#### ABELIAN CATEGORIES - SOME RESULTS

#### 1. DIRECTED CLASSES AND FILTERED CATEGORIES

In this section we introduce the notion of directed class and then we generalize it to the categorical setting.

**Definition 1.1.** Let *I* be a class equipped with a reflexive and transitive relation  $\leq$ . We say that *I* is *a directed class* if for any  $i, j \in I$  there exists  $k \in I$  such that  $i \leq k$  and  $j \leq k$ .

Let I be a class equipped with a reflexive and transitive relation  $\leq$ . It is standard [Mac Lane, 1998, page 11] to view I as a category with at most one arrow between any two objects.

**Definition 1.2.** Let *I* be a category. Suppose that the following conditions are satisfied.

(1) For any objects  $i, j \in I$  there exists an object  $k \in I$  and a diagram



(2) For any pair of parallel morphisms in I

$$i \Longrightarrow j$$

there exist an object  $k \in I$  and a morphism  $j \to k$  such that, the diagram

$$i \longrightarrow j \longrightarrow k$$

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is commutative.

Then we say that *I* is a filtered category.

**Fact 1.3.** Let I be a class equipped with a reflexive and transitive relation. Then I is a directed class if and only if I viewed as a category is filtered.

*Proof.* Left to the reader.

**Fact 1.4.** Let I be a filtered category and J be a class equipped with a reflexive and transitive relation  $\leq$ . Suppose that  $F: I \to J$  is a functor. Then the image of I under F is a directed subclass of J.

*Proof.* Left to the reader.  $\Box$ 

**Definition 1.5.** Let C be a category and I be a filtered category (directed class). A colimit of an I-indexed some diagram  $I \to C$  is called a *filtered* (*directed*) colimit.

# 2. Subobjects and objects of finite type

**Definition 2.1.** Let  $\mathcal{C}$  be a category and X be an object in  $\mathcal{C}$ . Monomorphisms  $X_1 \hookrightarrow X$  and  $X_2 \hookrightarrow X$  are *equivalent* if there exists a commutative triangle



in which horizontal arrow is an isomorphism. The collection Sub(X) of equivalence classes of monomorphisms having X as a target is called *the class of subobjects of* X.

Let X be an object of a category  $\mathcal C$  and  $X' \hookrightarrow X$  be a monomorphism. By abuse of notation we say that X' is an subobject of X and by this we understand the subobject of X represented by a monomorphism  $X' \hookrightarrow X$ . We also write  $X' \subseteq X$ . Next suppose that  $X_1 \subseteq X$  and  $X_2 \subseteq X$  are subobjects of X. We write  $X_1 \subseteq X_2$  if there exists a commutative triangle



This defines a partial order on the class Sub(X).

Now we investigate important notion of well-powered categories. For this we introduce this it with a company of several other significant concepts.

**Definition 2.2.** A category  $\mathcal{C}$  is called *well-powered* if Sub(X) is a set for every object X in  $\mathcal{C}$ .

**Definition 2.3.** Let C be a category such that a morphism in C that is simultaneously a monomorphism and an epimorphism is an isomorphism. Then we say that C is *balanced*.

**Definition 2.4.** Let C be a category. A class G of objects of C is called *a class of generators for* C if for any pair of distinct and parallel arrows

$$X \xrightarrow{f} Y$$

there exists  $G \in \mathcal{G}$  and a morphism  $h : G \to X$  such that  $f \cdot h \neq g \cdot h$ .

**Proposition 2.5.** Let C be a balanced, locally small category that admits fiber products. Assume that G is a **set** of generators for C. Then C is well-powered.

*Proof.* Fix an object X of C. Then every subobject X' of X gives rise to a set

Factor(
$$X'$$
) =  $\{f \in Mor(\mathcal{C}) \mid dom(f) \in \mathcal{G}, cod(f) = X \text{ and } f \text{ factors through } X'\} \subseteq \prod_{G \in \mathcal{G}} Mor_{\mathcal{C}}(G, X)$ 

It suffices to prove that

$$\operatorname{Sub}(X) \ni X' \mapsto \operatorname{Factor}(X') \in \mathcal{P}\left(\prod_{G \in \mathcal{G}} \operatorname{Mor}_{\mathcal{C}}(G, X)\right)$$

is injective (because a class bijective with a set is a set itself). For this assume that  $X_1$  and  $X_2$  are subobjects of X such that  $Factor(X_1) = Factor(X_2)$ . Since  $\mathcal{C}$  admits fiber products, we derive that there exists a fiber product of  $X_1 \hookrightarrow X$  and  $X_2 \hookrightarrow X$ . We denote it by  $X_1 \cap X_2$  and consider it as a subobject of X via the canonical map  $X_1 \cap X_2 \hookrightarrow X$ . By universal property of fiber product we deduce that  $Factor(X_1) = Factor(X_1 \cap X_2) = Factor(X_2)$ . This implies that for every object Y of  $\mathcal{C}$  maps

$$\operatorname{Mor}_{\mathcal{C}}(X_1, Y) \to \operatorname{Mor}_{\mathcal{C}}(X_1 \cap X_2, Y), \operatorname{Mor}_{\mathcal{C}}(X_2, Y) \to \operatorname{Mor}_{\mathcal{C}}(X_1 \cap X_2, Y)$$

induced by  $X_1 \cap X_2 \hookrightarrow X_1$  and  $X_1 \cap X_2 \hookrightarrow X_2$  are injective. Therefore, morphisms  $X_1 \cap X_2 \hookrightarrow X_1$  and  $X_1 \cap X_2 \hookrightarrow X_2$  are epimorphisms. Since they are also monomorphisms and  $\mathcal{C}$  is balanced, they are isomorphisms. Thus  $X_1, X_1 \cap X_2, X_2$  represent the same subobject of X.

**Definition 2.6.** Let C be a category and X be an object in C. A filtered (directed) family of subobjects of X is a functor  $I \to \operatorname{Sub}(X)$  from a small filtered category (directed set) I.

Suppose that  $\mathcal{C}$  is a category, X is an object of  $\mathcal{C}$  and I is a filtered category. Let  $I \to \operatorname{Sub}(X)$  be a filtered family of subobjects of X. Then it can be described as a map  $I \ni i \mapsto X_i \in \operatorname{Sub}(X)$  such that for every morphism  $i \to j$  in I we have  $X_i \subseteq X_j$ . For pragmatical reasons we usually use this more explicit description and we view filtered families of subobjects as an indexed families of the form  $\{X_i\}_{i \in I}$ .

**Definition 2.7.** Let C be a category and X be an object in C. A filtered family of subobjects  $\{X_i\}_{i \in I}$  of X is *complete* if  $X = \text{colim}_{i \in I} X_i$ 

**Definition 2.8.** Let C be a category and X be an object in C. Suppose that for every complete filtered family  $\{X_i\}_{i\in I}$  of subobjects of X there exists  $i_0 \in I$  such that  $X_{i_0} = X$  for every  $i \in I$ . Then we say that X is *of finite type*.

**Definition 2.9.** Let C be a category. We say that C is *locally finite* if for every object X there exists a complete filtered family  $\{X_i\}_{i\in I}$  of subobjects of X such that  $X_i$  is of finite type for every  $i \in I$ .

