

# SEARCH IN THE STATE SPACE<sup>1</sup>

## LECTURE 3

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<sup>1</sup>The slides have been prepared using the textbook material available on the web, and the slides of the previous editions of the course by Prof. Luigia Carlucci Aiello

# Problem solving

## Summary(Russell&Norvig Chap. 3)

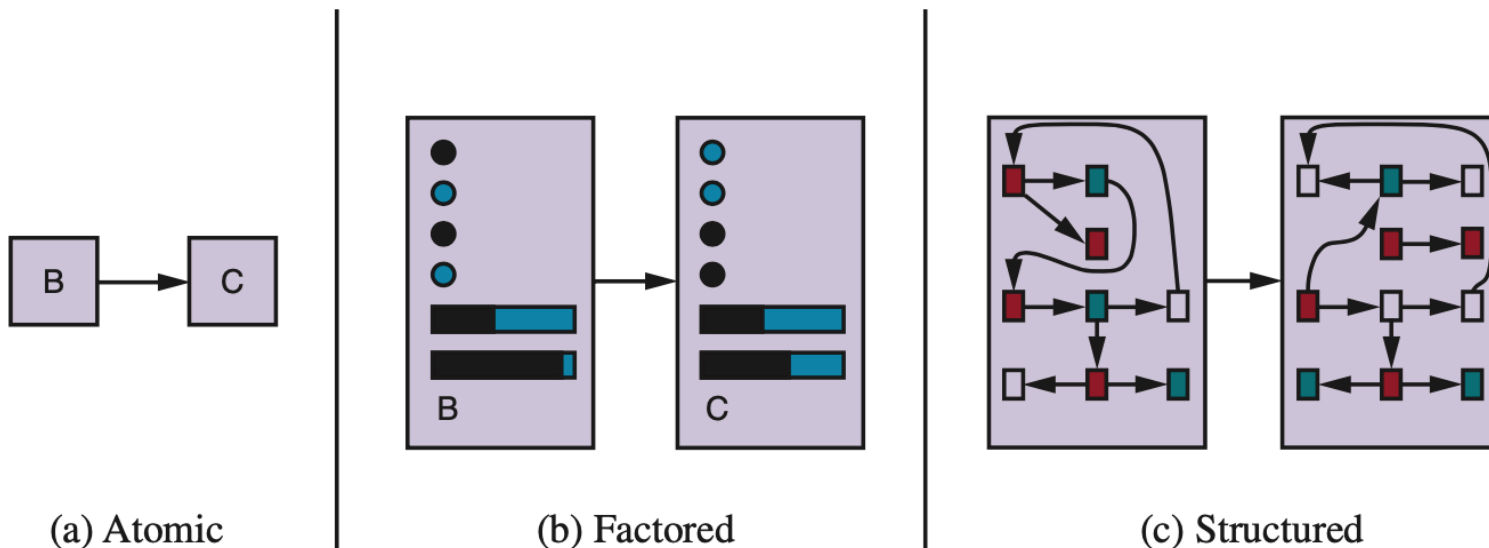
- ◇ Problem solving agents
- ◇ Types of problems
- ◇ Problem formulation
- ◇ Example problems
- ◇ Basic search algorithms

# Agent Architectures

- **simple-reflex agents** (**stimoulus–response, tropis-tic**): react to stimuli
- **model-based agents** build a representation of the world
- **goal-based agents** have a goal and try to achieve it
- **utility-based agents** evaluate the utility of (goal) states according to a performance measure and trying to maximize it

# Design of goal-based agents

- **search**: translating knowledge into a specialized representation of **states** and a set of **operators**
- **planning**: explicit representation of the state and specialized techniques for reasoning.
- **inference**: general state representation and reasoning.



# The problem solving agent

- **problem formulation**:
  - state definition, the set of states is called **state space**
  - **initial state**
  - **actions** (operators) characterizing state transitions; **path** in the state space is a sequence of actions
- **goal formulation**: set of states or goal test
- **search**: process to find a **solution**, i.e. a path from the initial state to one of the goal states.
- **execution**: the solution is performed by the agent

**function** SIMPLE-PS-AGENT(*percept*) **returns** an action

**static:** *seq*, an action sequence, initially empty  
          *state*, description of the current world state  
          *goal*, a goal, initially null  
          *problem*, a problem formulation

*state*  $\leftarrow$  UPDATE-STATE(*state*, *percept*)

**if** *seq* is empty **then**

*goal*  $\leftarrow$  FORMULATE-GOAL(*state*)

*problem*  $\leftarrow$  FORMULATE-PROBLEM(*state*, *goal*)

*seq*  $\leftarrow$  SEARCH(*problem*)

*action*  $\leftarrow$  RECOMMENDATION(*seq*, *state*)

*seq*  $\leftarrow$  REMAINDER(*seq*, *state*)

**return** *action*

## Offline vs Online Problem Solving

The agent implements *offline* problem solving;  
solution executed “eyes closed.”

*Online* problem solving involves acting without complete knowledge.

## Example: Romania

On holiday in Romania; currently in Arad.  
Flight leaves tomorrow from Bucharest

Formulate goal:

be in Bucharest

Formulate problem:

*states*: various cities

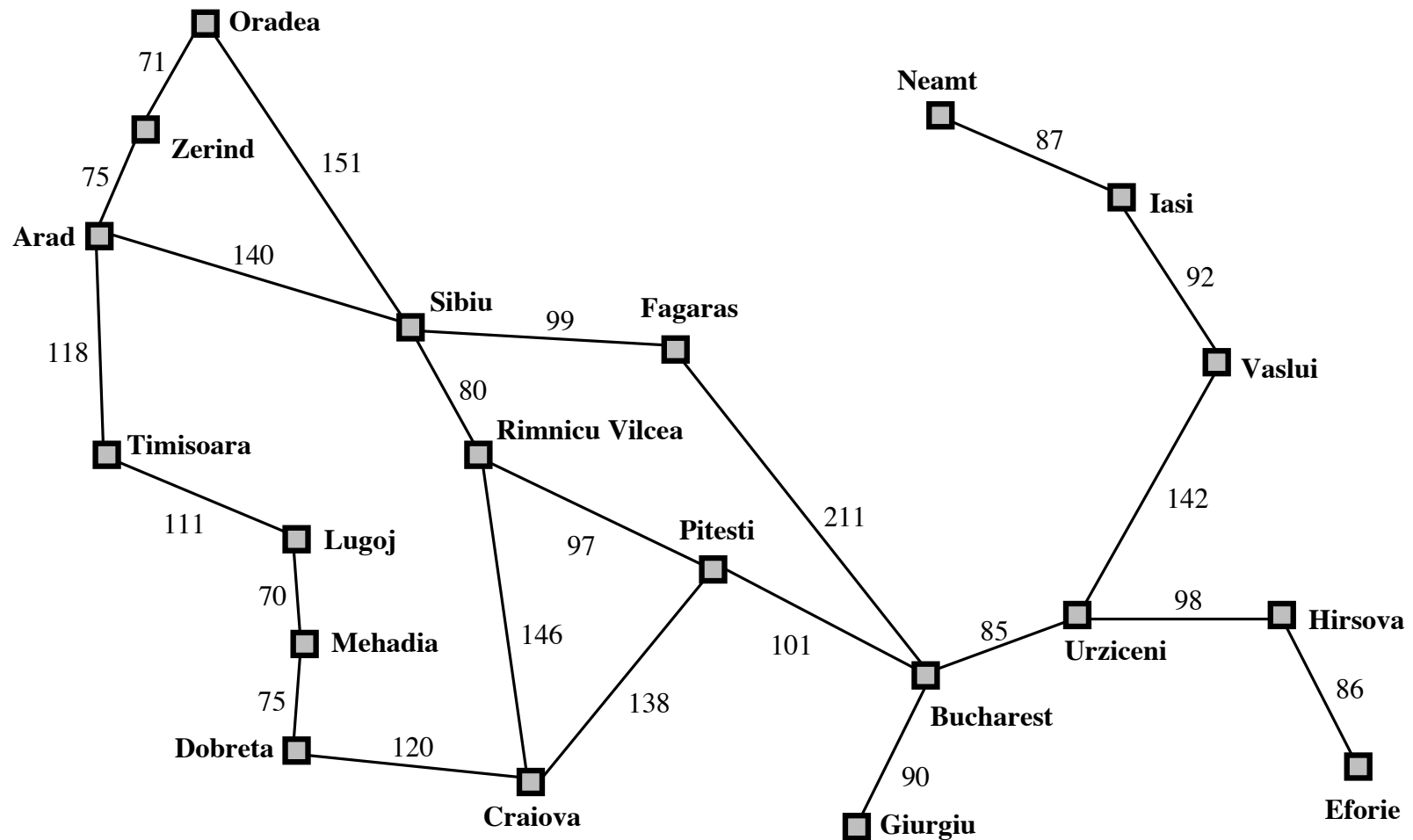
*actions*: drive between cities

Find solution:

sequence of steps to cities, e.g., Arad, Sibiu, Fagaras,  
Bucharest



# Example: Romania



## Single-state problem formulation

*initial state* e.g., “at Arad”

*actions* e.g., “ $Arad \rightarrow Zerind$ ” and a function  $Action(s)$  returning a (finite) set of actions *applicable* in a state  $s$

*transition model* (successor function)  $S(x)$  = set of action–state pairs

e.g.,  $S(Arad) = \{\langle Arad \rightarrow Zerind, Zerind \rangle, \dots\}$

*action cost*  $ACost(s, a, s')$  = the cost of applying action  $a$  in state  $s$  to reach state  $s'$

e.g.,  $ACost(Arad, Arad \rightarrow Zerind, Zerind) = 75$

## Single-state goal formulation and solution

*goal test*, can be

*explicit*, e.g.,  $x = \text{“at Bucharest”}$

*implicit*, e.g.,  $NoDirt(x)$

*path cost* (additive)

e.g., sum of distances, number of actions executed, etc.

