```
1.
   Created on Mon Mar 010 16:28:20 2025
3.
    EPO-RSAC Algorithm
4.
    @author: Bingbing
5.
6.
   import os
7. os.environ['TF_CPP_MIN_LOG_LEVEL'] = '2'
8. os.environ["CUDA_VISIBLE_DEVICES"] = '0'
9. import tensorflow. api.v2.compat.v1 as tf
10. tf.reset_default_graph()
11. tf.disable v2 behavior()
12. import numpy as np
13. import pandas as pd
14. import time
15. import datetime
16. import seaborn as sns
17. from logx import EpochLogger
18. from mpi_tf import sync_all_params, MpiAdamOptimizer
19. from mpi_tools import mpi_fork, mpi_sum, proc_id, mpi_statistics_scalar, num_procs
20. from scipy.stats import norm
21. import globalvar as gl
22. import json
23. import argparse
24. from run_utils import setup_logger_kwargs
25. import matplotlib.pyplot as plt
26. from env3 import unPower
27. n_T = gl.get_value('n_T')
28. n_wind = gl.get_value('n_wind')
29. n_gen = gl.get_value('n_gen')
30. n E = gl.get value('n E')
31. n_wind_data = gl.get_value('n_wind_data')
32. obs dim = gl.get value('state dim')
33. act_dim = gl.get_value('action_dim')
34. P_load = gl.get_value('P_load')
35. P_wind = gl.get_value('P_wind')
36. load_capacity = gl.get_value('load_capacity')
37. wind capacity = gl.get value('wind capacity')
38. pg_max = gl.get_value('pg_max')
39. pg_min = gl.get_value('pg_min')
40. ROCOFmax = gl.get_value('ROCOFmax')
41. delt_fmax = gl.get_value('delt_fmax')
42. EPS = 1e-8
43.
44. snow = datetime.datetime.now()
```

```
45. seed now = str(snow.month) + str(snow.day) + str(snow.hour)
46. seed now = int(seed now)
47.
48.
                                                                             =configuration parameter==
49. parser = argparse.ArgumentParser()
50. parser.add argument('--Train', type=bool, default=True, help='True or False')
51. parser.add_argument('--
    Continue', type=bool, default=False, help='Whether to continue training the previous model')
52. parser.add argument('--Portion', type=bool, default=False, help='Whether to load only part of the model')
53. parser.add argument('--
    SaveFig', type=bool, default=True, help='Whether to download the debugged image to path')
54. parser.add argument('--
    load buffer', type=bool, default=False, help='Whether to load the replay buffer for path')
55. parser.add argument('--Model version', type=int, default=10000, help='2000, Load model version')
56. parser.add argument('--epochs', type=int, default=10001, help='2001')
57. parser.add_argument('--steps_per_epoch', type=int, default=3, help='n_wind_data*2+1')
58. parser.add argument('--
    update freq', type=int, default=60, help='120, Need to be less than steps per epoch*24')
59. parser.add argument('--save freq', default=2000, type=int, help='500')
60. parser.add argument('--cost lim', type=float, default=0.1, help='10')
61. parser.add argument('--cl', type=float, default=0.95, help='0.5')
62. parser.add argument('--lr', type=float, default=1e-3,help='1e-
    3,If Continue, there should be a smaller lr tweak')
63.
64. parser.add argument('--
    hidden sizes actor', type=list, default=[64, 256, 256, 64], help='FCs of policy network actor')
65. parser.add argument('--
    hidden_sizes_critic', type=list, default=[64, 256, 256, 64], help='FCs of network critic')
66. parser.add argument('--
    hidden sizes var', type=list, default=[64, 256, 256, 64], help='FCs of network var')
67. parser.add argument('--cnn actor', type=list, default=[], help='CNNs of policy network actor')
68. parser.add_argument('--cnn_critic', type=list, default=[], help='CNNs of network critic')
69. parser.add argument('--cnn var', type=list, default=[], help='CNNs of network var')
70.
71. parser.add argument('--
    hid', type=int, default=256, help='Number of neurons per layer of a neural network')
72. parser.add_argument('--l', type=int, default=2, help='The number of layers of the neural network')
73. parser.add_argument('--gamma', type=float, default=0.99)
74. parser.add_argument('--seed', '-s', type=int, default=seed_now)
75. parser.add argument('--exp name', type=str, default='sac')
76. parser.add argument('--cpu', type=int, default=2)
77. parser.add argument('--render', default=False, action='store true')
78. parser.add argument('--local start steps', default=3600, type=int, help='当 Continue 时,最好设为 0')
```

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79. parser.add argument('--local update after', default=3600, type=int, help='表示从几代后开始优化,当
     Continue 时,最好设为 0')
80. parser.add_argument('--batch_size', default=256, type=int) # 256
81. parser.add argument('--fixed entropy bonus', default=None, type=float)
82. parser.add argument('--entropy constraint', type=float, default=-1)
83. parser.add_argument('--fixed_cost_penalty', default=None, type=float)
84. parser.add argument('--cost constraint', type=float, default=None)
85. parser.add_argument('--lr_s', type=int, default=50)
86. parser.add argument('--damp s', type=int, default=10)
87. parser.add argument('--logger kwargs str', type=json.loads, default='{"output dir": "./logger"}')
88. args = parser.parse args()
90.
91. def placeholder(dim=None):
       return tf.placeholder(dtype=tf.float32, shape=(None, dim) if dim else (None,))
92.
93.
94. def placeholders(*args):
95.
       return [placeholder(dim) for dim in args]
96.
97. def cnn mlp(x, hidden sizes=(64,), activation=tf.tanh, output activation=None, cnn sizes=[16, 32]):
98.
       i = 1
99.
       if cnn sizes!=[]:
100.
         dim = int(x.shape[1])
101.
         Img shape = (\dim, 1)
102.
         x = tf.reshape(x,(-1,*Img\_shape))
103.
         for i in cnn sizes:
104.
            x = tf.layers.conv1d(
105.
                   inputs=x,
106.
                   filters=i,
107.
                   kernel size=3,
108.
                   padding='same',
                   use_bias=False,
109.
110.
                   activation=None,
111.
                   name='cnn %d'%(j))
112.
            x = tf.layers.batch normalization(
113.
                   inputs=x,
114.
                   training=True,
115.
                   name='bn_%d'%(j))
116.
            x = tf.tanh(x)
117.
           j += 1
118.
119.
         x = tf.reshape(x,(-1,dim*cnn sizes[-1]))
120.
       for h in hidden_sizes[:-1]:
```

