

Algorithms and Data Structures 2

9 – Beyond comparison sorts

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Outline

- **COUNTING-SORT**
- **RADIX-SORT**

COUNTING-SORT

- **Efficient sorting algorithm for integers in the range 0 to k**
 - Invented by H. H. Seward in 1954
- **Sort integers without comparisons in linear time $O(n+k)$**
- **Requires $O(n+k)$ memory**
- **Stable**
- **Often used as a subroutine for RADIX-SORT an $O(d(n+k))$ algorithm to sort integers according to their digits**
 - Input elements have d digits

COUNTING-SORT

- **Input**

- Array **A** of size **n** of integers in the range **0** to **k**
- Array **B** of size **n**

- **Output**

- Array **B** contains the elements of **A** in sorted order

- **Three steps**

1. Count occurrences
2. Modify counts to have a running sum. The modified count array indicates the position of each object in the output sequence
3. Use running sum to copy elements to **B**

```
COUNTING-SORT(A, B, k)  
  new C[0..k] // all zeros  
  for j = 0 to n - 1  
    C[A[j]] := C[A[j]] + 1  
  for i = 1 to k  
    C[i] := C[i] + C[i-1]  
  for j = n-1 downto 0  
    B[C[A[j]] - 1] := A[j]  
    C[A[j]] := C[A[j]] - 1
```

Example execution of COUNTING-SORT(A,B,7)

- **A** = [1,3,7,1,4,2] and **B** has length **n** = 6

A	1	3	7	1	4	2
	0	1	2	3	4	5
B						
	0	1	2	3	4	5

COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
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```

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6

A

1	3	7	1	4	2
0	1	2	3	4	5

B

0	1	2	3	4	5

C

0	0	0	0	0	0	0	0
0	1	2	3	4	5	6	7

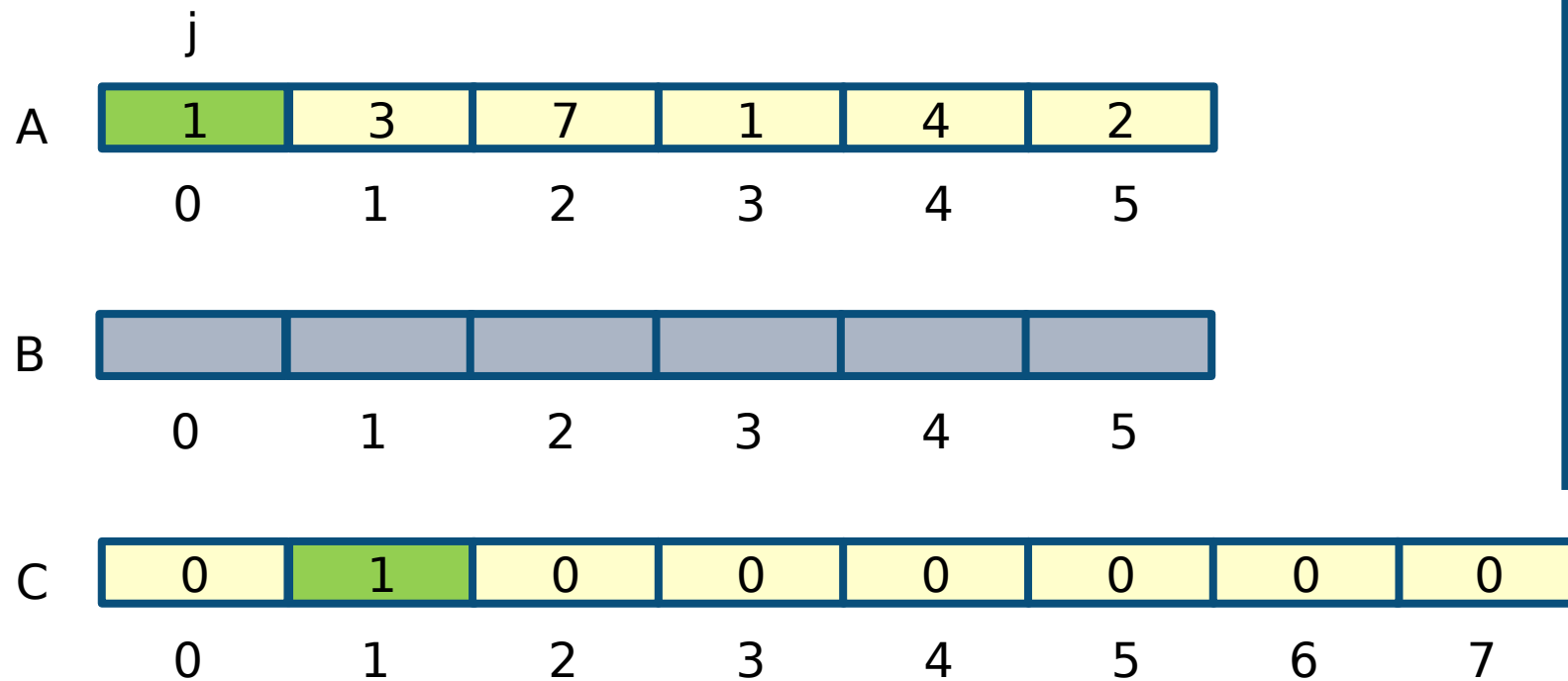
– Allocate and initialise **C**

COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



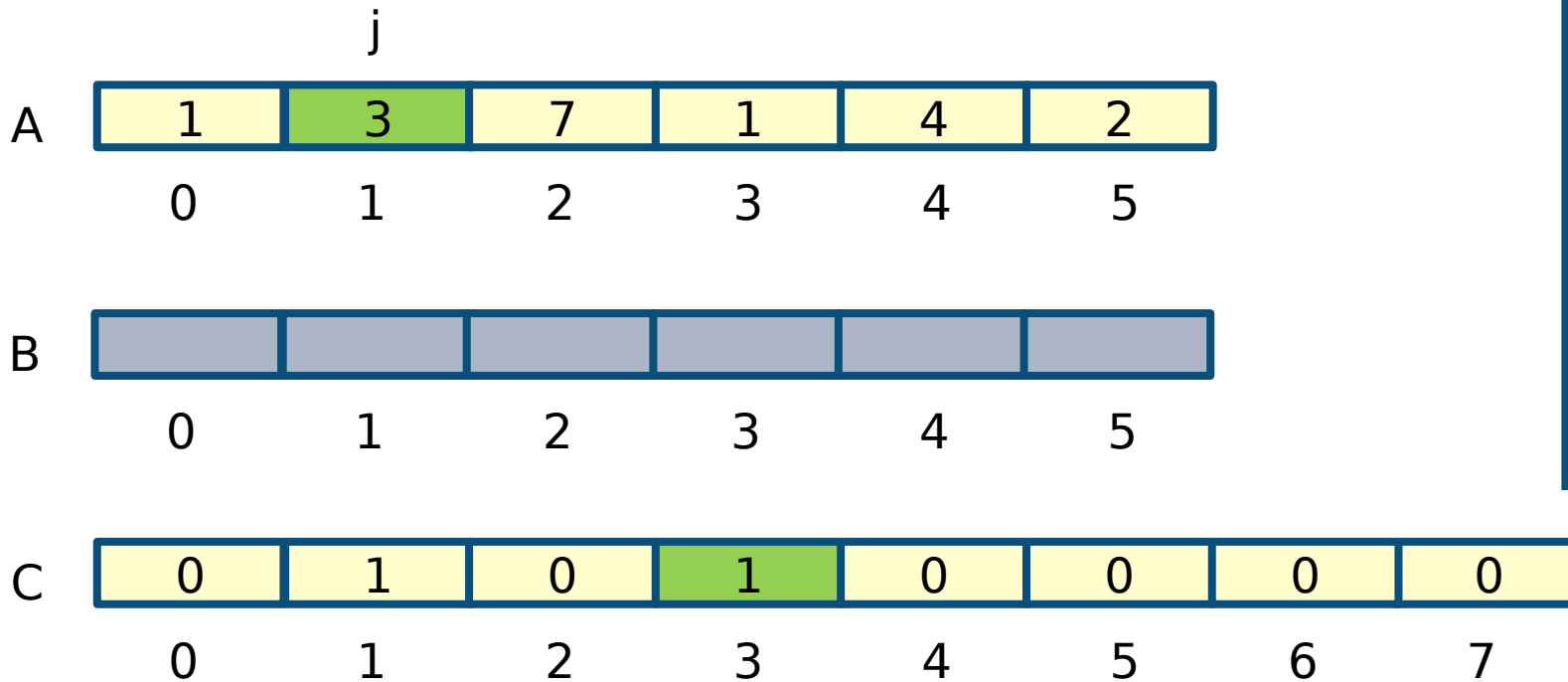
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- Record one occurrence of **1** in **C[1]**

