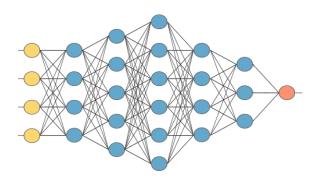
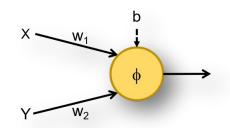


JB Fiche, CBS-Montpellier & Plateforme MARS-MRI Francesco Pedaci, CBS-Montpellier Volker Bäcker, CRBM & MRI Cédric Hassen-Khodja, CRBM & MRI

#### Recap - vocabulary





activation Function generalization overfitting gradient descent ground truth hyperparameter matplotlib neuron one-hot encoding learning rate **Backpropagation** Categorical Cross-Entropy CNN, ConvNet Dropout Keras Max-Pooling MNIST Momentum **Nonlinearity** ReLU SGD

softmax **TensorFlow** Vanishing Gradient Problem VGG16 data augmentation transfer learning epoch weights bias hidden layer batch size classification regression convolution loss function testing set validation set training set deep optimizer fully connected layer

## Goal of the training:

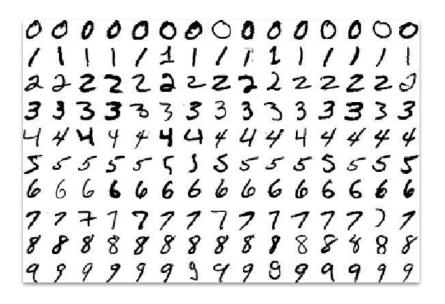
- Understand what an Artificial Neural Network (ANN) is and what are the main parameters to characterize them
- What is a Convolutional Neural Network (CNN) and why is it used for image processing
- What are the fundamentals for building and training a CNN using Keras
- Understand the most common applications and where to find the tools for your applications

#### Outline:

#### I. Example #4 :

- A. create an image classifier with a dense network
- B. Introduction to convolutional network
- II. Exercise #5: train and optimize your own convNet
- III. Real-case examples (Examples # 6 & 7)

#### Working with the MNIST database:



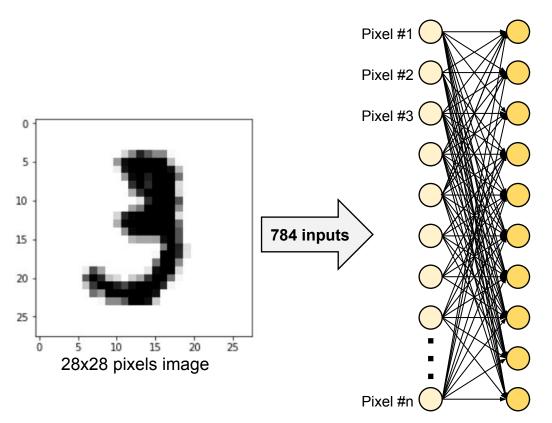
Large database of **handwritten digits** that is commonly used for machine learning.

