

# BEYOND CLASSICAL SEARCH<sup>1</sup>

## LECTURE 5

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<sup>1</sup>The slides have been prepared using the textbook material available on the web, and the slides of the previous editions of the course by Prof. Luigia Carlucci Aiello

## Summary

- ◇ Russell & Norvig Chapter 4 Sec. 1
- ◇ Hill-climbing
- ◇ Simulated annealing
- ◇ Local beam search
- ◇ Genetic Algorithms

## Iterative improvement algorithms

In many optimization problems, *path* is irrelevant;  
the goal state itself is the solution

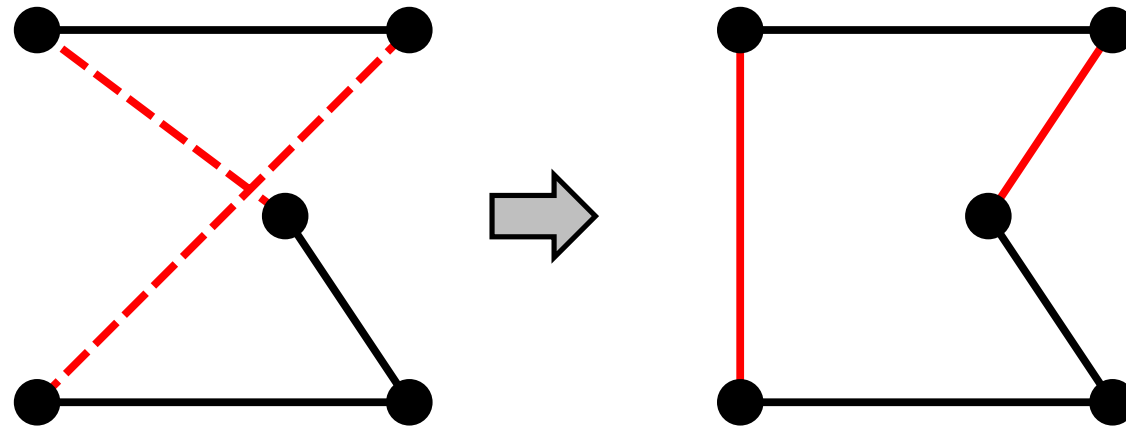
Then state space = set of “complete” configurations;  
find *optimal* configuration, e.g., TSP  
or, find configuration satisfying constraints, e.g., timetable

In such cases, can use *iterative improvement* algorithms;  
keep a single “current” state, try to improve it

Constant space, suitable for online as well as offline search

## Example: Travelling Salesperson Problem

Start with any complete tour, perform pairwise exchanges

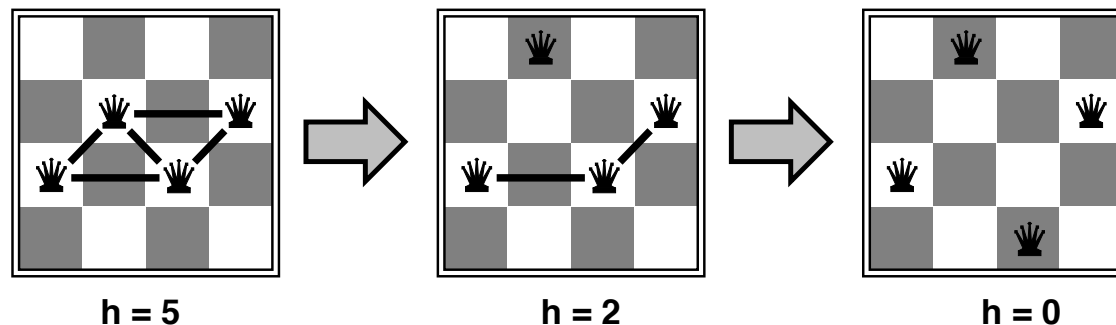


Variants of this approach get within 1% of optimal very quickly with thousands of cities

## Example: $n$ -queens

Put  $n$  queens on an  $n \times n$  board with no two queens on the same row, column, or diagonal

Move a queen to reduce number of conflicts



Almost always solves  $n$ -queens problems almost instantaneously for very large  $n$ , e.g.,  $n = 1\text{million}$

## Hill-climbing (or gradient ascent/descent)

**function** HILL-CLIMBING(*problem*) **returns** a state that is a local maximum

**inputs:** *problem*, a problem

**local variables:** *current*, a node  
*neighbor*, a node

*current*  $\leftarrow$  MAKE-NODE(INITIAL-STATE[*problem*])

