#### GEOMETRY OF k-FUNCTORS

### 1. Introduction

In these notes we introduce functorial approach to algebraic geometry. Our aim is to show that functorial and geometrical techniques are interrelated in a very efficient way.

### 2. k-functors

**Definition 2.1.** The category  $Fun(Alg_k, Set)$  of copresheaves on  $Alg_k$  is called *the category of k-functors*.

If  $\mathfrak X$  and  $\mathfrak Y$  are k-functors, then we denote by  $\mathrm{Mor}_k(\mathfrak X,\mathfrak Y)$  the class of morphisms  $\mathfrak X \to \mathfrak Y$  of k-functors.

Since the category of k-functors is a category of copresheaves, under assumptions specified in [Mon19a, section 5] for given k-functors  $\mathfrak{X}$ ,  $\mathfrak{Y}$  there exists an internal hom  $\mathcal{M}$ or $_k(\mathfrak{X},\mathfrak{Y})$ . Let us discuss this important notion and also related ones. For details and proofs for general case we refer to [Mon19a, section 5].

Let  $\mathfrak{X}$  and  $\mathfrak{Y}$  be A-functors for some k-algebra A. Then we denote by  $\operatorname{Mor}_A(\mathfrak{X},\mathfrak{Y})$  the class of morphisms of A-functors  $\mathfrak{X} \to \mathfrak{Y}$ . For every A-algebra B and a morphism  $\sigma : \mathfrak{X} \to \mathfrak{Y}$  of A-functors we denote by  $\mathfrak{X}_B$ ,  $\mathfrak{Y}_B$ ,  $\sigma_B$  the restrictions  $\mathfrak{X}_{|\mathbf{Alg}_B}$ ,  $\mathfrak{Y}_{|\mathbf{Alg}_B}$ ,  $\sigma_{|\mathbf{Alg}_B}$  of these entities to the category of B-algebras.

**Fact 2.2.** Let  $\mathfrak{X}$  and  $\mathfrak{Y}$  be k-functors. Assume that A is a k-algebra, B is an A-algebra, C is an B-algebra. Then the composition of maps of classes

$$\operatorname{Mor}_{A}(\mathfrak{X}_{A},\mathfrak{Y}_{A}) \xrightarrow{\sigma \mapsto \sigma_{B}} \operatorname{Mor}_{B}(\mathfrak{X}_{B},\mathfrak{Y}_{B}) \xrightarrow{\sigma \mapsto \sigma_{C}} \operatorname{Mor}_{C}(\mathfrak{X}_{C},\mathfrak{Y}_{C})$$

equals

$$\operatorname{Mor}_{A}(\mathfrak{X}_{A},\mathfrak{Y}_{A}) \xrightarrow{\sigma \mapsto \sigma_{C}} \operatorname{Mor}_{C}(\mathfrak{X}_{C},\mathfrak{Y}_{C})$$

Proof. Left to the reader.

**Definition 2.3.** Let  $\mathfrak{X}$  and  $\mathfrak{Y}$  be k-functors and suppose that for every k-algebra A the class  $\operatorname{Mor}_A(\mathfrak{X}_A, \mathfrak{Y}_A)$  is a set. We define

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$$\mathcal{M}$$
or <sub>$k$</sub>  $(\mathfrak{X},\mathfrak{Y})(A) = \operatorname{Mor}_{A}(\mathfrak{X}_{A},\mathfrak{Y}_{A})$ 

for every k-algebra A. This is a k-functor. Indeed, for every k-algebra A and A-algebra B we can compose a morphism  $\sigma: \mathfrak{X}_A \to \mathfrak{Y}_A$  of k-functors with the forgetful functor  $\mathbf{Alg}_B \to \mathbf{Alg}_A$ . This induces a map

$$\mathcal{M}$$
or<sub>k</sub> $(\mathfrak{X},\mathfrak{Y})(A) \ni \sigma \mapsto \sigma_B \in \mathcal{M}$ or<sub>k</sub> $(\mathfrak{X},\mathfrak{Y})(B)$ 

and according to Fact 2.2 these maps make  $\mathcal{M}$ or $_k(\mathfrak{X},\mathfrak{Y})$  a k-functor. The k-functor  $\mathcal{M}$ or $_{\mathcal{C}}(\mathfrak{X},\mathfrak{Y})$  is called a hom k-functor of  $\mathfrak{X}$  and  $\mathfrak{Y}$ .

We denote by  $\mathbf{1}$  a k-functor that assigns to every k-algebra a set with one element. Then for every k-algebra A the restriction  $\mathbf{1}_A$  is a terminal object in the category of A-functors.

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**Fact 2.4.** Let  $\mathfrak{X}$  be a k-functor. Suppose A is a k-algebra and  $x \in \mathfrak{X}(A)$ . Then x determines a morphism  $\mathbf{1}_A \to \mathfrak{X}_A$  that for every A-algebra B with structural morphism  $f: A \to B$  sends a unique element of  $\mathbf{1}_A(B)$  to  $\mathfrak{X}(f)(x) \in \mathfrak{X}_A(B)$ . This gives rise to a bijection

$$\mathfrak{X}(A) \cong \operatorname{Mor}_{A} (\mathbf{1}_{A}, \mathfrak{X}_{A})$$

*Proof.* Left to the reader as an exercise.

**Definition 2.5.** Let  $\mathfrak{X}$  be a k-functor and A be a k-algebra. The set  $\mathfrak{X}(A)$  is called *the set of A-points of*  $\mathfrak{X}$ .

