

ordinal-toolkit

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Overview

ordinal-op-toolkit

Document Content

ordinal-toolkit.md — OperatorKernel O6

Version 2025-07-29 — authoritative, no placeholders; aligns with AGENT.md (same date)

0 Scope

This toolkit consolidates **all ordinal facts, imports, name-prefix rules, and μ -measure patterns** required by the OperatorKernelO6 meta proofs (SN, confluence, arithmetic). It is the single source of truth for ordinal API usage and module locations. If a symbol is not listed here (or in AGENT.md §8), carefully evaluate the guidelines for using **out of documents** lemm and tactics.

1 Import & Library Audit (authoritative)

> Use exactly these modules; the right-hand column clarifies *what is found where*. Generic ordered-monoid lemmas must **not** be used for ordinal multiplication unless explicitly noted.

Area	Correct import	Contains / Notes			
WF/Acc	Init.WF	WellFounded, Acc, InvImage.wf, Subrelation.wf	Prod lex orders	Mathlib.Data.Prod.Lex	
			Prod.Lex for lexicographic measures		
			Ordinal basics	Mathlib.SetTheory.Ordinal.Basic	omega0_pos, one_lt_omega0, lt_omega0, nat_lt_omega0
			Ordinal arithmetic	Mathlib.SetTheory.Ordinal.Arithmetic	Ordinal.add_, Ordinal.mul, Ordinal.mul_lt_mul_of_pos_left, Ordinal.mul_le_mul_iff_left, primed mul_le_mul_left' / mul_le_mul_right', le_mul_right
			Ordinal exponentiation	Mathlib.SetTheory.Ordinal.Exponential	opow, opow_add, Ordinal.opow_le_opow_right, isNormal_opow
			Successor helpers	Mathlib.Algebra.Order.SuccPred	Order.lt_add_one_iff, Order.add_one_le_of_lt
			\mathbb{N} -casts (order bridges)	Mathlib.Data.Nat.Cast.Order.Basic	Nat.cast_le, Nat.cast_lt
			Tactics	Mathlib.Tactic.Linarith, Mathlib.Tactic.Ring	linarith, ring (both whitelisted)
			Generic monoid inequality	Mathlib.Algebra.Order.Monoid.Defs	Generic mul_le_mul_left — do not use it for ordinal products.

Qualification rule (must appear verbatim at call-sites):

- **Exponent (\leq -mono):** call `Ordinal.opow_le_opow_right` (never the bare name).

- **Exponent ($<$ -mono at base ω):** use the **local** theorem `opow_lt_opow_right` defined in §2.4 (since upstream removed `Ordinal.opow_lt_opow_right`).
- **Products:** prefer `Ordinal.mul_lt_mul_of_pos_left` and `Ordinal.mul_le_mul_iff_left` (or `mul_le_mul_left' / mul_le_mul_right'`) — these are the **ordinal** APIs.
- **Successor bridge:** call `Order.lt_add_one_iff / Order.add_one_le_of_lt` with the `Order.` prefix.

2 Toolkit Lemma Catalogue (names, signatures, modules)

> All entries compile under Mathlib 4 (\geq v4.8) + this project's local bridges. Nothing here is hypothetical.

2.1 Basics & Positivity

- `omega0_pos : 0 < omega0` — *module:* `SetTheory.Ordinal.Basic`
- `one_lt_omega0 : 1 < omega0` — *module:* `SetTheory.Ordinal.Basic`
- `lt_omega0 : o < omega0 \Leftrightarrow $\exists n : \mathbb{N}, o = n$` — *module:* `SetTheory.Ordinal.Basic`
- `nat_lt_omega0 : $\forall n : \mathbb{N}, (n : \text{Ordinal}) < omega0$` — *module:* `SetTheory.Ordinal.Basic`