3. ABELIAN CATEGORIES - DEFINITION AND STATEMENT OF THE EMBEDDING THEOREM

**Definition 3.1.** Let C be a locally small category. Suppose that the following conditions hold.

- (1) C has a zero object (i.e. an object that is both initial and terminal).
- (2) C has finite limits and colimits.
- (3) Each epimorphism in  $\mathcal C$  is a cokernel of some arrow and each monomorphism in  $\mathcal C$  is a kernel of some arrow.

Then C is called an abelian category.

The definition of an abelian category is taken from [Freyd, 1964]. This differs from the more popular definition [Mac Lane, 1998, page 198] - it does not assume the existence of **Ab**-enrichment (abelian group structure on sets of morphisms). The two notions are equivalent by [Freyd, 1964, Theorem 2.39].

The next result shows that all elementary properties of categories of modules over a ring hold for general abelian categories.

**Theorem 3.2** (Freyd-Mitchell embedding). *Let* C *be a small abelian category. Then there exists a ring* R *and a full, faithful and exact functor*  $E: C \to \mathbf{Mod}(R)$ .

This is [Freyd, 1964, Theorem 7.34].

### 4. AB-CONDITIONS IN CATEGORIES

In this section we discuss Grothendieck's **Ab**-conditions in categories. The original source of the discussion below is the seminal work [Grothendieck, 1957]. First let us explain that Grothendieck introduces **Ab**0-categories as additive categories, **Ab**1-categories as preabelian categories and **Ab**2-categories as the usual abelian categories. Then he continues with more specific classes of abelian categories and recapitulation of parts of his work is our main task here.

**Definition 4.1.** A category C is an **Ab**3-category if it is an abelian category and small direct sums in C exists.

Let  $\mathcal{C}$  be an **Ab**3-category,  $\{X_i\}_{i\in I}$  be a family of objects of  $\mathcal{C}$  and let  $\{f_i: X_i \to Y\}_{i\in I}$  be a family of morphisms in  $\mathcal{C}$ . Then we denote by

$$\sum_{i \in I} f_i : \bigoplus_{i \in I} X_i \to Y$$

a unique morphism determined by requirement  $(\sum_{i \in I} f_i) \cdot v_i = f_i$  for each  $i \in I$ , where  $v_i : X_i \to \bigoplus_{i \in I} X_i$  is the canonical inclusion.

**Theorem 4.2.** Let C be an **Ab**3-category and let  $(\{X_i\}_{i\in I}, \{u_\alpha\}_{\alpha\in Mor(I)})$  be a diagram indexed by a small category I. Consider a right exact sequence

$$\bigoplus_{\alpha \in \operatorname{Mor}(I)} X_{\operatorname{dom}(\alpha)} \xrightarrow{\sum_{\alpha \in \operatorname{Mor}(I)} \left( v_{\operatorname{cod}(\alpha)} \cdot u_{\alpha} - v_{\operatorname{dom}(\alpha)} \right)} \bigoplus_{i \in I} X_{i} \xrightarrow{q} X$$

where for each i morphism  $v_i: X_i \to \bigoplus_{i \in I} X_i$  is canonical. Define  $u_i = q \cdot v_i$  for every  $i \in I$ . Then  $(X, \{u_i\}_{i \in I})$  is a colimiting cone of  $(\{X_i\}_{i \in I}, \{u_\alpha\}_{\alpha \in \operatorname{Mor}(I)})$ .

*Proof.* This is a reformulation of the dual statement to [Mac Lane, 1998, page 113, Theorem 1] and we left it to the reader.

**Definition 4.3.** A category C is an **Ab**4-category if it is **Ab**3-category and small direct sums in C are exact.

**Fact 4.4.** Let C be an **Ab**3-category and assume that small filtered colimits in C are exact. Then C is an **Ab**4-category.

*Proof.* Finite direct sums are exact in arbitrary abelian categories and a small direct sum is a filtered colimit of finite direct sums taken over finite subsets of the indexing set. Thus the result follows.  $\Box$ 

**Definition 4.5.** Let  $\mathcal{C}$  be an **Ab**3-category. If for every object X of  $\mathcal{C}$ , every subobject  $Y \subseteq X$  and every directed family  $\{X_i\}_{i \in I}$  of subobjects of X the following formula holds

$$Y \cap \sum_{i \in I} X_i = \sum_{i \in I} Y \cap X_i$$

then we say that C is an **Ab**5-category.

The next result is very useful.

**Theorem 4.6.** Let C be an **Ab**5-category and let  $(\{X_i\}_{i\in I}, \{u_{\alpha}\}_{{\alpha}\in Mor(I)})$  be a diagram indexed by a small filtered category I. Suppose that  $(X, \{u_i\}_{i\in I})$  is a colimiting cone of  $(\{X_i\}_{i\in I}, \{u_{\alpha}\}_{{\alpha}\in Mor(I)})$ . Then

$$\ker(u_j) = \sum_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = j} \ker(u_\alpha)$$

for every  $j \in I$ .

The following result is a direct consequence of definition of Ab5-category.

**Lemma 4.6.1.** Let C be an **Ab**5-category, X be its object and  $\{X_i\}_{i\in I}$  be a filtered family of subobjects of X. Assume also that  $f: Y \to X$  is a monomorphism. Then

$$f^{-1}\left(\sum_{i\in I}X_i\right) = \sum_{i\in I}f^{-1}(X_i)$$

Proof of the lemma. It suffices to prove that

$$f(Y)\cap \sum_{i\in I} X_i = \sum_{i\in I} f(Y)\cap X_i$$

From Fact 1.4 and applying factorization of  $I \to \operatorname{Sub}(X)$  through its image we can view  $\{X_i\}_{i \in I}$  as a directed family of subobjects of X. Thus the result follows from the fact that C is Ab5.

*Proof of the theorem.* Obviously

$$\sum_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = j} \ker(u_{\alpha}) \subseteq \ker(u_{j})$$

It suffices to prove that the reverse inclusion holds. For every i in I denote by  $v_i: X_i \to \bigoplus_{i \in I} X_i$  the canonical morphism. By Theorem 4.2 we have

$$\ker(u_j) = v_j^{-1} \left( \operatorname{im} \left( \sum_{\alpha \in \operatorname{Mor}(I)} v_{\operatorname{cod}(\alpha)} \cdot u_\alpha - v_{\operatorname{dom}(\alpha)} \right) \right) = v_j^{-1} \left( \operatorname{im} \left( \sum_{F \subseteq \operatorname{Mor}(I), |F| \in \mathbb{N}} \sum_{\alpha \in F} \left( v_{\operatorname{cod}(\alpha)} \cdot u_\alpha - v_{\operatorname{dom}(\alpha)} \right) \right) \right)$$

Since C is **Ab**5-category and by Lemma 4.6.1, we deduce that

$$\ker(u_j) = \sum_{F \subseteq \operatorname{Mor}(I), |F| \in \mathbb{N}} v_j^{-1} \left( \operatorname{im} \left( \sum_{\alpha \in F} v_{\operatorname{cod}(\alpha)} \cdot u_\alpha - v_{\operatorname{dom}(\alpha)} \right) \right)$$