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



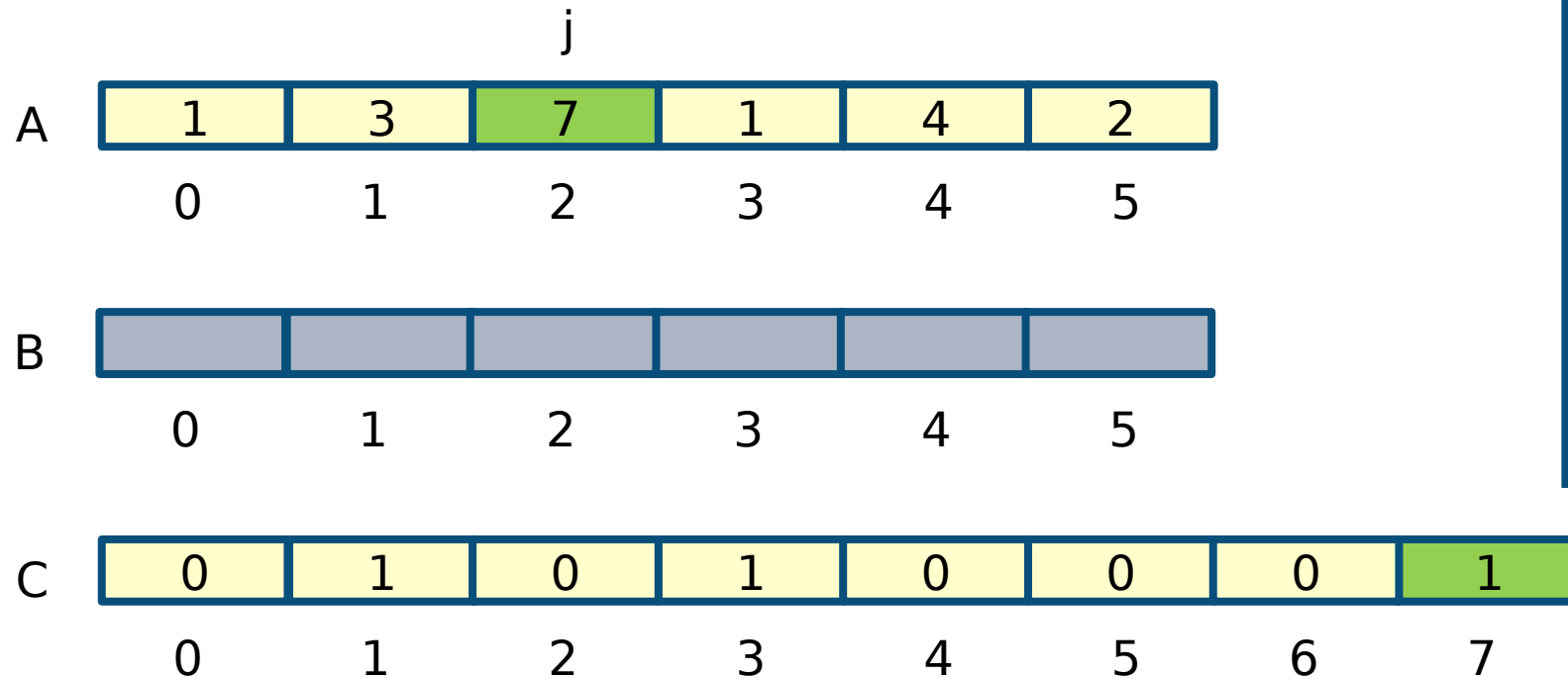
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- Record one occurrence of 3 in C[3]

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



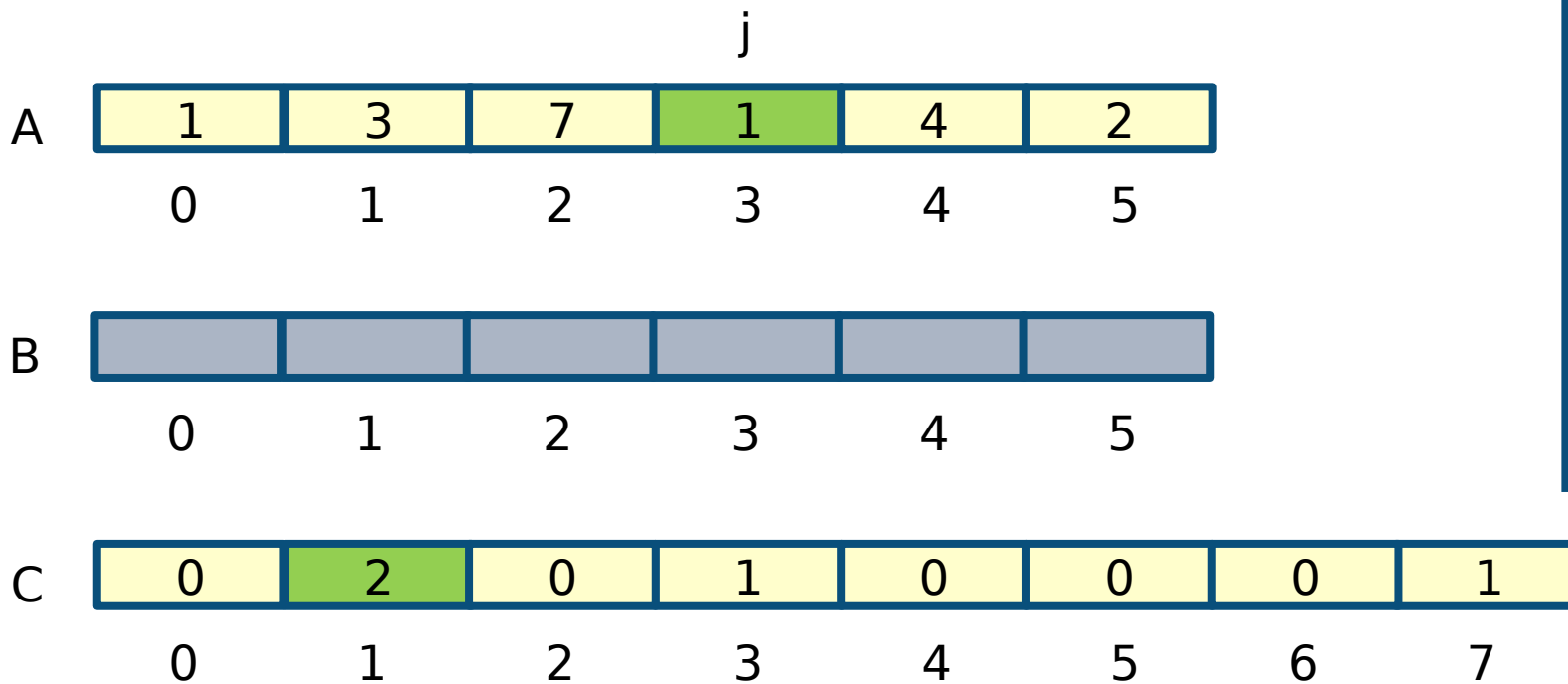
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- Record one occurrence of 7 in C[7]