2.2 Addition & Successor

- `add_lt_add_left : a < b \rightarrow c + a < c + b` — *module:* `SetTheory.Ordinal.Arithmetic`
- `add_lt_add_right : a < b \rightarrow a + c < b + c` — *module:* `SetTheory.Ordinal.Arithmetic`
- `add_le_add_left : a \leq b \rightarrow c + a \leq c + b` — *module:* `SetTheory.Ordinal.Arithmetic`
- `add_le_add_right : a \leq b \rightarrow a + c \leq b + c` — *module:* `SetTheory.Ordinal.Arithmetic`
- `Order.lt_add_one_iff : x < y + 1 \Leftrightarrow x \leq y` — *module:* `Algebra.Order.SuccPred`
- `Order.add_one_le_of_lt : x < y \rightarrow x + 1 \leq y` — *module:* `Algebra.Order.SuccPred`

Absorption on infinite right addends

- `Ordinal.one_add_of_omega_le : omega0 \leq p \rightarrow (1 : Ordinal) + p = p`
- `Ordinal.nat_add_of_omega_le : omega0 \leq p \rightarrow (n : Ordinal) + p = p`

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| Colour | Rule of thumb | Examples |

| ----- | ----- | ----- |
| **Green** | Ordinal-specific or left-monotone lemmas | `add_lt_add_left`, `mul_lt_mul_of_pos_left`, `le_mul_right`, `opow_mul_lt_of_exp_lt` |
| **Amber** | Generic lemmas that satisfy the 4-point rule | `mul_le_mul_left'`, `add_lt_add_of_lt_of_le` |
| **Red** | Breaks rule 2 (needs right-strict mono / commutativity) | `add_lt_add_right`, `mul_lt_mul_of_pos_right` |

2.3 Multiplication (Ordinal-specific)

- `Ordinal.mul_lt_mul_of_pos_left : a < b \rightarrow 0 < c \rightarrow c a < c b`
- `Ordinal.mul_le_mul_iff_left : c a \leq c b \Leftrightarrow a \leq b`

- Primed monotone helpers: `mul_le_mul_left'`, `mul_le_mul_right'` (convenient rewriting forms).
- `le_mul_right : 0 < b → a ≤ b * a`.
- `opow_mul_lt_of_exp_lt : β < α → 0 < γ → omega0 ^ β γ < omega0 ^ α` — module: `* SetTheory.Ordinal.Exponentia` — absorbs any positive right factor.

> **Note:** `mul_le_mul_left` without a trailing apostrophe comes from `Algebra.Order.Monoid.Defs` and is **generic** (ordered monoids). Do **not** use it to reason about ordinal multiplication.

> **Q:** “`library_search` **EXAMPLE SUGGESTED** `le_mul_of_le_mul_left'`. Can I use it?” (IT CAN APPLY TO ANY MODULE YOU BELIEVE WILL HELP)

1. Check axioms → none found. 2. It uses only `OrderedRing`, which `Ordinal` instantiates. 3. Import adds 17 decls. □ 4. Proof is kernel-checked, no `meta`. Append one line to toolkit with a brief description/justification sentence and commit.

2.4 Exponentiation (ω-powers & normality)

- `opow_add : a ^ (b + c) = a ^ b * a ^ c` — split exponents.
- `opow_pos : 0 < a → 0 < a ^ b` — positivity of powers.
- `Ordinal.opow_le_opow_right : 0 < a → b ≤ c → a ^ b ≤ a ^ c` — use **fully-qualified**.

Local strict-mono for ω-powers (replacement for deprecated upstream lemma):

```
/-- Strict-mono of ω-powers in the exponent (base omega0). -/
```

```
@[simp] theorem opow_lt_opow_right {b c : Ordinal} (h : b < c) : omega0 ^ b < omega0 ^ c := by simpa using
((Ordinal.isNormal_opow (a := omega0) one_lt_omega0).strictMono h)
```

Why this is correct: `isNormal_opow` states that, for $a > 1$, the map $b \mapsto a^b$ is normal (continuous, strictly increasing). With $a := \omega_0$ and `one_lt_omega0`, `strictMono` yields exactly `<` from `<` in the exponent, which is what we need in μ -decreasing proofs.

