

# Theory of Automata

## Finite Automata with Output

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Week 7 Lecture 01

Week 7 Lecture 02

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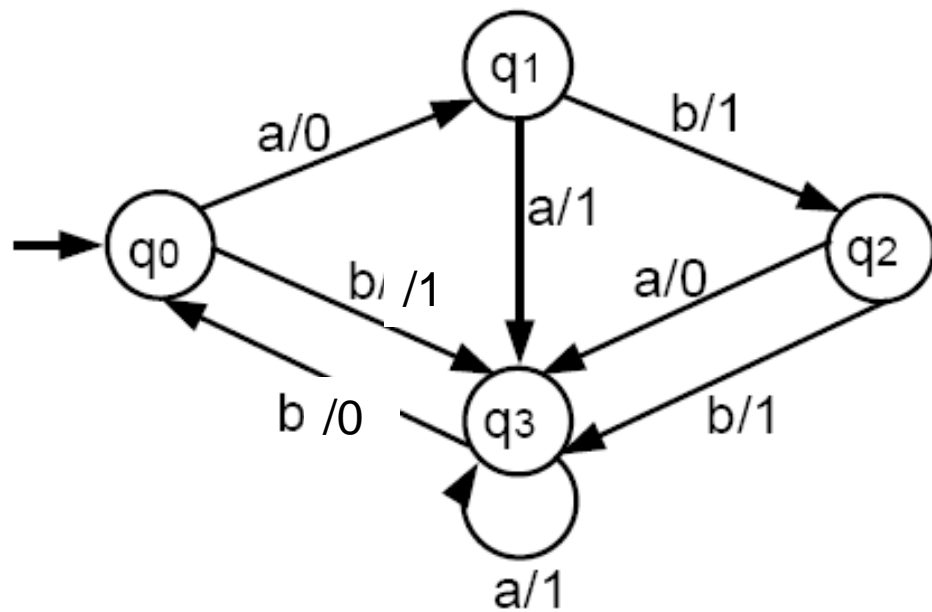
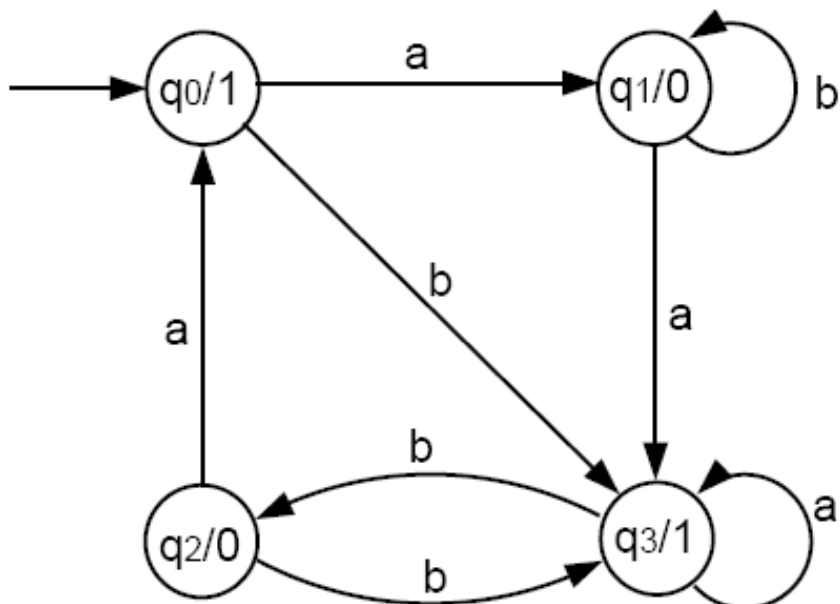
- Moore Machines
- Mealy Machines
- Moore = Mealy

***Both Machines are not for “Accepting” or “Rejecting” the Language but only “recognize” ‘trace’ the language or pattern***

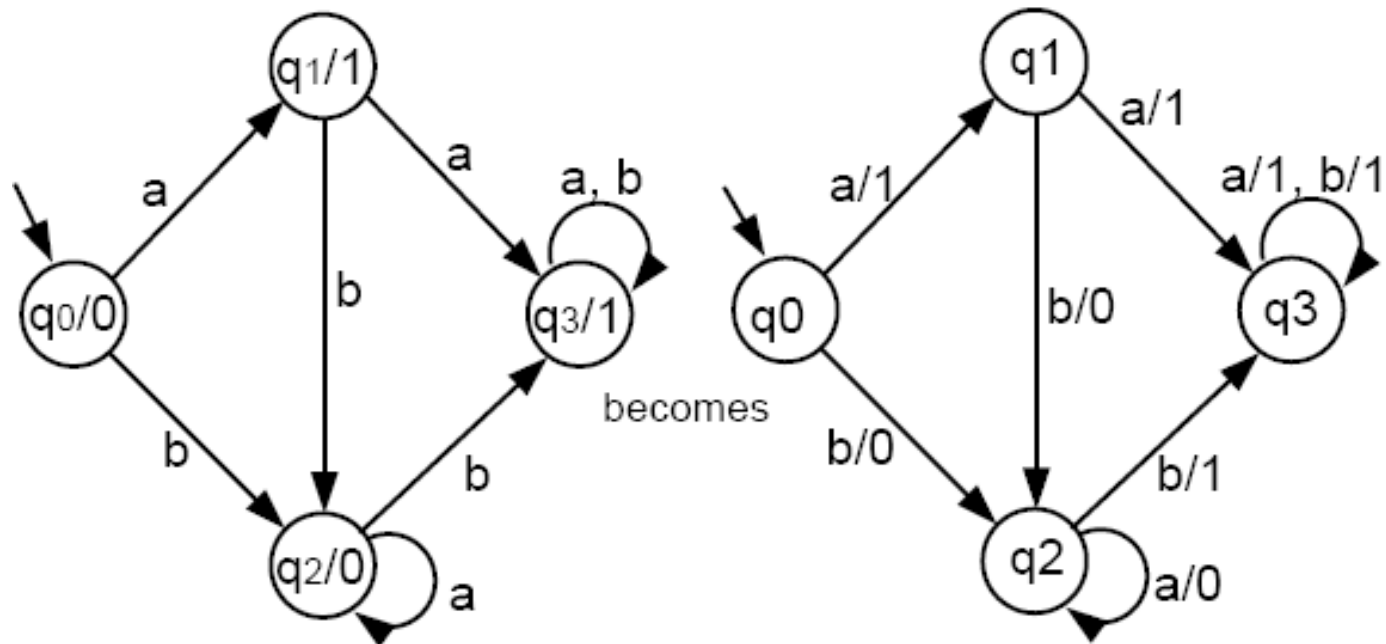
# Benefits

- What is we want to do following to the word
  - ❑ count the occurrence of a certain substring
  - ❑ get the incremented number
  - ❑ mark the locations of the substring in the word
  - ❑ take the complement of the number
  - ❑ Get the parity of the number
- *Final accepted/rejected may not be required*
- *Not limited by the size of the buffer if we want to wait for the final output*

