

# NB-IoT 空闲态过程

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## 小区选择和重选

NB-IoT与LTE的主要区别如下：

- 不支持系统间测量和重选；
- 小区选择和空闲态测量采用S准则，但不支持 $Q_{rxlevminoffset}$ ；
- 不支持基于优先级的重选策略，小区重选仅采用R准则，不支持S准则；
- 不支持基于小区偏置的重选策略，即R准则中不支持 $Q_{offsets,n}$ ；

### 5.2.4.6 Intra-frequency and equal priority inter-frequency Cell

The cell-ranking criterion  $R_s$  for serving cell and  $R_n$  for neighbouring cells is defined by

**R准则**

$$R_s = Q_{meas,s} + Q_{Hyst} - Q_{offset,temp}$$

$$R_n = Q_{meas,n} - Q_{offset} - Q_{offset,temp}$$

where:

|                   |  |
|-------------------|--|
| $Q_{meas}$        | RSRP measurement quantity used in cell reselections.   |
| $Q_{offset}$      | For intra-frequency: Equals to $Q_{offsets,n}$ , if $Q_{offsets,n}$ is valid, otherwise this equals to zero.<br>For inter-frequency: Equals to $Q_{offsets,n}$ plus $Q_{offset,frequency}$ , if $Q_{offsets,n}$ is valid, otherwise and for NB-IoT this equals to $Q_{offset,frequency}$ . |
| $Q_{offset,temp}$ | Offset temporarily applied to a cell as specified in [3]   |

### 5.2.3.2a Cell Selection Criterion for NB-IoT

The cell selection criterion S is fulfilled when:

$$S_{rxlev} > 0 \text{ AND } S_{qual} > 0$$

where:

$$S_{rxlev} = Q_{rxlevmeas} - Q_{rxlevmin} - P_{compensation} - Q_{offset,temp}$$

$$S_{qual} = Q_{qualmeas} - Q_{qualmin} - Q_{offset,temp}$$

where:

**S准则**

|                        |  |
|------------------------|--|
| $S_{rxlev}$            | Cell selection RX level value (dB)   |
| $S_{qual}$             | Cell selection quality value (dB)  |
| $Q_{offset,temp}$      | Offset temporarily applied to a cell as specified in [3] (dB)  |
| $Q_{rxlevmeas}$        | Measured cell RX level value (RSRP)  |
| $Q_{qualmeas}$         | Measured cell quality value (RSRQ)   |
| $Q_{rxlevmin}$         | Minimum required RX level in the cell (dBm)  |
| $Q_{qualmin}$          | Minimum required quality level in the cell (dB)  |
| $P_{compensation}$     | If the UE supports the <i>additionalPmax</i> in the <i>NS-PmaxList-NB</i> , if present, in SIB1-NB, SIB3-NB and SIB5-NB:<br>$\max(P_{EMAX1} - P_{PowerClass}, 0) - (\min(P_{EMAX2}, P_{PowerClass}) - \min(P_{EMAX1}, P_{PowerClass}))$ (dB);<br>else:<br>$\max(P_{EMAX1} - P_{PowerClass}, 0)$ (dB)     |
| $P_{EMAX1}, P_{EMAX2}$ | Maximum TX power level an UE may use when transmitting on the uplink in the cell (dBm) defined as $P_{EMAX}$ in TS 36.101 [33]. $P_{EMAX1}$ and $P_{EMAX2}$ are obtained from the <i>p-Max</i> and the <i>NS-PmaxList-NB</i> respectively in SIB1-NB, SIB3-NB and SIB5-NB as specified in TS 36.331 [3]. |
| $P_{PowerClass}$       | Maximum RF output power of the UE (dBm) according to the UE power class as defined in TS 36.101 [33]   |

## 系统消息的调度：MIB-NB

- NB-IoT系统消息包含MIB-NB、SIB1-NB、SIB2-NB、SIB3-NB、SIB4-NB、SIB5-NB、SIB14-NB、SIB15-NB，除SIB1-NB外的SIB块组成SI message，通过NPDSCH信道承载
- MIB-NB承载于NPBCH，传输周期为640ms，被分成8个编码子块（皆可自解码），每个编码子被重复传输8次，扩展到80ms的时间间隔上（即在80ms内的每个子帧#0对应一次传输），Payload大小为34bit，具体格式如下：