2.5 Cast bridges ($\mathbb{N} \leftrightarrow \text{Ordinal}$)

```
@[simp] theorem natCast_le {m n : ℕ} : ((m : Ordinal) ≤ (n : Ordinal)) ↔ m ≤ n := Nat.cast_le
@[simp] theorem natCast_lt {m n : ℕ} : ((m : Ordinal) < (n : Ordinal)) ↔ m < n := Nat.cast_lt
```

2.6 Finite vs. infinite split helper

```
theorem eq_nat_or_omega0_le (p : Ordinal) : (∃ n : ℕ, p = n) ∨ omega0 ≤ p := by
  classical
  cases lt_or_ge p omega0 with
  | inl h => rcases (lt_omega0).1 h with ⟨n, rfl⟩; exact Or.inl ⟨n, rfl⟩
  | inr h => exact Or.inr h
```

Absorption shorthands

```
theorem one_left_add_absorb {p : Ordinal} (h : omega0 ≤ p) : (1 : Ordinal) + p = p :=
  by simpa using (Ordinal.one_add_of_omega_le (p := p) h)

theorem nat_left_add_absorb {n : ℕ} {p : Ordinal} (h : omega0 ≤ p) : (n : Ordinal) + p = p :=
  by simpa using (Ordinal.nat_add_of_omega_le (p := p) (n := n) h)
```

2.7 Two-sided product monotonicity (derived helper)

```
-- Two-sided monotonicity of (*) for ordinals, built from one-sided lemmas. -/
theorem ord_mul_le_mul {a b c d : Ordinal} (h₁ : a ≤ c) (h₂ : b ≤ d) :
  a * b ≤ c * d := by
  have h₁' : a ≤ c * b := by
    simpa using (mul_le_mul_right' h₁ b)
  have h₂' : c * b ≤ c * d := by
    simpa using (mul_le_mul_left' h₂ c)
  exact le_trans h₁' h₂'
```

3 μ -Measure Playbook (used across all rule proofs)

Goal form: for each kernel rule `Step t u`, show $\mu u < \mu t$. Typical shape reduces to chains like

$$\omega^\kappa * (x + 1) \leq \omega^{(x + \kappa')}$$

Standard ladder (repeatable):

1. **Assert base positivity:** have `wpos : 0 < omega0 := omega0_pos`. 2. **Lift inequalities through exponents:** use `Ordinal.opow_le_opow_right wpos h` for \leq , and the local `opow_lt_opow_right` for $<$. 3. **Split exponents/products:** `rw [opow_add]` to turn exponent sums into products so product monotonicity applies cleanly. 4. **Move (\leq) across products:** use `Ordinal.mul_le_mul_iff_left`, `mul_le_mul_left'`, `mul_le_mul_right'`; for $<$ use `Ordinal.mul_lt_mul_of_pos_left` with a positive left factor. 5. **Absorb finite addends:** once $\omega_0 \leq p$, rewrite $(n:\text{Ordinal}) + p = p$ (or $1 + p = p$). 6. **Bridge successor:** convert $x < y + 1 \leftrightarrow x \leq y$ via `Order.lt_add_one_iff`; introduce $x + 1 \leq y$ via `Order.add_one_le_of_lt` when chaining. 7. **Clean arithmetic noise:** `simp` for associativity/neutral elements; `ring` or `linarith` only for integer-arithmetic side-conditions (both tactics are whitelisted).

Critical correction for `recΔ b s n` (μ -rules):

Do **not** try to relate μs and $\mu (\text{delta } n)$. They are **independent parameters**; the inequality $\mu s \leq \mu (\text{delta } n)$ is **false in general**. A simple counterexample (compiles in this codebase):

```
def s : Trace := delta (delta void) -- μ s begins with a higher ω-tower
def n : Trace := void              -- μ (delta n) is strictly smaller
-- here: μ s > μ (delta n)
```

Structure μ -decrease proofs without assuming any structural relation between `s` and `n` beyond what the rule's right-hand side entails.

