## **CSE 340 Principles of Programming Languages**

# Lexical Analysis

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Adopted from slides by Adam Doupe



- Programming Language must have a clearly specified syntax
- Programmers can learn the syntax and know what is allowed and what is not allowed
- Compiler writers can understand programs and enforce the syntax



- Input is a series of bytes
  - How to get from a string of characters to program execution?
- We must first assemble the string of characters into something that a program can understand
- Output is a series of tokens



- In English, we have an alphabet
  - a...z, ,, ., !, ?, ...
- However, we also have a higher abstraction than letter in the alphabet
- Words
  - Defined in a dictionary
  - Categorized into
    - Nouns
    - Verbs
    - Adverbs
    - Articles
- Sentences
- Paragraphs



- In a programming language, we also have an alphabet (the symbols that are important in the specific language)
  - a, z, ,, ., !, ?, <, >, ;, }, {, (, ), ...
- Just as in English, we create abstractions of the lowlevel alphabet
- Tokens
  - ==
  - <=
  - while
  - if
- Tokens are precisely specified using patterns



## **Strings**

- Alphabet symbols together make a string
- We define a string over an alphabet  $\varSigma$  as a finite sequence of symbols from  $\varSigma$
- $\varepsilon$  is the empty string, an empty sequence of symbols
- Concatenating  $\varepsilon$  with a string s gives s
  - $\varepsilon S = S \varepsilon = S$
- In our examples, strings will be stylized differently, either
  - "in between double quotes"
  - italic and dark blue



#### Languages

- $\Sigma$  represents the set of all symbols in an alphabet
- We define  $\Sigma^*$  as the set of all strings over  $\Sigma$ 
  - $\Sigma^*$  contains all the strings that can be created by combining the alphabet symbols into a string
- A language L over alphabet  $\Sigma$  is a set of strings over  $\Sigma$ 
  - A language L is a subset of  $\Sigma^*$
- Is  $\Sigma$  infinite?
- Is  $\Sigma^*$  infinite?
- Is L infinite?



- Tokens are typically specified using regular expressions
- Regular expressions are
  - Compact
  - Expressive
  - Precise
  - Widely used
  - Easy to generate an efficient program to match a regular expression



- We must first define the syntax of regular expressions
- A regular expression is either
  - 1. Ø
  - $2. \quad \varepsilon$
  - 3. a, where a is an element of the alphabet
  - 4.  $R_1 \mid R_2$ , where  $R_1$  and  $R_2$  are regular expressions
  - 5.  $R_1 \cdot R_2$ , where  $R_1$  and  $R_2$  are regular expressions
  - 6. (R), where R is a regular expression
  - 7. R\*, where R is a regular expression



- A regular expression defines a language (the set of all strings that the regular expression describes)
- The language L(R) of regular expression R is given by:
  - 1.  $L(\emptyset) = \emptyset$
  - 2.  $L(\varepsilon) = \{\varepsilon\}$
  - 3.  $L(a) = \{a\}$
  - 4.  $L(R_1 | R_2) = L(R_1) \cup L(R_2)$
  - 5.  $L(R_1 . R_2) = L(R_1) . L(R_2)$



## $L(R_1 | R_2) = L(R_1) \cup L(R_2)$

#### Examples:

$$L(a \mid b) = L(a) \cup L(b) = \{a\} \cup \{b\} = \{a, b\}$$

$$L(a \mid b \mid c) = L(a \mid b) \cup L(c) = \{a, b\} \cup \{c\} = \{a, b, c\}$$

$$L(a \mid \varepsilon) = L(a) \cup L(\varepsilon) = \{a\} \cup \{\varepsilon\} = \{a, \varepsilon\}$$

$$L(\varepsilon \mid \varepsilon) = \{\varepsilon\}$$

$$\{\varepsilon\} \neq \{\}$$

## $L(R_1.R_2) = L(R_1).L(R_2)$

#### Definition

For two sets A and B of strings:

```
A.B = \{xy : x \in A \text{ and } y \in B\}
```

#### **Examples:**

```
A = \{aa, b\}, B = \{a, b\}
A . B = \{aaa, aab, ba, bb\}
ab \not\in A . B
```

A = 
$$\{aa, b, \varepsilon\}$$
, B =  $\{a, b\}$   
A . B =  $\{aaa, aab, ba, bb, a, b\}$ 



#### **Operator Precedence**

```
L(a|b.c)
What does this mean?
(a|b).cora|(b.c)

Just like in math or a programming language, we must define the operator precedence (* higher precedence than +)
a + b * c
```

. has higher precedence than |

(a + b) \* c or a + (b \* c)?

