Guide to Mutagenicity Read-Across version 1.0.0

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1. Model explanation

1.1 Introduction

The model performs a read-across and provides a qualitative prediction of mutagenicity on Salmonella typhimurium (Ames test). It is implemented inside the VEGA online platform, accessible at: http://www.vega-qsar.eu/

1.2 Model details

The model performs a read-across on a dataset of 5770 chemicals. This dataset has been made by Istituto di Ricerche Farmacologiche Mario Negri, merging experimental data from a benchmark dataset compiled by Hansen and colleagues (Hansen K, Mika S, Schroeter T, Sutter A, ter Laak A, Steger-Hartmann T, Heinrich N, Muller KR. "Benchmark data set for in silico prediction of Ames mutagenicity", J Chem Inf Model, 2009, 49, 2077–2081) and from a collection of data made available by the Japan Health Ministry within their Ames (Q)SAR project.

The read-across model has been built with the istKNN application (developed by Kode srl, http://chm.kode-solutions.net) and it is based on the similarity index developed inside the VEGA platform; the index takes into account several structural aspects of the compounds, such as their fingerprint, the number of atoms, of cycles, of heteroatoms, of halogen atoms, and of particular fragments (such as nitro groups). The index value ranges from 1 (maximum similarity) to 0.

On the basis of this structural similarity index, the four compounds from the dataset resulting most similar to the chemical to be predicted are taken into account; compounds with a similarity value lower than 0.7 are discarded. If no compounds fall under these conditions, no prediction is provided. The estimated toxicity class is calculated as the most represented class among the chosen similar compounds, where the similarity value of each compound is also taken into account as a weight.

1.3 Applicability Domain

The applicability domain of predictions is assessed using an Applicability Domain Index (ADI) that has values from 0 (worst case) to 1 (best case). The ADI is calculated by grouping several other indices, each one taking into account a particular issue of the applicability domain. Most of the indices are based on the calculation of the most similar compounds found in the training and test set of the model, calculated by a similarity index that consider molecule's fingerprint and structural aspects (count of atoms, rings and relevant fragments).

For each index, including the final ADI, three intervals for its values are defined, such that the first interval corresponds to a positive evaluation, the second one corresponds to a suspicious evaluation and the last one corresponds to a negative evaluation.

Following, all applicability domain components are reported along with their explanation and the intervals used. Note that for purpose of evaluating accuracy and concordance indices, prediction of "suspect mutagen" are considered as "mutagen".

- **Similar molecules with known experimental value**. This index takes into account how similar are the most similar compounds used by the KNN model. Values near 1 mean that the predicted compound is well represented in the dataset used to build the model, otherwise the prediction could be an extrapolation. Defined intervals are:

1 >= index > 0.8	strongly similar compounds with known experimental value in the training set have been found
0.8 >= index > 0.6	only moderately similar compounds with known experimental value in the training set have been found
index <= 0.6	no similar compounds with known experimental value in the training set have been found

- **Accuracy of prediction for similar molecules**. This index takes into account the classification accuracy in prediction for the most similar compounds used by the KNN model. Values near 1 mean that the predicted compounds falls in an area of the model's space where the model gives reliable predictions (no misclassifications), otherwise the lower is the value, the worse the model behaves. Defined intervals are:

1 >= index > 0.9	accuracy of prediction for similar molecules found in the training set is good
0.9 >= index > 0.5	accuracy of prediction for similar molecules found in the training set is not optimal
index <= 0.5	accuracy of prediction for similar molecules found in the training set is not adequate

- **Concordance for similar molecules** . This index takes into account the difference between the predicted value and the experimental values of the most similar compounds used by the KNN model. Values near 0 mean that the prediction made disagrees with the values found in the model's space, thus the prediction could be unreliable. Defined intervals are:

1 >= index > 0.9	similar molecules found in the training set have experimental values that agree with the predicted value
0.9 >= index > 0.5	some similar molecules found in the training set have experimental values that disagree with the predicted value
index <= 0.5	similar molecules found in the training set have experimental values that disagree with the predicted value

- **Atom Centered Fragments similarity check**. This index takes into account the presence of one or more fragments that aren't found in the training set, or that are rare fragments. First order atom centered fragments from all molecules in the training set are calculated, then compared with the first order atom centered fragments from the predicted compound; then the index is calculated as following: a first index RARE takes into account rare fragments (those who occur less than three times in the training

set), having value of 1 if no such fragments are found, 0.85 if up to 2 fragments are found, 0.7 if more than 2 fragments are found; a second index NOTFOUND takes into account not found fragments, having value of 1 if no such fragments are found, 0.6 if a fragments is found, 0.4 if more than 1 fragment is found. Then, the final index is given as the product RARE * NOTFOUND. Defined intervals are:

index = 1	all atom centered fragment of the compound have been found in the compounds of the training set
1 > index >= 0.7	some atom centered fragment of the compound have not been found in the compounds of the training set or are rare fragments
index < 0.7	a prominent number of atom centered fragments of the compound have not been found in the compounds of the training set or are rare fragments