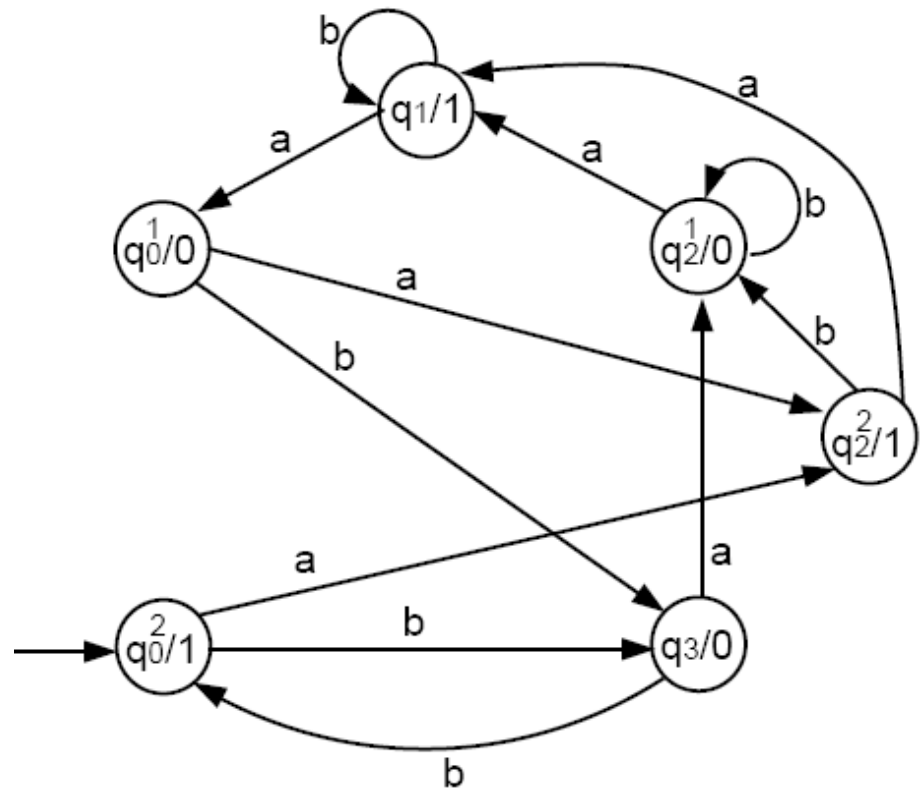
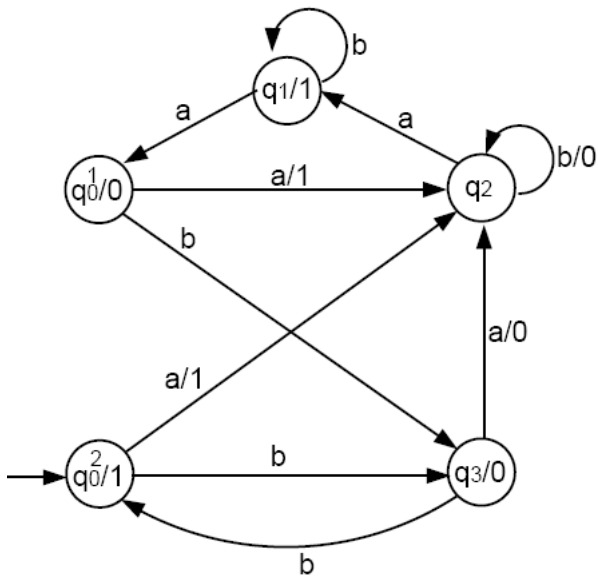
# Moore vs Mealy Machines



# Moore vs Mealy Machines



# Moore vs Mealy Machines



# Moore Machine Definition

**Moore machine** is a collection of five things:

1. A finite set of states  $q_0, q_1, q_2, \dots$ , where  $q_0$  is designated as the start state.
2. An alphabet of letters for forming the input string  $= \{a, b, c, \dots\}$ .
3. An alphabet of possible output characters  $\Gamma = \{0, 1, 2, \dots\}$ .
4. A transition table that shows for each state and each input letter what state is reached next.
5. An output table that shows what character from  $\Gamma$  is printed by each state as it is entered.

# Notes

- We did not assume that the input alphabet is the same as the output alphabet  $\Gamma$ .
- To keep the output alphabet separate from the input alphabet, we give it a different name  $\Gamma$  (instead of  $\Sigma$ ) and use number symbols  $\{0, 1, \dots\}$  (instead of  $\{a, b, \dots\}$ ).
- We refer to input symbols as **letters**, whereas we refer to output symbols as **characters**.
- We adopt the policy that a Moore machine always begins by printing the character dictated by the mandatory start state. So, if the input string has 7 letters, then the output string will have 8 characters, because it includes 8 states in its path.



# Notes Contd.

- A Moore machine does not define a language of accepted words, because there is no such thing as a final state.
- Every possible input string creates an output string. The processing is terminated when the last input letter is read and the last output character is printed.
- There are some subtle ways to turn Moore machines into language definers.

# Example: Moore machine defined by a table

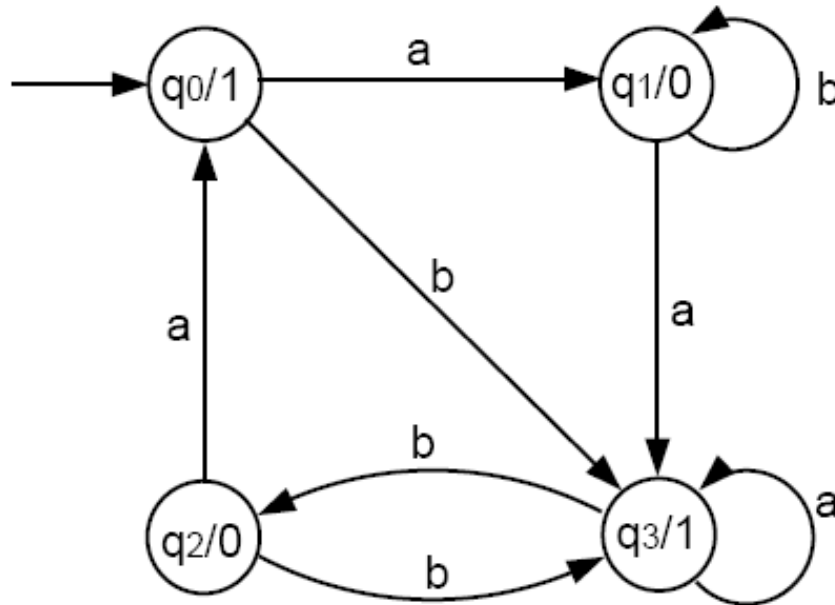
- Input alphabet:  $\Sigma = \{a, b\}$
- Output alphabet:  $\Gamma = \{0, 1\}$
- Names of states:  $q_0, q_1, q_2, q_3$  with  $q_0$  being the start state.
- Transition and output table (combined):

