space  $X'$  of finite type over  $\mathbb{k}$ . Let  $\mathfrak{X}'$  be the formal completion of  $X'$  along  $Y'$ . Suppose that there is a formal modification  $\mathfrak{f} : \mathfrak{X}' \rightarrow \mathfrak{X}$ . Then there is a unique modification

$$f : X' \rightarrow X, \quad Y \subset X$$

such that the formal completion of  $X$  along  $Y$  is isomorphic to  $\mathfrak{X}$  and the induced morphism  $\mathfrak{X}' \rightarrow \mathfrak{X}$  is isomorphic to  $\mathfrak{f}$ .

**Theorem 29** (ref. [Art70, Theorem 6.2]). Let  $\mathfrak{X}'$  be a formal algebraic space and  $Y' = V(\mathcal{I}')$  with  $\mathcal{I}'$  the defining ideal sheaf of  $\mathfrak{X}'$ . Let  $f : Y' \rightarrow Y$  be a proper morphism. Suppose that

(a) for every coherent sheaf  $\mathcal{F}$  on  $\mathfrak{X}'$ , we have

$$R^1 f_* \mathcal{I}'^n \mathcal{F} / \mathcal{I}'^{n+1} \mathcal{F} = 0, \quad \forall n \gg 0;$$

(b) for every  $n$ , the homomorphism

$$f_*(\mathcal{O}_{\mathfrak{X}'} / \mathcal{I}'^n) \otimes_{f_* \mathcal{O}_{Y'}} \mathcal{O}_Y \rightarrow \mathcal{O}_Y$$

is surjective.

Then there exists a modification  $\mathfrak{f} : \mathfrak{X}' \rightarrow \mathfrak{X}$  and a defining ideal sheaf  $\mathcal{I}$  of  $\mathfrak{X}$  such that  $V(\mathcal{I}) = Y$  and  $\mathfrak{f}$  induces  $f$  on  $Y$ .

**Theorem 30** (ref. [Art70, Theorem 6.1]). Let  $Y'$  be a closed algebraic subspace of an algebraic space  $X'$  and  $f_0 : Y' \rightarrow Y$  a finite morphism. Then there exists a modification  $f : X' \rightarrow X$  whose

restriction to  $Y'$  is  $f_0$ . It is the amalgamated sum  $X = X' \sqcup_{Y'} Y$  in the category of algebraic spaces  $\mathbf{AlgSp}$ .

**Example 31.** Let  $X = \mathbb{A}^2 = \operatorname{Spec} \mathbb{k}[x, y]$  and  $Y = V(y)$  be the  $x$ -axis. Let  $f_0 : Y' = \mathbb{A}^1 \rightarrow Y, x \mapsto x^2$ . Then there exists a modification  $f : X' \rightarrow X$  such that the restriction  $f|_{Y'} : Y' \rightarrow Y$  is  $f_0$ . **Yang: To be completed.**

### 3 A sufficient and necessary condition for EWM

In this and next subsection, we assume that all schemes (algebraic spaces) are of finite type over a field  $\mathbb{k}$  with characteristic  $p > 0$ .

**Lemma 32.** Let  $f : X \rightarrow Y$  be a finite morphism of algebraic space which is of finite type over  $\mathbb{k}$ . Suppose that  $f$  is a universal homeomorphism. Then there exists  $q = p^n$  such that the relative Frobenius morphism  $\operatorname{Frob}_{X/\mathbb{k}}^n$  factors as

$$\operatorname{Frob}_{X/\mathbb{k}}^n : X \xrightarrow{f} Y \rightarrow X^{(q)}.$$

*Proof.* **Yang: I can only prove this for schemes.** Suppose that  $X, Y$  are affine. Factor it as  $A \twoheadrightarrow B \hookrightarrow C$  with  $A, B, C$   $\mathbb{k}$ -algebras.

For  $A \twoheadrightarrow B$ , let  $I$  be the kernel of the surjection. Since  $\operatorname{Spec} B \rightarrow \operatorname{Spec} A$  is finite universal homeomorphism, we have that  $I$  is a nilpotent ideal. Hence there exists  $q$  such that  $I^q = 0$ . Let  $a, a' \in A$  with the same image  $b$  in  $B$ . Then we have  $a^q - a'^q \in I^q = 0$ . Hence  $a^q = a'^q$  in  $A$ . This gives a map  $B^q \rightarrow A, b^q \mapsto a^q$ .