- **Global AD Index**. The final global index takes into account all the previous indices, in order to give a general global assessment on the applicability domain for the predicted compound. Defined intervals are:

1 >= index >= 0.9	predicted substance is into the Applicability Domain of the model
0.9 > index >= 0.65	predicted substance could be out of the Applicability Domain of the model
index < 0.65	predicted substance is out of the the Applicability Domain of the model

1.4 Model statistics

Following, statistics obtained applying the read-across prediction to its original dataset, with a leave-one-out approach (read-across for each compound has been performed on the whole dataset without the compound itself)

- n = 5764; Accuracy = 0.80; Specificity = 0.76; Sensitivity = 0.83
- Non predicted compounds: n = 6

2. Model usage

2.1 Input

The model accepts as input two molecule formats: SDF (multiple MOL file) and SMILES. All molecules found as input are preprocessed before the calculation of molecular descriptors, in order to obtain a standardized representation of compound. For this reason, some cautions should be taken.

- **Hydrogen atoms**. In SDF files, hydrogen atoms should be explicit. As some times SDF file store only skeleton atoms, and hydrogen atoms are implicit, during the processing of the molecule the system tries to add implicit hydrogens on the basis of the known standard valence of each atom (for example, if a carbon atoms has three single bonds, an hydrogen atom will be added such to reach a valence of four). In SMILES molecules, the default notation uses implicit hydrogen. Anyway please note that in some cases it is necessary to explicitly report an hydrogen; this happens when the conformation is not unambiguous. For example, when a nitrogen atom is into an aromatic ring with a notation like "cnc" it is not clear whether it corresponds to C-N=C or to C-[NH]-C, thus if the situation is the latter, it should be explicitly reported as "c[nH]c".

- **Aromaticity**. The system calculates aromaticity using the basic Hueckel rule. Note that each software for drawing and storing of molecules can use different approaches to aromaticity (for instance, commonly the user can choose between the basic Hueckel rule and a loose approach that lead to considering aromatic a greater number of rings). As in the input files aromaticity can be set explicitly (for instance, in SMILES format by using lowercase letters), during the processing of the molecule the system removes aromaticity from rings that don't satisfy the Hueckel rule. Please note that when aromaticity is removed from a ring, it is not always possible to rebuild the original structure in Kekule form (i.e. with an alternation of single and double bonds, like in the SMILES for benzene, C=1C=CC=CC1), in this case all bonds are set to single. Furthermore, please note that aromaticity detection is a really relevant issue, some molecular descriptors can have significantly different values whether a ring is perceived as aromatic or not. For this reason it is strongly recommended:
- Always use explicit hydrogens in SDF file.
- Avoid explicit aromaticity notation in original files; in this way, the perception of aromaticity is left to the preprocessing step and there is no chance of mistakes due to the transformation of rings that were set to aromatic in the original format but not recognized as aromatic in VEGA.

Note that when some modification of the molecule are performed during the preprocessing (e.g. adding of lacking hydrogens, correction of aromaticity), a warning is given in the remark field of the results.

2.2 Output

Results given as text file consist of a plain-text tabbed file (easily importable and processable by any spreadsheet software) containing in each row all the information about the prediction of a molecule. Note that if some problems were encountered while processing the molecule structure, some warnings

are reported in the last field (Remarks).

Results given as PDF file consists of a document containing all the information about the prediction. For each molecule, results are organized in sections with the following order:

1 – Prediction summary
    Here is reported a depiction of the compound and the final assessment of the prediction (i.e. the prediction made together with the analysis of the applicability domain). Note that if some problems were encountered while processing the molecule structure, some warnings are reported in the last field (Remarks). The number of molecules used for the KNN prediction is reported, please note that these *k* molecules correspond to the first *k* molecules shown in the list of similar compounds (section 3.1).
    A graphical representation of the evaluation of the prediction and of its reliability is also provided, using the following elements:

 Compound is classified as non-mutagen

 Compound is classified as mutagen

 Prediction has low reliability (compound out of the AD)

 Prediction has moderate reliability (compound could be out of the AD)

 Prediction has high reliability (compound into the AD)

3.1 – Applicability Domain: Similar compounds, with predicted and experimental values
    Here it is reported the list of the six most similar compounds found in the training and test set of the model, along with their depiction and relevant information (mainly experimental value and predicted value).

3.2 – Applicability Domain: Measured Applicability Domain scores
    Here it is reported the list of all Applicability Domain scores, starting with the global Applicability Domain Index (ADI). Note that the final assessment on prediction reliability is given on the basis of the value of the ADI. For each index, it is reported its value and a brief explanation of the meaning of that value.

4.1 – Reasoning: Relevant chemical fragments and moieties
    If some rare and/or missing Atom Centered Fragments are found, they are reported here with a depiction of each fragment.