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



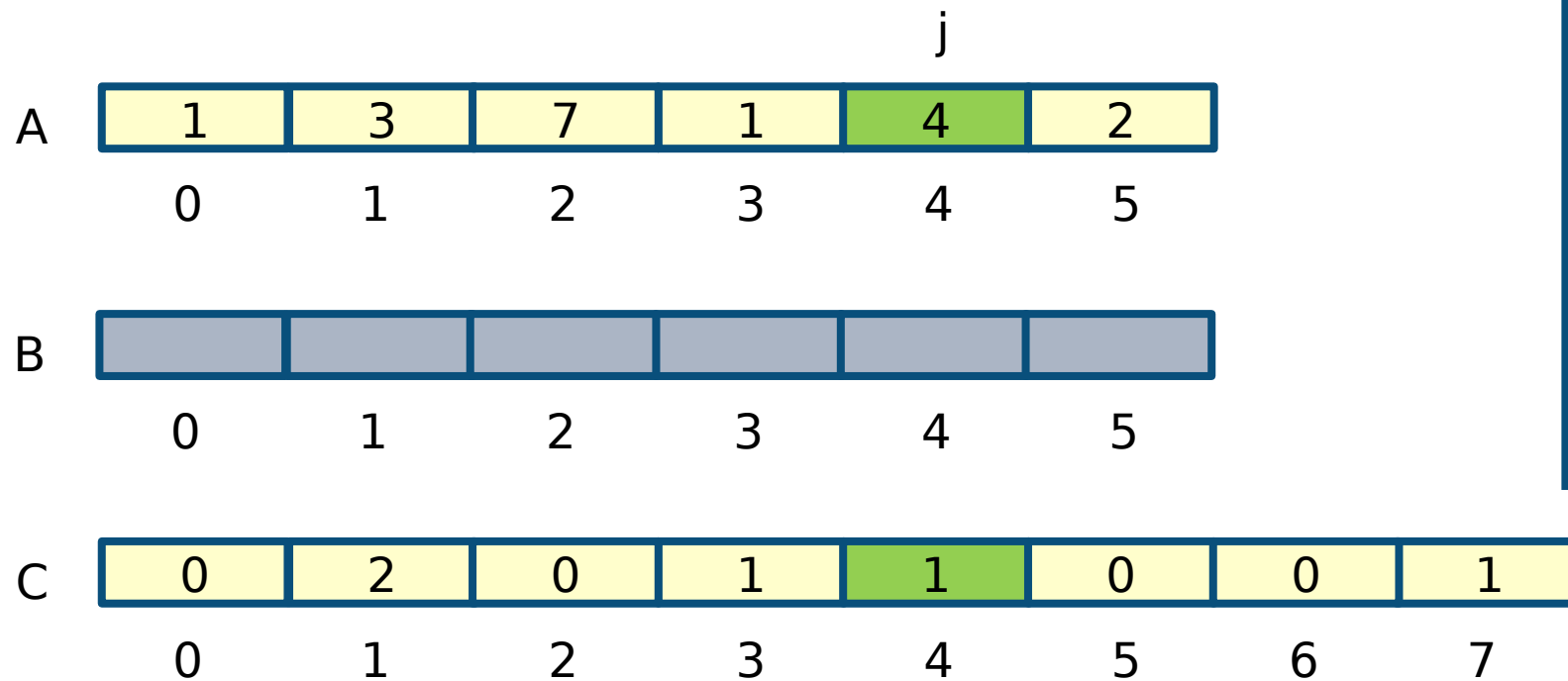
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- Record another occurrence of 1 in C[1]

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



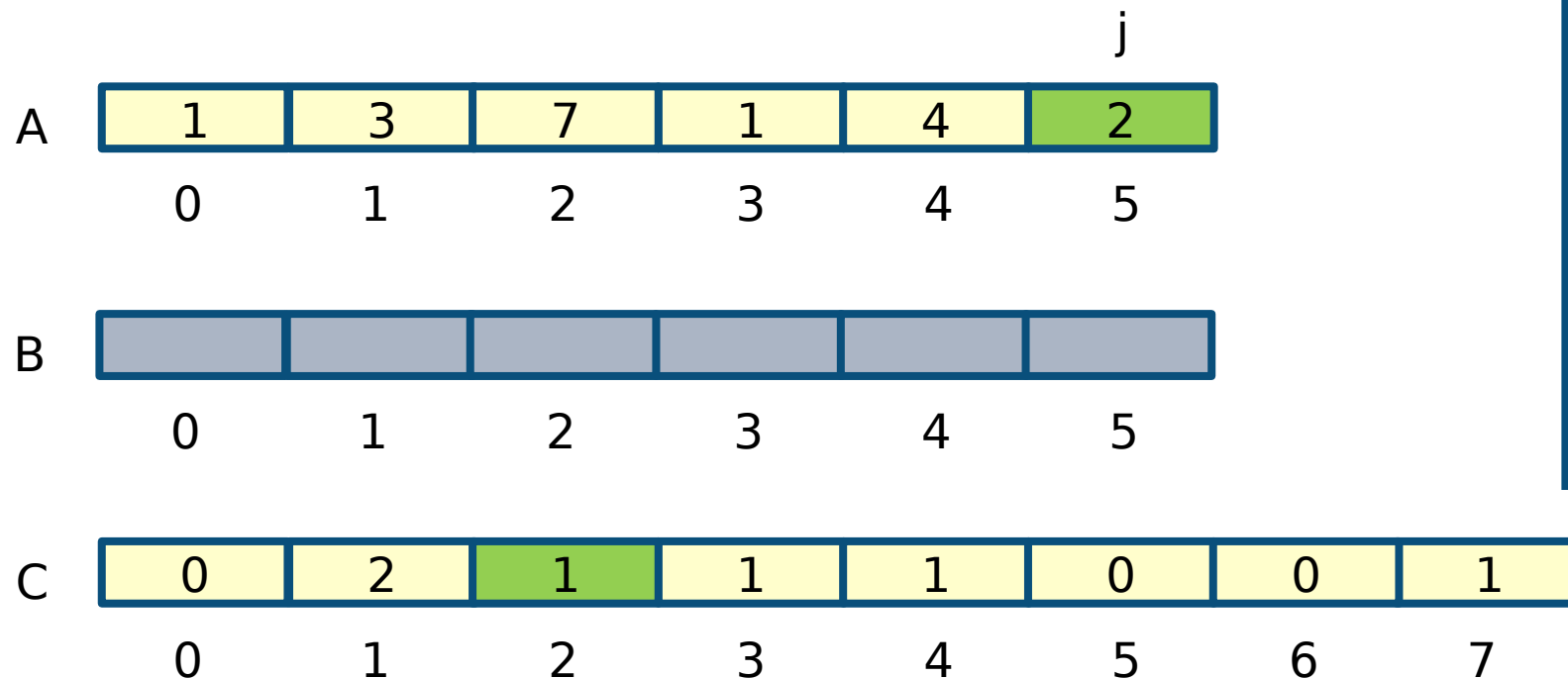
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- Record one occurrence of 4 in C[4]