Now let  $\mathfrak{X}$ ,  $\mathfrak{Y}$  be k-functors such that for every k-algebra A the class  $\mathrm{Mor}_A(\mathfrak{X}_A,\mathfrak{Y}_A)$  is a set. Suppose next that  $\mathfrak{U}$  is a k-functor and  $\sigma: \mathfrak{U} \times \mathfrak{X} \to \mathfrak{Y}$  is a morphism of k-functors. Fix  $x \in \mathfrak{U}(A)$ . We denote by  $i_x: \mathbf{1}_A \to \mathfrak{U}_A$  the morphism of A-functors corresponding to x by means of Fact 2.4. Since  $\mathbf{1}_A$  is terminal A-functor, a morphism  $\sigma_A \cdot (i_x \times 1_{\mathfrak{X}_A})$  is isomorphic to a morphism  $\tau_x: \mathfrak{X}_A \to \mathfrak{Y}_A$  of A-functors. Next  $x \mapsto \tau_x$  gives rise to a morphism  $\tau: \mathfrak{U} \to \mathcal{M}\mathrm{or}_k(\mathfrak{X},\mathfrak{Y})$  of k-functors and hence we have a map of classes

$$\operatorname{Mor}_{k}(\mathfrak{U} \times \mathfrak{X}, \mathfrak{Y}) \ni \sigma \mapsto \tau \in \operatorname{Mor}_{k}(\mathfrak{U}, \mathcal{M}\operatorname{or}_{k}(\mathfrak{X}, \mathfrak{Y}))$$

Now we have the following result [Mon19a, Theorem 5.3].

**Theorem 2.6.** Let  $\mathfrak{X}$ ,  $\mathfrak{Y}$  be k-functors. Assume that for every k-algebra A the class  $\operatorname{Mor}_A(\mathfrak{X}_A, \mathfrak{Y}_A)$  is a set. Then the map

$$\operatorname{Mor}_{k}(\mathfrak{U} \times \mathfrak{X}, \mathfrak{Y}) \to \operatorname{Mor}_{k}(\mathfrak{U}, \operatorname{Mor}_{k}(\mathfrak{X}, \mathfrak{Y}))$$

described above is a bijection natural in U.

### 3. ZARISKI LOCAL *k*-FUNCTORS AND ZARISKI SHEAVES

In this part we use the notion of a Grothendieck topology on a category. For this notion we refer the reader to [Mon19b].

**Definition 3.1.** Let  $\{f_i : X_i \to X\}_{i \in I}$  be a family of morphisms of k-schemes. We say that  $\{f_i\}_{i \in I}$  is a *Zariski covering of X* if the following conditions are satisfied.

- (1) For every  $i \in I$  morphism  $f_i$  is an open immersion of schemes.
- (2) Morphism  $\coprod_{i \in I} X_i \to X$  induced by  $\{f_i\}_{i \in I}$  is surjective.

The collection of all Zariski coverings on  $\mathbf{Sch}_k$  is a Grothendieck pretopology.

**Definition 3.2.** We call the Grothendieck topology generated by the pretopology consisting of Zariski coverings on  $\mathbf{Sch}_k$  the Zariski topology on  $\mathbf{Sch}_k$ . A presheaf on  $\mathbf{Sch}_k$  that is a sheaf with respect to Zariski topology on  $\mathbf{Sch}_k$  is called a Zariski sheaf.

Let  $\mathfrak X$  be a presheaf on the category of k-schemes. Recall that by [Mon19b, Theorem 3.5]  $\mathfrak X$  is a Zariski sheaf if and only if for every k-scheme X and for every Zariski covering  $\{f_i: X_i \to X\}$  of X the diagram

$$\mathfrak{X}(X) \xrightarrow{(\mathfrak{X}(f_i))_{i \in I}} \prod_{i \in I} \mathfrak{X}(X_i) \xrightarrow{(\mathfrak{X}(f'_{ij}) \cdot pr_i)_{(i,j)}} \prod_{(i,j) \in I \times I} \mathfrak{X}(X_i \times_X X_j)$$

is a kernel of a pair of arrows, where for every  $(i,j) \in I \times I$  morphisms  $f'_{ij}$  and  $f''_{ij}$  form a cartesian square

$$X_{i} \times_{X} X_{j} \xrightarrow{f_{ij}^{"}} X_{j}$$

$$\downarrow f_{ij}$$

$$\downarrow f_{j}$$

$$\downarrow f_{j}$$

$$\downarrow f_{j}$$

$$\downarrow f_{j}$$

$$\downarrow f_{j}$$

$$\downarrow f_{j}$$

Now we repeat this definitions for *k*-algebras and *k*-functors.

**Definition 3.3.** Let  $\{f_i : A \to A_i\}_{i \in I}$  be a family of morphisms of k-algebras. We say that  $\{f_i\}_{i \in I}$  is a *Zariski covering of A* if the following conditions are satisfied.

- (1) For every  $i \in I$  morphism Spec  $f_i$  is an open immersion of schemes.
- (2) Morphism  $\coprod_{i \in I} \operatorname{Spec} A_i \to \operatorname{Spec} A$  induced by  $\left\{ \operatorname{Spec} f_i \right\}_{i \in I}$  is surjective.

The collection of all Zariski coverings on  $\mathbf{Alg}_k$  induces on its opposite category  $\mathbf{Aff}_k$  of affine k-schemes a Grothendieck pretopology.

**Definition 3.4.** We call the Grothendieck topology generated by the pretopology consisting of Zariski coverings on  $\mathbf{Aff}_k$  the Zariski topology on  $\mathbf{Aff}_k$ . A k-functor that is a sheaf with respect to Zariski topology on  $\mathbf{Aff}_k$  is called a Zariski local k-functor.

Let  $\mathfrak{X}$  be a k-functor. Again by [Mon19b, Theorem 3.5]  $\mathfrak{X}$  is a Zariski local k-functor if and only if for every k-algebra A and for every Zariski covering  $\{f_i : A \to A_i\}$  of A the diagram

$$\mathfrak{X}(A) \xrightarrow{(\mathfrak{X}(f_i))_{i \in I}} \prod_{i \in I} \mathfrak{X}(A_i) \xrightarrow{(\mathfrak{X}(f''_{ij}) \cdot pr_i)_{(i,j)}} \prod_{(i,j) \in I \times I} \mathfrak{X}(A_i \otimes_A A_j)$$

is a kernel of a pair of arrows, where for every  $(i, j) \in I \times I$  morphisms  $f'_{ij}$  and  $f''_{ij}$  form a cocartesian square

$$A \xrightarrow{f_{j}} A_{j}$$

$$\downarrow f_{ji} \qquad \downarrow f_{ji}'$$

$$A_{i} \xrightarrow{f_{ij}'} A_{i} \otimes_{A} A_{j}$$

Now we state the main result of this section.

