

# Dynamical Iitaka Theory on Fano Contractions

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# Outline

1 Kawaguchi-Silverman Conjecture

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3 Dynamical Iitaka Theory

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# Algebraic Dynamics

We work over  $\overline{\mathbb{Q}}$ . The fundamental objects in algebraic dynamics are  $(X, f)$ , where  $X$  is a projective variety and  $f : X \dashrightarrow X$  is a dominant rational self-map. Here we focus on the case  $f$  is a surjective endomorphism.

# Dynamical degree

## Definition (First dynamical degree)

Consider a projective variety  $X$  and a surjective endomorphism  $f : X \rightarrow X$ . The *first dynamical degree*  $\delta_f$  of  $f$  is defined to be the following limit

$$\delta_f := \lim_{n \rightarrow \infty} ((f^n)^* H \cdot H^{\dim X - 1})^{1/n} \in \mathbb{R}_{\geq 1},$$

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It is also known that the first dynamical degree  $\delta_f$  coincides with the spectral radius of the induced linear operation  $f^*|_{N^1(X)}$ .

# Height function

Recall that for every line bundle  $\mathcal{L}$ , there is a height function  $h_{\mathcal{L}}: X(\overline{\mathbb{Q}}) \rightarrow \mathbb{R}$  associated to  $\mathcal{L}$ , which measures the arithmetic complexity of  $\overline{\mathbb{Q}}$ -points and is unique up to a bounded function.

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For example, if  $X = \mathbb{P}^n$ ,  $\mathcal{L} = \mathcal{O}_{\mathbb{P}^n}(1)$  and

$x = [x_0 : \dots : x_n] \in X(\mathbb{Q})$  with  $x_i \in \mathbb{Z}$  and  $\gcd(x_0, \dots, x_n) = 1$ , then

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In general, if  $H$  is a very ample Cartier divisor on  $X$  associated to a closed immersion  $\varphi : X \hookrightarrow \mathbb{P}^N$ , one can define the Weil height function  $h_H$  by

$$h_H(x) = h_{\mathcal{O}_{\mathbb{P}^N}(1)}(\varphi(x)).$$

# Arithmetic degree

## Definition (Arithmetic degree)

Let  $h_H \geq 1$  be a Weil height function associated with an ample Cartier divisor  $H$ . Then for every  $x \in X(\overline{\mathbb{Q}})$ , we define the *arithmetic degree of  $f$  at  $x$*  by

$$\alpha_f(x) = \lim_{n \rightarrow \infty} h_H(f^n(x))^{1/n} \in \mathbb{R}_{\geq 1}.$$

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Due to Kawaguchi-Silverman [KS16a], the limit always exists and is also independent of the choice of the ample Cartier divisor and the Weil height function.

Moreover,  $\alpha_f(x)$  is equal to norm of an eigenvalue of  $f^*|_{N^1(X)_{\mathbb{C}}}$ . In particular,  $\alpha_f(x) \leq \delta_f$ .

# Kawaguchi-Silverman Conjecture

## Conjecture (Kawaguchi-Silverman Conjecture = KSC)

*Let  $f : X \rightarrow X$  be a surjective endomorphism of a projective variety  $X$  defined over  $\overline{\mathbb{Q}}$ . Let  $x \in X(\overline{\mathbb{Q}})$ , and suppose that the (forward) orbit  $O_f(x) = \{f^n(x) \mid n \geq 0\}$  is Zariski dense in  $X$ . Then the arithmetic degree at  $x$  is equal to the dynamical degree of  $f$ , i.e.,  $\alpha_f(x) = \delta_f$ .*

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- KSC is known for abelian varieties ([KS16b],[Sil17]) or  $f$  is polarized ([KS16b]).
- Suppose that there is an equivariant fibration  $\pi : (X, f) \dashrightarrow (Y, g)$  such that  $\delta_f = \delta_g$ . If KSC holds for  $(Y, g)$ , then KSC holds for  $(X, f)$ .