For  $B \hookrightarrow C$ , we induct on the dimension. If  $C$  is artinian, then  $0 = C^q \subset B \subset C$ . In general case, this shows that  $B \cdot C^{q_1} \subset C$  is an isomorphism at generic points. Let  $I := \operatorname{Ann}(B \cdot C^q/B) \subset B$ . This is the conductor of extension  $B \cdot C^{q_1} \subset C$ , whence also an ideal of  $B \cdot C^{q_1}$ . To see this, for every  $x \in B \cdot C^{q_1}$ ,  $b \in I$ , we have  $xbB \cdot C^{q_1} = bB \cdot C^{q_1} \subset B$ . By induction hypothesis, we have  $(BC^{q_1}/I)^{q_2} \subset B/I$ . For  $x \in BC^{q_1}$ , there exists  $b \in B$  and  $\delta \in I \subset B$  such that  $x^{q_2} = b + \delta \in B$ . Hence we have  $(BC^{q_1})^{q_2} \subset B$ . In particular, we have  $C^{q_1 q_2} \subset (B \cdot C^{q_1})^{q_2} \subset B$ .

In general case, we have

$$\begin{array}{ccccc} C^{q_1 q_2} & \longrightarrow & A' & \twoheadrightarrow & C^{q_1} \\ & & \downarrow & & \downarrow \\ & & A & \twoheadrightarrow & B \hookrightarrow C \end{array},$$

where  $A'$  is the preimage of  $C^{q_1}$  in  $A$ . One we have  $C^q \rightarrow A \rightarrow C$ , note that  $A \rightarrow C$  is over  $\mathbb{k}$ , then it gives

$$C^q \rightarrow C^{(q)} \rightarrow A \rightarrow C.$$

□

**Corollary 33.** Let  $Z \rightarrow X$  be a finite universal homeomorphism of algebraic spaces and  $Z \rightarrow Y$  any finite morphism of algebraic spaces. Suppose that  $X, Y, Z$  are all of finite type over  $\mathbb{k}$ . Then the amalgamated sum  $X \amalg_Z Y$  exists in the category of algebraic spaces. Moreover,  $Y \rightarrow X \amalg_Z Y$  is a finite universal homeomorphism.

*Proof.* By Lemma 32, we have a diagram

$$\begin{array}{ccc} Y^{(q)} & \longleftarrow & Y \\ \uparrow & & \uparrow \\ Z^{(q)} & & g \\ \uparrow & & \downarrow \\ X & \xleftarrow{f} & Z \end{array} .$$

Denote  $X \rightarrow Y^{(q)}$  by  $f$ . Let

$$\mathcal{A} := \text{Ker}(\mathcal{O}_X \times \mathcal{O}_Y \rightarrow \mathcal{O}_Z, \quad (s, t) \mapsto f^*s - g^*t).$$

Then  $\mathcal{A}$  is an  $\mathcal{O}_{Y^{(q)}}$ -algebra. Set  $W := \text{Spec}_{Y^{(q)}} \mathcal{A}$ . Then  $W = X \amalg_Z Y$  is the amalgamated sum in the category of algebraic spaces. **Yang: The most important point is that  $Z \rightarrow W$  is finite. Yang: At least in the cat of schemes.**  $\square$

**Proposition 34.** Let  $g : X' \rightarrow X$  be a proper, finite universal homeomorphism between algebraic spaces. Then a line bundle  $\mathcal{L}$  on  $X$  is endowed with a map if and only if  $g^*\mathcal{L}$  is endowed with a map.

