



# CS 4104

## APPLIED MACHINE LEARNING

**Dr. Hashim Yasin**

**National University of Computer  
and Emerging Sciences,  
Faisalabad, Pakistan.**

# CONVOLUTIONAL NEURAL NETWORK



# Why CNN

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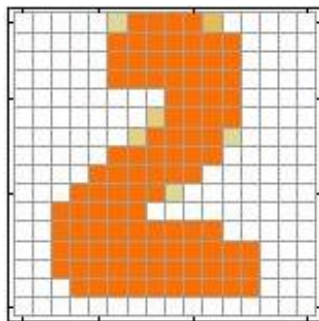
## Image Classification



64x64x3



Cat? (0/1)



16 x 16 = 256

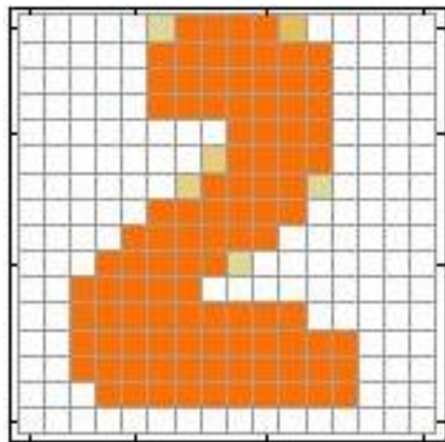
Text  
detection

## Object detection



# Why CNN

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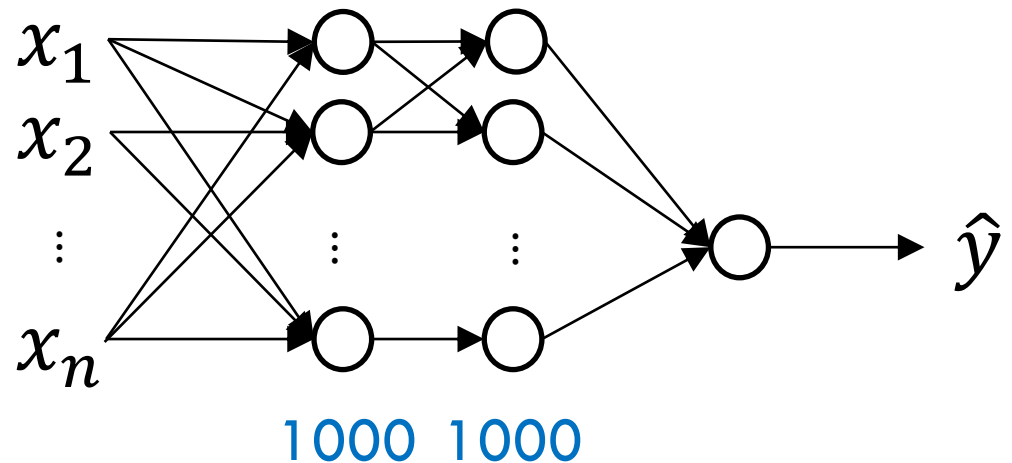
$16 \times 16 = 256$

Ink  $\rightarrow 1$

No ink  $\rightarrow 0$



Total weights = ?



# Why CNN

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## Image Classification



64x64x3

→ Cat? (0/1)