# Main Results

## Theorem

Let  $f$  be a surjective endomorphism of a smooth projective variety  $X$  admitting an extremal Fano contraction  $\pi : X \rightarrow Y$  to an abelian variety  $Y$  of positive dimension. Suppose  $f$  admits a Zariski dense orbit and  $\delta_f > \delta_{f|_Y}$ . Then the following hold.

- 1 The ramification divisor satisfies  $f^*R_f \equiv \delta_f R_f$ .
- 2 There exists an  $f$ -equivariant dominant rational map  $\varphi : X \dashrightarrow Z$ , which is the  $f$ -Iitaka fibration of  $R_f$ , such that  $0 < \dim Z < \dim X$  and  $f|_Z$  is  $\delta_f$ -polarized.

# Main Results

## Theorem

Let  $f$  be a surjective endomorphism of a smooth projective variety  $X$  admitting an  $f$ -equivariant **smooth** extremal Fano contraction  $\pi : X \rightarrow Y$  with  $\rho(Y) = 1$ . Suppose  $\delta_f > \delta_{f|_Y} = 1$ . Then the following hold.

- 1 The ramification divisor satisfies  $f^*R_f \equiv \delta_f R_f$ .
- 2 There exists an  $f$ -equivariant dominant rational map  $\varphi : X \dashrightarrow Z$ , which is the  $f$ -Iitaka fibration of  $R_f$ , such that  $0 < \dim Z < \dim X$  and  $f|_Z$  is  $\delta_f$ -polarized.

# Main Results

## Corollary

*KSC holds for any smooth projective variety  $X$  admitting an extremal Fano contraction to an abelian variety.*

## Corollary

*KSC holds for any  $\mathbb{P}^n$ -bundle over either a  $Q$ -abelian variety or a smooth projective variety of Picard number one.*

# Dynamical Iitaka fibration

## Theorem ([MZ23, Theorem 4.6])

Let  $f$  be a surjective endomorphism of a normal projective variety  $X$ . Let  $D$  be a  $\mathbb{Q}$ -Cartier divisor with  $\kappa_f(X, D) \geq 0$ . Then there is an  $f$ -equivariant dominant rational map  $\phi_{f,D} : X \dashrightarrow Y$  to a normal projective variety  $Y$  (with  $f|_Y$  a surjective endomorphism too) satisfying the following conditions.

- 1  $\dim Y = \kappa_f(X, D)$ .
- 2 Let  $\Gamma$  be the graph of  $\phi_{f,D}$ . Then the induced projection  $\Gamma \rightarrow Y$  is equi-dimensional.
- 3  $\phi_{f,D}$  is birational to the Iitaka fibration of any  $D' \in V_f(D)$  with  $\kappa(X, D') = \kappa_f(X, D)$ .

# Dynamical Iitaka dimension

## Definition (Dynamical Iitaka dimension)

Let  $f$  be a surjective endomorphism of a normal projective variety  $X$  and  $D$  a  $\mathbb{Q}$ -Cartier divisor. Denote by  $V_f(D)$  the subspace of  $\text{Pic}_{\mathbb{Q}}(X)$  spanned by  $D_i := (f^*)^i(D)$  with  $i \in \mathbb{Z}$ . Note that  $V_f(D)$  is finite dimensional (cf. [MZ22, Proposition 3.7]). We define the *dynamical  $f$ -Iitaka dimension* of  $D$  as

$$\kappa_f(X, D) := \max\{\kappa(X, D') \mid D' \in V_f(D)\}.$$

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Note that by ramification formula, we have  $R_{f^s} = \sum_{i=0}^{s-1} (f^i)^* R_f$  and hence  $\kappa_f(X, R_f) = \kappa(X, R_{f^s})$  for  $s \gg 1$ .