$c(x, a, y)$  is the *step cost*, assumed to be  $\geq 0$

*solution* = sequence of actions from init state to goal state

## Selecting a state space

Real world is absurdly complex

⇒ state space must be *abstracted* for problem solving

(Abstract) state = set of real states

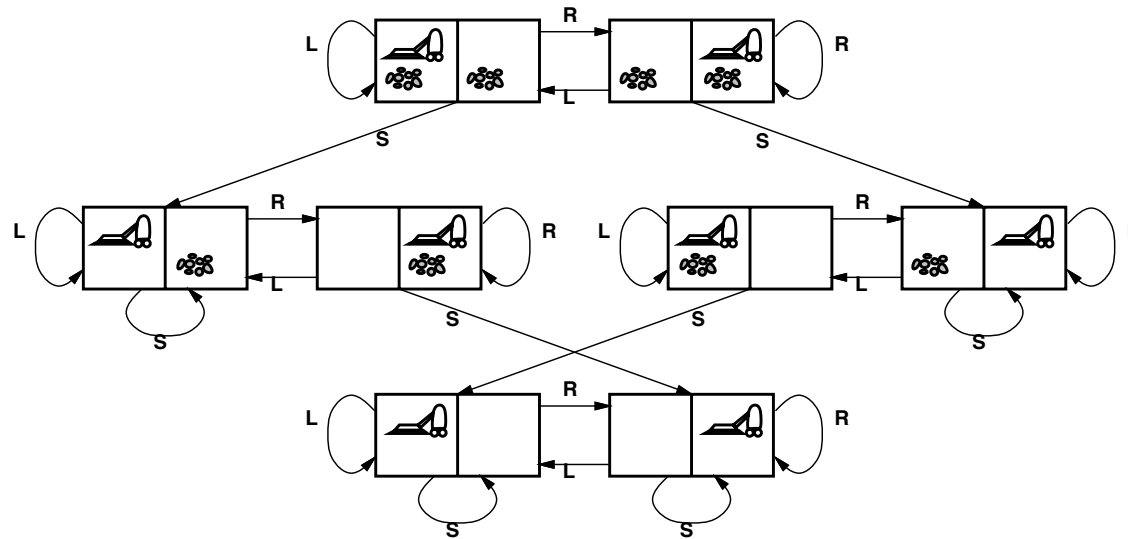
(Abstract) action = complex combination of real actions

e.g., “Arad → Zerind” represents a complex set of possible routes, detours, rest stops, etc.

(Abstract) solution =

set of real paths that are solutions in the real world

## Example: vacuum world state space graph



**states:** integer dirt and robot locations (ignore dirt *amounts*)

**actions:** *Left*, *Right*, *Suck*, *NoOp*

**goal test:** no dirt

**path cost:** 1 per action (0 for *NoOp*)

## Example: The 8-puzzle

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

**states:** locations of tiles (ignore intermediate positions)

**actions:** move blank left, right, up, down (ignore unjam etc.)

**goal test:** = goal state (given)

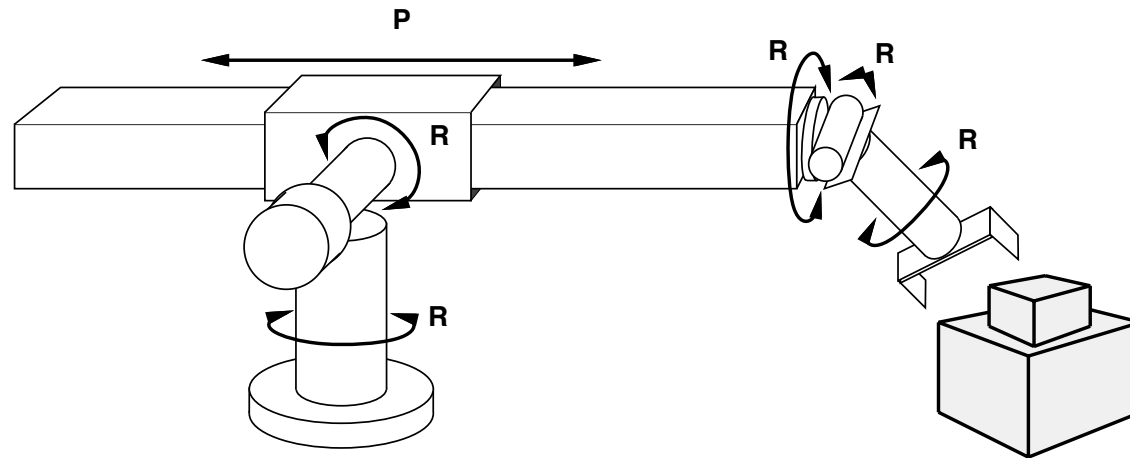
**path cost:** 1 per move

[Note: optimal solution of  $n$ -Puzzle family is NP-hard]

## Real world problems

- Find a flight itinerary
- VLSI design
- Mobile robot motion
- Assembly sequences
- Internet search

## Example: robotic assembly



**states:** real-valued coordinates of  
robot joint angles  
parts of the object to be assembled

**actions:** continuous motions of robot joints

**goal test:** complete assembly

**path cost:** time to execute



# Tree search algorithms

Basic idea:

offline, simulated exploration of state space  
by generating successors of already-explored states  
(a.k.a. *expanding* states)

**function** TREE-SEARCH( *problem*, *strategy*) **returns** a solution, or failure

    initialize the search tree to the initial state of *problem*

**loop do**

**if** there are no candidates for expansion

**then return** failure

        choose a leaf node for expansion based on *strategy*

**if** the node contains a goal state

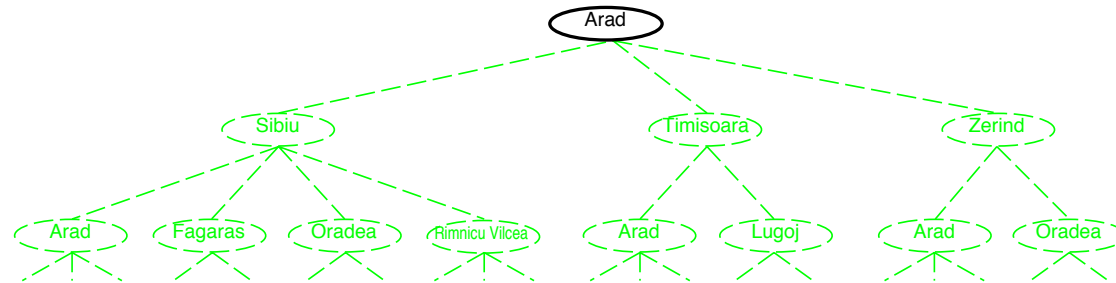
**then return** the corresponding solution

**else** expand the node and

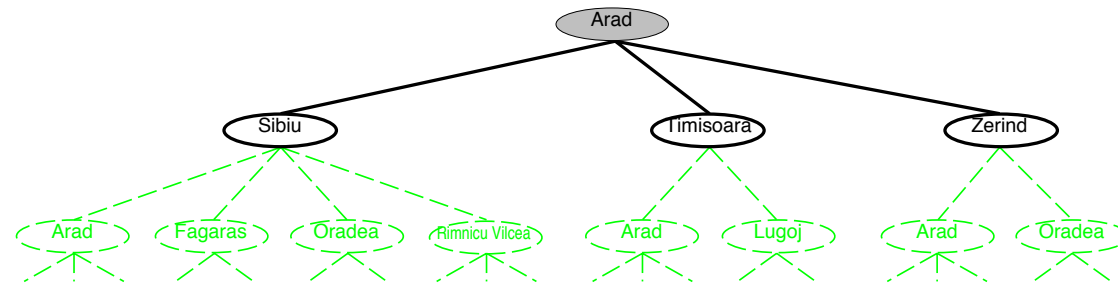
            add the resulting nodes to the search tree