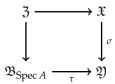
Theorem 3.5. Let

$$\widehat{\mathbf{Sch}_k} \longrightarrow \mathsf{the} \ \mathsf{category} \ \mathsf{of} \ k\mathsf{-functors}$$

be the restriction of presheaves on  $\mathbf{Sch}_k$  to copresheaves on  $\mathbf{Alg}_k$  (k-functors) induced by the contravariant functor  $\mathrm{Spec}: \mathbf{Alg}_k \to \mathbf{Sch}_k$ . Then it induces an equivalence of categories between Zariski sheaves on  $\mathbf{Sch}_k$  and Zariski local k-functors.

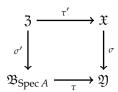
*Proof.* Note that  $\mathbf{Aff}_k$  with Zariski topology is a dense subsite ([Mon19b, definition 4.4]) of  $\mathbf{Sch}_k$  with Zariski topology. Hence the result is a special case of a more general theorem [Mon19b, Theorem 4.6].

**Proposition 3.6.** Let  $\sigma: \mathfrak{X} \to \mathfrak{Y}$  be a monomorphism of k-functors and  $\mathfrak{Y}$  be a Zariski local k-functor. Assume that for every k-algebra A and every morphism  $\tau: \mathfrak{B}_{\operatorname{Spec} A} \to \mathfrak{Y}$  of k-functors there exist a Zariski local k-functor  $\mathfrak{Z}$  that fits into a cartesian square

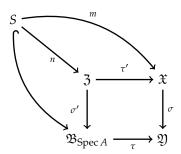


*Then*  $\mathfrak{X}$  *is a Zariski local k-functor.* 

*Proof.* Suppose that A is a k-algebra and S is a covering sieve on A with respect to Zariski topology. Recall that by [Mon19b, page 2] we may consider S as a subcopresheaf of  $\mathfrak{B}_{\operatorname{Spec} A}$ . Suppose that  $\tau:\mathfrak{B}_{\operatorname{Spec} A}\to\mathfrak{Y}$  and  $m:S\to\mathfrak{X}$  are morphisms of k-functors such that  $\sigma\cdot m$  is equal to the composition of  $S\hookrightarrow\mathfrak{B}_{\operatorname{Spec} A}$  with  $\tau$ . Next there exists a Zariski local k-functor  $\mathfrak{Z}$  that fits into a cartesian square



of *k*-functors. By universal property of cartesian squares there exists a unique morphism  $n: S \to \mathfrak{Z}$  of *k*-functors such that the diagram



is commutative. Since  $\mathfrak Z$  is Zariski local, there exists a morphism  $\rho: \mathfrak B_{\operatorname{Spec} A} \to \mathfrak Z$  such that  $\rho_{|S} = n$ . Then  $(\tau' \cdot \rho)_{|S} = \tau' \cdot n = m$  and hence matching family m admits an amalgamation. Since  $\sigma$  is a monomorphism, this suffices to prove that  $\mathfrak X$  is a Zariski local k-functor.

## 4. SCHEMES AND THEIR FUNCTORS OF POINTS

Let *X* be a *k*-scheme. We define a *k*-functor  $\mathfrak{P}_X$  by formula

$$\mathfrak{P}_X(A) = \operatorname{Mor}_k(\operatorname{Spec} A, X)$$

That is  $\mathfrak{P}_X$  is the restriction of the presheaf on  $\mathbf{Sch}_k$  represented by X to the category  $\mathbf{Alg}_k$  along the functor  $\mathrm{Spec}: \mathbf{Alg}_k \to \mathbf{Sch}_k$ . Next if  $f: X \to Y$  is a morphism of k-schemes, then  $\mathfrak{P}_f$  is the restriction of a morphism of presheaves on  $\mathbf{Sch}_k$  represented by f to the category of k-algebras along  $\mathrm{Spec}: \mathbf{Alg}_k \to \mathbf{Sch}_k$ . Thus we have a functor

$$\mathbf{Sch}_k \xrightarrow{\mathfrak{P}}$$
 the category of *k*-functors

Fact 4.1. Functor

$$\mathbf{Sch}_k \xrightarrow{\mathfrak{P}} \mathbf{the category of } k$$
-functors

is full, faithful and its image consists of Zariski local k-functors. Moreover, B preserves limits.

*Proof.* Note that the presheaf  $h_X$  on  $\mathbf{Sch}_k$  represented by X is a Zariski sheaf. Indeed, this just rephrases standard fact that morphism of schemes can be glued in Zariski topology. Next according to Theorem 3.5 the functor  $\mathrm{Spec}: \mathbf{Alg}_k \to \mathbf{Sch}_k$  induces an equivalence between the category of Zariski sheaves and the category of local Zariski k-functors. Thus  $\mathfrak{P}_X$  is a local Zariski k-functor and  $\mathfrak{B}$  it is full and faithful. Note that Yoneda embedding  $h: \mathbf{Sch}_k \to \widehat{\mathbf{Sch}}_k$  and the functor

$$\widehat{\mathbf{Sch}_k} \xrightarrow{\mathbf{induced by Spec}} \mathbf{the category of } k$$
-functors

preserve limits. Thus their composition  $\mathfrak B$  also preserves limits.

**Definition 4.2.** Let *X* be a *k*-scheme. Then  $\mathfrak{P}_X$  is called *the k-functor of points of X*.

Finally note that for every k-algebra A we have an identification  $\mathfrak{P}_{\operatorname{Spec} A} = \operatorname{Hom}_k(A, -)$  and this identification is natural with respect to A. In other words  $\mathfrak{B} \cdot \operatorname{Spec}$  is the (co)Yoneda embedding of  $\operatorname{Alg}_k$  into the category of k-functors.

Suppose now that A is a k-algebra and  $\mathfrak{a} \subseteq A$  is an ideal. Then we define  $V(\mathfrak{a}) = \operatorname{Spec} A/\mathfrak{a}$  as a closed subscheme  $\operatorname{Spec} A$  induced by the quotient morphism  $A \to A/\mathfrak{a}$ . We define an open subscheme  $D(\mathfrak{a}) = \operatorname{Spec} A \setminus V(\mathfrak{a})$  of  $\operatorname{Spec} A$ .