```
121.
          x = tf.layers.dense(x, units=h, activation=activation, name='fc %d'%(j))
122.
         j += 1
123.
       return tf.layers.dense(x, units=hidden_sizes[-1], activation=output_activation,name='fc_%d'%(j))
124.
125.
126. def get_vars(scope):
       return [x for x in tf.global variables() if scope in x.name]
128.
129. def count vars(scope):
130. v = get \ vars(scope)
131.
       return sum([np.prod(var.shape.as list()) for var in v])
132.
133. def gaussian_likelihood(x, mu, log_std):
       pre sum = -0.5 * (((x - mu) / (tf.exp(log std) + EPS)) ** 2 + 2 * log std + np.log(2 * np.pi))
135.
       return tf.reduce_sum(pre_sum, axis=1)
136.
137. def get_target_update(main_name, target_name, polyak):
138.
139.
      Get a tensorflow op to update target variables based on main variables
140.
141. main vars = \{x.name: x \text{ for } x \text{ in get vars(main name)}\}
142. targ_vars = {x.name: x for x in get_vars(target_name)}
143.
      assign ops = []
144. for v_targ in targ_vars:
145.
         assert v targ.startswith(target name), fbad var name {v targ} for {target name}'
146. v main = v targ.replace(target name, main name, 1)
147.
         assert v_main in main_vars, f'missing var name {v_main}'
148.
         assign_op = tf.assign(targ_vars[v_targ], polyak * targ_vars[v_targ] + (1 - polyak) * main_vars[v_ma
    in]) # Soft update
149.
          assign ops.append(assign op)
150.
      return tf.group(assign_ops)
151.
152.
153. """
154. Policies
155. """
156.
157. LOG STD MAX = 2
158. LOG_STD_MIN = -20
159.
160.
161. def mlp gaussian policy(x, a, hidden sizes, activation, output activation, cnn sizes):
       act dim = a.shape.as list()[-1]
162.
163. net = cnn \ mlp(x, list(hidden sizes), activation, activation, list(cnn sizes))
```

```
164.
       mu = tf.layers.dense(net, act_dim, activation=output_activation,name='fc_mu')
165.
       log std = tf.layers.dense(net, act dim, activation=None,name='fc std')
166.
       log_std = tf.clip_by_value(log_std, LOG_STD_MIN, LOG_STD_MAX)
167.
168.
       std = tf.exp(log std)
169.
       pi = mu + tf.random\_normal(tf.shape(mu)) * std
170.
171.
       logp_pi = gaussian_likelihood(pi, mu, log_std)
172.
       return mu, pi, logp pi
173.
174.
175. def apply_squashing_func(mu, pi, logp_pi):
176.
       # Adjustment to log prob
       logp \hspace{0.2cm} pi \mathrel{-=} tf.reduce\_sum(2*(np.log(2) - pi - tf.nn.softplus(-2*pi)), \hspace{0.2cm} axis = 1)
177.
178.
179.
       # Squash those unbounded actions!
180.
       mu = tf.tanh(mu)
181.
       pi = tf.tanh(pi)
182.
       return mu, pi, logp_pi
183.
184.
185. """
186. Actors and Critics
187. """
188.
189. # Actor network
190. def mlp_actor(x, a, name='pi', hidden_sizes=(64, 64), activation=tf.nn.relu,
191.
             output_activation=None, policy=mlp_gaussian_policy, action_space=None, cnn_sizes=[8,16]):
192.
      # policy
193.
       with tf.variable scope(name):
194.
          mu, pi, logp_pi = policy(x, a, hidden_sizes, activation, output_activation,cnn_sizes)
195.
          mu, pi, logp pi = apply squashing func(mu, pi, logp pi)
196.
      return mu, pi, logp_pi
197.
198. # Critic-var network
199. def mlp_var(x, a, pi, name, hidden_sizes=(64, 64), activation=tf.nn.relu,
200.
            output activation=None, action space=None, cnn sizes=[]):
201.
       fn_mlp = lambda x: tf.squeeze(cnn_mlp(x=x,
202.
                            hidden_sizes=list(hidden_sizes) + [1],
203.
                            activation=activation,
204.
                            output activation=None,
205.
                            cnn sizes=cnn sizes),
206.
                          axis=1)
207.
       with tf.variable_scope(name):
```