1000x1000x3

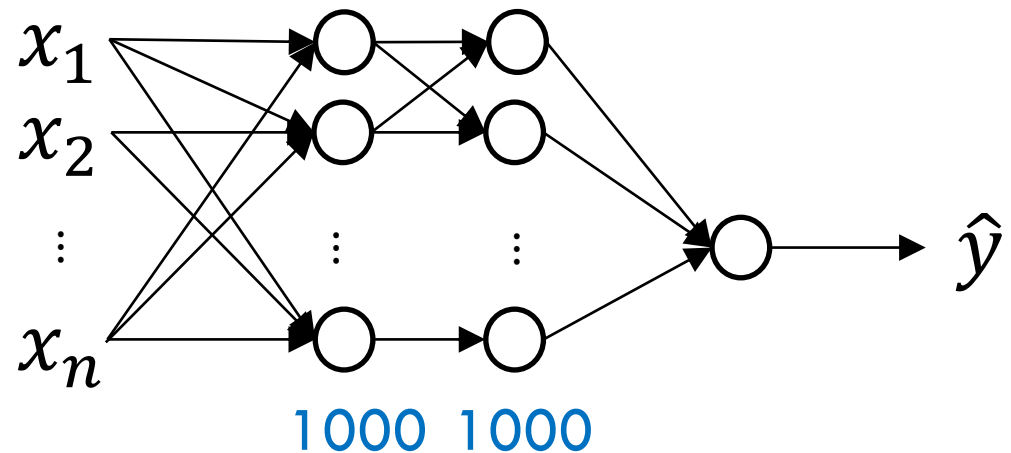
# Why CNN

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## Image Classification



1000x1000x3



Total weights = ?

# CNN

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- Neural Networks that use convolution in place of general matrix multiplication in at least one layer
- There are three types of layers in the convolutional network,
  - **Convolution layer (Conv)**
  - **Pooling layer (Pool)**
  - **Fully connected layer (FC)**

# Cross-correlation

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- ❑ Let  $f$  be the image,
- ❑  $w$  be the kernel of size  $m \times n$ 
  - where  $m = 2a + 1$  and  $n = 2b + 1$ ,  $a$  and  $b$  are the positive integers.
- ❑  $g$  be the output image

$$g(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x + s, y + t)$$

This is called a **cross-correlation** operation:

$$g = w \otimes f$$



# Cross-correlation

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$$g(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x + s, y + t)$$

At any point  $(x, y)$ , the response  $g(x, y)$  of the filter is the sum of product of filter coefficient and the image pixels

$$\begin{aligned} g(x, y) = & w(-1, -1) f(x - 1, y - 1) + \\ & w(-1, 0) f(x - 1, y) + \dots \\ & w(0, 0) f(x, y) + \dots \\ & w(1, 1) f(x + 1, y + 1) \end{aligned}$$

# Convolution

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- Same as cross-correlation, except that the kernel is “*flipped*” (horizontally and vertically)

