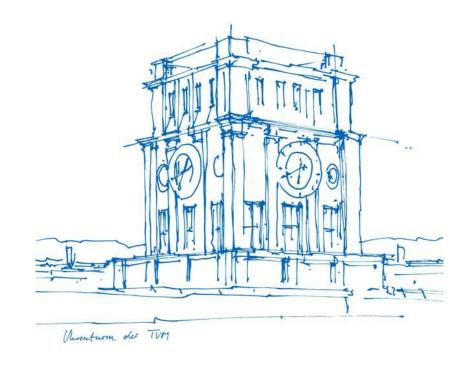


# Standard Adversarial Training Theory and Review

Technical University Munich
Chair of Computer Science
Machine Learning Seminar, SS21
15.07.2021

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## Adversarial Examples

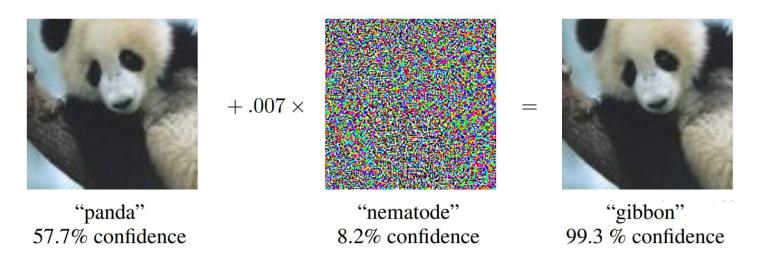


Fig 1: Adversarial example on GoogLeNet [1]

- Perturbing input s.t. it causes misclassification
- Here, perturbations constrained within Lp ball



#### Adversarial Examples



Fig 2: Adversarial example in real life applications, left: graffiti, right adversarial attack [2]

Stop sign get classified as speed limit sign



#### Adversarial Examples

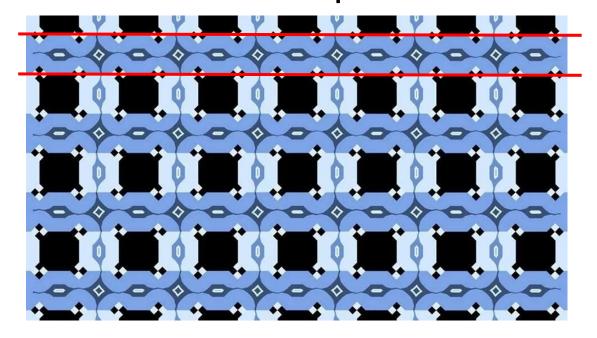


Fig 3: optical illusion for human brain [3]

blue lines are straight and horizontal



# Why are neural networks prone to adversarial examples?

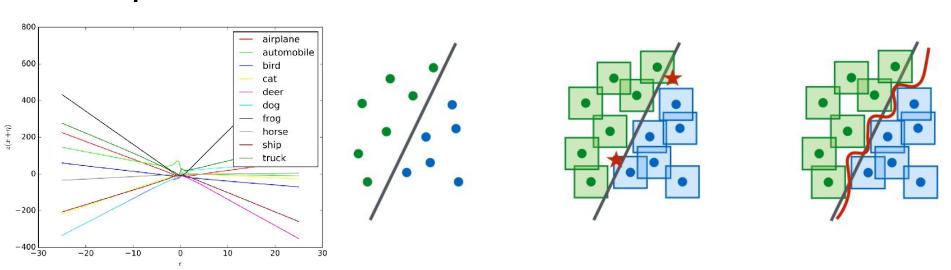


Fig 4: decision boundaries for a model trained on CIFAR10 [4]

Fig 5: conceptual illustration of standard and adversarial decision boundaries [5]

#### Excessive linearity of the decision boundaries

- [4] Image taken from "Adversarial Examples and Adversarial Training" by Goodfellow et al.
- [5] Image taken from "Towards Deep Learning Models Resistant to Adversarial Attacks" by Madry et al.