**end**

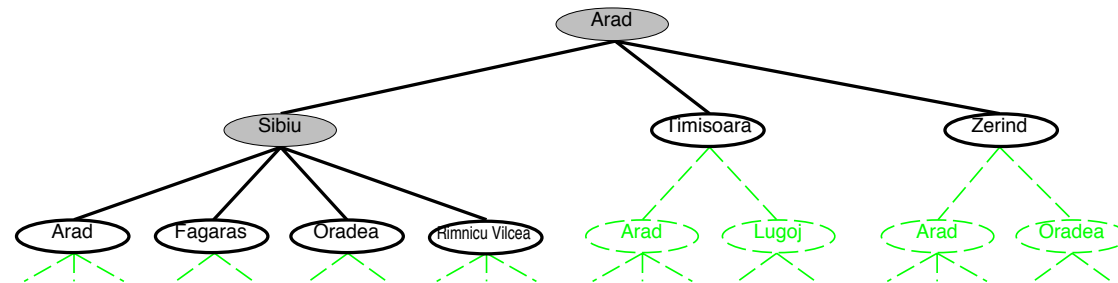
# Tree search algorithms



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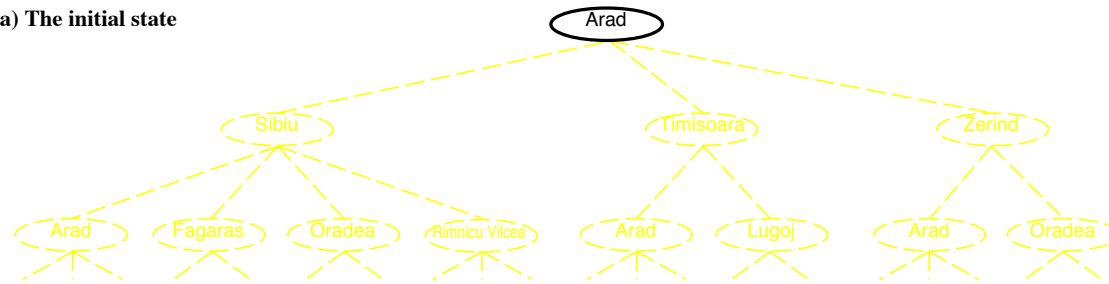
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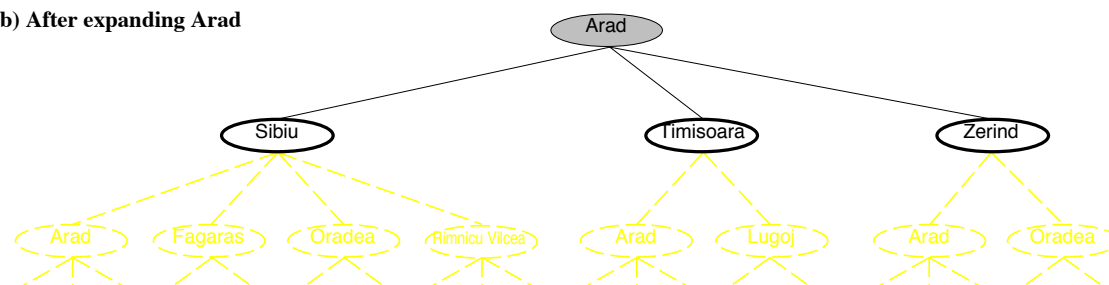
## The search tree

- The **search tree** is composed by the search nodes and models the search process.
- **Expansion** is the process that builds successor nodes by applying the OPERATORS.
- The choice of the node to be expanded represents the **search strategy**.

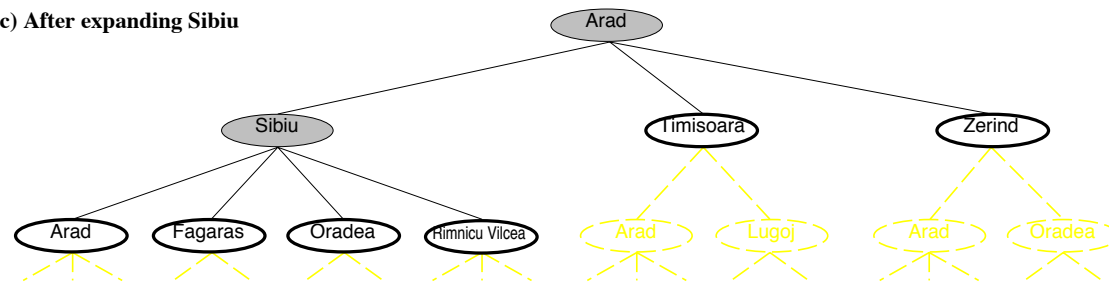
(a) The initial state



(b) After expanding Arad



(c) After expanding Sibiu



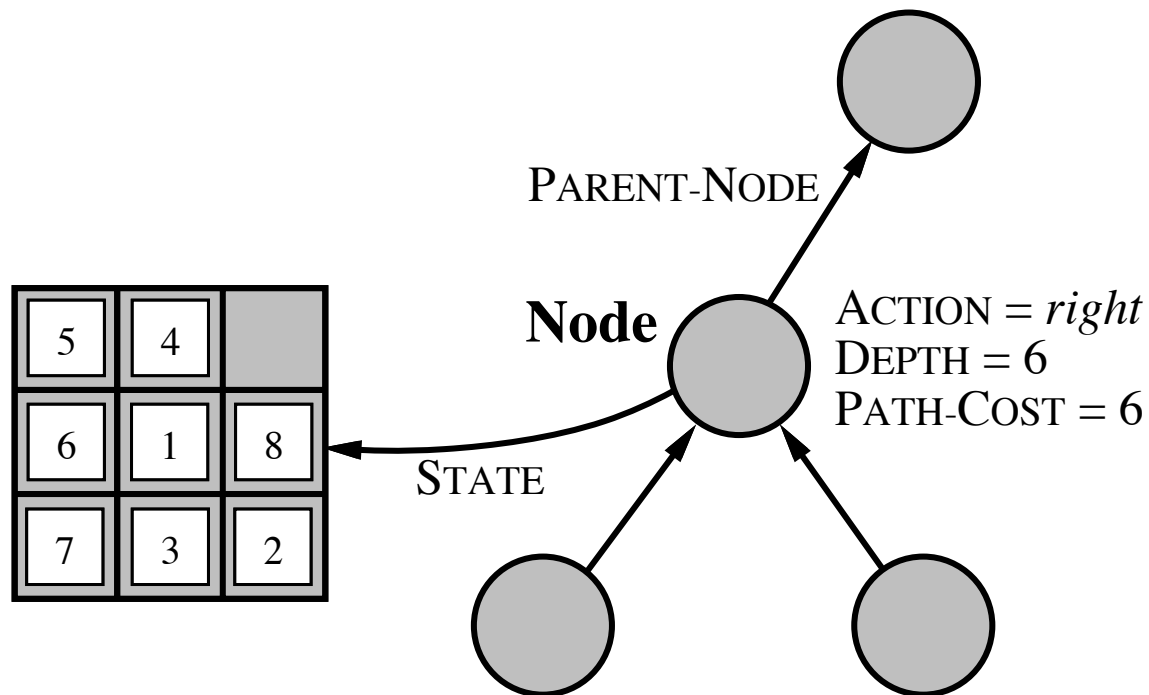
## Implementation: states vs. nodes

A *state* is a (representation of) a physical configuration

A *node* is a data structure constituting part of a search tree

includes *parent*, *children*, *depth*, *path cost*  $g(x)$

*States* do not have parents, children, depth, or path cost!





## Implementation: general tree search

**function** TREE-SEARCH(*problem*, *fringe*) **returns** a solution, or failure

*fringe*  $\leftarrow$  INSERT(MK-NODE(INIT-STATE[*problem*]),  
    *fringe*)

**loop do**

**if** *fringe* is empty **then return** failure

*node*  $\leftarrow$  REMOVE-FRONT(*fringe*)

**if** GOAL-TEST[*problem*] on STATE(*node*) **succeeds**  
        **then return** *node*

*fringe*  $\leftarrow$  INSALL(EXPAND(*node*, *problem*), *fringe*)

Fringe  $\rightarrow$  Frontier

**function** EXPAND(*node*, *problem*) **returns** a set of nodes

*successors*  $\leftarrow$  the empty set

**for each** *action*, *result*

**in** SUCCESSOR-FN[*problem*](STATE[*node*]) **do**

*s*  $\leftarrow$  a new NODE

PARENT-NODE[*s*]  $\leftarrow$  *node*;

ACTION[*s*]  $\leftarrow$  *action*;

STATE[*s*]  $\leftarrow$  *result*

PATH-COST[*s*]  $\leftarrow$  PATH-COST[*node*] +  
STEP-COST(*node*, *action*, *s*)

DEPTH[*s*]  $\leftarrow$  DEPTH[*node*] + 1

add *s* to *successors*

**return** *successors*

## Search strategies

A strategy is defined by picking the *order of node expansion*

Strategies are evaluated along the following dimensions:

**completeness**—does it always find a solution if one exists?

**time complexity**—number of nodes generated/expanded

**space complexity**—maximum number of nodes in memory

**optimality**—does it always find a least-cost solution?

Time and space complexity are measured in terms of

$b$ —maximum branching factor of the search tree

$d$ —depth of the least-cost solution

$m$ —maximum depth of the state space (may be  $\infty$ )