**loop do**

*neighbor*  $\leftarrow$  a highest-valued successor of *current*

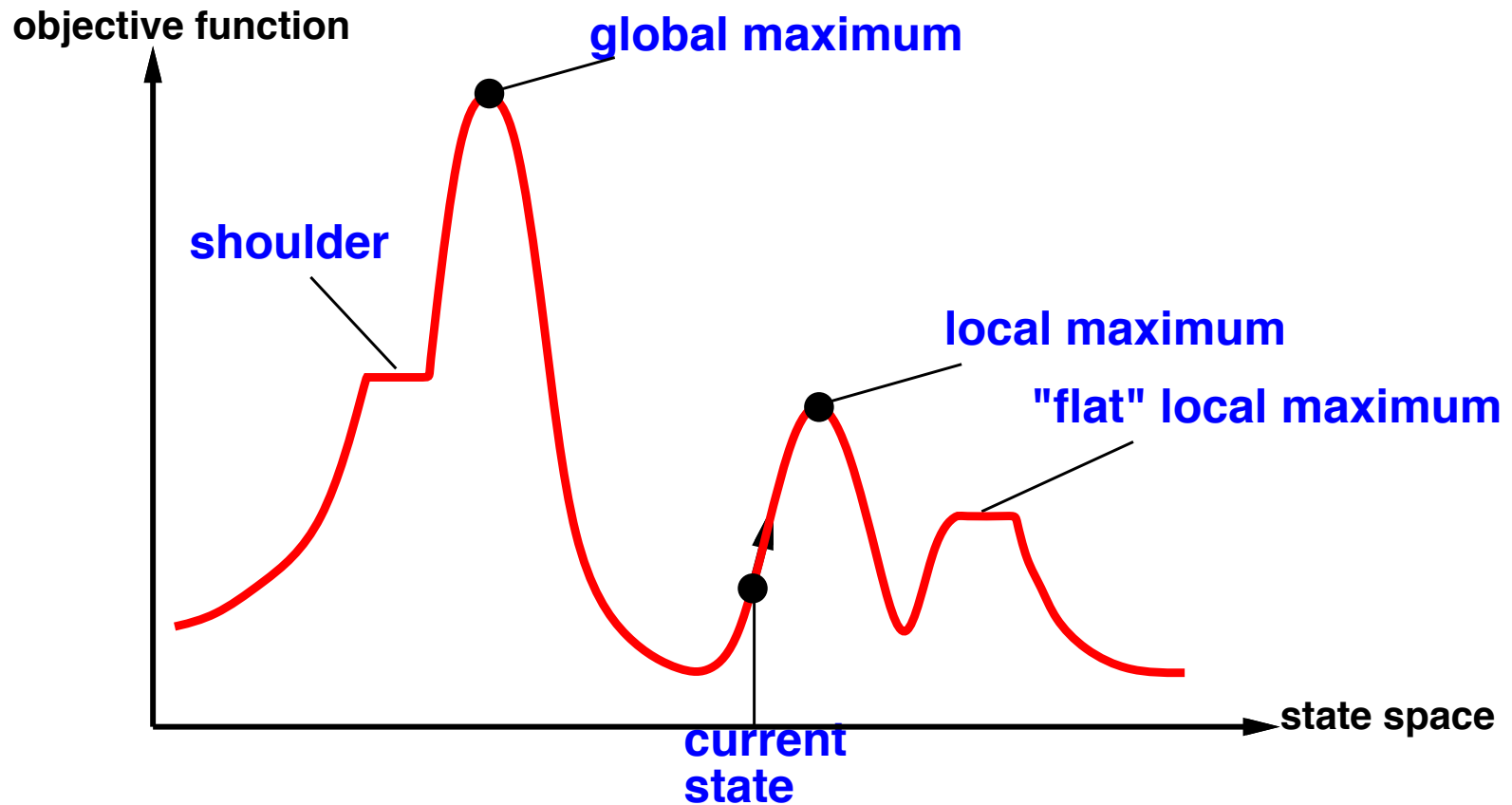
**if** VALUE[*neighbor*] < VALUE[*current*]

**then return** STATE[*current*]

*current*  $\leftarrow$  *neighbor*

**end**

# Problems



## Possible Solutions

- ◇ side moves
- ◇ random moves (choosing among the uphill successors)
- ◇ first choice (random generation of successors taking the first one uphill)
- ◇ **random restart**

Success is strongly related to the “shape” of the state space



## Some remarks about performance

8-queens problem ( $8^8$  states)

- hill-climbing 14% with 4 (3) steps
- 100 side moves 94% with 22(64) steps
- random restart 7 iterations  $\frac{1}{p}$
- random restart HC  $(1-p)/p * 3 + 4 = 22$  moves
- HC with side moves  $(1-p)/p * 64 + 21 = 25$

# Simulated annealing

Idea: avoid local maxima allowing some “bad” moves  
*gradually decreasing their effect and frequency*

**function** SIMULATED-ANNEALING(*problem*, *schedule*) **returns** a solution state

**inputs:** *problem*, a problem

*schedule*, a mapping from time to temp

**local variables:** *current*, a node

*next*, a node

*T*, a temp (prob downward steps)

*current*  $\leftarrow$  MAKE-NODE(INITIAL-STATE[*problem*])

**for** *t*  $\leftarrow$  1 **to**  $\infty$  **do**

*T*  $\leftarrow$  *schedule*[*t*]

**if** *T* = 0 **then return** *current*

*next*  $\leftarrow$  a randomly selected successor of *current*

$\Delta E \leftarrow$  VALUE[*next*] – VALUE[*current*]

**if**  $\Delta E > 0$  **then** *current*  $\leftarrow$  *next*

**else** *current*  $\leftarrow$  *next* only with probability  $e^{\Delta E/T}$

## Properties of simulated annealing

At fixed “temperature”  $T$ , state occupation probability reaches Boltzman distribution

$$p(x) = \alpha e^{\frac{E(x)}{kT}}$$

$T$  decreased slowly enough  $\implies$  always reach best state

**Is this necessarily an interesting guarantee?**

Devised by Metropolis et al., 1953, for physical process modelling

Widely used in VLSI layout, airline scheduling, etc.

