

## Data Structures for Dictionaries: Hash Tables

**Problem 1:** Read a grade file, where grades are integers between 0 to 99. Keep track of number of occurrences of each grade.

**Fastest Solution:** Create an array  $T$  of size 100.  $T[i]$  stores the number of occurrences of grade  $i$ .

**Problem 2:** Read a data file, keep track of number of occurrences of each integer value (from 0 to  $2^{32} - 1$ ).

**Fastest Solution:** Create an array of size  $2^{32}$ , as above.

**Wasteful** use of memory, especially when data are files relatively small.

**Problem 3:** Read a text file, keep track of number of occurrences of each word.

Cannot use **keys as indices** anymore!

1. We need to be able to convert any type of key to an integer.
2. We need to map the universe of keys into a small number of slots.

研究：

- ➔ 增（插入 insert）
- ➔ 删（delete）
- ➔ 查（寻址/search）

List 是 ADT ADT，其两种基本的实现方式有 array 数组，linkedlist 链表

array[4]=60, 4 是 index 索引，60 是其对应的数据、数值、value  
index 索引是提前设置好的

HashTable 基本原理包含了 array，随机顺序访问数据/查询，**访问查询速度最快**，快速根据 key(index 索引)  $O(1)$

Array 是简单的 HashTable

LinkedList 链式一般是从第一个进行 traverse， $O(n)$

数据源 (file)– 存储(array)

将数据源里的 type of key 转换成 integer（通过 hash function 转换成具有整数性质的散列值），引出 Hash Function（universe of keys

- ➔ slots，slot 里面即每个槽位里存的还是原关键字 key）

**Hash Table（散列表/哈希表）：元素，关键字（key），散列值（h(k)）**

承载因子（load factor）一般是 0.75

目标：使数据尽可能均匀放在 slots 里，ensure uniform

### Expected Run Time in a Successful Search (under SUHA):

That is  $k$  is a key that exists in the hash table.

Let  $k_1, k_2, k_3, \dots, k_n$  be the order of insertion into the hash table.

$k$  could be  $k_1$ , or  $k_2$ , or  $k_3$ , or ..., or  $k_n$ .

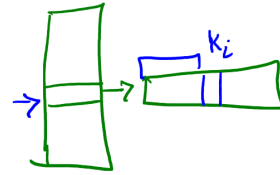
The probability that  $k$  is  $k_i$  ( $1 \leq i \leq n$ ) is:  $\frac{1}{n}$

So the expected number of steps to find  $k$  is the sum over:

the probability that  $k$  is  $k_i$ , times the number of steps required to find  $k_i$

$$\begin{aligned} E[t_{m,n}(k)] &= \frac{1}{n} \times S_1 + \frac{1}{n} \times S_2 + \frac{1}{n} \times S_3 + \dots + \frac{1}{n} \times S_n \\ &= \frac{1}{n} \sum_{i=1}^n S_i \end{aligned}$$

$S_i$  denotes the expected number of steps to find  $k_i$ .



$S_i$  = expected number of steps to find  $k_i$

. = number of elements examined during search for  $k_i$

. = 1+ number of elements **before**  $k_i$  in the linked list stored at  $h(k_i)$

. = 1+ number of keys that hash samely as  $k_i$  and are inserted after  $k_i$ .

注意：链式插入中的插入和搜索的顺序（不同）

“在对元素  $x$  的一次成功查找中，所检查的元素就是  $x$  所在的链表中  $x$  前面的元素数多 1。在该链表中因为，新的元素都是在表头插入的，所以出现在  $x$  之前的元素都是  $x$  之后插入的”

chaining search avg - successful case.

$$E[t_{m,n}(k)] = \frac{1}{n} \sum_{i=1}^n S_i$$

$$= \frac{1}{n} \left[ 1 + \sum_{j=i+1}^n E[X_{i,j}] \right]$$

$$= \frac{1}{n} \left[ 1 + \sum_{j=i+1}^n \left( \frac{1}{m} \right) \right] \rightarrow k_i \text{ slot 目前}$$

$$= \frac{1}{n} \left[ n + \sum_{i=1}^n \frac{n-i+1}{m} \right] \quad \# \text{ slot for probability}$$

$$= \frac{1}{n} \left[ n + \frac{n}{m} + \frac{n}{m} \cdot n - \frac{n}{m} - \frac{(n+1)n}{2m} \right]$$

$$= 1 + \frac{n}{m} - \frac{1}{m} - \frac{(n+1)}{2m}$$

$$= 1 + \frac{n}{2m} - \frac{1}{2m} \in \Theta(1 + \frac{n}{2m})$$

Search 的方向  $\rightarrow \rightarrow \rightarrow$   
但并非 insert 的方向

insert 的方向  $\leftarrow \leftarrow \leftarrow$

first (key) element.

second element.

third element.

表视为 linked list 链表的形式:

first second third  $j=i+1$