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- Record one occurrence of 2 in C[2]

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6

A

1	3	7	1	4	2
0	1	2	3	4	5

B

0	1	2	3	4	5

C

0	2	3	4	5	5	5	6
0	1	2	3	4	5	6	7

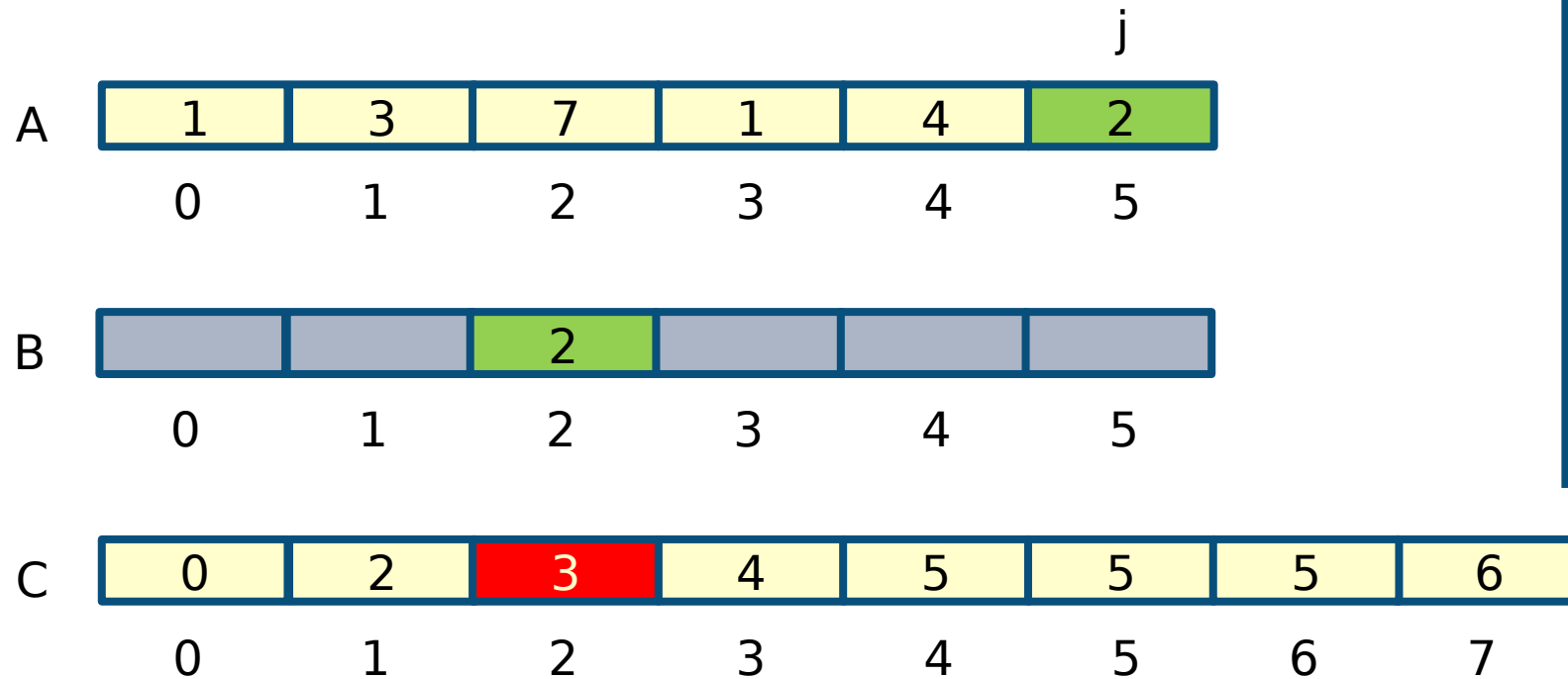
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- The second for loop computes the **running sum** on the elements of **C**

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



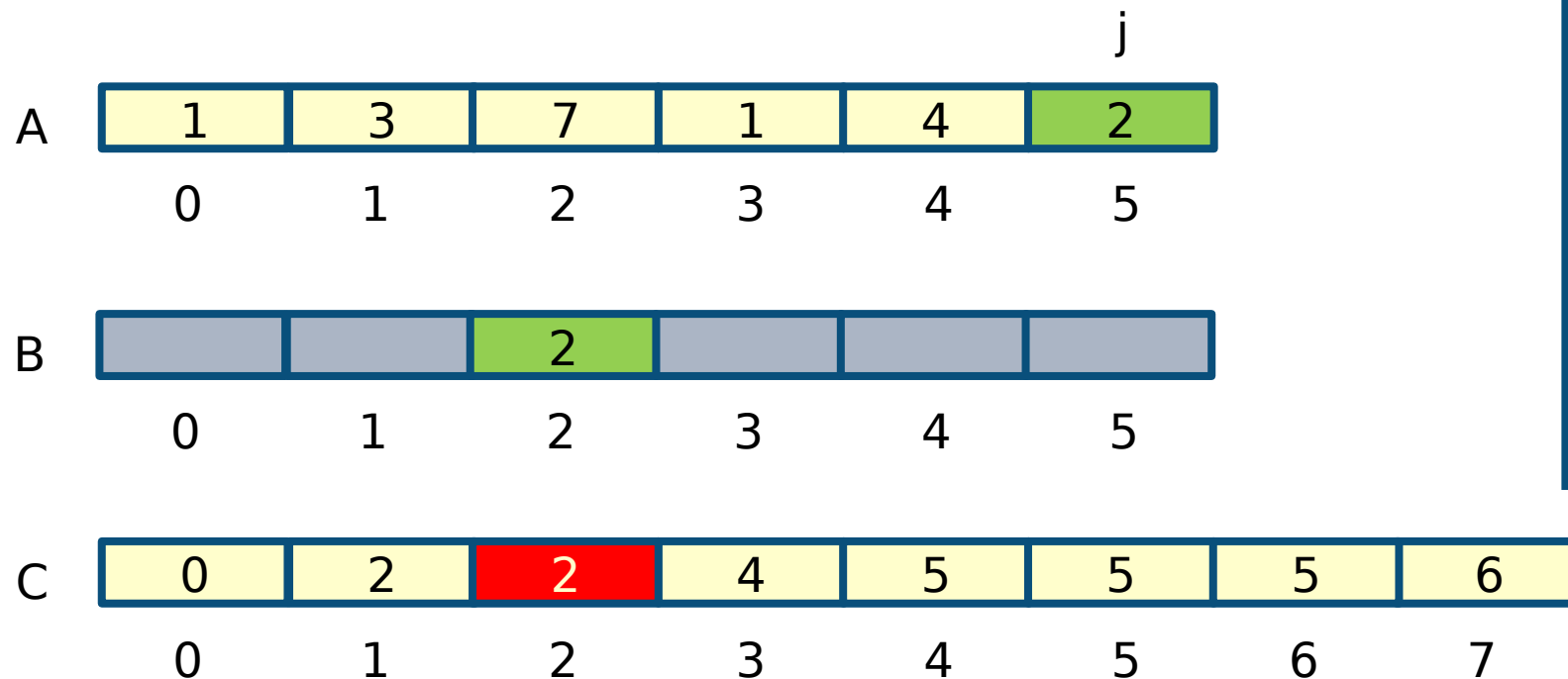
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- 2 is put in its final position $C[2] - 1 = 2$ in **B**