Old State	Output by Old State	New state after $a$	New state after $b$
$q_0$	1	$q_1$	$q_3$
$q_1$	0	$q_3$	$q_1$
$q_2$	0	$q_0$	$q_3$
$q_3$	1	$q_1$	$q_2$

# Pictorial Representation

- Moore machines have pictorial representations similar to FAs.
- The difference is that inside each state, in addition to the state name, we also specify the output character printed by that state, using the format *state – name/output*.
- Hence, the Moore machine in the above example has the following pictorial representation:

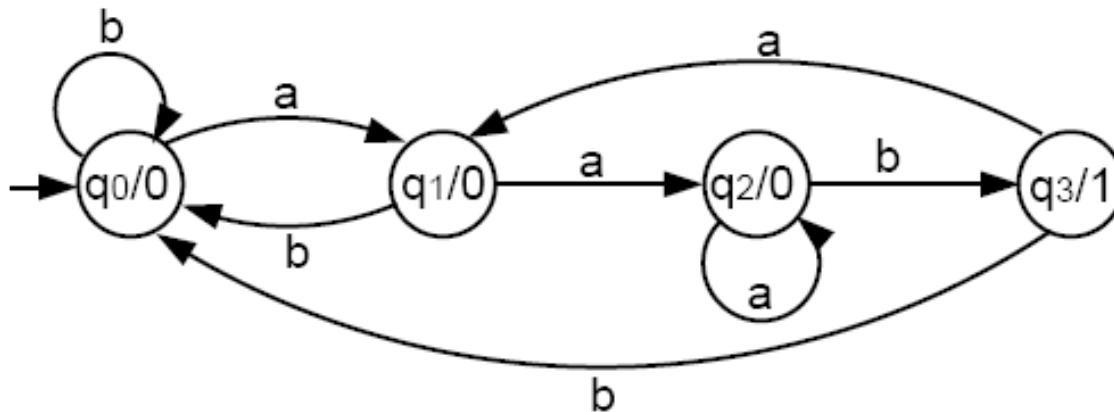
# Example



- We indicate the start state by an outside arrow since there is no room for the usual - sign.
- Given the input string *abab*, the output sequence is 10010.
- Note that the length of the output string is one longer than the length of the input string.

# Example

- Suppose we are interested in knowing exactly how many times the substring *aab* occurs in a long input string. The following Moore machine will count this for us:



- Every state of this machine prints out a 0, except for q3, which prints a 1.

# Example contd.

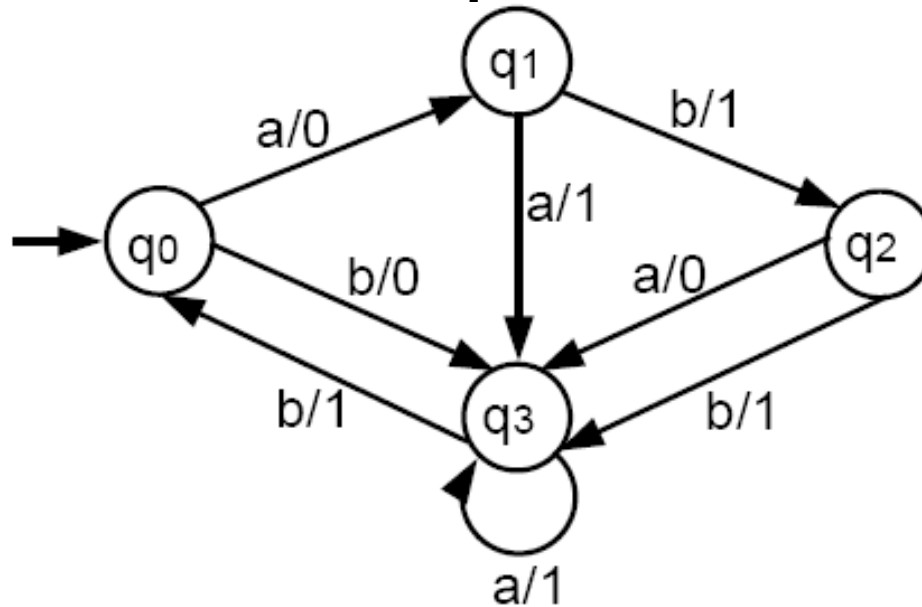
- To get to  $q_3$ , we must have come from  $q_2$  and have just read a b. To get to  $q_2$ , we must have read at least two a's in a row.
- After finding the substring *aab* and tallying a 1 for it, the machine looks for the next *aab*. Hence, the number of 1's in the output string is exactly the number of substrings *aab* in the input string.
- Consider an FA that accepts a language L:
  - If we add printing character 0 to any non-final state and 1 to each final state, then the 1's in any output string mark the end position of all substrings that are words in L.
  - In a similar way, a Moore machine can be said to *define* the language of all input strings whose output ends with a 1.
  - The Moore machine above with  $q_0 = -$  and  $q_3 = +$  accepts all words that end with aab.

# Mealy machine Definition

A **Mealy machine** is a collection of four things:

1. A finite set of states  $q_0, q_1, q_2, \dots$ , where  $q_0$  is designated as the start state.
2. An alphabet of letters for forming the input string  $\Sigma = \{a, b, \dots\}$ .
3. An alphabet of possible output characters  $\Gamma = \{0, 1, \dots\}$ .
4. A pictorial representation with states represented by small circles and directed edges indicating transition between states.
  - Each edge is labeled with a compound symbol of the form ***i/o*** where *i* is an input letter and *o* is an output character.
  - Every state must have exactly one outgoing edge for each possible input letter.
  - The edge we travel is determined by the input letter *i*. While traveling on the edge, we must print the output character *o*.

