Real Time Graphics Engine Improvement Through Applied Culling Techniques

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Abstract—As graphics simulations become increasingly complex, the performance of the hardware required to run them smoothly becomes more expensive, and inaccessible to individuals and businesses on a budget. This has created a need to run complex environments with high poly models smoothly on lower end machines. The main challenge is finding the most effective culling algorithm for the graphics environment, because some culling algorithms perform better in specific environments. There is much existing research in optimising individual culling techniques, but less research into taking a combined approach to applying culling techniques. Therefore, this paper took a combined approach to applying culling algorithms in a 3D environment. Three different culling algorithms were applied to a selection of different environments discovering that Frustum View Culling had the greatest performance improvement individually and in combination with other algorithms, but only in environments with static objects. Meanwhile, ZCulling had the words performance in smaller, densely populated environments. TODO:: BRIEF MENTION OF THE CONCLUSION HERE

I. INTRODUCTION

Simulated 3D computer environments are an area of computing widely used in modern day life. They are required in a large variety of fields, from computer games, to medical and scientific research, and even in military simulations. However, with recent meshes and models becoming increasingly more complex and realistic, general purpose computers can sometimes struggle to maintain a consistent performance. With models comprising over hundreds of millions of polygons now becoming the norm [1], and computationally expensive techniques such as ray tracing [2] becoming increasingly common, methods of reducing the number of polygons in each model, without compromising the realism of it's appearance are needed to run graphics simulations at a consistently high framerate. The aforementioned industries will benefit from an increase in graphics simulation performance, as they possess a need for fast and efficient three dimensional environments. Contextual knowledge, and previous research is discussed in section II, which covers fundamental graphics programming knowledge like the graphics rendering pipeline, graphics API's and mesh generation. It also covers three types of culling techniques, and existing optimisations that have been made to them, highlighting the lack of study, and need for research into a combined approach to applying culling algorithms.

The findings of the previous research are discussed in Section III, where contextual knowledge is summarised, and a research

question, and set of hypotheses are derived, which this paper strives to answer.

Section IV outlines an experiment which utilised a graphics API (Application Programming Interface) to create three interactive three-dimensional environments, in which three different culling algorithms were applied individually, and in combination with each other, to measure which combination of culling techniques performs best the environments. This helped discover which culling algorithms provide the highest increase in framerate while culling the highest number of polys (polygons), and which environmental factors affected this the most. It also showed to what extent a combination of culling algorithms could be used to optimise different graphics environments. The method of data collection is also discussed in this section.

The results of the experiment are discussed and analysed in TODO:: ADD SECTION REFERENCE. The data gathered is presented and visualised in this section, with statistical analysis tests also being conducted in this section.

Finally, section TODO:: ADD SECTION REFERENCE discusses recommendations for future research, and concludes the paper.

II. LITERATURE REVIEW

A. Graphics pipeline/rendering process

The graphics pipeline is the process responsible for transforming 3D coordinates into 2D pixels in screen space. It has five different steps [3], each of which are briefly outlined and explained:

- Vertex Shader this takes a single vertex as an input. This
 is repeated until primitive assembly takes all the vertices
 and assembles them into primitive shapes
- Rasterization this stage maps the primitive shapes to the corresponding on-screen pixels
- Fragment shader This calculates the colour of the pixels.
 It often also contains data such as lighting and shadows,
 which is about the 3D environment, and not the primitive.
- Output merging The depth value of the fragments are checked.
- Display Displays the image in screen space.

In most graphics applications, the only programmable parts, and therefore the only parts that should mainly be worried about are the Vertex and Fragment shaders [4].

