### ALGEBRAIC GROUP SCHEMES OVER FIELD

### 1. Introduction

In these notes we group schemes over fields. For background we refer to [Mon19] and [Mon20]. Throughout these notes k is a fixed field.

# 2. SIMPLE CRITERION FOR SEPARATEDNESS

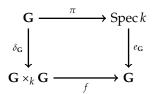
**Proposition 2.1.** Let **G** be a group scheme over k and let  $e_{\mathbf{G}}: \operatorname{Spec} k \to \mathbf{G}$  be its unit. Then the following are equivalent.

- (i)  $e_G$  is a closed immersion.
- (ii) **G** is separated.

*Proof.* Suppose that (i) holds. Consider morphism  $f : \mathbf{G} \times_k \mathbf{G} \to \mathbf{G}$  given on *A*-points by formula

$$f(g_1, g_2) = g_1 \cdot g_2^{-1}$$

where *A* is a *k*-algebra. Note that we have a cartesian square



where  $\delta_G$  is a diagonal of **G** and the top horizontal arrow is the structure morphism. Since base change of a closed immersion is a closed immersion, we derive that  $\delta_G$  is a closed immersion and hence **G** is separated. This is (ii).

Suppose now that (ii) holds. Let  $\pi: \mathbf{G} \to \operatorname{Spec} k$  be the structural morphism. Then  $\pi \cdot e_{\mathbf{G}} = 1_{\mathbf{G}}$ . Since  $\pi$  is a separated morphism, we derive that (by cancellation)  $e_{\mathbf{G}}$  is closed immersion. This is (i).

**Definition 2.2.** Let **G** be a group scheme over k. If **G** is (locally) of finite type over k, then we say that **G** is an (a locally) algebraic group over k.

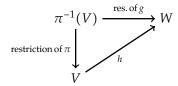
**Corollary 2.3.** *Let* **G** *be a locally algebraic group over k. Then* **G** *is separated.* 

*Proof.* Consider the unit  $e_{\mathbf{G}}: \operatorname{Spec} k \to \mathbf{G}$ . Since  $\mathbf{G}$  is locally of finite type, we derive that each k-point is closed in  $\mathbf{G}$ . Thus  $e_{\mathbf{G}}$  is a closed immersion. By Proposition 2.1 we derive that  $\mathbf{G}$  is separated.

### 3. ABELIAN VARIETIES

We start this section with the following general result.

**Theorem 3.1** (Rigidity). Let  $\pi: X \to Y$  be a proper morphism of schemes such that  $\pi^{\sharp}: \mathcal{O}_Y \to \pi_* \mathcal{O}_X$  is an isomorphism of sheaves. Let  $g: X \to Z$  be a morphism of schemes. Suppose that for some point y in Y there is a point z of Z such that  $\pi^{-1}(y) \subseteq g^{-1}(z)$ . Then there exist an affine neighborhood V of Y and an affine neighborhood Y of Y such that Y is Y in Y making the diagram



commutative, where horizontal arrow is the restriction of g.

*Proof.* Consider an affine open neighborhood of W of z. Since  $\pi$  is proper and  $\pi^{-1}(y) = g^{-1}(z)$ , we derive that  $\pi(X \setminus g^{-1}(W))$  is a closed subset of Y that does not contain y. Pick an open affine neighborhood V of y in Y that does not intersect with  $\pi(X \setminus g^{-1}(W))$ . Then  $\pi^{-1}(V) \subseteq g^{-1}(W)$ . Since  $\pi^{\#}$  is an isomorphism we have the composition

$$\mathcal{O}_{Z}(W) \xrightarrow{g_{W}^{\#}} \Gamma(g^{-1}(W), \mathcal{O}_{X}) \xrightarrow{(-)_{|\pi^{-1}(V)}} \Gamma(\pi^{-1}(V), \mathcal{O}_{X}) \xrightarrow{(\pi_{V}^{\#})^{-1}} \mathcal{O}_{Y}(V)$$

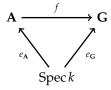
This composition induces a morphism of affine schemes  $h:V\to W$ . Since a morphism from a scheme to an affine scheme is determined by the morphism on global sections of structure sheaves, we derive that h makes the triangle in the statement commutative.

