

## Week 5 and Week 6

首先是提出算法实现前的一些铺垫，主要包括以下知识：

1. *Top-Down and Bottom-Up*

2. *Shift-Reduce Parsing*

3. 一些 *notation* 为了算法实现做铺垫

### 1. Top-Down and Bottom-Up

Top-Down 对应先前讲的 leftmost，而 Bottom-Up 则对应先前讲的 rightmost，Week 4 是从易于理解的角度讲解，而本周则是从实现的角度讲解。主要涉及到了如何 shift，如何 reduce，以及如何生成树，但是没有讲什么时候。

### 2. Shift-Reduce Parsing

基于 Top-Down and Bottom-Up 引出的语法解析实现的简单模型，初步引入了一些 notation，如

- Split string into two substrings:  $\alpha \bullet \beta$ 
  - where  $\alpha \in (N \cup T)^*$  and  $\beta \in T^*$
  - Right sub-string is not examined yet; has only terminals
  - Left sub-string has terminals and non-terminals
- The dividing point is marked by a  $\bullet$ 
  - $\bullet$  is not a part of the string
- Initially, all input is unexamined  $\bullet x_1 x_2 \dots x_n$

主要解决了在哪reduce的问题

- Left part of the string is implemented by a stack
  - Top of the stack is left of the •
- Shift pushes a terminal on the stack
- Reduce
  - Pops 0 or more symbols off of the stack (rhs of one rule from the CFG)
  - Pushes a non-terminal on the stack (lhs of one rule from the CFG)

期间讲了一个插曲，也就是冲突（conflict）的解决方法：

一个为：shift和reduce的冲突，可被precedence and associativity declaration修复，类似于leftmost 或者rightmost，如加法和乘法的优先级。

另一个：reduce-reduce conflict，不知道该选择哪一个规则，说明：There is ambiguity in the grammar，Might be fixed by additional lookahead，这个类似于两个规则冲突

### 3.When to shift/reduce?

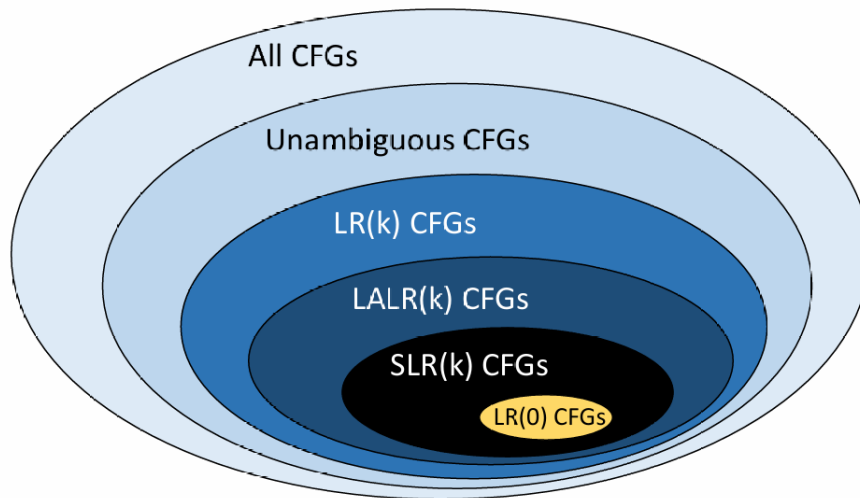
接着讲什么时候解决冲突，主要引入了prefix and handle

## Viable Prefix and Handle

- Intuition: reduce only if we can eventually reach the start symbol
- Assume a rightmost derivation
  - $S \Rightarrow^* \alpha X \beta \Rightarrow \alpha w \beta$   
← reduction
- Then  $\alpha w$  is a **viable prefix** of  $\alpha w \beta$ 
  - A handle  $w$  is valid if we can reduce  $w$  to  $X$
  - We only reduce a **handle**
- A **handle** *always* appears on **top of the stack**, never inside

然后将了语法规范（specific）和parser的关系，核心在这张图：基本观点是，规范越简单解析器越简单，解析器无法准确识别所用的上下文无关语法（CFG），解析器的实现也需要效率和功能的trade-off

# Hierarchy of grammars



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## 3. When to shift/reduce? realize

### algorithm 1 (LR0)

关键在于构造 *action* 和 *goto* 两张表格

构造上述两张表格需要知道 *Configuration set*

构造 *configuration set* 需要知道如何计算 *Closure property*

- *action* 和 *goto* 表格的状态转移可看下表:

只需要注意一点的就是其状态转移只和 *stack* 头的元素有关，当弹出元素之后的状态转移也是如此

## Trace “(id)\*id”

Productions	
1	$T \rightarrow F$
2	$T \rightarrow T * F$
3	$F \rightarrow id$
4	$F \rightarrow (T)$

0 is the start state

	*	(	)	id	\$	T	F
0		S5		S8		2	1
1	R1	R1	R1	R1	R1		
2	S3				A		
3		S5		S8			4
4	R2	R2	R2	R2	R2		
5		S5		S8		6	1
6	S3		S7				
7	R4	R4	R4	R4	R4		
8	R3	R3	R3	R3	R3		