$$g(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x - s, y - t)$$

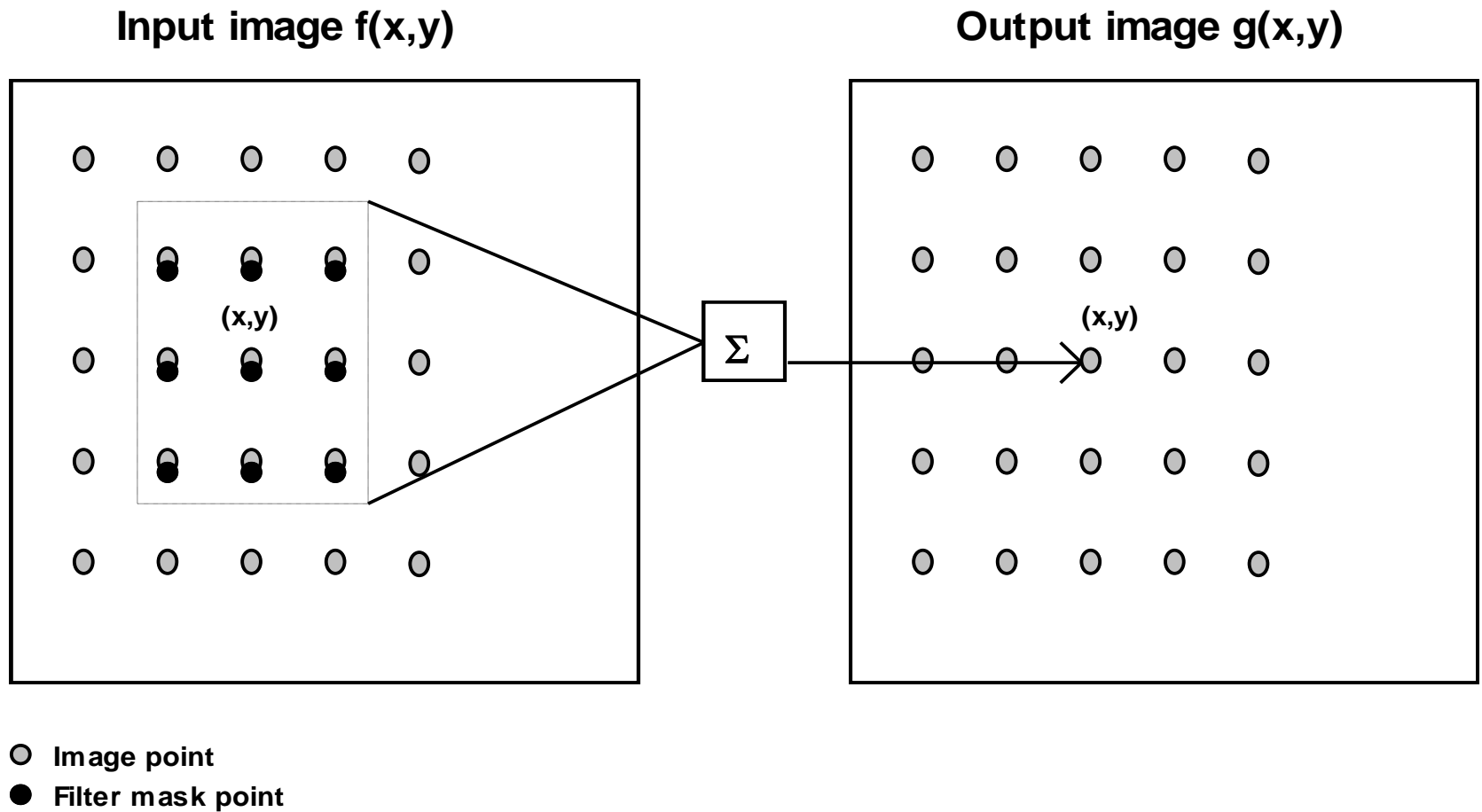
This is called a **convolution** operation:

$$g = w * f$$

- Convolution is **commutative** and **associative**

# 2D Spatial filtering

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# 2D Spatial filtering

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10	11	10	0	0	1
9	10	11	1	0	1
10	9	10	0	2	1
11	10	9	10	9	11
9	10	11	9	99	11
10	9	9	11	10	10

F

1/9

H

1	1	1
1	1	1
1	1	1

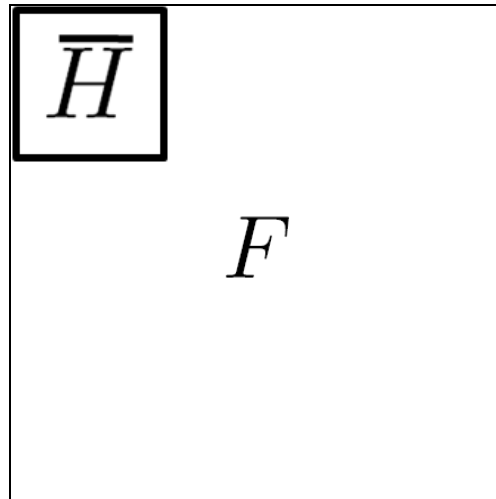
X	X	X	X	X	X
X	10				X
X					X
X					X
X					X
X	X	X	X	X	X

G

$$1/9.(10 \times 1 + 11 \times 1 + 10 \times 1 + 9 \times 1 + 10 \times 1 + 11 \times 1 + 10 \times 1 + 9 \times 1 + 10 \times 1) = 1/9.(90) = 10$$

# Convolution

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# Convolution Examples-Mean filtering