#### It contains:

- 60.000 images for training
- 10.000 images for testing/validation

Ex4\_MNIST\_dense\_vs\_convolutional\_nn.ipynb

### Image classification with a dense network:

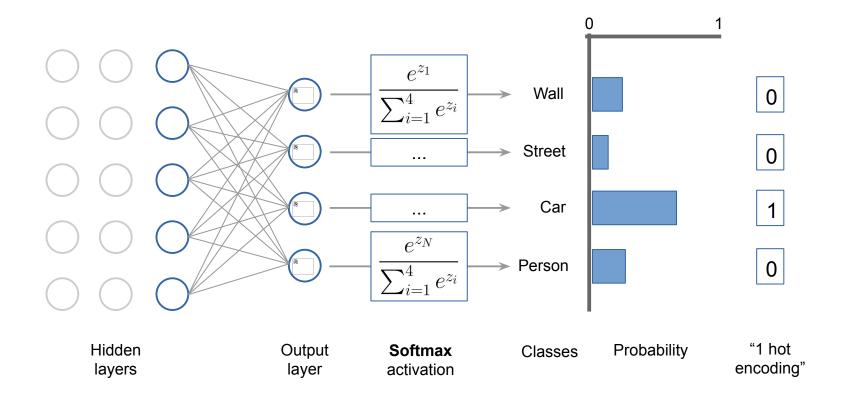


#### **Number of parameters:**

784\*10+10 = 7850

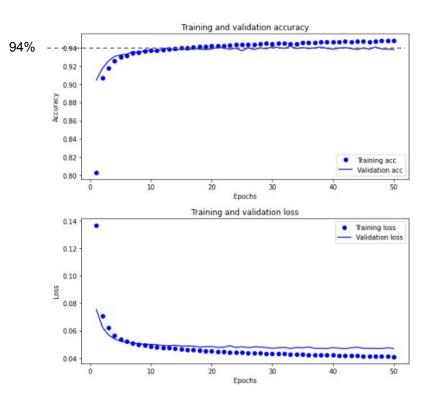
First layer 10 neurons

## Classifier with multiple classes : **softmax** activation

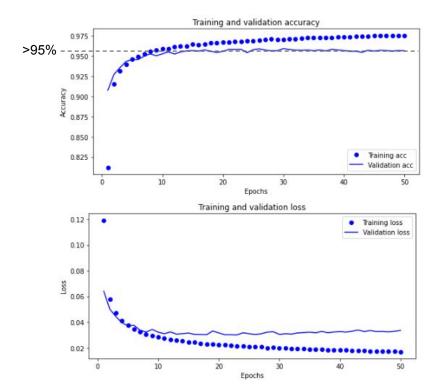


#### MNIST classification with a dense network:

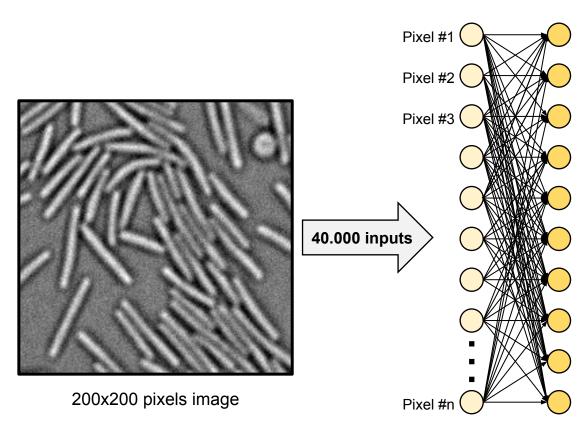
2 layers of 10 neurons: 7960 parameters



3 layers of 15 neurons: 12175 parameters



### Dense network for large images?



#### **Number of parameters:**

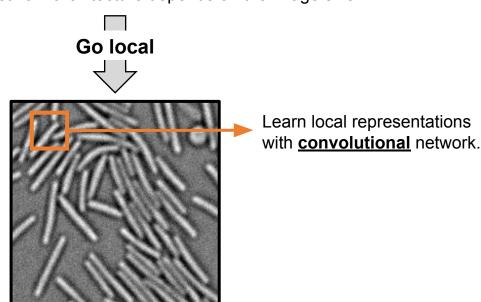
40.000\*10+10 = 400.010 (!!!)

First layer 10 neurons

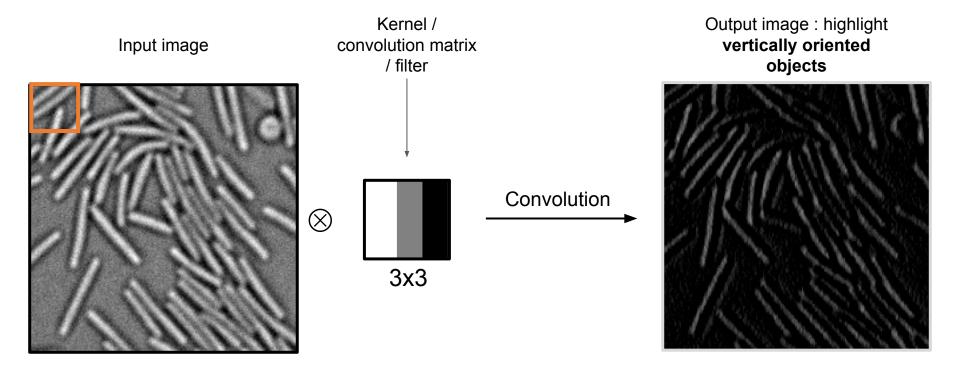
#### Image analysis with convolutional network:

Densely connected networks are **not suited** for image analysis:

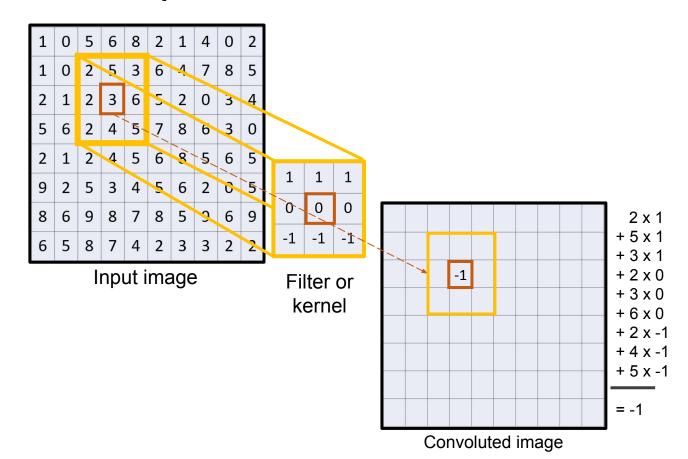
- Too many parameters, even for small images
- Loses the local information around each pixel
- The network architecture depends on the image size



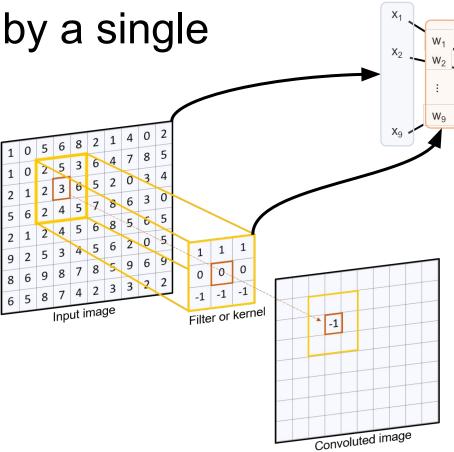
#### What is convolution?