```
208.
          var = fn_mlp(tf.concat([x, a], axis=-1))
209.
          var = tf.nn.softplus(var)
210.
211.
       with tf.variable scope(name, reuse=True):
212.
          var pi = fn \ mlp(tf.concat([x, pi], axis=-1))
213.
          var_pi = tf.nn.softplus(var_pi)
214.
215.
       return var, var_pi
216.
217. # Critic network
218. def mlp_critic(x, a, pi, name, hidden_sizes=(64, 64), activation=tf.nn.relu,
219.
              output_activation=None, action_space=None,cnn_sizes=[]):
220.
       fn_mlp = lambda x: tf.squeeze(cnn_mlp(x=x,
221.
                            hidden sizes=list(hidden sizes) + [1],
222.
                            activation=activation,
223.
                            output activation=None,
224.
                            cnn_sizes=cnn_sizes),
225.
                          axis=1)
226.
       with tf.variable_scope(name):
227.
          critic = fn \ mlp(tf.concat([x, a], axis=-1))
228.
229.
       with tf.variable_scope(name, reuse=True):
230.
          critic pi = fn \ mlp(tf.concat([x, pi], axis=-1))
231.
232.
       return critic, critic pi
233.
234. def Fig(logger Dict, item='EpCost', c=None, Title=None, SaveFig=True, tsplot=True):
235.
       if tsplot:
236.
          try:
237.
            Epoch1 = np.array(logger Dict['Epoch'])
238.
            Epoch2 = np.array(logger_Dict['Epoch'])
            Epoch3 = np.array(logger Dict['Epoch'])
239.
240.
            item1 = np.array(logger_Dict['Average'+item])
241.
            item2 = np.array(logger_Dict['Min'+item])
242.
            item3 = np.array(logger_Dict['Max'+item])
243.
            data = np.concatenate((item1,item2,item3))
244.
            epoch = np.concatenate((Epoch1,Epoch2,Epoch3))
245.
            sns.set(style="whitegrid") # darkgrid whitegrid ticks
            sns.lineplot(x=epoch, y=data, color=c, lw=0.8)
246.
247.
            plt.xlabel("epoch")
248.
            plt.ylabel(item)
249.
            if Title:
250.
               plt.title(Title)
251.
            else:
```

```
252.
              plt.title('The Expectations of '+item+' of Each Epoch')
253.
            if SaveFig:
254.
              now = datetime.datetime.now()
255.
              savefname = './Debug record/%d.%d.%d/Figture'%(now.year,now.month,now.day)
256.
              os.makedirs(savefname,exist ok=True)
257.
              plt.savefig(savefname+'/\%d-\%d-\%s.png'\%(now.hour,now.minute,item), dpi=500)
258.
            plt.show()
259.
         except:
            print('在 logger Dict 中无法找到与"'+item+'"的对应数据或保存路径出错')
260.
261.
       else:
262.
         try:
263.
            Epoch = logger_Dict['Epoch']
264.
            item_name = logger_Dict[item]
            plt.plot(Epoch, item name)
265.
266.
            plt.xlabel('Epoch')
267.
            plt.ylabel(item)
268.
            if Title:
269.
              plt.title(Title)
270.
271.
              plt.title('The Expectations of '+item+' of Each Epoch')
272.
            if SaveFig:
273.
              now = datetime.datetime.now()
274.
              savefname = './Debug record/%d.%d.%d/Figture'%(now.year,now.month,now.day)
275.
              os.makedirs(savefname,exist_ok=True)
276.
              plt.savefig(savefname+'/%d-%d-%s.png'%(now.hour,now.minute,item),dpi=500)
277.
            plt.show()
278.
         except:
279.
            print('在 logger Dict 中无法找到与"'+item+'"的对应数据或保存路径出错')
280.
281.
282.
283. class ReplayBuffer:
284.
285.
       A simple FIFO experience replay buffer for SAC agents.
286.
287.
      def init (self, obs dim, act dim, size, load buffer=True, Path xls='./logger/Buffer.xlsx'):
288.
289.
         self.obs1 buf = np.zeros([size, obs dim], dtype=np.float32)
290.
         self.obs2_buf = np.zeros([size, obs_dim], dtype=np.float32)
291.
         self.acts_buf = np.zeros([size, act_dim], dtype=np.float32)
292.
         self.rews buf = np.zeros(size, dtype=np.float32)
293.
         self.costs buf = np.zeros(size, dtype=np.float32)
294.
         self.done buf = np.zeros(size, dtype=np.float32)
295.
         self.ptr, self.size, self.max size = 0, 0, size
```

```
296.
297.
         if load buffer: #加载已有经验池
298.
299.
              obs1 buf = np.array(pd.read excel(Path xls,sheet name='obs1'))
300.
              obs2 buf = np.array(pd.read excel(Path xls,sheet name='obs2'))
301.
              acts buf = np.array(pd.read excel(Path xls,sheet name='acts'))
302.
              rews buf = np.array(pd.read excel(Path xls,sheet name='rews'))
303.
              costs_buf = np.array(pd.read_excel(Path_xls,sheet_name='costs'))
304.
              done buf = np.array(pd.read excel(Path xls,sheet name='done'))
305.
306.
              u = np.min([size,rews buf.shape[0]])
307.
              self.obs1\_buf[0:u,:] = obs1\_buf[0:u,:]
308.
              self.obs2\_buf[0:u,:] = obs2\_buf[0:u,:]
309.
              self.acts buf[0:u,:] = acts buf[0:u,:]
310.
              self.rews\_buf[0:u] = rews\_buf[0:u].reshape(-1)
311.
              self.costs buf[0:u] = costs buf[0:u].reshape(-1)
312.
              self.done\_buf[0:u] = done\_buf[0:u].reshape(-1)
313.
314.
              for init_ptr in range(u):
315.
                 if obs1 buf[init ptr,obs dim-1]==0:
316.
                   self.ptr = init\_ptr
317.
                   break
318.
              self.size = init ptr
319.
              print('经验池已加载\n')
320.
            except:
321.
              print('经验池加载失败,文件不存在或参数不对应')
322.
323.
       def store(self, obs, act, rew, next obs, done, cost):
         self.obs1_buf[self.ptr] = obs
324.
325.
         self.obs2 buf[self.ptr] = next obs
326.
         self.acts buf[self.ptr] = act
327.
         self.rews buf[self.ptr] = rew
328.
         self.costs\_buf[self.ptr] = cost
329.
         self.done buf[self.ptr] = done
330.
         self.ptr = (self.ptr + 1) \% self.max size
331.
         self.size = min(self.size + 1, self.max size)
332.
333.
       def sample_batch(self, batch_size=32):
334.
         idxs = np.random.randint(0, self.size, size=batch_size)
335.
         return dict(obs1=self.obs1_buf[idxs],
336.
                 obs2=self.obs2 buf[idxs],
337.
                 acts=self.acts buf[idxs],
338.
                 rews=self.rews buf[idxs],
339.
                 costs=self.costs buf[idxs],
```