Stack	Input	Action/Goto
0	( id ) * id \$	Shift S5
0 5	id ) * id \$	Shift S8
0 5 8	) * id \$	Reduce 3 $F \rightarrow id$ , pop 8, goto [5,F]=1
0 5 1	) * id \$	Reduce 1 $T \rightarrow F$ , pop 1, goto [5,T]=6
0 5 6	) * id \$	Shift S7
0 5 6 7	* id \$	Reduce 4 $F \rightarrow (T)$ , pop 7 6 5, goto [0,F]=1
0 1	* id \$	Reduce 1 $T \rightarrow F$ pop 1, goto [0,T]=2

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- closure的构造

把所有的非终结符递归的转换即可

Example:  $I = \text{closure}(S' \rightarrow \bullet T)$

$S' \rightarrow \bullet T$

$T \rightarrow \bullet T * F$

$T \rightarrow \bullet F$

$F \rightarrow \bullet id$

$F \rightarrow \bullet ( T )$

$S' \rightarrow T$ $T \rightarrow F \mid T * F$ $F \rightarrow id \mid ( T )$
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- Successor(I, X)的构造

类似于状态转移后的状态的构造，状态转移需要移动圆点

## Successor Example

$I = \{S' \rightarrow \bullet T,$   
 $T \rightarrow \bullet F,$   
 $T \rightarrow \bullet T * F,$   
 $F \rightarrow \bullet id,$   
 $F \rightarrow \bullet (T)\}$

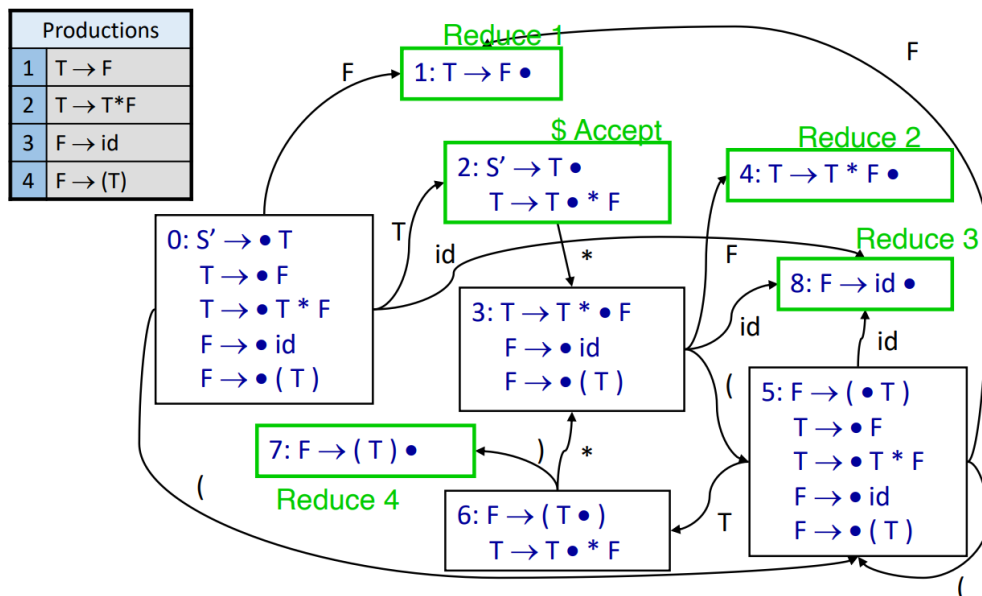
$S' \rightarrow T$   
 $T \rightarrow F \mid T * F$   
 $F \rightarrow id \mid (T)$

Compute Successor( $I, '('$ )

$\{F \rightarrow (\bullet T), T \rightarrow \bullet F, T \rightarrow \bullet T * F,$   
 $F \rightarrow \bullet id, F \rightarrow \bullet (T)\}$

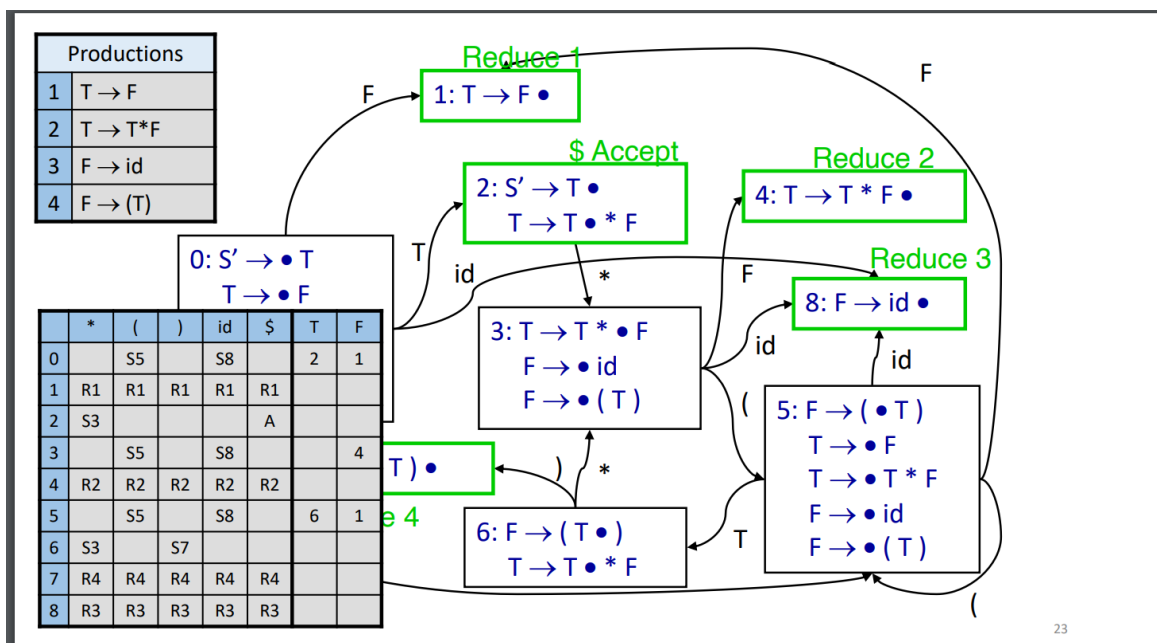
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- configuration set的构造