### MasterInformationBlock-NB

```
-- ASN1START
MasterInformationBlock-NB ::= SEQUENCE {
    systemFrameNumber-MSB-r13    BIT STRING (SIZE (4)),
    hyperSFN-LSB-r13            BIT STRING (SIZE (2)),
    schedulingInfoSIB1-r13      INTEGER (0..15),
    systemInfoValueTag-r13      INTEGER (0..31),
    ab-Enabled-r13              BOOLEAN,
    operationModeInfo-r13       CHOICE {
        inband-SamePCI-r13      Inband-SamePCI-NB-r13,
        inband-DifferentPCI-r13 Inband-DifferentPCI-NB-r13,
        guardband-r13           Guardband-NB-r13,
        standalone-r13          Standalone-NB-r13
    },
    spare                        BIT STRING (SIZE (11))
}

ChannelRasterOffset-NB-r13 ::= ENUMERATED {khz-7dot5, khz-2dot5, khz3dot5, khz7dot5}

Guardband-NB-r13 ::= SEQUENCE {
    rasterOffset-r13          ChannelRasterOffset-NB-r13,
    spare                     BIT STRING (SIZE (3))
}

Inband-SamePCI-NB-r13 ::= SEQUENCE {
    eutra-CRS-SequenceInfo-r13 INTEGER (0..31)
}

Inband-DifferentPCI-NB-r13 ::= SEQUENCE {
    eutra-NumCRS-Ports-r13    ENUMERATED {same, four},
    rasterOffset-r13          ChannelRasterOffset-NB-r13,
    spare                     BIT STRING (SIZE (2))
}

Standalone-NB-r13 ::= SEQUENCE {
    spare                     BIT STRING (SIZE (5))
}
```

指示系统帧号高4位（LTE中为高8位），低6位由MIB-NB编码和辅同步信道携带

指示超系统帧号低2位，由于SIB1-NB保持不变的周期为40.96s，因此低2位不适合放在SIB1-NB中

指示SIB1-NB的TBS和重复次数

指示系统消息是否发生更新

接入阻止使能开关，若为True，则需读取SIB14-NB，决定能否发起RRC连接

操作模式，4种不同模式下携带参数不同

## 系统消息的调度：SIB1-NB

- SIB1-NB承载于NPDSCH，传输周期固定为2560ms，重复次数可配置为4、8、16，分别对应每64、32、16个无线帧重复发送一次，SIB1-NB一次重复传输被映射至16个连续无线帧中的8个无线帧的子帧4上完成

### SystemInformationBlockType1-NB message

```
-- ASN1START

SystemInformationBlockType1-NB ::= SEQUENCE {
    hyperSFN-MSB-r13          BIT STRING (SIZE (8)),
    cellAccessRelatedInfo-r13 SEQUENCE {
        plmn-IdentityList-r13    PLMN-IdentityList-NB-r13,
        trackingAreaCode-r13     TrackingAreaCode,
        cellIdentity-r13         CellIdentity,
        cellBarred-r13           ENUMERATED {barred, notBarred},
        intraFreqReselection-r13 ENUMERATED {allowed, notAllowed}
    },
    cellSelectionInfo-r13      SEQUENCE {
        q-RxLevMin-r13          Q-RxLevMin,
        q-QualMin-r13           Q-QualMin-r9
    },
    p-Max-r13                  P-Max OPTIONAL, -- Need OP
    freqBandIndicator-r13     FreqBandIndicator-NB-r13,
    freqBandInfo-r13          NS-PmaxList-NB-r13 OPTIONAL, -- Need OR
    multiBandInfoList-r13     MultiBandInfoList-NB-r13 OPTIONAL, -- Need OR
    downlinkBitmap-r13        DL-Bitmap-NB-r13 OPTIONAL, -- Need OP,
    eutraControlRegionSize-r13 ENUMERATED {n1, n2, n3} OPTIONAL, -- Cond inband
    nrs-CRS-PowerOffset-r13    ENUMERATED {dB-6, dB-4dot77, dB-3, dB-1dot77, dB0, dB1, dB1dot23, dB2, dB3, dB4, dB4dot23, dB5, dB6, dB7, dB8, dB9} OPTIONAL, -- Cond inband-SamePCI

    schedulingInfoList-r13     SchedulingInfoList-NB-r13,
    si-WindowLength-r13        ENUMERATED {ms160, ms320, ms480, ms640, ms960, ms1280, ms1600, spare1},
    si-RadioFrameOffset-r13    INTEGER (1..15) OPTIONAL, -- Need OP
    systemInfoValueTagList-r13 SystemInfoValueTagList-NB-r13 OPTIONAL, -- Need OR
    lateNonCriticalExtension    OCTET STRING OPTIONAL,
    nonCriticalExtension        SEQUENCE {}
}
```