L(a|b.c) =  
L(a) 
$$\cup$$
 L(b.c) = {a}  $\cup$  {bc} = {a, bc}



```
L((R)) = L(R)

L((a|b).c) =

L(a|b).L(c) =

{a,b}.{c} =

{ac,bc}
```

#### **Kleene Star**

$$L(R^*) = ?$$
 $L(R^*) = {\varepsilon} \cup L(R) \cup L(R) . L(R) \cup L(R) . L(R) .$ 

#### **Definition**

$$L^{0}(R) = \{ \varepsilon \}$$

$$L^{i}(R) = L^{i-1}(R) \cdot L(R)$$

$$L(R^*) = \cup_{i \geq 0} L^i(R)$$



$$L(R^*) = \cup_{i \geq 0} L^i(R)$$

#### Examples

```
L(a | b*) = \{a, \varepsilon, b, bb, bbb, bbbb, ...\}
L((a | b)*) = \{\varepsilon\} \cup \{a, b\} \cup \{aa, ab, ba, bb\} \cup \{aaa, aab, aba, abb, baa, bab, bba, bbb} \cup ...
```

```
letter = a | b | c | d | e | ... | A | B | C | D | E...
digit = 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
```

a891\_jksdbed 12ajkdfjb

Note that we've left out the . regular expression operator. It is implied when two regular expressions are next to each other, similar to x\*y=xy in math.



How to define a number?

```
NUM = digit*
132
NUM = digit(digit)*
132
0000000000
pdigit = 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
NUM = pdigit(digit)*
132
0000000000
```



```
NUM = pdigit . (digit)* | 0

123

0

0000000

1901adb
```



How to define a decimal number?

```
DECIMAL = NUM . \. . NUM
1.5
2.10
1.01
DECIMAL = NUM . \. . digit*
1.5
2.10
1.01
1.
DECIMAL = NUM . \. . digit . digit*
1.5
2.10
1.01
1.
```

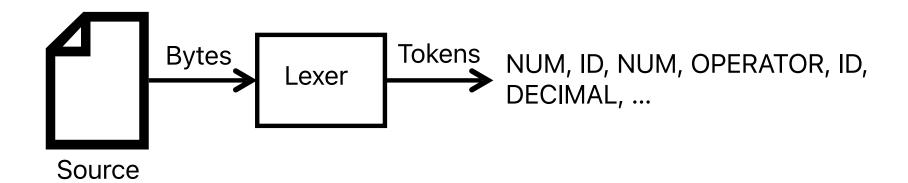
0.00

Note that here we mean a regular expression that matches the one-character string dot. However, to differentiate between the regular expression concatenation operator. and the character., we escape the. with a \ (similar to strings where \n represents the newline character in a string). This means that we also need to escape \ with a \ so that the regular expression \\ matches the string containing the single character |



## **Lexical Analysis**

- The job of the lexer is to turn a series of bytes (composed from the alphabet) into a sequence of tokens
  - The API that we will discuss in this class will refer to the lexer as having a function called getToken(), which returns the next token from the input steam each time it is called
- Tokens are specified using regular expressions





### **Lexical Analysis**

```
Given these tokens:
ID = letter . (letter | digit | _ )*
DOT = \.
NUM = pdigit . (digit)* | 0
DECIMAL = NUM . DOT . digit . digit*
What token does getToken() return on this string:
1.1abc1.2
NUM?
DECIMAL?
ID?
```

#### **Longest Matching Prefix Rule**

- Starting from the next input symbol, find the longest string that matches a token
- Break ties by giving preference to token listed first in the list

String	Matching	Potential	Longest Match
1.1abc1.2		All	
1.1abc1.2	NUM	DECIMAL, NUM	NUM, 1
1.1abc1.2		DECIMAL	NUM, 1
1.1abc1.2	DECIMAL	DECIMAL	DECIMAL, 3
1.1abc1.2			
<u>1.1</u> abc1.2		All	
abc1.2	ID	ID	ID, 1
abc1.2	ID	ID	ID, 2
abc1.2	ID	ID	ID, 3
abc1.2	ID	ID	ID, 4
abc1.2			ID, 4
<u>abc1</u> .2		All	
.2	DOT		DOT, 1
.2			DOT, 1
<u>.</u> 2		All	
2	NUM	NUM	NUM, 1
2			<b>№</b> M, 1

## **Mariner 1**





## **Lexical Analysis**

- In some programming languages, whitespace is not significant at all
  - In most programming language, whitespace is not always significant
    - (5 + 10) vs. (5+10)
- In Fortran, whitespace is ignored
- DO 15 I = 1,100
- DO 15 I = 1.100
- DO15I = 1.100
  - Variable assignment instead of a loop!