## How to create adversarial examples?

 find perturbation δ that maximizes classification loss ℓ

$$egin{aligned} \max_{\delta \in \Delta} & l(f_{ heta}(x_i + \delta), y_i) \ \Delta = \left\{ \delta : ||\delta||_p \leq \epsilon 
ight\} & ext{with} & \epsilon > 0 \end{aligned}$$

Δ being the threat model
 (bounded by an Lp - ball of size ε)

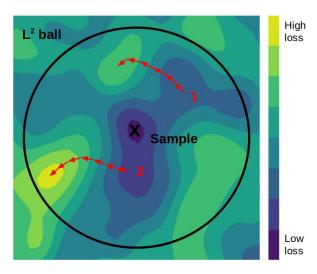
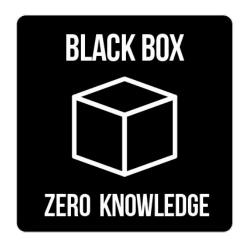


Figure 6: "The dynamics of a PGD attack in the loss landscape" [6]

How? projected gradient ascent for x



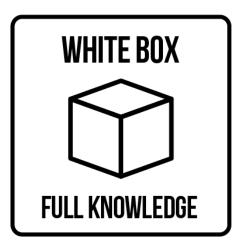
# Types of adversarial attacks



manual process, starting with random input



train substitute model, proceed like white box attack



gradient ascent to generate adversarial samples

Adversarial attacks are model agnostic!



## Types of adversarial attacks

# **Untargeted Attack Targeted Attack** target label: lakeland\_terrier

Figure 7: Examples of adversarial attacks[7]

• change label to some other class

change label to given target class



# How to defend against adversarial attacks?

basic idea: use adversarial examples for training

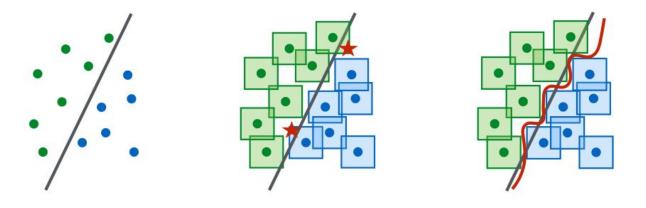


Fig 8: conceptual illustration of standard and adversarial decision boundaries [5]



#### How to defend against adversarial attacks?

basic idea: use adversarial examples for training

$$egin{aligned} \min_{ heta} \sum_i \max_{\delta \in \Delta} \ l(f_{ heta}(x_i + \delta), y_i) \ \Delta &= \{\delta: ||\delta||_p \leq \epsilon\} \quad ext{with} \quad \epsilon > 0 \end{aligned}$$

Challenge: how to calculate derivative?



#### Danskin's Theorem

The (sub)gradient of a function containing a max term can be found by taking the gradient at the point of the maximum  $\delta^*$ .

$$abla_{ heta} \max_{||\delta|| \leq \epsilon} l(f_{ heta}(x_i + \delta), y_i) = 
abla_{ heta} l(f_{ heta}(x_i + \delta^*(x_i)), y_i)$$

- Requirements:
  - Convex loss function
  - only holds for exact maximum
- Limitations:
  - robustness depends on precision of maximum



#### **Robust Optimization**

formulation as saddle point problem

$$egin{aligned} \min_{ heta} \sum_{i} \max_{\delta \in \Delta} \ l(f_{ heta}(x_i + \delta), y_i) \ \Delta &= \{\delta: ||\delta||_p \leq \epsilon\} \quad ext{with} \quad \epsilon > 0 \end{aligned}$$

robustness stems from strongness of attack model



# Fast Gradient Sign Method (FGSM)

- take single step into gradient direction
- step size =  $\varepsilon$  to stay in Lp ball

$$ilde{x} = x + \epsilon \cdot sgn(
abla_x l( heta, x, y)))$$

Fast, but not accurate



# Multistep Projected Gradient Descent (K-PGD)

- take k smaller steps into gradient direction
- step size =  $\alpha$
- project back on Lp ball if step outside

$$ilde{x} = \Pi(x + lpha \cdot sgn(
abla_x l( heta, x, y)))$$

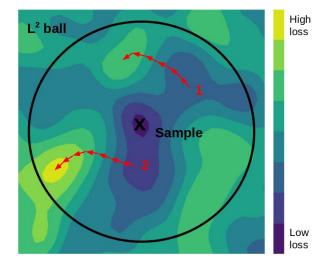


Figure 9: "The dynamics of a PGD attack in the loss landscape" [6]