• 指示超系统帧号高8位

• 指示下行传输的有效子帧，所有有效子帧为S1 message和PDSCH等可使用的子帧，如不配置，则除NPSS、NSSS、NPBCH和SIB1-NB外的所有下行子帧都是有效子帧

• in-band模式下，LTE控制区域大小

• Inband-SamePCI模式下，NB-IoT NRS功率与LTE CRS功率比值

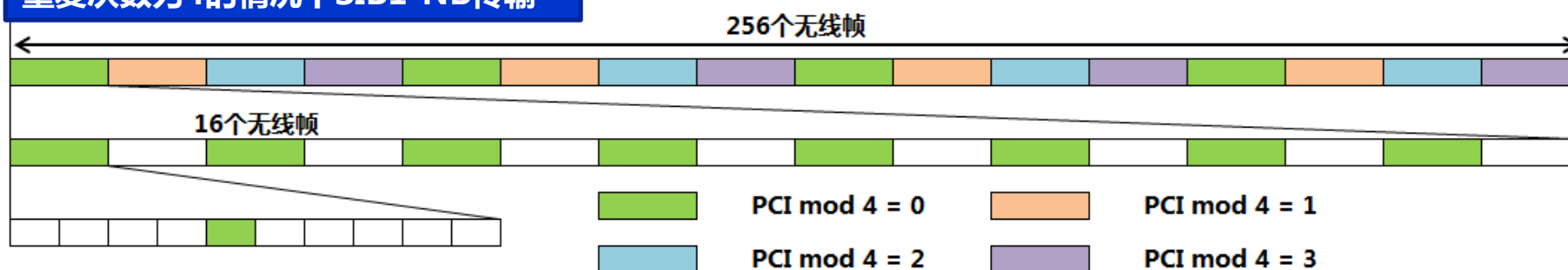
• SI-Window偏置，用于减小相邻小区发送SI-message的时域资源的相互干扰