Now we can apply this result to study complete algebraic groups over *k*. For this we need the following definition.

**Definition 3.2.** Let **A** be a geometrically integral, complete algebraic group over k. Then we say that **A** is an abelian variety over k.

Now we prove the following interesting result.

**Theorem 3.3.** Let **A** be an abelian variety over k, let **G** be a separated group scheme over k and let  $f: \mathbf{A} \to \mathbf{G}$  be a morphism of schemes over k. Suppose that the diagram



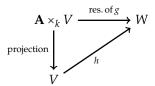
is commutative. Then f is a morphism of groups schemes over k.

*Proof.* We define a morphism  $g : \mathbf{A} \times_k \mathbf{A} \to \mathbf{G}$  given by

$$(x_1, x_2) \mapsto f(x_1) \cdot f(x_2) \cdot f(x_1 \cdot x_2)^{-1}$$

where A is a k-algebra and  $x_1, x_2$  are A-points of A. It suffices to show that g factors through Spec  $k(e_G)$ . For this we may change base to an algebraic closure of k by faitfully flat descent. So

we may assume that the field k is algebraically closed and  $\mathbf{A}$  is connected. Then the projection onto second factor  $\pi: \mathbf{A} \times_k \mathbf{A} \to \mathbf{A}$  is proper and  $k = \Gamma(\mathbf{A}, \mathcal{O}_{\mathbf{A}})$  implies that  $\pi^{\#}$  is an isomorphism of sheaves on  $\mathbf{A}$ . Moreover, note that  $\pi^{-1}(e_{\mathbf{A}}) \subseteq g^{-1}(e_{\mathbf{G}})$ . Indeed, this follows from the assumption that  $f(e_{\mathbf{A}}) = e_{\mathbf{G}}$ . By Theorem 3.1 we deduce that there exist an affine neighborhood V of  $e_{\mathbf{A}}$ , an affine neighborhood V of  $e_{\mathbf{G}}$  and a morphism  $h: \operatorname{Spec} k \to W$  such that  $\pi^{-1}(V) \subseteq g^{-1}(W)$  and the diagram



is commutative. Hence for every k-point v of V we have the restiction  $g_{|\mathbf{A}\times_k \operatorname{Spec} k(v)}$  factors through  $\operatorname{Spec} k(h(v))$ . Since  $g(v,e_{\mathbf{A}})=e_{\mathbf{G}}$ , we derive that  $h(v)=e_{\mathbf{G}}$  and thus  $g_{|\mathbf{A}\times_k \operatorname{Spec} k(v)}$  factors through  $\operatorname{Spec} k(e_{\mathbf{G}})$ . This holds for any k-point of V. Therefore,  $g_{|\mathbf{A}\times_k V}$  factors through  $\operatorname{Spec} k(e_{\mathbf{G}})$ . Consider the kernel  $i:Z \to \mathbf{A} \times_k \mathbf{A}$  of a pair consisting of g and a morphism  $\mathbf{A} \times_k \mathbf{A} \to \mathbf{G}$  that factorizes through  $\operatorname{Spec} k(e_{\mathbf{G}})$ . Since  $\mathbf{G}$  is separated, we derive that i is a closed immersion. Moreover, i dominates  $\mathbf{A} \times_k V$ . Since  $\mathbf{A} \times_k V$  is schematically dense open subset of  $\mathbf{A} \times_k \mathbf{A}$  (because  $\mathbf{A} \times_k \mathbf{A}$  is integral), we derive that i is an isomorphism and hence g factors through  $\operatorname{Spec} k(e_{\mathbf{G}})$ .  $\square$ 

**Corollary 3.4.** *Let* A *be an abelian variety over* k. *Then* A *is a commutative group scheme over* k.

*Proof.* Consider the morphism  $f : \mathbf{A} \to \mathbf{A}$  given on A-points of  $\mathbf{A}$  by

$$f(x) = x^{-1}$$

where *A* is a *k*-algebra. By Theorem 3.3 we derive that *f* is a morphism of group schemes over *k*. Hence **A** is a commutative group scheme.  $\Box$ 