#### Convolution operation in one scheme:

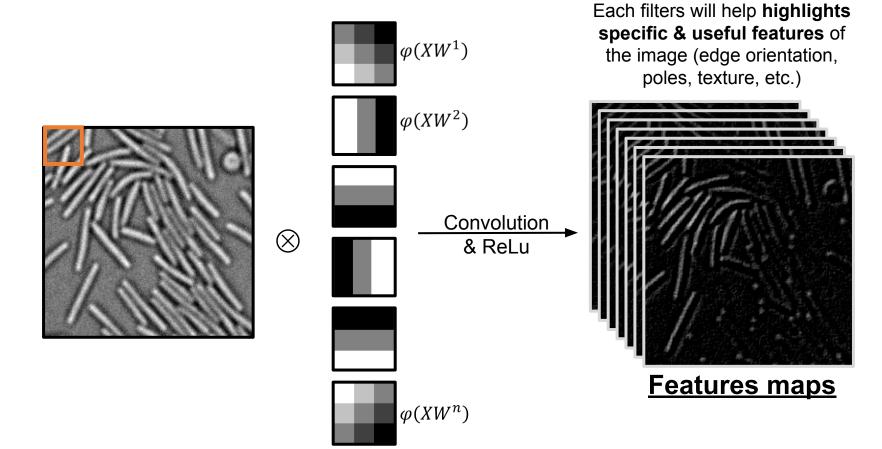


Convolution operation performed by a single neuron:



In a convolution neural network, each set of weights associated to a neuron is equivalent to a filter.

#### Features map:



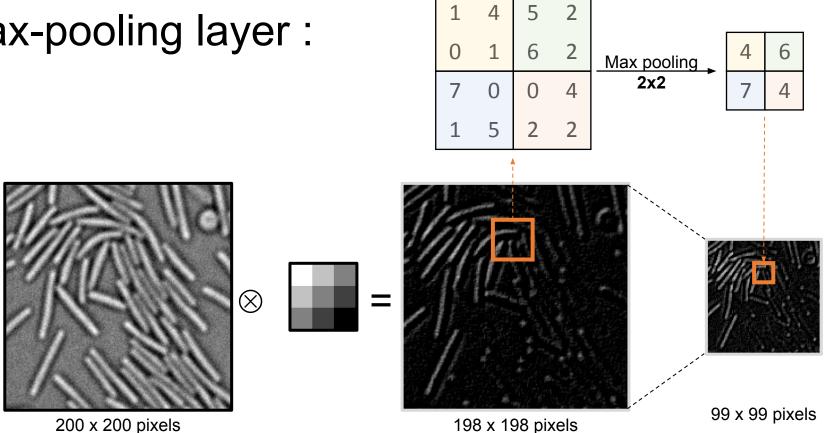
## ConvNet syntax:

```
modelCNN = Sequential([
            # Convolution Layer 1
         → Conv2D(16, (3, 3), activation='relu', input shape=(28, 28, 1)), # 16 different 3x3 kernels -- so 16 feature maps
Convolution part

    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel

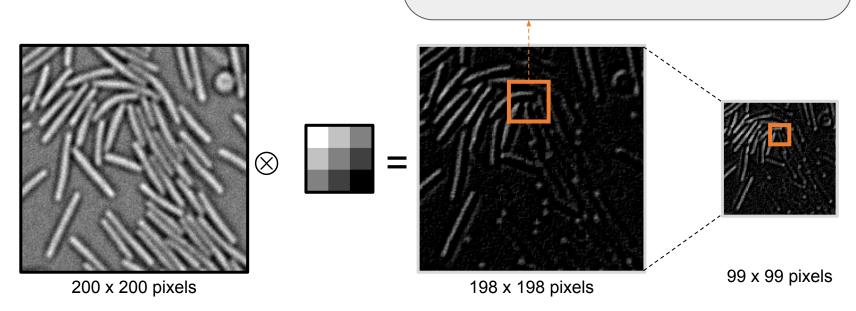
            # Convolution Layer 2
        Conv2D(16, (3, 3), activation='relu'), # 16 different 3x3 kernels
          MaxPooling2D(pool size=(2, 2)),
            # Convolution Layer 3
          Conv2D(16, (3, 3), activation='relu'), # 16 different 3x3 kernels
            Flatten(), # Flatten final 3x3x16 output matrix into a 144-length vector
part
            # Fully Connected Layer 4
         → Dense(15), # 15 FCN nodes
Dense |
            Activation('relu'),
         → Dense(10), # Necessary for the last layer since we have 10 classes
            Activation('softmax'),
        modelCNN.summary()
```

# Goal of the max-pooling layer:



# Goal of the max-pooling layer:

- Reduce the spatial resolution of the feature maps while keeping only the most relevant information
- 2. Lowering memory and computing requirements
- 3. Create translation invariance