**Definition 4.3.** Let  $\sigma: \mathfrak{X} \to \mathfrak{Y}$  be a morphism of k-functors. Assume that for every k-algebra A and every morphism  $\tau: \mathfrak{B}_{\operatorname{Spec} A} \to \mathfrak{Y}$  of k-functors there exist an ideal  $\mathfrak{a}$  in A and a morphism  $\tau': \mathfrak{B}_{D(\mathfrak{a})} \to \mathfrak{X}$  of k-functors such that the square

$$\mathfrak{B}_{D(\mathfrak{a})} \xrightarrow{\tau'} \mathfrak{X} \downarrow_{\sigma}$$

$$\mathfrak{B}_{\operatorname{Spec} A} \xrightarrow{\tau} \mathfrak{Y}$$

is cartesian. Then  $\sigma$  is an open immersion of k-functors.

**Fact 4.4.** The class of open immersions of k-functors is closed under base change and composition.

**Definition 4.5.** Let  $\mathfrak{X}$  be a k-functor and  $\{\sigma_i : \mathfrak{X}_i \to \mathfrak{X}\}_{i \in I}$  be a family of open immersions. Then for every k-algebra A and  $x \in \mathfrak{X}(A)$  we have a family of ideals  $\{\mathfrak{a}_i\}_{i \in I}$  defined by cartesian squares

$$\mathfrak{B}_{D(\mathfrak{a}_i)} \xrightarrow{\tau'} \mathfrak{X}_i$$

$$\downarrow^{\sigma_i}$$

$$\mathfrak{B}_{\operatorname{Spec} A} \xrightarrow{\tau} \mathfrak{X}$$

in which bottom vertical morphism  $\tau: \mathfrak{B}_{\operatorname{Spec} A} \to \mathfrak{X}$  corresponds to x. We say that  $\{\sigma_i\}_{i\in I}$  is an open cover of  $\mathfrak{X}$  if for every k-algebra A and  $x \in \mathfrak{X}(A)$  we have

$$\operatorname{Spec} A = \bigcup_{i \in I} D(\mathfrak{a}_i)$$

or in other words  $A = \sum_{i \in I} \mathfrak{a}_i$ .

**Theorem 4.6.** Let  $\mathfrak{X}$  be a k-functor. Then the following are equivalent.

- (i)  $\mathfrak{X}$  is isomorphic with functor of points of some k-scheme.
- (ii)  $\mathfrak{X}$  is a Zariski local k-functor and there exists an open cover  $\{\sigma_i : \mathfrak{B}_{X_i} \to \mathfrak{X}\}_{i \in I}$  of k-functors for some family  $\{X_i\}_{i \in I}$  of k-schemes.
- (iii)  $\mathfrak{X}$  is a Zariski local k-functor and there exists an open cover  $\{\sigma_i : \mathfrak{B}_{\operatorname{Spec} A_i} \to \mathfrak{X}\}_{i \in I}$  of k-functors for some family  $\{A_i\}_{i \in I}$  of k-algebras.

The proof depends on two lemmas. Check [Mon19b, Definition 7.1] for the notion of a locally surjective morphism.

**Lemma 4.6.1.** Let  $f: X \to Y$  be a morphism of k-schemes. Suppose that f is surjective morphism and an open immersion locally on X. Then  $\mathfrak{B}_f$  is a locally surjective morphism of Zariski local k-functors.

*Proof of the lemma.* Let A be a k-algebra and  $g: \operatorname{Spec} A \to Y$  be a morphism of k-schemes. Since f is surjective and an open immersion locally on X, there exist a Zariski cover  $\{f_i: A \to A_i\}_{i \in I}$  and a family  $\{g_i: \operatorname{Spec} A_i \to X\}_{i \in I}$  of morphisms of k-schemes such that  $f \cdot g_i = g \cdot \operatorname{Spec} f_i$  for every  $i \in I$ . This implies that  $\mathfrak{B}_f(g_i) = \mathfrak{B}_Y(f_i)(g)$  for every  $i \in I$ . Thus  $\mathfrak{B}_f$  is a locally surjective morphism of Zariski local k-functors.

**Lemma 4.6.2.** Let  $X = \coprod_{i \in I} X_i$ ,  $R = \coprod_{i,j \in I} R_{ij}$  be disjoint sums of k-schemes and let  $p,q:R \to X$  be morphisms of k-schemes such that the following conditions are satisfied.

- **(1)** For any  $i, j \in I$  morphism  $p_{|R_{ij}}$  induces an open immersion  $R_{ij} \hookrightarrow X_i$  and morphism  $q_{|R_{ij}}$  induces an open immersion  $R_{ij} \hookrightarrow X_j$ .
- **(2)** For every  $i \in I$  morphisms  $p_{|R_{ii}}$  and  $q_{|R_{ii}}$  are equal and induce an isomorphisms  $R_{ii} \to X_i$ .
- **(3)** Triple (R, p, q) is an equivalence relation on X in the category of k-schemes.

Then there exist a k-scheme Y and a morphism  $f: X \to Y$  of k-schemes such that

$$\mathfrak{B}_R \xrightarrow{\mathfrak{B}_p} \mathfrak{B}_X \xrightarrow{\mathfrak{B}_f} \mathfrak{B}_Y$$

is a cokernel of a pair  $(\mathfrak{B}_p, \mathfrak{B}_q)$  in the category of Zariski local k-functors.

Proof of the lemma. Let

$$R \xrightarrow{p \atop q} X \xrightarrow{f} Y$$

be a cokernel in the category of ringed spaces. It exists according to [Mon19c, Remark 2.3]. Moreover, [Mon19c, Theorem 3.2] states that for every  $i \in I$  subset  $f(X_i)$  is open in Y and we have an isomorphism of ringed spaces  $X_i \cong f(X_i)$  induced by f. Therefore, Y is a k-scheme and  $f: X \to Y$ is a morphism of k-schemes.