Thus it suffices to verify that

$$v_j^{-1}\left(\operatorname{im}\left(\sum_{\alpha\in F}v_{\operatorname{cod}(\alpha)}\cdot u_\alpha-v_{\operatorname{dom}(\alpha)}\right)\right)\subseteq \sum_{\alpha\in\operatorname{Mor}(I),\operatorname{dom}(\alpha)=j}\ker(u_\alpha)$$

for every finite subset F of Mor(I). Fix such F and suppose that  $\{i_1,...,i_n\}$  are all objects of I, which are either domains or codomains of arrows in F. If  $j \notin \{i_1,...,i_n\}$ , then

$$v_j^{-1} \left( \operatorname{im} \left( \sum_{\alpha \in F} v_{\operatorname{cod}(\alpha)} \cdot u_\alpha - v_{\operatorname{dom}(\alpha)} \right) \right) = 0$$

So we may assume that  $j \in \{i_1, ..., i_n\}$ . Consider a finite diagram in I that consists of  $\{i_1, ..., i_n\}$  and all arrows in F. Since I is filtered, there exists a cocone over this diagram. Hence there exist  $i_0$  in I and a family of morphisms  $\beta_{i_k} : i_k \to i_0$  for  $1 \le k \le n$  in I such that  $\beta_{\text{dom}(\alpha)} = \beta_{\text{cod}(\alpha)} \cdot \alpha$  for every  $\alpha \in F$ . Define  $f_i = 0$  for  $i \in I \setminus \{i_1, ..., i_n\}$  and  $f_i = u_{\beta_i}$  for  $i \in \{i_1, ..., i_n\}$ . Let  $f = \sum_{i \in I} f_i$ . We have a commutative square

$$X_{j} \xrightarrow{u_{\beta_{j}}} X_{i_{0}}$$

$$\downarrow^{v_{i_{0}}}$$

$$\bigoplus_{i \in I} X_{i} \xrightarrow{f} \bigoplus_{i \in I} X_{i}$$

and hence it follows that

$$u_{\beta_{j}}\left(v_{j}^{-1}\left(\operatorname{im}\left(\sum_{\alpha\in F}v_{\operatorname{cod}(\alpha)}\cdot u_{\alpha}-v_{\operatorname{dom}(\alpha)}\right)\right)\right)\subseteq v_{i_{0}}^{-1}\left(f\left(\operatorname{im}\left(\sum_{\alpha\in F}v_{\operatorname{cod}(\alpha)}\cdot u_{\alpha}-v_{\operatorname{dom}(\alpha)}\right)\right)\right)=0$$

$$=v_{i_{0}}^{-1}\left(\operatorname{im}\left(\sum_{\alpha\in F}v_{i_{0}}\cdot\left(u_{\beta_{\operatorname{cod}(\alpha)}}\cdot u_{\alpha}-u_{\beta_{\operatorname{dom}(\alpha)}}\right)\right)\right)=0$$

and thus

$$v_j^{-1}\left(\operatorname{im}\left(\sum_{\alpha\in F}v_{\operatorname{cod}(\alpha)}\cdot u_\alpha-v_{\operatorname{dom}(\alpha)}\right)\right)\subseteq\ker(u_{\beta_j})$$

This finishes the proof.

**Theorem 4.7.** Let C be an **Ab**3-category. Then the following are equivalent.

- (i) C is an Ab5-category.
- (ii) Small filtered colimits are exact in C.

*Proof.* The nontrivial part is (i)  $\Rightarrow$  (ii). Let *I* be a small filtered category and

$$\left\{0 \longrightarrow X_i' \stackrel{r_i}{\longrightarrow} X_i \stackrel{p_i}{\longrightarrow} X_i'' \longrightarrow 0\right\}_{i \in I}$$

be a diagram of exact sequences indexed by I. We denote by  $(\{X_i\}_{i\in I}, \{u_\alpha\}_{\alpha\in Mor(I)})$  and  $(\{X_i'\}_{i\in I}, \{v_\alpha\}_{\alpha\in Mor(I)})$  appropriate slices of this I-indexed diagram. Consider a complex

$$0 \longrightarrow X' \stackrel{r}{\longrightarrow} X \stackrel{p}{\longrightarrow} X'' \longrightarrow 0$$

where  $X' = \operatorname{colim}_{i \in I} X_i'$ ,  $X = \operatorname{colim}_{i \in I} X_i$ ,  $X'' = \operatorname{colim}_{i \in I} X_i''$ ,  $r = \operatorname{colim}_{i \in I} r_i$  and  $p = \operatorname{colim}_{i \in I} p_i$ . Clearly the complex is right exact. It suffices to prove that r is a monomorphism. For  $i \in I$  denote by  $v_i : X_i' \to X$ ,  $u_i : X_i \to X$  structural morphisms. Fix  $j \in I$  and consider  $Z = v_j^{-1}(\ker(r))$ . Then  $r_j(Z) \subseteq \ker(u_j)$  and hence by Theorem 4.6 we derive that

$$r_j(Z) \subseteq \sum_{\alpha \in \text{Mor}(I), \text{dom}(\alpha) = j} \text{ker}(u_\alpha)$$

Note that for every  $\alpha \in \operatorname{Mor}(I)$  with  $\operatorname{dom}(\alpha) = j$  we have  $r_j^{-1}(\ker(u_\alpha)) \subseteq \ker(v_\alpha)$ . Indeed, this follows easily from the fact that  $r_{\operatorname{cod}(\alpha)}$  is an monomorphism. Thus by Lemma 4.6.1, Theorem 4.6 and the fact that preimages preserve intersections we deduce that

$$Z = r_j^{-1}(r_j(Z)) = r_j^{-1}\left(\sum_{\alpha \in \text{Mor}(I), \text{dom}(\alpha) = j} r_j(Z) \cap \ker(u_\alpha)\right) = \sum_{\alpha \in \text{Mor}(I), \text{dom}(\alpha) = j} Z \cap r_j^{-1}(\ker(u_\alpha)) \subseteq \sum_{\alpha \in \text{Mor}(I), \text{dom}(\alpha) = j} Z \cap \ker(v_\alpha) = Z \cap \sum_{\alpha \in \text{Mor}(I), \text{dom}(\alpha) = j} \ker(v_\alpha) = Z \cap \ker(v_j)$$

and this implies that  $Z \subseteq \ker(v_i)$ . Since  $Z = v_i^{-1}(\ker(r))$ , we deduce that

$$0 = v_i(Z) = \ker(r) \cap \ker(v_i)$$

Now  $\{im(v_i)\}_{i\in I}$  is a filtered complete family of subobjects of X'. Hence by Lemma 4.6.1 we deduce that

$$\ker(r) = \ker(r) \cap \sum_{i \in I} \operatorname{im}(v_i) = \sum_{i \in I} \ker(r) \cap \operatorname{im}(v_i) = 0$$

and thus r is a monomorphism.

Corollary 4.8. Every Ab5-category is Ab4-category.

*Proof.* This is a consequence of Fact 4.4 and Theorem 4.7.

### 5. Finite type and finite presentation objects in $\mathbf{Ab}5$ -categories

In this section we investigate properties of finite type objects and related notion of finitely presented objects in  ${\bf Ab}5$ -categories.

**Proposition 5.1.** Let C be an **Ab**5-category and consider a short exact sequence

$$0 \longrightarrow X'' \longrightarrow X \xrightarrow{f} X' \longrightarrow 0$$

Then the following assertions hold.

- **(1)** If X is of finite type, then X' is of finite type.
- **(2)** If X'' and X' are of finite type, then X is of finite type.