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$$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} * \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 90 & 90 & 90 & 90 & 90 & 0 & 0 \\ 0 & 0 & 0 & 90 & 90 & 90 & 90 & 90 & 0 & 0 \\ 0 & 0 & 0 & 90 & 90 & 90 & 90 & 90 & 0 & 0 \\ 0 & 0 & 0 & 90 & 0 & 90 & 90 & 90 & 0 & 0 \\ 0 & 0 & 0 & 90 & 90 & 90 & 90 & 90 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 90 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} & & & & & & & & & \\ & 0 & 10 & 20 & 30 & 30 & 30 & 20 & 10 & \\ & 0 & 20 & 40 & 60 & 60 & 60 & 40 & 20 & \\ & 0 & 30 & 60 & 90 & 90 & 90 & 60 & 30 & \\ & 0 & 30 & 50 & 80 & 80 & 90 & 60 & 30 & \\ & 0 & 30 & 50 & 80 & 80 & 90 & 60 & 30 & \\ & 0 & 20 & 30 & 50 & 50 & 60 & 40 & 20 & \\ & 10 & 20 & 30 & 30 & 30 & 30 & 20 & 10 & \\ & 10 & 10 & 10 & 0 & 0 & 0 & 0 & 0 & \\ & & & & & & & & & \end{bmatrix}$$

$w \quad * \quad f \quad = \quad g$

# Linear filters: examples

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Original



0	0	0
0	1	0
0	0	0



Identical image

Source: D. Lowe

# Linear filters: examples

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Original



0	0	0
1	0	0
0	0	0



Shifted left  
By 1 pixel

Source: D. Lowe



# Smoothing Spatial Filters

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 $\frac{1}{9} \times$ 

1	1	1
1	1	1
1	1	1

**Box Filter**

 $\frac{1}{16} \times$ 

1	2	1
2	4	2
1	2	1

**Weighted Average**

the center is the most important and other pixels are inversely weighted as a function of their distance from the center of the mask

# Linear filters: examples

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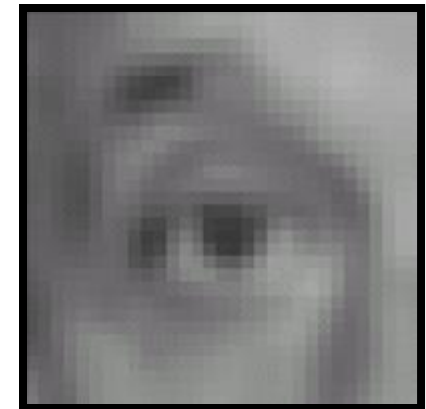