Now we verify that  $\mathfrak{B}_f$  is the quotient in the category of Zariski local k-functors. For this note that we proved above that f is open immersion of k-schemes locally on X and it is surjective. Thus by Lemma 4.6.1 we derive that  $\mathfrak{B}_f$  is a locally surjective morphism of Zariski local k-functors. Therefore ([Mon19b, Theorem 7.3]), it suffices to show that the square

$$\mathfrak{B}_{R} \xrightarrow{\mathfrak{B}_{q}} \mathfrak{B}_{X} \\
\mathfrak{B}_{p} \downarrow \qquad \qquad \downarrow \mathfrak{B}_{f} \\
\mathfrak{B}_{X} \xrightarrow{\mathfrak{B}_{f}} \mathfrak{B}_{Y}$$

is cartesian. Since B preserves limits (Fact 4.1), we derive that it suffices to check that

$$\begin{array}{ccc}
R & \xrightarrow{q} & X \\
\downarrow p & & \downarrow f \\
X & \xrightarrow{f} & Y
\end{array}$$

is cartesian square of *k*-schemes. By [Mon19c, Remark 2.3] we have  $R_{ij} = X_i \times_Y X_j$  for every  $i, j \in I$  and hence

$$X \times_Y X = \left(\coprod_{i \in I} X_i\right) \times_Y \left(\coprod_{i \in I} X_i\right) = \coprod_{i,j \in I} \left(X_i \times_Y X_j\right) = \coprod_{i,j \in I} R_{ij} = R$$

Thus the result follows.

*Proof of the theorem.* If (i) holds, then we may assume that  $\mathfrak{X} = \mathfrak{B}_Y$  for some k-scheme Y. Fact 4.1 states that  $\mathfrak{B}_Y$  is a Zariski local k-functor and clearly  $1_{\mathfrak{B}_Y} : \mathfrak{B}_Y \to \mathfrak{B}_Y$  is an open cover. Thus (i)  $\Rightarrow$  (ii).

Every functor of points of a k-scheme admits open cover by functors of points of affine k-schemes. Indeed, it suffices to take open affine subschemes that cover given k-scheme and apply  $\mathfrak B$ . This implies that every open cover of a k-functor  $\mathfrak X$  by functors of points of k-schemes admits refinement by open cover of functors of points of affine k-schemes. Therefore, implication (ii)  $\Rightarrow$  (iii) holds.

Suppose that a k-functor  $\mathfrak X$  is Zariski local and  $\{\sigma_i: \mathfrak B_{\operatorname{Spec} A_i} \to \mathfrak X\}_{i \in I}$  is an open cover of  $\mathfrak X$ . Note that for every  $i,j \in I$  there exist a k-scheme  $R_{ij}$  and open immersions  $p_{ij}: R_{ij} \to \operatorname{Spec} A_i$ ,  $q_{ij}: R_{ij} \to \operatorname{Spec} A_j$  such that the square

$$\mathfrak{B}_{R_{ij}} \xrightarrow{\mathfrak{B}_{q_{ij}}} \mathfrak{B}_{\operatorname{Spec} A_{j}} \\
\mathfrak{B}_{\operatorname{Spec} A_{i}} \xrightarrow{\sigma_{i}} \mathfrak{X}$$

is cartesian. Consider k-scheme  $X = \coprod_{i \in I} \operatorname{Spec} A_i$  and morphism  $\sigma : \mathfrak{B}_X \to \mathfrak{X}$  induced by  $\{\sigma_i\}_{i \in I}$ . Moreover, consider k-scheme  $R = \coprod_{i,j \in I} R_{ij}$  and morphisms  $p,q:R \to X$  induced by  $\{p_{ij}\}_{i,j \in I}$  and  $\{q_{ij}\}_{i,j \in I}$ , respectively. Note that the square

$$\mathfrak{B}_{R} \xrightarrow{\mathfrak{B}_{q}} \mathfrak{B}_{X} \\
\mathfrak{B}_{Y} \xrightarrow{\mathfrak{B}_{q}} \mathfrak{X}$$

is cartesian and hence  $(\mathfrak{B}_R, \mathfrak{B}_p, \mathfrak{B}_q)$  is an equivalence relation. By Lemma 4.6.2 there exist a k-scheme Y and a morphism  $f: X \to Y$  such that

$$\mathfrak{B}_R \xrightarrow{\mathfrak{B}_p} \mathfrak{B}_X \xrightarrow{\mathfrak{B}_f} \mathfrak{B}_Y$$

is a cokernel of  $(\mathfrak{B}_p, \mathfrak{B}_q)$ . Moreover,  $\sigma$  is locally surjective morphism of Zariski local k-functors and hence also

$$\mathfrak{B}_R \xrightarrow{\mathfrak{B}_p} \mathfrak{B}_X \xrightarrow{\sigma} \mathfrak{X}$$

is a cokernel of  $(\mathfrak{B}_p, \mathfrak{B}_q)$ . Thus  $\mathfrak{B}_Y$  is isomorphic with  $\mathfrak{X}$ . This proves (iii)  $\Rightarrow$  (i).

### 5. Representable morphisms of k-functors

**Definition 5.1.** Let  $\sigma: \mathfrak{X} \to \mathfrak{Y}$  be a morphism of k-functors. Assume that for every k-algebra A and every morphism  $\tau: \mathfrak{B}_{\operatorname{Spec} A} \to \mathfrak{Y}$  of k-functors there exist a k-scheme X, a morphism  $f: X \to \operatorname{Spec} A$  and a morphism  $\tau': \mathfrak{B}_X \to \mathfrak{X}$  of k-functors such that the square

$$\mathfrak{B}_{X} \xrightarrow{\tau'} \mathfrak{X} \\
\mathfrak{B}_{f} \downarrow \qquad \qquad \downarrow^{\sigma} \\
\mathfrak{B}_{\operatorname{Spec} A} \xrightarrow{\tau} \mathfrak{Y}$$

is cartesian. Then  $\sigma$  is a representable morphism of k-functors.

**Fact 5.2.** The class of representable morphisms of k-functors is closed under base change and composition.

*Proof.* Left to the reader. 
$$\Box$$

**Proposition 5.3.** Let  $\sigma: \mathfrak{X} \to \mathfrak{Y}$  be a representable morphism of Zariski local k-functors. Fix a k-scheme Y and a morphism  $\tau: \mathfrak{B}_Y \to \mathfrak{Y}$ . Then there exist a k-scheme X, a morphism  $f: X \to Y$  and a morphism  $\tau': \mathfrak{B}_X \to \mathfrak{X}$  such that the square

$$\mathfrak{B}_{X} \xrightarrow{\tau'} \mathfrak{X}$$

$$\mathfrak{B}_{f} \downarrow \qquad \qquad \downarrow \sigma$$

$$\mathfrak{B}_{Y} \xrightarrow{\tau} \mathfrak{Y}$$

is cartesian.