*Proof.* For the proof of **(1)** consider a complete filtered family  $\{X'_i\}_{i\in I}$  of subobjects of X'. Then  $\{f^{-1}(X'_i)\}_{i\in I}$  is a complete filtered family of subobjects of X. Now X is of finite type. Thus there exists  $i_0 \in I$  such that  $f^{-1}(X'_{i_0}) = X$ . Hence  $X' = X'_{i_0}$ . This shows that X' is of finite type. Now we prove **(2)**. Let  $\{X_i\}_{i\in I}$  be a complete filtered family of subobjects of X. Since C is an **Ab**5-

Now we prove (2). Let  $\{X_i\}_{i\in I}$  be a complete filtered family of subobjects of X. Since  $\mathcal{C}$  is an **Ab**5-category, we derive that  $\{X'' \cap X_i\}_{i\in I}$  is a complete filtered family of subobjects of X''. Moreover,

 $\{f(X_i)\}_{i\in I}$  is a complete filtered family of subobjects of X'. Since both X' and X'' are of finite type, there exists  $i_0$  and  $i_1$  in I such that  $X'' = X'' \cap X_{i_0}$  and  $X' = f(X_{i_1})$ . Suppose that there are morphisms  $i_0 \to i_2$  and  $i_1 \to i_2$  for some  $i_2 \in I$ . Then  $X'' = X'' \cap X_{i_2}$  and  $X' = f(X_{i_2})$ . This implies that  $X = X_{i_2}$  and hence X is of finite type.

**Proposition 5.2.** Let C be an Ab5-category and X be a finite type object of C. Then for every diagram  $(\{X_i'\}_{i\in I}, \{u_{\alpha}\}_{{\alpha}\in Mor(I)})$  indexed by a small filtered category the canonical morphism

$$\operatorname{colim}_{i \in I} \operatorname{Mor}_{\mathcal{C}}(X, X'_{i}) \longrightarrow \operatorname{Mor}_{\mathcal{C}}(X, \operatorname{colim}_{i \in I} X'_{i})$$

is a monomorphism of abelian groups.

*Proof.* Denote  $\operatorname{colim}_{i \in I} X_i'$  by X' and by  $u_i : X_i' \to X'$  the canonical morphism for every  $i \in I$ . Fix morphisms  $g : X \to X_i'$  for some  $i \in I$ . Assume that  $u_i \cdot g = 0$ . Then  $g(X) \subseteq \ker(u_i)$  and in addition g(X) is of finite type as the image of X (Proposition 5.1). We have

$$\ker(u_i) = \sum_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = i} \ker(u_\alpha)$$

by Theorem 4.6. We use the fact that C is Ab5 to derive that

$$g(X) = g(X) \cap \ker(u_i) = \sum_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = i} g(X) \cap \ker(u_\alpha)$$

Since g(X) is of finite type and  $\{g(X) \cap \ker(u_{\alpha})\}_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = i}$  is a complete filtered family of subobjects of finite type object g(X), we derive that there exists morphism  $\alpha : i \to i_0$  such that

$$g(X) = g(X) \cap \ker(u_{\alpha}) \subseteq \ker(u_{\alpha})$$

Then  $u_{\alpha} \cdot g = 0$  and his implies that g represents zero class in  $\operatorname{colim}_{i \in I} \operatorname{Mor}_{\mathcal{C}}(X_i, X_i')$ .

**Definition 5.3.** Let C be an abelian category and X be an object of C. Suppose that the following two conditions hold.

- **(1)** *X* is of finite type.
- (2) If  $f: X' \to X$  is an epimorphism in C and X' is of finite type, then  $\ker(f)$  is of finite type.

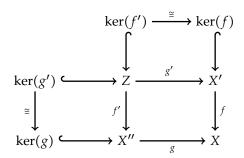
Then we say that *X* is *of finite presentation*.

**Proposition 5.4.** Let C be an Ab5-category and X be an object of C. Then the following assertions are equivalent.

- (i) X is of finite presentation.
- (ii) There exists an object of finite presentation X' in C and an epimorphism  $f: X' \to X$  with kernel of finite type.

*Proof.* For the proof of (i)  $\Rightarrow$  (ii) it suffices to consider an epimorphism  $1_X : X \to X$  with trivial kernel.

Assume that (ii) holds. Fix an epimorphism  $f: X' \to X$  such that X' is of finite presentation and  $\ker(f)$  is of finite type. By Proposition 5.1 object X is of finite type. Next suppose that  $g: X'' \to X$  is an epimorphism with X'' of finite type. Consider a commutative diagram



in which bottom right square is cartesian. The fact that canonical morphisms between kernels in the diagram are isomorphisms follows because  $\mathcal{C}$  is an abelian category. Note also that every row and column of the diagram is a short exact sequence in  $\mathcal{C}$ . Since  $\ker(f)$  is of finite type, we derive that  $\ker(f')$  is of finite type and hence by Proposition 5.1 object Z is of finite type as an extension of finite type objects  $\ker(f')$  and X''. Next according to the fact that X' is of finite presentation and  $g': Z \to X'$  is an epimorphism with Z of finite type, we deduce that  $\ker(g')$  is of finite type. But  $\ker(g') \cong \ker(g)$  and hence  $\ker(g)$  is of finite type. This implies that X is of finite presentation. Thus (ii)  $\Rightarrow$  (i).

**Theorem 5.5.** Let C be an **Ab**5-category and X be an object of C. Consider the following statements.

- (i) X is of finite presentation.
- (ii) For every diagram  $(\{X_i'\}_{i\in I}, \{u_{\alpha}\}_{{\alpha}\in Mor(I)})$  indexed by a small filtered category the canonical morphism

$$\operatorname{colim}_{i \in I} \operatorname{Mor}_{\mathcal{C}}(X, X'_i) \longrightarrow \operatorname{Mor}_{\mathcal{C}}(X, \operatorname{colim}_{i \in I} X'_i)$$

is an isomorphism of abelian groups.

*Proof.* We prove that (i)  $\Rightarrow$  (ii). Denote  $\operatorname{colim}_{i \in I} X_i'$  by X' and suppose that  $u_i : X_i' \to X'$  is the canonical morphism for every  $i \in I$ . Fix a morphism  $f : X \to X'$  in  $\mathcal{C}$ . Let  $(\{X_i\}_{i \in I}, \{v_\alpha\}_{\alpha \in \operatorname{Mor}(I)})$  be a diagram obtained by pulling back diagram  $(\{X_i'\}_{i \in I}, \{u_\alpha\}_{\alpha \in \operatorname{Mor}(I)})$  along f. This means that for every  $i \in I$  we have a cartesian square

$$X_{i} \xrightarrow{f_{i}} X'_{i}$$

$$v_{i} \downarrow \qquad \downarrow u_{i}$$

$$X \xrightarrow{f} X'$$

and if  $\alpha:i\to j$  is a morphism in I, then  $f_j\cdot v_\alpha=u_\alpha\cdot f_i$ . In  ${\bf Ab}5$ -category filtered colimits commute with pullbacks (Theorem 4.7). Hence  $X=\operatorname{colim}_{i\in I}X_i$ . In particular, we have  $X=\sum_{i\in I}v_i(X_i)$  and  $\{v_i(X_i)\}_{i\in I}$  is a filtered family of subobjects of X. Next as X is of finite type, we deduce that there exists  $i_0\in I$  such that  $X=v_{i_0}(X_{i_0})$ . Let  $\{Z_k\}_{k\in K}$  be a complete filtered family of subobjects of  $X_i$  that consists of objects of finite type ( $\mathcal C$  is locally finite). Then  $\{v_{i_0}(Z_k)\}_{k\in K}$  is a complete filtered family of subobjects of X. Thus there exists  $k_0\in K$  such that  $v_{i_0}(Z_{k_0})=X$ . This implies that there exists finite type subobject X'' of  $X_{i_0}$  such that  $X=v_{i_0}(X'')$ . Since X is of finite presentation, we derive that  $X''\cap\ker(v_{i_0})$  is of finite type. On the other hand

$$\ker(v_{i_0}) = \sum_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = i_0} \ker(v_{\alpha})$$

by Theorem 4.6. Again we use the fact that C is Ab5 to derive that

$$X'' \cap \ker(v_{i_0}) = \sum_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = i_0} X'' \cap \ker(v_\alpha)$$