## Uninformed search strategies

*Uninformed* strategies use only the information available in the problem definition

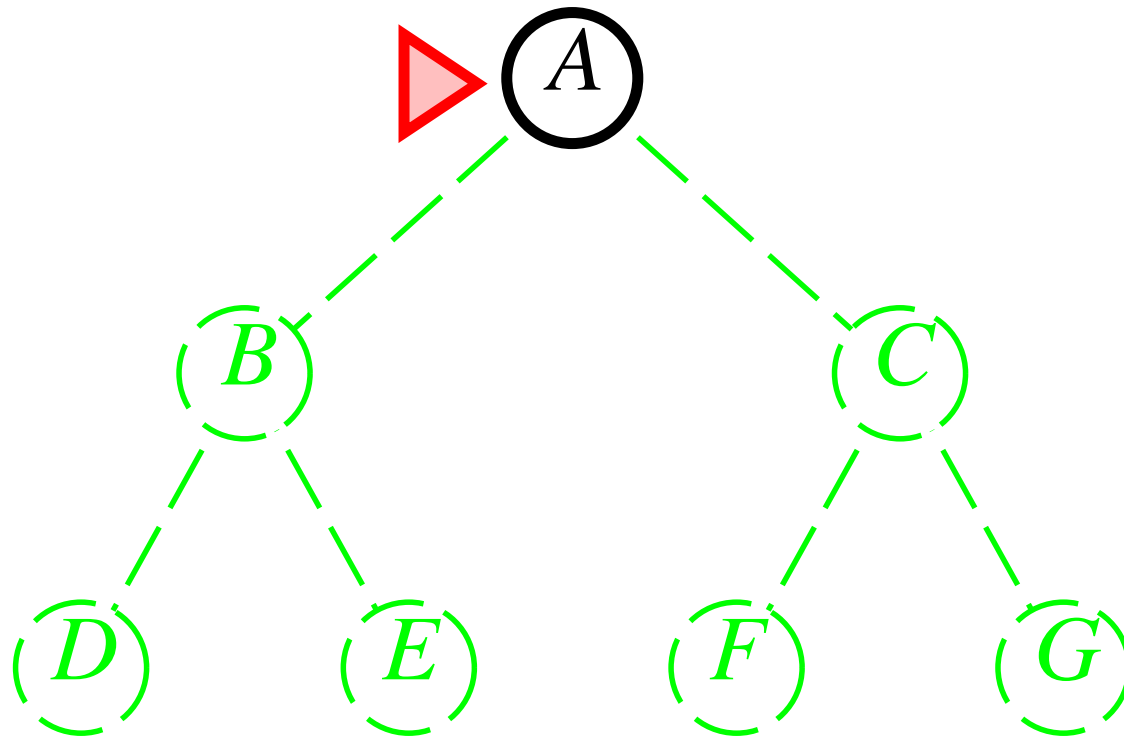
- Breadth-first search
- Uniform-cost search
- Depth-first search
- Depth-limited search
- Iterative deepening search

## Breadth-first search

Expand shallowest unexpanded node

Implementation:

*frontier* is a FIFO queue, i.e., new successors go at end

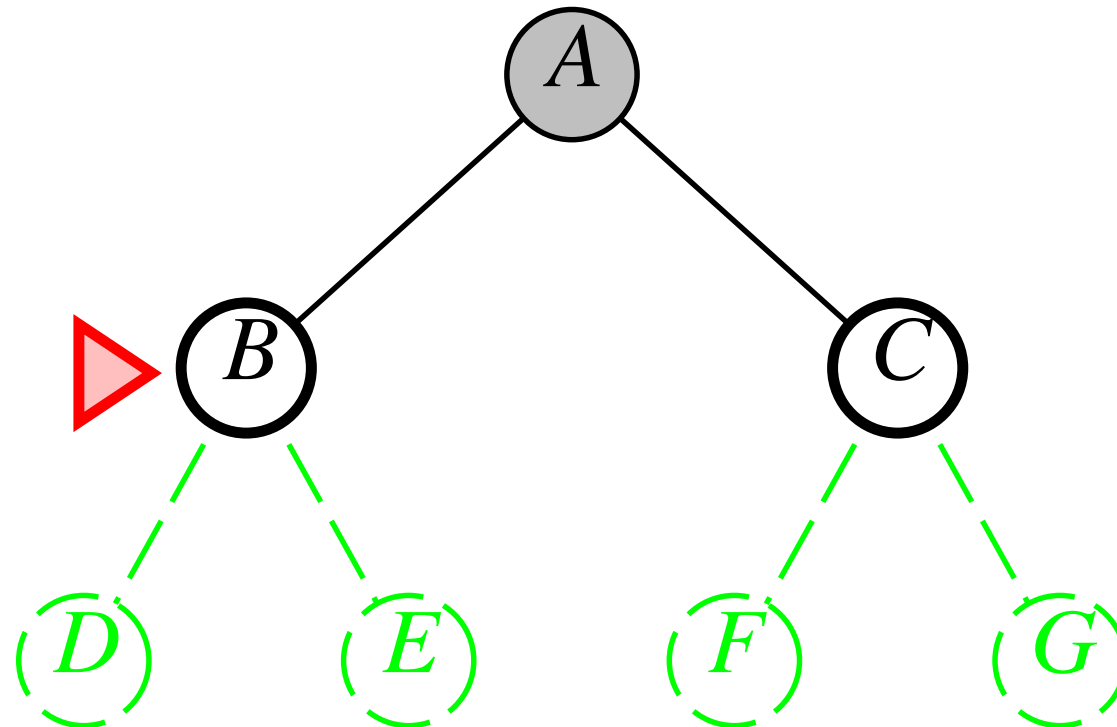


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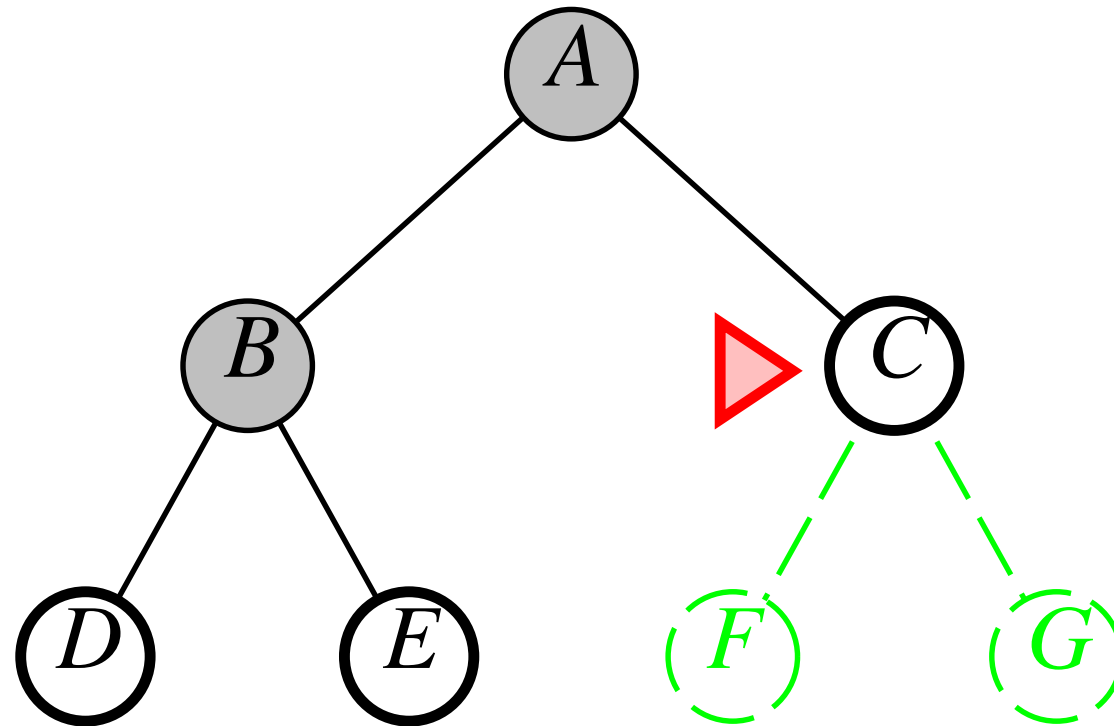