Proof. Let

$$\begin{array}{ccc}
3 & \xrightarrow{\tau'} & \mathfrak{X} \\
\downarrow^{\sigma'} & & \downarrow^{\sigma} \\
\mathfrak{B}_{\gamma} & \longrightarrow \mathfrak{Y}
\end{array}$$

be a cartesian square. According to [Mon19b, Theorem 2.12] k-functor  $\mathfrak{J}$  is Zariski local. Suppose that  $\{f_i: \operatorname{Spec} A_i \to Y\}_{i \in I}$  is an open cover of Y. Then  $\{\mathfrak{B}_{f_i}: \mathfrak{B}_{\operatorname{Spec} A_i} \to \mathfrak{B}_Y\}_{i \in I}$  is an open cover of  $\mathfrak{B}_Y$  and hence its base change  $\{\tau_i: \mathfrak{Z}_i \to \mathfrak{Z}\}_{i \in I}$  is an open cover of  $\mathfrak{Z}$ . Since  $\sigma$  is representable, we deduce that  $\mathfrak{Z}_i$  is a functor of points of some k-scheme for  $i \in I$ . Now by Theorem 4.6 we derive that there exists a k-scheme X such that  $\mathfrak{Z}_i$  is isomorphic with  $\mathfrak{B}_X$ . This proves the result.

**Definition 5.4.** Let  $\sigma: \mathfrak{X} \to \mathfrak{Y}$  be a morphism of k-functors. Assume that for every k-algebra A and every morphism  $\tau: \mathfrak{B}_{\operatorname{Spec}_A} \to \mathfrak{Y}$  of k-functors there exist an ideal  $\mathfrak{a}$  in A and morphism  $\tau': \mathfrak{B}_{V(\mathfrak{a})} \to \mathfrak{X}$  such that the square

$$\mathfrak{B}_{V(\mathfrak{a})} = \mathfrak{B}_{\operatorname{Spec} A/\mathfrak{a}} \xrightarrow{\tau'} \mathfrak{X}$$

$$\mathfrak{B}_{\operatorname{Spec} A} \xrightarrow{\tau} \mathfrak{Y}$$

is cartesian, where  $q: A \to A/\mathfrak{a}$  is the quotient map. Then  $\sigma$  is a closed immersion of k-functors.

**Fact 5.5.** The class of closed immersions of k-functors is closed under base change and composition.

**Proposition 5.6.** Let  $\sigma: \mathfrak{X} \to \mathfrak{Y}$  be a closed (open) immersion of k-functors. Fix a k-scheme Y and a morphism  $\tau: \mathfrak{B}_Y \to \mathfrak{Y}$ . Then there exist a k-scheme X, a closed (open) immersion  $f: X \to Y$  of schemes and a morphism  $\tau': \mathfrak{B}_X \to \mathfrak{X}$  of k-functors such that the square

$$\mathfrak{B}_{X} \xrightarrow{\tau'} \mathfrak{X}$$

$$\mathfrak{B}_{f} \downarrow \qquad \qquad \downarrow^{\sigma}$$

$$\mathfrak{B}_{Y} \xrightarrow{\tau} \mathfrak{Y}$$

is cartesian.

*Proof.* According to Fact 5.5 (Fact 4.4) pullback  $\mathfrak{X} \times_{\mathfrak{Y}} \mathfrak{B}_Y \to \mathfrak{B}_Y$  of  $\sigma$  along  $\tau$  is a closed (open) immersion of k-functors. Since  $\mathfrak{B}_Y$  is a Zariski local k-functor by Fact 4.1 and closed (open) immersions are monomorphisms, we derive by Proposition 3.6 that a fiber-product  $\mathfrak{X} \times_{\mathfrak{Y}} \mathfrak{B}_Y$  of  $\sigma$  and  $\tau$  is a Zariski local k-functor. Since closed (open) immersions of k-functors are representable, we deduce by Proposition 5.3 that there exists a k-scheme X, a morphism  $f: X \to Y$  of k-schemes and a morphism  $\tau': \mathfrak{B}_X \to \mathfrak{X}$  of k-functors such that the square

$$\mathfrak{X} \times_{\mathfrak{Y}} \mathfrak{B}_{Y} \cong \mathfrak{B}_{X} \xrightarrow{\tau'} \mathfrak{X} \downarrow \sigma 
\mathfrak{B}_{Y} \xrightarrow{\tau} \mathfrak{Y}$$

is cartesian and  $\mathfrak{B}_f$  is a closed (open) immersion of k-functors. Since the functor

$$\widehat{\mathbf{Sch}_k} \xrightarrow{\mathfrak{B}}$$
 the category of *k*-functors

preserves finite limits, it follows that for every open affine subset V of Y we have a cartesian square

$$\mathfrak{B}_{f^{-1}(V)} \longleftrightarrow \mathfrak{B}_{X}$$

$$\mathfrak{B}_{f_{V}} \longleftrightarrow \mathfrak{B}_{Y}$$

where  $f_V: f^{-1}(V) \to V$  is the restriction of f. Next as  $\mathfrak{B}_f$  is a closed (open) immersion and V is affine, we derive that  $f_V$  is a closed (open) immersion of schemes. Since this holds for every affine open subset V of Y, we deduce that f is a closed (open) immersion.

The next result is frequently used in the theory of *algebraic spaces*.

**Proposition 5.7.** Let  $\mathfrak Y$  be a k-functor such that the diagonal  $\mathfrak Y \to \mathfrak Y \times \mathfrak Y$  is representable. Then every morphism  $\sigma: \mathfrak X \to \mathfrak Y$  of k-functors is representable.