```
340.
                done=self.done buf[idxs])
341.
342.
       def savebuffer(self, Path_xls):
         data = dict(obs1=self.obs1 buf,
343.
344.
                obs2=self.obs2 buf,
345.
                acts=self.acts buf,
346.
                rews=self.rews buf,
347.
                costs=self.costs_buf,
348.
                done=self.done buf)
349.
         title = list(data.keys())
350.
         with pd.ExcelWriter(Path xls) as writer:
351.
            for i in title:
352.
              df = pd.DataFrame(data[i])
              df.to excel(writer, sheet name=i, index=False)
353.
354.
355.
356.
357. """
358. Embedded Physical Optimization Robust Soft Actor-Critic
359. """
360.
361. def EPO_RSAC(env_fn, actor_fn=mlp_actor, critic_fn=mlp_critic, var_fn=mlp_var,
362.
         ac kwargs actor=dict(),ac kwargs critic=dict(),ac kwargs var=dict(),
363.
         seed=0, steps_per_epoch=1200, epochs=101, replay_size=1200 * 10 * 24, gamma=0.99, cl=0.5,
364.
         polyak=0.995, lr=1e-4, batch size=1024, local start steps=600,
365.
         max ep len=n T, logger kwargs=dict(), save freq=50, local update after=int(1e3),
366.
         update freq=120, render=False,
367.
         fixed_entropy_bonus=None, entropy_constraint=-1.0,
         fixed_cost_penalty=None, cost_constraint=None, cost_lim=None,
368.
369.
         reward scale=1, lr scale=1, damp scale=0, Train=True, Continue Training=False,
370.
         Model Portion=False,load buffer=True
371.
         ):
372.
373.
       use_costs = fixed_cost_penalty or cost_constraint or cost_lim
374.
375.
       # for computing cvar: CVaR=qc+pdf cdf
       pdf cdf = cl ** (-1) * norm.pdf(norm.ppf(cl))
376.
377.
378.
       logger = EpochLogger(**logger_kwargs)
379.
       logger.save_config(locals())
380.
381.
       # Env instantiation
382.
       env, test env = env fn(), env fn()
383.
```

```
384.
       #Setting seeds
385.
       seed += 200 * proc id()
386.
       tf.set_random_seed(seed)
387.
       np.random.seed(seed)
388.
389.
       # Inputs to computation graph
390.
       x ph, a ph, x2 ph, r ph, d ph, c ph = placeholders(obs dim, act dim, obs dim, None, None, None)
391.
392.
       # Main outputs from computation graph
393.
       with tf.variable scope('main'):
394.
          mu, pi, logp_pi = actor_fn(x_ph, a_ph, **ac_kwargs_actor)
          qr1, qr1_pi = critic_fn(x_ph, a_ph, pi, name='qr1', **ac_kwargs_critic)
395.
396.
          qr2, qr2_pi = critic_fn(x_ph, a_ph, pi, name='qr2', **ac_kwargs_critic)
397.
          qc, qc pi = critic fn(x ph, a ph, pi, name='qc', **ac kwargs critic)
398.
          qc_var, qc_pi_var = var_fn(x_ph, a_ph, pi, name='qc_var', **ac_kwargs_var)
399.
400.
       with tf.variable_scope('main', reuse=True):
401.
          # Additional policy output from a different observation placeholder
402.
          # This lets us do separate optimization updates (actor, critics, etc)
403.
          # in a single tensorflow op.
          , pi2, logp pi2 = actor fn(x2 ph, a ph, **ac kwargs actor)
404.
405.
406.
       # Target value network
407.
       with tf.variable_scope('target'):
408.
          , qr1 pi targ = critic fn(x2 ph, a ph, pi2, name='qr1', **ac kwargs critic)
409.
          , qr2 pi targ = critic fn(x2 ph, a ph, pi2, name='qr2', **ac kwargs critic)
          _, qc_pi_targ = critic_fn(x2_ph, a_ph, pi2, name='qc', **ac_kwargs_critic)
410.
411.
          _, qc_pi_var_targ = var_fn(x2_ph, a_ph, pi2, name='qc_var', **ac_kwargs_var)
412.
413.
       # Entropy bonus
414.
       if fixed entropy bonus is None:
415.
          with tf.variable scope('entreg'):
416.
            soft_alpha = tf.get_variable('soft_alpha',
417.
                              initializer=0.0,
418.
                              trainable=True,
419.
                              dtype=tf.float32)
420.
          alpha = tf.nn.softplus(soft alpha)
421.
       else:
422.
          alpha = tf.constant(fixed_entropy_bonus)
423.
       log_alpha = tf.log(tf.clip_by_value(alpha, 1e-8, 1e8))
424.
425.
       # Cost penalty
426.
       if use costs:
427.
          if fixed cost penalty is None:
```