• 指示每个SI是否发生更新

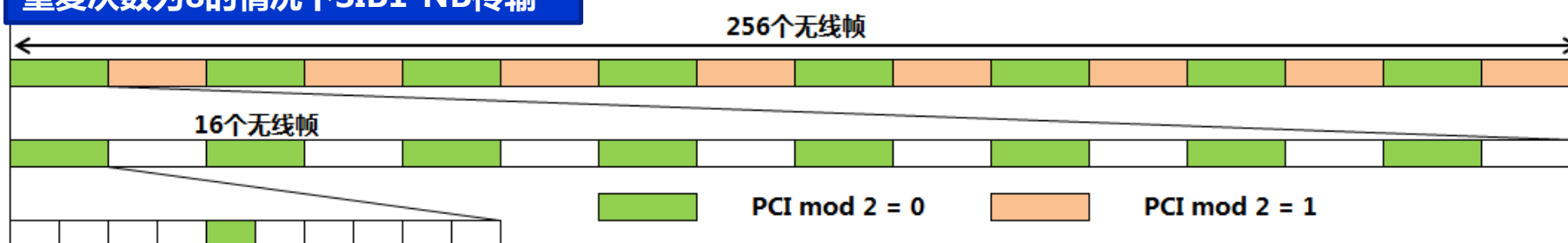
## 系统消息的调度：SIB1-NB

- 为避免相邻小区SIB1-NB干扰，在2560ms调度周期中，SIB1-NB无线帧具体位置，与重复次数和PCI有关

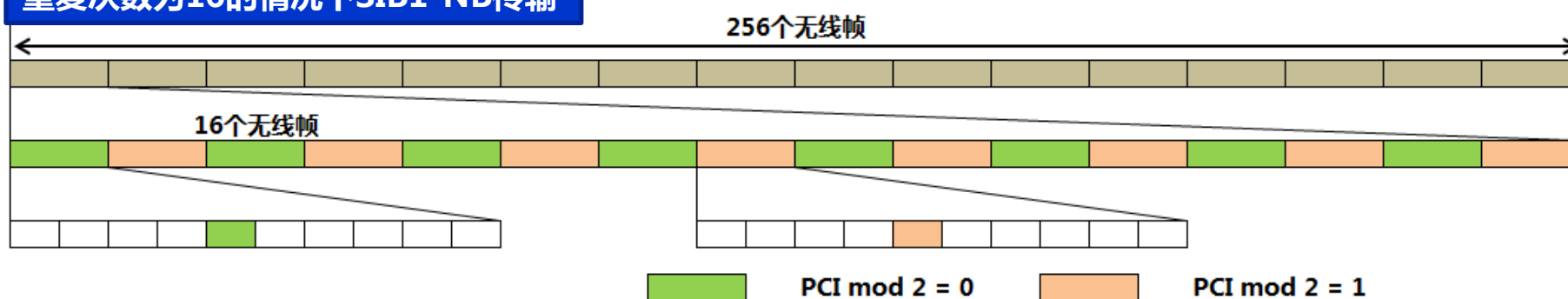
### 重复次数为4的情况下SIB1-NB传输



### 重复次数为8的情况下SIB1-NB传输



### 重复次数为16的情况下SIB1-NB传输



## 系统消息的调度：SI message

- NB-IoT中多个相同周期性的SIB-NB可组成一个SI message，以SI message为单位进行调度
- 每个SI message都配置SI-Window，不同SI message的SI-Window互不重叠
- 在配置的SI-Window内，SI message 重复模式被定义为每2、4、8、16个无线帧的第一个有效子帧开始发送，连续占用2或8个有效的无线子帧，直至发送一次完整的重复
- SI message的SI-Window的起始位置计算如下：

$$(H-SFN * 1024 + SFN) \bmod T = \text{FLOOR}((n - 1) * w / 10) + \text{Offset}$$

其中：

*SystemInformationBlockType1-NB message*

$T = \text{si-Periodicity}$

$\text{Offset} = \text{si-RadioFrameOffset}$

$w = \text{si-WindowLength}$

$n = \text{SI message的排列顺序}$

Table 16.4.1.5.1-1: Transport block size (TBS) table.