## ConvNet syntax:

```
modelCNN = Sequential([
            # Convolution Layer 1
         → Conv2D(16, (3, 3), activation='relu', input shape=(28, 28, 1)), # 16 different 3x3 kernels -- so 16 feature maps
Convolution part

    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel

            # Convolution Layer 2
        Conv2D(16, (3, 3), activation='relu'), # 16 different 3x3 kernels
          MaxPooling2D(pool size=(2, 2)),
            # Convolution Layer 3
          Conv2D(16, (3, 3), activation='relu'), # 16 different 3x3 kernels
            Flatten(), # Flatten final 3x3x16 output matrix into a 144-length vector
part
            # Fully Connected Layer 4
         → Dense(15), # 15 FCN nodes
Dense |
            Activation('relu'),
         → Dense(10), # Necessary for the last layer since we have 10 classes
            Activation('softmax'),
        modelCNN.summary()
```

#### MNIST classification with a ConvNet:

```
modelCNN = Sequential([
   # Convolution Layer 1
   Conv2D(16, (3, 3), activation='relu', input shape=(28, 28, 1)),
   MaxPooling2D(pool size=(2, 2)),
   # Convolution Layer 2
   Conv2D(16, (3, 3), activation='relu'),
   MaxPooling2D(pool size=(2, 2)),
   # Convolution Layer 3
   Conv2D(16, (3, 3), activation='relu'),
   Flatten(),
   # Fully Connected Layer 4
   Dense(15),
   Activation('relu'),
   Dense(10),
   Activation('softmax'),
```

Model: "sequential\_2"

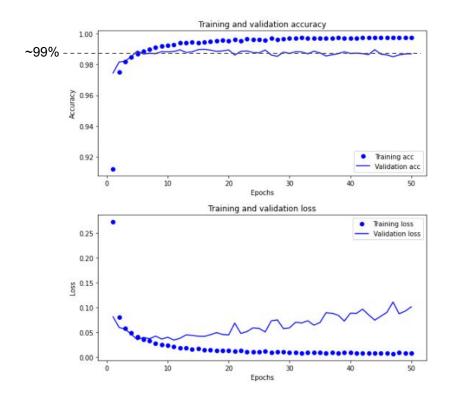
Layer (type)	Output	Shape	Param #
conv2d_6 (Conv2D)	(None,	26, 26, 16)	160
max_pooling2d_4 (MaxPooling2	(None,	13, 13, 16)	0
conv2d_7 (Conv2D)	(None,	11, 11, 16)	2320
max_pooling2d_5 (MaxPooling2	(None,	5, 5, 16)	0
conv2d_8 (Conv2D)	(None,	3, 3, 16)	2320
flatten_2 (Flatten)	(None,	144)	0
dense_4 (Dense)	(None,	15)	2175
activation_4 (Activation)	(None,	15)	0
dense_5 (Dense)	(None,	10)	160
activation 5 (Activation)	(None,	10)	0

Total params: 7,135 Trainable params: 7,135 Non-trainable params: 0

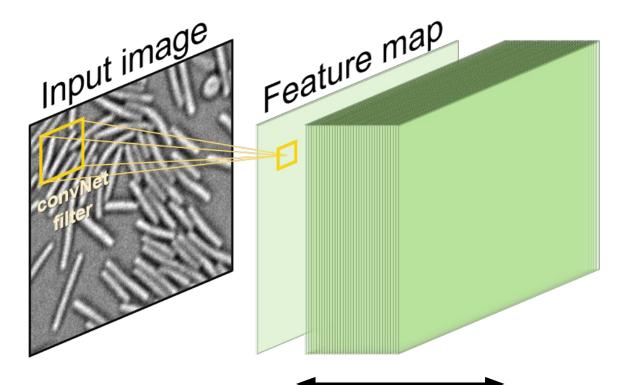
#### MNIST classification with a ConvNet:

```
modelCNN = Sequential([
    # Convolution Layer 1
    Conv2D(16, (3, 3), activation='relu', input shape=(28, 28, 1))
    MaxPooling2D(pool size=(2, 2)),
    # Convolution Layer 2
    Conv2D(16, (3, 3), activation='relu'),
    MaxPooling2D(pool size=(2, 2)),
    # Convolution Layer 3
    Conv2D(16, (3, 3), activation='relu'),
    Flatten(),
    # Fully Connected Layer 4
    Dense(15),
    Activation('relu').
    Dense(10),
    Activation('softmax'),
```

3 convolution layers of 16 kernel and 2 dense layers of 10 neurons : **7135 parameters** 