Original



$\frac{1}{9}$

1	1	1
1	1	1
1	1	1



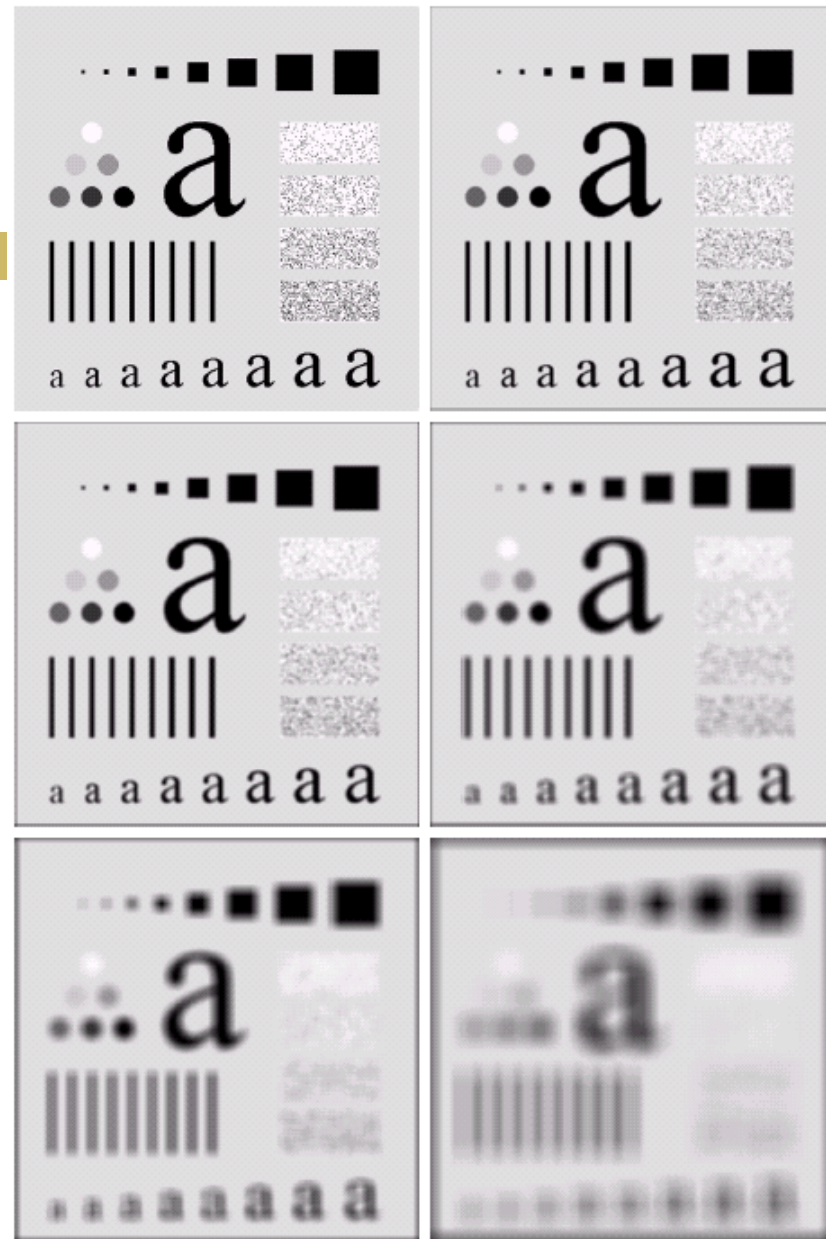
Blur (with a mean filter)

Source: D. Lowe

# Smoothing Filters

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- (a) is the original image of size 500x500 pixel
- (b)-(f) results of smoothing with square averaging filter masks of size  $n = 3, 5, 9, 15$  and  $35$ , respectively.
- Note:
  - The *big mask is used to eliminate small objects from an image.*
  - The *size of the mask establishes the relative size of the objects* that will be blended with the background.



# Gradient Operator

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- The first derivatives are implemented using the **magnitude of the gradient**

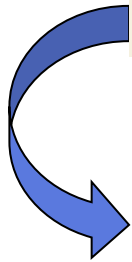
$$\nabla f = \begin{bmatrix} G_x \\ G_y \end{bmatrix} = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \end{bmatrix}$$

$$\begin{aligned} M(x, y) &= \text{mag}(\nabla f) = [G_x^2 + G_y^2]^{1/2} \\ &= \left[ \left( \frac{\partial f}{\partial x} \right)^2 + \left( \frac{\partial f}{\partial y} \right)^2 \right]^{1/2} \end{aligned}$$

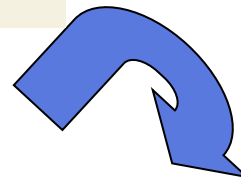
# Gradient Operator

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$$M(x, y) = \text{mag}(\nabla f) = [G_x^2 + G_y^2]^{1/2}$$
$$= \left[ \left( \frac{\partial f}{\partial x} \right)^2 + \left( \frac{\partial f}{\partial y} \right)^2 \right]^{1/2}$$



the magnitude  
becomes nonlinear



commonly approx.

$$M(x, y) \approx |G_x| + |G_y|$$

# Sobel Operators

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$z_1$	$z_2$	$z_3$
$z_4$	$z_5$	$z_6$
$z_7$	$z_8$	$z_9$