*Proof.* Fix a morphism of k-functors  $\sigma: \mathfrak{X} \to \mathfrak{Y}$ . Let Y be a k-scheme and let  $\tau: \mathfrak{B}_Y \to \mathfrak{Y}$  be a morphism of k-functors. Consider the cartesian square

$$3 \xrightarrow{\tau'} \mathfrak{X} \\
\downarrow^{\sigma} \\
\mathfrak{B}_{\Upsilon} \xrightarrow{\tau} \mathfrak{Y}$$

Then there exists a cartesian square

$$\begin{array}{c}
3 \longrightarrow \mathfrak{Y} \\
\downarrow \qquad \qquad \downarrow \text{diagonal} \\
\mathfrak{B}_{Y} \times \mathfrak{Y} \xrightarrow[\tau \times \sigma]{} \mathfrak{Y} \times \mathfrak{Y}
\end{array}$$

Since the diagonal of  $\mathfrak V$  is representable, we derive that  $\mathfrak Z$  is isomorphic with functor of points of some k-scheme. This finishes the proof.

# 6. Transporters

**Definition 6.1.** Let X be a k-scheme. Suppose that there exists an open affine cover  $X = \bigcup_{i \in I} X_i$  such that k-algebra  $\Gamma(X_i, \mathcal{O}_{X_i})$  is free as a k-module. Then we say that X is a locally free k-scheme.

Next theorem is the main result of this section.

**Theorem 6.2.** Let  $j: \mathfrak{Y}' \to \mathfrak{Y}$  be a closed immersion of k-functors and X be a locally free k-scheme. Suppose that classes  $\operatorname{Mor}_A((\mathfrak{B}_X)_A, \mathfrak{Y}_A)$  are sets for every k-algebra A. Then classes  $\operatorname{Mor}_A((\mathfrak{B}_X)_A, \mathfrak{Y}'_A)$  are sets for every k-algebra A and the morphism

$$\mathcal{M}$$
or<sub>k</sub> $(1_{\mathfrak{B}_{X}}, j) : \mathcal{M}$ or<sub>k</sub> $(\mathfrak{B}_{X}, \mathfrak{Y}') \to \mathcal{M}$ or<sub>k</sub> $(\mathfrak{B}_{X}, \mathfrak{Y})$ 

is a closed immersion of k-functors.

It is useful to isolate crucial steps in the argument. For this we proceed by proving some lemmas.

**Lemma 6.2.1.** Suppose that A is a commutative ring. Let  $j: \mathfrak{Y}' \to \mathfrak{Y}$  be a closed immersion of A-functors and X be an affine A-scheme such that  $\Gamma(X, \mathcal{O}_X)$  is a free A-module. Assume that  $\tau: \mathfrak{B}_X \to \mathfrak{Y}$  is a morphism of A-functors. Then there exists an ideal  $\mathfrak{a} \subseteq A$  such that for every A-algebra B the restriction  $\tau_B$  factors through  $j_B$  if and only if the structure morphism  $f: A \to B$  of B satisfies  $\mathfrak{a} \subseteq \ker(f)$ .

*Proof of the lemma.* Since j is a closed immersion of A-functors and X is affine k-scheme there exists an affine A-scheme X', a closed immersion  $j': X' \to X$  of schemes and a cartesian square

$$\mathfrak{B}_{X'} \longrightarrow \mathfrak{Y}'$$

$$\mathfrak{B}_{j'} \downarrow \qquad \qquad \downarrow j$$

$$\mathfrak{B}_{X} \longrightarrow \mathfrak{Y}$$

of A-functors. Next let B be an A-algebra with the structure morphism  $f:A\to B$ . Then  $\tau_B$  factors through  $j_B$  if and only if the projection Spec  $B\times_{\operatorname{Spec} A}X\to X$  induced by f factors through X'. Let A[X] be the A-algebra of global regular functions on X and let  $\mathfrak J$  be an ideal in A[X] such that  $A[X]/\mathfrak J=A[X']$  is the A-algebra of global regular functions of X'. With this notation we derive that the projection  $\operatorname{Spec} B\times_{\operatorname{Spec} A}X\to X$  induced by f factors through X' if and only if the morphism  $A[X]\to B\otimes_A A[X]$  induced by f sends every element of  $\mathfrak J$  to zero. Since A[X] is a free A-module, we write  $A[X]=A^{\oplus I}$  for some index set f. Then the morphism f is just  $f^{\oplus I}:A^{\oplus I}\to B^{\oplus I}$ . We have  $f^{\oplus I}(\mathfrak J)=0$  if and only if f is just f is just f is the projection on f if component. Pick f if f and consider the commutative diagram

$$A^{\oplus I} \xrightarrow{f^{\oplus I}} B^{\oplus I}$$

$$pr_i^A \downarrow \qquad \qquad \downarrow pr_i^B$$

$$A \xrightarrow{f} B$$

In the diagram  $pr_i^A$  is the projection on i-th component. Diagram implies that  $\left(pr_i^B \cdot f^{\oplus I}\right)(\mathfrak{J}) = \text{for every } i \in I$  if and only if  $\left(f \cdot pr_i^A\right)(\mathfrak{J}) = 0$  for every  $i \in I$ . This is equivalent with the condition that  $f(\mathfrak{a}) = 0$  for ideal  $\mathfrak{a}$  in A generated by  $\sum_{i \in I} pr_i^A(\mathfrak{J})$ . Thus the lemma is proved.

**Lemma 6.2.2.** Suppose that A is a commutative ring. Let  $j: \mathfrak{Y}' \to \mathfrak{Y}$  be a closed immersion of A-functors and X be an A-scheme with open cover

$$X = \bigcup_{i \in I} X_i$$

Assume that  $\tau: \mathfrak{B}_X \to \mathfrak{Y}$  is a morphism of A-functors. Fix an A-algebra B. Then  $\tau_B$  factors through  $j_B$  if and only if  $\left(\tau_{\mid \mathfrak{B}_{X_i}}\right)_B$  factors through  $j_B$  for every  $i \in I$ .