| $I_{\text{TBS}}$ | $I_{\text{SF}}$ |     |     |     |     |     |     |     |
|------------------|-----------------|-----|-----|-----|-----|-----|-----|-----|
|                  | 0               | 1   | 2   | 3   | 4   | 5   | 6   | 7   |
| 0                | 16              | 32  | 56  | 88  | 120 | 152 | 208 | 256 |
| 1                | 24              | 56  | 88  | 144 | 176 | 208 | 256 | 344 |
| 2                | 32              | 72  | 144 | 176 | 208 | 256 | 328 | 424 |
| 3                | 40              | 104 | 176 | 208 | 256 | 328 | 440 | 568 |
| 4                | 56              | 120 | 208 | 256 | 328 | 408 | 552 | 680 |
| 5                | 72              | 144 | 224 | 328 | 424 | 504 | 680 |     |
| 6                | 88              | 176 | 256 | 392 | 504 | 600 |     |     |
| 7                | 104             | 224 | 328 | 472 | 584 | 680 |     |     |
| 8                | 120             | 256 | 392 | 536 | 680 |     |     |     |
| 9                | 136             | 296 | 456 | 616 |     |     |     |     |
| 10               | 144             | 328 | 504 | 680 |     |     |     |     |
| 11               | 176             | 376 | 584 |     |     |     |     |     |
| 12               | 208             | 440 | 680 |     |     |     |     |     |

```
-- ASN1START
...
schedulingInfoList-r13          SchedulingInfoList-NB-r13,
si-WindowLength-r13            ENUMERATED {ms160, ms320, ms480, ms640,
                                         ms960, ms1280, ms1600, spare1},
si-RadioFrameOffset-r13        INTEGER (1..15) OPTIONAL, -- Need OP
systemInfoValueTagList-r13     SystemInfoValueTagList-NB-r13 OPTIONAL, -- Need OR
...
SchedulingInfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF SchedulingInfo-NB-r13

SchedulingInfo-NB-r13 ::= SEQUENCE {
    si-Periodicity-r13          ENUMERATED {rf64, rf128, rf256, rf512,
                                         rf1024, rf2048, rf4096, spare},
    si-RepetitionPattern-r13    ENUMERATED {every2ndRF, every4thRF,
                                         every8thRF, every16thRF},
    sib-MappingInfo-r13        SIB-MappingInfo-NB-r13,
    si-TB-r13                  ENUMERATED {b56, b120, b208, b256, b328, b440, b552, b680}
}

SystemInfoValueTagList-NB-r13 ::= SEQUENCE (SIZE (1.. maxSI-Message-NB-r13)) OF
    SystemInfoValueTagSI-r13

SIB-MappingInfo-NB-r13 ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type-NB-r13

SIB-Type-NB-r13 ::= ENUMERATED {
    sibType3-NB-r13, sibType4-NB-r13, sibType5-NB-r13,
    sibType14-NB-r13, sibType16-NB-r13, spare3, spare2, spare1}
-- ASN1END
```

## 系统消息的调度：有效性与更新通知

- NB-IoT系统消息的有效时间为24h（LTE为3h）
- NB-IoT系统消息只能在其修改周期的边界发生变更，

当网络更新了系统消息，首先通过PAGING或DCI

Format N2通知UE系统消息的更新

- 与LTE不同，由于NB-IoT引入eDRX，eDRX周期可能大于修改周期，因此更新机制略有不同

- 当eDRX周期小于修改周期，收到systemInfoModification的UE，在“(H-SFN \* 1024 + SFN) mod m=0”（m为修改周期，即modificationPeriodCoeff \* defaultPagingCycle）处开始接收更新的系统消息

- 当eDRX周期大于或等于修改周期，收到systemInfoModification-eDRX的UE，在“H-SFN mod 1024 = 0”处开始接收更新的系统消息

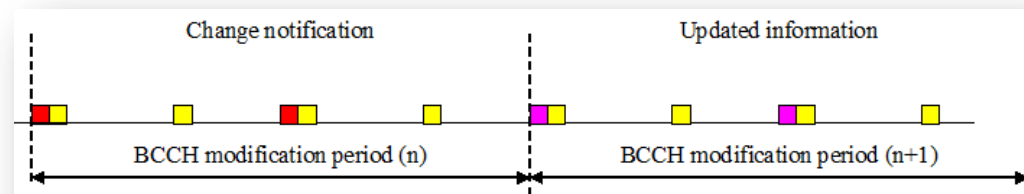


Figure 5.2.1.3-1: Change of system Information

### Paging-NB message

```
-- ASN1START
Paging-NB ::= SEQUENCE {
    pagingRecordList-r13          PagingRecordList-NB-r13          OPTIONAL, -- Need ON
    systemInfoModification-r13    ENUMERATED {true}                OPTIONAL, -- Need ON
    systemInfoModification-eDRX-r13 ENUMERATED {true}              OPTIONAL, -- Need ON
    nonCriticalExtension           SEQUENCE {}                      OPTIONAL
}

PagingRecordList-NB-r13 ::= SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord-NB-r13

PagingRecord-NB-r13 ::= SEQUENCE {
    ue-Identity-r13
    ...
}
-- ASN1STOP
```

### Paging-NB field descriptions

#### systemInfoModification

If present: indication of a BCCH modification other than for SystemInformationBlockType14-NB (SIB14-NB) and SystemInformationBlockType16-NB (SIB16-NB). This indication does not apply to UEs using eDRX cycle longer than the BCCH modification period.