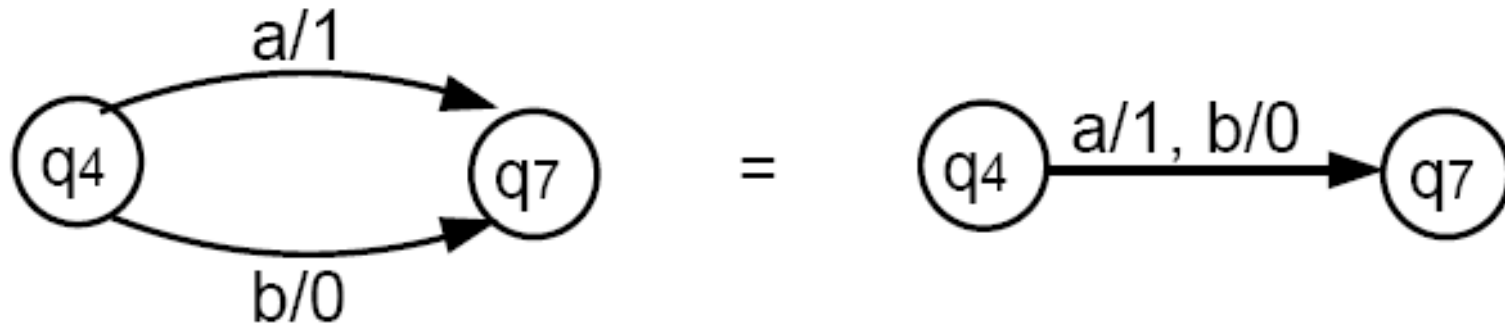
# Example



- Given the input string *aaabb*, the output is 01110.
- In a Mealy machine the output string has the same number of characters as the input string has letters.

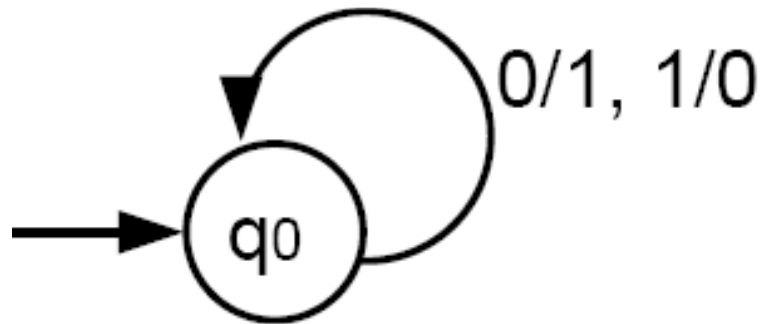


- A Mealy machine does not define a language by accepting and rejecting input strings: It has no final states.
- However, there is a sense in which a Mealy machine can recognize a language, as we will see later.
- Note the following notation simplification:



# Example

- The following Mealy machine prints out the 1's complement of an input bit string.
- This means that it will produce a bit string that has a 1 whenever the input string has a 0, and a 0 whenever the input has a 1.



- If the input string is 001010, the output will be 110101

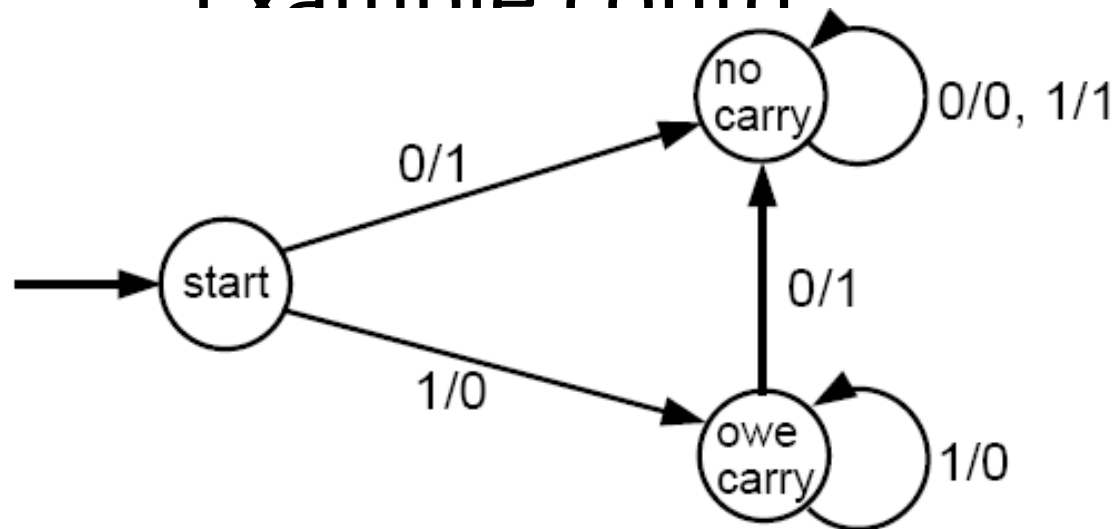
# Example

- Let consider a Mealy machine, called **increment machine**, which reads a binary number and prints out the binary number that is **one larger**.
- Assume that the input bit string is a binary number fed in backward; that is, unit digit first, then 2's digit, 4's digit, etc.
- The output string will be the binary number that is one greater and that is **generated right to left**.
- The machine will have 3 states: start, owe-carry and no-carry. The owe-carry state represents the overflow when two bits of 1's are added, we print a 0 and we carry a 1.

# Example contd.

- From the start state, if we read a 0, we print a 1 (incrementing process), and we go to the no-carry state. If we read a 1, we print a 0 (incrementing) and we go to the owe-carry state.
- At any point in the process, if we are in the no-carry state, we print the next bit just as we read it and remain in no-carry.
- However, if we are in the owe-carry state and read a 0, we print a 1 and go to no-carry. If we are in owe-carry and read a 1, we print a 0 and we loop back to owe-carry.