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



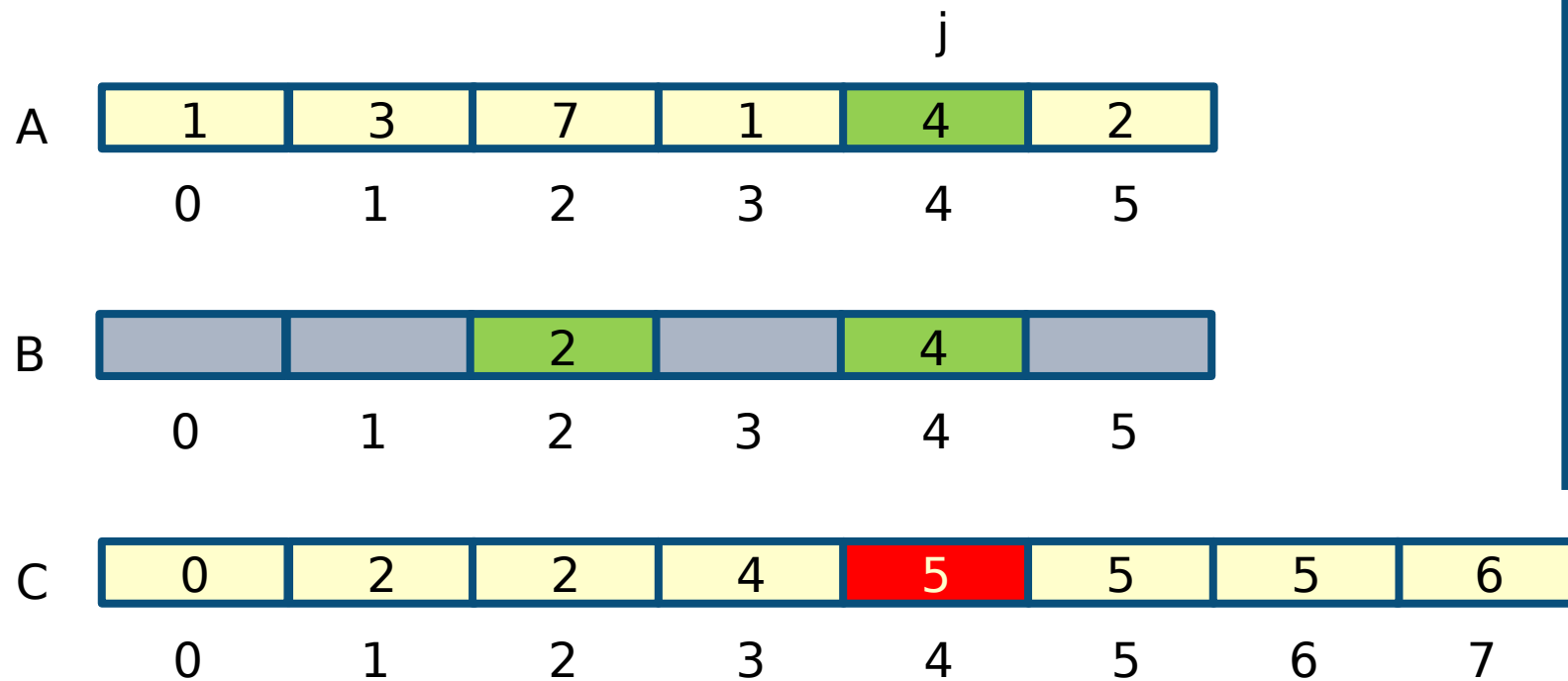
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

– The corresponding count is decreased

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



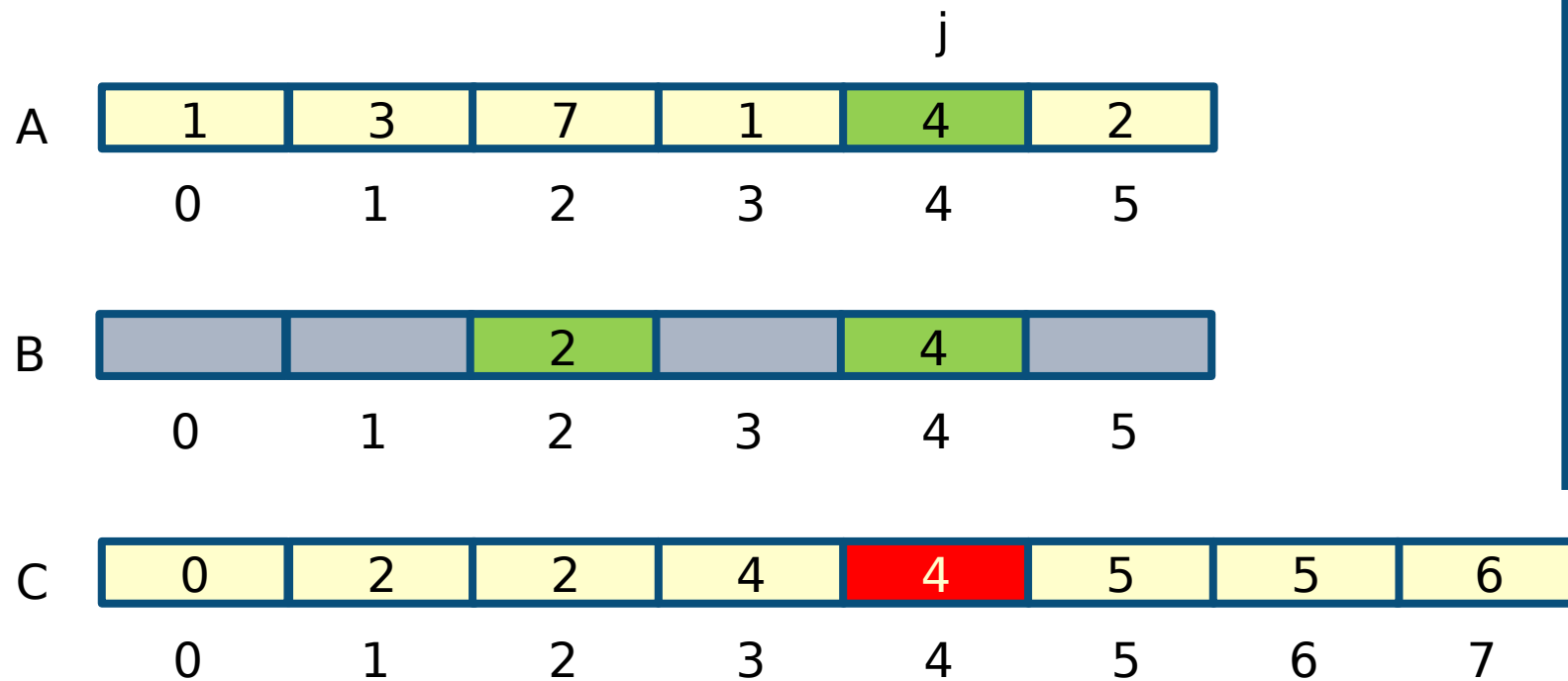
COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- 4 is put in its final position $C[4] - 1 = 4$ in **B**