#### systemInfoModification-eDRX

If present: indication of a BCCH modification other than for SystemInformationBlockType14-NB (SIB14-NB) and SystemInformationBlockType16-NB (SIB16-NB). This indication applies only to UEs using eDRX cycle longer than the BCCH modification period.

## 6.7.5 Direct Indication Information

Direct Indication information is transmitted on NPDCCH using P-RNTI but without associated Paging-NB message. Table 6.7.5-1 defines the Direct Indication information, see TS 36.212 [22, 6.4.3.3].

When bit n is set to 1, the UE shall behave as if the corresponding field is set in the Paging-NB message, see 5.3.2.3. Bit 1 is the least significant bit.

Table 6.7.5-1: Direct Indication information

| Bit                 | Field in Direct Indication information           |
|---------------------|--|
| 1                   | systemInfoModification                           |
| 2                   | systemInfoModification-eDRX                      |
| 3, 4, 5,<br>6, 7, 8 | Not used, and shall be ignored by UE if received |



# PAGING/DRX/eDRX

## NB-IoT寻呼机制与LTE不同：

① 用户面优化方案中，UE CONTEXT SUSPEND REQUEST ( ENB->MME ) 会携带**推荐小区及基站信息、小区识别及覆盖增强等级信息**，用于后续的寻呼优化处理

② 默认DRX IE用于指示**小区级默认DRX周期**  
参数传递：

- 1、SystemInformationBlockType2-NB ( ENB->UE ) ；
- 2、S1 SETUP REQUEST ( ENB->MME ) ；
- 3、ENB CONFIGURATION UPDATE ( ENB->MME ) ；

① eDRX IE用于指示**用户级eDRX寻呼周期和寻呼传输窗**，DRX周期扩展至将近3小时，详细机制见36.304-7.1、23.401-5.13a节，本文不赘述  
参数传递：

- 1、ATTACH/TAU REQUEST ( UE->MME )
- 2、ATTACH/TAU ACCEPT ( MME->UE )
- 3、PAGING ( MME->ENB ) ；

## 9.2.1.105 Information on Recommended Cells and eNBs for Paging

This IE provides information on recommended cells and eNBs for paging.

| IE/Group Name                | Presence | Range | IE type and reference | Semantics description |
|------------------------------|----------|-------|-----------------------|-----------------------|
| Recommended Cells for Paging | M        |       | 9.2.1.106             |                       |
| Recommended eNBs for Paging  | M        |       | 9.2.1.107             |                       |

## 9.2.1.109 Cell Identifier and Coverage Enhancement Level

This IE provides information for paging CE capable UEs.

| IE/Group Name              | Presence | Range | IE type and reference   | Semantics description   |
|----------------------------|----------|-------|-------------------------|---|
| Global Cell ID             | M        |       | E-UTRAN CGI<br>9.2.1.38 |   |
| Coverage Enhancement Level | M        |       | OCTET STRING            | Includes either the <i>UEPagingCoverageInformation</i> message as defined in 10.2.2 of TS 36.331 [16], or the <i>UEPagingCoverageInformation-NB</i> message as defined in 10.6.2 of TS 36.331 [16]. |

## 9.2.1.114 NB-IoT Default Paging DRX

This IE indicates the NB-IoT Default Paging DRX as defined in TS 36.304 [20].

| IE/Group Name             | Presence | Range | IE type and reference                | Semantics description         |
|---------------------------|----------|-------|--------------------------------------|-------------------------------|
| NB-IoT Default Paging DRX | M        |       | ENUMERATED(128, 256, 512, 1024, ...) | Unit: [number of radioframes] |

## 9.2.1.115 NB-IoT Paging eDRX Information

This IE indicates the NB-IoT Paging eDRX parameters as defined in TS 36.304 [20].