```
428.
            with tf.variable scope('costpen'):
429.
              soft beta = tf.get variable('soft beta',
430.
                                initializer=0.0,
                                trainable=True,
431.
432.
                                dtype=tf.float32)
433.
            beta = tf.nn.softplus(soft_beta)
            log beta = tf.log(tf.clip by value(beta, 1e-8, 1e8))
434.
435.
         else:
436.
            beta = tf.constant(fixed cost penalty)
437.
            log beta = tf.log(tf.clip by value(beta, 1e-8, 1e8))
438.
       else:
439.
         beta = 0.0 \# costs \ do \ not \ contribute \ to \ policy \ optimization
440.
         print('Not using costs')
441.
442.
       # Experience buffer
443.
       replay buffer = ReplayBuffer(obs dim=obs dim, act dim=act dim, size=replay size, load buffer=loa
     d buffer)
444.
       # Count variables
445.
       if proc id() == 0:
446.
         var counts = tuple(count vars(scope) for scope in
447.
448.
                     ['main/pi', 'main/qr1', 'main/qr2', 'main/qc', 'main/qc var', 'main'])
449.
               '\nNumber of parameters: \t pi: %d, \t qr1: %d, \t qr2: %d, \t qc: %d, \t qc_var: %d, \t total: %d
450.
     \n') % var counts)
451.
452.
       # Min Double-Q:
       min_q_pi = tf.minimum(qr1_pi, qr2_pi) # Double-DQN,
453.
454.
       min_q_pi_targ = tf.minimum(qr1_pi_targ, qr2_pi_targ)
455.
456. qc_var = tf.clip_by_value(qc_var, 1e-8, 1e8)
       qc pi var = tf.clip by value(qc pi var, 1e-8, 1e8)
458.
       qc_pi_var_targ = tf.clip_by_value(qc_pi_var_targ, 1e-8, 1e8)
459.
460. # Targets for Q and V regression
       q backup = tf.stop gradient(r ph + gamma * (1 - d ph) * (min q pi targ - alpha * logp pi2))
461.
462.
       qc_backup = tf.stop_gradient(c_ph + gamma * (1 - d_ph) * qc_pi_targ)
463.
464. qc_var_backup = tf.stop_gradient(
         c_ph ** 2 + 2 * gamma * c_ph * qc_pi_targ + gamma ** 2 * qc_pi_var_targ + gamma ** 2 * qc_pi
465
     _targ ** 2 - qc ** 2)
       qc_var_backup = tf.clip_by_value(qc_var_backup, 1e-8, 1e8)
466.
467.
468.
      cost_constraint = cost_lim * (1 - gamma ** max_ep_len) / (1 - gamma) / max_ep_len
```

```
469.
               damp = damp scale * tf.reduce mean(cost constraint - qc - pdf cdf * tf.sqrt(qc var))
470.
               # Soft actor-critic losses
471.
472. pi loss = tf.reduce mean(alpha * logp pi - min q pi + (beta - damp) * (qc pi + pdf cdf * (qc pi var
          ** (0.5)))
473.
               qr1\_loss = 0.5 * tf.reduce\_mean((q\_backup - qr1) ** 2)
              qr2 loss = 0.5 * tf.reduce mean((q backup - qr2) ** 2)
474.
               qc_{loss} = 0.5 * tf.reduce_mean((qc_backup - qc) ** 2)
475.
476.
               qc var loss = 0.5 * tf. reduce mean(qc var + qc var backup - 2 * ((qc var * qc var backup) * * <math>0.5))
477.
               q loss = qr1 loss + qr2 loss + qc loss + qc var loss
478.
479.
               #Loss for alpha
480.
               entropy constraint *= act dim
               pi_entropy = -tf.reduce_mean(logp_pi)
481.
482.
               alpha loss = - alpha * (entropy constraint - pi entropy)
483.
               print('using entropy constraint', entropy_constraint)
484.
485.
               # Loss for beta
486.
               if use costs:
487.
                    if cost constraint is None:
488.
489.
490.
                         Convert assuming equal cost accumulated each step
491.
                         Note this isn't the case, since the early in episode doesn't usually have cost,
492.
                         but since our algorithm optimizes the discounted infinite horizon from each entry
493.
                         in the replay buffer, we should be approximately correct here.
494.
                         It's worth checking empirical total undiscounted costs to see if they match.
495.
496.
497.
                         cost_constraint = cost_lim * (1 - gamma ** max_ep_len) / (1 - gamma) / max_ep_len
498.
                    print('using cost constraint', cost constraint)
499.
                    beta\_loss = beta * (cost\_constraint - qc - pdf\_cdf * tf.sqrt(qc\_var)) # qc + pdf\_cdf * tf.sqrt(qc\_var)
500.
501.
               # Policy train op
               # (has to be separate from value train op, because qr1 pi appears in pi loss)
502.
503.
               train pi op = MpiAdamOptimizer(learning rate=lr).minimize(pi loss, var list=get vars('main/pi'), na
          me='train_pi')
504.
505.
               # Value train op
506.
              with tf.control dependencies([train pi op]):
                    train\_q\_op = MpiAdamOptimizer(learning\_rate = lr).minimize(q\_loss, var\_list = get\_vars('main/q'), nallist = get\_vars('main/q
507.
          me='train q')
```