## 4. Representability of fixed points

**Definition 4.1.** Let  $\mathfrak{G}$  be a monoid k-functor and let  $\alpha : \mathfrak{G} \times \mathfrak{X} \to \mathfrak{X}$  be an action of  $\mathfrak{G}$  on a k-functor. Then we define a k-subfunctor  $\mathfrak{X}^{\mathfrak{G}}$  of  $\mathfrak{X}$  by

$$\mathfrak{X}^{\mathfrak{G}}(A) = \{x \in \mathfrak{X}(A) \mid \text{ for any } A\text{-algebra } f : A \to B \text{ and } g \in \mathfrak{G}(B) \text{ we have } \alpha(g, \mathfrak{X}(f)(x)) = \mathfrak{X}(f)(x) \}$$

for every k-algebra A. Then  $\mathfrak{X}^{\mathfrak{G}}$  is called *the fixed point k-functor*.

**Theorem 4.2.** Let **G** be a group scheme over k and let  $a : \mathbf{G} \times_k X \to X$  be an action of **G** on a k-scheme X. Suppose that one of the following assertions hold.

- (i) *X* is separated.
- (ii) **G** is a geometrically connected, locally algebraic group.

The following result is based on [Mon19, Theorem 6.2] and plays the fundamental role in the proof.

**Lemma 4.2.1.** Let X, Y be k-schemes and let  $a: Y \times_k X \to X$  be a morphism of k-schemes. Suppose that one of the following assertions hold.

- (1) *X* is separated.
- **(2)** For every open subscheme U of X we have a  $(Y \times_k U) \subseteq U$

Consider a k-functor given by formula

$$A \mapsto \left\{ f : \operatorname{Spec} A \to X \,\middle|\, a \cdot (1_Y \times_k f) = \operatorname{pr}_X \cdot (1_Y \times_k f) \right\}$$

where A is a k-algebra and  $\operatorname{pr}_X: Y \times_k X \to X$  is the projection. Then this k-functor is representable by a closed subscheme of X.

*Proof of the lemma.* Assume first that X is separated. Consider a morphism  $\langle a, \operatorname{pr}_X \rangle : Y \times_k X \to X \times_k X$ . By [Mon20, Corollary 4.6] we deduce that  $\mathfrak{P}_{\langle a,\operatorname{pr}_X \rangle}$  corresponds to a morphism  $\sigma : \mathfrak{P}_X \to \operatorname{\mathcal{M}or}_k(\mathfrak{P}_Y,\mathfrak{P}_X \times \mathfrak{P}_X)$  of k-functors. Since X is separated, the diagonal  $\delta_X : X \to X \times_k X$  is a closed immersion. This implies that  $\mathfrak{P}_{\delta_X}$  is a closed immersion of k-functors. The fact that Y is locally free over k and [Mon19, Theorem 6.2] imply that

$$\mathcal{M}or_{k}\left(1_{\mathfrak{P}_{Y}},\mathfrak{P}_{\delta_{X}}\right):\mathcal{M}or_{k}\left(\mathfrak{P}_{Y},\mathfrak{P}_{X}\right)\hookrightarrow\mathcal{M}or_{k}\left(\mathfrak{P}_{Y},\mathfrak{P}_{X}\times\mathfrak{P}_{X}\right)$$

is a closed immersion of *k*-functors. Consider now a cartesian square

$$\mathfrak{X} \xrightarrow{j} \mathcal{M}or_{k}(\mathfrak{P}_{Y}, \mathfrak{P}_{X}) 
\downarrow \mathcal{M}or_{k}(1_{\mathfrak{P}_{Y}}, \mathfrak{P}_{\delta_{X}}) 
\mathfrak{P}_{X} \xrightarrow{\sigma} \mathcal{M}or_{k}(\mathfrak{P}_{Y}, \mathfrak{P}_{X} \times \mathfrak{P}_{X})$$

of k-functors. By base change  $j:\mathfrak{X}\to\mathfrak{P}_X$  is a closed immersion of k-functors. Thus we derive that  $\mathfrak{X}$  is representable by a closed subscheme of  $\mathfrak{X}$ . It suffices to observe that  $\mathfrak{X}$  is precisely the k-functor described in the statement. This proves the statement under the assumption (1). Now suppose that  $a(Y\times_k U)\subseteq U$  for every open subscheme U of X. For every open subscheme denote by  $a_U:Y\times_k U\to U$  the restriction of a. Let  $\mathcal{U}$  be an open affine cover of X. Then functors