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



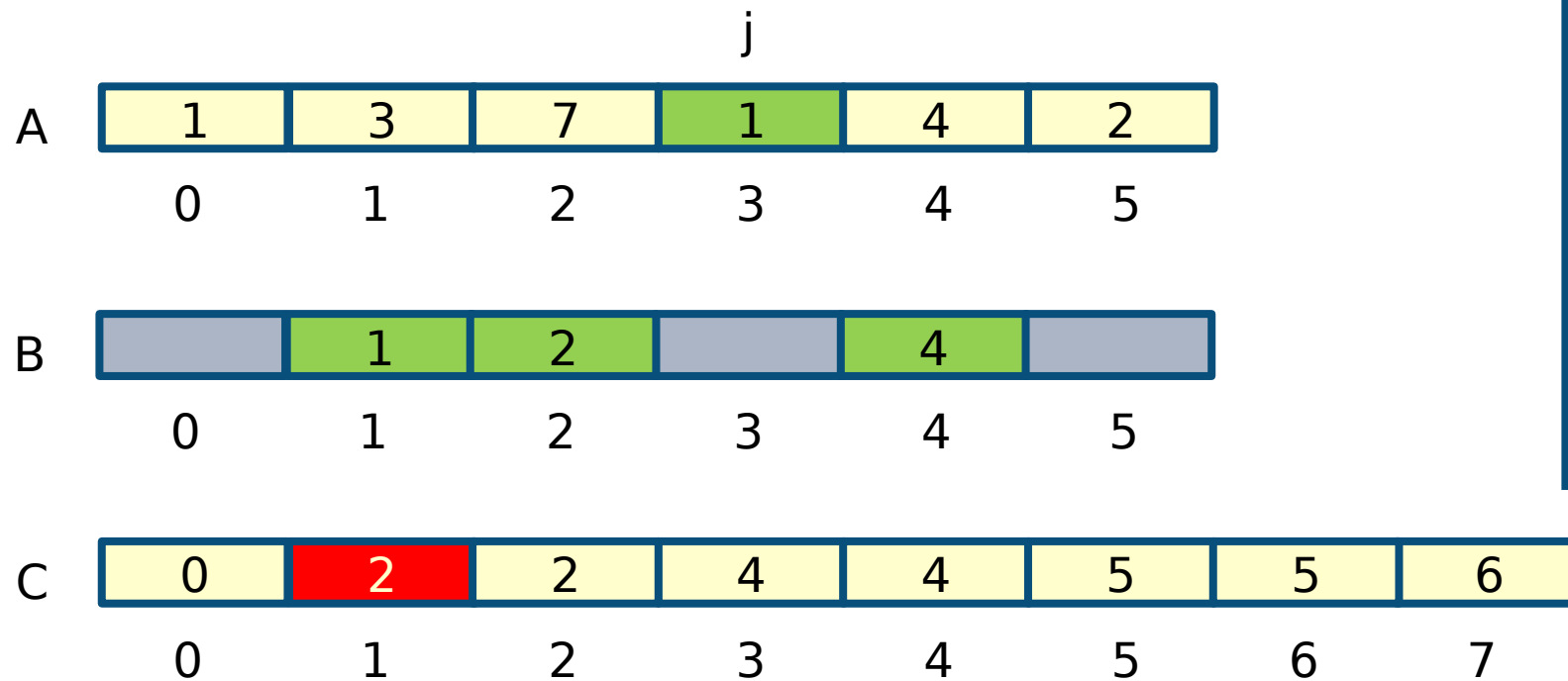
COUNTING-SORT(A,B,k)

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for j = n-1 downto 0
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– The corresponding count is decreased

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



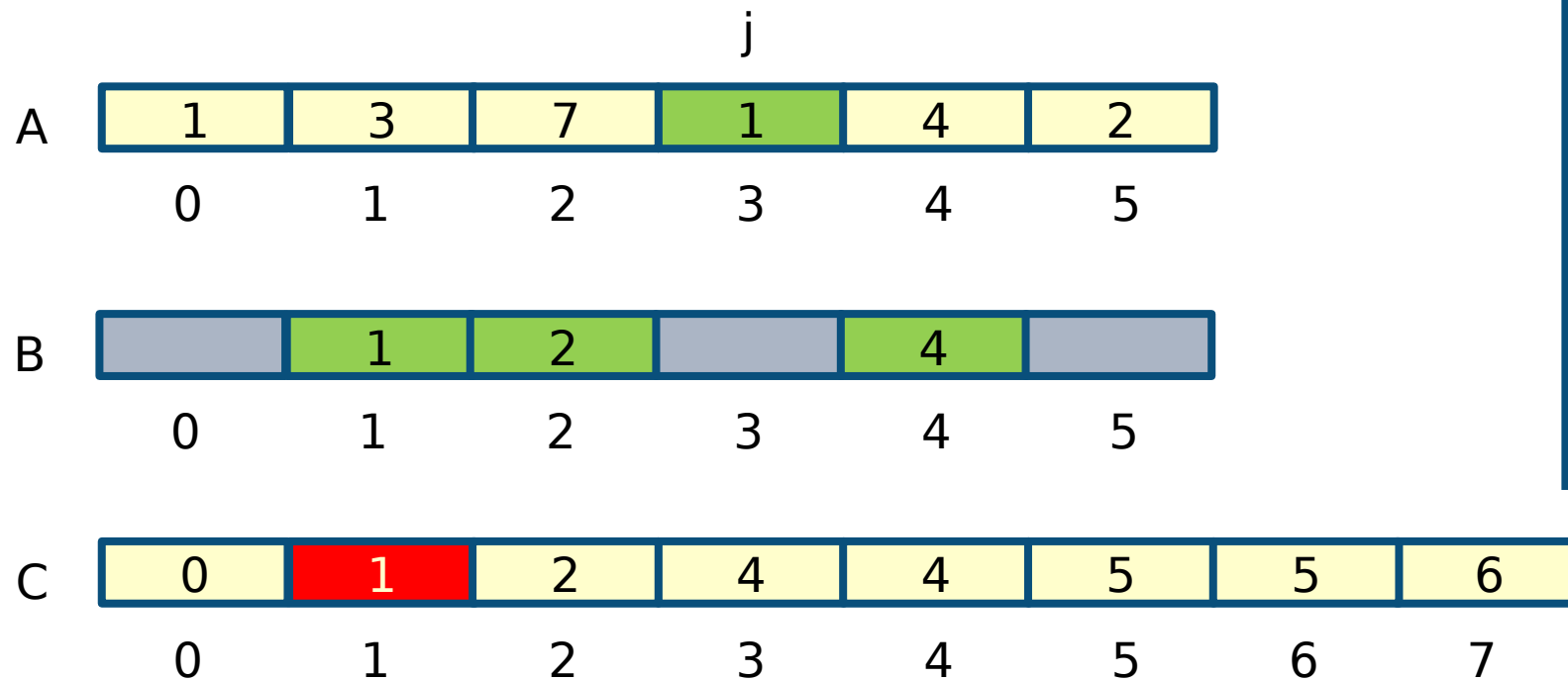
COUNTING-SORT(A,B,k)