# Dynamical Iitaka Program for ramification divisor

We consider three situations of  $\kappa_f(X, R_f)$  and briefly introduce how the dynamical Iitaka theory works.

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- $\kappa_f(X, R_f) = 0$ . Then  $f^{-1}(\text{Supp } R_f) = \text{Supp } R_f$  and the restriction

$$f|_{X \setminus \text{Supp } R_f} : X \setminus \text{Supp } R_f \rightarrow X \setminus \text{Supp } R_f$$

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- $0 < \kappa_f(X, R_f) < \dim X$ . We then have an  $f$ -equivariant dominant rational map

$$\varphi_{f, R_f} : X \dashrightarrow Y$$

with  $0 < \dim Y = \kappa_f(X, R_f) < \dim X$ .

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- $\kappa_f(X, R_f) = \dim X$ . In this case,  $R_f^s$  is big when  $s \gg 1$ .

# Dynamical Iitaka Program for ramification divisor

## Question

Let  $f: X \rightarrow X$  be a surjective endomorphism of a smooth projective variety with  $\varphi_{f,R_f}: X \dashrightarrow Y$  the induced dynamical Iitaka fibration. Suppose  $\kappa_f(X, R_f) > 0$ . When does the equality of first dynamical degrees  $\delta_f = \delta_{f|Y}$  hold and whether  $f$  splits into  $f|_Y$  and a quasi-étale endomorphism?

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## Question ([MZ23, Question 6.9])

Let  $f: X \rightarrow X$  be a surjective endomorphism of a smooth projective variety. Suppose  $R_f$  is big. Is  $f$  int-amplified?

# Dynamical Iitaka fibration

A special case of the  $f$ -Iitaka fibration has been studied in [MZ22, Theorem 7.8].

## Theorem ([MZ23, Theorem 4.8])

*Let  $f : X \rightarrow X$  be a surjective endomorphism of a projective variety  $X$ . Let  $D$  be a  $\mathbb{Q}$ -Cartier divisor such that  $f^*D \equiv qD$  for some  $q > 1$  and  $\kappa(X, D) > 0$ . Let  $\phi_{f,D} : X \dashrightarrow Y$  be the  $f$ -Iitaka fibration of  $D$ . Then  $f|_Y$  is  $q$ -polarized.*

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By the dynamical Iitaka fibration, we hope to show that  $f^*R_f \equiv \delta_f R_f$  and  $0 < \kappa_f(X, R_f) < \dim X$  under the assumption of main theorems.

# Decomposition of cones

First we show that  $f^*R_f \equiv \delta_f R_f$ . It follows from the following decomposition of the cone.

# Decomposition of cones

## Theorem (Cone Decomposition)

Let  $\pi : X \rightarrow Y$  be an  $f$ -equivariant surjective morphism to a  $\mathbb{Q}$ -factorial normal projective variety  $Y$  with  $\rho(X) = \rho(Y) + 1$  and  $\delta_f > \delta_{f|Y}$ . Suppose further either one of the following two assumptions holds.

- 1  $\text{Nef}(Y) = \text{Psef}(Y)$ .
- 2  $X$  and  $Y$  are smooth and  $\pi$  is equi-dimensional.

Then we have the decompositions:

$$\text{Nef}(X) = \pi^* \text{Nef}(Y) \oplus \mathbb{R}_{\geq 0} D, \quad \text{Psef}(X) = \pi^* \text{Psef}(Y) \oplus \mathbb{R}_{\geq 0} D,$$

for some nef and  $\pi$ -ample Cartier divisor  $D$  with  $f^* D \equiv \delta_f D$ .