$$\left\{ \mathbf{Alg}_k \ni A \mapsto \left\{ f : \operatorname{Spec} A \to U \,\middle|\, a \cdot (1_Y \times_k f) = \operatorname{pr}_X \cdot (1_Y \times_k f) \right\} \in \mathbf{Set} \right\}_{U \in \mathcal{U}}$$

form an open cover ([Mon19, Definition 4.5]) of the k-functor in the statement. Moreover, since each U in U is affine and hence separated, we derive by the first part of the proof that each k-functor in the family is representable. Now [Mon19, Theorem 4.6] imply that the functor in the statement is representable. This finishes the proof in case (2).

**Lemma 4.2.2.** *Let*  $f : \mathbf{H} \to \mathbf{G}$  *be a morphism of locally algebraic groups over k. Suppose that the following assertions hold.* 

**(1)** *The morphism* 

$$\widehat{\mathcal{O}_{G,\ell_G}} \to \widehat{\mathcal{O}_{H,\ell_H}}$$

induced by  $f^{\#}$  is an isomorphism.

(2) f is a monomorphism of k-schemes.

Then f is an open immersion.

*Proof of the lemma.* The assertion (1) implies that f is étale in  $e_H$ . Let K be an algebraic closure of k. Consider the étale locus U of  $f_k = 1_K \otimes_k f : \mathbf{H}_K \to \mathbf{G}_K$ . Then U is an open subscheme of  $\mathbf{H}_K$  containing the unit. Moreover, for every K-point h of  $\mathbf{H}_K$  we have a commutative square

$$\begin{array}{ccc}
\mathbf{H}_{K} & \xrightarrow{f_{K}} & \mathbf{G}_{K} \\
h \cdot (-) \downarrow & & \downarrow f_{K}(h) \cdot (-) \\
\mathbf{H}_{K} & \xrightarrow{f_{K}} & \mathbf{G}_{K}
\end{array}$$

where  $h \cdot (-)$  and  $f_K(h) \cdot (-)$  are isomorphisms of K-schemes. This proves that  $h \cdot U \subseteq U$ . Hence U contains all K-rational points of  $\mathbf{H}_K$ . Therefore, the complement of U in  $\mathbf{H}_K$  is empty. Hence  $U = \mathbf{H}_K$ . This shows that  $f_K$  is étale and by faithfully flat descent also f is étale. Since étale monomorphisms are open immersions, we derive that f is an open immersion.  $\square$ 

*Proof of the theorem.* If (1) holds, then the statement follows directly from Lemma 4.2.1. Suppose now that (2) holds that is **G** is an algebraic group. For each  $n \in \mathbb{N}$  we define

$$\mathbf{G}_n = \operatorname{Spec} \mathcal{O}_{\mathbf{G}, e_{\mathbf{G}}} / \mathfrak{m}_{e_{\mathbf{G}}}^{n+1}$$

where e is the unit of G. Then  $G_n$  is the n-th infinitesimal neighborhood of e in G. Denote by  $p_n : G_n \times_k X \to X$  the projection on the second factor. Let  $a_n : G_n \times_k X \to X$  be the morphism induced by a. Note that for every open subscheme U of X we have  $a_n (G_n \times_k U) \subseteq U$ . By Lemma 4.2.1 it follows that the k-functor given by

$$\mathbf{Alg}_k \ni A \mapsto \{f : \operatorname{Spec} A \to X \mid a_n \cdot (1_{\mathbf{G}_n} \times_k f) = \operatorname{pr}_n \cdot (1_{\mathbf{G}_n} \times_k f) \} \in \mathbf{Set}$$

is representable by a closed subscheme  $Z_n$  of X. Consider now the quasi-coherent ideal  $\mathcal{I}_n$  of  $Z_n$  inside X. Define

$$\mathcal{I} = \sum_{n \in \mathbb{N}} \mathcal{I}_n$$

Let  $i: Z \hookrightarrow X$  be a closed subscheme of X determined by  $\mathcal{I}$ . This means that Z is the scheme-theoretic intersection inside X of closed subschemes  $Z_n$  for  $n \in \mathbb{N}$ . We show that Z represents the fixed point functor. For this assume that A is a k-algebra and  $f: \operatorname{Spec} A \to X$  is a morphism of k-schemes such that f is an A-point of the fixed point functor. This is equivalent with

$$a \cdot (1_{\mathbf{G}} \times_k f) = \operatorname{pr}_X \cdot (1_{\mathbf{G}} \times_k f)$$