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new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- 1 is put in its final position $C[1] - 1 = 1$ in **B**

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

– The corresponding count is decreased

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6

	j							
A	1	3	7	1	4	2		
	0	1	2	3	4	5		
B		1	2		4	7		
	0	1	2	3	4	5		
C	0	1	2	4	4	5	5	6
	0	1	2	3	4	5	6	7

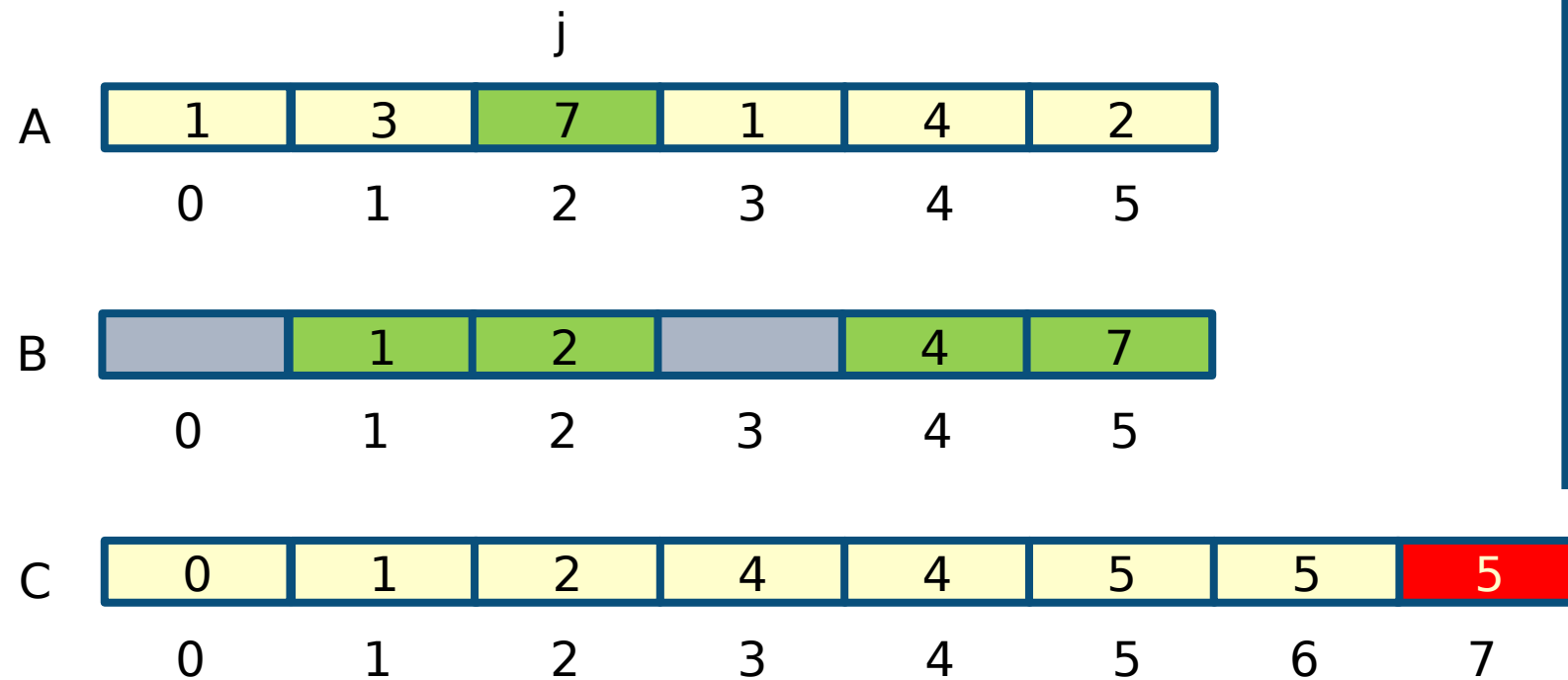
COUNTING-SORT(A,B,k)

```
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for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- 7 is put in its final position $C[7] - 1 = 5$ in **B**

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

– The corresponding count is decreased

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6

	j							
A	1	3	7	1	4	2		
	0	1	2	3	4	5		
B		1	2	3	4	7		
	0	1	2	3	4	5		
C	0	1	2	4	4	5	5	5
	0	1	2	3	4	5	6	7

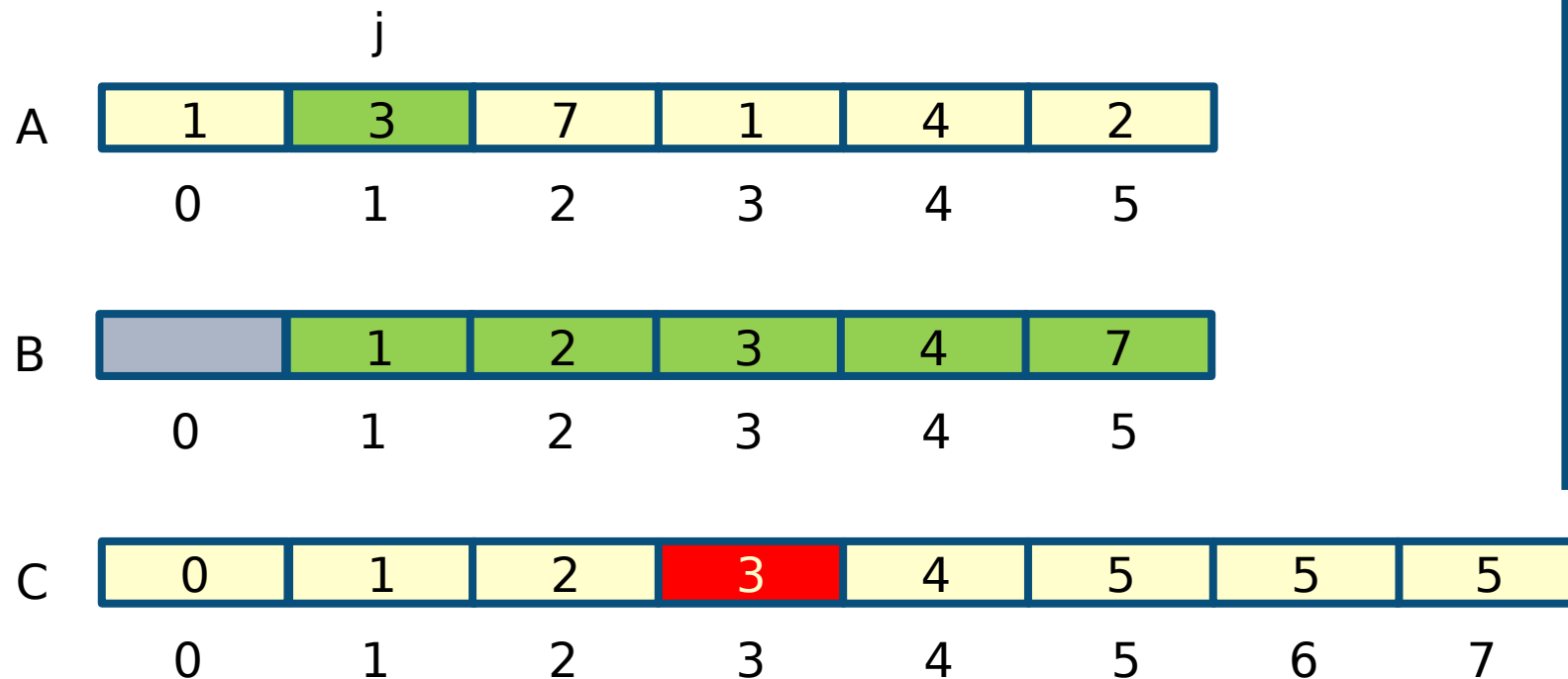
COUNTING-SORT(A,B,k)

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new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- 3 is put in its final position $C[3] - 1 = 3$ in **B**

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6



COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
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```

– The corresponding count is decreased

Example execution of COUNTING-SORT(A,B,7)

- **A** = [1,3,7,1,4,2] and **B** has length **n** = 6

	j					
A	1	3	7	1	4	2
	0	1	2	3	4	5

B	1	1	2	3	4	7
	0	1	2	3	4	5

C	0	1	2	3	4	5	5	5
	0	1	2	3	4	5	6	7

COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- 1 is put in its final position $C[1] - 1 = 0$ in B
- The position is correct as we decreased $C[1]$ when we processed the first occurrence of 1

Example execution of COUNTING-SORT(A,B,7)

- A** = [1,3,7,1,4,2] and **B** has length **n** = 6

	j					
A	1	3	7	1	4	2
	0	1	2	3	4	5

B	1	1	2	3	4	7
	0	1	2	3	4	5

C	0	0	2	3	4	5	5	5
	0	1	2	3	4	5	6	7

COUNTING-SORT(A,B,k)