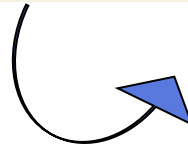
□ Sobel operators, 3x3

$$G_x = (z_7 + 2z_8 + z_9) - (z_1 + 2z_2 + z_3)$$

$$G_y = (z_3 + 2z_6 + z_9) - (z_1 + 2z_4 + z_7)$$

$$M(x, y) \approx |G_x| + |G_y|$$

the weight value 2 is to achieve smoothing by giving more important to the center point



-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

# Sobel Operators

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- The *summation of coefficients in all masks equals 0*, indicating that they would give a response of 0 in an area of constant gray level.

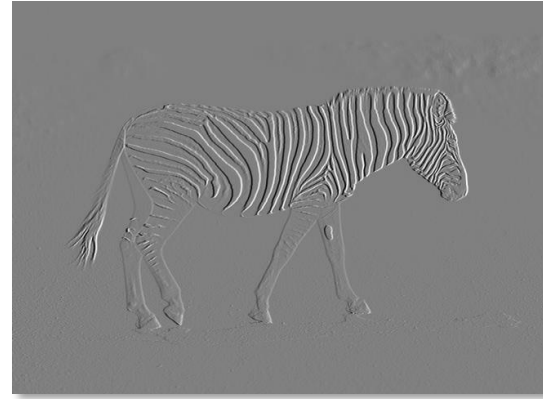
-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

# Image Derivatives

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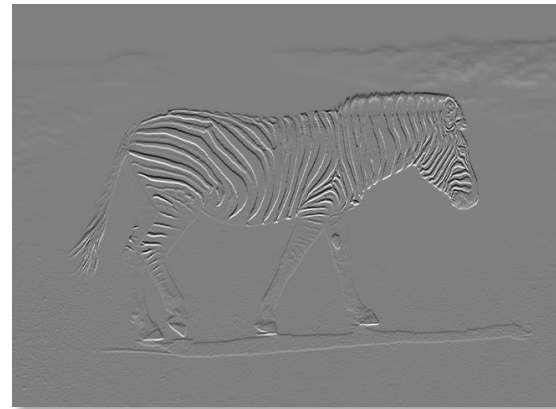
$f$



$\frac{\partial f}{\partial x}$



$$\|\nabla f\| = \sqrt{\left(\frac{\partial f}{\partial x}\right)^2 + \left(\frac{\partial f}{\partial y}\right)^2}$$

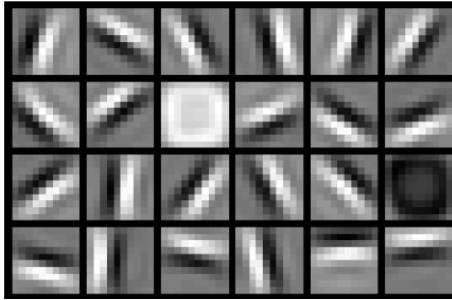


$\frac{\partial f}{\partial y}$

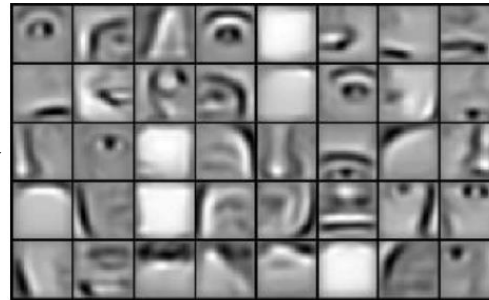


# CNN ... Convolution Layer

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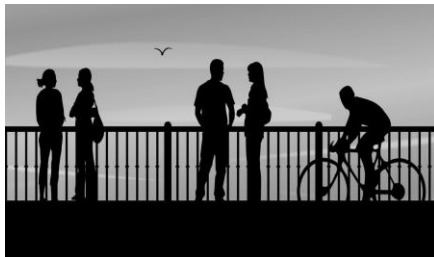
Edge detection in this layer