B. Graphics APIs

An API a piece of software which acts as a contract between applications, [5] allowing them to talk to each other. While many different types of APIs exist, such as Web and RPC APIs, the experiment which this paper covers will use a Graphics API, which allows rendering graphics on the GPU to be more feasible. [6] There are many well known graphics API's, notably Vulkan, Direct3D and OpenGL, the last of which will be used for this artefact. OpenGL is a 3D Graphics API which allows users to write code, applications and shaders which produce graphics in both 2D and 3D. [7] While newer graphics API's such as Vulkan are far more power efficient on the CPU, it has a far steeper learning curve than OpenGL [8]. On the other hand, OpenGL is a relatively higher level API, and has been the most widely adopted API [9] since its release in 1991. There is therefore more support available for OpenGL, which will help in supplementing limited API knowledge.

C. Mesh Generation

According to Marshall Bern & Paul Plassmann, a mesh is a discretization of a geometric domain into small simple shapes [10]. Triangles in particular are used intensively to create these meshes [11] because of their simplicity, allowing for flexibility and efficiency [12]. The polygons that make up these meshes are each comprised of vertices, which in terms of regular geometry, is simply a point or "corner" of a 3D shape. In computing, a vertex is a data structure which allows for manipulating the polygons which make up a mesh [13]. The data contained in them is their position, which they always have, and is typically stored as a three dimensional vector, like so:

```
vec3 vertices[] =
{{x1, y1, z1},
{x2, y2, z2},
{x3, y3, z3}};
```

This example code snippet shows the logic for the vertices of a triangle, where x, y and z are each floats, representing the x, y and z coordinates of the vertexes location in 3D space. The process of converting a complex mesh into simple triangles is known as triangulation, and can be achieved with a variety of algorithms, the most common of which is Delaunay Triangulation [14]. Which applies the method of splitting the plane of the mesh into a series of triangles, which have none of their vertices lying within it's circumcircle [15], as shown in fig1.

D. Frustum View Culling

The first culling technique used was frustum view culling. A frustum is a shape consisting of six planes, in which only the closest and furthest planes (the near and far planes respectively) are parallel to one another [17]. The result is a pyramid-shaped volume projected outwards from the camera as shown in Fig2 Only the polygons inside the frustum cone are rendered [19], leading to the GPU or CPU rendering

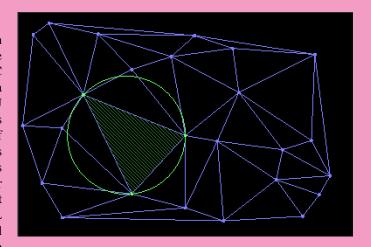


Fig. 1. An example of Delaunay triangulation used on a complex mesh. Note that the three vertices of the highlighted triangle are always on the circumference of the circle, and never inside it. [16]

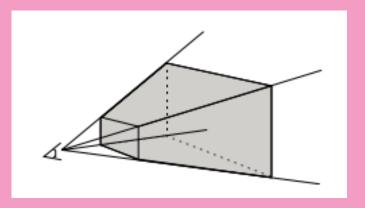


Fig. 2. The view frustum projected out from the camera view. [18].

less objects in an environment and increasing performance. This happens because objects outside of the view box do not undergo the entire graphics pipeline process, skipping computationally expensive parts such as rasterization and lighting [20]. Furthermore, basic frustum view culling has been further developed and optimized by combining it with octree data structures, improving culling efficiency greatly [21]. However, the complexity of modern day environments is only increasing, resulting in un-optimized culling methods being unable to keep up [22], a clear drawback of this culling technique. This experiment aimed to negate this drawback by also implementing two other culling techniques, theoretically allowing the program to run smoother, without the use of an optimized frustum view culling technique.

E. Back Face Culling

The second culling technique used for this experiment was back face culling. In a 3D environment, when the user looks towards an object, there will always be some faces facing away from the player, meaning they cannot be seen. Despite this, they are still rendered, which wastes memory resources [23]. Back-Face culling finds the parts of the model that cannot

be seen from the users viewpoint, and does not render them. This is achieved by calculating the dot product between the normal and the view point vector. [24]. If the result is greater than or equal to zero, it is therefore pointing away from the viewpoint, and cannot be seen by the user. Conversely, if it is less than or equal to zero, it's normal will be pointing towards the viewpoint and should be rendered. The following pseudocode can show this, using a triangle as an example:

Algorithm 1 A back-face culling algorithm

```
v1Dir \leftarrow v1 - v0
v2Dir \leftarrow v2 - v0
norm \leftarrow crossProduct(v1Dir, v2Dir)
norm.normalize()
viewPoint \leftarrow (x, y, z)
\mathbf{for} \ each \ face \ in \ the \ mesh \ \mathbf{do}
\mathbf{if} \ dotProduct(view, norm) \geq 0 \ \mathbf{then}
renderBackFaces \leftarrow false
\mathbf{end} \ \mathbf{if}
\mathbf{end} \ \mathbf{for}
```

Back face culling is an excellent technique to use for graphics optimisation, because on average, half of the polygons on a mesh will be back facing [25] only half of the polygons on the mesh will be rendered at one time. If this algorithm is applied to all meshes at once, then theoretically, half of all the polygons in the environment will be culled at once, significantly reducing computational load. When combining this with frustum view culling, the total number of triangles can be reduced to upwards to 80% [26] - a drastic improvement.

F. Z-Culling

The final culling technique used for the experiment was Z-Culling. It works by culling objects which are a certain distance away from the camera. The intended effect is that far away objects, which the user would not ordinarily look at, are culled. A common approach to implementing Z-Culling is by utilising the depth buffer, which stores the depth values of objects in the environment [27], and checking if their distance is greater than the distance from the camera, to its far view plane. If so, it culls the object from view. This process is done at the end of the graphics render pipeline [28], one benefit to this approach is that visibility is determined regardless of the order in which objects are drawn. A drawback however, is that each pixel on the screen may be rendered multiple times - a common inefficiency [29].

III. RESEARCH QUESTIONS

A. Findings

1) Graphics API: The preliminary research into graphics API's suggests that the API of choice for this experiment, OpenGL, will run at a lower frame rate than Vulkan and Direct3D [30]. However, OpenGL is easier to learn, and has been the more widely adopted graphics API for longer. It should be more stable, and have more widely available support.

Algorithm 2 A Z-culling algorithm

```
\begin{array}{l} cameraPos \leftarrow (x,y,z) \\ depthVals \leftarrow list < float > \\ \textbf{for } each \ value \ in \ depthVals \ \textbf{do} \\ \textbf{if } cameraPos > depthVals[i-1] \ AND \ cameraPos.z \\ <= depthVals[i] \ \textbf{then} \\ renderCurrentObj \leftarrow true \\ \textbf{end } \textbf{if} \\ \textbf{end for} \end{array}
```

- 2) Mesh Generation: Triangles are considered the most efficient and effective primitive to use when it comes to mesh generation. They can be combined to create many other primitive shapes such as squares and even circles. Triangles can also be generated from more complex meshes through the use of Delaunay Triangulation [31], which will likely be done for the meshes used in this experiment.
- 3) Frustum View Culling: The research on Frustum View Culling shows that it is an excellent culling technique with lots of flexibility and variation for the user depending on the performance of their machine. The Far plane of the frustum can be dynamically adjusted to increase or decrease the viewing distance, while the side views can be adjusted along side the view cameras field of view to give a more narrow or wide viewpoint. This therefore allows more or less objects to be culled depending on whether the user wants to prioritize performance or a greater number of objects rendered.
- 4) Back Face Culling: The research into back-face culling revealed it to be a very promising technique. As previously outlined, when implemented alone it can cull approximately half of the triangles on average. When implemented along-side the other culling alogorithms, it can drastically decrease the number of triangles, therefore drastically increasing the performance of the program.
- 5) Z Culling: Z Culling makes extensive use of the depth buffer, and relies on variables such as the camera position. It will benefit from environments with larger meshes, or more sparsely populated environments, where many objects are far away from the user. The nature of the culling algorithm will also mean it benefits less in densely packed environments.

B. Hypotheses

The primary research question derived from the following research will be: "To What Extent Can the Performance of a Real Time Graphics Engine be Improved Through Combined Culling Techniques?"