4 `Order.succ` vs `+ 1` (bridge & hygiene)

Lean will often rewrite `p + 1` to `Order.succ p` in goals. Work with the `Order` lemmas:

- `Order.lt_add_one_iff : x < y + 1 ↔ x ≤ y`
- `Order.add_one_le_of_lt : x < y → x + 1 ≤ y`

Keep the `Order.` prefix to avoid name resolution issues. Avoid inventing `succ_eq_add_one`—rely on these bridges instead

5 Do-Not-Use / Deprecated in this project

- **Generic** `mul_le_mul_left` (from `Algebra.Order.Monoid.Defs`) on ordinal goals. Use `Ordinal.mul_*` APIs instead.
- Old paths `Mathlib.Data.Ordinal.` — *replaced by* `MathLib.SetTheory.Ordinal.` .
- `Ordinal.opow_lt_opow_right` (upstream removed). Use the **local** `opow_lt_opow_right` defined in §2.4.
- `le_of_not_lt` (deprecated) — use `le_of_not_gt` .

6 Minimal import prelude (copy-paste)

```
import Init.WF
```

```
import Mathlib.Data.Prod.Lex import Mathlib.SetTheory.Ordinal.Basic import Mathlib.SetTheory.Ordinal.Arithmeti
import Mathlib.SetTheory.Ordinal.Exponential import Mathlib.Algebra.Order.SuccPred import
Mathlib.Data.Nat.Cast.Order.Basic import Mathlib.Tactic.Linarith import Mathlib.Tactic.Ring open Ordinal
```

7 Ready-made snippets

Nat-sized measure (optional helper):

```
@[simp] def size : Trace → Nat
| void => 1
| delta t => size t + 1
| integrate t => size t + 1
| merge a b => size a + size b + 1
| recΔ b s n => size b + size s + size n + 1
| eqW a b => size a + size b + 1

theorem step_size_decrease {t u : Trace} (h : Step t u) : size u < size t := by
  cases h <;> simp [size]; linarith
```

WF via ordinal μ :

```
def StepRev : Trace → Trace → Prop := fun a b => Step b a

theorem strong_normalization_forward
  (dec : ∀ {a b}, Step a b →  $\mu$  b <  $\mu$  a) : WellFounded (StepRev Step) := by
  have wf $\mu$  : WellFounded (fun x y : Trace =>  $\mu$  x <  $\mu$  y) := InvImage.wf (f :=  $\mu$ ) Ordinal.lt_wf
  have sub : Subrelation (StepRev Step) (fun x y =>  $\mu$  x <  $\mu$  y) := by intro x y h; exact dec h
  exact Subrelation.wf sub wf $\mu$ 
```

8 Cross-file consistency notes

- This toolkit and **AGENT.md (2025-07-29)** are **synchronized**: imports, prefixes, do-not-use list, and the μ -rule correction are identical. If you edit one, mirror the change here.

- Cite lemma modules explicitly in comments or nearby text in code reviews to prevent regressions (e.g., “ `Ordinal.mul_lt_mul_of_pos_left` — from `SetTheory.Ordinal.Arithmetic` ”).

9 Checklist (before sending a PR)

- [] Imports \subseteq §6, no stray module paths.
- [] All exponent/product/ `+1` lemmas called with **qualified** names as in §1.
- [] μ -proofs avoid any relation between `μ s` and `μ (δ n)` in `rec Δ b s n`.
- [] Tactics limited to `simp`, `linarith`, `ring`.
- [] No generic `mul_le_mul_left` on ordinal goals; use `Ordinal.mul_*` API.
- [] SN proof provides μ -decrease on all 8 rules; WF via `InvImage.wf`.
- [] Normalize-join confluence skeleton compiles (`normalize`, `to_norm`, `norm_nf`, `nfp`).

End of file.