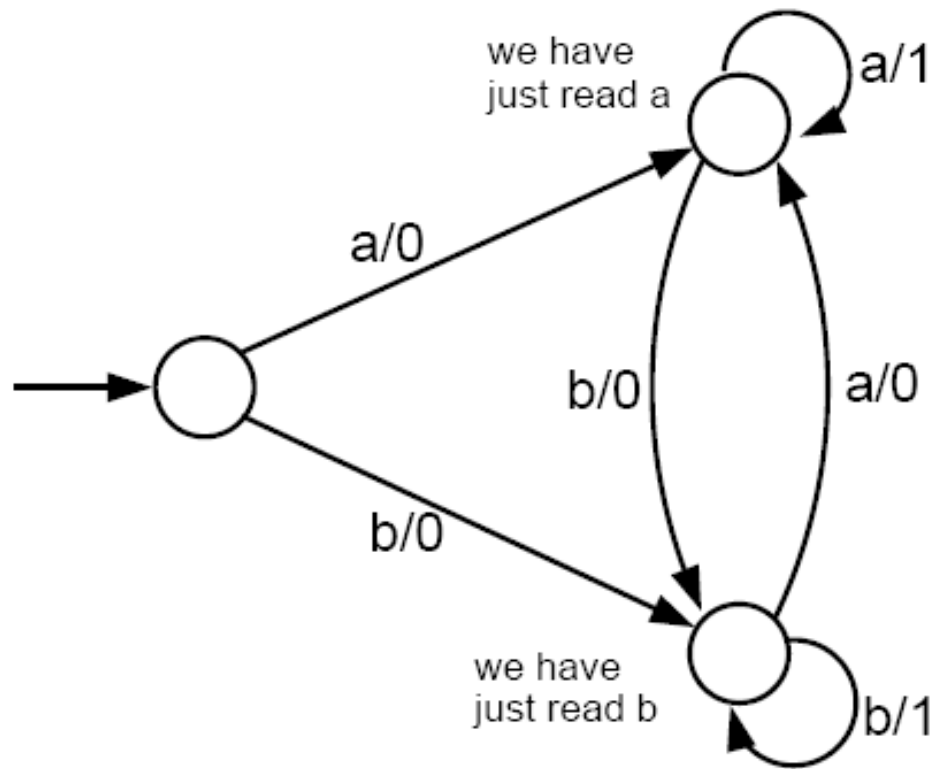
## Example contd



- Let the input string be 1011 (binary representation of 11).
- The string is fed into the machine as 1101 (backwards).
- The output will be 0011, which when reversed is 1100 and is the binary representation of 12.
- In Mealy machine, output length = input length. Hence, if input were 1111, then output would be 0000 (**overflow situation**).

# Example

- Although a Mealy machine does not accept or reject an input string, it can recognize a language by making its output string answer some question about the input.
- Consider the language of all words that have a double letter (*aa* or *bb*) in them.
- We can build a Mealy machine that can take an input string of a's and b's, and print out an output string of 0's and 1's such that **if the n-th output character is a 1, it means that the n-th input letter is the second letter in a pair of double letters.**
- The complete picture of this machine is as follows:



- If the input string is *ababbaab*, the output will be 00001010.
- This machine recognizes the occurrences of *aa* or *bb*.
- Note that the triple letter word *aaa* produces the output 011 since the second and third letters are both the back end of a pair of double a's.

# Moore = Mealy

- So far, we have defined that two machines are equivalent if they accept the same language.
- In this sense, we cannot compare a Mealy machine and a Moore machine because they are not language definers.

## Definition:

- Given the Mealy machine  $Me$  and the Moore machine  $Mo$  (which prints the automatic start state character  $x$ ), we say that these two machines are **equivalent** if for every input string, the output string from  $Mo$  is exactly  $x$  concatenated with the output string from  $Me$ .



# Theorem 8

**If  $M_o$  is a Moore machine, then there is a Mealy machine  $M_e$  that is equivalent to  $M_o$ .**

*Proof by constructive algorithm:*

- Consider a particular state in  $M_o$ , say state  $q_4$ , which prints a certain character, say  $t$ .
- Consider all the incoming edges to  $q_4$ . Suppose these edges are labeled with  $a, b, c, \dots$
- Let us re-label these edges as  $a/t, b/t, c/t, \dots$  and let us erase the  $t$  from inside the state  $q_4$ . This means that we shall be printing a  $t$  on the incoming edges before we enter  $q_4$ .

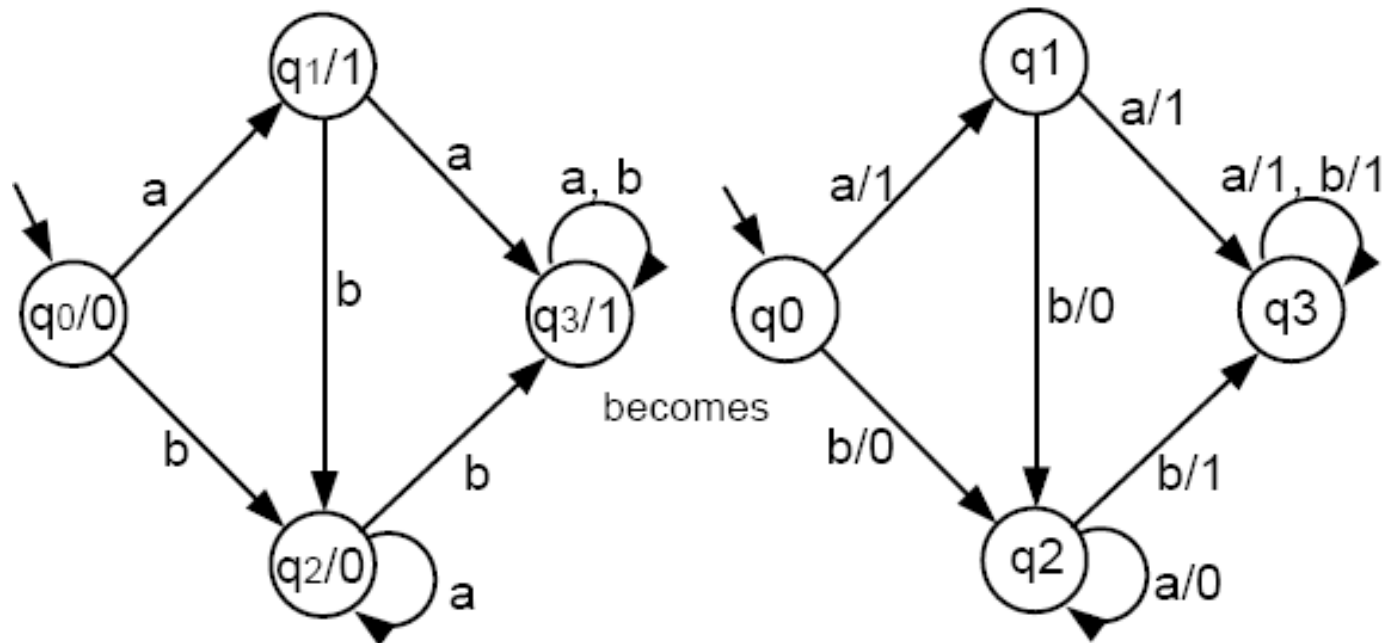
# Proof by constructive algorithm contd.



- We leave the outgoing edges from  $q_4$  alone. They will be relabeled to print the character associated with the state to which they lead.
- If we repeat this procedure for every state  $q_0, q_1, \dots$ , we turn  $Mo$  into its equivalent  $Me$ .