Since  $X'' \cap \ker(v_{i_0})$  is of finite type and  $\{X'' \cap \ker(v_{\alpha})\}_{\alpha \in \operatorname{Mor}(I), \operatorname{dom}(\alpha) = i_0}$  is a complete filtered family of subobjects of  $X'' \cap \ker(v_{i_0})$ , we derive that there exists morphism  $\alpha : i_0 \to i_1$  such that

$$X'' \cap \ker(v_{i_0}) = X'' \cap \ker(v_{\alpha})$$

Let  $X''' = v_{\alpha}(X'')$  be a subobject of  $X_{i_1}$ . Since  $v_{i_0} = v_{i_1} \cdot v_{\alpha}$ , we derive that  $v_{i_1}$  induces an isomorphism  $X''' \cong X$ . Therefore,  $v_{i_1} : X_{i_1} \to X$  admits a section  $s : X \to X_{i_1}$ . Let  $g = f_{i_1} \cdot s$ . Then  $g : X \to X'_{i_1}$  is a morphism such that  $u_{i_1} \cdot g = f$  and this implies that the canonical morphism

$$\operatorname{colim}_{i \in I} \operatorname{Mor}_{\mathcal{C}}(X, X'_{i}) \longrightarrow \operatorname{Mor}_{\mathcal{C}}(X, X')$$

is surjective. The injectivity follows from Proposition 5.2.

Now let us prove that (ii)  $\Rightarrow$  (i). Since  $\mathcal{C}$  is locally finite, there exists a complete filtered family  $\{X_i\}_{i\in I}$  of subobjects of X and these family consists of objects of finite type in  $\mathcal{C}$ . Applying (ii) to this particular filtered diagram we deduce that there exists  $i_0$  in I and a morphism  $g: X \to X_{i_0}$  such that g composed with  $X_{i_0} \to X$  is  $1_X$ . This implies that g is an isomorphism and  $X = X_{i_0}$ . Hence X is of finite type. Thus in order to prove that X is of finite presentation it suffices to check that an epimorphism  $f: X' \to X$  with X' of finite type has the kernel of finite type. For this let  $\{K_i\}_{i\in I}$  be a complete filtered family of subobjects of  $\ker(f)$  that consists of objects of finite type in  $\mathcal{C}$ . We define  $X_i = X'/K_i$  for every  $i \in I$ . Then  $\{X_i\}_{i\in I}$  gives rise to a canonical I-indexed diagram such that we have an identification

$$X'/\ker(f) = \operatorname{colim}_{i \in I} X_i$$

We apply (ii) to this I-indexed diagram and obtain  $i_0$  in I together with a morphism  $s: X \to X_{i_0}$  such that s composed with the canonical epimorphism  $X_{i_0} \to X'/\ker(f)$  yields and isomorphism  $X \cong X'/\ker(f)$  induced by f. This implies that  $X_{i_0} \cong X \oplus (\ker(f)/K_{i_0})$ . Since both  $X_{i_0}$  and X are of finite type, we deduce that  $\ker(f)/K_{i_0}$  is of finite type. Moreover,  $K_{i_0}$  is of finite type. This proves that  $\ker(f)$  is of finite type.

# 6. APPLICATIONS TO MODULES OVER A RING

Let R be a ring. We denote by  $\mathbf{Mod}(R)$  the category of left R-modules.

**Fact 6.1.** Let M be a left R-module and  $\{M_i\}_{i\in I}$  be a filtered family of submodules of M. Then

$$\sum_{i \in I} M_i = \bigcup_{i \in I} M_i$$

Proof. Left to the reader.

**Proposition 6.2.**  $\mathbf{Mod}(R)$  is a locally finite category and an object M of  $\mathbf{Mod}(R)$  is of finite type if and only if M is finitely generated left R-module.

*Proof.* Suppose that M is a finitely generated left R-module and  $\{M_i\}_{i\in I}$  is a complete filtered family of submodules of M. By Fact 6.1 we derive that

$$M=\bigcup_{i\in I}M_i$$

Let  $m_1,...,m_n$  be generators of M. Then for every  $1 \le j \le n$  there exists  $i_j \in I$  such that  $m_j \in M_{i_j}$ . According to the fact that I is filtered there exists  $i_0 \in I$  and morphisms  $i_j \to i_0$  for every  $1 \le j \le n$ . This implies that  $m_j \in M_{i_0}$  for every  $1 \le j \le n$  and hence  $M = M_{i_0}$ . Therefore, M is an object of finite type in  $\mathbf{Mod}(R)$ .

Let M be an arbitrary left R-module and  $\mathcal{F}$  be a family of its finitely generated submodules. Since sum of two finitely generated submodules of a given module is finitely generated, we deduce that  $\mathcal{F}$  is directed. Moreover, M together with embeddings  $N \hookrightarrow M$  for  $N \in \mathcal{F}$  is the colimit of  $\mathcal{F}$ . Therefore, every object in  $\mathbf{Mod}(R)$  admits a complete filtered family of subobjects of finite type. Now suppose that M itself is of finite type in  $\mathbf{Mod}(R)$ . Then M = N for some  $N \in \mathcal{F}$  and hence M is finitely generated.

**Proposition 6.3.** Mod(R) *is an* Ab5-*category.* 

*Proof.* Fix an *R*-module *M*. Let  $\{M_i\}_{i\in I}$  be a directed family of its submodules and  $N\subseteq M$  be a submodule. By Fact 6.1 we have

$$N \cap \sum_{i \in I} M_i = N \cap \bigcup_{i \in I} M_i = \bigcup_{i \in I} \left(N \cap M_i\right) = \sum_{i \in I} N \cap M_i$$

**Corollary 6.4.** Let M be a left R-module. Then the following statements are equivalent.

(i) There exist  $n, m \in \mathbb{N}$  and a right exact sequence

$$R^{\oplus m} \longrightarrow R^{\oplus n} \longrightarrow M \longrightarrow 0$$

(ii) M is a finitely presented object of Mod(R).

*Proof.* Note that  $\operatorname{Hom}_R(R^{\oplus n}, -) : \operatorname{Mod}(R) \to \operatorname{Ab}$  is naturally isomorphic with the functor  $|-|^{\oplus n} : \operatorname{Mod}(R) \to \operatorname{Ab}$  that sends each left R-module to a direct sum of n-copies of its underlying abelian group. By Propositions 6.2 and 6.3, Theorem 5.5 and the fact that  $|-|^{\oplus n}$  preserves all colimits, we derive that left R-module  $R^{\oplus n}$  is finitely presented in  $\operatorname{Mod}(R)$ . Now according to Propositions 6.3 and 5.4 we deduce that (i) ⇔ (ii). The converse (ii) ⇒ (i) holds by Proposition 6.2 and definition of finitely presented object in abelian category.