*Proof.* Let  $f : X' \rightarrow Z$  be the map endowed on  $g^*\mathcal{L}$ . By Lemma 32, we have a commutative diagram

$$\begin{array}{ccc} X' & \xrightarrow{g} & X \\ \downarrow f & & \downarrow \\ Z & \longrightarrow & Z^{(q)} \end{array} .$$

Easy to check that  $X \rightarrow Z^{(q)}$  is a map associated to  $\mathcal{L}$ .  $\square$

**Proposition 35.** Let  $X$  be a projective scheme and  $\mathcal{L}$  a nef line bundle on  $X$ . Assume that  $X = X_1 \cup X_2$  for closed subsets  $X_1$  and  $X_2$ . Suppose that  $\mathcal{L}|_{X_i}$  is endowed with a fibration  $g_i : X_i \rightarrow Z_i$  for  $i = 1, 2$ . Then  $\mathcal{L}$  is endowed with a map  $g : X \rightarrow Z$ .

*Proof.* Let  $X_{12} := X_1 \cap X_2$ . Let  $X_{12} \rightarrow Z_{12}$  be the Stein factorization of the map  $g_1|_{X_{12}}$ . Then by **Yang: Rigidity Lemma**, it is also the Stein factorization of the map  $g_2|_{X_{12}}$ . Denote  $Y_i$  be the image



of  $Z_{12}$  in  $Z_i$  for  $i = 1, 2$ . Then we have a commutative diagram

$$\begin{array}{ccccc}
 & & Z_1 & & \\
 & & \uparrow & \swarrow h' & \\
 & X & \longleftarrow X_1 & & Y_1 \\
 & \uparrow & \uparrow & & \uparrow h \\
 Z_2 & \longleftarrow X_2 & \longleftarrow X_{12} & & \\
 & \searrow f & & \searrow & \\
 & Y_2 & \longleftarrow & Z_{12} & 
 \end{array}$$

Consider the sub-diagram

$$\begin{array}{ccc}
 & Z_1 & \\
 & \uparrow h' & \\
 & Y_1 & \\
 & \uparrow h & \\
 Z_2 & \xleftarrow{f} & Z_{12}
 \end{array}$$

Here  $f$  is finite,  $h$  is finite universal homeomorphism and  $h'$  is a closed immersion. By [Corollary 33](#), we have the amalgamated sum  $Z' := Y_1 \amalg_{Z_{12}} Z_2$  exists in the category of algebraic spaces. Since  $f$  is finite, so is the induced morphism  $Y_1 \rightarrow Z'$ . Then by [Theorem 30](#), the amalgamated sum  $Z := Z' \amalg_{Y_1} Z_1$  exists in the category of algebraic spaces.

Then we have a commutative diagram

$$\begin{array}{ccccc}
 Z & \xleftarrow{\quad} & & Z_1 & \\
 \uparrow & \swarrow g & & \uparrow & \\
 & X & \xleftarrow{\quad} & X_1 & \\
 \uparrow & \uparrow & & \uparrow & \\
 Z_2 & \xleftarrow{\quad} & X_2 & \xleftarrow{\quad} & X_{12}
 \end{array}$$

Directly check shows that  $g$  is a map associated to  $\mathcal{L}$ . □

**Proposition 36.** Let  $X$  be a projective scheme and  $D$  a nef and big divisor on  $X$ . Then we can write  $D = A + E$  where  $A$  is an ample divisor and  $E$  is an effective divisor. Then  $D$  is endowed with a map iff  $D|_{E_{red}}$  is endowed with a map.

*Proof.* By [Proposition 34](#), we may assume that  $D|_E$  is endowed with a map  $f : E \rightarrow Z$ . Let  $\mathcal{L} = \mathcal{O}_X(-E)$  be the ideal sheaf of  $E$ . note that  $-E = A - D$  and  $D$  is  $f$ -numerically trivial. Hence  $\mathcal{L}|_E$  is  $f$ -ample. By Serre's vanishing, for every coherent sheaf  $\mathcal{F}$  on  $X$ , there exists  $n_0 \in \mathbb{m}$  such that for all  $n \geq n_0$ , we have

$$R^i f_* \mathcal{F}|_E \otimes \mathcal{L}|_E^{\otimes n} = 0$$

for all  $i > 0$ . In particular, let  $n \in \mathbb{Z}$  such that  $R^i f_* \mathcal{O}_X / \mathcal{L} \otimes \mathcal{L}^{\otimes m} = 0$  for all  $i > 0, m \geq n$ . Set

