

Handout 4. Mental Factors

Ethically Variable Mental Factors

Universal Ethically Variable Mental Factors

	Pāḷi	Characteristic	Function	Manifestation	Proximate Cause
Contact/ Sense impression	<i>Phassa</i>	Mentally touching object	Impact/ impingement	Base + object + consciousness	Object in avenue of awareness
Feeling/ Sensation/Experience	<i>Vedanā</i>	Being felt	Experiencing object	Relishing of the Mental Factors	Tranquillity
Perception/ Recognition	<i>Saññā</i>	Perceiving object's qualities/ Noting	Recognizing/ Marking	Brief interpretation of object	Object as it appears
Volition/ Intention/Will	<i>Cetanā</i>	State of willing	Accumulate kamma	Directing/ Organizing	Consciousness/ Mental Factors
One-pointedness/ Concentration	<i>Ekagattā</i>	Non-scattering/ Non-distraction	Uniting Mental Factors	Peace	Happiness
Attention/ Reflection	<i>Manasikāra</i>	Driving Mental Factors to object	Joining Mental Factors to object	Facing object	Object
Life faculty/ Vitality	<i>Jīvitindriya</i>	Ceaseless watching	Maintaining life	Establishment	Consciousness/ Mental Factors

Occasional Ethically Variable Mental Factors

	Pāḷi	Characteristic	Function	Manifestation	Proximate Cause
Initial application/ Thought	<i>Vitakka</i>	Directing mind onto object	Striking at object	Leading of the mind to object	Object
Sustained application/ Examination	<i>Vicāra</i>	Continued pressure on object	Sustained application on object	Anchoring of Mental Factors on object	Object
Certainty/ Commitment	<i>Adhimokkha</i>	Conviction/ Being convinced	Not groping	Decisiveness	A thing to be convinced about
Energy/ Effort/Exertion	<i>Viriya</i>	Supporting, exerting and marshalling	Supporting Mental Factors	Non-collapse	A sense of urgency
Zest/ Rapture/Enthusiasm	<i>Pīti</i>	Endearing	Refreshes body & mind	Elation	Mind & body (<i>nāmarūpa</i>)
Wish to do/ Desire/Zeal	<i>Chanda</i>	Desire to act	Searching for object	Need for object	Object

Unwholesome Mental Factors

Universal Unwholesome Mental Factors

	Pāḷi	Characteristic	Function	Manifestation	Proximate Cause
Delusion/ Ignorance	<i>Moha</i>	Mental blindness, unknowing	Concealment of object's nature	Absence of right understanding	Unwise attention
Shamelessness/ Immodesty	<i>Ahirika</i>	No disgust over misconduct	Doing evil without shame	Not shrinking away from evil	Lack of respect for self
Recklessness/ Lack of moral dread	<i>Anottappa</i>	No dread over misconduct	Doing evil without dread	Not shrinking away from evil	Lack of respect for others
Restlessness/ Distraction/Wavering	<i>Uddhacca</i>	Excitement/ No mindfulness	Make the mind steady	Turmoil/ Whirling	Unwise attention

Occasional Unwholesome Mental Factors

	Pāḷi	Characteristic	Function	Manifestation	Proximate Cause
Attachment/ Greed	<i>Lobha</i>	Grasping an object	Sticking	Not giving up	Seeing enjoyment in what leads to bondage
Wrong view/ Evil opinion	<i>Diṭṭhi</i>	Unjustified interpretation	Pre-assume/ Misapprehend	Wrong interpretation	Unwillingness to listen to Dhamma
Conceit/ Pride	<i>Māna</i>	Haughtiness	Self-praise	Desire to advertise oneself	Greed disassociated from Wrong view
Aversion/ Anger/Hatred/Fear	<i>Dosa</i>	Ferocity/ Savageness	Burn up its own support (Heart-base)	Persecuting/ Injuring/ Offending	A ground for annoyance
Envy/ Jealousy	<i>Issā</i>	Aversion to other's success	Dissatisfied with other's success	Uncomfortable with other's success	Other's success
Selfishness	<i>Macchhariya</i>	Concealing one's success	Unwilling to share with others	Shrinking away from sharing	One's own success
Remorse/ Worry/Regret	<i>Kukkucca</i>	Subsequent regret, repentance	Sorrow over what has been done	Remorse/ Regret	Past unskillful kamma
Sloth/ Sluggishness	<i>Thīna</i>	Resistance to trying/No striving	Destruction of energy	Sinking of the mind	Unwise attention to drowsiness
Torpor/ Laziness	<i>Middha</i>	Unwieldiness	Closing the doors of consciousness	Drooping, nodding & sleepiness	Unwise attention to drowsiness
Doubt	<i>Vicikicchā</i>	Doubting/ Shifting about	Mental wavering	Indecisiveness/ Indecision	Unwise attention