**Example 6.5** (Finitely generated module that is not finitely presented). Let A be a commutative ring and  $R = A[x_0, x_1, ...]$  be a polynomial A-algebra with infinitely many free variables. Denote by  $\mathfrak{a}$  the ideal  $\sum_{i \in \mathbb{N}} R \cdot x_i$  of R. Then  $M = R/\mathfrak{a}$  is a finitely generated (even cyclic) R-module. On the other hand the kernel of the canonical epimorphism  $R \to R/\mathfrak{a} = M$  is  $\mathfrak{a}$  and hence it is not a finitely generated R-module. Thus M is not finitely presented but finitely generated.

# 7. NOETHERIAN AND ARTINIAN OBJECTS

**Definition 7.1.** Let  $\mathcal{C}$  be an abelian category. An object X of  $\mathcal{C}$  is called *noetherian* (*artinian*) if for every ascending (descending) chain  $\{X_n\}_{n\in\mathbb{N}}$  of its subobjects, there exists  $n_0 \in \mathbb{N}$  such that  $X_n = X_{n_0}$  for  $n \ge n_0$ .

First we note the following elementary result.

**Fact 7.2.** Let C be an abelian category. Then noetherian objects in  $C^{op}$  are artinian in C and vice versa.

The next result is useful for certain applications.

**Proposition 7.3.** Let C be an abelian category and let X be a noetherian (artinian) object of C. Then for every nonempty class F of subobjects of X there exists a maximal (minimal) element contained in this family.

*Proof.* We present the proof for noetherian case (the proof for artinian case can be obtained by passing to the opposite category). Let  $\mathcal{F}$  be a class of subobjects of a noetherian object X. We construct an ascending chain  $\{X_n\}_{n\in\mathbb{N}}$  of subobjects of  $\mathcal{F}$  as follows. We pick  $X_0 \in \mathcal{F}$ . Suppose that  $X_0 \subseteq ... \subseteq X_n$  are defined. If  $X_n$  is a maximal element of  $\mathcal{F}$ , then we set  $X_{n+1} = X_n$ . Otherwise

we pick  $X_{n+1} \in \mathcal{F}$  such that  $X_n \nsubseteq X_{n+1}$ . Since X is noetherian, there exists  $n_0 \in \mathbb{N}$  such that  $X_n = X_{n_0}$  for  $n \ge n_0$ . This implies that  $X_{n_0}$  is maximal element in  $\mathcal{F}$ .

**Definition 7.4.** Let  $\mathcal C$  be an abelian category and let  $\mathcal S$  be its full subcategory. Suppose that for every exact sequence in  $\mathcal C$ 

$$0 \longrightarrow X' \longrightarrow X \longrightarrow X'' \longrightarrow 0$$

we have  $X \in \mathcal{S}$  if and only if  $X', X'' \in \mathcal{S}$ . Then  $\mathcal{S}$  is called a *thick subcategory of*  $\mathcal{C}$ .

**Proposition 7.5.** *Let* C *be an abelian category. Then subcategory of* C *consisting of noetherian (artinian) objects is thick.* 

*Proof.* We present the proof for noetherian case (the proof for artinian case can be obtained by passing to the opposite category). Consider a short exact sequence

$$0 \longrightarrow X' \xrightarrow{i} X \xrightarrow{p} X'' \longrightarrow 0$$

Suppose first that X is noetherian. Consider an ascending chain  $\{X_n'\}_{n\in\mathbb{N}}$  of subobjects of X'. Then it is also an ascending chain of subobjects of X. Hence there exists  $n_0 \in \mathbb{N}$  such that  $X_n' = X_{n_0}'$  for  $n \ge n_0$ . This implies that X' is noetherian. Now suppose that  $\{X_n''\}_{n\in\mathbb{N}}$  is an ascending chain of subobjects of X''. Then  $\{p^{-1}(X_n'')\}_{n\in\mathbb{N}}$  is an ascending chain of subobjects of X. Hence there exists  $n_0 \in \mathbb{N}$  such that  $p^{-1}(X_n'') = p^{-1}(X_{n_0}'')$  for  $n \ge n_0$ . This shows that  $X_n'' = X_{n_0}''$  for  $n \ge n_0$ . Thus X'' is noetherian.

Next suppose that both X' and X'' are noetherian. Let  $\{X_n\}_{n\in\mathbb{N}}$  be an ascending chain of subobjects of X. Then  $\{i^{-1}(X_n)\}_{n\in\mathbb{N}}$  and  $\{p(X_n)\}_{n\in\mathbb{N}}$  are ascending chains of subobjects of X' and X'', respectively. Since X' and X'' are noetherian, we derive that there exists  $n_0 \in \mathbb{N}$  such that  $i^{-1}(X_n) = i^{-1}(X_{n_0})$  and  $p(X_n) = p(X_{n_0})$  for  $n \ge n_0$ . This implies that  $X_n = X_{n_0}$  for  $n \ge n_0$  and hence X is noetherian.

### 8. OBJECTS OF FINITE LENGTH

It is interesting to investigate the case when object is both noetherian and artinian. We need the following definition first.

**Definition 8.1.** Let C be an abelian category. An nonzero object X of C is *irreducible* if the only subobjects of X are zero and X itself.

**Proposition 8.2.** Let C be an abelian category and let X be an object of C. Then the following assertions are equivalent.

- (i) *X* is both noetherian and artinian.
- (ii) There exists a chain

$$0=X_0\subseteq X_1\subseteq X_2\subseteq ...\subseteq X_n=X$$

of subobjects of X such that for each  $0 \le i \le n-1$  the quotient  $X_{i+1}/X_i$  is an irreducible object of C.

For the proof we will need the following.

**Lemma 8.2.1.** Let C be an abelian category and let X be an artinian object of C. Suppose that  $X' \subseteq X$  is a subobject. Then there exists a minimal subobject X'' of X such that  $X' \subseteq X''$ .

*Proof of the lemma.* It suffices to apply Proposition 7.3 to a nonempty class

$$\mathcal{F} = \{Z \mid Z \text{ is a subobject of } X \text{ strictly containing } X'\}$$

*Proof of the proposition.* We prove (i)  $\Rightarrow$  (ii). Suppose that X is both noetherian and artinian. Since X is artinian, by Lemma 8.2.1 we construct a chain

$$0 = X_0 \subsetneq X_1 \subsetneq \dots$$

such that for each i the quotient  $X_{i+1}/X_i$  is irreducible object of  $\mathcal{C}$ . Indeed, starting from  $X_0 = 0$  we apply the following procedure. If  $X_i$  was constructed and  $X_i \subsetneq X$ , then by Lemma 8.2.1 we pick  $X_{i+1}$  as a minimal subobject of X such that  $X_i \subsetneq X_{i+1}$ . Note that the construction of this ascending chain of subobjects of X cannot go indefinitely, because X is noetherian. Hence we deduce that

$$0 = X_0 \subsetneq X_1 \subsetneq \dots \subsetneq X_n = X$$

for some  $n \in \mathbb{N}$ .