```
new C[0..k]
for j = 0 to n - 1
    C[A[j]] := C[A[j]] + 1
for i = 1 to k
    C[i] := C[i] + C[i-1]
for j = n-1 downto 0
    B[C[A[j]] - 1] := A[j]
    C[A[j]] := C[A[j]] - 1
```

- The corresponding count is decreased
- Termination

Running time

- **Analysing each block of code we have that**
 - Allocation and initialisation of C is $O(k)$
 - First for loop is $O(n)$
 - Second for loop is $O(k)$
 - Third for loop is $O(n)$
- **$T(n) = O(n + k)$**
- **In practice COUNTING-SORT is used when $k = O(n)$**
 - Therefore, $T(n) = O(n)$

```
COUNTING-SORT(A, B, k)  
  new C[0..k]  
  for j = 0 to n - 1  
    C[A[j]] := C[A[j]] + 1  
  for i = 1 to k  
    C[i] := C[i] + C[i-1]  
  for j = n-1 downto 0  
    B[C[A[j]]] := A[j]  
    C[A[j]] := C[A[j]] - 1
```

RADIX-SORT

- Assume that each element in the array $A[0..n-1]$ has d digits
 - Digit 1 is the lowest-order digit
 - Digit d is the highest-order digit

```
RADIX-SORT(A, d)
  for  $i = 1$  to  $d$ 
    stable sort A on digit  $i$ 
```

- Running time when COUNTING-SORT is used as subroutine
 - d iterations
 - Complexity of COUNTING-SORT is $O(n + k)$
- $T(n) = O(d(n + k))$
 - When d is a constant and $k = O(n)$, $T(n) = O(n)$

Example execution of RADIX-SORT(A,3)

- Input array **A** of **6** **3**-digit integers
- **k = 9**

A			
0	0	0	1
1	0	2	3
2	4	2	4
3	5	3	6
4	1	2	0
5	0	0	7

```
RADIX-SORT(A, d)
  for i = 1 to d
    stable sort A on digit d
```

Example execution of RADIX-SORT(A,3)

- Input array **A** of 6 3-digit integers
- **k = 9**

```
RADIX-SORT(A, d)
  for i = 1 to d
    stable sort A on digit d
```

A			
0	0	0	1
1	0	2	3
2	4	2	4
3	5	3	6
4	1	2	0
5	0	0	7

– Sort on digit **i = 1**

Example execution of RADIX-SORT(A,3)

- Input array **A** of 6 3-digit integers
- **k = 9**

```
RADIX-SORT(A, d)
  for i = 1 to d
    stable sort A on digit d
```

A				A			
0	0	0	1	0	1	2	0
1	0	2	3	1	0	0	1
2	4	2	4	2	0	2	3
3	5	3	6	3	4	2	4
4	1	2	0	4	5	3	6
5	0	0	7	5	0	0	7

Example execution of RADIX-SORT(A,3)

- Input array **A** of 6 3-digit integers
- **k = 9**

```
RADIX-SORT(A, d)
  for i = 1 to d
    stable sort A on digit d
```

A			A		
0	0	0	1	2	0
1	0	2	0	0	1
2	4	2	0	2	3
3	5	3	4	2	4
4	1	2	5	3	6
5	0	0	0	0	7

– Sort on digit **i = 2**

Example execution of RADIX-SORT(A,3)

- Input array **A** of 6 **3**-digit integers
- **k = 9**

```
RADIX-SORT(A, d)
  for i = 1 to d
    stable sort A on digit d
```

A				A				A			
0	0	0	1	0	1	2	0	0	0	1	
1	0	2	3	1	0	0	1	1	0	0	7
2	4	2	4	2	0	2	3	2	1	2	0
3	5	3	6	3	4	2	4	3	0	2	3
4	1	2	0	4	5	3	6	4	4	2	4
5	0	0	7	5	0	0	7	5	5	3	6

– Stable sorting (see relative order of 001 and 007)

Example execution of RADIX-SORT(A,3)

- Input array **A** of 6 3-digit integers
- **k = 9**

```
RADIX-SORT(A, d)
  for i = 1 to d
    stable sort A on digit d
```

A		
0	0	1
1	0	2
2	4	2
3	5	3
4	1	2
5	0	0

A		
0	1	2
1	0	0
2	0	2
3	4	2
4	5	3
5	0	0

A		
0	0	1
1	0	7
2	1	2
3	0	2
4	4	2
5	5	3

– Sort on digit **i = 3**

Example execution of RADIX-SORT(A,3)

- Input array **A** of 6 **3**-digit integers
- **k = 9**

RADIX-SORT(A, d)
for **i = 1** to d
stable sort A on digit d

A			
0	0	0	1
1	0	2	3
2	4	2	4
3	5	3	6
4	1	2	0
5	0	0	7

A			
0	1	2	0
1	0	0	1
2	0	2	3
3	4	2	4
4	5	3	6
5	0	0	7

A			
0	0	0	1
1	0	0	7
2	1	2	0
3	0	2	3
4	4	2	4
5	5	3	6

A			
0	0	0	1
1	0	0	7
2	0	2	3
3	1	2	0
4	4	2	4
5	5	3	6

Summary

- **COUNTING-SORT**

- Running time

- **RADIX-SORT**