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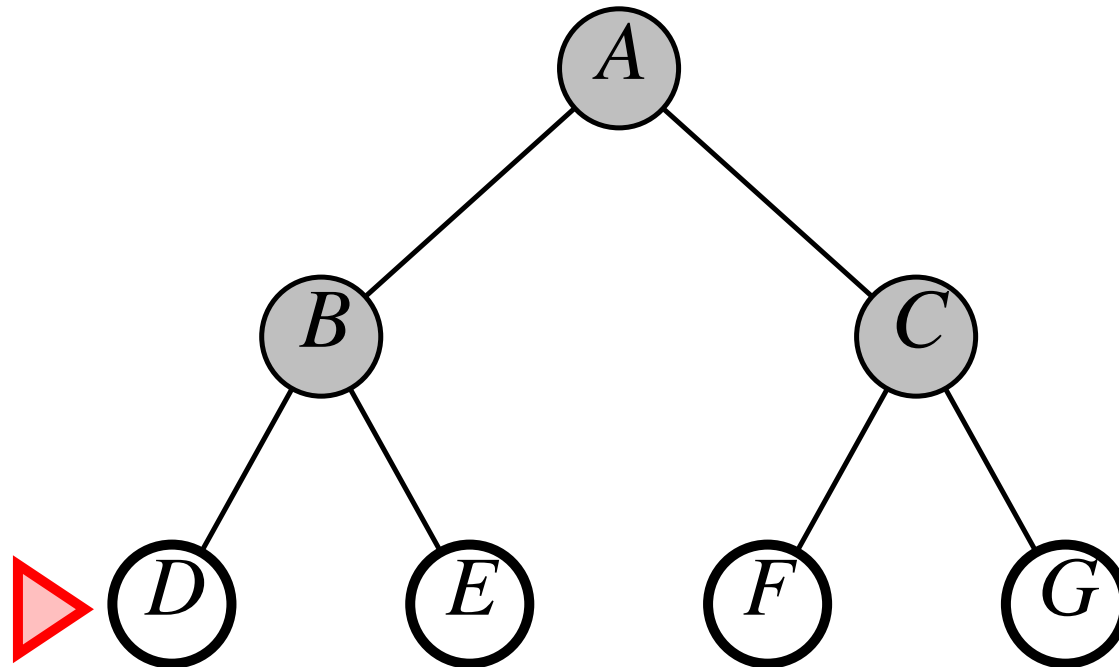


## Breadth-first search

Expand shallowest unexpanded node

Implementation:

*frontier* is a FIFO queue, i.e., new successors go at end





## Properties of breadth-first search

**Complete** Yes (if  $b$  is finite)

**Time**  $1 + b + b^2 + b^3 + \dots + b^d = O(b^d)$ , i.e., exp. in  $d$

**Space**  $O(b^d)$  (keeps every node in memory)

**Optimal** Yes (if cost = 1 per step); not optimal in general

**Space** is the big problem: with  $b = 10$  and  $D = 10$  would require 10 Terabytes (in about 3 hours time).

## Uniform-cost search

Expand least-cost unexpanded node (Dijkstra)

**Implementation:**

*frontier* = queue ordered by path cost

Equivalent to breadth-first if step costs all equal

**Complete** Yes, if step cost  $\geq \epsilon$

**Time** # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil (1+C^*/\epsilon) \rceil})$ , where  $C^*$  is the cost of the optimal solution

**Space** # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil C^*/\epsilon \rceil})$

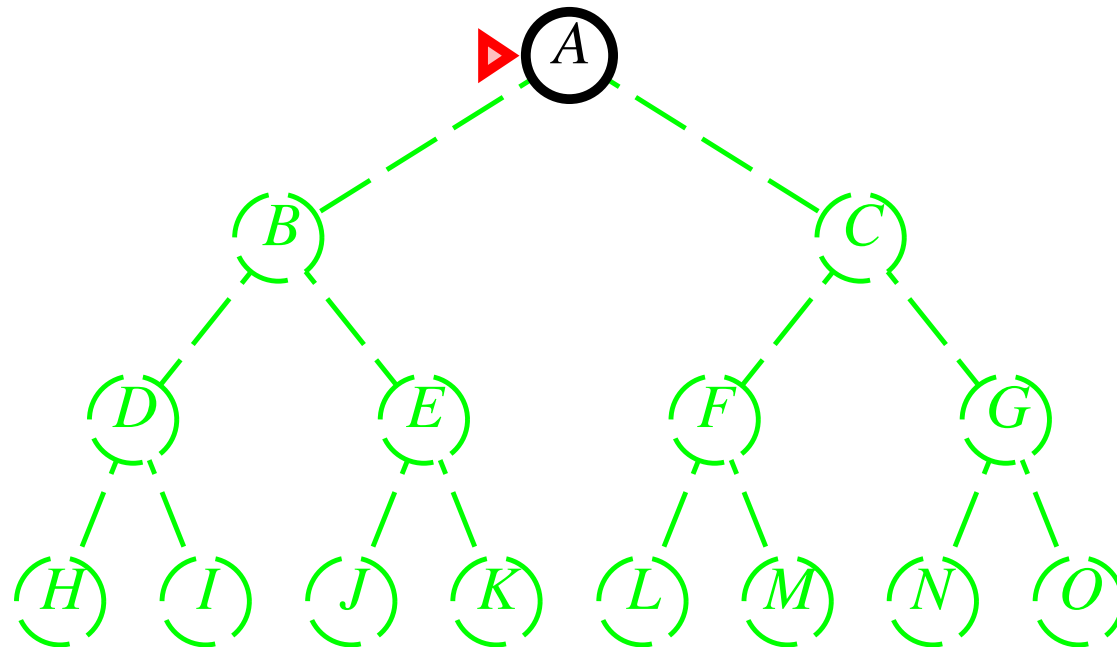
**Optimal** Yes—nodes expanded in increasing order of  $g(n)$

# Depth-first search

Expand deepest unexpanded node

Implementation:

*frontier* = LIFO queue, i.e., put successors at front

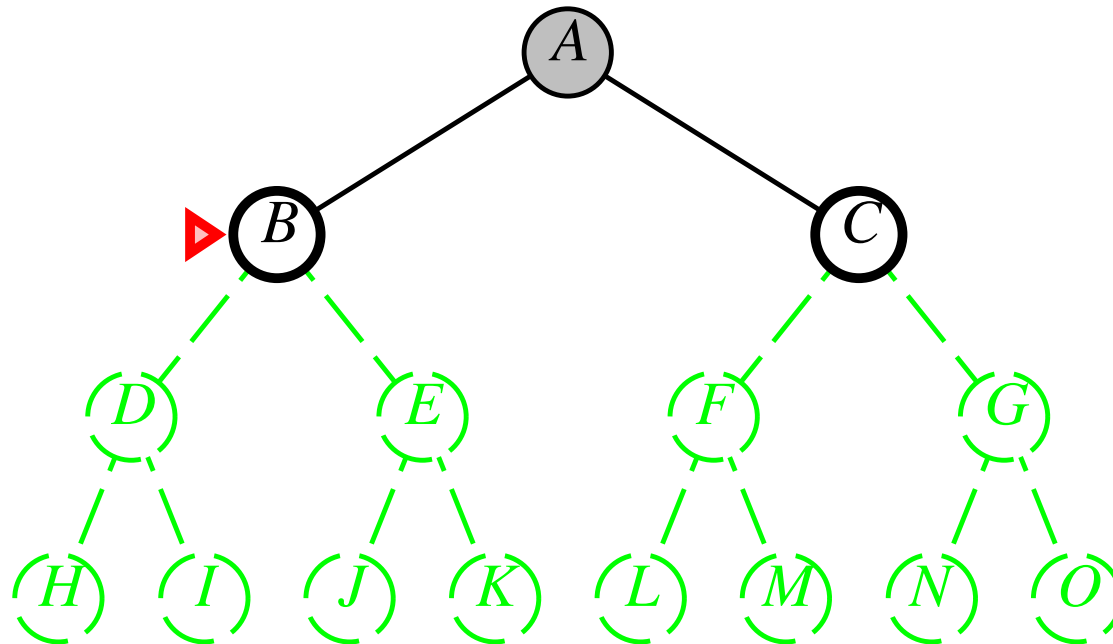


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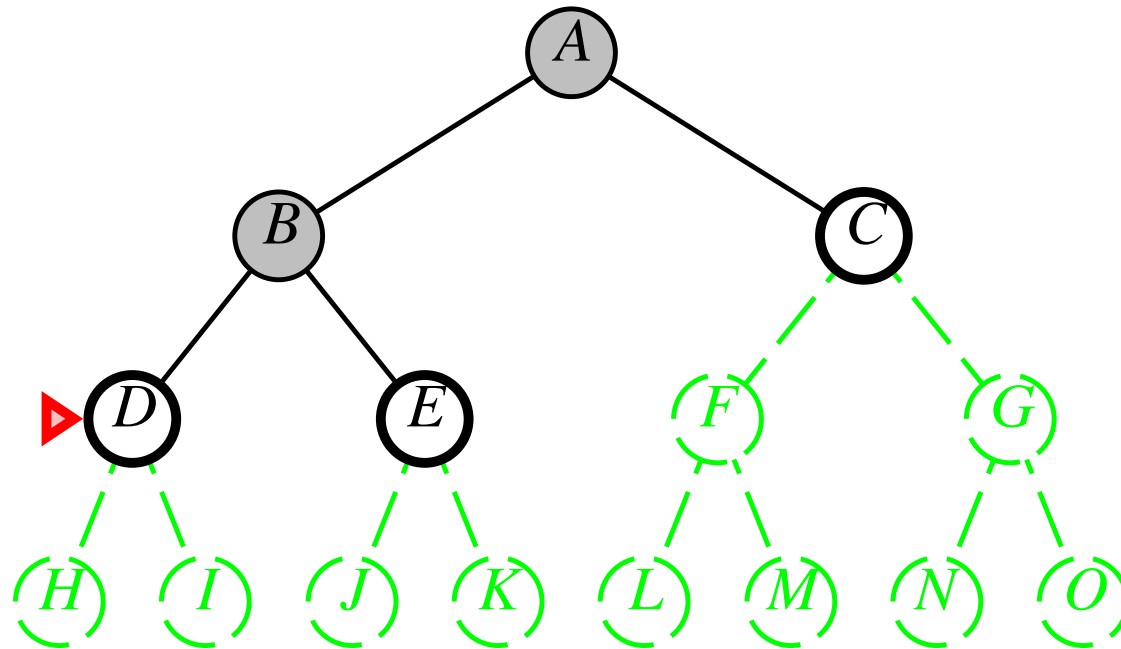


