## Theta Functions and Ford Circles

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Learn about modular forms 
$$\theta(z) = \sum q^{n^2}$$
 and  $\eta(z) = q^{1/24} \prod (1-q^n)$ 

## 1 Theta Functions and $\Gamma_0(4)$

Lagrange's theorem states an integer can be represented as the sum of three squares,  $n=a^2+b^2+c^2$  if and only if  $n \neq 4^j(8k+7)$ . This borderline case between easier statements in 2 and 4 dimensions

$$\bullet p = a^2 + b^2 \text{ iff } p = 4k + 1 \text{ (Ex. } p = 7481 \text{ or } p = 36413321723440003717)$$

ullet Every integer is the sum of 4 squares  $n=a^2+b^2+c^2+d^2$ .

While studying 3 squares, Duke uses a property of spherical harmonics, that this series is a  $\Gamma_0(4)$  modular form for all  $u \in L^2[SO(3)]$ .

$$\theta(u;z) = \sum_{n \in \mathbb{Z}} \left[ \frac{1}{r_3(n)} \sum_{\xi \in V_n} u(\xi) \right] e^{2\pi i nz}$$

Here  $V_n=\{\vec{m}: m_1^2+m_2^2+m_3^2=n\}=nS^2\cap\mathbb{Z}^3$  are the lattice points distance n from the origin. As a half-integer weight modular form, Duke knew the coefficiets decayed fairly slowly:

$$\frac{1}{r_3(n)} \sum_{\xi \in V_n} u(\xi) \ll n^{-1/28}$$

but slowly enough to show these points (eventually) equidistribute around the sphere.

Unfortunately, I had no idea what a half-integer modular form was, or why the coefficients decays so slowly, or why that was relevant.

Modular Forms textbooks start with a few examples: Eisenstein series, Poincare series, eta functions and finally one that kind of resembles our theta function:

$$\theta(z) = \sum e^{2\pi i \, n^2 z}$$

This function has an obvious symmetry,  $z\mapsto z+1$  and a less obvious one:

$$\theta\left(-\frac{1}{4z}\right) = \sqrt{-2\pi i z}\theta(z)$$

These two symmetries in conjunction, are our copy of  $\Gamma_0(4)$ . Viz:

$$\Gamma_0(4) \equiv \left\langle z \mapsto z + 1, z \mapsto -\frac{1}{4z} \right\rangle$$

The second symmetry is known as Poisson summation:

$$\sum_{n \in \mathbb{Z}} e^{\pi x^2 t} = \frac{1}{\sqrt{t}} \sum_{n \in \mathbb{Z}} e^{\pi x^2/t}$$

It's tempting to say there is  $\mathrm{SL}_2(\mathbb{Z})$  symmetry. After repeating the same mistake over and over, observe  $\langle z \mapsto -\frac{1}{z}, z \mapsto z + 2 \rangle$  is the same as  $\Gamma_0(4)$ .

 $SL(2,\mathbb{Z})$  is known to be related to continued fractions. We content ourselves with one example:

$$\pi = 3.14 = 3 + 0.14 \approx 3 + \frac{1}{7} = [3; 7] = \frac{22}{7}$$

The maps  $z \to z+1$  as well as  $z \to -\frac{1}{z}$  behave nicely with this new format:

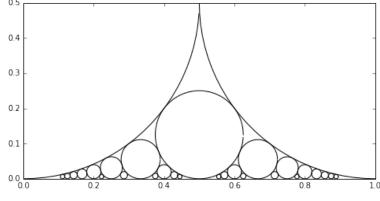
$$[a;b] + 1 = [a+b;b]$$
 and  $c + \frac{1}{[a;b]} = [c;a,b]$ 

In fact we do not have  $z \to z+1$  instead there is  $z \to z+2$ , so we cannot change the parity of our continued fraction digits. We have 6 classes:

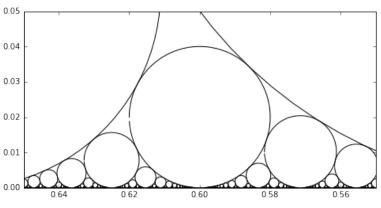
- [EVEN; EVEN]
- [EVEN; ODD], [ODD; ODD], [ODD; EVEN]

The first class always maps to itself and the second group of three turn into each other observing the rules: ODD + ODD = EVEN and ODD + EVEN = ODD

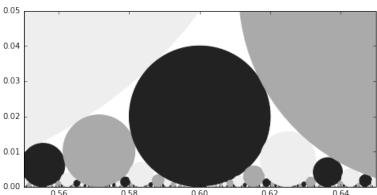
These magical rules I just made up are also found in Mumford's *Lectures* on *Theta* - which has everything one could ask.



The Ford Circles - crated by Lester Ford



It makes a different if we zoom near 0.6



In  $\Gamma_0(4)$  we use 3 or 6 colors for circles

Here's a quick way to get a  $\frac{3}{2}$ -weight  $\Gamma_0(4)$  modular form as I read in a paper by Yves Meyer. Just cube the theta function:

$$\theta(z)^3 = \left[\sum_{n \in \mathbb{Z}} q^{n^2}\right]^3 = \sum_{n \in \mathbb{Z}} r_3(n) q^{n^2}$$

And it's exactly the one we wanted. In fact, we want a slightly different one, but if we take the cube of the S-duality equation:

$$\left[\sum_{x \in \mathbb{Z}} e^{\pi x^2 t}\right]^3 = \left[\frac{1}{\sqrt{t}} \sum_{x \in \mathbb{Z}} e^{\pi x^2/t}\right]^3$$

The sum of 3-squares function returns. We add over  $n=a^2+b^2+c^2$ 

$$\sum_{n \in \mathbb{Z}} r_3(n) e^{\pi nt} = \frac{1}{t^{3/2}} \sum_{n \in \mathbb{Z}} r_3(n) e^{\pi n/t}$$

This is Poisson summation even though there are no squares.

If we try to recover the f and  $\hat{f}$  we get Guinand's formula:

$$\frac{df_x}{dt}(0) + \sum_{n \in \mathbb{N}} \frac{r_3(n)}{\sqrt{n}} f_x(\sqrt{n}) = \frac{d\hat{f}_x}{dt}(0) + \sum_{n \in \mathbb{N}} \frac{r_3(n)}{\sqrt{n}} \hat{f}_x(\sqrt{n})$$

## References

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