From this equality we deduce that

$$a_n \cdot (1_{\mathbf{G}_n} \times_k f) = \operatorname{pr}_n \cdot (1_{\mathbf{G}_n} \times_k f)$$

for every  $n \in \mathbb{N}$  and hence f factors through  $Z_n$  for every  $n \in \mathbb{N}$ . We derive that f factors through Z. This proves that the fixed point functor is a k-subfunctor of the functor of points of Z. It suffices to prove that Z is invariant with respect to G-action. For this consider the morphism  $b : G \times_k Z \to X$  induced by a. By [Mon20, Corollary 4.6] morphism b corresponds to a morphism  $\sigma : \mathfrak{P}_G \to \mathcal{M}$ or $_k (\mathfrak{P}_Z, \mathfrak{P}_X)$  of k-functors. Consider the cartesian square

$$\mathfrak{H}_{\mathbf{G}} \xrightarrow{j} \mathcal{M}or_{k}(\mathfrak{P}_{Z}, \mathfrak{P}_{Z}) \\
\downarrow \qquad \qquad \downarrow \mathcal{M}or_{k}(1_{\mathfrak{P}_{Z}}, \mathfrak{P}_{i}) \\
\mathfrak{P}_{\mathbf{G}} \xrightarrow{\sigma} \mathcal{M}or_{k}(\mathfrak{P}_{Z}, \mathfrak{P}_{X})$$

The fact that Z is locally free over k and [Mon19, Theorem 6.2] imply that  $\mathcal{M}\mathrm{or}_k\left(\mathfrak{P}_Z,\mathfrak{P}_i\right)$  is a closed immersion of k-functors. Hence  $j:\mathfrak{H}\to\mathfrak{P}_G$  is a closed immersion. Moreover,  $\mathfrak{H}$  is a subgroup k-functor of  $\mathfrak{P}_G$ . Thus we deduce that j is induced by a closed immersion of an algebraic groups  $f:\mathbf{H}\to\mathbf{G}$ . By definition of  $i:Z\to X$ , we derive that morphism of local k-algebras

$$\widehat{\mathcal{O}_{G,\ell_G}} \to \widehat{\mathcal{O}_{H,\ell_H}}$$

induced by  $f^{\#}$  is an isomorphism. Hence by Lemma 4.2.2 f is an open immersion of locally algebraic groups. Since G is geometrically connected, we deduce that f is an isomorphism. Thus f is an isomorphism and this means that f is an isomorphism and this means that f is an isomorphism and this means that f is an isomorphism.

## 5. Transporters

**Definition 5.1.** Let  $\mathfrak{G}$  be a monoid k-functor and let  $\alpha : \mathfrak{G} \times \mathfrak{X} \to \mathfrak{X}$  be an action of  $\mathfrak{G}$  on a k-functor  $\mathfrak{X}$ . Suppose that  $\mathfrak{Y}_1, \mathfrak{Y}_2$  are k-subfunctors of  $\mathfrak{X}$ . For every k-algebra A we define

Transp<sub>\mathbf{\mathbf{G}}</sub> 
$$(\mathfrak{Y}_1, \mathfrak{Y}_2)(A) = \{g \in \mathfrak{G}(A) \mid \alpha_g(\mathfrak{Y}_1(A)) \subseteq \mathfrak{Y}_2(A)\}$$

where as usual  $\alpha_g$  is a slice of  $\alpha$  along g. Then Transp<sub> $\mathfrak{G}$ </sub> ( $\mathfrak{Y}_1, \mathfrak{Y}_2$ ) is a k-subfunctor of  $\mathfrak{G}$ . It is called the transporter of  $\mathfrak{Y}_1$  into  $\mathfrak{Y}_2$  with respect to  $\alpha$ .