Answering the primary question could also lead to a secondary research question being answered, which is "To what degree does a combined culling technique approach perform better than a singular optimised culling algorithm approach?" The experiment in this paper will not directly answer this question, however the results it produces can be compared to the results of existing research, which may lead to an indirect answer. From these questions, the following hypotheses are derived:

- The greater the number of culling algorithms applied simultaneously, the lesser the increase on performance. A larger difference in performance will be observed when culling algorithms are used independently, and a smaller difference will be observed when combining culling algorithms.
- 2) Having all three or more culling algorithms applied at once will not be cost effective - the increase in framerate will be minimal, and the model and polygon counts will decrease only slightly.
- 3) The performance increase of z culling will be greater than that of frustum and back face culling in a more sparsely populated environment, and conversely, worse in a more densely populated environment. This is because models in the dense environment will be less likely to be beyond the Z-Culling algorithms far plane.
- 4) "When applied in isolation, back-face culling will improve performance the most and cull the most triangles. Z culling when applied in isolation will improve performance the least." This is working off the assumption that back-face culling should be culling approximately half of all triangles in the environment, whereas frustum view culling and Z culling depend on the viewing direction and position of the user.

IV. COMPUTING ARTEFACT

A. Description

The artefact created for this experiment was a series of three dimensional OpenGL environments. The user could change the chosen environment before running the code, with the three choices being "DENSE", "SPARSE", and "DYNAMIC". These names were stored as an enum variable and could be easily changed in the code. Each environment contained the following:

- A matrix of high poly sphere models. The models were affected by the culling algorithms used. Statistics such as frame rate, GPU & CPU performance were affected by these meshes, and differed slightly depending on the environment. Each model contained 12288 polygons, and were laid out in a 25x25x25 matrix, leading to a default number of 15625 models, and 192 million polygons in the scene. The layout and behaviours of these spheres varied depending on the chosen environment. In the "DENSE" environment, the spheres were packed closely together. In the "SPARSE" environment, the spheres were significantly further apart than the dense environment, but maintained the same size and behaviours. In the "DYANMIC" environment, the spheres were placed a little further apart from each other than the "DENSE" environment, but much closer together than the "SPARSE" environment. The most notable difference was that all the spheres rotated around their own individual center points.
- An interactable camera. This was the users primary method of interaction and navigation in the environment.
 It contained the code for keyboard movement, mouse movement ,zoom, and field of view.

This experiment was carried out only on computers which use NVIDIA GPU's, which is to avoid any potential performance differences despite the same algorithms being used. This avoided inaccuracies created by hardware requirements, and allowed for the sole focus of the experiment to be on the algorithms used, and the environments they were used in. The following project's repository can also be found here: ¹

B. Development Methodology

This artefact made great use of version control, with branches being used to develop each culling algorithm, and each environment. This ensured that each culling algorithm was tested individually before being added to the main project, helping to simplify future unit testing by ensuring each algorithm worked in isolation before being pushed to the main branch. To avoid over-scoping, the initial scope of the project was set low, with the artefact being built upon iteratively, and features being added one at a time. The experiment process also made use of Agile software development methodology, which advocates for a reflective and adaptive development process [32]. This was ideal for an experimental piece of software, because the development of the artefact remained flexible. The iterative approach previously mentioned is advocated for in the Agile methodology, an ideal approach because features could be added and removed to fit the scope of the project.

C. Quality Assurance

- 1) Construct Validity: To ensure high quality research, this experiment measured the mean values for frame rate, memory usage, CPU usage, and GPU usage when using the applied culling algorithms. The only user input in the environment was rotation around the matrix of models, ensuring the entire environment was viewed and culled. Microsoft Visual Studio's profiling tool [33] was used to gather CPU usage, GPU usage and memory usage, while frame rate was recordered and gathered via code, because the profiling tool did not do this automatically. These variables were exported to a CSV, and analysed using R code [34].
- 2) External Validity: This experiment has excellent external validity because of the widespread common usage and need for graphics simulations in various industries, such as Games development, CAD modelling software and even computeraided content creation and medical screening [35]. Games and Modelling software will often be required to render thousands of high poly meshes at one time, with thousands more being active in the environment at one time. This often results in the requirements to run the software being very demanding, such as Unreal Engine 5 made by Epic Games, who define a "typical" system used to run Unreal Engine 5 containing an RTX 3080 GPU, 128 Gigabyte RAM, a 4 Terabyte SSD and an "AMD Ryzen Threadripper Pro" processor, which according to them, constitutes a "reasonable guide to developing games" in their engine [36]. Applying culling techniques in real time will be helpful for software such as this, because it will allow