```
508.
509.
       if fixed entropy bonus is None:
510.
         entreg_optimizer = MpiAdamOptimizer(learning_rate=lr)
511.
         with tf.control dependencies([train q op]):
512.
            train entreg op = entreg optimizer.minimize(alpha loss, var list=get vars('entreg'))
513.
514.
       if use costs and fixed cost penalty is None:
515.
         costpen_optimizer = MpiAdamOptimizer(learning_rate=lr * lr_scale)
516.
517.
         if fixed entropy bonus is None:
518.
            with tf.control dependencies([train entreg op]):
519.
              train_costpen_op = costpen_optimizer.minimize(beta_loss, var_list=get_vars('costpen'))
520.
         else:
521.
            with tf.control dependencies([train q op]):
522.
              train costpen op = costpen optimizer.minimize(beta loss, var list=get vars('costpen'))
523.
524.
       # Polyak averaging for target variables
525.
       target update = get target update('main', 'target', polyak)
526.
527.
       # Single monolithic update with explicit control dependencies
528.
       with tf.control dependencies([train pi op]):
529.
         with tf.control dependencies([train q op]):
530.
            grouped update = tf.group([target update])
531.
532.
       if fixed entropy bonus is None:
533.
         grouped update = tf.group([grouped update, train entreg op])
534.
       if use costs and fixed cost penalty is None:
535.
         grouped update = tf.group([grouped update, train costpen op])
536.
537.
       def get action(o, deterministic=False):
538.
         act op = mu if deterministic else pi
539.
         return sess.run(act op, feed dict=\{x \text{ ph: o.reshape}(1, -1)\})[0]
540.
541.
       def test_agent(num_wind=0, deterministic=True, n_test_sce=100):
542.
         o = test env.reset(n = num wind, Train = Train)
543.
         ep_ret, ep_cost, ep_len, ep_rU, ep_rH, ep_rP, ep_cV, ep_cD, ep_cH =\
544.
              0, 0, 0, 0, 0, 0, 0, 0, 0
545.
         u_g = np.zeros((n_gen,n_T))
         ROCOF_t = np.zeros(n_T)
546.
547.
         f_Nadir_t = np.zeros(n_T)
548.
         f Qss t = np.zeros(n T)
549.
         curtailment t = np.zeros(n T)
550.
         shedding t = np.zeros(n T)
551.
         for i in range(max_ep_len):
```

```
# Take deterministic actions at test time
552.
553.
            o2, r, c, , reward u, reward HESS, reward p, cost vio, cost deta, cost hess,\
554.
             ROCOF, f_Nadir, f_Qss, curtailment, shedding, u_state =\
555.
              test env.step(get action(o, deterministic),o,ep len,Train = Train,n test sce=n test sce)
556.
557.
            ep_ret += r
558.
            ep cost += c
559.
            ep_len += 1
560.
            ep rU += reward u
561.
            ep rH += reward HESS
562.
            ep_rP += reward p
563.
            ep_cV += cost_vio
564.
            ep_cD += cost_deta
565.
            ep cH += cost hess
            u_g[:,i] = u_state
566.
            ROCOF_t[i] = ROCOF
567.
568.
            f_Nadir_t[i] = f_Nadir
569.
            f Qss t[i] = f Qss
            curtailment_t[i] = curtailment
570.
571.
            shedding_t[i] = shedding
            0 = 02
572.
573.
         return ep_ret, ep_cost, ep_len, ep_rU, ep_rH, ep_rP, ep_cV, ep_cD, ep_cH,\
574.
            u g, ROCOF t, f Nadir t, f Qss t, curtailment t, shedding t
575.
576.
577.
       config = tf.ConfigProto()
578.
       config.gpu\_options.per\_process\_gpu\_memory\_fraction = 0.8
579.
       # config.allow_soft_placement = True
580.
       # config.log_device_placement = True
581.
       #config.gpu options.allow growth = True
582.
       sess = tf.Session(config=config)
583.
584.
585.
       if not Train:
586.
         saver = tf.train.Saver()
587.
         saver.restore(sess,tf.train.latest_checkpoint('./saved_models/Case118_%d'%args.Model_version))
588.
589.
590.
         var_list = tf.global_variables()
591.
         for var in var list:
592.
            if var.name.startswith("main"):
593.
              print(var.name, var.shape)
594.
595.
         num wind = 0
```

```
596.
         n test sce = 1000
597.
         start = time.time()
598.
599.
         start = time.time()
         ep ret, ep cost, ep len, ep rU, ep rH, ep rP, ep cV, ep cD, ep cH,\
600.
601.
            u\_g, ROCOF\_t, f\_Nadir\_t, f\_Qss\_t, curtailment\_t, shedding\_t = \\ \\ \\
602.
            test agent(num wind = num wind,deterministic=True,n test sce=n test sce)
603.
         end = time.time()
604.
         n ROCOF, n f Nadir, n f Qss = 0, 0, 0
605.
         for i in range(n T):
606.
            if ROCOF t[i]>ROCOFmax:
              n ROCOF += 1
607.
608.
            if 50-f_Nadir_t[i]>delt_fmax:
609.
              n f Nadir += 1
610.
            if f_Qss_t[i]>0:
611.
              n f Qss += 1
612.
613.
         print("求解时间:%.4f 秒"%(end-start))
614.
615.
         print('\n=
                                         Test Result
         print('
                     The scenario of wind
                                                   %d'%num wind)
616.
617.
         print('
                     The value of Ep_ret
                                                   %.2f%ep_ret)
618.
         print('
                     The value of Ep cost
                                                  %.2f%ep cost)
619.
         print('-
620.
         print('
                       The Start up cost
                                                   %.2f%ep rU)
621.
                                                   %.2f%(ep rU+ep rP))
         print('
                       The operating cost
622.
                      Total cost for HESS
                                                    \%.2f\%(ep_rU+ep_rP+ep_rH))
         print('
623.
                                                  %.2f'%(sum(curtailment_t))+' MWh')
         print('
                 Expected energy curtailment |
624.
         print('
                    Expected Load Shedding
                                                    %.2f'%(sum(shedding_t))+' MWh')
625.
         print('
                          Maximum RoCoF
                                                         \%.2f'\%(\max(ROCOF\ t))+' Hz/s')
         print('
                    Minimum Frequency Nadir
                                                     %.2f'%(min(f_Nadir_t))+' Hz')
626.
                                                 %.2f'%(n ROCOF/n T*100)+' %')
627.
         print('Violation Probability of RoCoF|
         print('Violation Probability of Nadir|
                                                %.2f'%(n_f_Nadir/n_T*100)+' %')
628.
629.
         print(' Violation Probability of Qss |
                                               \%.2f'\%(n_f_Qss/n_T*100)+'\%')
630.
         print('=
631.
632.
         t range = range(n T)
633.
         fig, (ax1, ax2, ax3) = plt.subplots(3)
634.
635.
         ax1.plot(t_range, ROCOF_t)
636.
         ax1.set title('ROCOF')
637.
638.
         ax2.plot(t range, f Nadir t)
639.
         ax2.set_title('f_Nadir')
```