| IE/Group Name             | Presence | Range | IE type and reference   | Semantics description  |
|---------------------------|----------|-------|---|--|
| NB-IoT Paging eDRX Cycle  | M        |       | ENUMERATED (hf2, hf4, hf6, hf8, hf10, hf12, hf14, hf16, hf32, hf64, hf128, hf256, hf512, hf1024, ...) | T <sub>eDRX</sub> defined in TS 36.304 [20].<br>Unit: [number of hyperframes]. |
| NB-IoT Paging Time Window | O        |       | ENUMERATED (s1, s2, s3, s4, s5, s6, s7, s8, s9, s10, s11, s12, s13, s14, s15, s16, ...)               | Unit: [2.56 seconds]   |



## PSM ( Power Saving Mode )

• 终端应用PSM功能满足其节电需求，该模式近似于关机，但保留注册状态，因此不需要触发重附着或PDN重建流程，机制如下：

- UE和MME通过ATTACH/TAU流程实现T3324和T3412同步
- T3324 value：终端在ATTACH/TAU ACCEPT消息中收到该定时器时，T3324启动，正常监听寻呼信道；T3324超时，进入PSM状态，但T3412仍处于活动中，取值范围2秒~32小时
- T3412 extended value：T3412超时，终端发起周期性TAU流程，取值范围10分钟~约427天

### T3324 value

Table 10.5.172/3GPP TS 24.008: GPRS *Timer* information element

Timer value (octet 2)

Bits 5 to 1 represent the binary coded timer value.

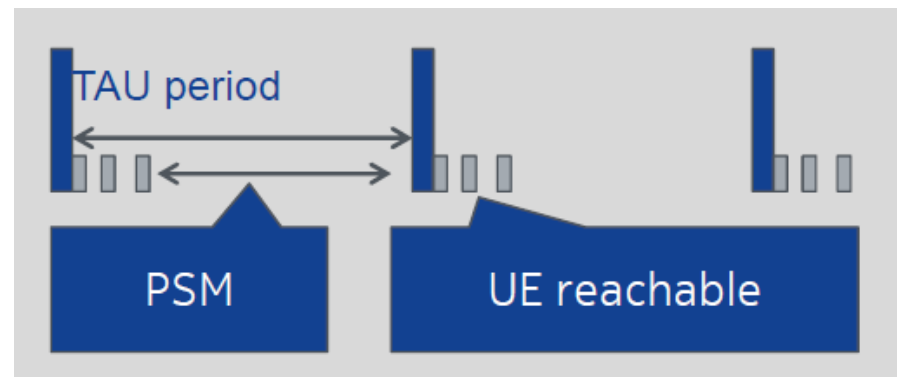
Bits 6 to 8 defines the timer value unit for the GPRS timer as follows:

Bits

**8 7 6**

- 0 0 0 value is incremented in multiples of 2 seconds
- 0 0 1 value is incremented in multiples of 1 minute
- 0 1 0 value is incremented in multiples of decihours
- 1 1 1 value indicates that the timer is deactivated.

Other values shall be interpreted as multiples of 1 minute in this version of the protocol.



### T3412 extended value

Table 10.5.163a/3GPP TS 24.008: GPRS *Timer* 3 information element

GPRS Timer 3 value (octet 3)

Bits 5 to 1 represent the binary coded timer value.

Bits 6 to 8 defines the timer value unit for the GPRS timer as follows:

Bits

**8 7 6**

- 0 0 0 value is incremented in multiples of 10 minutes
- 0 0 1 value is incremented in multiples of 1 hour
- 0 1 0 value is incremented in multiples of 10 hours
- 0 1 1 value is incremented in multiples of 2 seconds
- 1 0 0 value is incremented in multiples of 30 seconds
- 1 0 1 value is incremented in multiples of 1 minute
- 1 1 0 value is incremented in multiples of 320 hours (NOTE)
- 1 1 1 value indicates that the timer is deactivated.

NOTE: This timer value unit is only applicable to the T3312 extended value IE and T3412 extended value IE (see 3GPP TS 24.301 [120]). If it is received in an integrity protected message, value shall be interpreted as multiples of 320 hours. Otherwise value shall be interpreted as multiples of 1 hour.