# Sketch of proof of the decomposition of cones

- By the Perron-Frobenius Theorem, there is a nef and  $\pi$ -ample Cartier divisor  $D$  such that  $f^*D \equiv \delta_f D$ . We show that the numerical dimension  $\nu(X, D) = \dim X - \dim Y$ . Since it is  $\pi$ -ample, we have  $\nu(X, D) \geq \dim X - \dim Y$ .  
If  $D^{\dim X - \dim Y + 1} \not\equiv_w 0$ , then  $\pi_* D^{\dim X - \dim Y + 1}$  will be a  $\delta_f$  eigenvector of  $f^*|_{N^1(Y)}$  by relative dynamical degree formula.  
This implies  $\delta_f = \delta_{f|_Y}$ , contradicting the assumption.

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This implies  $\delta_f = \delta_{f|_Y}$ , contradicting the assumption.
- Under the assumption that  $\text{Nef}(Y) = \text{Psef}(Y)$ . Note that  $\pi^* \text{Nef}(Y) + \mathbb{R}_{\geq 0} D$  is a closed subcone of  $\text{Nef}(X)$  and it also spans  $N^1(X)$ . Then it suffices to show  $D + \pi^* B$  is not big for any nef and non-ample  $\mathbb{R}$ -Cartier divisor  $B$  on  $Y$ . Note that  $(D + \pi^* B)^{\dim X} = 0$  because  $D^i \equiv_w 0$  for any  $i > \dim X - \dim Y$  and  $(\pi^* B)^j \equiv_w 0$  for any  $j \geq \dim Y$ .

# Proof of the decomposition of cones

- Under the assumption that  $X$  and  $Y$  are smooth and  $\pi$  is equi-dimensional.

Let  $B \in \text{Psef}(X)$  and write  $B = \pi^*B_Y + aD$ . Let  $C$  be a nef 1-cycle on  $Y$ . Note that  $\pi^*C$  is a nef  $(d+1)$ -cycle on  $X$  by the projection formula. Then  $B \cdot D^d \cdot \pi^*C \geq 0$ . So we have

$$\begin{aligned}\pi_*(B \cdot D^d \cdot \pi^*C) &= \pi_*(\pi^*(B_Y \cdot C) \cdot D^d) + \pi_*(\pi^*C \cdot D^{d+1}) \\ &= B_Y \cdot C \cdot \pi_*(D^d) \geq 0\end{aligned}$$

and hence  $B_Y$  is pseudo-effective. This gives

$$\text{Psef}(X) = \pi^* \text{Psef}(Y) + \mathbb{R}_{\geq 0} D$$

and the nef case is similar.

# Polarization of $R_f$

Then we can show that  $f^*R_f \equiv \delta_f R_f$  by the cone decomposition.

# Polarization of $R_f$

Write

$$K_X \equiv \pi^*B + aD$$

for some  $\mathbb{Q}$ -Cartier divisor  $B$  on  $Y$  and  $a < 0$ . Since  $Y$  is an abelian variety, by the Cone theorem, we can show that  $B$  is nef.

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By the ramification formula, we have

$$R_f = K_X - f^*K_X \equiv \pi^*(B - g^*B) + a(\delta_f - 1)D$$

where  $g = f|_Y$ . Then  $\Delta = B - g^*B$  is pseudo-effective by the decomposition of  $\text{Psef}(X)$ .

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where  $g = f|_Y$ . Then  $\Delta = B - g^*B$  is pseudo-effective by the decomposition of  $\text{Psef}(X)$ .

If  $\Delta \not\equiv 0$ , we can assume that  $\Delta$  is an integral Cartier divisor. Fix an ample Cartier divisor  $H$  on  $Y$ . We have

$$B \cdot H^{\dim X - 1} \geq (B - (g^{n+1})^*B) \cdot H^{\dim X - 1} = \sum_{i=0}^n (g^i)^* \Delta \cdot H^{\dim X - 1} \geq n$$

# Toric fibrations

The equation  $f^*R_f \equiv \delta_f R_f$  and  $\delta_f > \delta_{f|Y}$  imply that  $R_{fs}$  is not big for any  $s \geq 1$ . Then we have that  $\kappa_f(X, R_f) < \dim X$ . It is sufficient to show that  $\kappa_f(X, R_f) > 0$  to proof the main theorems.