```
640.
641.
         ax3=sns.heatmap(u g, linewidths = 0.05, linecolor='red', annot=True)
642.
         plt.show
643.
644.
         if args.SaveFig:
645.
            now = datetime.datetime.now()
            savefname = './Debug record/%d.%d.%d/Figture'%(now.year,now.month,now.day)
646.
647.
            os.makedirs(savefname,exist_ok=True)
648.
            plt.savefig(savefname+'/Output result %d %d.png'%(num wind,args.Model version),dpi=500)
649.
650.
         plt.show()
651.
652.
       else:
         writer = tf.summary.FileWriter(".logs/",sess.graph)
653.
654.
         if Continue_Training:
655.
            if Model Portion:
656.
              target_init = get_target_update('main', 'target', 0.0)
657.
              sess.run(tf.global variables initializer())
658.
              sess.run(target_init)
659.
              sess.run(sync all params())
              Loading model = [get vars('main/q'),get vars('target'),get vars('costpen'),get vars('entreg')]
660.
661.
              saver = tf.train.Saver(Loading model)
662.
              saver.restore(sess,tf.train.latest checkpoint('./saved models/Case118 %d'%args.Model versio
    n))
663.
            else:
              saver = tf.train.Saver()
664.
              saver.restore(sess,tf.train.latest checkpoint('./saved models/Case118 %d'%args.Model versio
665.
    n))
666.
         else:
667.
          # Initializing targets to match main variables
668.
          # As a shortcut, use our exponential moving average update w/ coefficient zero
669.
            target init = get target update('main', 'target', 0.0)
670.
            sess.run(tf.global_variables_initializer())
671.
            sess.run(target init)
            sess.run(sync_all_params())
672.
673.
          #Setup model saving 保存模型
674.
         logger.setup tf saver(sess, inputs={'x': x ph, 'a': a ph},
675.
                       outputs={'mu': mu, 'pi': pi, 'qr1': qr1, 'qr2': qr2, 'qc': qc})
676.
677.
         start time = time.time()
678.
         o, r, d, ep_ret, ep_cost, ep_len= env.reset(), 0, False, 0, 0, 0
679.
         total steps = steps per epoch * epochs * max ep len
680.
681.
         # variables to measure in an update
```

```
vars\_to\_get = \frac{dict}{LossPi} [loss, LossQR1 = qr1\_loss, LossQR2 = qr2\_loss, LossQC = qc\_loss, LossQR3 = qr1\_loss, LossQR4 = qr1\_lossQR4 =
682.
            QCVar=qc_var_loss,
683.
                                                    QR1Vals=qr1, QR2Vals=qr2, QCVals=qc, QCVar=qc_var, LogPi=logp_pi, PiEntropy=
            pi entropy,
                                                    Alpha=alpha, LogAlpha=log alpha, LossAlpha=alpha loss)
684.
685.
                        if use_costs:
686.
                              vars to get.update(dict(Beta=beta, LogBeta=log beta, LossBeta=beta loss))
687.
688.
                        print('starting training', proc id())
689.
690.
                        # Main loop: collect experience in env and update/log each epoch
691.
                        cum cost = 0
692.
                        local\_steps = 0
693.
                        local_steps_per_epoch = steps_per_epoch // num_procs()
694.
                        local_batch_size = batch_size // num_procs()
695.
                        epoch start time = time.time()
696.
                        for t in range(total_steps // num_procs()):
697.
698.
                              Until local_start_steps have elapsed, randomly sample actions
699.
                              from a uniform distribution for better exploration. Afterwards,
700.
                              use the learned policy.
                              ******
701.
702.
                              if t > local start steps:
703.
                                    a = get_action(o)
704.
                              else:
705.
                                    a = env.action sample()
706.
707.
                              # Step the env
708.
                              o2, r, c, d = env.step(a,o,ep\_len)
709.
                              r *= reward scale # yee-haw
710.
                              ep_ret += r
711.
                              ep cost += c
712.
                              ep_len += 1
713.
                              local steps += 1
714.
715.
                              # Track cumulative cost over training
716.
                              cum cost += c
717.
718.
                              # Store experience to replay buffer
719.
                              replay_buffer.store(o, a, r, o2, d, c)
720.
721.
                              # Super critical, easy to overlook step: make sure to update
722.
                              # most recent observation!
723.
                              0 = 02
```