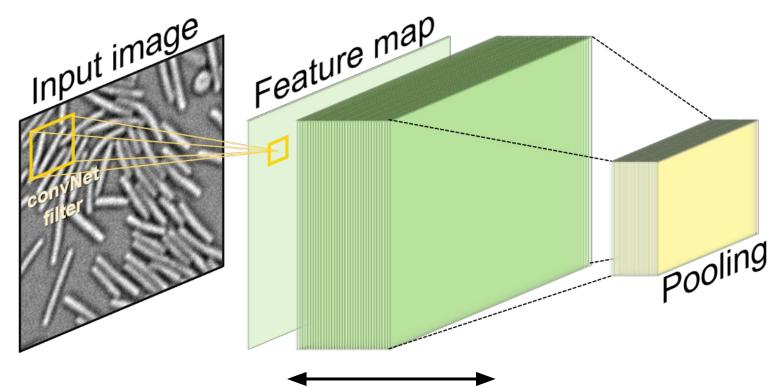


## ConvNet summary:



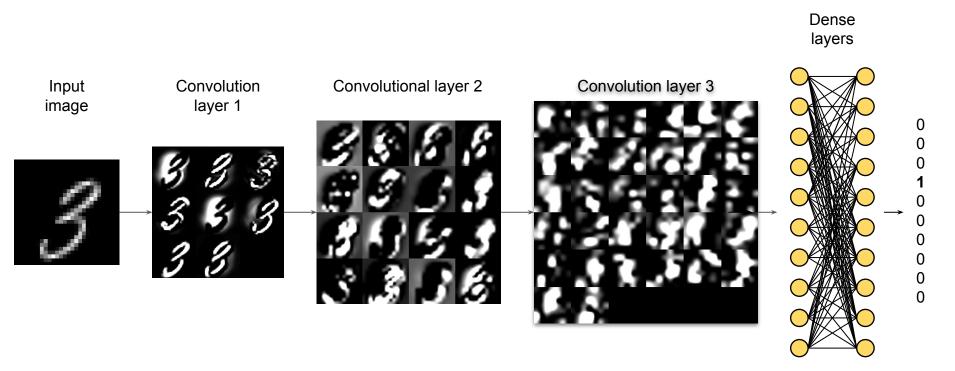
Feature maps: there is as many maps as neurons in the convolution layer

## ConvNet summary:



Feature maps: there is as many maps as neurons in the convolution layer

## ConvNet summary:



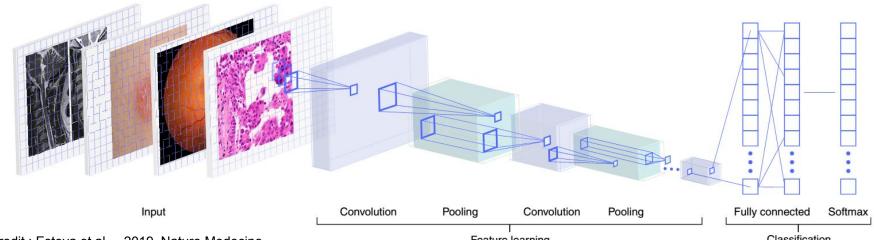
## ConvNet architecture for image classification:

The first part of the network is using convolution layers to learn the features

- **Convolution layers** → **features extraction**
- **Pooling** → **downsampling**

The second part is classifying those features using **densely connected layers** in order to predict the right output.

- Lots or parameters → heavy on the memory
- Image input size is fixed → **not flexible** 0



Pic credit: Esteva et al. – 2019. Nature Medecine

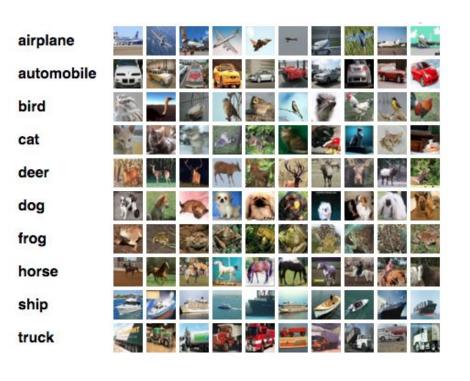
Feature learning

Classification

#### Outline:

- I. Example #4:
  - A. create an image classifier with a dense network
  - B. Introduction to convolutional network
- II. Example #5: train and optimize your own convNet
- III. Real-case examples

#### Optimize your own classifier:



The CIFAR database is a collection of **RGB** images classified into **10 classes** .

#### It contains:

- 60.000 images for training. For each class, there are 6000 images.
- 10.000 images for testing/validation

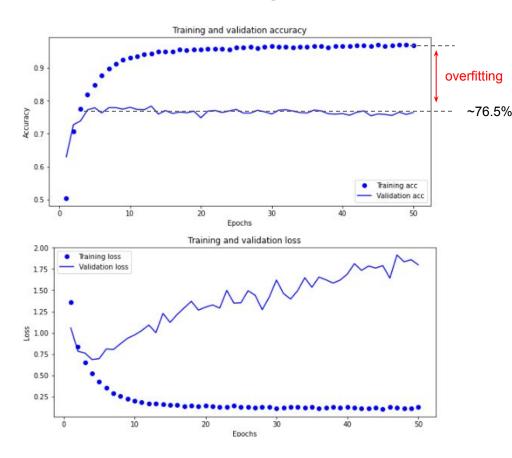
Ex5\_CIFAR\_convolutional\_nn.ipynb

### Start with a good baseline model:

A good practice is to **start working with a network architecture that is know to be efficient for your problem**. For example, the VGG16 architecture is easy to implement and well documented for image classification.

```
modelCNN = Sequential([
                               # Convolution Layer 1 &2
                               Conv2D(64, (3, 3), activation='relu', input shape=(32, 32, 3)), # 64 different 3x3 kernels
   Convolution block #1
                               Conv2D(64, (3, 3), activation='relu'),
                               MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
                               # Convolution Layer 3 &4
                               Conv2D(128, (3, 3), activation='relu', padding='same'), # 128 different 3x3 kernels
   Convolution block #2
                               Conv2D(128, (3, 3), activation='relu', padding='same'),
                               MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
                               # Convolution Layer 5 &6
                               Conv2D(256, (3, 3), activation='relu', padding='same'), # 256 different 3x3 kernels
   Convolution block #3
                               Conv2D(256, (3, 3), activation='relu', padding='same'),
                               MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
                               Flatten(), # Flatten final 3x3x128 output matrix into a 1152-length vector
                               # Fully Connected Layer 4
                               Dense(128), # 128 FCN nodes
                               Activation('relu'),
Fully connected network
                                                                              VGG1: Number of trainable
                               Dense(10),
                               Activation('softmax'),
                                                                                 parameters : 1,441,738
```

## Start with a good baseline model:



We observe that the training and validation loss & accuracy are diverging after epoch #5. The network is no longer learning new useful features.