$\mathcal{I} := \mathcal{L}^{\otimes n}$ . Then by the exact sequence

$$0 \rightarrow \mathcal{L}^{n-1} \otimes \mathcal{O}_X/\mathcal{L} \rightarrow \mathcal{O}_X/\mathcal{L}^n \rightarrow \mathcal{O}_X/\mathcal{L} \rightarrow 0,$$

we have that  $R^i f_*(\mathcal{O}_X/\mathcal{I} \otimes \mathcal{I}^t) = 0$  for all  $i > 0, t \geq 1$ . This implies that  $f_*\mathcal{O}_X/\mathcal{I}^t \rightarrow f_*\mathcal{O}_X/\mathcal{I}$  is surjective for all  $t \geq 1$ .

Let

$$\begin{aligned}\mathcal{A} &:= \mathcal{O}_X \oplus \mathcal{I}T \oplus \mathcal{I}^2T^2 \oplus \dots, \\ \mathcal{M} &:= \mathcal{F} \oplus \mathcal{I}\mathcal{F}T \oplus \mathcal{I}^2\mathcal{F}T^2 \oplus \dots,\end{aligned}$$

where  $T$  is a formal variable to denote the grading. Then  $\mathcal{A}$  is a graded  $\mathcal{O}_X$ -algebra of finite type and  $\mathcal{M}$  is a finite graded  $\mathcal{A}$ -module. We have an exact sequence of graded  $\mathcal{A}$ -modules

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{M} \otimes_{\mathcal{A}} \mathcal{I}T \rightarrow \mathcal{M} \rightarrow 0,$$

where  $\mathcal{K} = \bigoplus \mathcal{K}_r T^r$  is a finite graded  $\mathcal{A}$ -module. Hence for  $r \gg 1$ , we have that  $\mathcal{I}T \cdot \mathcal{K}_r T^r = \mathcal{K}_{r+1} T^{r+1}$ . It implies that the image of  $\mathcal{K}_{r+1} T^{r+1} \rightarrow \mathcal{M}_r T^r \otimes_{\mathcal{A}} \mathcal{I}T$  is contained in  $\mathcal{I}\mathcal{M}_r$  for all  $r \gg 1$ . Tensor with  $\mathcal{A} \otimes_{\mathcal{O}_X} \mathcal{O}_X/\mathcal{I}$ , we have that

$$\mathcal{K}_{r+1} \otimes_{\mathcal{O}_X} \mathcal{O}_X/\mathcal{I} \rightarrow 0 \rightarrow \mathcal{M}_r \otimes_{\mathcal{O}_X} \mathcal{I} \otimes_{\mathcal{O}_X} \mathcal{O}_X/\mathcal{I} \rightarrow \mathcal{M}_{r+1} \otimes_{\mathcal{O}_X} \mathcal{O}_X/\mathcal{I} \rightarrow 0.$$

That is,  $\mathcal{I}^r \mathcal{F} / \mathcal{I}^{r+1} \mathcal{F} \otimes_{\mathcal{O}_X/\mathcal{I}} \mathcal{I} / \mathcal{I}^2 \cong \mathcal{I}^{r+1} \mathcal{F} / \mathcal{I}^{r+2} \mathcal{F}$  for all  $r \gg 1$ . Hence we have that

$$R^i f_*(\mathcal{I}^{r-1} \mathcal{F} / \mathcal{I}^r \mathcal{F}) = 0$$

for all  $i > 0, r \gg 1$ .

Let  $E' := V(\mathcal{I})$ , we have that  $D|_{E'}$  is endowed with a map  $f' : E' \rightarrow Z'$  by [Proposition 34](#). Moreover, we have a commutative diagram

$$\begin{array}{ccc} E & \xrightarrow{f} & Z \\ \downarrow & & \downarrow g \\ E' & \xrightarrow{f'} & Z' \end{array}$$

with  $g$  finite. Then by Grothendieck Spectral Sequence, we have that

$$R^i f'_*(\mathcal{I}^{r-1} \mathcal{F} / \mathcal{I}^r \mathcal{F}) = 0$$

for all  $i > 0, r \gg 1$ .