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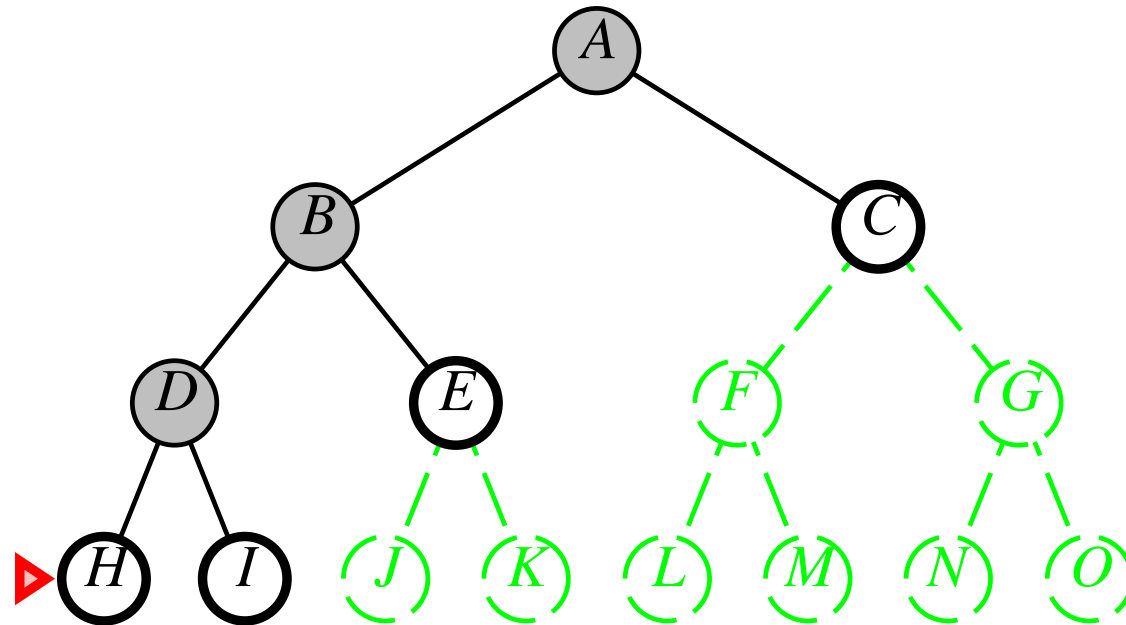


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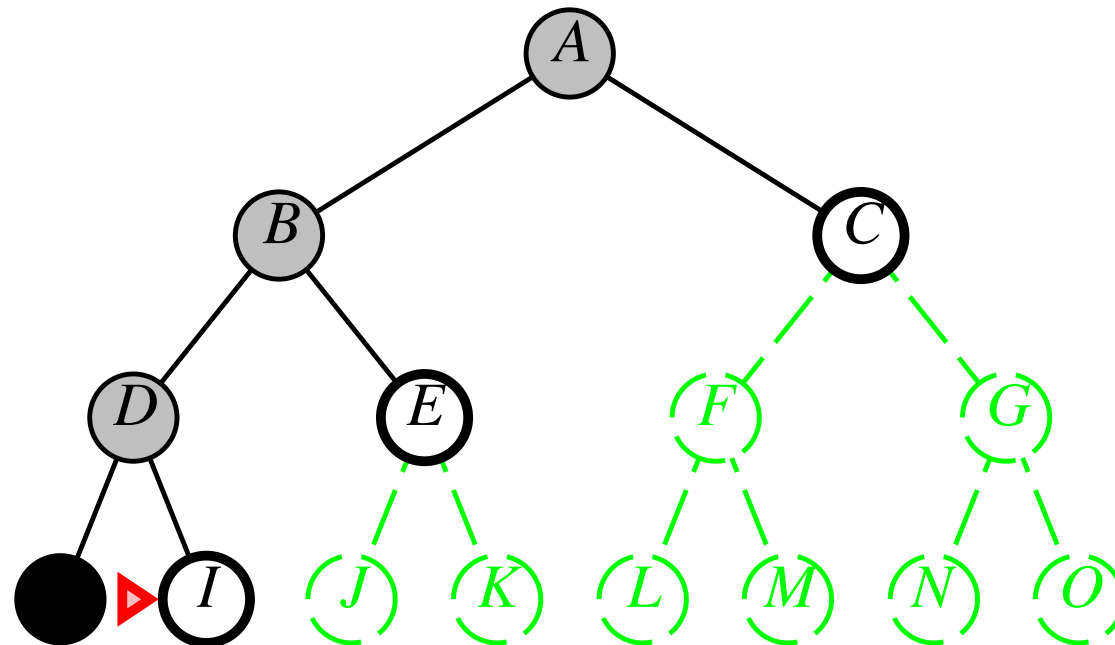


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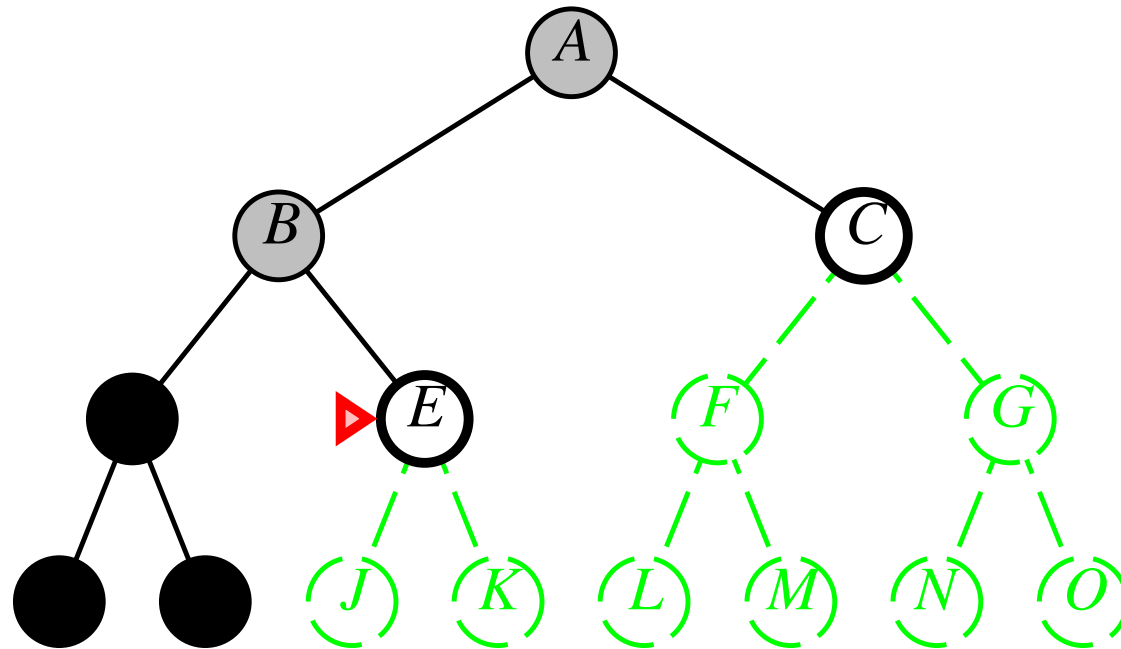