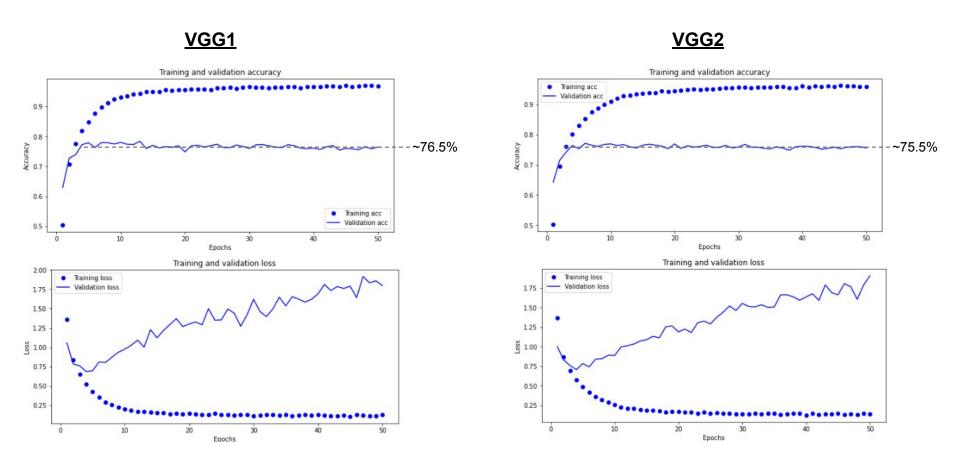
- Overfitting
- Validation loss & accuracy are noisy
- The global accuracy of the network is ~76.5%

#### Reduce the network size:

A good practice is to **start working with a network architecture that is know to be efficient for your problem**. For example, the VGG16 architecture is easy to implement and well documented for image classification.

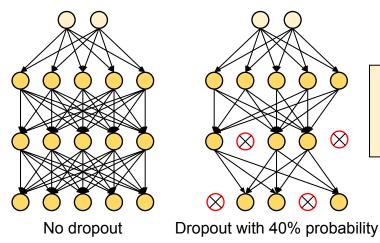
```
modelCNN = Sequential([
                              # Convolution Layer 1 &2
                              Conv2D(32, (3, 3), activation='relu', input shape=(32, 32, 3)), # 32 different 3x3 kernels
   Convolution block #1
                              Conv2D(32, (3, 3), activation='relu'),
                              MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
                              # Convolution Laver 3 &4
                              Conv2D(64, (3, 3), activation='relu', padding='same'), # 64 different 3x3 kernels
                              Conv2D(64, (3, 3), activation='relu', padding='same'),
   Convolution block #2
                              MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
                              # Convolution Layer 5 &6
                              Conv2D(128, (3, 3), activation='relu', padding='same'), # 128 different 3x3 kernels
                              Conv2D(128, (3, 3), activation='relu', padding='same'),
   Convolution block #3
                              MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
                              Flatten(), # Flatten final 3x3x128 output matrix into a 1152-length vector
                              # Fully Connected Layer 4
                              Dense(128), # 128 FCN nodes
                              Activation('relu'),
Fully connected network
                                                                              VGG2: Number of trainable
                              Dense(10),
                              Activation('softmax'),
                                                                                  parameters : 435,882
```

## Comparison VGG 1 & 2:



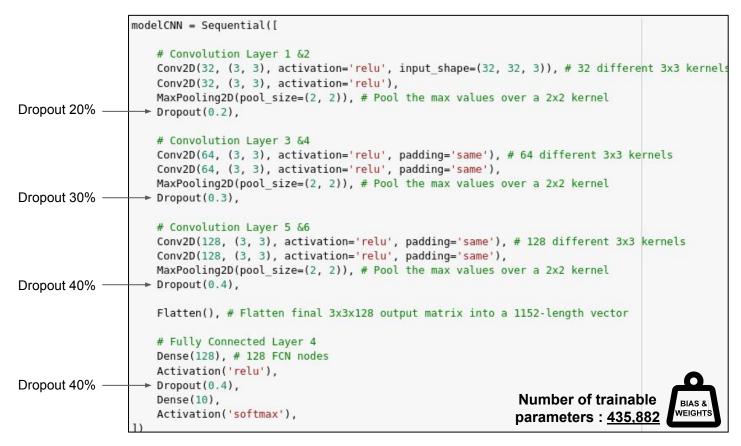
#### How to reduce overfitting?