# 6. MORPHISMS OF LOCALLY ALGEBRAIC GROUPS

**Theorem 6.1.** Let  $f: \mathbf{H} \to \mathbf{G}$  be a morphism of algebraic groups over k. Then the following are equivalent.

- (i) f is a monomorphism of k-schemes.
- (ii) f is a locally closed immersion of k-schemes.
- (iii) f is a closed immersion of k-schemes.

**Theorem 6.2.** Let  $f: X \to Y$  be a monomorphism of finite type with X, Y noetherian. Then there exists open dense subscheme V of Y such that the morphism  $f^{-1}(V) \to V$  induced by f is a locally closed immersion.

The proof is based on a sequence of results.

**Lemma 6.2.1.** Let K and L be a fields. If Spec  $L \hookrightarrow \operatorname{Spec} K$  is a monomorphism of schemes, then it is an isomorphism.

*Proof of the lemma.* Since the diagonal of a monomorphism is an isomorphism, we deduce that the multiplication map  $L \otimes_K L \to L$  is an isomorphism. This implies that  $\dim_k(L) = \dim_L(L \otimes_k L) = 1$ . Hence  $k \hookrightarrow L$  is an isomorphism of fields.

**Lemma 6.2.2.** There exists an open dense subset U of X such that  $f_{|U}$  is a locally closed immersion.

*Proof of the lemma.* Suppose that x is a generic point of an irreducible component of X. Let y = f(x). Pick  $f_y : X_y \to \operatorname{Spec} k(y)$ . Then  $f_y$  is a monomorphism. Since  $\operatorname{Spec} k(x)$  is a closed subscheme of  $X_y$ , we derive that the composition of  $\operatorname{Spec} k(x) \to X_y$  and  $f_y$  is a monomorphism  $\operatorname{Spec} k(x) \to \operatorname{Spec} k(y)$  of schemes. By Lemma 6.2.1 we deduce that is an isomorphism. We derive that  $f_y$  is a retraction. A retraction that is a monomorphism is an isomorphism. Hence  $f_y$  is an isomorphism. This shows that  $k(y) \cong \mathcal{O}_{X,x}/\mathfrak{m}_y \mathcal{O}_{X,x}$ . Thus we have

$$\mathcal{O}_{X,x} = f^{\#} \left( \mathcal{O}_{Y,y} \right) + \mathfrak{m}_y \mathcal{O}_{X,x}$$

Since x is a generic point of an irreducible component of X, we derive that  $\mathcal{O}_{X,x}$  is artinian. Thus  $\mathfrak{m}_y \mathcal{O}_{X,x} \subseteq \mathfrak{m}_x$  is a nilpotent ideal. Thus  $f^\# : \mathcal{O}_{Y,y} \to \mathcal{O}_{X,x}$  is onto.

**Lemma 6.2.3.** Let  $f: A \to B$  be a morphism of finite type between noetherian rings. Suppose that  $\mathfrak{p} \in \operatorname{Spec} A$  and  $\mathfrak{q} \in \operatorname{Spec} B$  are prime ideals such that  $f^{-1}(\mathfrak{q}) = \mathfrak{p}$ . Assume that f induces a surjective morphism  $A_{\mathfrak{p}} \to B_{\mathfrak{q}}$ . Then there exists  $s \in B \setminus \mathfrak{q}$  such that f induces a surjective morphism  $A \to B_s$ .

*Proof of the Lemma.* First assume that  $A_{\mathfrak{p}} \to B_{\mathfrak{q}}$  is bijective and let  $\phi: B_{\mathfrak{q}} \to A_{\mathfrak{p}}$ . Since  $f: A \to B$  is morphism of finite type between noetherian rings, we have  $B \cong A[x_1,...,x_n]/I$  for some finitely generated ideal  $I \subseteq A[x_1,...,x_n]$  and free variables  $x_1,...,x_n$ . Let  $\overline{x}_i = x_i \mod I$  for  $1 \le i \le n$ . Suppose that  $a_1,...,a_n$  are elements in A such that

$$\frac{a_i}{1} = \phi\left(\frac{\overline{x}_i}{1}\right)$$

for  $1 \le i \le n$ .

# REFERENCES

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