Beautiful Mental Factors

Universal Beautiful Mental Factors

	Pāṇi	Characteristic	Function	Manifestation	Proximate Cause
Faith/ Confidence/ Conviction	<i>Saddhā</i>	Placing faith/ Aspiring	Clarifying/ Purifying	Non-fogginess/ Lack of pollution	A worthy object
Mindfulness/ Attentiveness	<i>Sati</i>	Not floating away from object	Non- forgetfulness/ Non-confusion	Being “face to face” with object	Firm remembrance/ Four foundations
Conscience/ Shame/Scruples	<i>Hiri</i>	Disgust at misconduct	Not doing evil because of modesty	Shrinking away from evil	Self-respect
Fear of blame/ Moral dread	<i>Ottappa</i>	Dread of evil	Not doing evil because of dread	Shrinking away from evil	Respect for others
Non-attachment/ Non-greed	<i>Alobha</i>	No attachment to object	Not appropriating	Detachment	Wise attention
Non-aversion/ Non-anger	<i>Adosa</i>	Not opposing	Removing annoyance	Being pleasing/ Agreeableness	Wise attention
Equanimity/ Mental balance	<i>Tatra- majjhataṭṭā</i>	Promoting neutrality towards beings	Inhibiting partiality/ Seeing equality	No approval or resentment	Wise attention
2 x Tranquillity	<i>Passaddhi</i>	Quieting mental disturbances	Crushing mental disturbances	Neutrality/ Peacefulness	Consciousness/ Mental Factors
2 x Agility/ Lightness/Buoyancy	<i>Lahutā</i>	Opposing mental heaviness	Crushing mental heaviness	Oppose Sloth & Torpor	Consciousness/ Mental Factors
2 x Pliancy/ Elasticity/Malleability	<i>Mudutā</i>	Opposing mental rigidity	Crushing mental rigidity	Oppose Wrong view & Conceit	Consciousness/ Mental Factors
2 x Adaptability/ Workableness	<i>Kammaññatā</i>	Opposing mental unwieldiness	Crushing mental unwieldiness	Oppose Sense desire & Aversion	Consciousness/ Mental Factors
2 x Proficiency/ Skill	<i>Pāguññatā</i>	Healthiness/ Fitness/ Competence	Crushing mental unhealthiness	Oppose lack of Faith (no disability)	Consciousness/ Mental Factors
2 x Uprightness/ Rectitude	<i>Ujjukatā</i>	Mental uprightness	Crushing mental crookedness	Oppose hypocrisy & fraudulence	Consciousness/ Mental Factors

Occasional Beautiful Mental Factors

	Pāṭi	Characteristic	Function	Manifestation	Proximate Cause
Understanding/ Wisdom	<i>Paññā</i>	Penetrating intrinsic nature of object	Illuminate the object	Non- bewilderment	Wise attention
Compassion	<i>Karuṇā</i>	Promoting removal of other's suffering	Unable to bear other's suffering	Non-cruelty	Seeing helplessness
Sympathetic joy/ Altruistic joy	<i>Muditā</i>	Gladness at the success of others	Being unenvious at other's success	Elimination of aversion	Seeing the success of others
Abstinence from wrong speech	<i>Vaci- duccarita Virati</i>	Non-transgression by wrong speech	Shrink back from evil deeds	Abstinence from evil deeds	Faith , shame and fewness of wishes
Abstinence from wrong action	<i>Kāya- duccarita Virati</i>	Non-transgression by wrong action	Shrink back from evil deeds	Abstinence from evil deeds	Faith , shame and fewness of wishes
Abstinence from wrong livelihood	<i>Ājīva- duccarita Virati</i>	Non-transgression by wrong livelihood	Shrink back from evil deeds	Abstinence from evil deeds	Faith , shame and fewness of wishes

Summary of Mental Factors and Mind Moments

Mental Factors in Unwholesome Mind Moments (Mind Moments 1–12)

All of the Universal Ethically Variable Mental Factors: Contact, Feeling , etc.	Some of the Occasional Ethically Variable Mental Factors: Initial application, Sustained application , etc.	All of the Universal Unwholesome Mental Factors: Delusion, Shamelessness , etc.	Some of the Occasional Unwholesome Mental Factors: Attachment, Wrong view , etc.
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Mental Factors in Ethically-neutral Mind Moments (Mind Moments 13–30)

All of the Universal Ethically Variable Mental Factors: Contact, Feeling , etc.	Some of the Occasional Ethically Variable Mental Factors: Initial application, Sustained application , etc.
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Mental Factors in Wholesome Mind Moments (Mind Moments 31–89)

All of the Universal Ethically Variable Mental Factors: Contact, Feeling , etc.	Some of the Occasional Ethically Variable Mental Factors: Initial application, Sustained application , etc.	All of the Universal Beautiful Mental Factors: Faith, Mindfulness , etc.	Some of the Occasional Beautiful Mental Factors: Understanding, Compassion , etc.
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Details of Mental Factors and Mind Moments