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- action和goto的表格获得



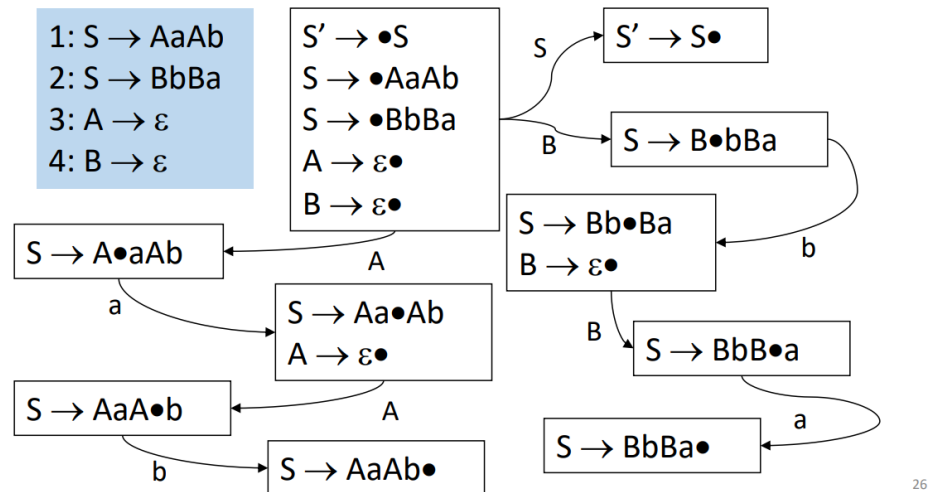
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- 需要注意的地方

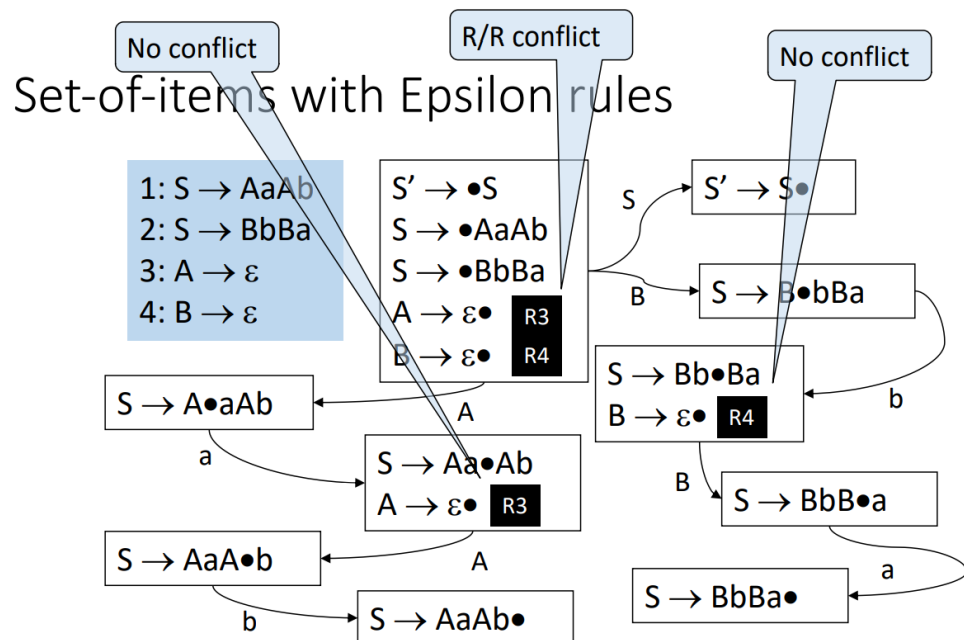
1. 状态不要重复，状态也不能少
2. 若出现冲突说明 $grammar$ 定义的不好

如此例子：则出现了reduce和shift冲突和reduce和reduce冲突，注意有的并非冲突，可以一个状态多次操作

## Set-of-items with Epsilon rules



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