*Proof of the lemma.* If  $\tau_B$  factors through  $j_B$ , then also  $\left(\tau_{|\mathfrak{B}_{X_i}}\right)_B$  factors through  $j_B$  for every  $i \in I$ . It suffices to prove the converse. So suppose that  $\left(\tau_{|\mathfrak{B}_{X_i}}\right)_B$  factors through  $j_B$  for every  $i \in I$ . Since j is a closed immersion of A-functors and X is an A-scheme, Proposition 5.6 implies that there exists a cartesian square

$$\mathfrak{B}_{X'} \longrightarrow \mathfrak{Y}'$$

$$\mathfrak{B}_{j'} \downarrow \qquad \qquad \downarrow_{j}$$

$$\mathfrak{B}_{X} \longrightarrow \mathfrak{Y}$$

where  $j': X' \to X$  is a closed immersion of A-schemes. For each  $i \in I$  let  $j'_i: j'^{-1}(X_i) \to X_i$  be the restriction of j'. We have the induced cartesian square

$$\mathfrak{B}j'^{-1}(X_i) \longrightarrow \mathfrak{Y}'$$

$$\mathfrak{B}_{j'_i} \downarrow \qquad \qquad \downarrow j$$

$$\mathfrak{B}_{X_i} \xrightarrow{\tau_{\mid \mathfrak{B}_{X_i}}} \mathfrak{Y}$$

Now  $\left(\tau_{\mid \mathfrak{B}_{X_i}}\right)_B$  factors through  $j_B$ . This implies that  $(\mathfrak{B}_{j_i'})_B$  admits a section for every  $i \in I$ . Then  $(\mathfrak{B}_{j_i'})_B$  is an isomorphism for every  $i \in I$ . Thus  $j_i' \times_{\operatorname{Spec} A} 1_{\operatorname{Spec} B}$  is an isomorphism for every  $i \in I$  and hence  $j' \times_{\operatorname{Spec} A} 1_{\operatorname{Spec} B}$  is an isomorphism of B-schemes. This means that  $\tau_B$  factors through  $j_B$ .

*Proof of the theorem.* Let A be a k-algebra. The restriction functor  $(-)_{|\mathbf{Alg}_A} = (-)_A$  preserves all closed immersions. Thus  $j_A$  is a closed immersion of A-functors and hence we derive that  $j_A : \mathfrak{Y}'_A \to \mathfrak{Y}_A$  is a monomorphism of A-functors. Thus we have an injective map of classes

$$\operatorname{Mor}_{A}\left(1_{(\mathfrak{B}_{X})_{A}},j_{A}\right):\operatorname{Mor}_{A}\left((\mathfrak{B}_{X})_{A},\mathfrak{Y}'_{A}\right)\hookrightarrow\operatorname{Mor}_{A}\left((\mathfrak{B}_{X})_{A},\mathfrak{Y}_{A}\right)$$

Hence if  $\operatorname{Mor}_A((\mathfrak{B}_X)_A, \mathfrak{Y}_A)$  is a set, then  $\operatorname{Mor}_A((\mathfrak{B}_X)_A, \mathfrak{Y}'_A)$  is a set. All these facts imply that both internal homs

$$\mathcal{M}$$
or<sub>k</sub>  $(\mathfrak{B}_X, \mathfrak{Y}')$ ,  $\mathcal{M}$ or<sub>k</sub>  $(\mathfrak{B}_X, \mathfrak{Y})$ 

exist and morphism  $\mathcal{M}\mathrm{or}_k(1_{\mathfrak{B}_X},j)$  of k-functors is a monomorphism. Our task is to prove that it is a closed immersion. For this consider a k-algebra A and a morphism  $\sigma:\mathfrak{B}_{\operatorname{Spec} A}\to\mathcal{M}\mathrm{or}_k(\mathfrak{B}_X,\mathfrak{Y})$  of k-functors that sends  $1_A$  to some morphism  $\tau:(\mathfrak{B}_X)_A\to\mathfrak{Y}_A$  of A-functors. Consider a cartesian square

$$\mathfrak{U} \xrightarrow{\longrightarrow} \mathcal{M}\mathrm{or}_{k}(\mathfrak{B}_{X}, \mathfrak{Y}')$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \mathcal{M}\mathrm{or}_{k}(1_{\mathfrak{B}_{X}}, j)$$

$$\mathfrak{B}_{\mathrm{Spec}\,A} \xrightarrow{\sigma} \mathcal{M}\mathrm{or}_{k}(\mathfrak{B}_{X}, \mathfrak{Y})$$

Since  $\mathcal{M}\mathrm{or}_k\left(1_{\mathfrak{B}_X},j\right)$  is a monomorphism, we may consider  $\mathfrak{U}$  as a k-subfunctor of  $\mathfrak{B}_{\operatorname{Spec} A}$ . For every k-algebra B subset  $\mathfrak{U}(B) \subseteq \operatorname{Mor}_k(A,B) = \operatorname{Mor}_k(\operatorname{Spec} B,\operatorname{Spec} A)$  consists of A-algebras B with structure morphisms  $f:A\to B$  such that  $\tau_B$  factors through  $j_B:\mathfrak{Y}_B'\to\mathfrak{Y}_B$ . Since X is a locally free k-scheme, we deduce that  $(\mathfrak{B}_X)_A$  is a functor of points of a locally free A-scheme

$$\operatorname{Spec} A \times_{\operatorname{Spec} k} X$$

Pick an open affine cover  $\bigcup_{i \in I} X_i$  of this A-scheme such that  $\Gamma(X_i, \mathcal{O}_X)$  is a free A-module. Now Lemma 6.2.2 implies that  $\tau_B$  factors through  $j_B$  if and only if  $(\tau_{|X_i})_B$  factors through  $j_B$  for every  $i \in I$ . Next by Lemma 6.2.1 we deduce that  $(\tau_{|X_i})_B$  factors through  $j_B$  for given  $i \in I$  if and only if  $f(\mathfrak{a}_i) = 0$  for some ideal  $\mathfrak{a}_i \subseteq A$  independent of f. Thus  $\mathfrak U$  consists of all morphisms  $f: A \to B$  of k-algebras such that  $f(\mathfrak{a}) = 0$  where  $\mathfrak{a} = \sum_{i \in I} \mathfrak{a}_i$ . Therefore,  $\mathfrak U \to \mathfrak B_{\operatorname{Spec} A}$  is isomorphic with

 $\mathfrak{B}_{V(\mathfrak{a})} = \mathfrak{B}_{\operatorname{Spec} A/\mathfrak{a}} \hookrightarrow \mathfrak{B}_{\operatorname{Spec} A}$  induced by the quotient map  $A \to A/\mathfrak{a}$  and hence  $\operatorname{Mor}_k(1_{\mathfrak{B}_X}, j)$  is a closed immersion of k-functors.

The Theorem 6.2 is a simple yet powerful result. Before giving any interesting applications we state its immediate consequence.

### REFERENCES

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