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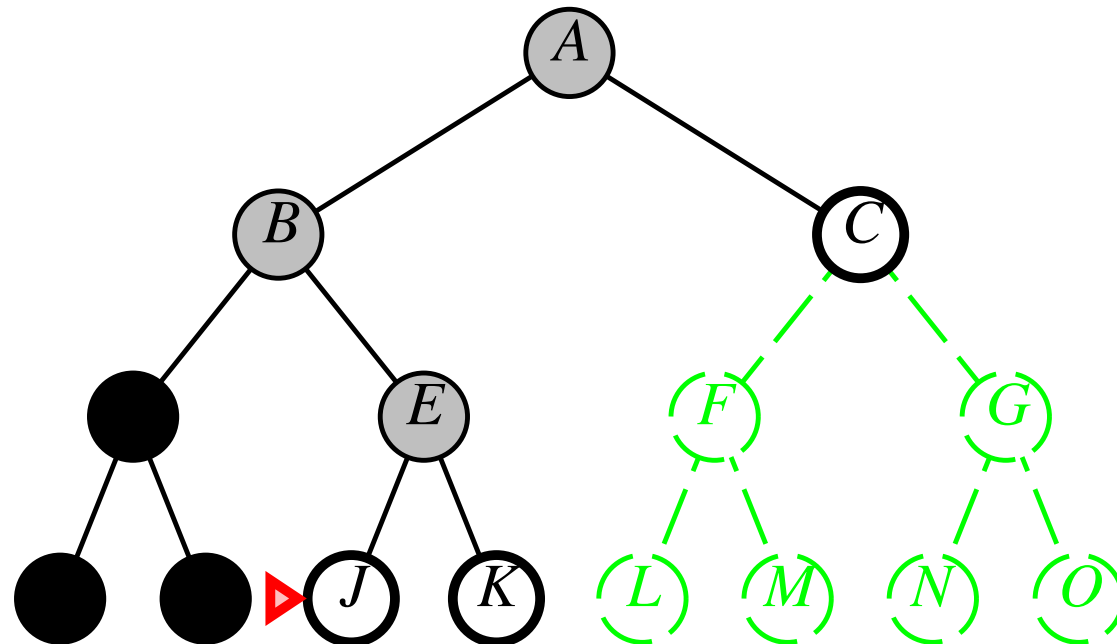


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Expand deepest unexpanded node

Implementation:

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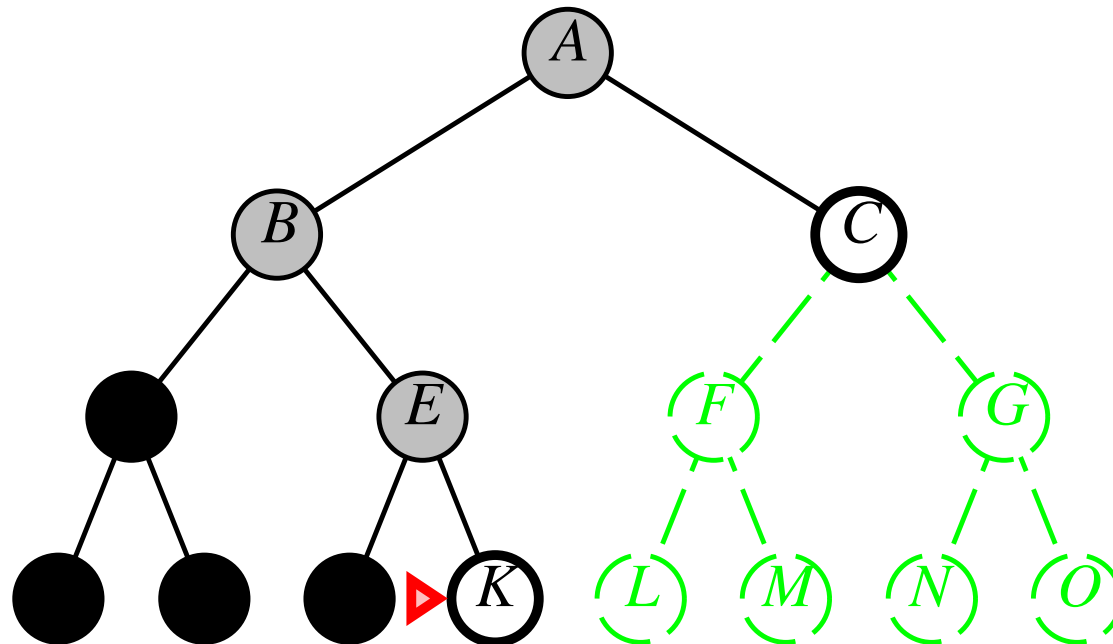


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Expand deepest unexpanded node

Implementation:

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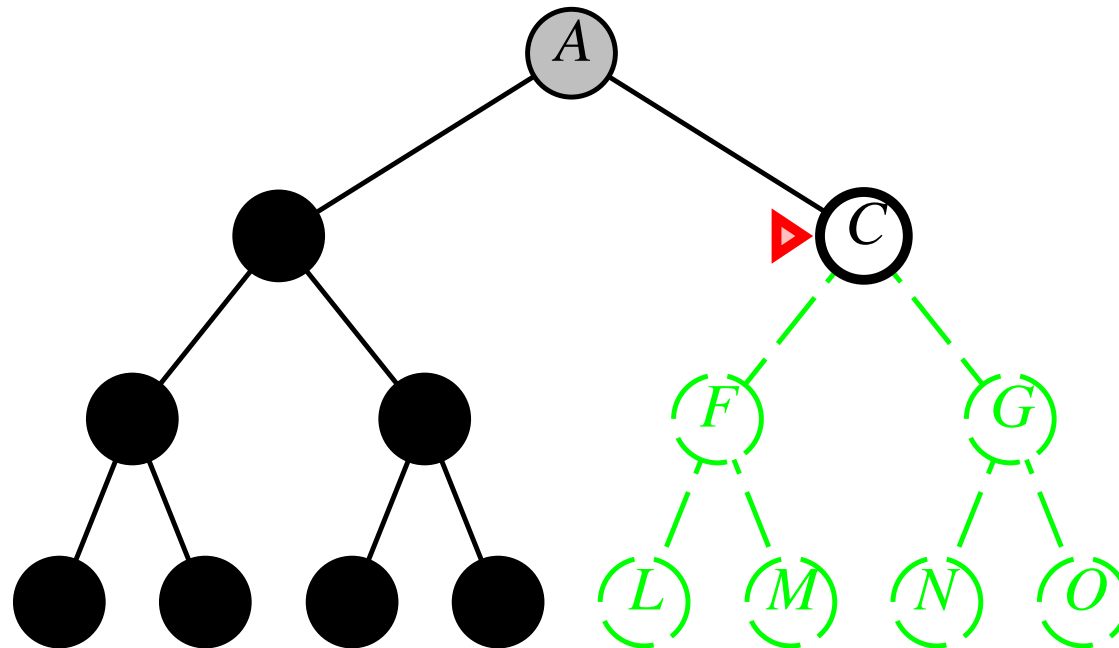


# Depth-first search

Expand deepest unexpanded node

Implementation:

*frontier* = LIFO queue, i.e., put successors at front



## Properties of depth-first search

**Complete** No: fails in infinite-depth spaces, spaces with loops

Modify to avoid repeated states along path

⇒ complete in finite spaces

**Time**  $O(b^m)$ : terrible if  $m$  is much larger than  $d$ , but if solutions are dense, may be much faster than breadth-first

**Space**  $O(bm)$ , i.e., linear space!

**Optimal** No

## Depth-limited search

= depth-first search with depth limit  $l$ ,  
i.e., nodes at depth  $l$  have no successors

### Recursive implementation:

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns soln/fail/cutoff  
  REC-DLS(MAKE-NODE(INI-STATE[problem]), problem, limit)
```

```
function REC-DLS(node, problem, limit) returns soln/fail/cutoff
```

```
  cutoff-occurred?  $\leftarrow$  false
```

```
  if GOAL-TEST[problem](STATE[node]) then return node
```

```
  else if DEPTH[node] = limit then return cutoff
```

```
  else for each successor in EXPAND(node, problem) do
```

```
    result  $\leftarrow$  RECURSIVE-DLS(successor, problem, limit)
```

```
    if result = cutoff then cutoff-occurred?  $\leftarrow$  true
```

```
    else if result  $\neq$  failure then return result
```

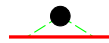
```
  if cutoff-occurred? then return cutoff else return failure
```

## Iterative deepening search

