

Examples: Theta Functions and Poisson Summation

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On the one hand, there are many people who know all sorts of things about theta functions. David Mumford wrote 3 volumes. They are going to my working examples of modular forms.¹

Ex #1 Show that if $u(x)$ is a spherical harmonic of degree ℓ the generalized theta function

$$\theta(z; u) = \sum_{m \in \mathbb{Z}^3} u(m) e^{z|m|^2}$$

is a holomorphic cusp form for $\Gamma_0(4)$ of weight $3/2 + \ell$ as long as $\ell \geq 1$. This will already keep me busy for some time. The coefficients of this Fourier series are averages over the sphere:

$$\frac{1}{r_3(n)} \sum_{\xi_1^2 + \xi_2^2 + \xi_3^2 = n} u(\xi) \ll n^{-1/28}$$

Ex #2 Show Duke's bound for any exponent n^α with $\alpha < 0$. Could be $\frac{1}{28}$ or $\frac{1}{100} \dots$ Anything.

If I knew what a $\Gamma_0(4)$ holomorphic cusp form was, I might be able to credit Goro Shimura's 1973 paper. However, he has also written some recent graduate level texts with chapters on half-integer weight modular forms.

The fraction $\frac{1}{28}$ falls out of difficult calculations involving Kloosterman sums, which I am not going to cite or reproduce. Therefore, I might expect these bounds to be slightly worse – if I achieve anything at all. Instead, I will emphasize elementary² computations.

There are a few easy cases $u(\xi) = 1$ is the spherical harmonic of degree 0 – it is excluded from discussion. In fact, $\ell \geq 1$ and $n \gg 1$ should be very large. Yet:

$$\frac{1}{r_3(n)} \sum_{\xi_1^2 + \xi_2^2 + \xi_3^2 = n} 1 = 1$$

and $\xi(x, y, z) = x$ is an odd function. So that $x^2 + y^2 + z^2 = n$ should have a solution (x, y, z) and $(-x, y, z)$. Therefore, any odd function such as $u(x, y, z) = x$ should have a vanishing average.

The first non-trivial case – and we're a far cry from proving Ex #1 or Ex #2 – is $u(x) = x_1^2$ (notice $u(x) = x_1 x_2$ also vanishes). This is not to be underestimated that we can switch signs.

$$(\pm x_1)^2 + (\pm x_2)^2 + (\pm x_3)^2 = n$$

However let's not forget to try far more basic approaches such as the Hasse Principle.³

¹There is also an excellent discussion of Theta Functions and String Theory and Riemann surfaces by the Verlinde brothers, some of which is formalized by Beauville. There's even a page or two in Feynman's **Statistical Mechanics**.

²Duke and Iwaniec also felt there arguments were elementary. I am sure Duke and Shimura are correct.

³Or the mean value theorem! Let $f : \mathbb{R}^3 \rightarrow \mathbb{R}$ show that $|\frac{1}{6} \sum_{k \in \{1,2,3\}} f(x \pm dx_k) - f(x)| \ll \partial f(x)$ (this is ambiguous)

References

- (1) Emil Artin and George Whaples **Axiomatic characterization of fields by the product formula for valuations** Bull. Amer. Math. Soc. Volume 51, Number 7 (1945), 469-492.