# Example

- Following the above algorithm, we convert a Moore machine into a Mealy machine as follows:

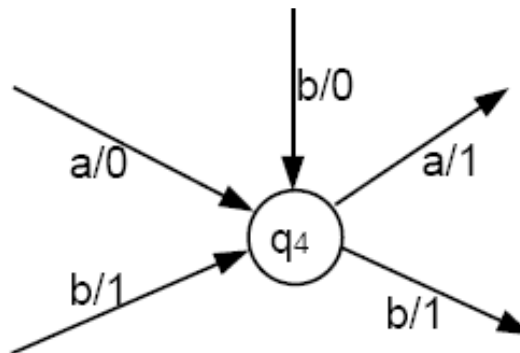


# Theorem 9

**For every Mealy machine  $M_e$ , there is a Moore machine  $M_o$  that is equivalent to it.**

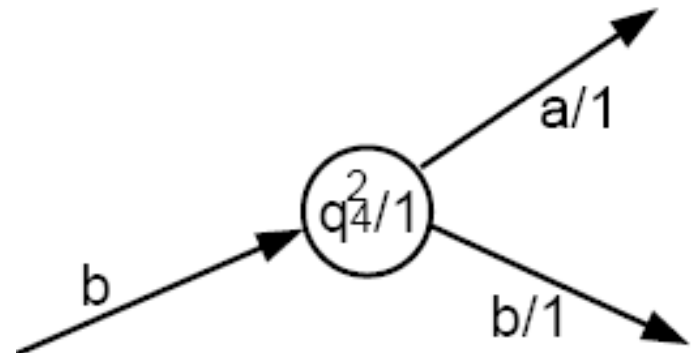
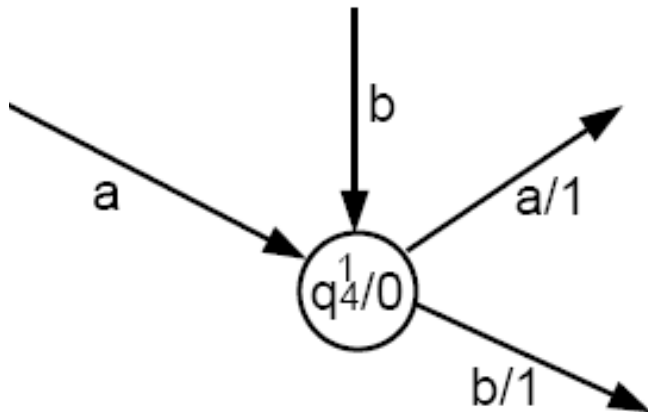
*Proof by constructive algorithm:*

- We cannot just do the reverse of the previous algorithm. If we try to push the printing instruction from the edge (as it is in  $M_e$ ) to the inside of the state (as it should be for  $M_o$ ), we may end up with a conflict: Two edges may come into the same state but have different printing instructions, as in this example:



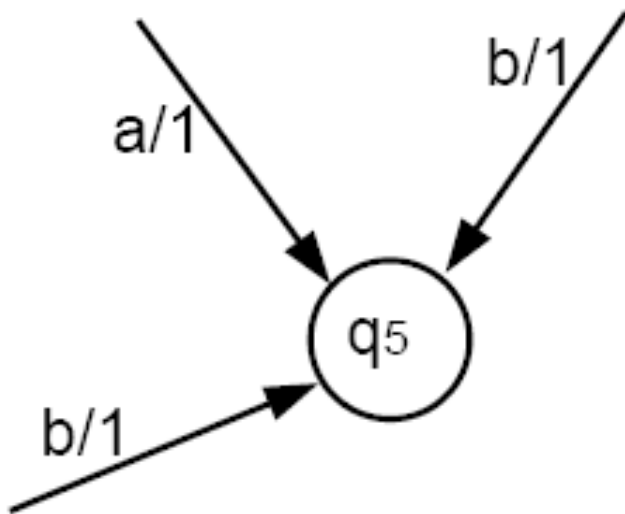
# Proof by constructive algorithm (cont.):

- What we need are two copies of  $q_4$ , one that prints a 0 (labeled as  $q_4^1/0$ ), and the other that prints a 1 (labeled as  $q_4^2/1$ ). Hence,
  - The edges  $a/0$  and  $b/0$  will go into  $q_4^1/0$ .
  - The edge  $b/1$  will go into  $q_4^2/1$ .
- The arrow coming out of each of these two copies must be the same as the edges coming out of  $q_4$  originally.

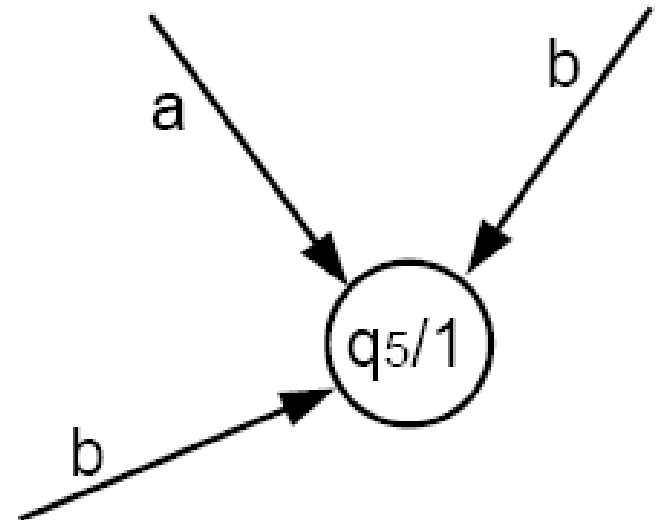


# Proof by constructive algorithm (cont.):

- If all the edges coming into a state have the same printing instruction, we simply push that printing instruction into the state.

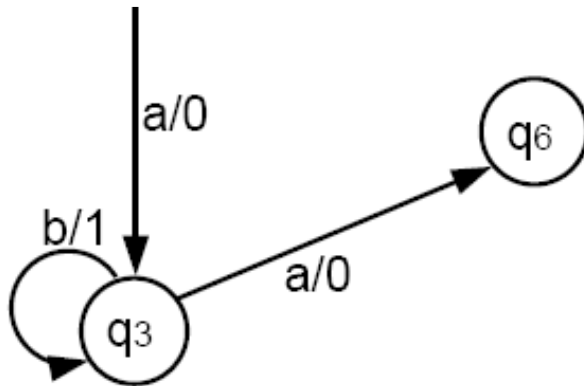


becomes

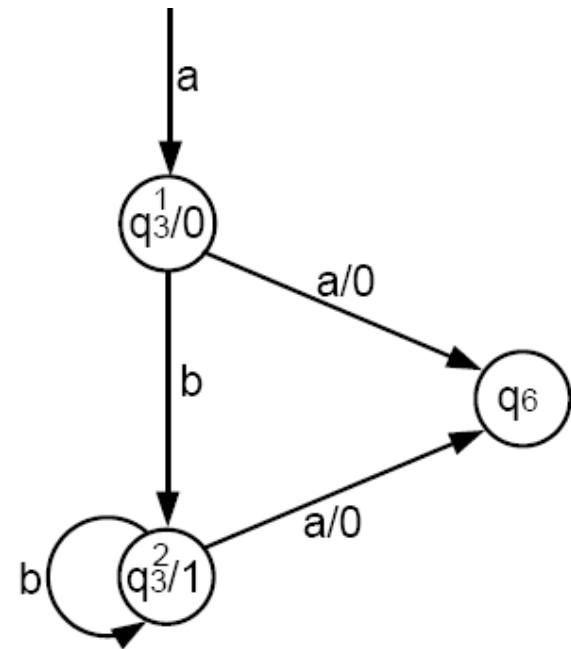


# Proof by constructive algorithm (cont.):

- An edge that was a loop in  $M_e$  may become two edges in  $M_o$ , one that is a loop and one that is not.