setting k=1 and  $\alpha=\epsilon$  resembles FGSM

more accurate, but slow



#### Multistep Projected Gradient Descent (K-PGD)

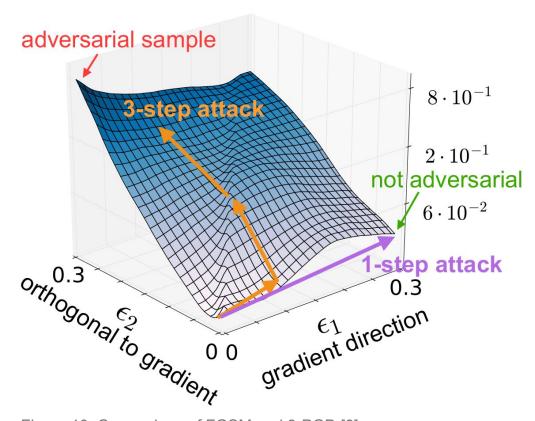


Figure 10: Comparison of FGSM and 3-PGD [8]



#### Advancements on FGSM

#### Free Training:

- re-use gradients from previous time step
- mini batch replay
- warm start with previous perturbation

#### Fast Training:

- re-use gradients from previous time step
- random initialize perturbation



#### **Universal Adversarial Training**

find a single perturbation that works on many inputs

$$egin{aligned} \min_{ heta} \max_{\delta \in \Delta} & rac{1}{N} \sum_{i=1}^N & \hat{l}\left(f_{ heta}(x_i+\delta), y_i
ight) \ \end{aligned}$$
 with  $& \hat{l}\left(f_{ heta}(x_i+\delta), y_i
ight) = \min\{l(f_{ heta}(x_i+\delta), y_i), eta\}$ 

- ullet bounds the loss from above to hinder a single sample to dominate the average loss
- advancement: relax formulation to allow perturbations per class



#### **Universal Adversarial Training**

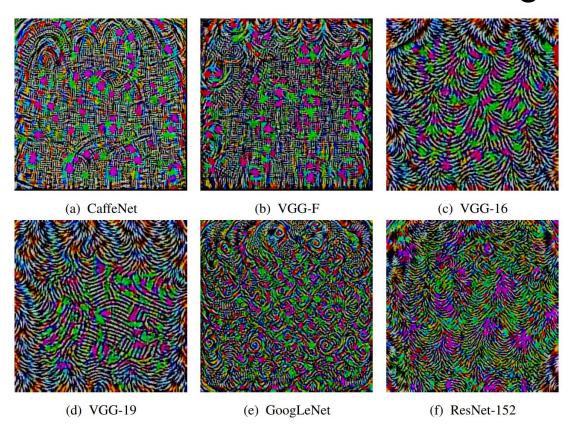


Figure 11: "Universal perturbations computed for different deep neural network architectures." [9]



## Margin Maximization

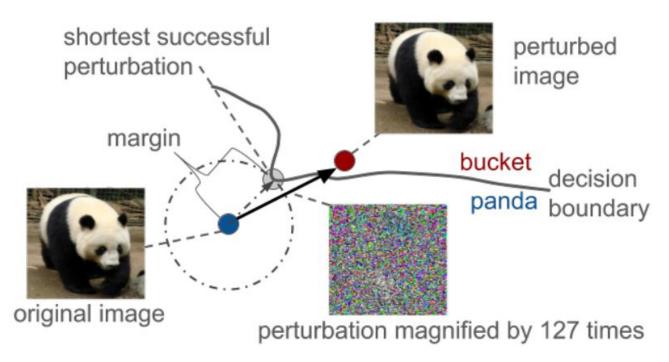


Figure 12: "Illustration of decision boundary, margin, and shortest successful perturbation on application of an adversarial perturbation." [10]



# Margin Maximization

- maximize margin
- margin = smallest successful perturbation  $\delta^*$

$$d_{ heta}(x,y) = ||\delta^*|| = min||\delta||$$
 s.t.  $\delta: L^{01}_{ heta}(x+\delta,y) = 1$ 

Two fold problem:

$$\min_{ heta} \left\{ \sum_{i \in S_{ heta}^+} \max\{0, d_{max} - d_{ heta}(x_i, y_i)\} + eta \sum_{j \in S_{ heta}^-} l_{ heta}(x_j, y_j) 
ight\}$$



# Review

Method	Robust accuracy	Training time
K-PGD	baseline	baseline
FGSM		+
Free Training	-	+
Fast Training	-	+
Universal Training		++
Class-wise universal training	-	+
Margin Maximization	0	0



#### Open Research Questions

- Precision of finding maximum
- Speed for finding maximum
- Robustness against multiple attack models
- Influence of hyperparameters for robustness



# Thank you!



#### References

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