¹the repository is available at: https://github.falmouth.ac.uk/GA-Undergrad-Student-Work-24-25/COMP302-WA278394-2204080.git

users with lower spec machines than the recommended to run the game engine with an increased framerate, and lower memory and GPU usage. This would be especially useful for simulations of a medical or military nature, in which the speed of the program is paramount, because it could have potentially life threatening consequences if un-optimized.

3) reliability: The data collected from this experiment can be easily repeated, and should yield similar accurate results every time. The three culling algorithms applied to the simulations maintained the same parameters throughout each environment, and therefore should cull a similar amount of triangles each time, with the main factor being the direction in which the user looks in. Furthermore, the environments were pre-rendered, which allows for greater specificity and variety for testing the culling algorithms in. Utilizing the same culling algorithms in the same scenes should therefore give very similar, if not identical results each time the experiment is run.

4) replicability: This experiment, if carried out via the same method, should be very replicable. The culling algorithms used had no optimizations or alterations made to them, with the novelty of the project being in applying multiple culling algorithms at once. Therefore, if the same culling algorithms are applied, and assuming the number of models in the environment are large enough, this experiment should be replicable.

This experiment could also be applied to proprietary game engines such as Unity, and the aforementioned Unreal Engine, which also allow users to apply various culling techniques. The results may differ however, because both engines may incorporate other factors into their culling algorithms, instead of the OpenGL/C++ algorithm which this experiment will use. As a result, this may result in a type 1 (false positive) error, as the algorithm may not increase performance as much as expected.

The largest place for error in the replicability of this experiment however, lies in the machine in which it runs on. The machine used to run this experiment was a mid-high end machine (RTX 3060, 32GB RAM and a 2.3GHz clock speed.) While the triangle count will remain the same across all devices, performance metrics such as mean frame rate would be less noticeable on high end machines, because they won't struggle with the effects of multiple high poly meshes to begin with.

5) unit tests: The following parts of the artefact were unit tested:

- Culling Algorithm Functions The unit tests in figure ((TODO::REFERENCE IMAGE IN APPENDIX TO UNIT TESTS)) show each of the three culling algorithms working independently. This is necessary because the culling algorithms were an independent variable in the experiment, with the results being reliant on a correctly functioning culling algorithm.
- Environment Drawing Functions The unit tests in figure ((TODO::REFERENCE IMAGE IN APPENDIX TO UNIT TESTS)) show each of the three environments

being fully rendered. Environment choice was the other independent variable in this experiment, with the results varyingdepending on the chosen environment.

V. METHODOLOGY

A. Research Philosophy

From an ontological and epistemological point of view, this paper assumed that the statistics displayed and gathered from the experiment are the truth based on observation and evidence, which is what constitutes valid knowledge. This experiment took a pragmatic and objective approach to research. The optimization of of graphics simulations in general could have wide reaching benefits to society in multiple disciplines - as mentioned in the previous subsection such as military and medical fields. Objectively, the values of this experiment will not change due to human perception or opinion.

B. Project Structure

The culling algorithms used in this experiment were tested individually, pairwise, all at once, and with none applied, totalling up to 24 (8 total different configurations x 3 different environments) different conditions. During these tests, the following data was automatically recorded and written to a CSV file every 1/30th of a second

- Framerate This was used to measure the performance of the program before and after the culling algorithms are applied, with a higher framerate being more favourable than a lower framerate. This statistic was collected through code. By utilising the glfw library's [37] "get time" function, and the time between the current frame and the last frame. The values were automatically written to a CSV file via code.
- Model count This statistic measured the number of models currently rendered in the scene. This value was incremented every time the function for drawing a model was successfully called, and decremented every time a model had 0 rendered polygons on screen. This value was updated every frame.
- Polygon count This statistic measured the number of polygons currently rendered on the screen. This value was
- Environment This statistic determined which environment was used during runtime. This is necessary because different environments contained different numbers of models.

The approach of having the culling algorithms in separate repository branches has been chosen to prevent variables and functions from other culling algorithms from affecting the chosen algorithms performance unintentionally. To identify how optimal a culling algorithms performance is, the total poly count and framerate will be compared, to find the average increase in framerate per polygon culled. The same approach will be taken when identifying the optimal combination of culling algorithms.

To ensure that the experiment is thoroughly quality assured, each culling algorithm will be tested a total of 114 times, being

tested 38 times in each environment, which is a reasonable number of iterations because there is a large difference in each culling algorithm applied - justifying a larger effect size. (0.4) These results were calculated using the GPower analysis tool, ² with the exact statistics being in Fig3 and Fig4. To quality assure the data gathered, a two way ANOVA test will be performed on the collected data, which will also be plotted using R Code ³ the following code for which can be found in Fig7.

C. Variables & Libraries

- 1) Variables: This experiment contains multiple independent and dependent variables, and as such will utilise a factorial experimental design, which will allow for multiple factors to be researched simultaneously.
 - algorithm: string Independent variable, the algorithm used will be changed frequently during the testing process to gather which one can cull the most polygons while keeping GPU and RAM usage lower.
 - environment: int Independent variable, the environment in which the chosen algorithm will be applied in. These will vary to see if certain culling algorithms perform better in specific environments.
 - polyCount: float Dependent variable, the number of polygons rendered on the GPU. This will be used to measure how effective the culling algorithm is during runtime, with a higher number of polygons culled meaning a higher effectiveness.
 - frameRateIncrease: int Dependent variable, number of frames displayed per second. This will be used to measure the performance of each culling algorithm, with a higher framerate meaning a better performance
 - ramUsage: int Dependent variable, the amount of RAM used during runtime. A higher usage implies a more expensive, and therefore less efficient culling algorithm
 - gpuUsage: int Dependent variable, the amount of GPU processing power used during runtime. Similarly to RAM usage, a higher usage implies more polygons are being sent to the GPU to render, and therefore a less efficient culling algorithm is being used.

2) Libraries:

- GLAD [38] An open source library which manages OpenGL's function calls to be operating system independant. It will be used to shorten and streamline the coding process significantly.
- GLFW [37] A C Library written specifically for OpenGL. It allows for definiting window parameters and handling user input, both of which are essential for the user to navigate a 3D environment, which the experiment requires.
- GLM [39] A header only C++ mathematics library, made specifically with graphics software in mind. It
- ²https://www.psychologie.hhu.de/arbeitsgruppen/ allgemeine-psychologie-und-arbeitspsychologie/gpower ³https://www.r-project.org/

- allows for easy use and calling of maths functions such as number randomisers, and Vector & Quaternion mathematics, which will be used considering the nature of this experiment.
- Assimp [40] A library which allows for loading and rendering of 3D file formats. This will be used to import complex high poly models into the scene, which is required to test the culling algorithms.

VI. ETHICAL CONSIDERATIONS

The research carried out is considered a low-risk experiment, because no human participation is involved, since all statistics gathered are from a piece of software. Furthermore, the testing of this artefact will only be performed on a personal machine, therefore posing no threat to any publicly used/owned devices, or any other devices connected to a network. Furthermore, this experiment, and the simulations created will not be used outside of purposes for this experiment.