becomes



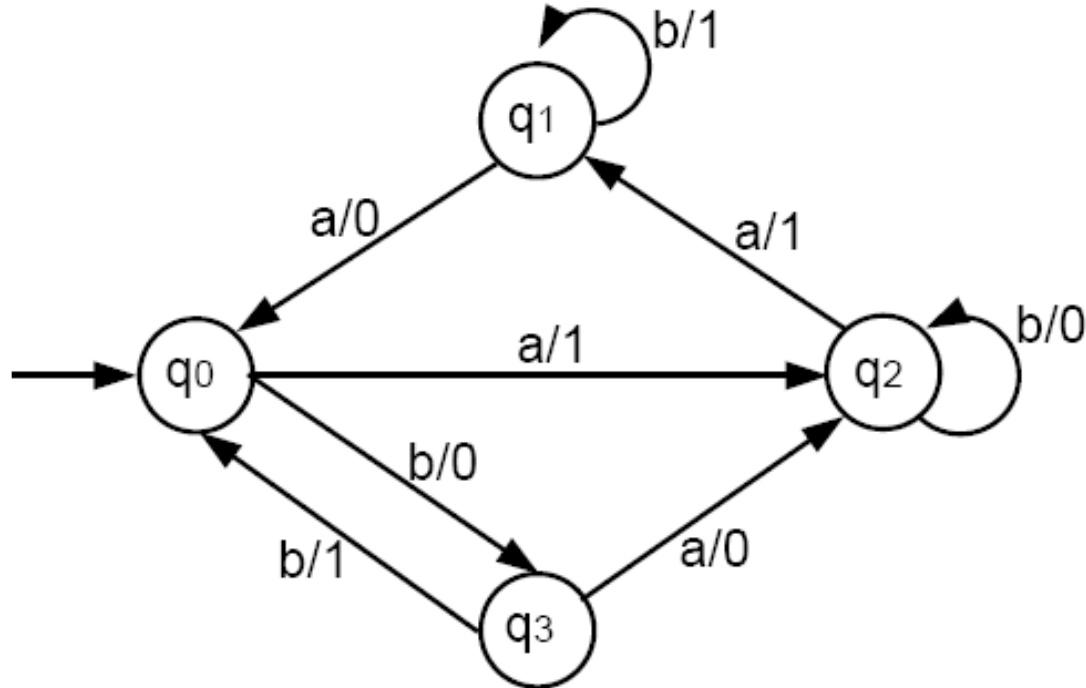
# Proof by constructive algorithm (cont.):

- If there is ever a state that has no incoming edges, we can assign it any printing instruction we want, even if this state is the start state.
- *If we have to make copies of the start state in  $M_e$ , we can let any of the copies be the start state in  $M_o$ , because they all give the identical directions for proceeding to other states.*
- Having a choice of start states means that the conversion of  $M_e$  into  $M_o$  is NOT unique.
- Repeating this process for each state of  $M_e$  will produce an equivalent  $M_o$ . The proof is completed.
- **Together, Theorems 8 and 9 allow us to say  $M_e = M_o$ .**



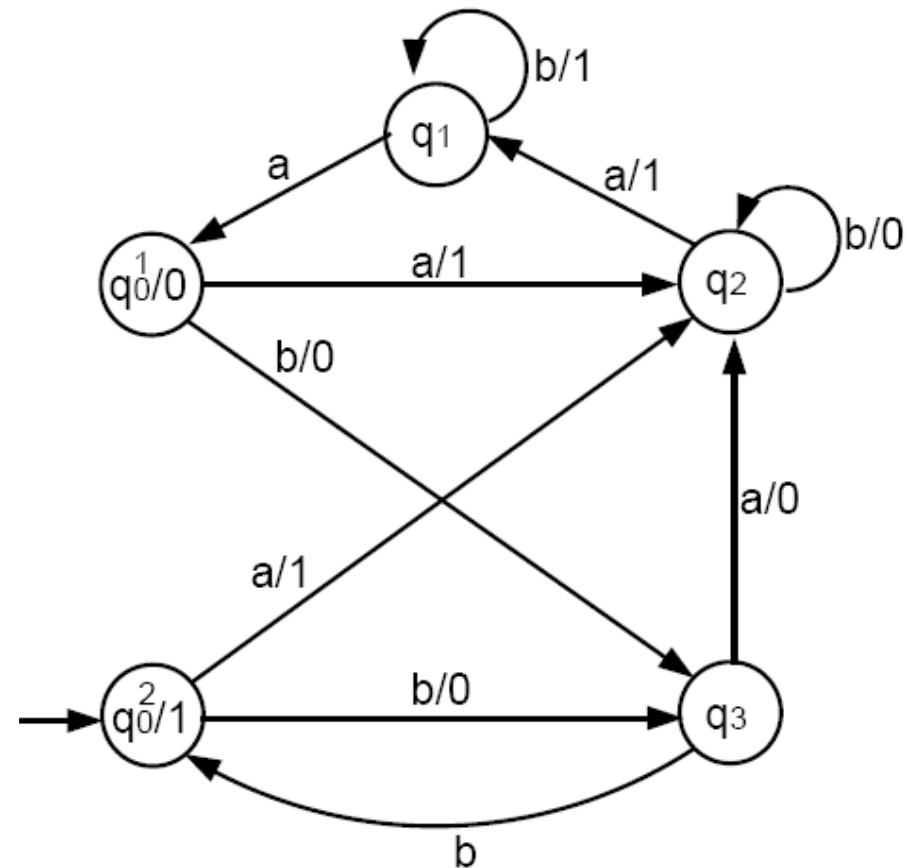
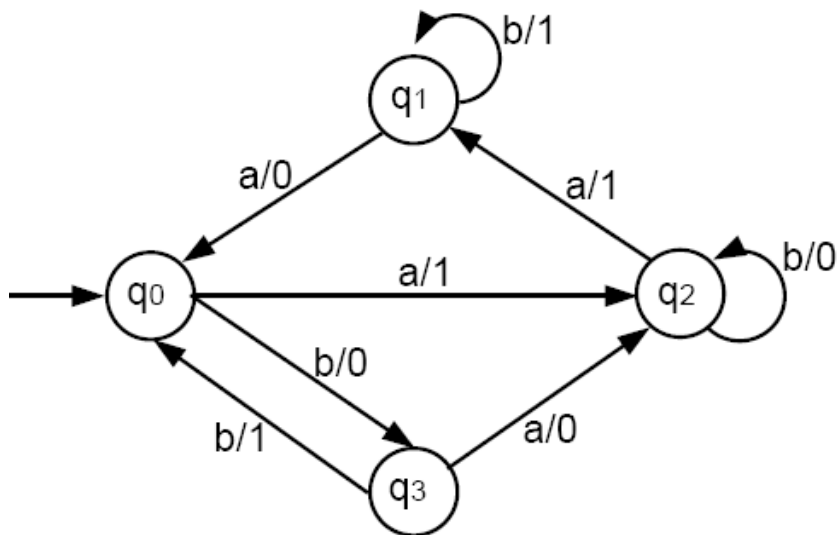
# Example