- Reduce the size of the network ... but no magical formula to determine how many layers we need
- **Dropout**, randomly "turning-off" neurons of the network

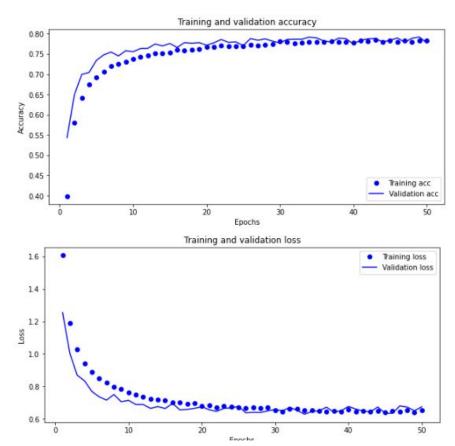


Dropout is used to avoid co-adaptation of neurons → enforce the fact that neurons should learn and work independently.

## VGG baseline and Dropout regularization:



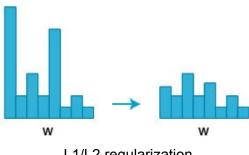
## VGG baseline and Dropout regularization:



Adding Dropout regularization helps **reducing the overfitting** and slightly **improve the performance of the network (77.7% instead of 75.5%)** 

### How to reduce overfitting?

- Reduce the size of the network ... but no magical formula to determine how many layers we need
- **Dropout**, randomly "turning-off" neurons of the network
- **Weight regularization L<sub>1</sub> & L<sub>2</sub>**, a strategy to force the weights to take only small values during the training



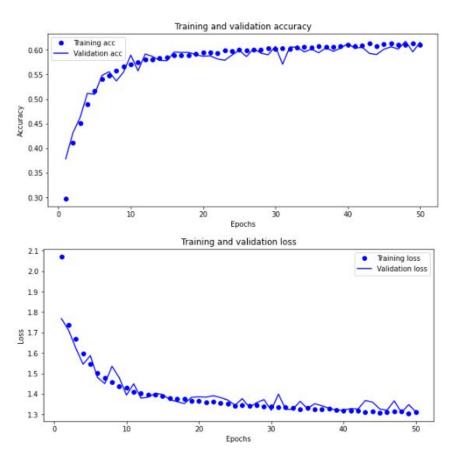
L1/L2 regularization

Pic credit: Moen et al. 2019. Nat. Meth.

### VGG baseline and L2 regularization:

```
regularizers. 12(12=1e-4)
modelCNN = Sequential([
   # Convolution Layer 1 &2
    Conv2D(32, (3, 3), activation='relu', kernel regularizer='l2', input shape=(32, 32, 3)), # 32 different 3x3 kernel
    Conv2D(32, (3, 3), activation='relu', kernel regularizer='l2'),
    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
   # Convolution Layer 3 &4
    Conv2D(64, (3, 3), activation='relu', kernel regularizer='l2', padding='same'), # 64 different 3x3 kernels
    Conv2D(64, (3, 3), activation='relu', kernel regularizer='l2', padding='same'),
    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
    # Convolution Layer 5 &6
    Conv2D(128, (3, 3), activation='relu', kernel regularizer='l2', padding='same'), # 128 different 3x3 kernels
    Conv2D(128, (3, 3), activation='relu', kernel regularizer='l2', padding='same'),
    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
    Flatten(), # Flatten final 3x3x128 output matrix into a 1152-length vector
    # Fully Connected Layer 4
    Dense(128, kernel regularizer='l2'), # 128 FCN nodes
    Activation('relu').
                                                                                   Number of trainable
    Dense(10),
                                                                                   parameters : 435,882
    Activation('softmax'),
```

## VGG baseline and L2 regularization:

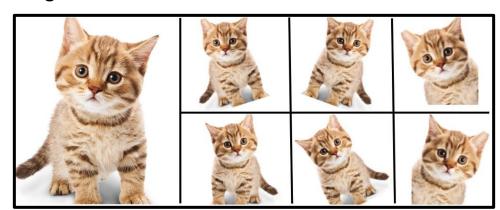


Adding L2 regularization helps **reducing the overfitting** but the overall performance of the network is getting worse (61.5% while the baseline was 75.5%).

L2 regularization is not a good method for our classification problem.

#### How to reduce overfitting?

- Reduce the size of the network ... but no magical formula to determine how many layers we need
- **Dropout**, randomly "turning-off" neurons of the network
- Weight regularization L<sub>1</sub> & L<sub>2</sub>, a strategy to force the weights to take only small values during the training
- Increase the size of the training set:
  - Add new images to the training set
  - Use data augmentation



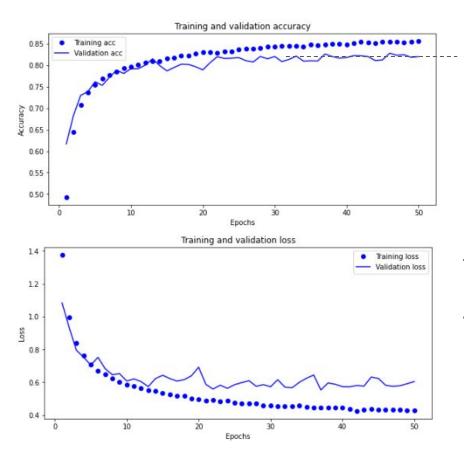
#### VGG baseline and image augmentation:

Create an image generator. Important to select carefully the transformations. Make sure they are not destroying important information (e.g. object size, shape)

```
Create the image augmentation generator
datagen = ImageDataGenerator(width shift range=0.1, height shift range=0.1, horizontal flip=True)
it train = datagen.flow(X train, Y train, batch size=32)
steps = int(X train.shape[0] / 32)
# Compile the model defining the optimizer and the loss function
modelCNN.compile(optimizer = 'adam',
              loss='categorical crossentropy',
              metrics=['accuracy'])
# Launch the training
history = modelCNN.fit generator(it train,
                       steps per epoch=steps,
                       validation data=(X val, Y val),
                       epochs = 50,
                       verbose = 1)
```

### VGG baseline and image augmentation:

-82%



Like Dropout regularization, image augmentation helps reducing the overfitting and improve the performance of the network.

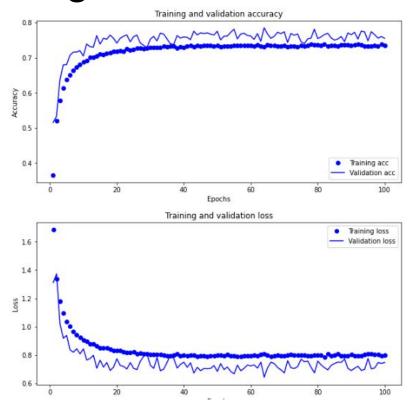
Baseline accuracy: 75.5%

Baseline + Dropout : 77.7% and no overfitting

Baseline + L2 : 61.5% and no overfitting

Baseline + image augmentation : 82% and less overfitting

## VGG baseline, dropout & image augmentation :



Like Dropout regularization, image augmentation helps reducing the overfitting and improve the performance of the network.

Baseline accuracy: 75.5%

Baseline + Dropout : 77.7% and no overfitting

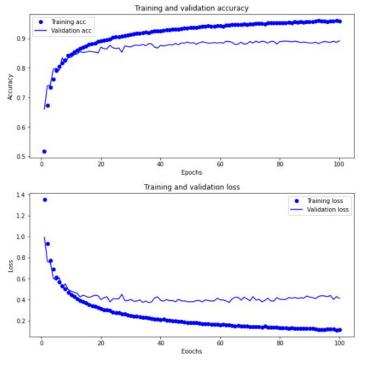
Baseline + L2 : 61.5% and no overfitting

Baseline + image augmentation : 82% and less overfitting

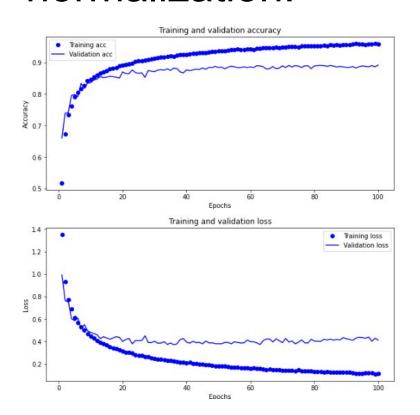
Baseline + Dropout + image augmentation: 75.5%

### Adding batch normalization:

```
modelCNN = Sequential([
    # Convolution Layer 1 &2
    Conv2D(32, (3, 3), activation='relu', input shape=(32, 32, 3)), # 32 different 3x3 kernels
    BatchNormalization(),
    Conv2D(32, (3, 3), activation='relu'),
    BatchNormalization().
    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
    # Convolution Layer 3 &4
    Conv2D(64, (3, 3), activation='relu', padding='same'), # 64 different 3x3 kernels
    BatchNormalization(),
    Conv2D(64, (3, 3), activation='relu', padding='same'),
    BatchNormalization(),
    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
    # Convolution Layer 5 &6
    Conv2D(128, (3, 3), activation='relu', padding='same'), # 128 different 3x3 kernels
    BatchNormalization(),
    Conv2D(128, (3, 3), activation='relu', padding='same'),
    BatchNormalization().
    MaxPooling2D(pool size=(2, 2)), # Pool the max values over a 2x2 kernel
    Flatten(), # Flatten final 3x3x128 output matrix into a 1152-length vector
    # Fully Connected Layer 4
    Dense(128), # 128 FCN nodes
    Activation('relu'),
    BatchNormalization(),
    Dense(10),
    Activation('softmax'),
```



## VGG baseline, image augmentation & batch normalization:



Like Dropout regularization, image augmentation helps reducing the overfitting and improve the performance of the network.

Baseline accuracy: 75.5%

Baseline + Dropout : 77.7% and no overfitting

Baseline + L2: 61.5% and no overfitting

Baseline + image augmentation : 82% and less overfitting

Baseline + Dropout + image augmentation : 75.5%

<u>Baseline + image augmentation + Batch Norm : 89.2%</u>

#### What did we learn?

- How to create and use a convolutional neural network for image classification :
  - convolution layer
  - max-pool layer
- Recognize overfitting and methods to reduce it while optimizing the performances of the network:
  - dropout
  - regularization
  - image augmentation
  - batch normalization
  - transfert learning
  - 0 ...



There is no "unique solution". It strongly depends on your dataset. Need to use a "try & error" strategy.