Features detection in this layer



Faces detection in this layer



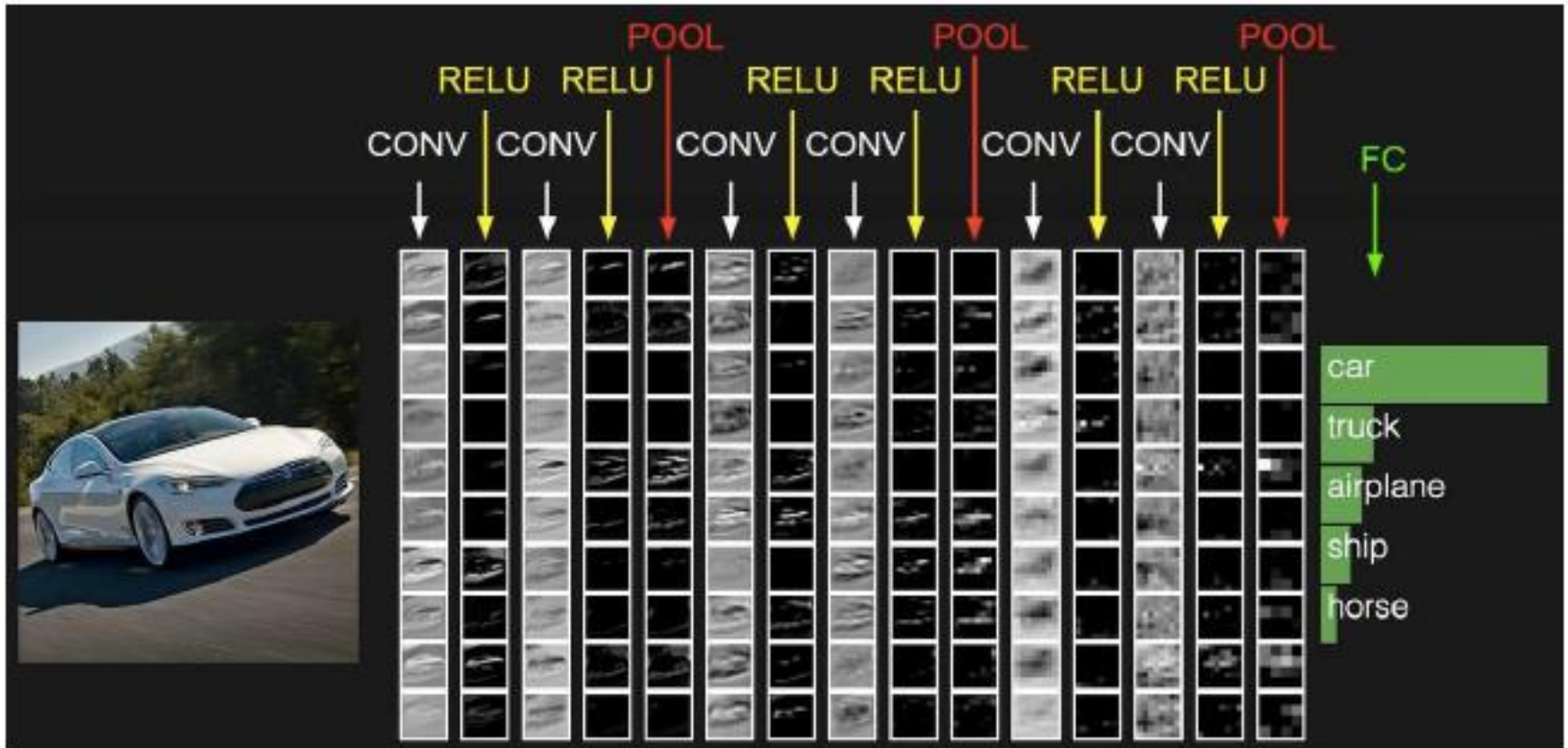
vertical edges



horizontal edges

# CNN ... Example

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# Acknowledgements

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