- Convert the following Mealy machine into a Moore machine:



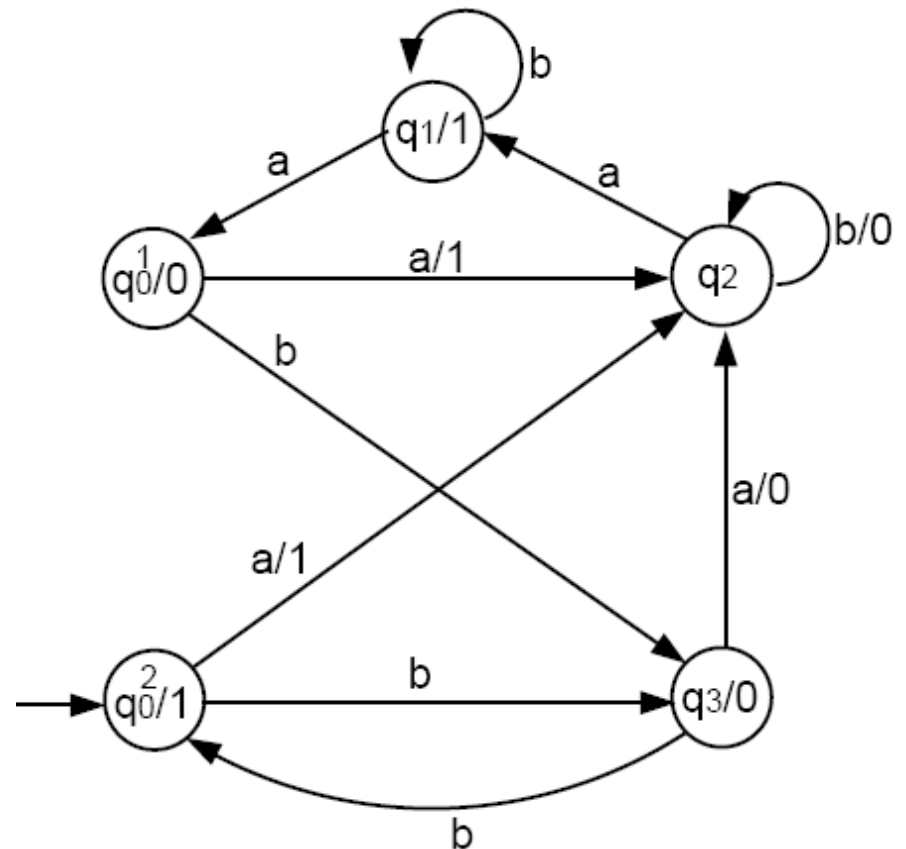
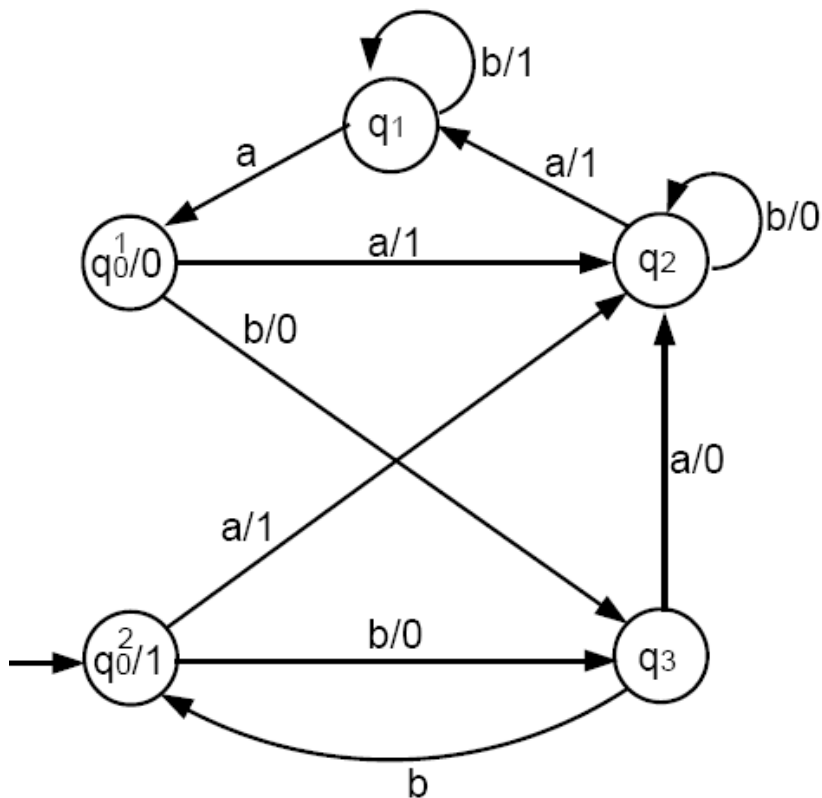
# Example contd.

- Following the algorithm, we first need two copies of  $q_0$ :



# Example contd.

- All the edges coming into state  $q_1$  (and also  $q_3$ ) have the same printing instruction. So, apply the algorithm to  $q_2$  and  $q_3$ :



# Example contd.

- The only job left is to convert state  $q_2$ . There are 0-printing edges and 1-printing edges coming into  $q_2$ . So, we need two copies of  $q_2$ . The final Moore machine is:

