

# Exercise 1.1-1.4

## 1.1

**Prove by structural induction on ASTs that if  $\mathcal{X} \subseteq \mathcal{Y} \implies \mathcal{A}[\mathcal{X}] \subseteq \mathcal{A}[\mathcal{Y}]$**

Proof:

Suppose  $\mathcal{X} \subseteq \mathcal{Y}$ . Let  $a \in \mathcal{A}[\mathcal{X}]$  be an AST. Do **Struct Induct** on  $a$ .

1. If  $a = x$ , then  $a = y$  for some  $y \in \mathcal{Y}$  by definition. Then  $a \in \mathcal{A}[\mathcal{Y}]$ .
2. Otherwise,  $a = o(a_1; \dots; a_n)$  and by induction,  $a_i \in \mathcal{A}[\mathcal{Y}]$  for all  $1 \leq i \leq n$ . By definition,  $a \in \mathcal{A}[\mathcal{Y}]$ .

□

## 1.2

**Prove by structural induction modulo renaming on ABTs that if  $\mathcal{X} \subseteq \mathcal{Y} \implies \mathcal{B}[\mathcal{X}] \subseteq \mathcal{B}[\mathcal{Y}]$**

Proof:

Let  $a \in \mathcal{B}[\mathcal{X}]$  be an ABT. Do **Struct Induct /  $\alpha$**  on  $a$ .

1. Same as above
2. Otherwise,  $a = o(\vec{x}_1.a_1; \dots; \vec{x}_n.a_n)$  and by induction, for each  $1 \leq i \leq n$ ,  $\hat{\rho}_i(a_i) \in \mathcal{B}[\mathcal{Y}]$  where  $\rho_i$  is a fresh renaming bijection. Then by definition,  $a \in \mathcal{B}[\mathcal{Y}]$ .

□

## 1.3

**Show that if  $a =_\alpha a'$  and  $b =_\alpha b'$  and both  $[b/x]a$  and  $[b'/x]a'$  are defined, then  $[b/x]a =_\alpha [b'/x]a'$ .**

Proof:

By **Struct Induct /  $\alpha$**  on  $a$ ,

1. If  $a = x$ , then by the first  $\alpha$ -equivalence condition and the assumption that  $x =_\alpha a'$ , then  $x = a'$ . Hence by assumption:

$$[b/x]a = [b/x]x = b =_\alpha b' = [b'/x]x = [b'/x]a'$$

2. The case where  $a = y$  and  $y \neq x$  is trivial by the definition of  $\alpha$ -equivalence.
3. Otherwise,  $a = o(\vec{x}_1.a_1; \dots; \vec{x}_n.a_n)$ . By the second  $\alpha$ -equivalence condition,  $a' = o(\vec{x}'_1.a'_1; \dots; \vec{x}'_n.a'_n)$  where we have bijections  $\hat{\rho}_i(a_i)$  rename each variable. Also, by induction, for each  $1 \leq i \leq n$ ,  $[b/x]a_i =_\alpha [b'/x]a'_i$ . Therefore:

$$[b/x]o(\vec{x}_1.a_1; \dots; \vec{x}_n.a_n) = o(\vec{x}_1.\tilde{a}_1; \dots; \vec{x}_n.\tilde{a}_n) =_\alpha o(\vec{x}'_1.\tilde{a}'_1; \dots; \vec{x}'_n.\tilde{a}'_n) = [b'/x]o(\vec{x}'_1.a'_1; \dots; \vec{x}'_n.a'_n)$$

where  $\tilde{a}_i = [b/x]a_i$  if  $x \notin \vec{x}_i$  and  $\tilde{a}_i = a_i$  otherwise. The same is for  $a'$ . Sorry for the overloading notations used here.

□

## 1.4

Bound variables can be seen as the formal analogs of pronouns (she, it, they, etc.) in natural languages.

Abstract binding graphs (abg's) are constructed as follows:

- Free variables are atomic nodes with no outgoing edges
- Operators with n arguments are n-ary nodes, with one outgoing edge directed at each of their children.
- Abstractors are nodes with one edge directed to the scope of the abstracted variable.
- Bound variables are back edges directed at the abstractor that introduced it.

Asts are *acyclic* directed graphs, whereas general abts can be *cyclic*.

Exp 1:

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let(num[2]; x.plus(x; num[3]))
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Exp 2:

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let(y; x.let(num[2]; z.plus(x; z)))
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