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## Theorem

Let  $f$  be a surjective endomorphism of smooth projective variety  $X$ . Let  $\pi : X \rightarrow Y$  be an  $f$ -equivariant Fano fibration. Suppose there is a reduced divisor  $D$  such that  $K_X + D$  is  $\pi$ -trivial and  $f^*D = qD$  for some  $q > 1$ . Then  $(X_y, D|_{X_y})$  is a toric pair for general  $y \in Y$ .

# Sketch of proof of toric fibrations

We use the strategy of [MZ19] to prove the theorem. In fact, the theorem is a relative version of [MZ19, Theorem 1.2].

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- [BMSZ18] defines an invariant  $c(X_y, D|_{X_y})$ , called *complexity*, and show that  $(X_y, D|_{X_y})$  is a toric pair if  $c(X_y, D|_{X_y}) \leq 0$ .

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- [MZ19] gives a upper bound of  $c(X_y, D|_{X_y})$  using the sheaf of logarithmic differential:

$$c(X_y, D|_{X_y}) \leq \dim X_y + h^1(X_y, \mathcal{O}_{X_y}) - h^0(X_y, \hat{\Omega}_{X_y}^1(\log D|_{X_y})).$$

# Sketch of proof of toric fibrations

We use the strategy of [MZ19] to prove the theorem. In fact, the theorem is a relative version of [MZ19, Theorem 1.2].

- [BMSZ18] defines an invariant  $c(X_y, D|_{X_y})$ , called *complexity*, and show that  $(X_y, D|_{X_y})$  is a toric pair if  $c(X_y, D|_{X_y}) \leq 0$ .
- [MZ19] gives a upper bound of  $c(X_y, D|_{X_y})$  using the sheaf of logarithmic differential:

$$c(X_y, D|_{X_y}) \leq \dim X_y + h^1(X_y, \mathcal{O}_{X_y}) - h^0(X_y, \hat{\Omega}_{X_y}^1(\log D|_{X_y})).$$

- [GKP16] shows that under some mild condition ( $X_y$  is smooth and Fano), a reflexive and  $\mu$ -slope semi-stable coherent sheaf  $\mathcal{F}$  with vanishing intersection numbers

$$c_1(\mathcal{F}) \cdot H^{n-1} = 0, \quad c_1(\mathcal{F})^2 \cdot H^{n-2} = 0, \quad c_2(\mathcal{F}) \cdot H^{n-2} = 0$$

is trivial.

# Sketch of proof of toric fibrations

- Notice that for general  $y \in Y$ , we have

$$(f^* \hat{\Omega}_X^1(\log D))|_{X_y} \cong \hat{\Omega}_X^1(\log D)|_{X_y} \quad (1)$$

since  $f$  is étale on  $X \setminus D$ . On the other hand, we have  $f^*H \equiv_Y qH$  for  $\pi$ -ample Cartier divisor  $H$  and  $q > 1$ .

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- Then we have

$$\begin{aligned} q^d c_1(\hat{\Omega}_X^1(\log D)|_{X_y}) \cdot H|_{X_y}^{d-1} &= c_1(\hat{\Omega}_X^1(\log D)|_{X_y}) \cdot (f|_{X_y})^*H^{n-1} \\ &= c_1((f|_{X_y})^*\hat{\Omega}_X^1(\log D)|_{X_y}) \cdot f^*H|_{X_y}^{d-1} \\ &= c_1(\hat{\Omega}_X^1(\log D)|_{X_y}) \cdot (qH)|_{X_y}^{d-1} \\ &= q^{d-1} c_1(\hat{\Omega}_X^1(\log D)|_{X_y}) \cdot H|_{X_y}^{d-1}. \end{aligned}$$

This implies the vanishing intersection numbers for  
 $\hat{\Omega}_X^1(\log D)|_{X_y}$ .