Now we prove that (ii)  $\Rightarrow$  (i). Assume that there exists a chain

$$0=X_0\subseteq X_1\subseteq X_2\subseteq ...\subseteq X_n=X$$

of subobjects of X such that for each  $0 \le i \le n-1$  the quotient  $X_{i+1}/X_i$  is an irreducible object of C. Then we prove by finite induction that each  $X_i$  is both noetherian and artinian. This clearly holds for  $X_0$ . Suppose that it holds for  $X_i$  for some i < n. Then there exists a canonical short exact chain

$$0 \longrightarrow X_i \longrightarrow X_{i+1} \longrightarrow X_{i+1}/X_i \longrightarrow 0$$

Since  $X_i$  is both noetherian and artinian and moreover,  $X_{i+1}/X_i$  as an irreducible object is both noetherian and artinian, we derive by Proposition 7.5 that  $X_{i+1}$  is both noetherian and artinian.

**Definition 8.3.** Let C be an abelian category and let X be an object of C. A chain

$$0=X_0\subseteq X_1\subseteq \ldots \subseteq X_n=X$$

of subobjects such that  $X_{i+1}/X_i$  is an irreducible object for  $0 \le i \le n-1$  is called *a composition series* of X. Irreducible objects

$$X_1/X_0, X_2/X_1, ..., X_n/X_{n-1}$$

are called factors of the composition series.

Let *X* be an object of a category  $\mathcal{C}$ . Then we denote by  $[X]_{\cong}$  the isomorphism class of *X*.

**Theorem 8.4** (Jordan-Hölder). Let C be an abelian category and let X be its object. Suppose that

$$0=X_0\subseteq X_1\subseteq \ldots \subseteq X_n=X$$

and

$$0=X_0'\subseteq X_1'\subseteq\ldots\subseteq X_m'=X$$

are composition series of X. Then multisets (sets which allow repetitions)

$$\{[X_1/X_0]_{\cong}, [X_2/X_1]_{\cong}, ..., [X_n/X_{n-1}]_{\cong}\}, \{[X_1'/X_0']_{\cong}, [X_2'/X_1']_{\cong}, ..., [X_m'/X_{m-1}']_{\cong}\}$$

are equal.

*Proof.* The proof goes by induction on n. If n = 1, then X is irreducible and the result holds. Suppose now  $n \ge 1$ . Consider object  $Z = X/X_1$ . Then  $Z_i = X_{i+1}/X_1$  for  $0 \le i \le n-1$ . Clearly

$$0=Z_0\subseteq Z_1\subseteq ...\subseteq Z_{n-1}=Z$$

is a composition series of *Z* and we have

$$\{[Z_1/Z_0]_{\cong},[Z_2/Z_1]_{\cong},...,[Z_{n-1}/Z_{n-2}]_{\cong}\}=\{[X_2/X_1]_{\cong},[X_3/X_2]_{\cong},...,[X_n/X_{n-1}]_{\cong}\}$$

Consider now objects  $W_i = X_i'/(X_i' \cap X_1)$  for  $0 \le i \le m$ . Then we have a chain of subobjects

$$0 = W_0 \subseteq W_1 \subseteq ... \subseteq W_m = Z$$

of Z. Pick i = 0, 1, ..., m - 1. We have a commutative diagram

П

$$0 \longrightarrow X'_{i} \longrightarrow X'_{i+1} \longrightarrow X'_{i+1}/X'_{i} \longrightarrow 0$$

$$\downarrow p_{i} \qquad \downarrow p_{i+1} \qquad \downarrow q_{i+1}$$

$$0 \longrightarrow W_{i} \longrightarrow W_{i+1} \longrightarrow W_{i+1}/W_{i} \longrightarrow 0$$

in  $\mathcal{C}$  with exact rows and canonical epimorphism as vertical arrows. By Snake Lemma ([Weibel, 1995, page 11]) we derive that there exists an exact sequence

$$0 \to \ker(p_i) \to \ker(p_{i+1}) \to \ker(q_{i+1}) \to \operatorname{coker}(p_i) \to \operatorname{coker}(p_{i+1}) \to \operatorname{coker}(q_{i+1}) \to 0$$

Since  $\ker(p_i) = X_i' \cap X_1$ ,  $\ker(p_{i+1}) = X_{i+1}' \cap X_1$  and  $p_i, p_{i+1}, q_{i+1}$  are epimorphism, we deduce that this exact sequence induces a short exact sequence

$$0 \longrightarrow X'_i \cap X_1 \longrightarrow X'_{i+1} \cap X_1 \longrightarrow \ker(q_{i+1}) \longrightarrow 0$$

Pick now k such that  $X_i' \cap X_1 = 0$  for  $i \le k$  and  $X_i' \cap X_1 = X_1$  for k < i. Thus for  $i \ne k$  we have  $\ker(q_{i+1}) = 0$  and hence  $X_{i+1}'/X_i'$  is isomorphic to  $W_{i+1}/W_i$ . Moreover, for i = k we have  $X_1 \cong \ker(q_{k+1})$ . Hence  $X_1 \cong X_{k+1}'/X_k'$  and  $W_{k+1}/W_k = 0$ . We define

$$Z_i' = \begin{cases} W_i & \text{for } i \le k \\ W_{i+1} & \text{for } k < i \end{cases}$$

for  $0 \le i \le m - 1$ . Then

$$0 = Z'_0 \subseteq Z'_1 \subseteq ... \subseteq Z'_{m-1} = Z$$

is a composition series and

$$\{[Z_1'/Z_0']_{\cong},[Z_2'/Z_1']_{\cong},...,[Z_m'/Z_{m-1}']_{\cong}\}=\{[X_2'/X_1']_{\cong},[X_3'/X_2']_{\cong},...,[X_m'/X_{m-1}']_{\cong}\}$$

Now by induction we have

$$\{[Z_1'/Z_0']_{\cong}, [Z_2'/Z_1']_{\cong}, ..., [Z_m'/Z_{m-1}']_{\cong}\} = \{[Z_1/Z_0]_{\cong}, [Z_2/Z_1]_{\cong}, ..., [Z_m/Z_{m-1}]_{\cong}\}$$

Since  $X'_{k+1}/X'_k \cong X_1$ , we deduce that

$$\{ [X'_1/X'_0]_{\cong}, [X'_2/X'_1]_{\cong}, ..., [X'_m/X'_{m-1}]_{\cong} \} = \{ [Z'_1/Z'_0]_{\cong}, [Z'_2/Z'_1]_{\cong}...., [Z'_m/Z'_{m-1}]_{\cong} \} \cup \{ [X'_{k+1}/X'_k]_{\cong} \} = \{ [Z_1/Z_0]_{\cong}, [Z_2/Z_1]_{\cong}...., [Z_m/Z_{m-1}]_{\cong} \} \cup \{ [X_1]_{\cong} \} = \{ [X_1/X_0]_{\cong}, [X_2/X_1]_{\cong}, ..., [X_n/X_{n-1}]_{\cong} \}$$

**Definition 8.5.** Let C be an abelian category and let X be an object of C that has a composition series (or equivalently that X is both noetherian and artinian). Then we say that X is an object of finite length.

**Corollary 8.6.** Let X be an object of finite length in an abelian category C and let  $\lambda$  be an isomorphism type of some irreducible object in C. Suppose that

$$0=X_0\subseteq X_1\subseteq ...\subseteq X_n=X$$

is a composition series. Let  $l_{\lambda}(X)$  be the number of elements of the multiset

$$\{[X_1/X_0]_{\cong}, [X_2/X_1]_{\cong}, ..., [X_n/X_{n-1}]_{\cong}\}$$

equal to  $\lambda$ . Then  $l_{\lambda}(X)$  does not depend on the composition series of X.