```
function ITERATIVE-DEEPENING-SEARCH(problem)  
returns a solution  
  inputs: problem, a problem  
  for depth  $\leftarrow$  0 to  $\infty$  do  
    result  $\leftarrow$  DEPTH-LIMITED-SEARCH(problem,  
    depth)  
    if result  $\neq$  cutoff then return result  
  end
```

# Iterative deepening search $l = 0$

Limit = 0



# Iterative deepening search $l = 1$

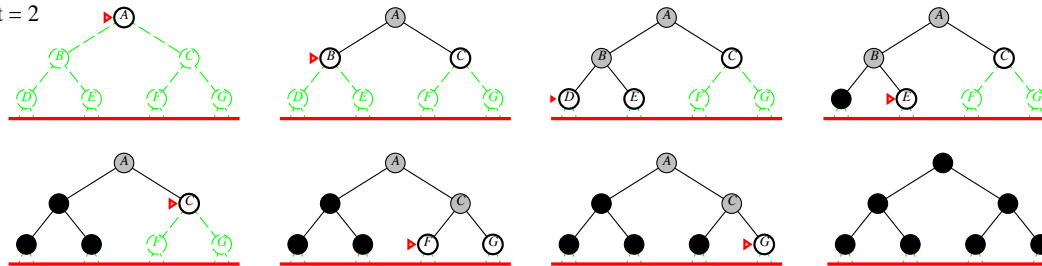
Limit = 1





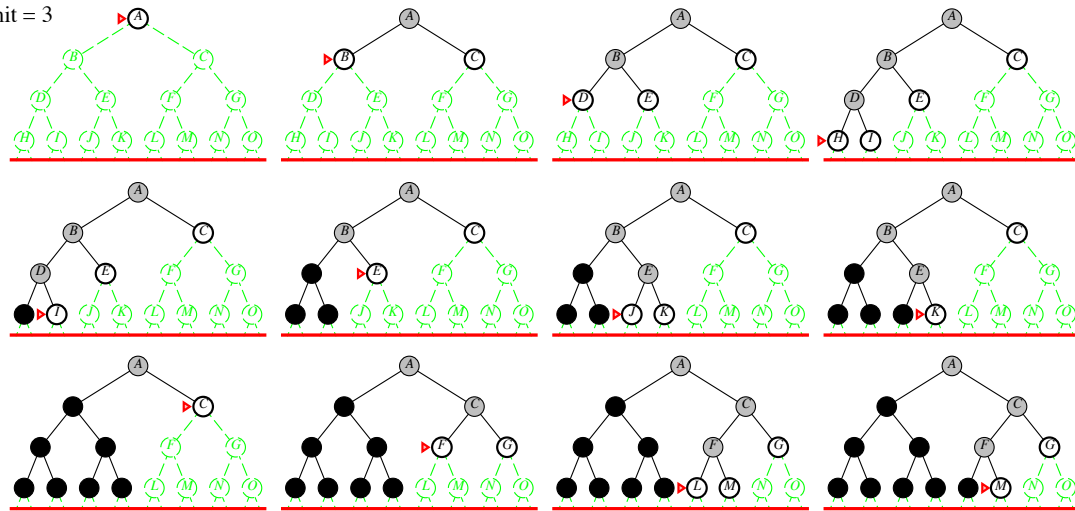
# Iterative deepening search $l = 2$

Limit = 2



# Iterative deepening search $l = 3$

Limit = 3



## Properties of iterative deepening search

**Complete** Yes

**Time**  $db^1 + (d-1)b^2 + \dots + b^d = O(b^d)$

**Space**  $O(bd)$

**Optimal** Yes, if step cost = 1

Can be modified to explore uniform-cost tree

Comparison for  $b = 10$  and  $d = 5$ , solution at far right:

$$N(\text{IDS}) = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450$$

$$N(\text{BFS}) = 10 + 100 + 1,000 + 10,000 + 100,000 = 111,110$$

## Search direction

Forward, or data driven

Backward, or goal driven

Bidirectional, or mixed

how do we choose?

1. Invertible operators?

2. “Structure” of the state space: branching factor, number of goal states, ecc.

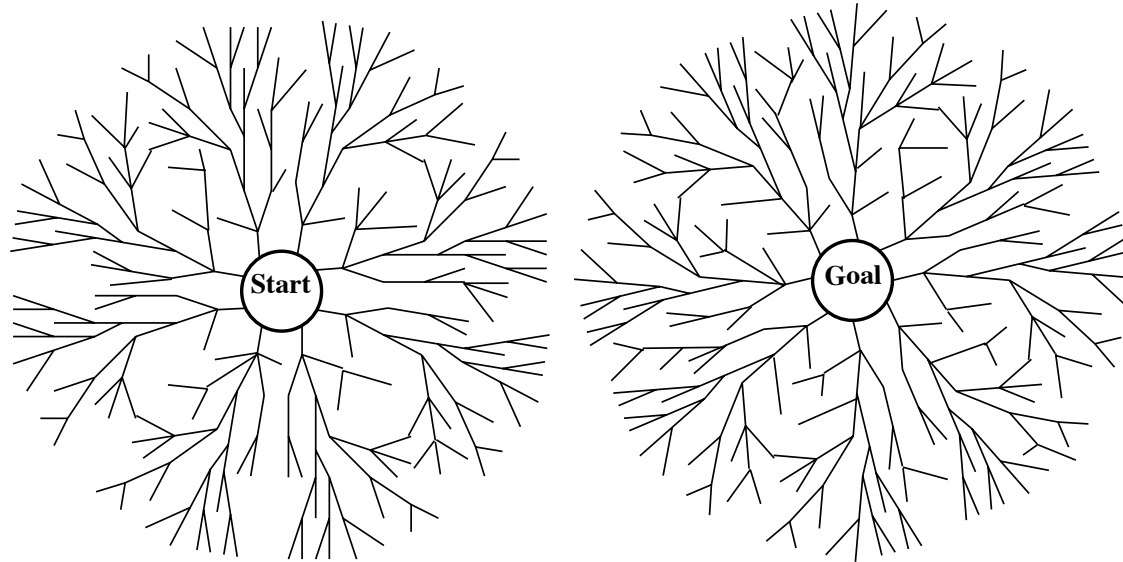
Example of invertible operator:

move one step right  $\rightarrow$  move one step left

Non invertible operators: chess mate

## Bidirectional search

If a problem allows for both forward and backward search:  
*We start from the initial state in forward and from the goal state in backward, trying to meet “in the middle”*



## Properties of bidirectional search

**Time**  $O(b^{d/2})$

**Space**  $O(b^{d/2})$

**Completeness** ed **Optimality** depend on the techniques chosen for the search

Problems:

- check the meet nodes  $O(b^{d/2})$
- goal states implicitly defined

## Summary of algorithms

Crit	Breadth- First	Uniform- Cost	Depth- First	Depth- Limited	Iter Deep	Bid (if app)
Time	$b^d$	$b^d$	$b^m$	$b^l$	$b^d$	$b^{d/2}$
Space	$b^d$	$b^d$	$bm$	$bl$	$bd$	$b^{d/2}$
Optim	Yes	Yes	No	No	Yes	Yes
Compl	Yes	Yes	No	Yes, if $l \geq d$	Yes	Yes

$b$  is the branching factor (finite);

$d$  is the depth of the solution;

$m$  is the maximum depth of the search tree;

$l$  is the depth limit.

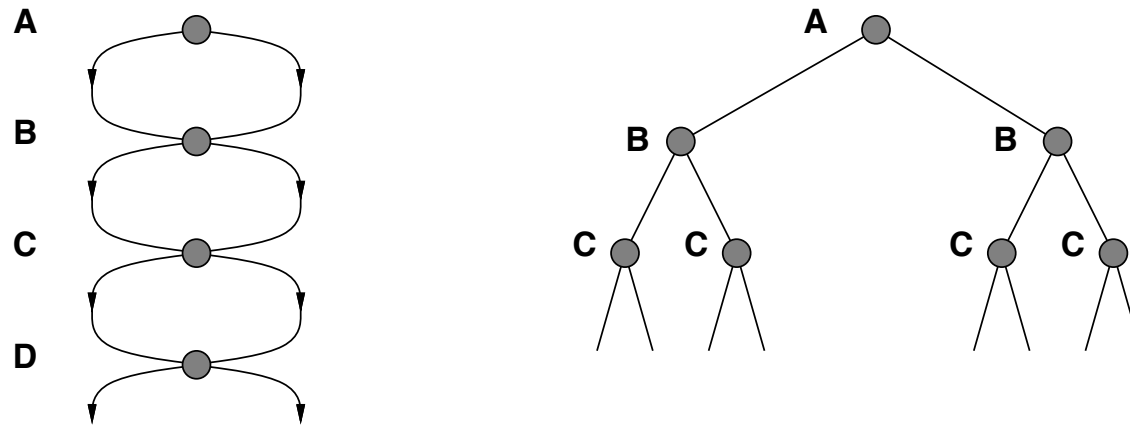
## State repetition

- Avoid cyclic paths. The expansion step (or the set of operators) must avoid to generate successors that coincide with any ancestor.
- Avoid the generation of already generated states. Every state must be recorded in memory: space complexity  $O(b^d)$  ( $O(s)$ , where  $s$  is the number of states). Hash tables.



# Repeated states

Failure to detect repeated states can turn a linear problem into an exponential one!



**function** GRAPH-SEARCH( *problem*, *fringe*) **returns** a solution, or failure

*closed*  $\leftarrow$  an empty set

*fringe*  $\leftarrow$  INSERT(MAKE-NODE(INIT-STATE[*problem*]),  
*fringe*)

**loop do**

**if** *fringe* is empty **then return** failure

*node*  $\leftarrow$  REMOVE-FRONT(*fringe*)

**if** GOAL-TEST[*problem*](STATE[*node*])

**then return** *node*

**if** STATE[*node*] is not in *closed* **then**

add STATE[*node*] to *closed*

*fringe*  $\leftarrow$  INSERTALL(EXPAND(*node*, *problem*),  
*fringe*)

**end**

## Summarizing

Problem formulation must lead to a search space that can be systematically explored by applying suitable operators

Abstraction is essential in problem formulation

There are several uninformed search strategies

Iterative deepening search uses linear space  
and not much more time than other blind methods

Informed search allows to solve only small size problems

## Missionaries and Cannibals

“Suppose you have a raw boat”     Robot ?

3 cannibals and 3 missionaries must cross a river with a small boat that can hold 2 passengers at most. The number of missionaries must always be more or equal wrt the number of cannibals, otherwise they are eaten by the cannibals.

How can the missionaries cross the river without being eaten?