# Sketch of proof of toric fibrations

- Let  $\mathcal{G} \subset \hat{\Omega}_X^1(\log D)$  be the relative maximal destabilizing subsheaf. By eq. (1), we have

$$\begin{aligned}(f^*\mathcal{G})|_{X_y} &= (f|_{X_y})^*(\mathcal{G}|_{X_{g(y)}}) \\ &\subset (f|_{X_y})^*(\hat{\Omega}_X^1(\log D)|_{X_{g(y)}}) \cong \hat{\Omega}_X^1(\log D)|_{X_y}.\end{aligned}$$

By the similar argument, we have

$$\mu_H(\mathcal{G}|_{X_y}) = \frac{c_1(\mathcal{G}|_{X_y}) \cdot H|_{X_y}^{d-1}}{\text{rank } \mathcal{G}|_{X_y}} = 0.$$

# Sketch of proof of toric fibrations

- By the fundamental exact sequence

$$0 \rightarrow \mathcal{O}_{X_y}^{\oplus m} \rightarrow \hat{\Omega}_X^1(\log D)|_{X_y} \rightarrow \hat{\Omega}_{X_y}^1(\log D|_{X_y}) \rightarrow 0,$$

we have the vanishing intersection numbers for  $\hat{\Omega}_{X_y}^1(\log D|_{X_y})$ .

For every subsheaf  $\mathcal{E} \subset \hat{\Omega}_{X_y}^1(\log D|_{X_y})$ , we can consider its preimage in  $\hat{\Omega}_X^1(\log D)|_{X_y}$ . This shows that  $\hat{\Omega}_{X_y}^1(\log D|_{X_y})$  is  $\mu$ -slope semi-stable.

# $\kappa_f(X, R_f) > 0$ and base change trick

Now we return to the proof of  $\kappa_f(X, R_f) > 0$ . We can assume that  $\kappa_f(X, R_f) = 0$  and hence  $D = \text{Supp } R_f$  is prime and  $f^{-1}(D) = D$ . By above theorem,  $D|_{X_y}$  has  $\rho(X_y) + \dim X_y$  components for general  $y \in Y$ .

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Consider the equivariant dynamics  $(D^\nu, f|_{D^\nu}) \rightarrow (Y, g)$ , where  $D^\nu$  is the normalization of  $D$ . Let  $(\hat{Y}, \hat{g}) \rightarrow (Y, g)$  be the Stein factorization of it. Let  $\hat{X} := X \times_Y \hat{Y}$ . Then we have an equivariant diagram

$$\begin{array}{ccc} \hat{f} \circlearrowleft \hat{X} & \xrightarrow{\hat{\pi}} & \hat{Y} \circlearrowleft \hat{g}. \\ p_X \downarrow & & \downarrow p_Y \\ f \circlearrowleft X & \xrightarrow{\pi} & Y \circlearrowleft g \end{array}$$

Repeating this process, we can assume that  $p_X^* D$  has  $\rho(X) + \dim X$  components.

$\kappa_f(X, R_f) > 0$  and base change trick

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Since their number are more than  $\rho(X_y)$  for general  $y \in Y$ , we can find

$$\sum a_i D_i - \sum b_j D_j \in \pi^* \text{Pic } Y, \quad a_i, b_j > 0,$$

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Since  $\delta_f > \delta_g$ , we have  $\kappa(\hat{X}, p_X^* D) > 0$ . Then

$$\kappa(X, D) = \kappa_f(\hat{X}, p_X^* D) > 0.$$

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Note that our  $g$  is étale and hence the branch divisor  $B_{p_Y}$  is  $g^{-1}$ -invariant.

However, if an endomorphism of an abelian variety has Zariski dense orbit, then it has no totally invariant prime divisor (by the fact that all periodic subvariety of an abelian variety is a translation of an abelian subvariety).