*Proof.* This is a consequence of the fact that multisets of isomorphism types of factors are equal for any two composition series of X (Theorem 8.4).

**Definition 8.7.** Let X be an object of finite length in an abelian category C. Suppose that  $\Lambda$  is a class of isomorphism types of irreducible objects in C. For a given  $\lambda \in \Lambda$  we define  $l_{\lambda}(X)$  as in Corollary 8.6 above. We call it *the multiplicity of*  $\lambda$  *in* X. Next we define

$$l(X) = \sum_{\lambda \in \Lambda} l_{\lambda}(X) \in \mathbb{N}$$

(note that the components of the sum on the right hand side are almost all equal to zero) and we call this number *the length of X*.

**Proposition 8.8.** Suppose that

$$0 \longrightarrow X' \xrightarrow{i} X \xrightarrow{p} X'' \longrightarrow 0$$

is a short exact sequence of finite length objects in an abelian category C. Then for every isomorphism type  $\lambda$  of some irreducible object X in C we have

$$l_{\lambda}(X) = l_{\lambda}(X') + l_{\lambda}(X'')$$

*Proof.* Since X' and X'' are of finite length, we fix composition series

$$0=X_0'\subseteq X_1'\subseteq\ldots\subseteq X_m'=X',\,0=X_0''\subseteq X_1''\subseteq\ldots\subseteq X_k''=X''$$

Then

$$0 = i(X'_0) \subseteq ... \subseteq i(X'_m) \subseteq p^{-1}(X''_1) \subseteq ... \subseteq p^{-1}(X''_k) = X$$

is a composition series for X. By Corollar 8.6 we can calculate  $l_{\lambda}(X)$  by means of this compositon series. This implies that

$$l_{\lambda}(X) = l_{\lambda}(X') + l_{\lambda}(X'')$$

## 9. SEMISIMPLE OBJECTS

**Proposition 9.1.** Let C be a well-powered **Ab**3-category and let X be a nonzero object of C of finite type. Then there exists a subobject X' of X such that X/X' is irreducible.

*Proof.* Let  $\mathcal{F}$  be the class of proper subobjects of X. That is  $\mathcal{F} = \operatorname{Sub}(X) \setminus \{X\}$ . This class is nonempty, because X is nonzero. Since  $\mathcal{C}$  is well-powered, we derive that  $\mathcal{F}$  is a set. Consider a linearly ordered set I and a set  $\{X_i\}_{i\in I}$  of elements of  $\mathcal{F}$  such that  $X_{i_1} \subseteq X_{i_2}$  for  $i_1 \leq i_2$  in I. If  $X = \sum_{i \in I} X_i$ , then there exists  $i_0 \in I$  such that  $X = X_{i_0}$ . This is a contradiction with the fact that  $X_{i_0}$  in an element of  $\mathcal{F}$ . This implies that  $\sum_{i \in I} X_i$  is an element of  $\mathcal{F}$ . Hence chains of elements of  $\mathcal{F}$  admit upper bounds. By Zorn's lemma we deduce that there exists a maximal element X' in  $\mathcal{F}$ . Then X' is a proper and maximal subobject of X. This is equivalent with X/X' being irreducible.

**Definition 9.2.** Let C be an abelian category and let X be an object of C. If every subobject X' of X is a direct summand of X, then we say that X is *completely reducible*.

**Proposition 9.3.** *Let* C *be an abelian category. Then the class of completely reducible objects of* C *is closed under subobjects.* 

*Proof.* Let X be a completely reducible object of  $\mathcal{C}$ . Consider its subobject Y. Assume that Y' is a subobject of Y. Since X is completely reducible, we derive that there exists a subobject X' such that X = Y' + X' and  $X' \cap Y' = 0$ . Since subobject lattices in abelian categories are modular (it is a consequence of Theorem 3.2), we derive that

$$Y = Y \cap X = Y \cap (Y' + X') = Y' + Y \cap X'$$

Moreover,  $Y' \cap (Y \cap X') = 0$ . Thus Y' is a direct summand of Y. This proves that Y is completely reducible.

**Definition 9.4.** Let C be an abelian category and let X be an object of C. If X is the sum of its irreducible subobjects, then we say that X is *semisimple*.

**Proposition 9.5.** Let C be an abelian category. Then the class of semisimple objects of C is closed under quotient objects.

*Proof.* Let X be a semisimple object of  $\mathcal{C}$ . Consider an epimorphism  $q: X \to X'$ . Since q preserves sums of subobjects and an epimorphic image of irreducible subobject is either zero or irreducible, we derive that X' is semisimple.

**Theorem 9.6.** *Let* C *be an abelian category and let* X *be an object of* C. *Consider the following statements.* 

- (i) X is semisimple.
- (ii) *X* is completely reducible.

If C is a well-powered **Ab**5-category, then (i)  $\Rightarrow$  (ii). If in addition X is locally finite, then (ii)  $\Rightarrow$  (i).

*Proof.* Assume that C is a well-powered **Ab**5-category. We prove (i)  $\Rightarrow$  (ii). Consider a subobject X' of X. Consider a class

$$\mathcal{F} = \{Y \mid Y \text{ is a subobject of } X \text{ and } Y \cap X' = 0\}$$

Since  $\mathcal{C}$  is well-powered, the class  $\mathcal{F}$  is a set. Since  $\mathcal{C}$  is an  $\mathbf{Ab}$ 5-category, Zorn's lemma implies that the set  $\mathcal{F}$  ordered by inclusion of subobjects has a maximal element X''. Now consider an irreducible subobject K of X. If  $K \cap (X' + X'') = 0$ , then Y = X'' + K is a subobject of X containing X'' and satisfying  $Y \cap X' = 0$ . In particular, Y is an element of  $\mathcal{F}$ . By maximality of X'' in  $\mathcal{F}$ , we derive that Y = X'' and hence K is a contained in X''. This is a contradiction because then  $K = K \cap (X' + X'') = 0$  and irreducible objects are nonzero. Thus for every irreducible subobject K of X we have  $K \cap (X' + X'') \neq 0$ . This implies that  $K = K \cap (X' + X'')$  and hence K is contained in X' + X''. Since X is a sum of its irreducible subobjects, we derive that X = X' + X''. Therefore, X' is a direct summand of X.

Suppose that in addition X is locally finite. Now we prove that (ii)  $\Rightarrow$  (i). Suppose that X' is a sum of all irreducible subobjects of X. Since X' is a direct summand of X, we derive that there exists a subobject X'' of X such that  $X' \cap X'' = 0$  and X = X' + X''. Assume that X'' is nonzero. Since X is locally finite and X'' is isomorphic with X/X', we deduce that X'' is locally finite. Consider a nonzero subobject Y of X'' of finite type. By Proposition 9.1 we deduce that there exists a subobject Y' of Y such that Y/Y' is irreducible. According to Proposition 9.3 we deduce that Y is completely reducible as a subobject of X. Hence there exists a subobject Y'' of Y such that Y = Y' + Y'' and  $Y' \cap Y'' = 0$ . Clearly  $Y'' \cong Y/Y'$  and hence Y'' is irreducible subobject of Y. Since Y is contained in X'', we deduce that X'' admits an irreducible subobject. This is contradiction with  $X' \cap X'' = 0$ . This proves that X'' must be zero and hence X is a sum of its irreducible subobjects.

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