			Universal Ethically-variable	Initial application	Sustained application	Certainty	Energy	Zest	Wish to do	Universal unwholesome	Attachment	Wrong view	Conceit	Aversion	Envy	Selfishness	Remorse	Sloth & Torpor	Doubt
Attachment-rooted																			
1	U	Wrong view	☹	○	○	○	○	○	○	○	○	○	○						
2	P	Wrong view	☹	○	○	○	○	○	○	○	○	○	○					○	
3	U	No Wrong view	☺	○	○	○	○	○	○	○	○	○		◐					
4	P	No Wrong view	☺	○	○	○	○	○	○	○	○	○	◐					○	
5	U	Wrong view	☹	○	○	○	○	○		○	○	○	○						
6	P	Wrong view	☹	○	○	○	○	○		○	○	○	○					○	
7	U	No Wrong view	☹	○	○	○	○	○		○	○	○	◐						
8	P	No Wrong view	☹	○	○	○	○	○		○	○	○	◐					○	
Aversion-rooted																			
9	U	Ill will	☹	○	○	○	○	○		○	○			○	◐	◐	◐		
10	P	Ill will	☹	○	○	○	○	○		○	○			○	◐	◐	◐	○	
Delusion-rooted																			
11	With	Doubt	☹	○	○	○		○		○									○
12	With	Restlessness	☹	○	○	○	○	○		○									
13	Eye-consciousness	☹	○																
14	Ear-consciousness	☹	○																
15	Nose-consciousness	☹	○																
16	Tongue-consciousness	☹	○																
17	Body-consciousness	☹	○																
18	Receiving consciousness	☹	○	○	○	○													
19	Investigating consciousness	☹	○	○	○	○													
20	Eye-consciousness	☹	○																
21	Ear-consciousness	☹	○																
22	Nose-consciousness	☹	○																
23	Tongue-consciousness	☹	○																
24	Body-consciousness	☹	○																
25	Receiving consciousness	☹	○	○	○	○													
26	Investigating consciousness	☹	○	○	○	○		○											
27	Investigating consciousness	☹	○	○	○	○													
28	Five-sense-door adverting	☹	○	○	○	○													
29	Determining consciousness	☹	○	○	○	○	○												
30	Smile producing (Arahat)	☹	○	○	○	○	○	○											

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				Universal Ethically-variable	Initial application	Sustained application	Certainty	Energy	Zest	Wish to do	Universal beautiful	Understanding	Compassion	Sympathetic joy	One of three abstinences
31	U	Understanding	😊	○	○	○	○	○	○	○	○	○	◐	◐	◐
32	P	Understanding	😊	○	○	○	○	○	○	○	○	○	◐	◐	◐
33	U	No Understanding	😊	○	○	○	○	○	○	○	○		◐	◐	◐
34	P	No Understanding	😊	○	○	○	○	○	○	○	○		◐	◐	◐
35	U	Understanding	😊	○	○	○	○	○		○	○	○	◐	◐	◐
36	P	Understanding	😊	○	○	○	○	○		○	○	○	◐	◐	◐
37	U	No Understanding	😊	○	○	○	○	○		○	○		◐	◐	◐
38	P	No Understanding	😊	○	○	○	○	○		○	○		◐	◐	◐
39	U	Understanding	😊	○	○	○	○	○	○	○	○	○			
40	P	Understanding	😊	○	○	○	○	○	○	○	○	○			
41	U	No Understanding	😊	○	○	○	○	○	○	○	○				
42	P	No Understanding	😊	○	○	○	○	○	○	○	○				
43	U	Understanding	😊	○	○	○	○	○		○	○	○			
44	P	Understanding	😊	○	○	○	○	○		○	○	○			
45	U	No Understanding	😊	○	○	○	○	○		○	○				
46	P	No Understanding	😊	○	○	○	○	○		○	○				
47	U	Understanding	😊	○	○	○	○	○	○	○	○	○	◐	◐	
48	P	Understanding	😊	○	○	○	○	○	○	○	○	○	◐	◐	
49	U	No Understanding	😊	○	○	○	○	○	○	○	○		◐	◐	
50	P	No Understanding	😊	○	○	○	○	○	○	○	○		◐	◐	
51	U	Understanding	😊	○	○	○	○	○		○	○	○	◐	◐	
52	P	Understanding	😊	○	○	○	○	○		○	○	○	◐	◐	
53	U	No Understanding	😊	○	○	○	○	○		○	○		◐	◐	
54	P	No Understanding	😊	○	○	○	○	○		○	○		◐	◐	
55, 60, 65			😊	○	○	○	○	○	○	○	○	○	◐	◐	
56, 61, 66			😊	○		○	○	○	○	○	○	○	◐	◐	
57, 62, 67		Fine Material Sphere	😊	○			○	○	○	○	○	○	◐	◐	
58, 63, 68			😊	○			○	○		○	○	○	◐	◐	
59, 64, 69			😊	○			○	○		○	○	○			
70–81		Immaterial Sphere	😊	○			○	○		○	○	○			

U Unprompted P Prompted
😊 Pleasant Feeling 😐 Indifferent Feeling ☹ Unpleasant Feeling
○ Always arises in Mind Moment ◐ Sometimes arises in Mind Moment

The Mental Factors in the Supramundane Mind Moments **82–89** follow the jhāna used as the basis for sainthood (**Compassion** and **Sympathetic joy** cannot arise in Mind Moments **82–89** as the object is *Nibbāna*).