The nature of graphics simulations in computing have very widespread uses however, and incorrect use of culling algorithms could have a large number of misuse cases. On the low severity end, this includes fields such as Entertainment and 3D modelling & architecture, in which the consequence of incorrectly culling a model would be a detraction from the user enjoyment. On the more severe end of the spectrum involve areas such as healthcare, aerospace defense and scientific research, where an incorrectly culled model could result in simulations of life-threatening and dangerous objects, such as cancers, military equipment, or hazardous material being removed from view, and therefore missed in a simulation, leading to large amounts of damage and potential loss of human life. In military and medical fields where this may apply, the software's development would be required to follow medical simulation, and military codes of ethics and conduct [41] [42]. Conversely, a poorly optimised culling could lead to a severe drop in framerate and quality. This could lead to a slow simulation, which could be dangerous in a real-time context where the previously mentioned fields are invovled. Culling algorithms are therefore more suitable than not in these industries for this reason, as they can assist in making software and simulations run faster. However they may not be entirely suitable in situations where high levels of detail are essential. While the issue of performance could be solved with higher end machinery in this case, this would not be possible without appropriate funding and purchasing ability of such hardware, leading to culling algorithms being suitable in such a scenario.

VII. REFERENCES

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VIII. APPENDIX

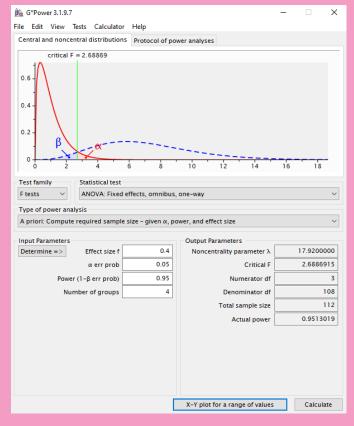


Fig. 3. The GPower analysis for the experiment

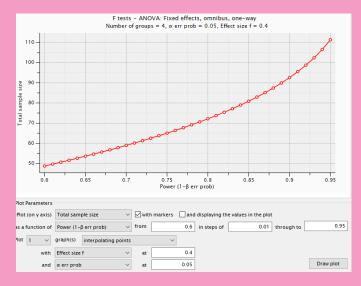


Fig. 4. ANOVA graphed

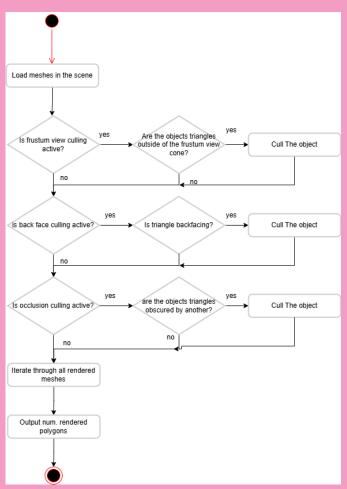


Fig. 5. The process to measure the efficiency and performance of the culling algorithms.

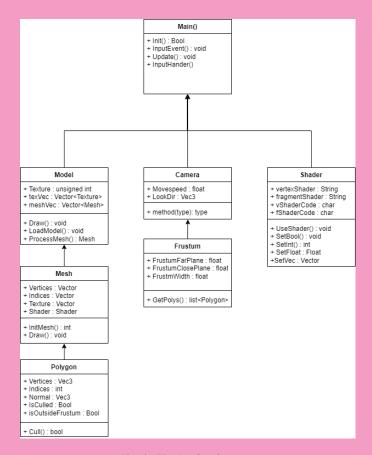


Fig. 6. The Artefact Structure

```
library(ggplot2)

dataCSV <- read.csv("Environ1Data.csv")
xValue <- dataCSV[, 2]
yValue <- dataCSV[, 5]

df <- data.frame(xValue,yValue)

ggplot(df, aes(x = xValue, y = yValue)) +
   geom_line() +
   ggtitle("Line Graph to show
   PolyCount against RAM Usage") +
   xlab("Polygon Count") +
   ylab("RAM usage")

res.aov <- aov(Algorithm ~ PolCount + RAM,
data = dataCSV)
summary(res.aov)</pre>
```

Fig. 7. R Code to graph selected results and run an ANOVA test