Then we can apply [Theorems 28](#) and [29](#) to get a modification  $X \rightarrow Y$ . Note that  $\text{Exc } D \subset \text{Supp } E$ . It follows that  $X \rightarrow Y$  is a map associated to  $D$ . □

**Theorem 37.** Let  $X$  be a proper variety and  $\mathcal{L}$  a nef line bundle on  $X$ . Then  $\mathcal{L}$  is endowed with a map if and only if  $\mathcal{L}|_{\text{Exc } \mathcal{L}}$  is endowed with a map.

*Proof.* By [Proposition 35](#), we can assume that  $\mathcal{L}$  is big. Then the result follows from [Proposition 36](#) and induction on dimension.  $\square$

## 4 For semiample

**Lemma 38.** Let  $X$  be a projective scheme over  $\mathbb{k} = \overline{\mathbb{F}_p}$ . Then  $\mathcal{L}$  is numerically trivial if and only if  $\mathcal{L}$  is torsion in  $\text{Pic}(X)$ .

*Proof.* Let  $T$  be the scheme in [Theorem 2](#). Then  $\mathcal{L}$  corresponds to a  $\mathbb{F}_q$ -point of  $T$ . Note that there are only finitely many  $\mathbb{F}_q$ -points in  $T$ . Hence  $\mathcal{L}$  is torsion in  $\text{Pic}(X)$ .  $\square$

**Proposition 39.** Let  $f : X \rightarrow Y$  be a finite universal homeomorphism between algebraic spaces of finite type over  $\mathbb{k}$  and  $\mathcal{L}$  a line bundle on  $Y$ . Then there exists  $q = p^n$  such that

- (a) for every section  $s \in H^0(X, f^*\mathcal{L})$ , we have  $s^q \in \text{Im}(H^0(Y, \mathcal{L}^{\otimes q}) \rightarrow H^0(X, f^*\mathcal{L}^{\otimes q}))$ ;
- (b)  $\mathcal{L}$  is semiample if and only if  $f^*\mathcal{L}$  is semiample;
- (c) the map

$$f^* : \text{Pic}(Y) \otimes \mathbb{Z}[1/q] \rightarrow \text{Pic}(X) \otimes \mathbb{Z}[1/q]$$

is an isomorphism;

- (d) if  $f^*s_1 = f^*s_2$  for two sections  $s_1, s_2 \in H^0(Y, \mathcal{L})$ , then  $s_1^q = s_2^q$  in  $H^0(X, \mathcal{L}^{\otimes q})$ .

*Proof.* Note that  $\text{Frob}^*\mathcal{L} \cong \mathcal{L}^{\otimes p}$ . Then all the properties follows from [Lemma 32](#).  $\square$

**Proposition 40.** Let  $X$  be a projective scheme and  $\mathcal{L}$  a nef line bundle on  $X$ . Assume that  $X = X_1 \cup X_2$  for closed subsets  $X_1$  and  $X_2$ . Suppose that  $\mathcal{L}|_{X_i}$  is semiample for  $i = 1, 2$ . Then  $\mathcal{L}$  is semiample.

*Proof.* **Yang: To be learned.**  $\square$

**Lemma 41.** Let  $f : X \rightarrow Y$  be a proper map between algebraic spaces with  $f_*\mathcal{O}_X = \mathcal{O}_Y$  and  $\mathcal{L}$  a line bundle on  $X$ . Let  $D = V(\mathcal{I}) \subset X$  be a closed subspace defined by an ideal sheaf  $\mathcal{I}$ ,  $Z = f(D)$  and  $D_k := V(\mathcal{I}^k)$ . Suppose that  $f$  is a modification with respect to  $D, Z$  and  $R^1f_*\mathcal{I}^k/\mathcal{I}^{k+1} = 0$  for all  $k \gg 0$ . Suppose for every  $k$ , there exists  $r > 0$  such that  $\mathcal{L}^{\otimes r}|_{D_k}$  is pulled back from  $f(D_k)$ . Then  $\mathcal{L}^{\otimes r}$  is pulled back from  $Y$  for some  $r > 0$ .

*Proof.* Replace  $D$  by  $D_k$  and  $\mathcal{L}$  by  $\mathcal{L}^{\otimes r}$  for some  $k, r > 0$ , we can assume that  $R^1f_*\mathcal{I}^k/\mathcal{I}^{k+1} = 0$  for all  $k$  and  $\mathcal{L}|_D$  is pulled back from  $f(D)$ . Then we show that  $f_*\mathcal{L}$  is a line bundle and  $f^*f_*\mathcal{L} \cong \mathcal{L}$ . Both of them are local, so we can assume that  $X = \text{Spec } B, Z = \text{Spec } A$  are spectrum of local rings. Hence  $\mathcal{L}|_{D_k}$  is trivial for all  $k$ . By vanishing of  $R^1f_*\mathcal{I}^k/\mathcal{I}^{k+1}$ , we have a surjection  $H^0(D_{k+1}, \mathcal{L}|_{D_{k+1}}) \twoheadrightarrow H^0(D_k, \mathcal{L}|_{D_k})$  for all  $k$ . This allow us to choose a section  $s_k \in H^0(D_k, \mathcal{L}|_{D_k})$  such that  $s_k = s_{k+1}|_{D_k}$  for all  $k$ . Then we have a section  $s \in H^0(D, \mathcal{L}|_D)$  such that  $s|_{D_k} = s_k$  for all  $k$ . By Nakayama's

Lemma, we can assume that  $s_k$  is nowhere vanishing. **Yang: To be completed.** □

**Proposition 42.** Let  $X$  be a projective scheme and  $D$  a nef and big divisor on  $X$ . Then we can write  $D = A + E$  where  $A$  is an ample divisor and  $E$  is an effective divisor. Then  $D$  is semiample iff  $D|_{E_{\text{red}}}$  is semiample.

*Proof.* **Yang: To be completed.** □

**Theorem 43.** Let  $X$  be a proper variety and  $\mathcal{L}$  a nef line bundle on  $X$ . Then  $\mathcal{L}$  is semiample if and only if  $\mathcal{L}|_{\text{Exc } \mathcal{L}}$  is semiample.

*Proof.* **Yang: To be completed.** □

## 5 Basepoint free theorem on positive characteristic

**Proposition 44** (ref. **Yang:** ). Let  $T \subset X$  be a reduced Weil divisor on a normal variety  $X$ . Let  $T^\nu \rightarrow T$  be the normalization,  $C \subset T^\nu$  the effective Weil divisor defined by the conductor and  $p : T^\nu \rightarrow T \hookrightarrow X$  the composition. Suppose that  $K_X + T$  is  $\mathbb{Q}$ -Cartier. Then there exists an effective  $\mathbb{Q}$ -Weil divisor  $D$  on  $T^\nu$  such that

$$K_{T^\nu} + C + D = p^*(K_X + T).$$

**Theorem 45.** Let  $X$  be a normal projective  $\mathbb{Q}$ -factorial threefold and  $B \in (0, 1)$  a  $\mathbb{Q}$ -divisor. Let  $\mathcal{L}$  be a nef and big line bundle on  $X$  such that  $\mathcal{L} - K_{(X,B)}$  is nef and big. Then  $\mathcal{L}$  is endowed with a map. Moreover, if  $\mathbb{k} = \overline{\mathbb{F}_p}$ ,  $\mathcal{L}$  is semiample.

*Proof.* Let  $\mathcal{L} = \mathcal{O}_X(A+E)$  with  $A$  an ample divisor and  $E$  an effective divisor. Write  $E = E_0 + E_1 + E_2$  such that the restriction of  $\mathcal{L}$  to every irreducible component of  $E_i$  is of numerical dimension  $i$ . Let  $S := \text{Supp } E_1$  and  $S = \sum S_i$  with  $S_i$  irreducible components. Let  $S^\nu \rightarrow S$  and  $S_i^\nu \rightarrow S_i$  be the normalizations.

**Step 1.** Reduce to show that  $\mathcal{L}|_S$  is endowed with a map (semiample).

**Yang: To be completed.**

**Step 2.** Reduce to show that  $\mathcal{L}|_{S_i^\nu}$  is endowed with a map (semiample).

**Yang: To be completed.**

**Step 3.** Show that  $\mathcal{L}|_{S_i^\nu}$  is endowed with a map (semiample).

**Yang: To be completed.** □

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