```
724.
725.
            if d or (ep len == max ep len):
726.
              logger.store(EpRet=ep_ret, EpCost=ep_cost)
              o, r, d, ep ret, ep cost, ep len = env.reset(n = np.random.randint(n wind data)),\
727.
728.
                 0, False, 0, 0, 0
729.
730.
            if t > 0 and t % update freq == 0:
731.
732.
              for j in range(update freq):
733.
                 batch = replay_buffer.sample_batch(local_batch_size)
                 feed_dict = {x_ph: batch['obs1'],
734.
735.
                         x2_ph: batch['obs2'],
736.
                         a_ph: batch['acts'],
737.
                         r ph: batch['rews'],
738.
                         c_ph: batch['costs'],
739.
                         d ph: batch['done'],
740.
741.
                 if t < local update after: #600
742.
743.
                   logger.store(**sess.run(vars_to_get, feed_dict))
744.
745.
                 else:
746.
                   values, = sess.run([vars to get, grouped update], feed dict)
747.
                   logger.store(**values)
748.
749.
              ETA = (time.time()-epoch start time)*(total steps//update freq-t//update freq)
750.
              print(('Training: [epoch:%d|%d] [batch:%d|%d] ETA %d:%d:%d')\
751.
                % (t // (local_steps_per_epoch * max_ep_len),epochs-1,\
752.
                  (t%(local_steps_per_epoch * max_ep_len)//max_ep_len),steps_per_epoch-1,\
753.
                  ETA//3600, ETA%3600//60, ETA%60))
754.
              epoch_start_time = time.time()
755.
756.
757.
            # End of epoch wrap-up
758.
            if t > 0 and t \% (local_steps_per_epoch * max_ep_len) == 0:
759.
              epoch = t // (local_steps_per_epoch * max_ep_len)
760.
              cumulative cost = mpi sum(cum cost)
761.
              cost_rate = cumulative_cost / ((epoch + 1) * steps_per_epoch)
762.
763.
764.
               # Save model
765.
              if (epoch % save freq == 0) or (epoch == epochs - 1):
766.
                 saver = tf.train.Saver()
767.
                 saver.save(sess,'./saved models/Case118 %d/Model'%epoch)
```

```
768.
769.
                  now time = datetime.datetime.now()
                  os.makedirs ('./Debug\_record/\%d.\%d.\%d'\% (now\_time.year,now\_time.month,now\_time.da) \\
770.
    y),exist_ok=True)
                  saver.save(sess,'./Debug record/%d.%d.%d/Case118 %d/Model'%(now time.year,now ti
771.
    me.month,now time.day,epoch))
                  Path xls = './Debug record/%d.%d.%d/ReplayBuffer'%(now time.year,now time.month,
772.
    now_time.day)
773.
                  os.makedirs(Path xls,exist ok=True)
774.
                  replay buffer.savebuffer('./logger/Buffer.xlsx')
775.
                  replay buffer.savebuffer(Path xls=Path xls+'/Buffer %d.xlsx'%now time.hour)
776.
                except:
777.
                  print('路径出错')
778.
779.
              logger.log tabular('Epoch', epoch)
780.
              logger.log tabular('EpRet', with min and max=True)
781.
              logger.log_tabular('EpCost', with_min_and_max=True)
782.
              logger.log tabular('CumulativeCost', cumulative cost)
783.
              logger.log tabular('CostRate', cost rate)
784.
              logger.log tabular('LossPi', with min and max=True)
785.
              logger.log tabular('LossQR1', with min and max=True)
786.
              logger.log tabular('LossQR2', average only=True)
787.
              logger.log tabular('LossQC', with min and max=True)
788.
              logger.log_tabular('LossQCVar', with_min_and_max=True)
789.
              logger.log tabular('LossAlpha', average only=True)
790.
              logger.log tabular('LogAlpha', average only=True)
791.
              logger.log tabular('Alpha', average only=True)
792.
              if use costs:
793.
                logger.log tabular('LossBeta', average only=True)
794.
                logger.log tabular('LogBeta', average only=True)
795.
                logger.log tabular('Beta', average only=True)
796.
              logger.log tabular('PiEntropy', with min and max=True)
797.
              logger.log_tabular('TotalTime', time.time() - start_time)
798.
              logger.dump_tabular()
799.
         writer.close()
800.
801.
802.
        _name__ == '__main__':
803. if
804.
      mpi_fork(args.cpu)
805.
806.
       logger kwargs = setup logger kwargs(args.exp name, args.seed)
807.
       logger kwargs = setup logger kwargs(args.exp name)
808.
       logger_kwargs = args.logger_kwargs_str
```

```
809.
```

- 810. EPO\_RSAC(lambda: unPower(uncertain=True, n\_sce=5), actor\_fn=mlp\_actor, critic\_fn=mlp\_critic,
- 811. ac\_kwargs\_actor=dict(hidden\_sizes=args.hidden\_sizes\_actor, cnn\_sizes=args.cnn\_actor),
- 812. ac kwargs critic=dict(hidden sizes=args.hidden sizes critic, cnn sizes=args.cnn critic),
- 813. ac kwargs var=dict(hidden sizes=args.hidden sizes var, cnn sizes=args.cnn var),
- 814. gamma=args.gamma, cl=args.cl, seed=args.seed, epochs=args.epochs, replay\_size=24000, batch\_siz e=args.batch\_size,
- 815. logger\_kwargs=logger\_kwargs, steps\_per\_epoch=args.steps\_per\_epoch,
- 816. update freq=args.update freq, lr=args.lr, render=args.render,
- 817. local\_start\_steps=args.local\_start\_steps, save\_freq=args.save\_freq, local\_update\_after=args.local\_update\_after,
- 818. fixed\_entropy\_bonus=args.fixed\_entropy\_bonus, entropy\_constraint=args.entropy\_constraint,
- 819. fixed\_cost\_penalty=args.fixed\_cost\_penalty, cost\_constraint=args.cost\_constraint, cost\_lim=args.co st lim,
- 820. lr\_scale=args.lr\_s, damp\_scale=args.damp\_s, Train=args.Train, Continue\_Training=args.Continue,
- 821. Model Portion=args.Portion,load buffer=args.load buffer
- 822.