## “Local beam” search

- ◇ Keeps  $k$  states.
- ◇ At each step the successors of the  $k$  states are generated and the best  $k$  are selected among them, unless goal is reached.
- ◇ Non just a parallel execution: at each step the best nodes are chosen among all the successors (**come here the grass is greener**)
- ◇ Problem: too quick convergence in the same region of the search space; the **stochastic beam search** randomly chooses  $k$  successors weighting more the most promising ones.

# Genetic Algorithms 1

Idea: organisms evolve; those adaptable to the environment survive and reproduce, others die (Darwin)

initial population: individuals or *cromosomes*

selection: by *fitness function*

reproduction: *crossover*

reproduction: *mutation*

Search in the space of individuals

Steepest ascent hill-climbing, since little genetic alterations are performed on selected individuals.

## Genetic Algorithms 2

To deploy Genetic Algorithms we must define:

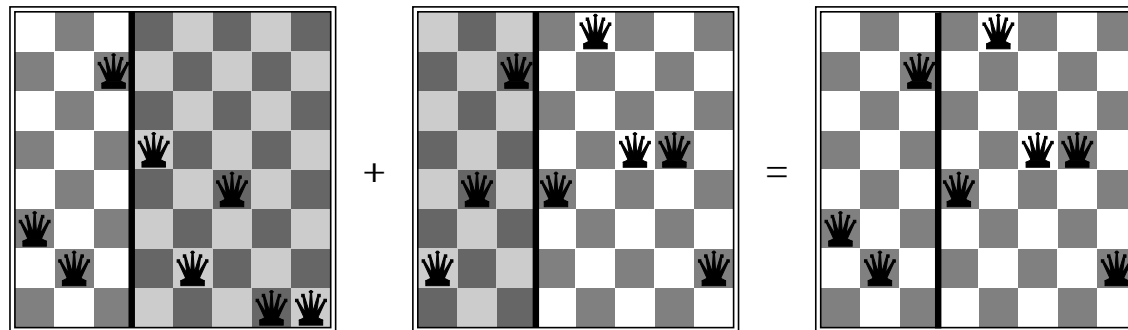
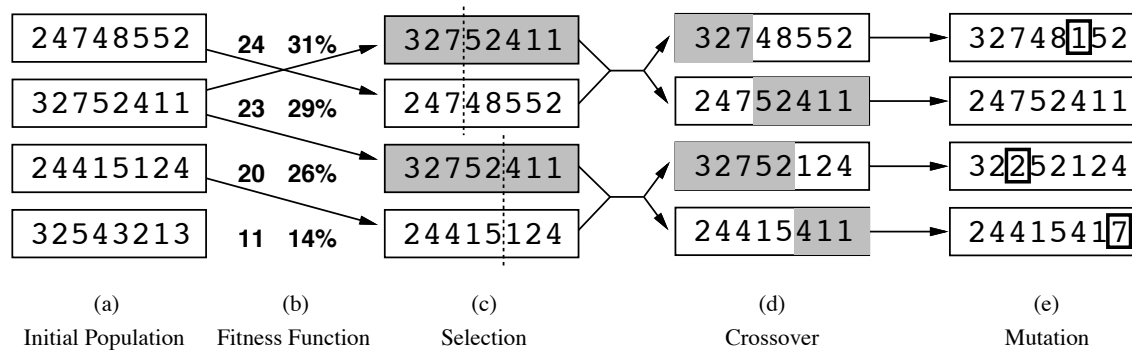
1. individual representation?
  2. fitness function?
  3. selection?
  4. reproduction?
- 
1. string of characters (*genes*) (often 0/1)
  2. function mapping individuals into real numbers
  3. generally selection is stochastic
  4. Crossover + mutation

## Genetic Algorithms: implementation

```
function GENETIC-ALGORITHM( population, FITN)
returns individual
  inputs: population, set of individuals
           FITN, measuring fitness of individuals

  repeat
    parents  $\leftarrow$  SELECTION( population, FITN)
    population  $\leftarrow$  REPRODUCE( parents)
  until some individual is fit enough
return best individual in population, for FITN
```

# Genetic Algorithms 3





## Summary

### ◇ Local Search:

- solves large problem
- statistically optimal
- Hill Climbing, Local Beam Search, Simulated Annealing, Genetic Algorithms